

# An Optimal Lower Bound for Monotonicity Testing over Hypergrids

C. Seshadhri

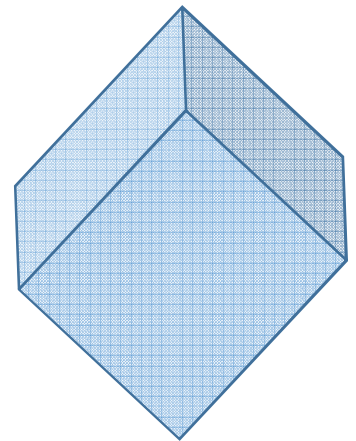
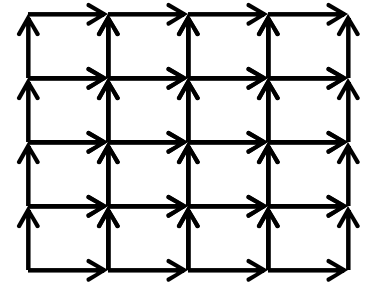
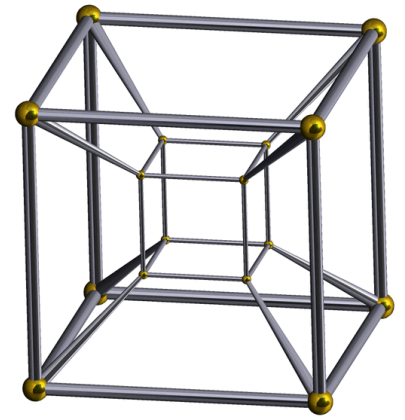
(Sandia National Labs, Livermore)

Joint work with Deeparnab Chakrabarty  
(Microsoft Research, Bangalore)

# The domain

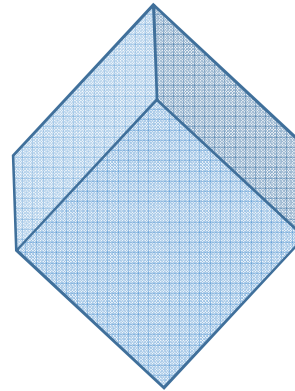
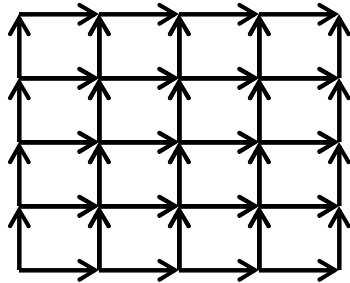
- $f: D \rightarrow N$
- **Boolean Hypercube:**  $\{0,1\}^d$
- **Hypergrid:**  $[n]^d$   
(includes line, tesseract, etc.)

$x < y$  iff  $x_i \leq y_i$  for all  $i$



# Monotonicity

- $f: [n]^d \rightarrow N$
- **Monotonicity:**  $f(x) \leq f(y)$  whenever  $x < y$

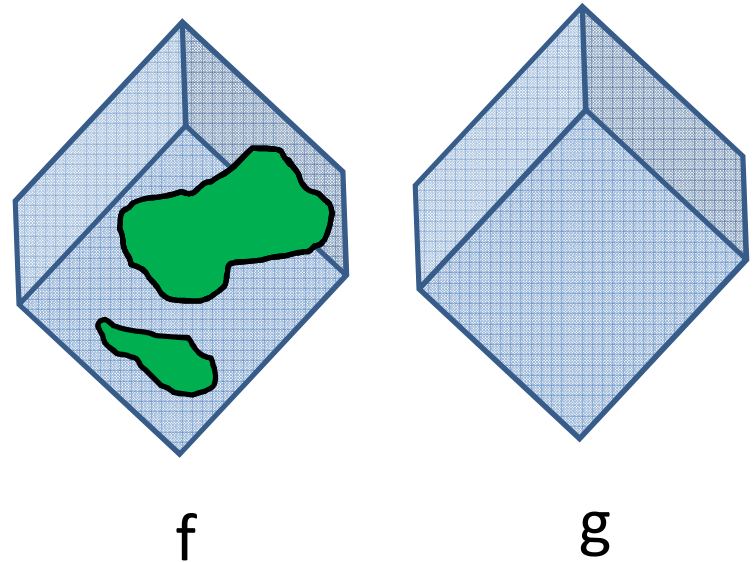


- **Why monotonicity?:** Classic property of interest

# Monotonicity Testing

$$\text{dist}(f, g) = \frac{|f - g|_0}{|D|}$$

$$\text{dist}(f, P) = \min_{g \in P} \text{dist}(f, g)$$



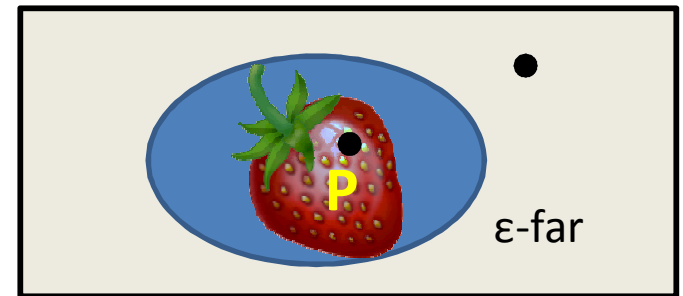
$f$  is  $\epsilon$ -far from  $P$  means  $\text{dist}(f, P) > \epsilon$

Parameter  $\epsilon$ , query access to  $f$

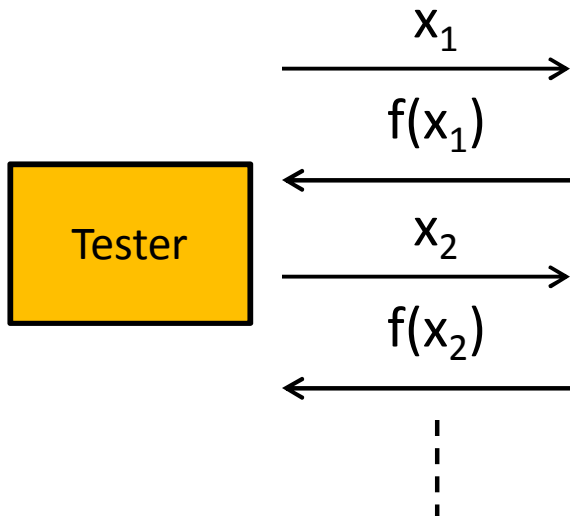
Property tester is randomized algo:

**ACCEPT** if  $f$  has  $P$

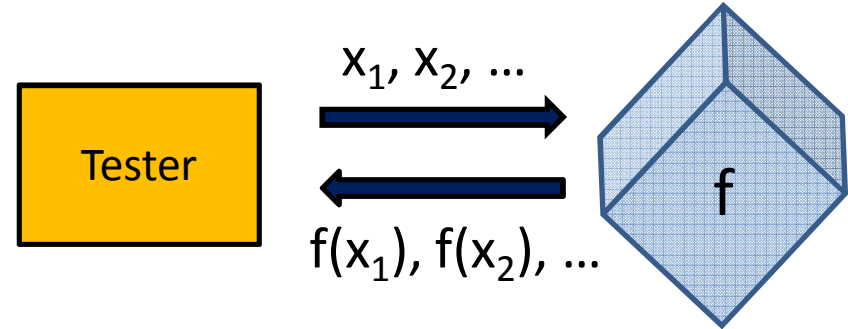
**REJECT** if  $f$  is  $\epsilon$ -far from  $P$



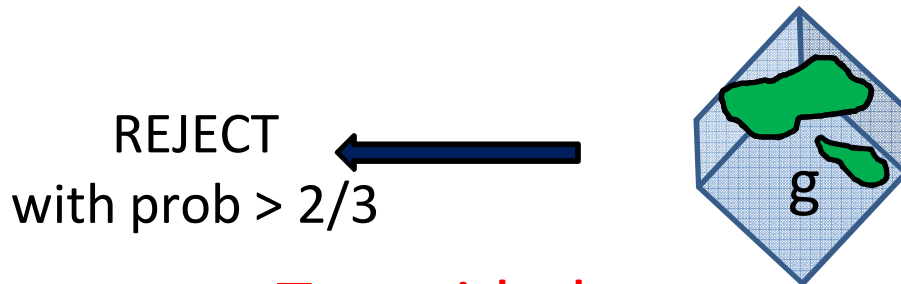
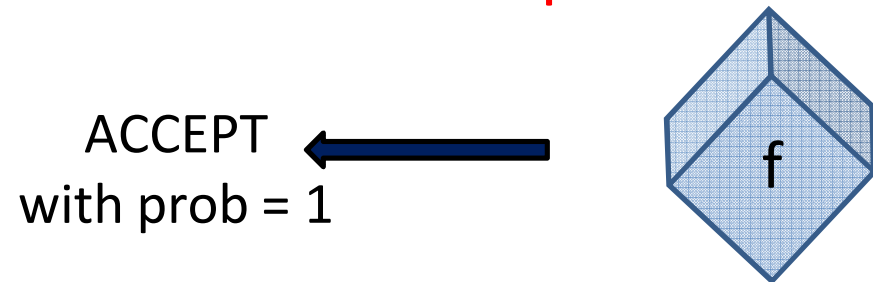
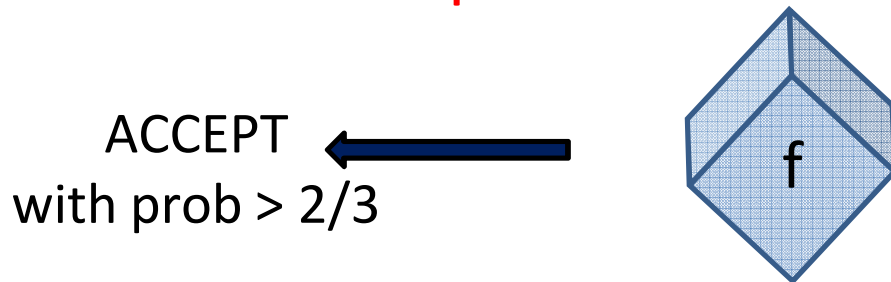
# The variants



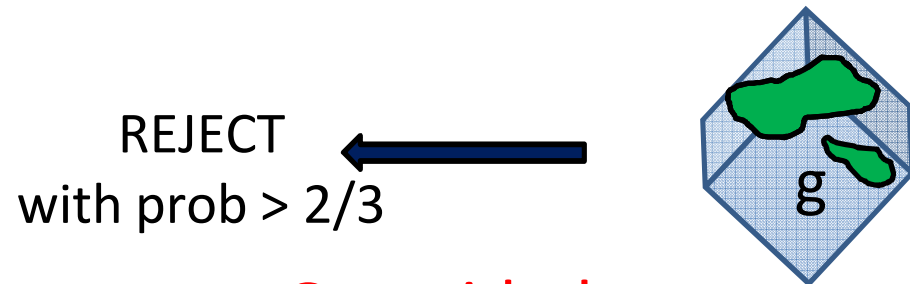
Adaptive



Non-adaptive



Two-sided error

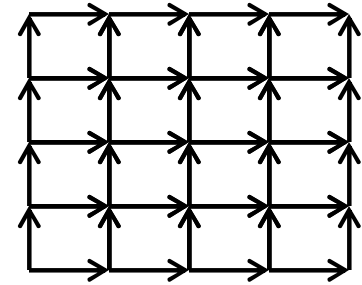


One-sided error

|  |  |      |
|--|--|------|
| Goldreich,Goldwasser,Lehman,Ron,Samorodnitsky          | $O(\epsilon^{-1}d)$ for Boolean range  | 1998 |
| Ergun,Kannan,Kumar,Rubinfeld,Viswanathan               | $O(\epsilon^{-1}\log n)$ for line  | 1998 |
| Dodis,Goldreich,Lehman,Raskhodnikova,Ron,Samorodnitsky | $O(\epsilon^{-1}d^2)$ on hypercube<br>$O(\epsilon^{-1}d^2\log^2 n)$ on the hypergrid | 1999 |
| Lehman, Ron  | Conjectural attack: $O(\epsilon^{-1}d)$ for hypercube                                | 2001 |
| Fischer,Lehman,Newman,Raskhodnikova,Rubinfeld          | $\Omega(\sqrt{d})$ lower bnd, <i>nonadaptive</i> , Boolean                           | 2002 |
| Halevy, Kushilevitz & Ailon, Chazelle                  | $O(\epsilon^{-1}2^d\log n)$ on hypergrid   | 2008 |
| Briet, Chakraborty, Garcia, Matsilal                   | Disproved Lehman-Ron<br>$\Omega(d)$ lower bnd, <i>nonadaptive</i>                    | 2010 |
| Blais, Brody, Matulef                                  | $\Omega(d)$ lower bnd, <i>adaptive</i>   | 2010 |
| Chakraborty, S.  | $O(\epsilon^{-1}n\log k)$ for hypergrid  | 2013 |
| Blais, Raskhodnikova, Yaroslavtsev                     | $\Omega(n\log k)$ <i>nonadaptive</i> hypergrid                                       | 2013 |

# Lower bounds for monotonicity testing

- [Chakrabarty-S. 13].  $f: [n]^d \rightarrow \mathbf{N}$ . There is a non-adaptive one-sided monotonicity tester that makes  $O(\epsilon^{-1} d \log n)$  queries.



- This paper: Any adaptive, two-sided monotonicity tester requires  $\Omega(\epsilon^{-1} d \log n - \epsilon^{-1} \log \epsilon^{-1})$  queries
- Best of both worlds! Closes chapter (ok, not quite) on a long line of work

# Previously...

- [Blais-Brody-Matulef 11].  $f: \{0,1\}^d \rightarrow [\sqrt{d}]$ .

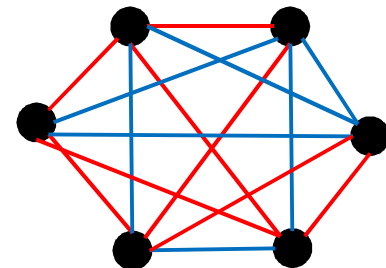
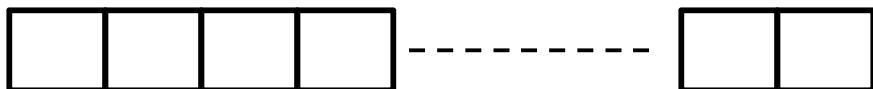
Adaptive, two-sided lower bound of  $\Omega(d)$

- [Blais-Raskhodnikova-Yaroslavtsev 13].  $f: [n]^d \rightarrow [nd]$ .

Non-adaptive, two-sided lower bound of  $\Omega(d \log n)$

- Use communication complexity lower bounds for Set-Disjointness and Augmented-Index
  - Get nice bounds on ranges
- We get away with a simple self-contained proof
  - (And I do mean simple)

# Standing on shoulders

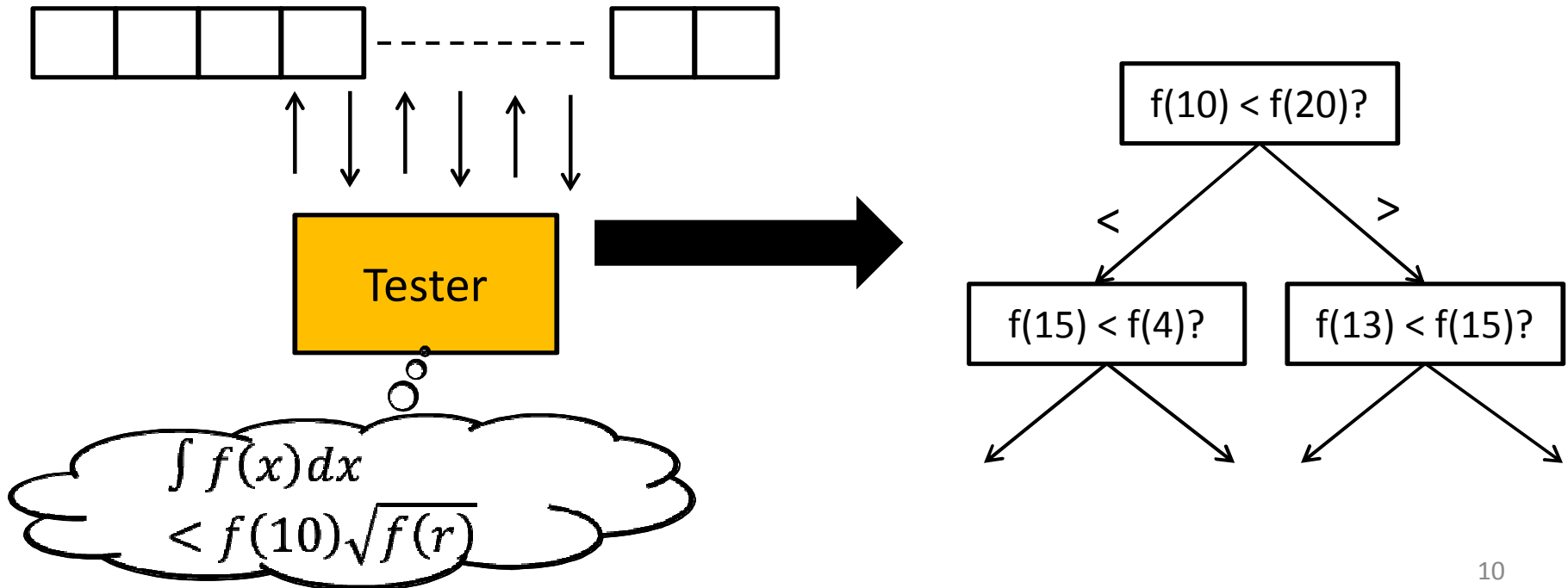


- [Fischer 04].  $f: [n] \rightarrow N$ . Adaptive l.b. of  $\Omega(\log n)$
- Main argument: any monotonicity tester making  $t$  queries can be converted to non-adaptive tester making  $t$  queries
- [Ergun et al 99] Non-adaptive l.b. of  $\Omega(\log n)$

# Looking closely

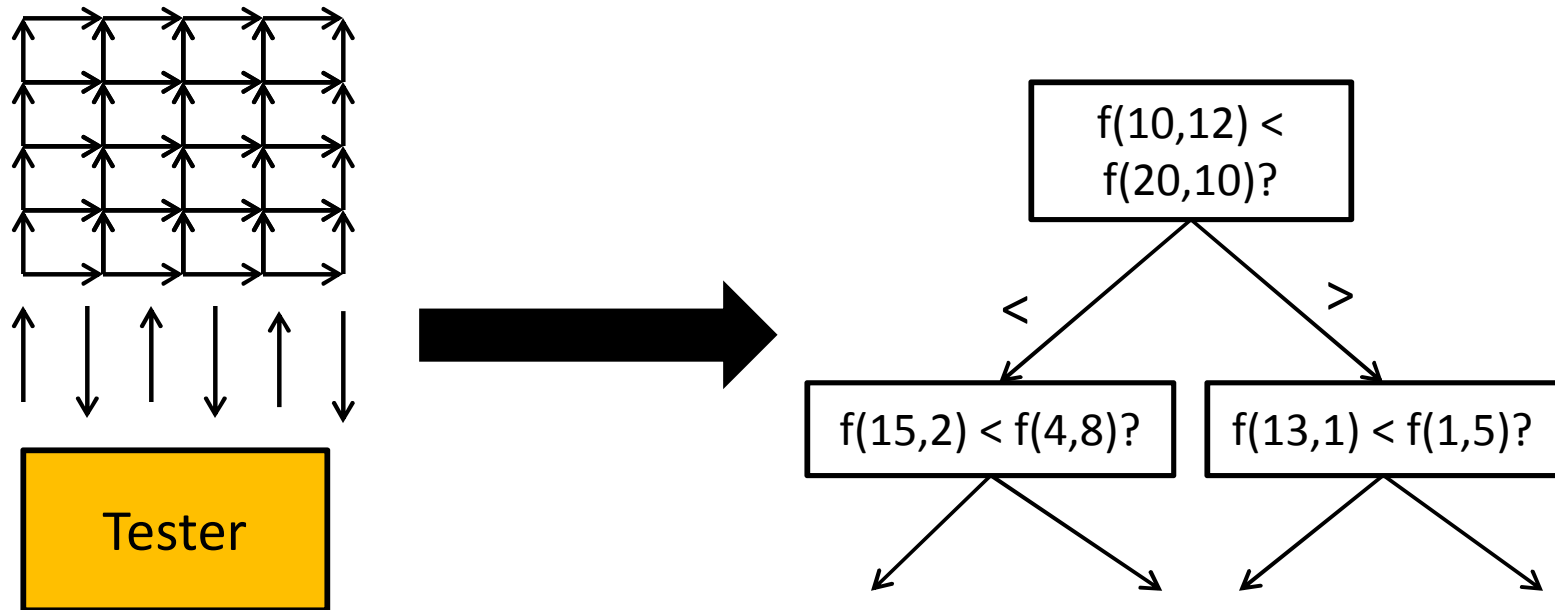
- [Fischer 04].  $f: [n] \rightarrow \mathbb{N}$ . Adaptive l.b. of  $\Omega(\log n)$
- Main argument: any monotonicity tester making  $t$  queries can be converted to **comparison based tester** making  $t$  queries

– Comparison based tester is non-adaptive for  $[n]$

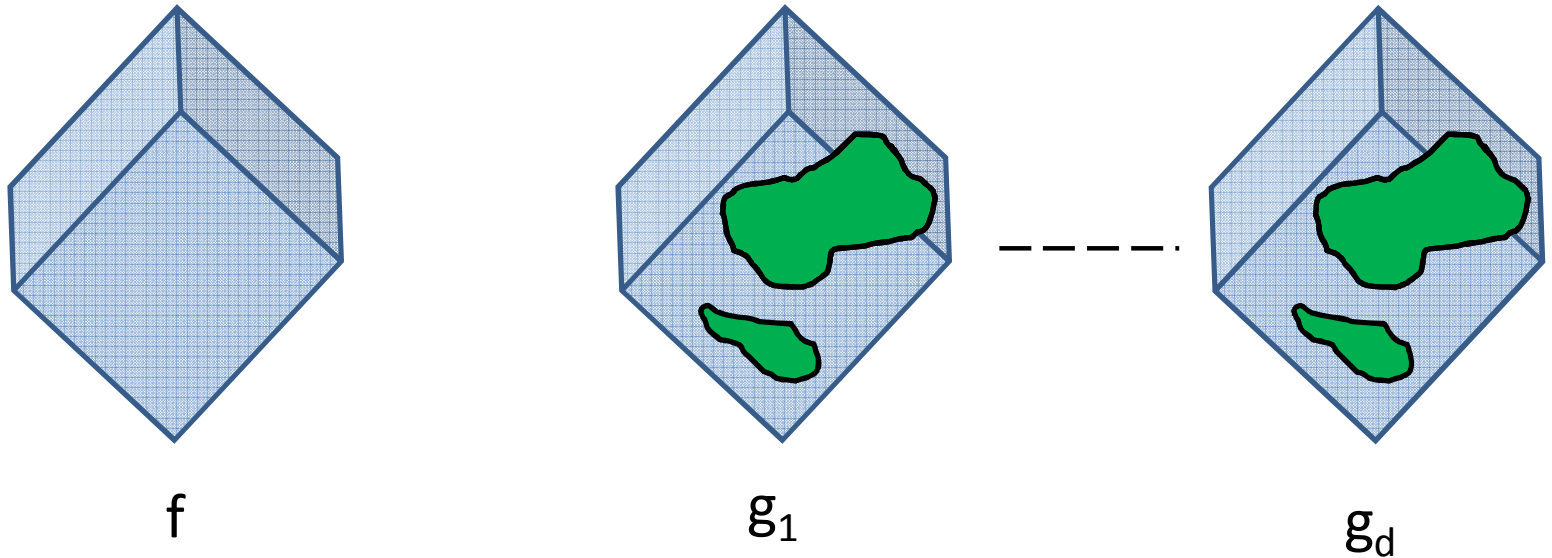


# A simple observation

- [We observe]  $f: D \rightarrow N$ . Any monotonicity tester making  $t$  queries can be converted to **comparison based tester** making  $t$  queries
- Just rewrite Fischer's proof, replace  $[n]$  by  $D$ .



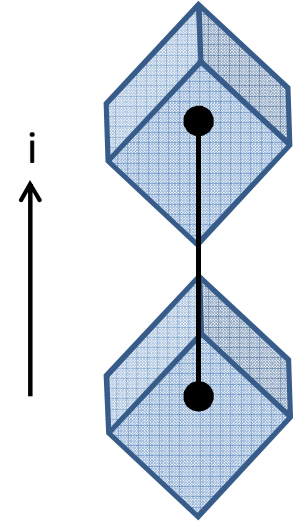
# Just comparison-based now



- $f, g_i: \{0,1\}^d \rightarrow N$
- Tester gets  $f$  w.p.  $\frac{1}{2}$  or u.a.r.  $g_i$  w.p.  $\frac{1}{2}$
- Deterministic comparison tree must tell if it is  $f$  or some  $g$  with error  $< \frac{1}{4}$
- Will prove that depth of tree must be  $\Omega(d)$

# The functions

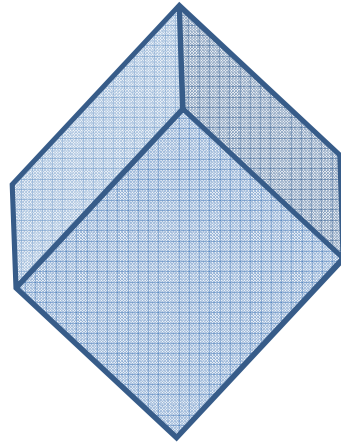
- $f(x_1, x_2, \dots, x_d) = 2x_1 + 2^2x_2 + 2^3x_3 + \dots$ 
  - Clearly monotone



- $g_i(x_1, x_2, \dots, x_d) = 2x_1 + 2^2x_2 \dots - 2^i x_i + 2^{i+1}x_{i+1} \dots$ 
  - Clearly far from monotone
- $x, y$  where largest bit of difference is  $i$ :  $x_i = 0, y_i = 1$
- Then  $g_i(x) > g_i(y)$ , but  $f(x) < f(y)$  and  $g_j(x) < g_j(y)$  (for  $j \neq i$ )
- Any comparison can only “catch” one  $g_i$
- Need  $d/2$  comparisons to catch  $d/2$  such  $g_i$ 's

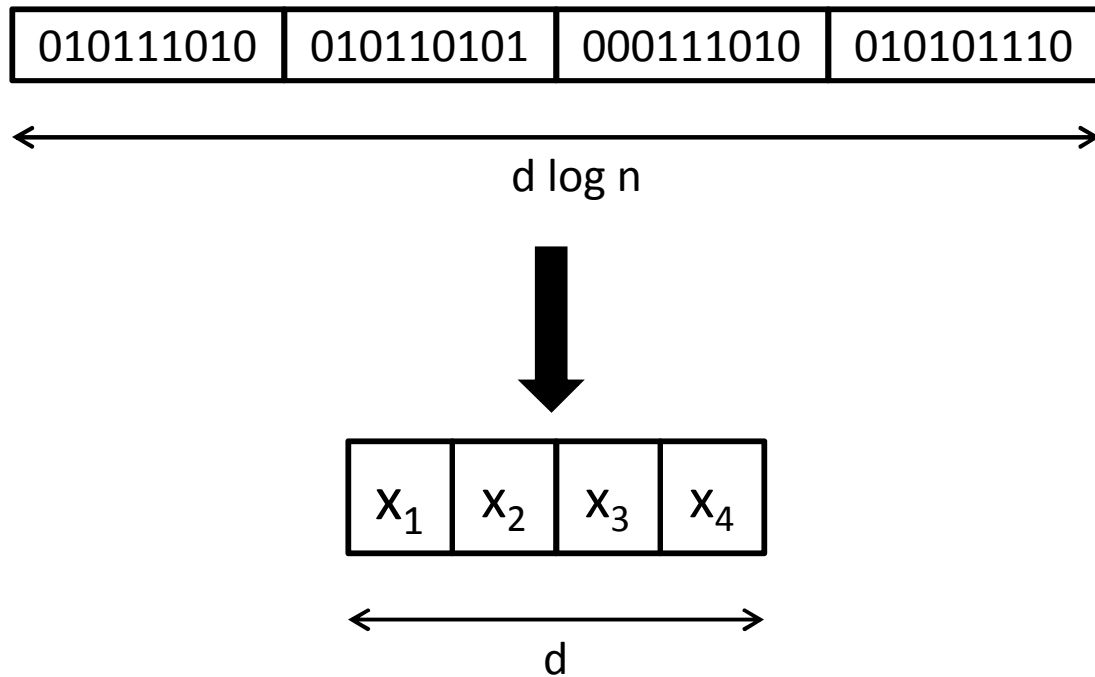
# That's it

- $f: \{0,1\}^d \rightarrow \mathcal{N}$
- Any adaptive, two-sided tester for monotonicity requires  $\Omega(d)$  queries



# Hypercube to hypergrid

- Convert  $f: \{0,1\}^{d \log n} \rightarrow N$  to  $f: [n]^d \rightarrow N$



- Our functions are monotone or far from monotone in both worlds

# The full theorem

- $f: [n]^d \rightarrow \mathbf{N}$
- Any adaptive, two-sided tester for monotonicity requires  $\Omega(d \log n)$  queries
- Getting  $\Omega(\epsilon^{-1} d \log n)$  requires some care
  - But same idea
- Comparison-based testers are **really** easy to argue about
  - Don't have to worry about testers being clever

# The range issue

- $f: [n]^d \rightarrow N$  vs  $f: [n]^d \rightarrow [k]$
- Reduction to comparison-based requires humungous range for Ramsey's theorem
- But range plays role in monotonicity testing
- [CS 13]  $f: \{0,1\}^d \rightarrow \{0,1\}$ . Tester with  $d^{7/8}$  queries
- [BBM 11] When range  $> \sqrt{d}$ , l.b. of  $\Omega(d)$
- [BRY13] Hypergrid setting. When range  $> nd$ , l.b. of  $\Omega(d \log n)$  (but non-adaptive)

# General posets

- $f: D \rightarrow N$
- [Fischer et al 02] There exists  $D$ , such that non-adaptive lower bound of  $\Omega(D^{1/\log \log D})$
- No  $D$  known where adaptive lower bound better than  $\Omega(\log D)$
  
- But we only need to prove comparison-based lower bounds. Surely, something can be done?