

Modeling of General 1-D Periodic Leaky-Wave Antennas in Layered Media using EIGER™

W. A. Johnson¹, S. Paulotto², D. R. Jackson²,
D. R. Wilton², W. L. Langston¹, L. I. Basilio¹,
P. Baccarelli³, G. Valerio³, and F. T. Celepcikay²

*Sandia National Laboratories
P.O. Box 5800
Albuquerque, NM 87185-1152*



Sandia National Laboratories is a multi-program laboratory managed and operated by Sandia Corporation, a wholly owned subsidiary of Lockheed Martin Corporation, for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-AC04-94AL85000.

Introduction

LWA Geometry: Printed circuit lines with 1D periodic modulation or waveguides with a 1D array of periodic slots both with fully 3D currents over a grounded layered medium.

Goals:

- Evaluate the dispersion diagrams for all the propagating modes:
 bound (non-radiating) and leaky modes to aid in leaky-wave antenna design.
- Direct calculation of corresponding radiation patterns.

Michalski formulation for layered media:

$$E(\mathbf{r}) = -j\omega \int_s \underline{\mathbf{G}}_A^p(\mathbf{r}, \mathbf{r}') \cdot \mathbf{J}(\mathbf{r}') ds' - \nabla \int_s K_\Phi^p(\mathbf{r}, \mathbf{r}') \nabla' \cdot \mathbf{J}(\mathbf{r}') ds' \\ - \nabla \int_s P_z^p(\mathbf{r}, \mathbf{r}') \mathbf{z}_0 \cdot \mathbf{J}(\mathbf{r}') ds' - \frac{1}{\epsilon} PV \int_s \nabla \times \underline{\mathbf{G}}_F^p(\mathbf{r}, \mathbf{r}') \cdot \mathbf{M}(\mathbf{r}') ds' \pm \frac{\mathbf{M}(\mathbf{r}')}{2} \delta_{\mathbf{r}, \mathbf{r}'}$$

$$H(\mathbf{r}) = \pm \frac{\mathbf{J}(\mathbf{r}')}{2} \delta_{\mathbf{r}, \mathbf{r}'} + \frac{1}{\mu} PV \int_s \nabla \times \underline{\mathbf{G}}_A^p(\mathbf{r}, \mathbf{r}') \cdot \mathbf{J}(\mathbf{r}') ds' - j\omega \int_s \underline{\mathbf{G}}_F^p(\mathbf{r}, \mathbf{r}') \cdot \mathbf{M}(\mathbf{r}') ds' \\ - \nabla \int_s Q_z^p(\mathbf{r}, \mathbf{r}') \mathbf{z}_0 \cdot \mathbf{M}(\mathbf{r}') ds' - \nabla \int_s K_\Psi^p(\mathbf{r}, \mathbf{r}') \nabla' \cdot \mathbf{M}(\mathbf{r}') ds'$$

K. A. Michalski and D. Zheng, "Electromagnetic scattering by sources of arbitrary shape in layered media, Part I: Theory," *IEEE Trans. Antennas Propag.*, vol. 38, no. 3, pp.335-344, Mar. 1990.

The Complex Wave Numbers are Found from the Eigenvalues of the Integral Equation.

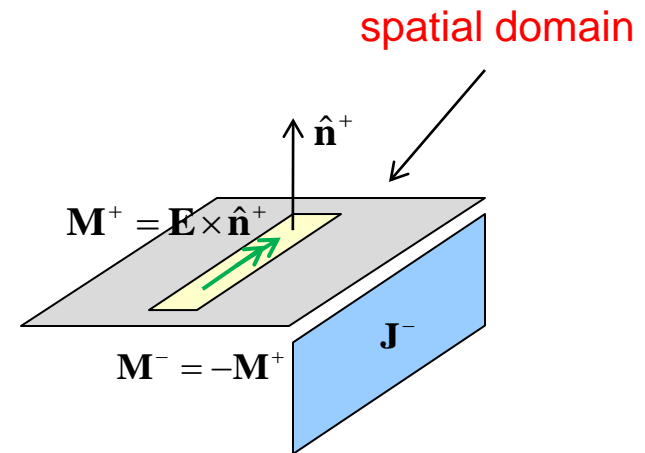
$$\mathbf{J} \mathbf{A} \sum_n I_n \quad \mathbf{M} \mathbf{A} \sum_n I_n^M$$

Metallic conductors (EFIE):

$$\mathbf{E}_{\text{tan}}^{\alpha}(\mathbf{J}^{\alpha}, \mathbf{M}^{\alpha}) = 0, \alpha = \pm$$

Slots in pec ground planes:

$$\left[\hat{\mathbf{n}} \times \mathbf{H}^{\alpha}(\mathbf{J}^{\alpha}, \mathbf{M}^{\alpha}) \right]_{\alpha=-}^{\alpha=+} = 0$$



These equations can be effectively solved by means of the MoM in the spatial domain:

$$[\mathbf{Z}(k_{x0})][I_n] = [0] \quad \Rightarrow \quad \det[\mathbf{Z}(k_{x0})] = 0$$

$$k_{x0} = \beta - j\alpha \quad \Rightarrow \quad k_{xn} = k_{x0} + \frac{2\pi n}{p}$$

Spectral Representation of Green's Functions

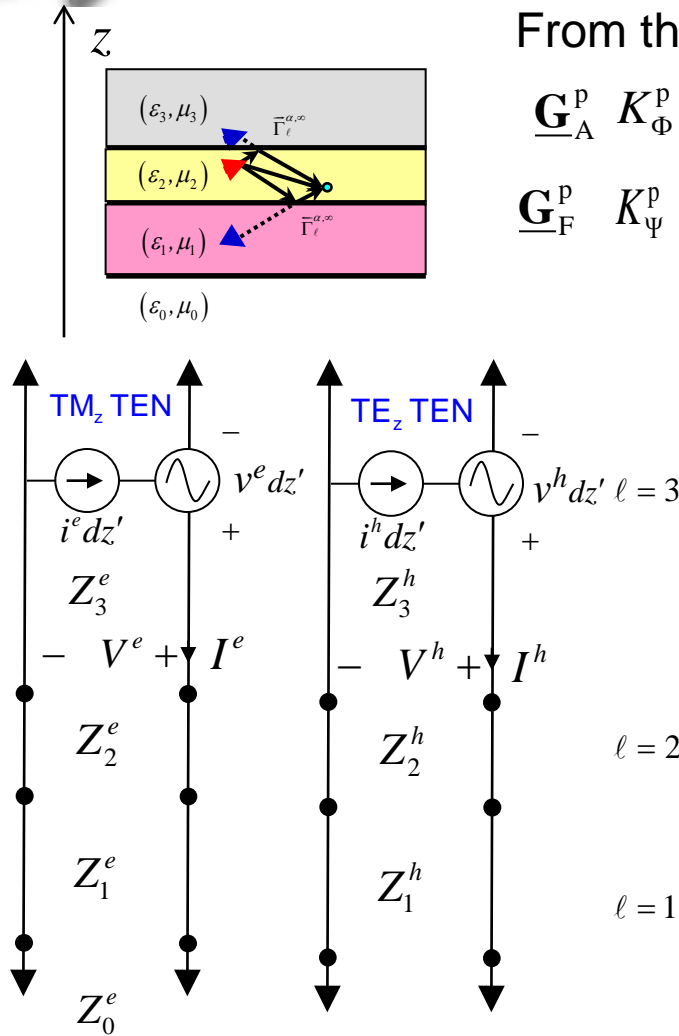
From the periodicity, the Green's functions

$$\begin{matrix} \underline{\mathbf{G}}_A^p & K_\Phi^p & P_z^p \\ \underline{\mathbf{G}}_F^p & K_\Psi^p & Q_z^p \end{matrix} \Rightarrow G^p = \frac{1}{2\pi p} \sum_{n=-\infty}^{+\infty} e^{-jk_{x_n}\Delta x} \int_{-\infty}^{+\infty} \tilde{G}(k_{t_n}, z, z') e^{-jk_y\Delta y} dk_y$$

$$k_{t_n}^2 = k_{x_n}^2 + k_{y_n}^2$$

$$\tilde{K}_\Phi = \frac{V_I^h - V_I^e}{k_t^2}, \quad \tilde{P}_z = \frac{j\omega\mu_0\mu_r}{k_t} \frac{V_V^h - V_V^e}{k_t}$$

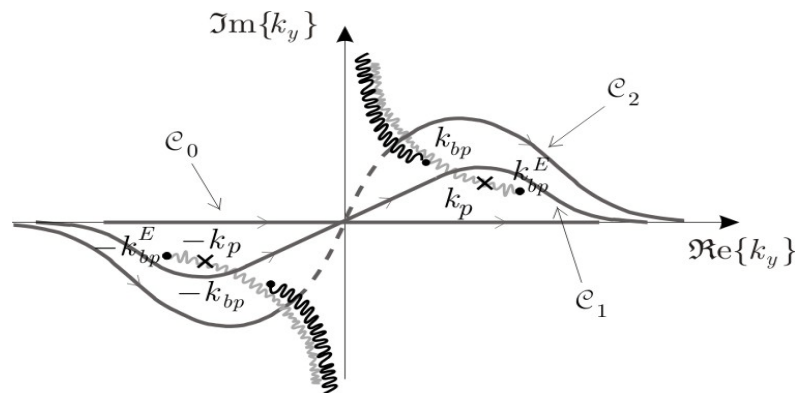
$$\mathbf{G}_A = \begin{pmatrix} \frac{V_I^h}{j\omega} & 0 & 0 \\ 0 & \frac{V_I^h}{j\omega} & 0 \\ \frac{j\omega\mu_0\mu_r(I_I^e - I_I^h)k_x}{k_t^2} & \frac{j\omega\mu_0\mu_r(I_I^e - I_I^h)k_y}{k_t^2} & \frac{\mu_0\mu_r I_V^e}{j\omega\epsilon_0\epsilon_r} \end{pmatrix}$$



Integration Paths

$$G^p = \frac{1}{2\pi p} \sum_{n=-\infty}^{+\infty} e^{-jk_{x_n} \Delta x} \int_{-\infty}^{+\infty} \tilde{G}(k_{t_n}, z, z') e^{-jk_y \Delta y} dk_y$$

The **singularities of the integrand** are the singularities of the multilayered Green's functions plus the singularities of the extracted terms (homogeneous-medium problem).



Asymptotic Extractions

Depending on the component, different asymptotic behaviors are extracted.

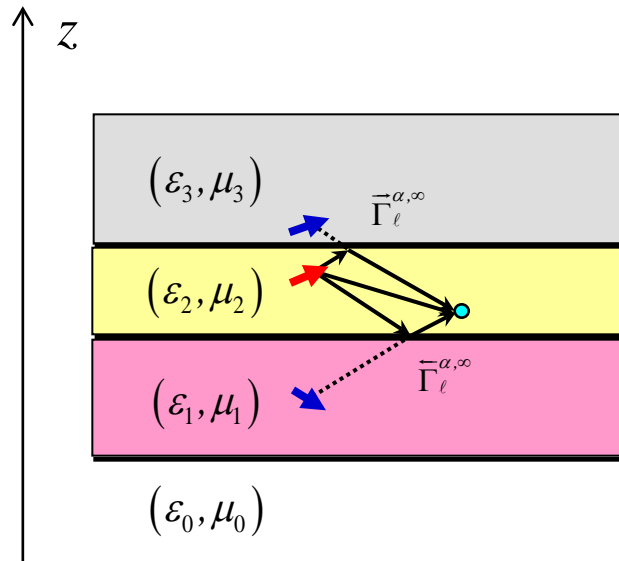
$$G_{A,xx}^p \quad G_{A,yy}^p \quad K_{\Phi}^p \quad G_{A,zz}^p$$



$$G^p = \frac{1}{2\pi p} \sum_{n=-\infty}^{+\infty} \left\{ e^{-jk_{x_n} \Delta x} \int_{-\infty}^{+\infty} \left[\tilde{G}(k_{t_n}, z, z') - \sum_{i=-1}^{+1} C_i \tilde{g}(k_{t_n}, \Delta z_i) \right] e^{-jk_y \Delta y} dk_y \right\} + \sum_{i=-1}^{+1} C_i g^p(\Delta \mathbf{r}_i)$$

Kummer extraction

Accelerated through Ewald



$$\tilde{g}(k_{t_n}, \Delta z) = \frac{e^{-jk_{z_n} |\Delta z|}}{2jk_{z_n}}$$

$$k_{z_n} = (k_s^2 - k_{t_n}^2)^{1/2}$$

$k_s = k$ of source layer

$$g^p(\Delta \mathbf{r}) = \sum_{n=-\infty}^{\infty} \frac{e^{-jk_s R_n}}{4\pi R_n} e^{-jnk_{x_0} p}$$

$$R_n = |\Delta \mathbf{r} - np\hat{\mathbf{x}}|$$

Vertical Currents (1)

Different terms need to be extracted from the series for vertical currents

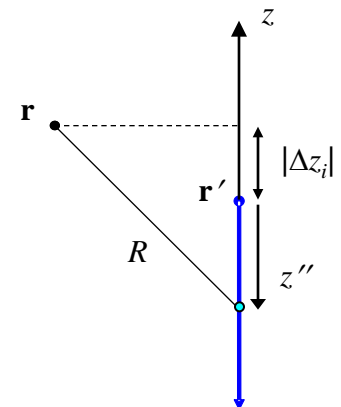
$$P_z^p = \frac{1}{2\pi p} \sum_{n=-\infty}^{+\infty} \left\{ e^{-jk_{x_n} \Delta x} \int_{-\infty}^{+\infty} \left[\tilde{P}_z(k_{t_n}, z, z') - \sum_{i=-1}^{+1} \frac{C_i^z}{jk_z} \tilde{g}(k_{t_n}, \Delta z_i) \right] e^{-jk_y \Delta y} dk_y \right\} + \sum_{i=-1}^{+1} C_i^z g^{z,p}(\Delta \mathbf{r}_i)$$

The extracted terms contain the extra factor $1/k_z$

$$g^{z,p}(\Delta \mathbf{r}_i) = \int_{|\Delta z_i|}^{+\infty} g^p(\Delta x \hat{\mathbf{x}} + \Delta y \hat{\mathbf{y}} + z'' \hat{\mathbf{z}}) dz''$$

Accordingly, in the space domain a different homogeneous-medium Green's function must be used, that is of the form

This is the potential produced by a periodic “half-line source” that starts at a vertical distance $|\Delta z_i|$ from the observation point and extends vertically to infinity.



Vertical Currents (2)

Similar expressions can be obtained for the **nondiagonal** dyadic elements

$$G_{A,zx}^p \quad \text{and} \quad G_{A,zy}^p$$

$$\boxed{G_{A,zv}^p} = \frac{1}{2\pi p} \sum_{n=-\infty}^{+\infty} \left\{ e^{-jk_{x_n}\Delta x} \int_{-\infty}^{+\infty} [\tilde{G}_{A,zv}(k_{t_n}, z, z') - \sum_{i=-1}^{+1} C_i^{zv} \frac{k_v}{jk_z} \tilde{g}(k_{t_n}, \Delta z_i)] e^{-jk_y\Delta y} dk_y \right\} \\ + j\hat{\mathbf{v}} \cdot \sum_{i=-1}^{+1} \boxed{C_i^{zv} \nabla g^{z,p}}(\Delta \mathbf{r}_i)$$

where $v = x, y, \quad \hat{\mathbf{v}} = \hat{\mathbf{x}}, \hat{\mathbf{y}}$

The factors k_x and k_y appearing above the term k_z correspond to a differentiation with respect to x and to y , respectively, in the spatial domain, leading to the

gradient of the half-line source potential.

Free-space Acceleration with Ewald

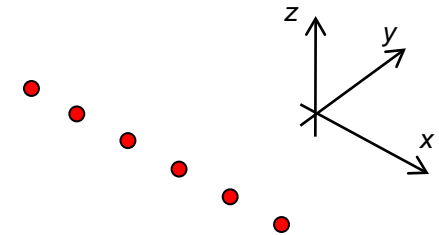
The terms extracted from the “**planar**” components are Green’s functions for a **1-D array of point sources in free-space**. They are summed back with the Ewald approach:

$$g^p(\Delta \mathbf{r}) = \sum_{n=-\infty}^{\infty} \frac{e^{-jk_s R_n}}{4\pi R_n} e^{-jnk_{x0}p} = g_{\text{spectral}}^E(\Delta \mathbf{r}) + g_{\text{spatial}}^E(\Delta \mathbf{r})$$

\downarrow
 Algebraic
convergence

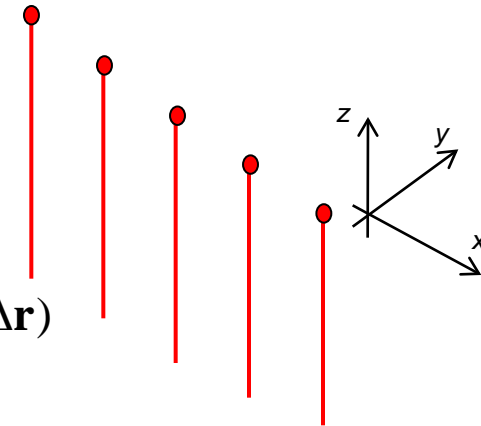
\downarrow
 Gaussian
convergence

\downarrow

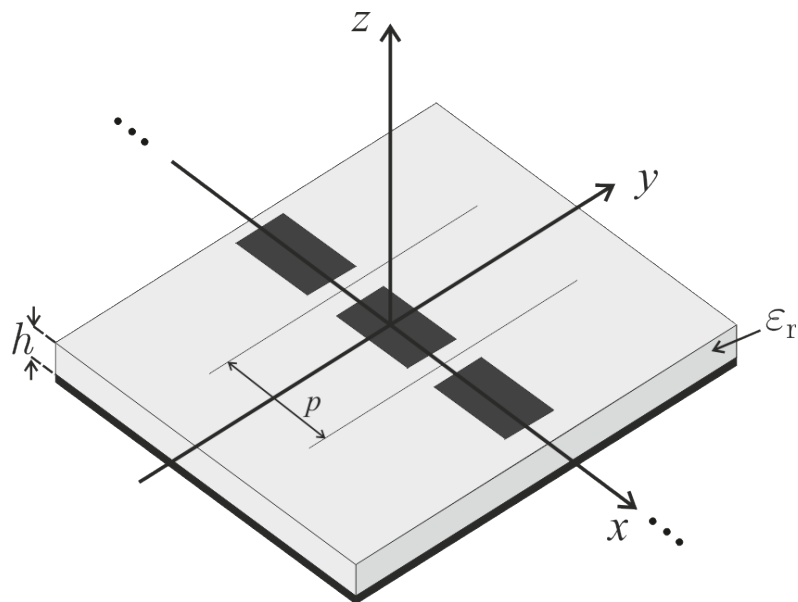


The terms extracted from the **vertical** components are Green’s functions for a **1-D array of half-line sources in free-space**. A modified Ewald approach has been developed to accelerate these series:

$$g^{z,p}(\Delta \mathbf{r}) = \int_{|\Delta z_i|}^{+\infty} g_{\text{spectral}}^E(\Delta \mathbf{r}) dz'' + \int_{|\Delta z_i|}^{+\infty} g_{\text{spatial}}^E(\Delta \mathbf{r}) dz'' = g_{\text{spectral}}^{z,E}(\Delta \mathbf{r}) + g_{\text{spatial}}^{z,E}(\Delta \mathbf{r})$$



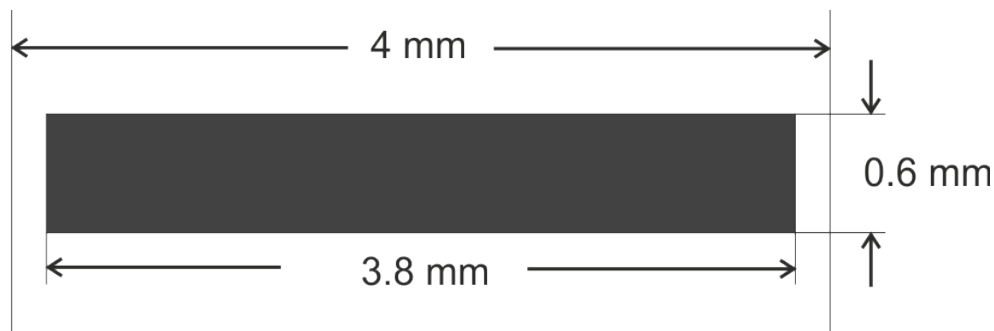
Gap-Coupled Periodic Microstrip line



$$\epsilon_r = 10.2$$

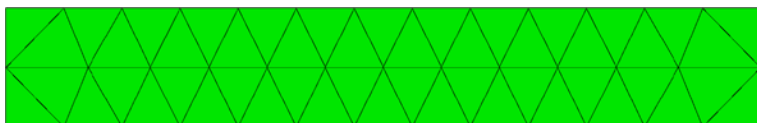
$$h = 0.762 \text{ mm (30 mil)}$$

$$p = 4 \text{ mm}$$



$$L = 3.8 \text{ mm} \quad w = 0.6 \text{ mm}$$

Eiger Mesh



PPS mesh

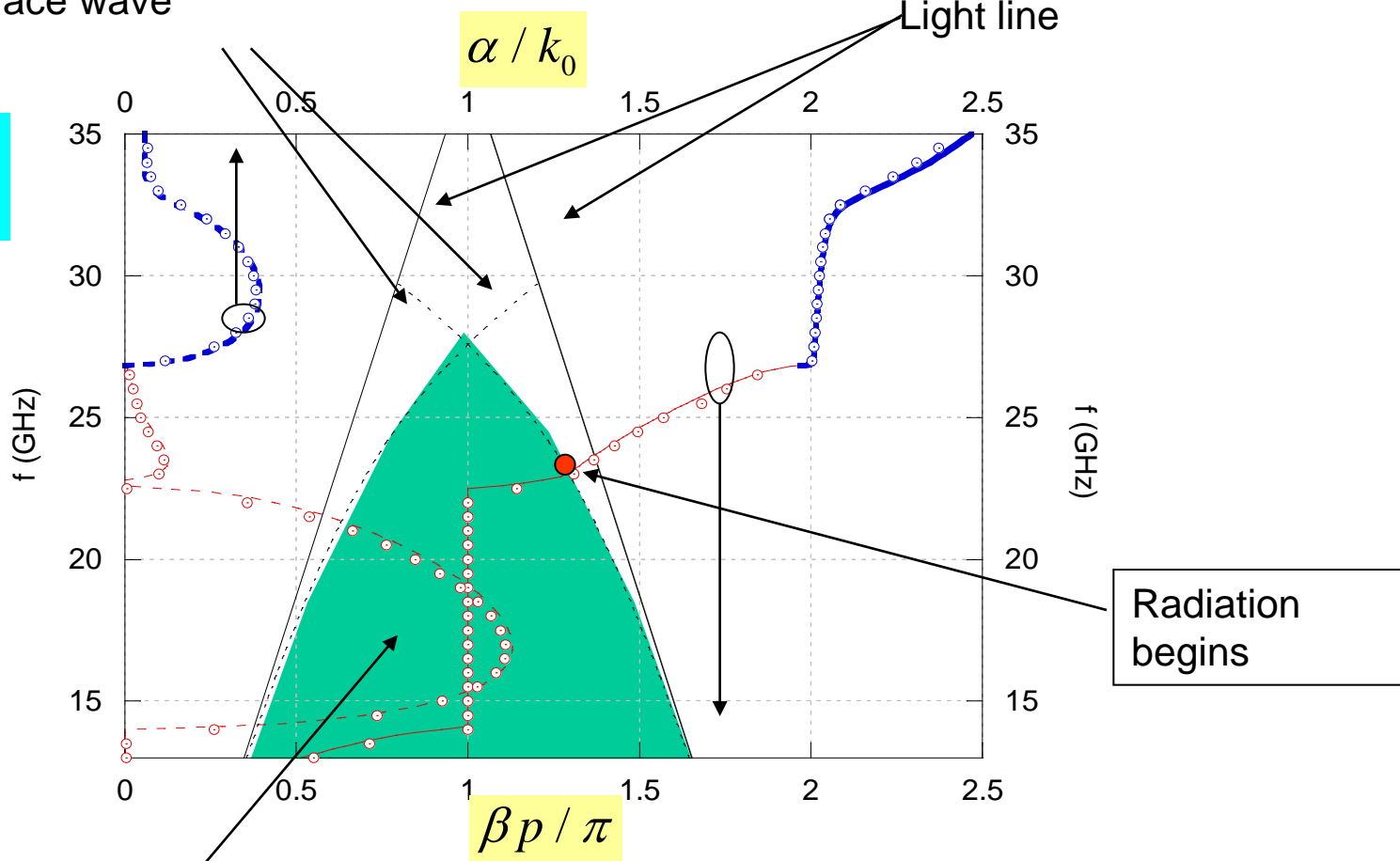


Validation for Gap-Coupled Periodic Microstrip line

TM₀ Surface wave

Light line

Results for
 $n = 0$ harmonic



Dots: Eiger

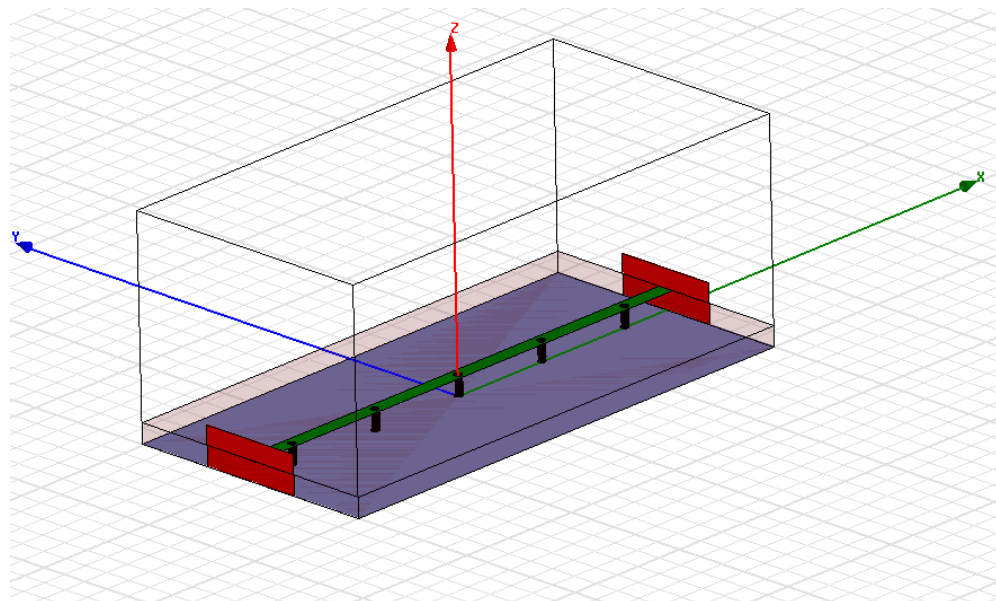
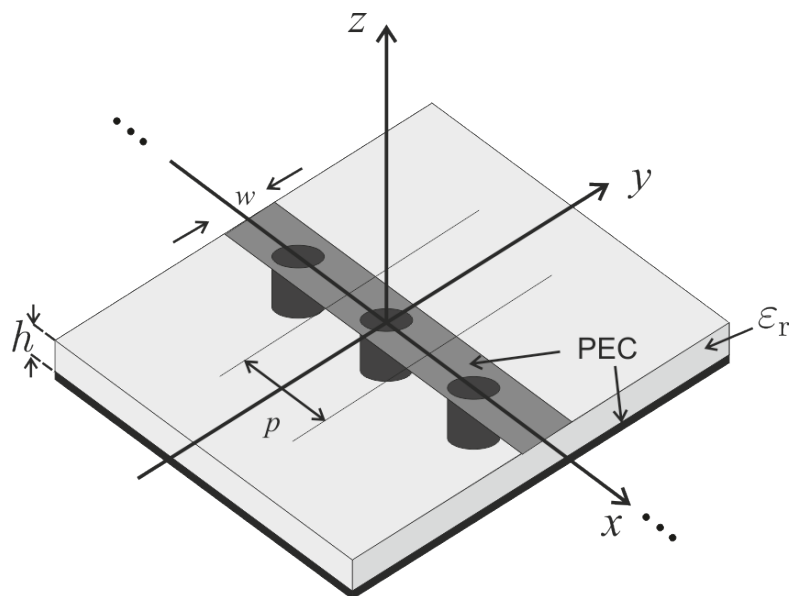
Lines: PPS

Bound-Mode Region

Blue lines: forward radiation from $n = -1$ (improper)

Red lines: backward radiation from $n = -1$ (proper)

Microstrip Periodically Loaded with Vertical Strips

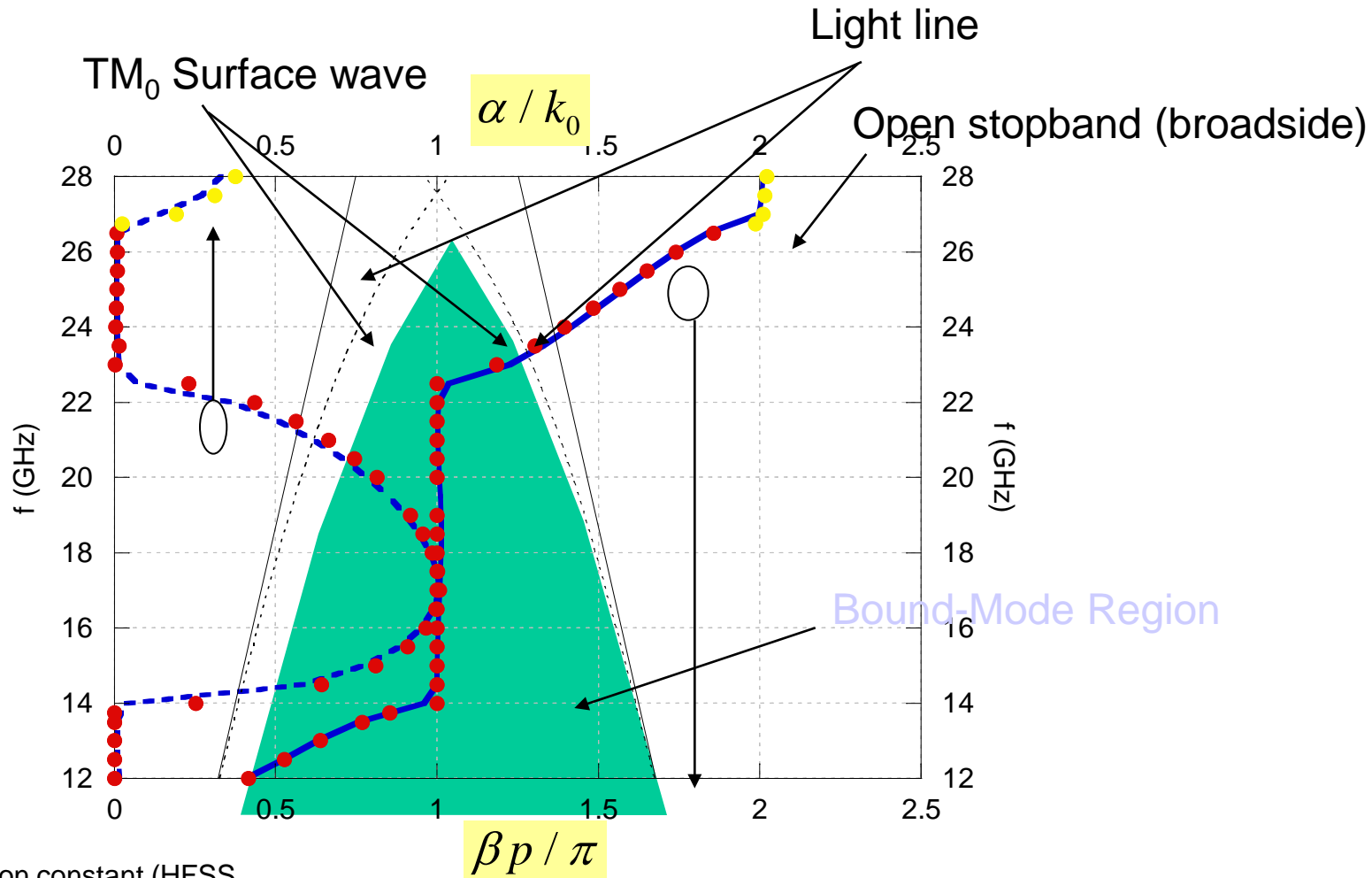


Approximate method:

G. Valerio, S. Paulotto, P. Baccarelli, P. Burghignoli, A. Galli, 'Sapienza' University of Rome, Italy, "Improving Modal Analysis of 1D-Periodic Lines Based on the Simulation of Finite Structures," in Proceedings of IEEE AP-S/URSI 2010/

The 5-cell structure analyzed with HFSS, with vertical PEC posts of radius $a = w/4$, where w is the width of the strip.

Microstrip Periodically Loaded with Vertical Strips



--- Attenuation constant (HFSS + Approximate Method)

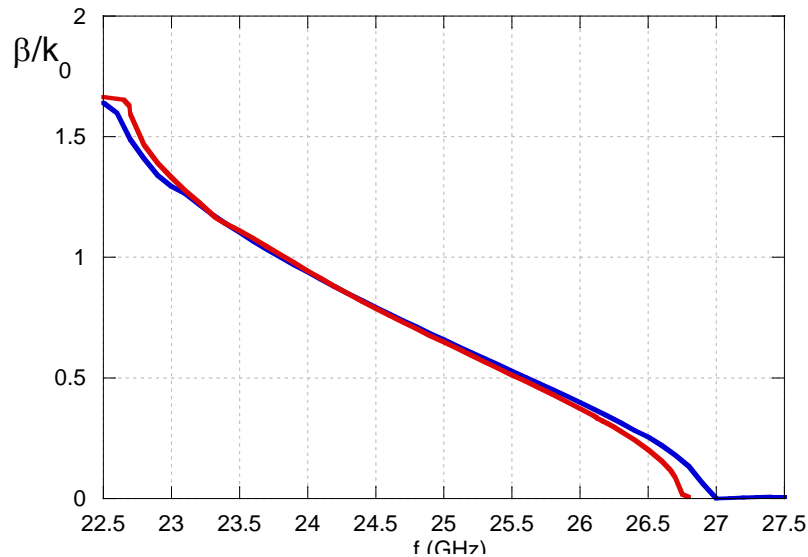
— Phase constant (HFSS + Approximate Method)

● EIGER improper solution (the approximate method is not able to distinguish the spectral character)

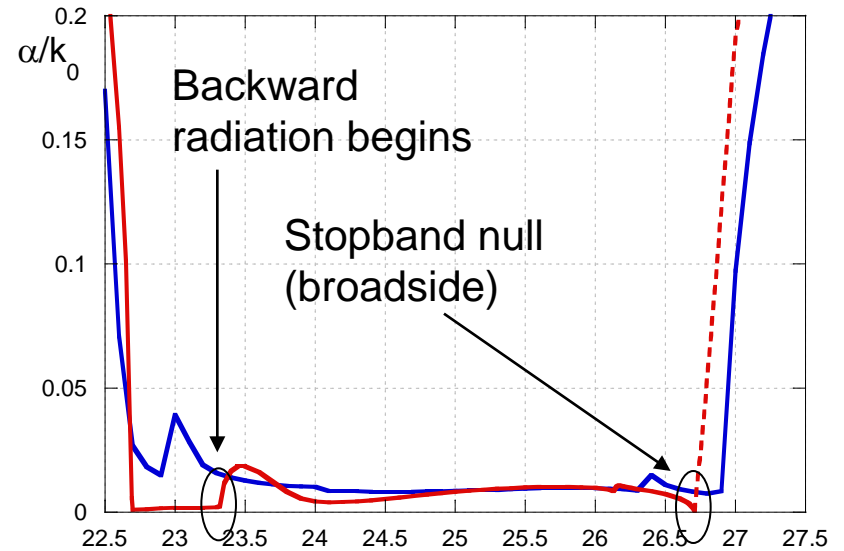
● EIGER proper solution

Microstrip Periodically Loaded with Vertical Strips

Zoom into the radiation region



f (GHz)



f (GHz)

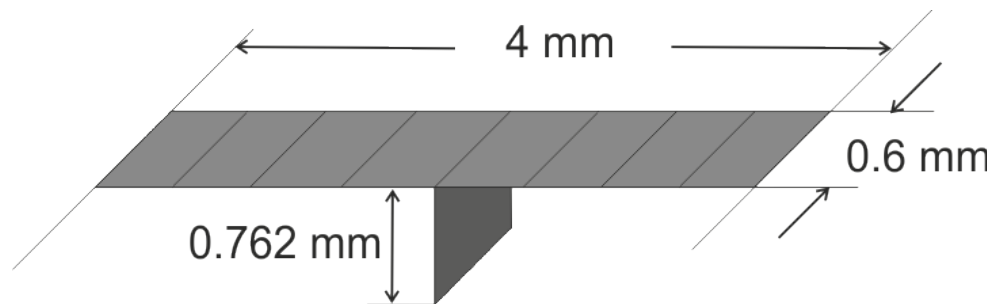
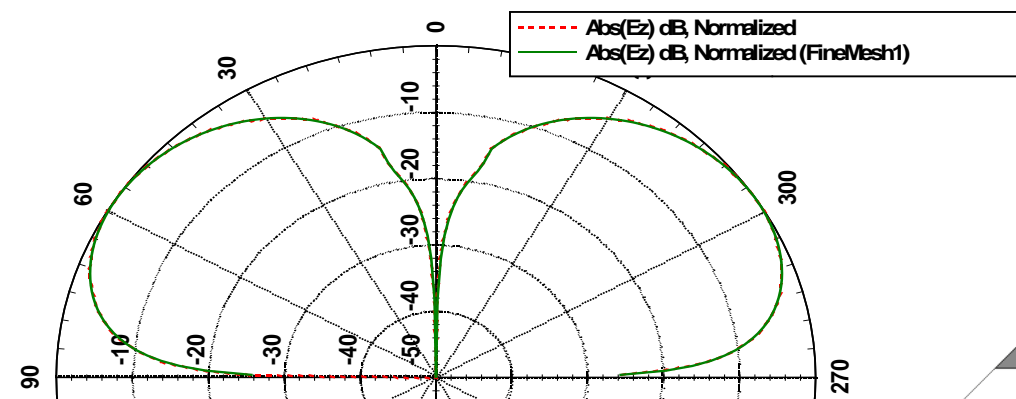
— HFSS + Approximate Method

— EIGER proper solution

- - - EIGER improper solution

Microstrip Periodically Loaded with Vertical Strips: Radiation Pattern

TeeStrip Rev1: Eplane



Picture of source needed

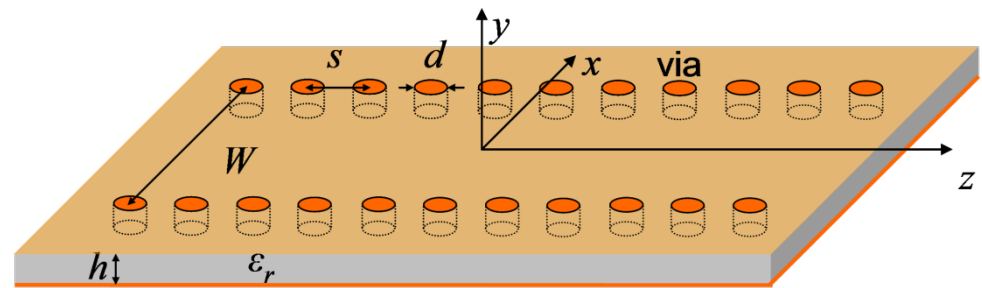
Dipole source failed to excite the leaky wave mode

Goal: Model Substrate Integrate Waveguide

- Substrate integrated waveguide (SIW) has been recently investigated for its significant advantages such as **low cost**, **low loss**, and **easy integration with planar circuits**.
- The SIW consists of a wide microstrip line that is shorted at the edges with conductive vias, acting as a **rectangular waveguide**[†].

$$k_z = \sqrt{k_0^2 \epsilon_r \mu_r - \left(\frac{m\pi}{W_e} \right)^2}$$

$$W_e = W - 1.08 \left(\frac{d^2}{s} \right) + 0.1 \left(\frac{d^2}{W} \right)$$



[†] F. Xu and K. Wu, IEEE T-MTT, vol. 53, no. 1, pp. 66-73, Jan. 2005

A First Step is to Model a Closed Waveguide

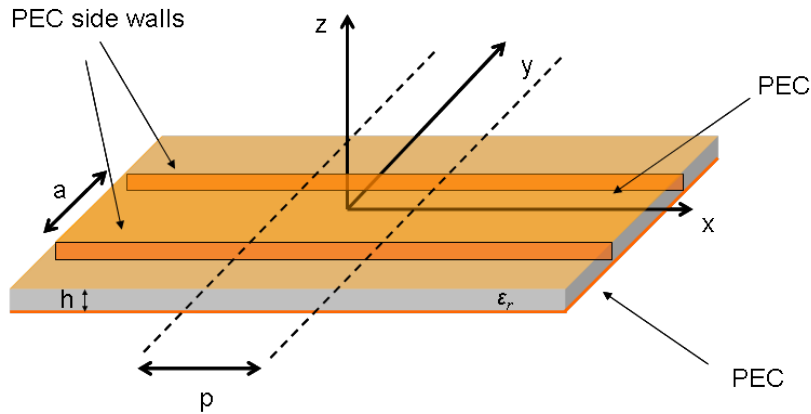
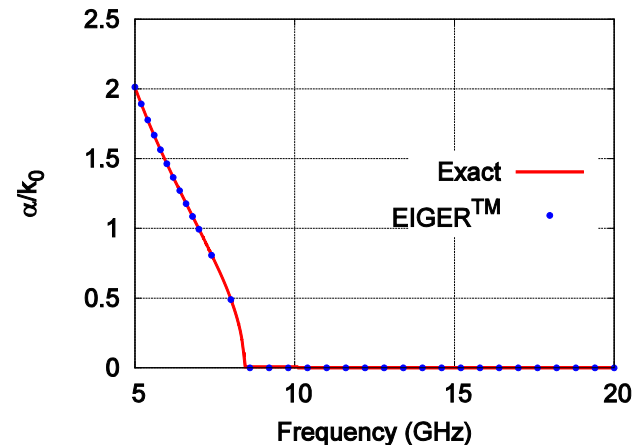
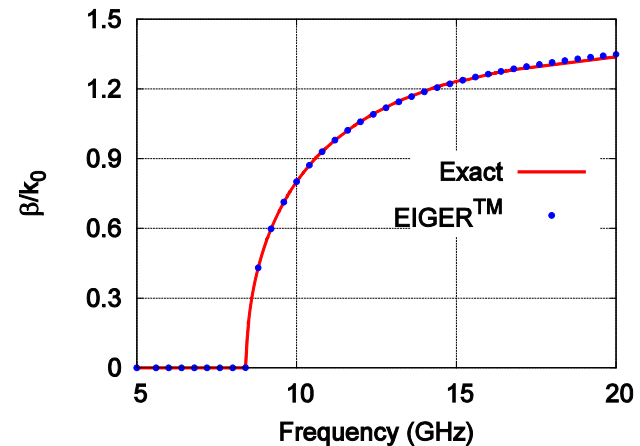
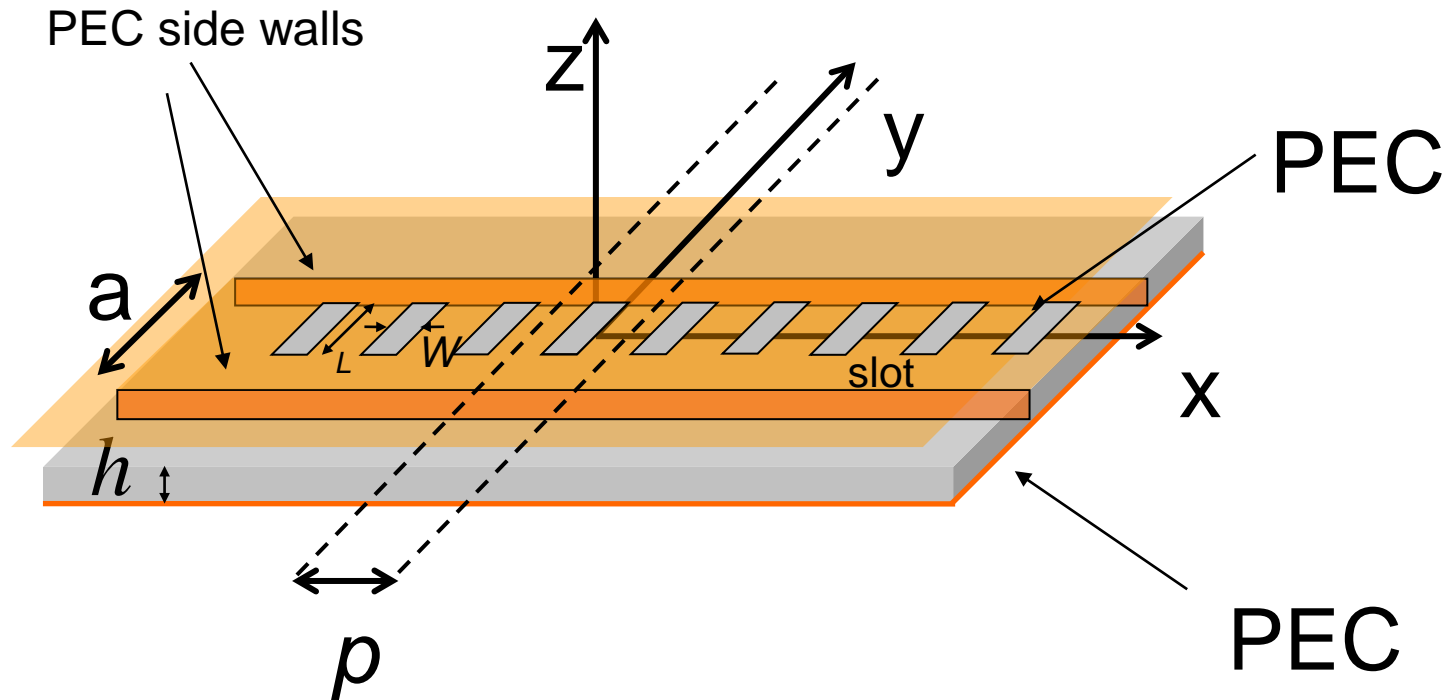


Figure 3: A dielectric-filled rectangular waveguide test case with dimensions a and h equal to 12 mm and 1.524 mm, respectively. The waveguide is filled with a dielectric having $\epsilon_r = 2.2$. An artificial 1-D periodic spacing of 3 mm has been used for the periodic Green's function.



A Next Step is to Model a Periodically Slotted Waveguide



1959

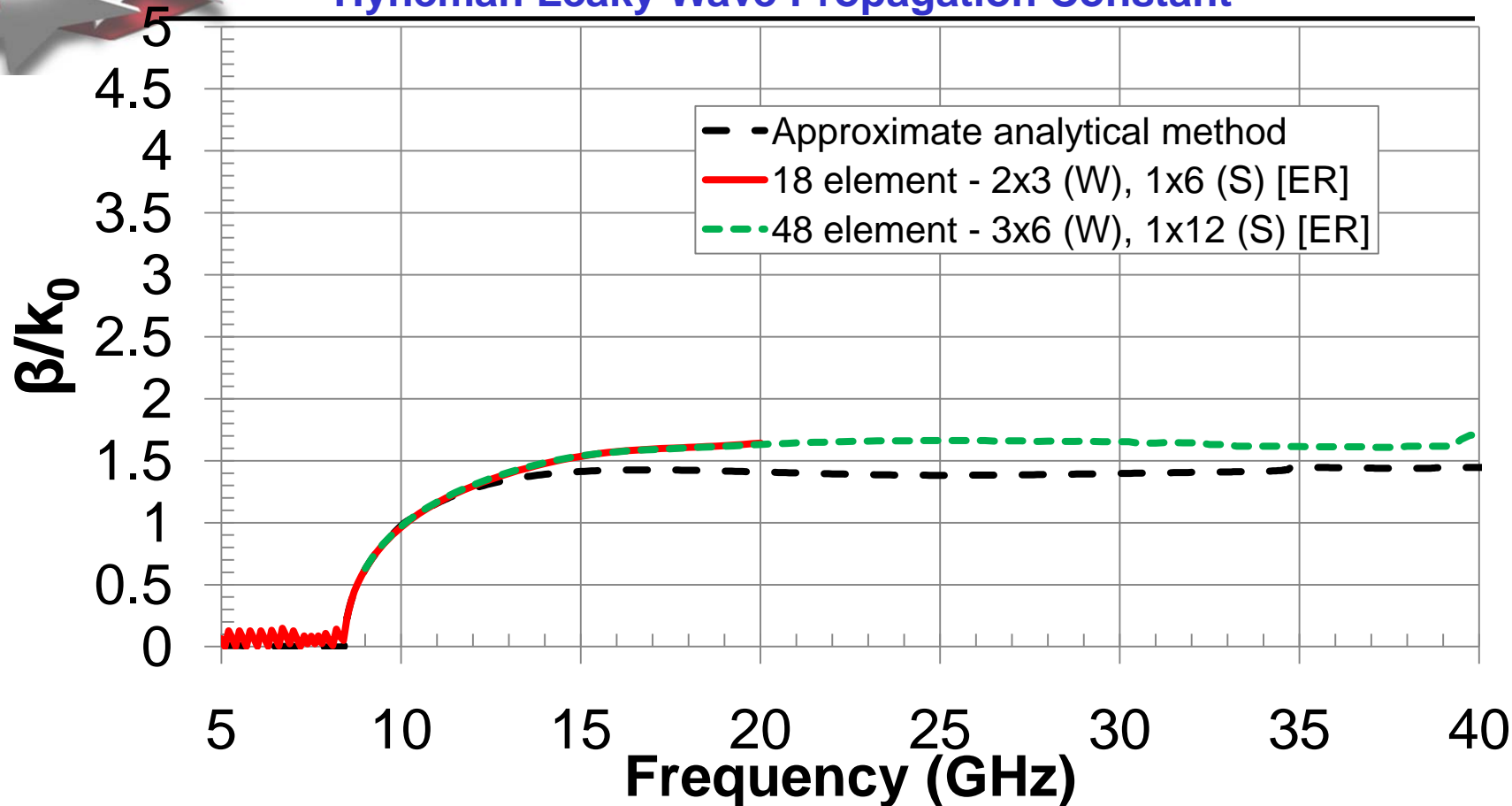
IRE TRANSACTIONS ON ANTENNAS AND PROPAGATION

335

Closely-Spaced Transverse Slots in Rectangular Waveguide*

RICHARD F. HYNEMAN†

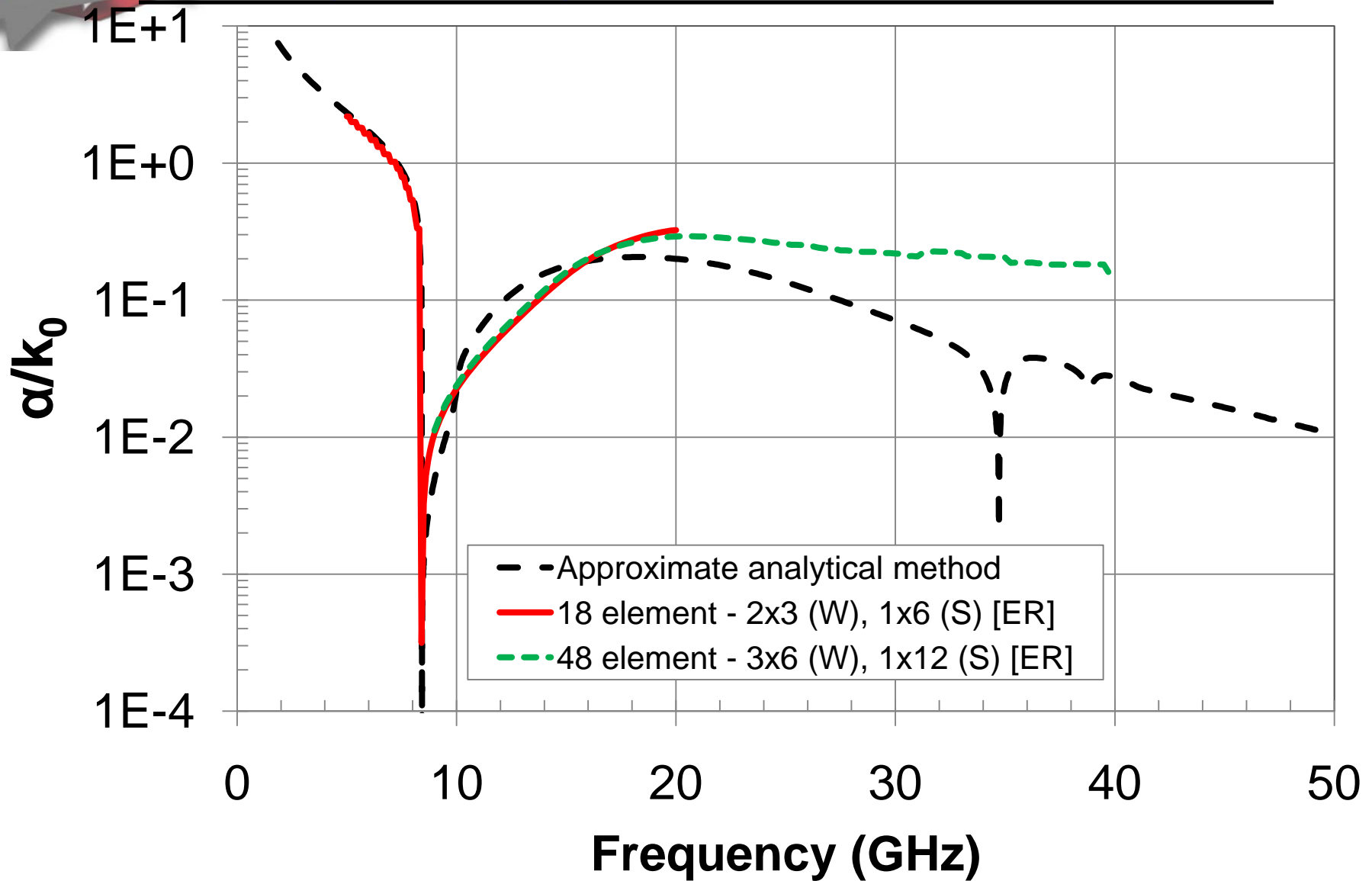
Hyneman Leaky Wave Propagation Constant



Approximate analytical method

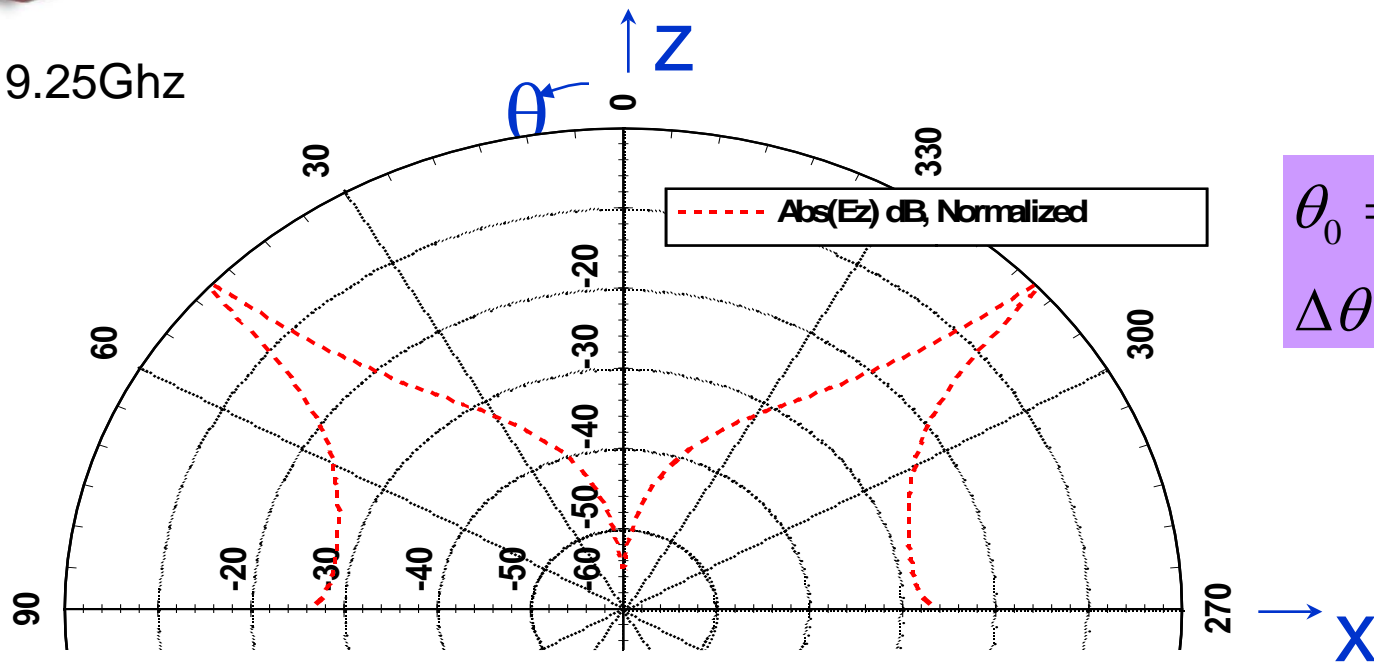
Investigation of Leaky-Wave Antenna Based on Dielectric-Filled Rectangular Waveguide with Transverse Slots," J. Liu, D. R. Jackson, and Y. Long, IEEE AP-S Intl. Symp., July 11-17, 2010, Toronto, Ontario, Canada (Symp. Digest)

Hyneman Leaky Wave Attenuation Constant



Slotted Waveguide: $\Phi=0$ Plane (\perp to Slot)

F = 9.25GHz



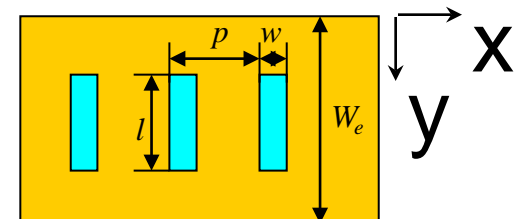
$$\theta_0 = 47.75^\circ$$

$$\Delta\theta = 1.4^\circ$$

$$\cos \theta_0 = \beta_x / k_0 = 0.672$$

$$\Delta\theta = 2 \frac{\alpha_x / k_0}{\cos \theta_0} \Rightarrow \alpha_x / k_0 = 0.0082$$

RWG



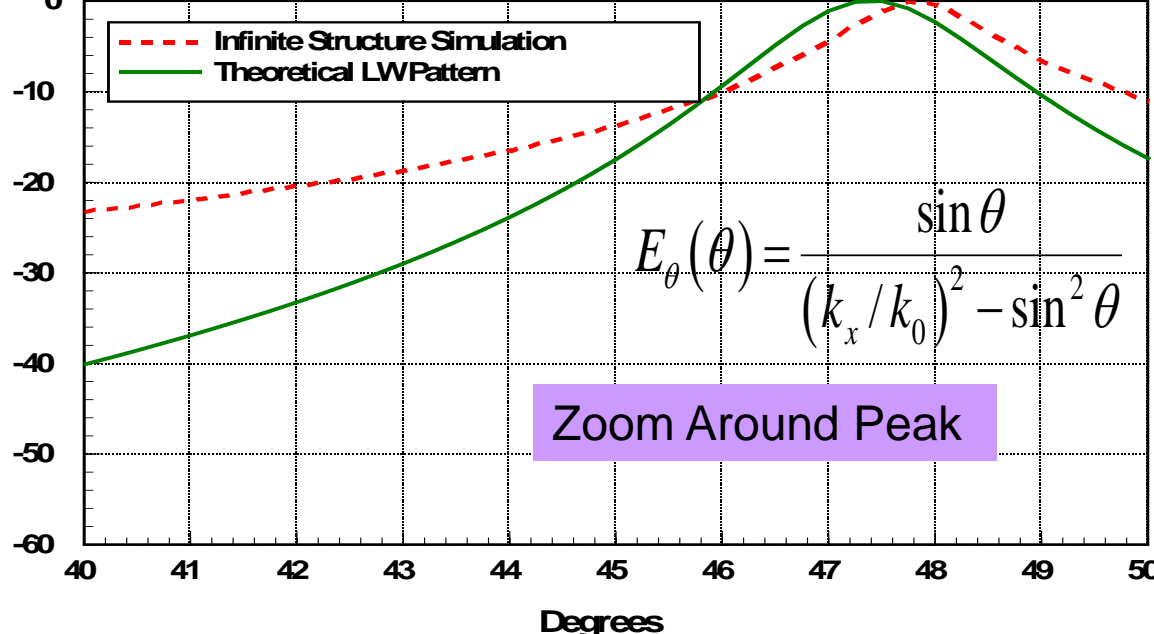
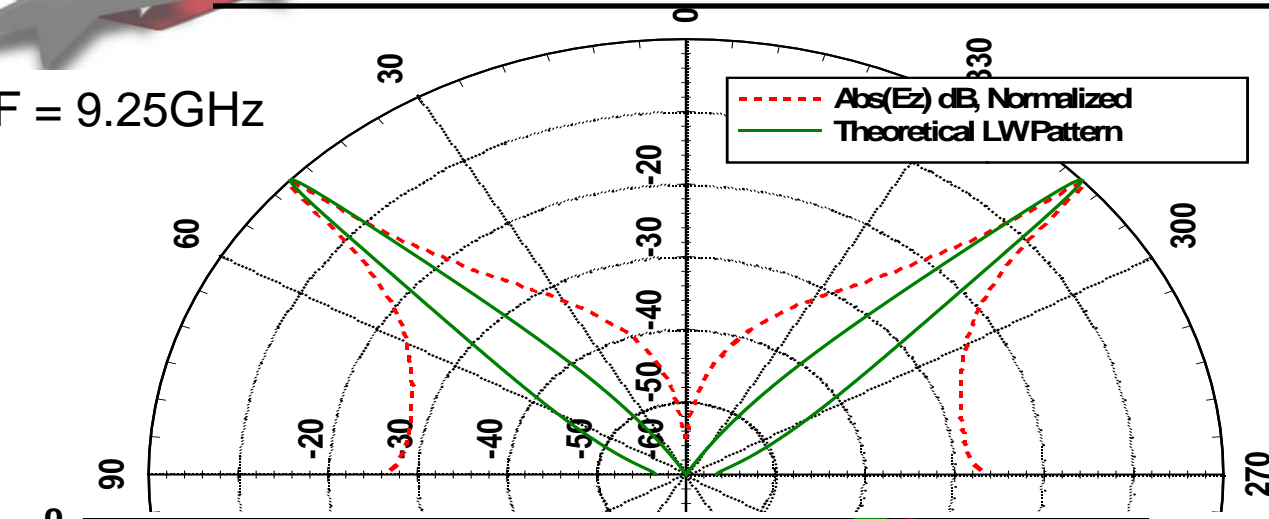
$$\epsilon_r = 2.2, h = 1.524 \text{ mm}$$

$$l = 6 \text{ mm}, w = 0.56 \text{ mm}, p = 3 \text{ mm}$$

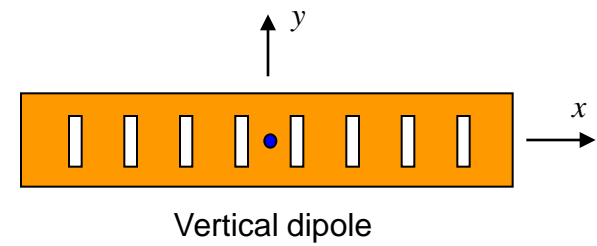
$$W_e = 12 \text{ mm}$$

Comparing Simulated Pattern to Theoretical LW Pattern

F = 9.25GHz



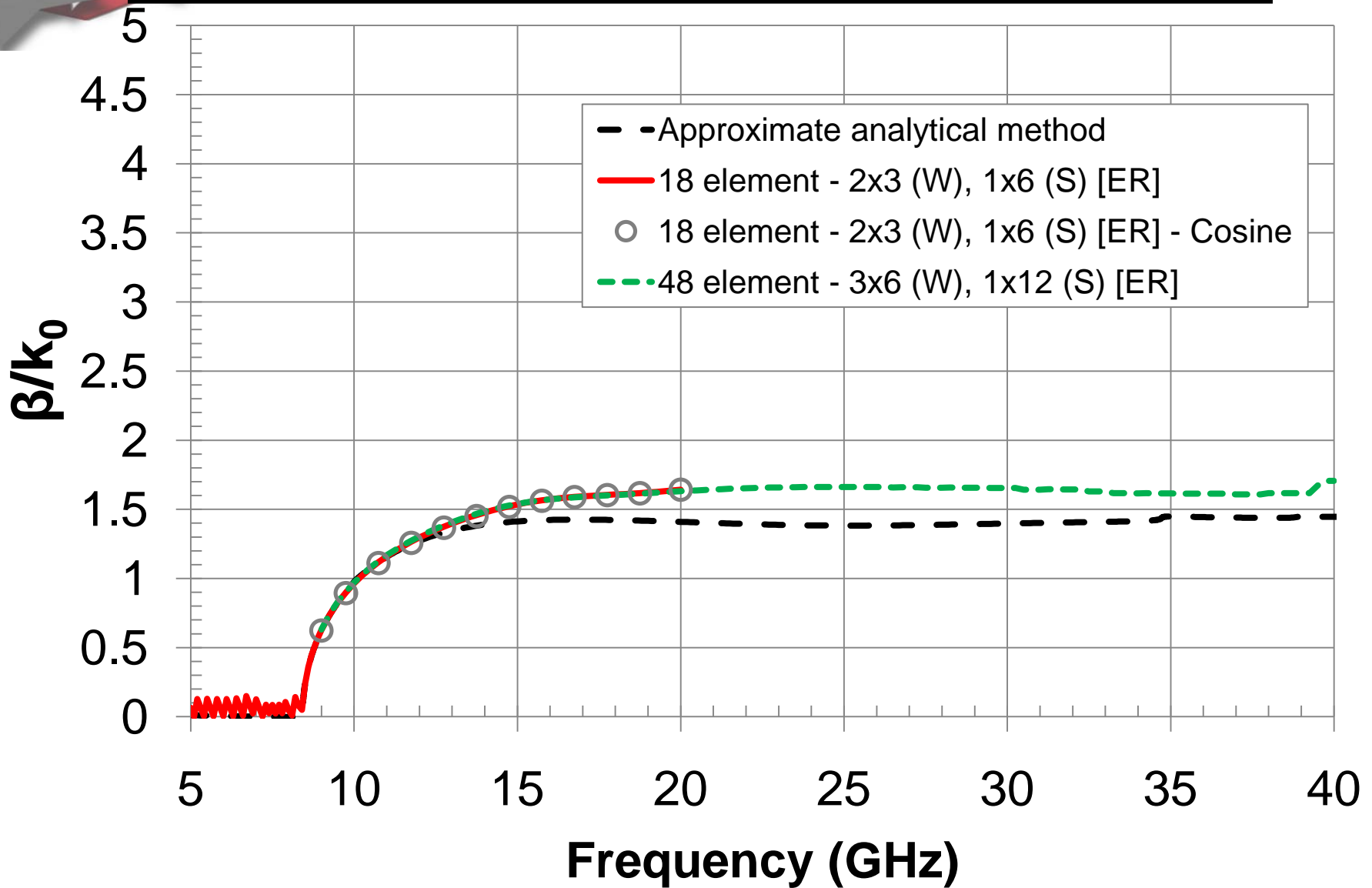
$$E_{\theta}(\theta) = \frac{\sin \theta}{(k_x/k_0)^2 - \sin^2 \theta}$$



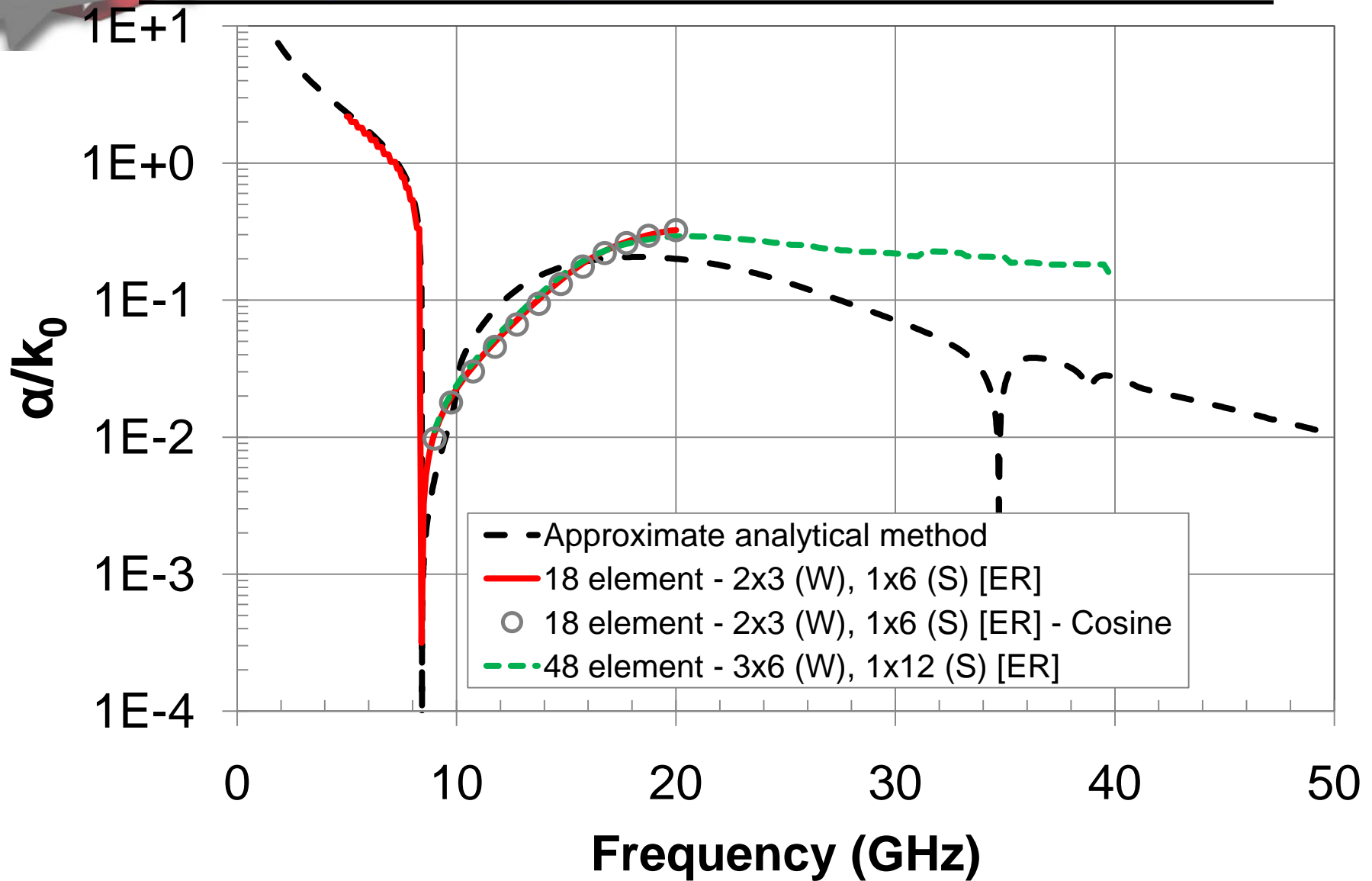
Theoretical LW Pattern

$$k_x = \beta - j\alpha$$

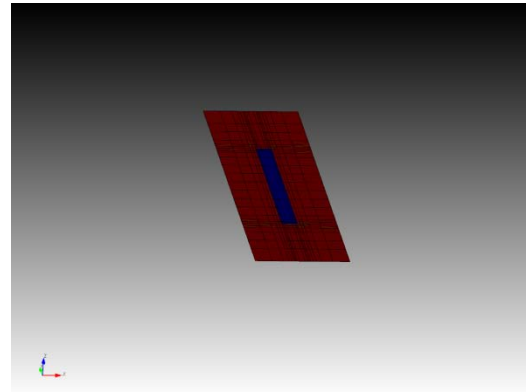
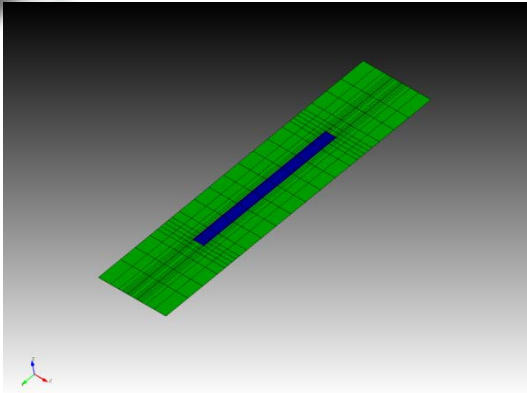
Hyneman Leaky Wave Propagation Constant



Hyneman Leaky Wave Attenuation Constant



A two dimensionally periodic test case



Field below .5 mm below center of slot

$E_x = (0.13875E-01, -0.72604E+00)$ slot only

$E_x = (-0.11165E+00, -0.51954E+00)$ metal and aperture

Note : We are running this now and hope to get a better answer



Summary

- Validated EIGER™ for modeling dispersion diagrams for planar, 1D periodic, leaky wave antennas
 - PPS (Periodic Planar Simulator) code from Sapienza Università di Roma
- Added the capability to model dispersion diagrams for fully 3D leaky wave antennas with
- Resolve the discrepancy between the approximate analytical method and EIGER™



Thank You!