

# Modeling of General 1-D Periodic Leaky-Wave Antennas in Layered Media using EIGER™

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# Introduction

**LWA Geometry:** Printed circuit lines with 1D periodic modulation or waveguides with a 1D array of periodic slots both with fully 3D currents over a grounded layered medium.

## Goals:

- Evaluate the dispersion diagrams for all the propagating modes: **bound (non-radiating) and leaky modes** to aid in leaky-wave antenna design.
- Direct calculation of corresponding radiation patterns.

Michalski formulation for layered media:

$$E(\mathbf{r}) = -j\omega \int_s \underline{\mathbf{G}}_A^p(\mathbf{r}, \mathbf{r}') \cdot \mathbf{J}(\mathbf{r}') ds' - \nabla \int_s K_\Phi^p(\mathbf{r}, \mathbf{r}') \nabla' \cdot \mathbf{J}(\mathbf{r}') ds'$$
$$- \nabla \int_s P_z^p(\mathbf{r}, \mathbf{r}') \mathbf{z}_0 \cdot \mathbf{J}(\mathbf{r}') ds' - \frac{1}{\epsilon} PV \int_s \nabla \times \underline{\mathbf{G}}_F^p(\mathbf{r}, \mathbf{r}') \cdot \mathbf{M}(\mathbf{r}') ds' \pm \frac{\mathbf{M}(\mathbf{r}')}{2} \delta_{\mathbf{r}, \mathbf{r}'}$$

$$H(\mathbf{r}) = \pm \frac{\mathbf{J}(\mathbf{r}')}{2} \delta_{\mathbf{r}, \mathbf{r}'} + \frac{1}{\mu} PV \int_s \nabla \times \underline{\mathbf{G}}_A^p(\mathbf{r}, \mathbf{r}') \cdot \mathbf{J}(\mathbf{r}') ds' - j\omega \int_s \underline{\mathbf{G}}_F^p(\mathbf{r}, \mathbf{r}') \cdot \mathbf{M}(\mathbf{r}') ds'$$
$$- \nabla \int_s Q_z^p(\mathbf{r}, \mathbf{r}') \mathbf{z}_0 \cdot \mathbf{M}(\mathbf{r}') ds' - \nabla \int_s K_\Psi^p(\mathbf{r}, \mathbf{r}') \nabla' \cdot \mathbf{M}(\mathbf{r}') ds'$$

K. A. Michalski and  
D. Zheng,  
“Electromagnetic  
scattering by sources  
of arbitrary shape in  
layered media, Part I:  
Theory,” *IEEE Trans.  
Antennas Propag.*,  
vol. 38, no. 3,  
pp.335-344, Mar.  
1990.



# The Complex Wave Numbers are Found from the Eigenvalues of the Integral Equation.

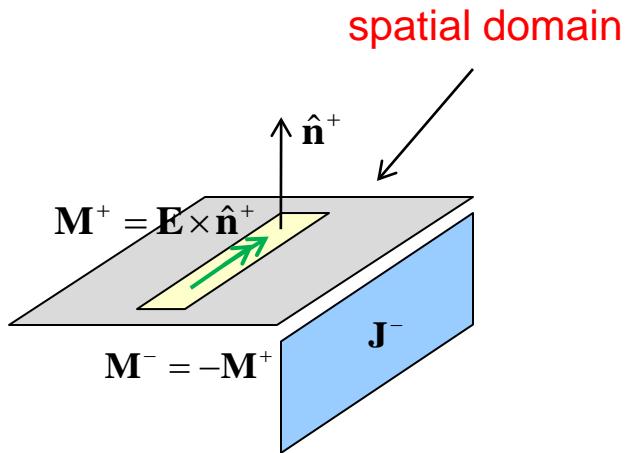
$$\mathbf{JA} = \sum_n I_n \quad \mathbf{MA} = \sum_n I_n^M$$

Metallic conductors (EFIE):

$$\mathbf{E}_{\tan}^{\alpha}(\mathbf{J}^{\alpha}, \mathbf{M}^{\alpha}) = 0, \alpha = \pm$$

Slots in pec ground planes:

$$[\hat{\mathbf{n}} \times \mathbf{H}^{\alpha}(\mathbf{J}^{\alpha}, \mathbf{M}^{\alpha})]_{\alpha=-}^{\alpha=+} = 0$$

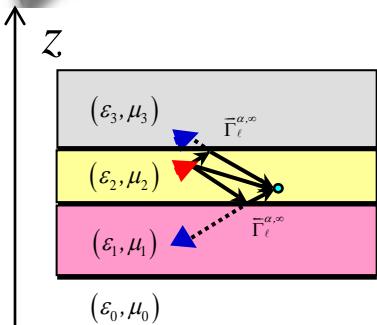


These equations can be effectively solved by means of the MoM in the spatial domain:

$$[\mathbf{Z}(k_{x0})][I_n] = [0] \quad \rightarrow \quad \det[\mathbf{Z}(k_{x0})] = 0$$

$$k_{x0} = \beta - j\alpha \quad \rightarrow \quad k_{xn} = k_{x0} + \frac{2\pi n}{p}$$

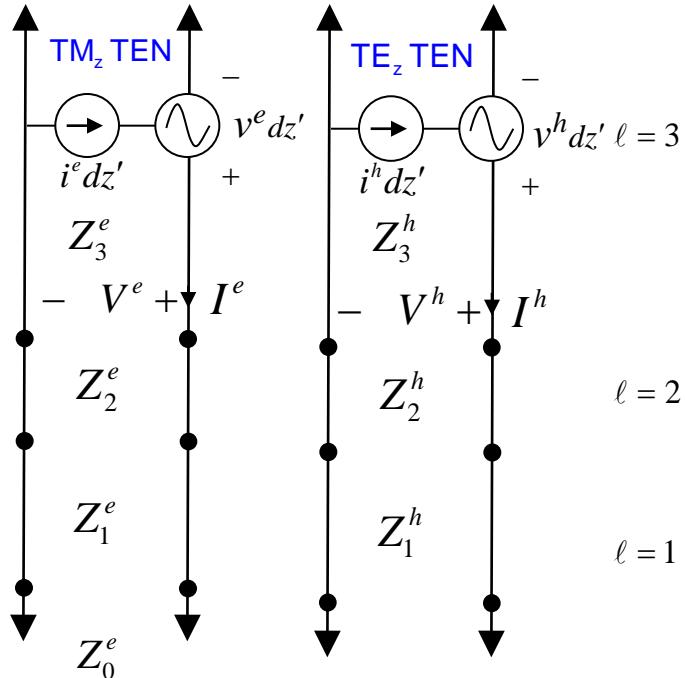
# Spectral Representation of Green's Functions



From the periodicity, the Green's functions

$$\begin{array}{lll} \mathbf{G}_A^p & K_\Phi^p & P_z^p \\ \mathbf{G}_F^p & K_\Psi^p & Q_z^p \end{array} \rightarrow G^p = \frac{1}{2\pi p} \sum_{n=-\infty}^{+\infty} e^{-jk_{x_n}\Delta x} \int_{-\infty}^{+\infty} \tilde{G}(k_{t_n}, z, z') e^{-jk_y\Delta y} dk_y$$

$$k_{t_n}^2 = k_{x_n}^2 + k_{y_n}^2$$



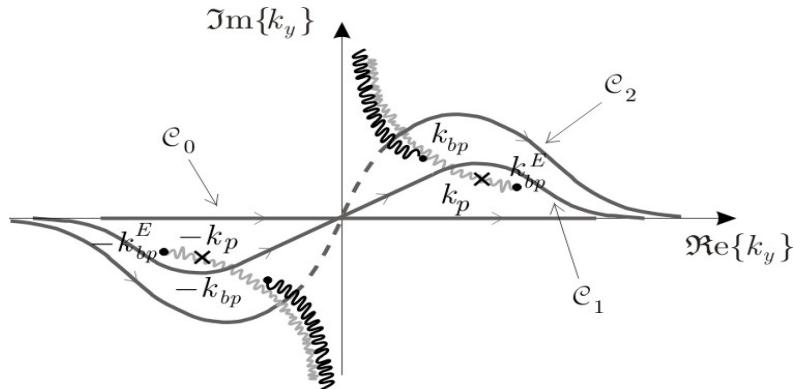
$$\tilde{K}_\Phi = \frac{V_I^h - V_I^e}{k_t^2}, \quad \tilde{P}_z = \frac{j\omega\mu_0\mu_r}{k_t} \frac{V_V^h - V_V^e}{k_t}$$

$$\mathbf{G}_A = \begin{pmatrix} \frac{V_I^h}{j\omega} & 0 & 0 \\ 0 & \frac{V_I^h}{j\omega} & 0 \\ \frac{j\omega\mu_0\mu_r(I_I^e - I_I^h)k_x}{k_t^2} & \frac{j\omega\mu_0\mu_r(I_I^e - I_I^h)k_y}{k_t^2} & \frac{\mu_0\mu_r I_V^e}{j\omega\epsilon_0\epsilon_r} \end{pmatrix}$$

# Integration Paths

$$G^p = \frac{1}{2\pi p} \sum_{n=-\infty}^{+\infty} e^{-jk_{x_n}\Delta x} \int_{-\infty}^{+\infty} \tilde{G}(k_{t_n}, z, z') e^{-jk_y\Delta y} dk_y$$

The **singularities of the integrand** are  
the singularities of the multilayered Green's functions  
plus the singularities of the extracted terms (homogeneous-medium problem).



# Asymptotic Extractions

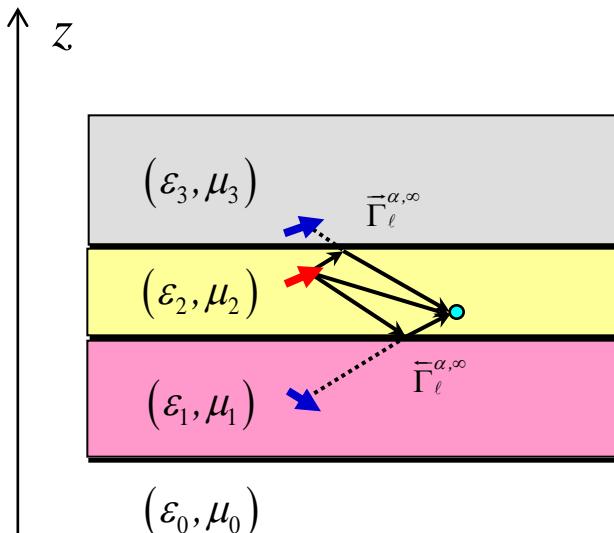
Depending on the component, different asymptotic behaviors are extracted.

$$G_{A,xx}^p \quad G_{A,yy}^p \quad K_{\Phi}^p \quad G_{A,zz}^p$$



$$G^p = \frac{1}{2\pi p} \sum_{n=-\infty}^{+\infty} \left\{ e^{-jk_{t_n}\Delta x} \int_{-\infty}^{+\infty} \left[ \tilde{G}(k_{t_n}, z, z') - \sum_{i=-1}^{+1} C_i \tilde{g}(k_{t_n}, \Delta z_i) \right] e^{-jk_y \Delta y} dk_y \right\} + \sum_{i=-1}^{+1} C_i g^p(\Delta \mathbf{r}_i)$$

Kummer extraction



Accelerated through Ewald

$$\tilde{g}(k_{t_n}, \Delta z) = \frac{e^{-jk_{z_n} |\Delta z|}}{2jk_{z_n}} \quad k_{z_n} = (k_s^2 - k_{t_n}^2)^{1/2}$$

$k_s = k$  of source layer

$$g^p(\Delta \mathbf{r}) = \sum_{n=-\infty}^{\infty} \frac{e^{-jk_s R_n}}{4\pi R_n} e^{-jnk_{x_0} p} \quad R_n = |\Delta \mathbf{r} - np\hat{\mathbf{x}}|$$

# Vertical Currents (1)

Different terms need to be extracted from the series for vertical currents

$$P_z^p = \frac{1}{2\pi p} \sum_{n=-\infty}^{+\infty} \left\{ e^{-jk_{x_n}\Delta x} \int_{-\infty}^{+\infty} \left[ \tilde{P}_z(k_{t_n}, z, z') - \sum_{i=-1}^{+1} \frac{C_i^z}{jk_z} \tilde{g}(k_{t_n}, \Delta z_i) \right] e^{-jk_y \Delta y} dk_y \right\} + \sum_{i=-1}^{+1} C_i^z g^{z,p}(\Delta \mathbf{r}_i)$$

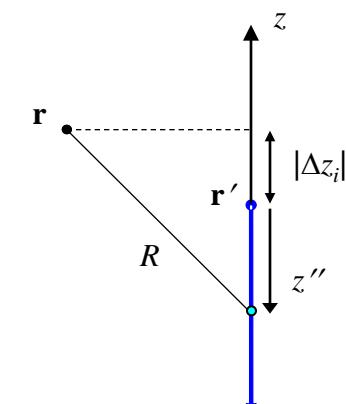
The extracted terms contain the extra factor

$$1/k_z$$

$$g^{z,p}(\Delta \mathbf{r}_i) = \int_{|\Delta z_i|}^{+\infty} g^p(\Delta x \hat{\mathbf{x}} + \Delta y \hat{\mathbf{y}} + z'' \hat{\mathbf{z}}) dz''$$

Accordingly, in the space domain a different homogeneous-medium Green's function must be used, that is of the form

This is the potential produced by a periodic “half-line source” that starts at a vertical distance  $|\Delta z_i|$  from the observation point and extends vertically to infinity.



# Vertical Currents (2)

Similar expressions can be obtained for the **nondiagonal** dyadic elements

$$G_{A,zx}^p \text{ and } G_{A,zy}^p$$

$$\boxed{G_{A,zv}^p} = \frac{1}{2\pi p} \sum_{n=-\infty}^{+\infty} \left\{ e^{-jk_{x_n}\Delta x} \int_{-\infty}^{+\infty} \left[ \tilde{G}_{A,zv}(k_{t_n}, z, z') - \sum_{i=-1}^{+1} C_i^{zv} \frac{k_v}{jk_z} \tilde{g}(k_{t_n}, \Delta z_i) \right] e^{-jk_y \Delta y} dk_y \right\} \\ + j \hat{\mathbf{v}} \cdot \sum_{i=-1}^{+1} \boxed{C_i^{zv} \nabla g^{z,p}(\Delta \mathbf{r}_i)}$$

where  $v = x, y, \hat{\mathbf{v}} = \hat{\mathbf{x}}, \hat{\mathbf{y}}$

The factors  $k_x$  and  $k_y$  appearing above the term  $k_z$  correspond to a differentiation with respect to  $x$  and to  $y$ , respectively, in the spatial domain, leading to the **gradient of the half-line source potential.**



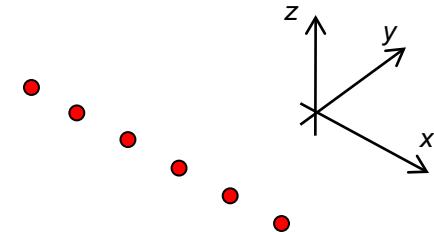
# Free-space Acceleration with Ewald

The terms extracted from the “**planar**” components are Green’s functions for a **1-D array of point sources in free-space**. They are summed back with the Ewald approach:

$$g^p(\Delta\mathbf{r}) = \sum_{n=-\infty}^{\infty} \frac{e^{-jk_s R_n}}{4\pi R_n} e^{-jnk_{x0} p} = g_{\text{spectral}}^E(\Delta\mathbf{r}) + g_{\text{spatial}}^E(\Delta\mathbf{r})$$

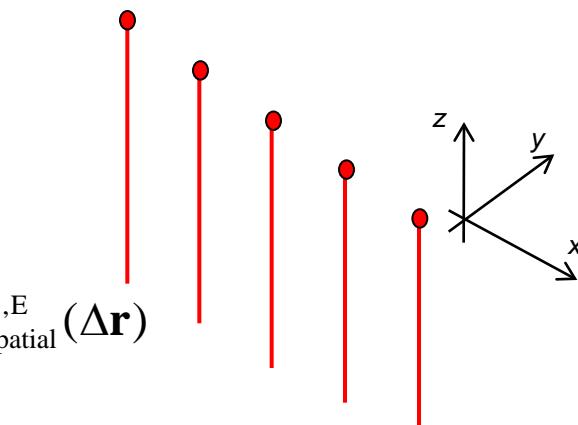
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Algebraic convergence            Gaussian convergence

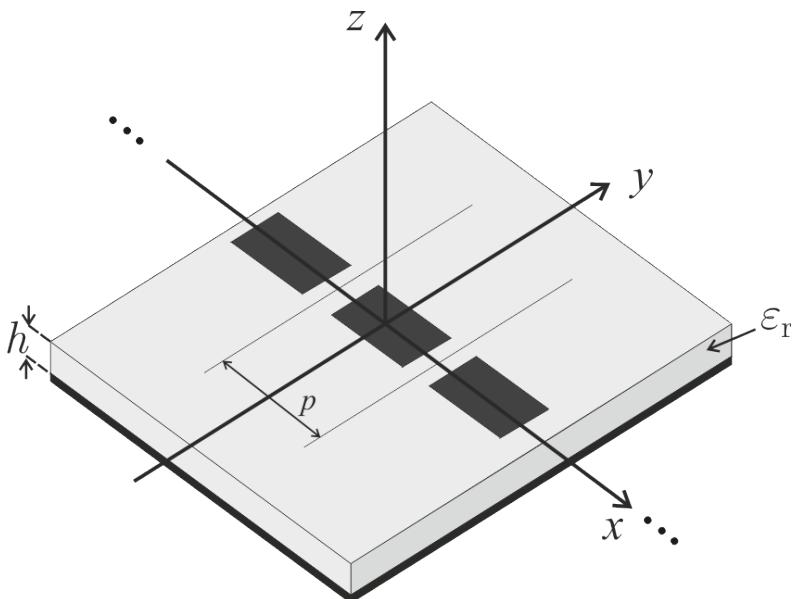


The terms extracted from the **vertical** components are Green’s functions for a **1-D array of half-line sources in free-space**. A modified Ewald approach has been developed to accelerate these series:

$$g^{z,p}(\Delta\mathbf{r}) = \int_{|\Delta z_i|}^{+\infty} g_{\text{spectral}}^E(\Delta\mathbf{r}) dz'' + \int_{|\Delta z_i|}^{+\infty} g_{\text{spatial}}^E(\Delta\mathbf{r}) dz'' = g_{\text{spectral}}^{z,E}(\Delta\mathbf{r}) + g_{\text{spatial}}^{z,E}(\Delta\mathbf{r})$$



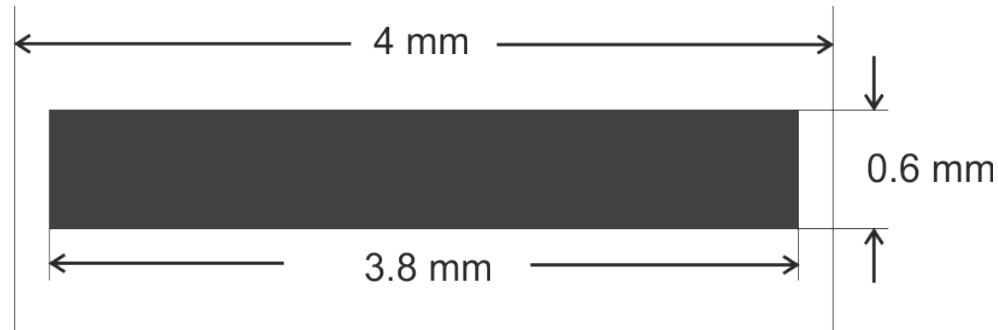
# Gap-Coupled Periodic Microstrip line



$$\epsilon_r = 10.2$$

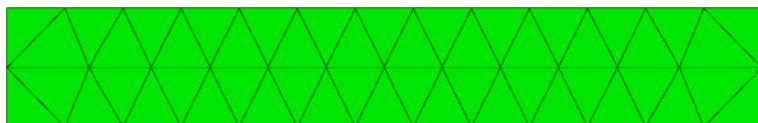
$$h = 0.762 \text{ mm (30 mil)}$$

$$p = 4 \text{ mm}$$



$$L = 3.8 \text{ mm} \quad w = 0.6 \text{ mm}$$

**PPS mesh**

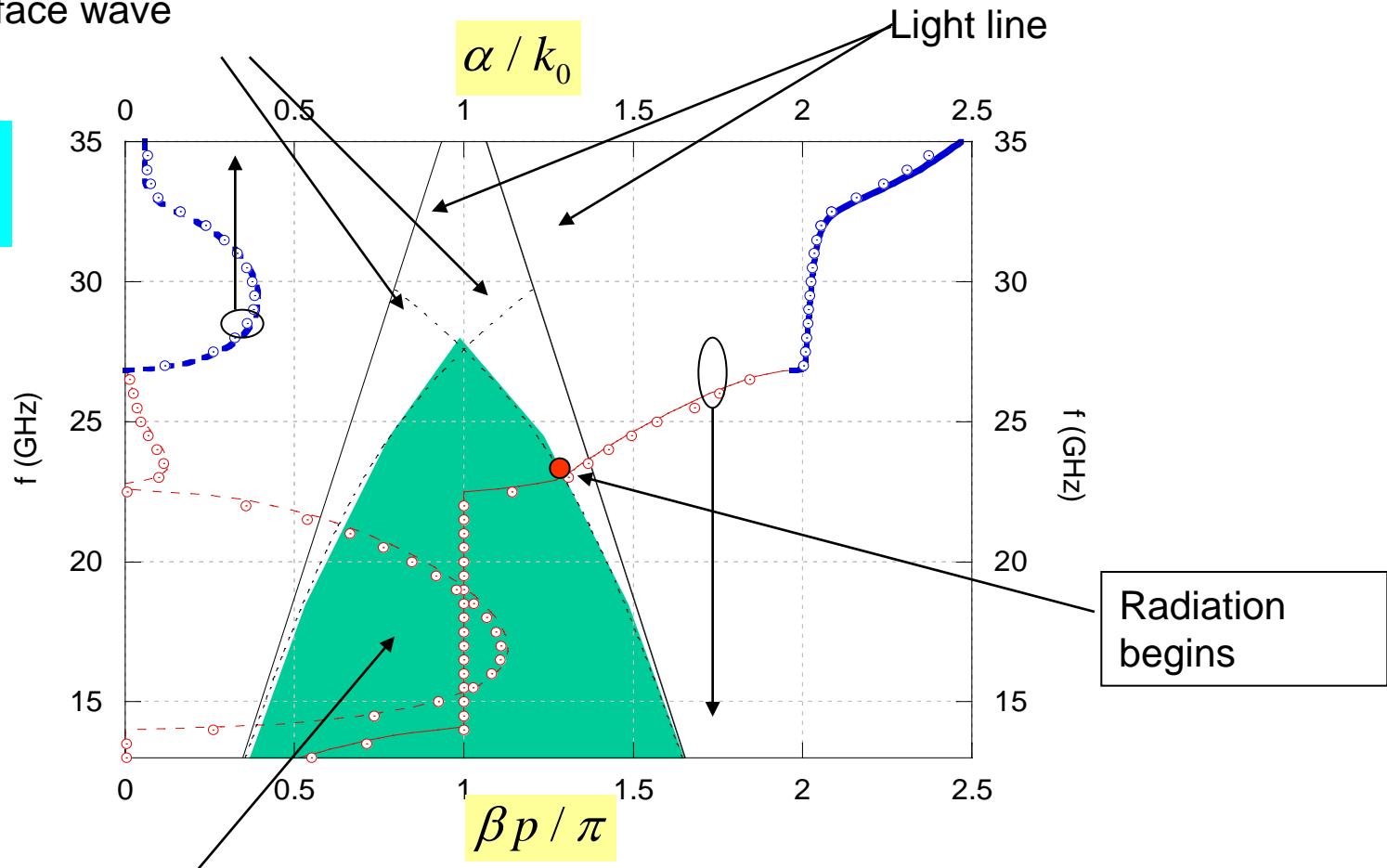


# Validation for Gap-Coupled Periodic Microstrip line

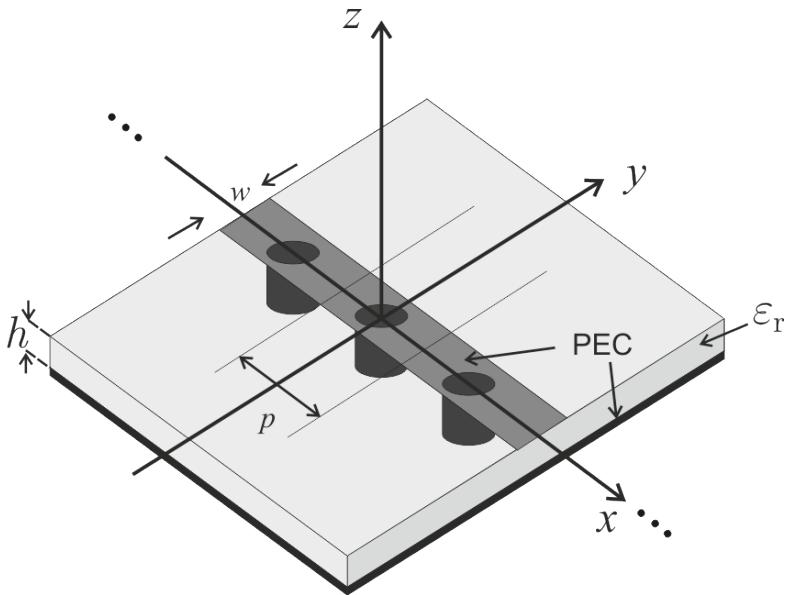
TM<sub>0</sub> Surface wave

Results for  
 $n = 0$  harmonic

Dots: Eiger  
Lines: PPS

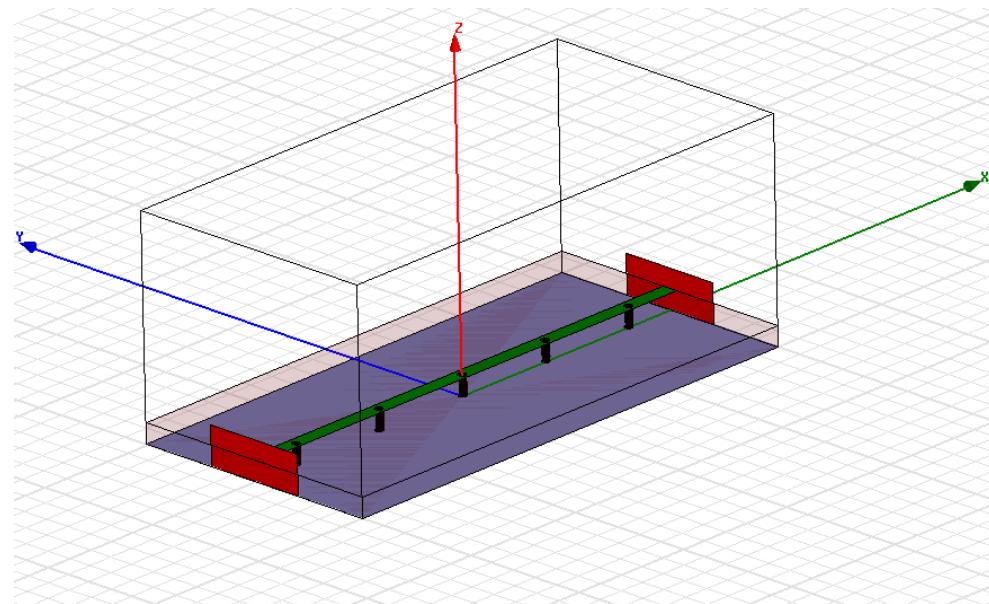


# Microstrip Periodically Loaded with Vertical Strips

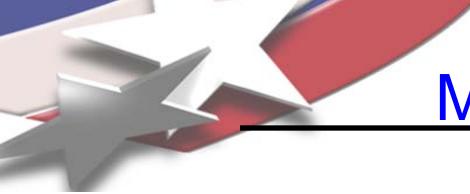


Approximate method:

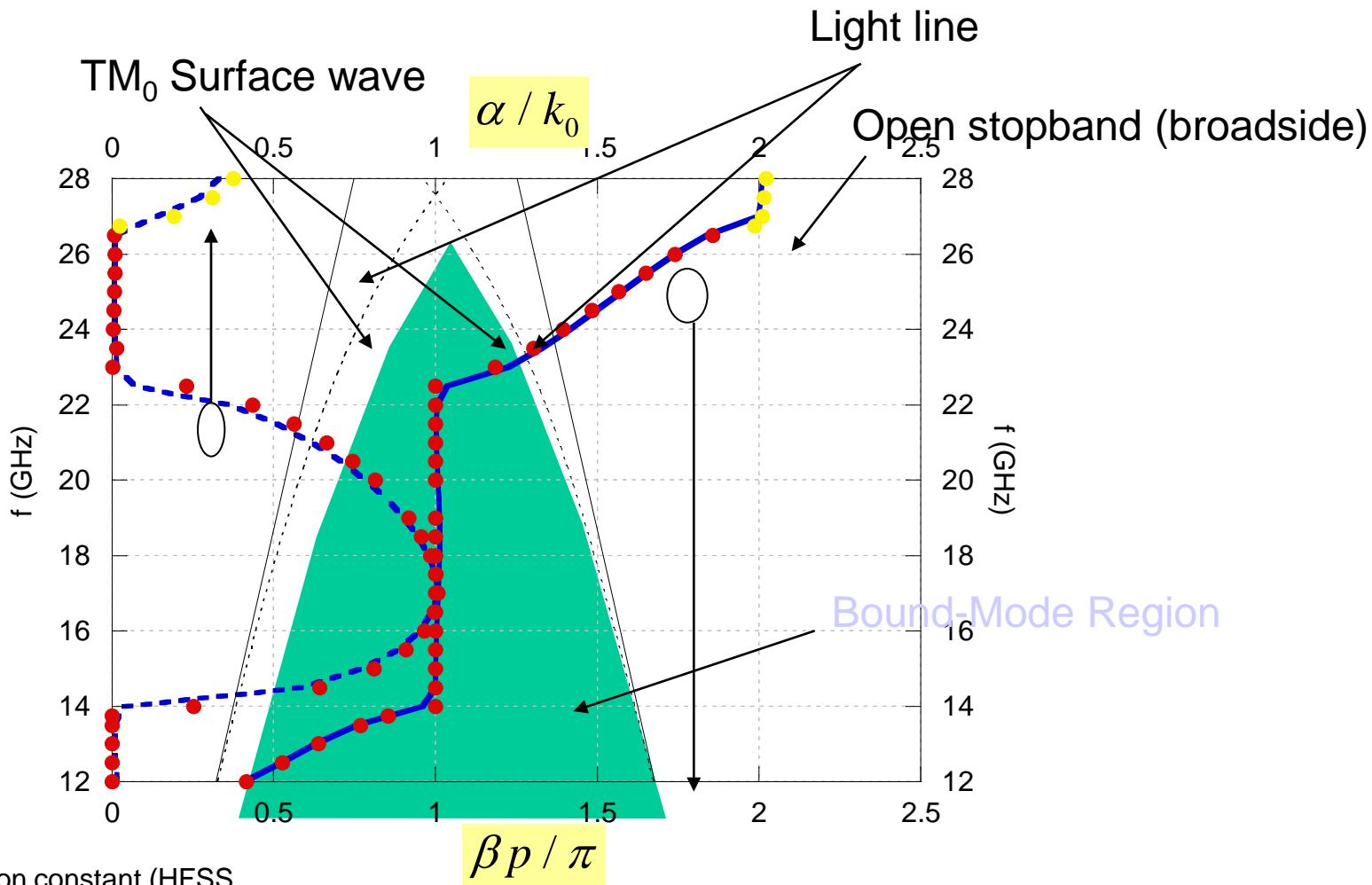
G. Valerio, S. Paulotto, P. Baccarelli, P. Burghignoli, A. Galli, 'Sapienza' University of Rome, Italy, **'Improving Modal Analysis of 1D-Periodic Lines Based on the Simulation of Finite Structures,"** in Proceedings of IEEE AP-S/URSI 2010/



The 5-cell structure analyzed with HFSS, with vertical PEC posts of radius  $a = w/4$ , where  $w$  is the width of the strip.

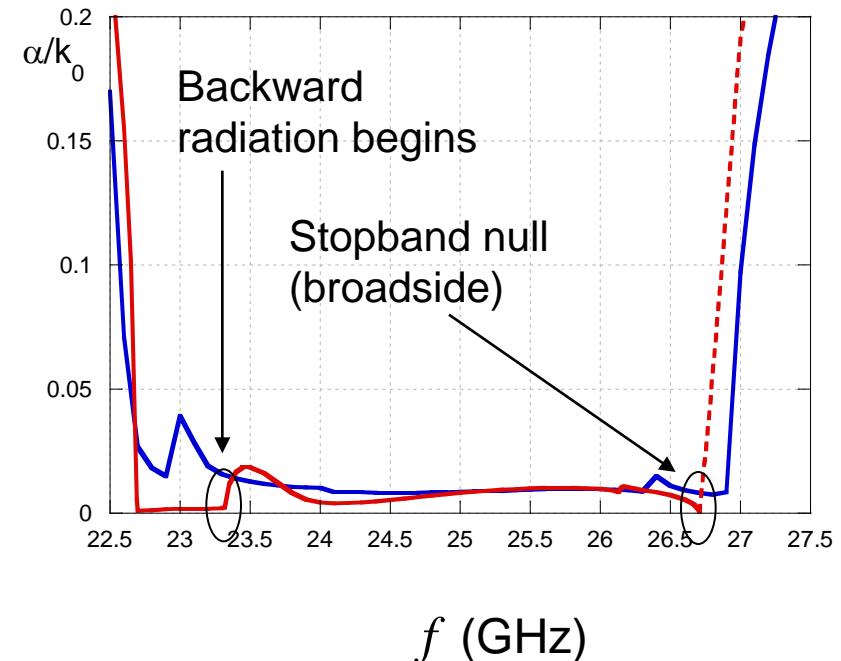
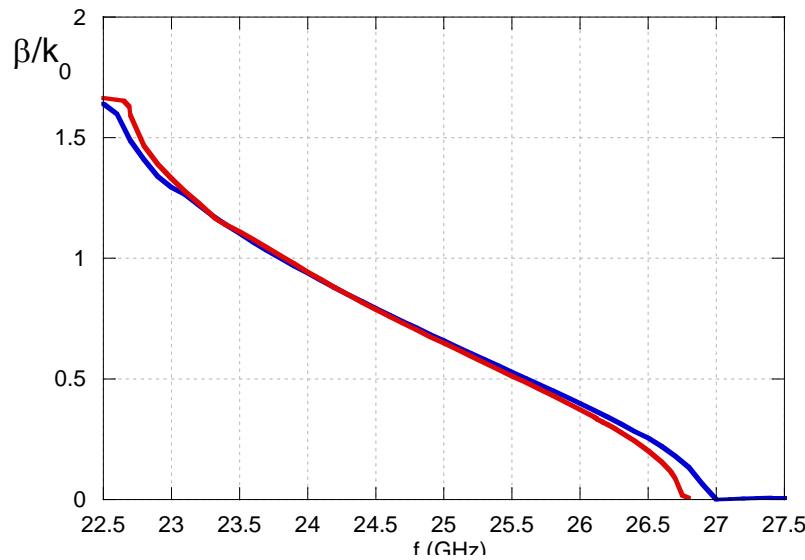


# Microstrip Periodically Loaded with Vertical Strips



# Microstrip Periodically Loaded with Vertical Strips

Zoom into the radiation region



HFSS + Approximate  
Method

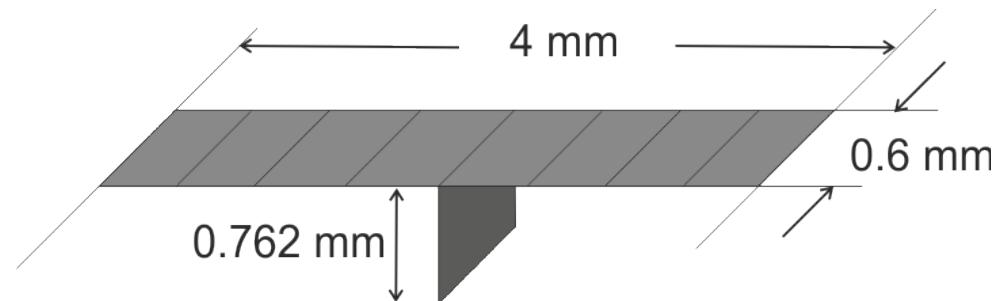
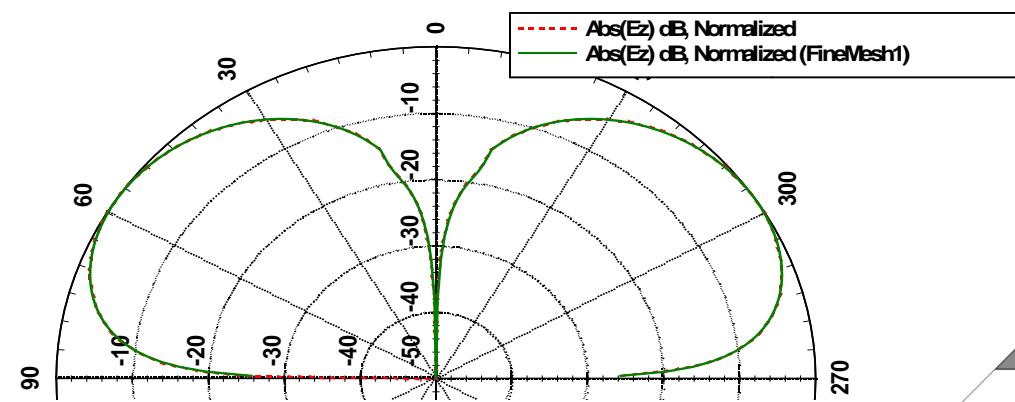


EIGER proper solution



EIGER improper solution

TeeStrip Rev1: Eplane



Picture of source needed

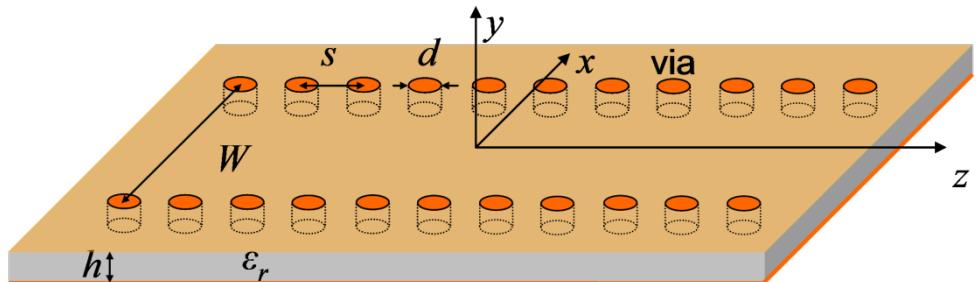
Dipole source failed to excite the leaky wave mode

# Goal: Model Substrate Integrate Waveguide

- Substrate integrated waveguide (SIW) has been recently investigated for its significant advantages such as **low cost**, **low loss**, and **easy integration with planar circuits**.
- The SIW consists of a wide microstrip line that is shorted at the edges with conductive vias, acting as a **rectangular waveguide** <sup>†</sup>.

$$k_z = \sqrt{k_0^2 \epsilon_r \mu_r - \left( \frac{m\pi}{W_e} \right)^2}$$

$$W_e = W - 1.08 \left( \frac{d^2}{s} \right) + 0.1 \left( \frac{d^2}{W} \right)$$



<sup>†</sup> F. Xu and K. Wu, IEEE T-MTT, vol. 53, no. 1, pp. 66-73, Jan. 2005

# A First Step is to Model a Closed Waveguide

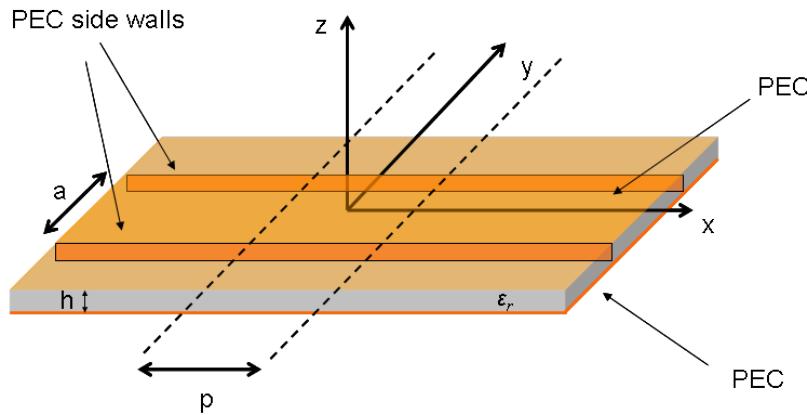
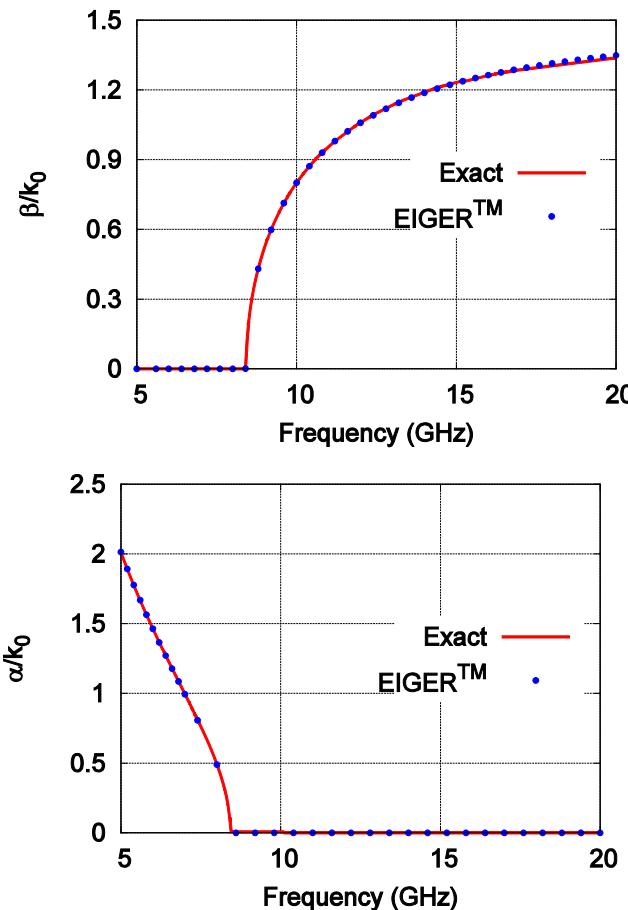
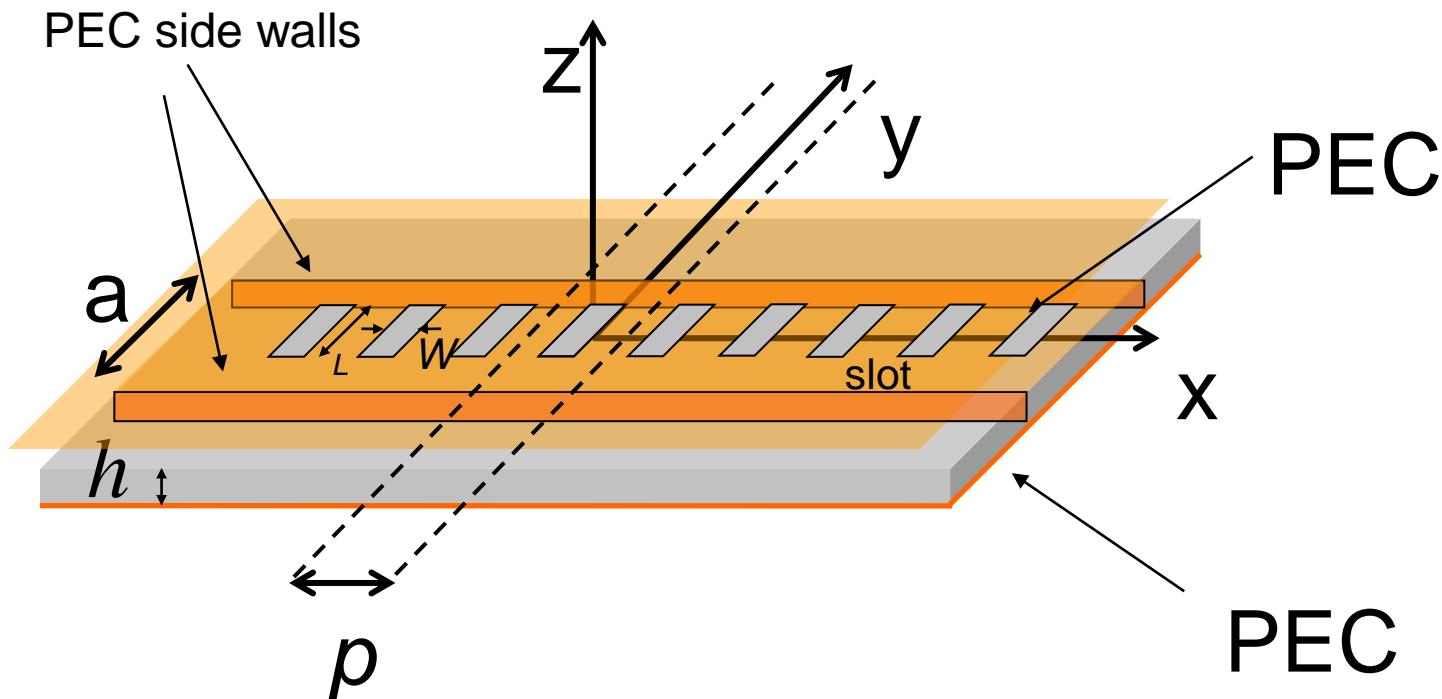


Figure 3: A dielectric-filled rectangular waveguide test case with dimensions  $a$  and  $h$  equal to 12 mm and 1.524 mm, respectively. The waveguide is filled with a dielectric having  $\epsilon_r = 2.2$ . An artificial 1-D periodic spacing of 3 mm has been used for the periodic Green's function.



## A Next Step is to Model a Periodically Slotted Waveguide



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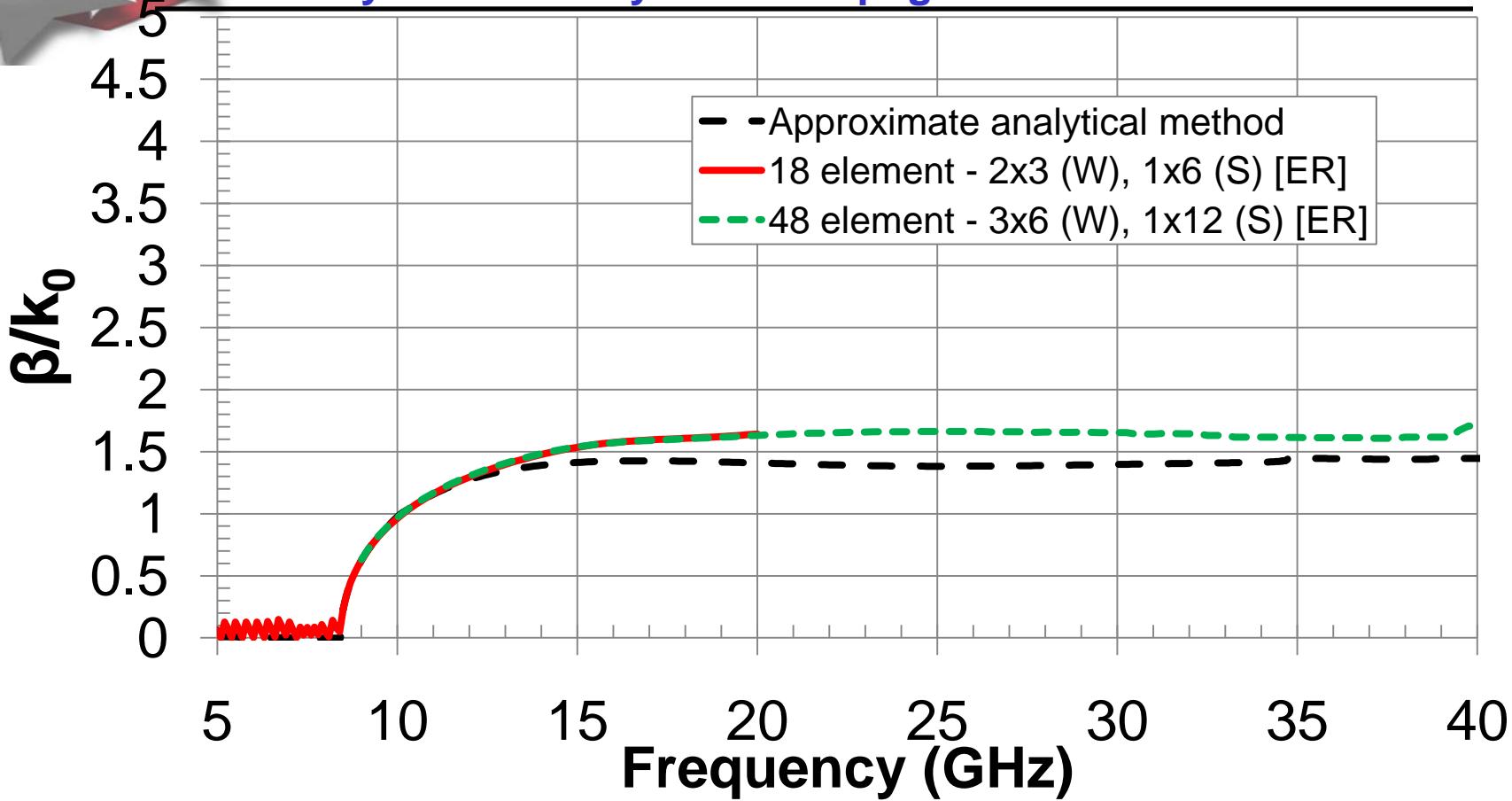
IRE TRANSACTIONS ON ANTENNAS AND PROPAGATION

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### Closely-Spaced Transverse Slots in Rectangular Waveguide\*

RICHARD F. HYNEMAN†

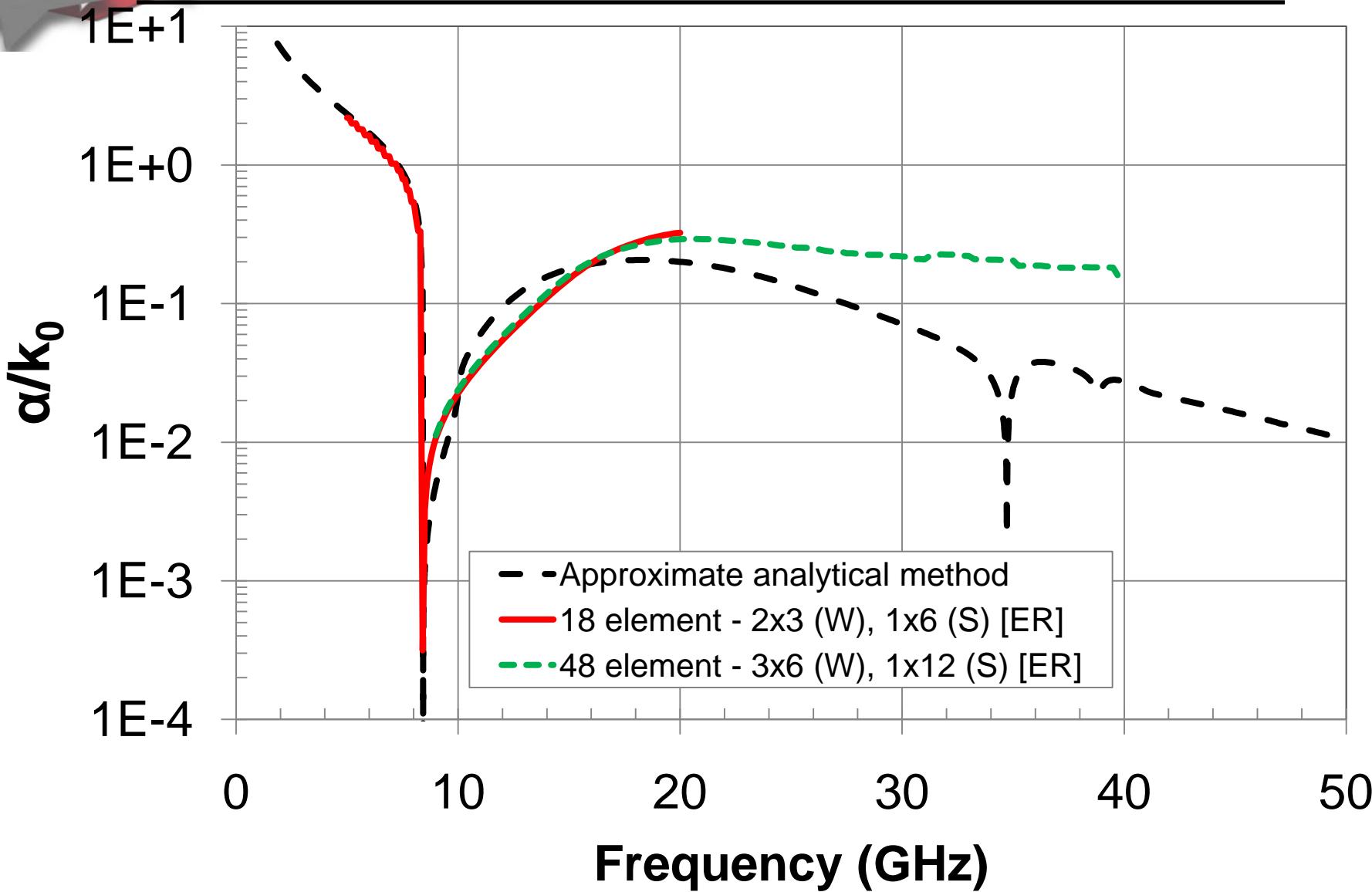
# Hyneman Leaky Wave Propagation Constant



Approximate analytical method

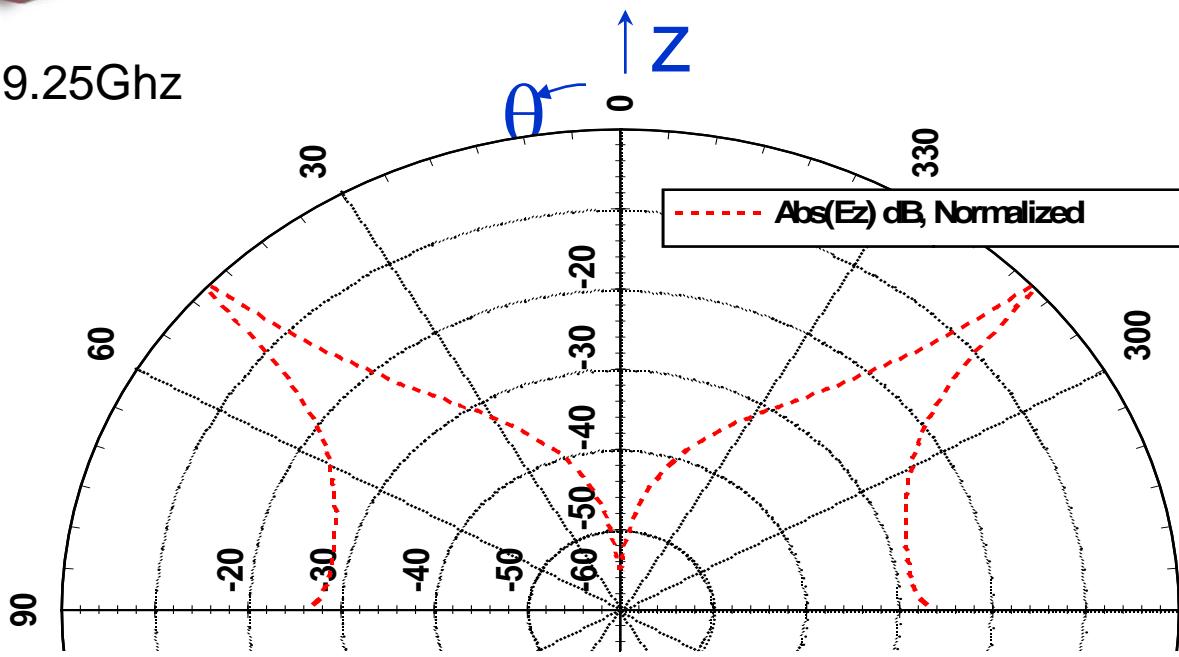
Investigation of Leaky-Wave Antenna Based on Dielectric-Filled Rectangular Waveguide with Transverse Slots," J. Liu, D. R. Jackson, and Y. Long, IEEE AP-S Intl. Symp., July 11-17, 2010, Toronto, Ontario, Canada (Symp. Digest)

## Hyneman Leaky Wave Attenuation Constant



## Slotted Waveguide: Phi=0 Plane (□ to Slot)

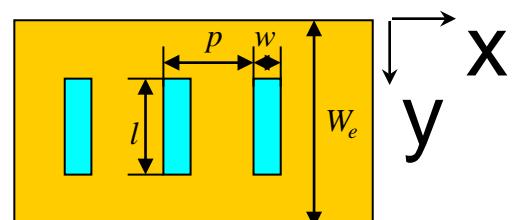
F = 9.25Ghz



$$\cos \theta_0 = \beta_x / k_0 = 0.672$$

$$\Delta\theta = 2 \frac{\alpha_x / k_0}{\cos \theta_0} \Rightarrow \alpha_x / k_0 = 0.0082$$

RWG



$$\varepsilon_r = 2.2, h = 1.524 \text{ mm}$$

$l = 6 \text{ mm}, w = 0.56 \text{ mm}, p = 3 \text{ mm}$

$$W_e = 12 \text{ mm}$$



# University of Houston

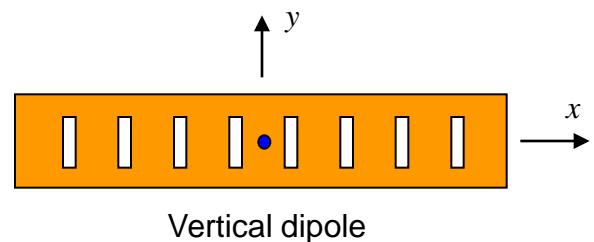
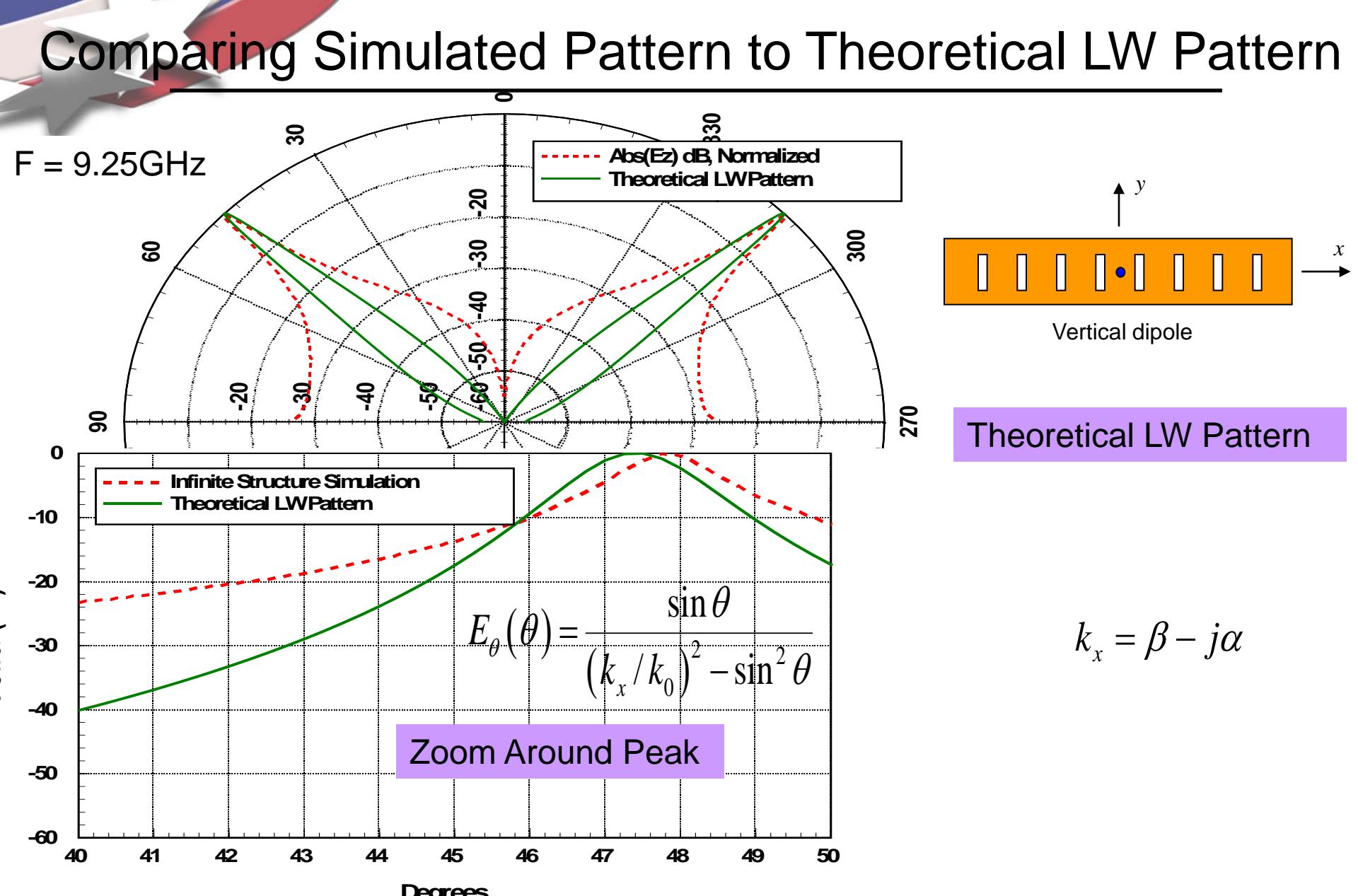


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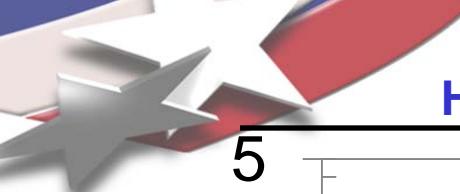
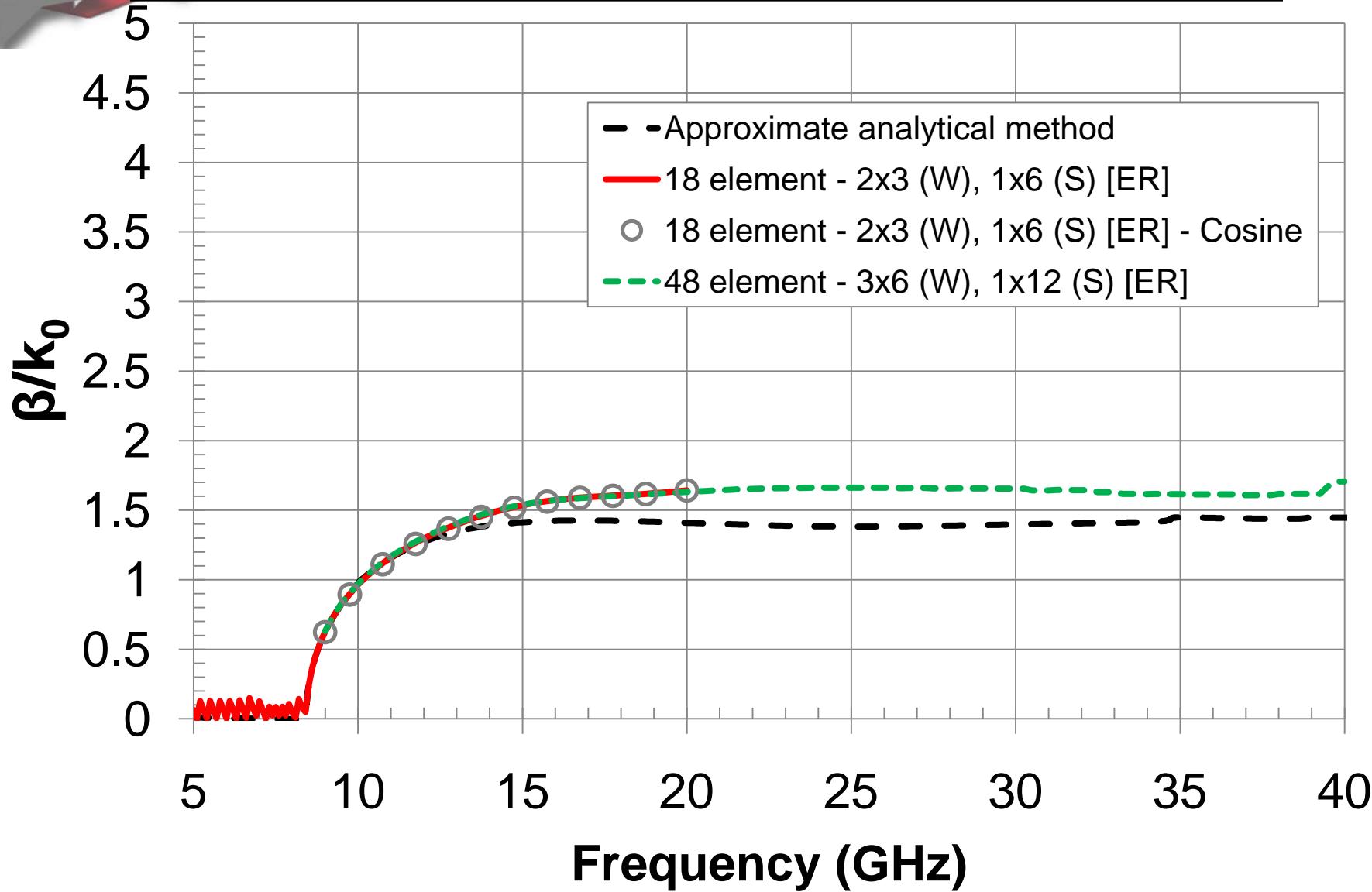
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# Comparing Simulated Pattern to Theoretical LW Pattern



Theoretical LW Pattern

# Hyneman Leaky Wave Propagation Constant



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of Houston



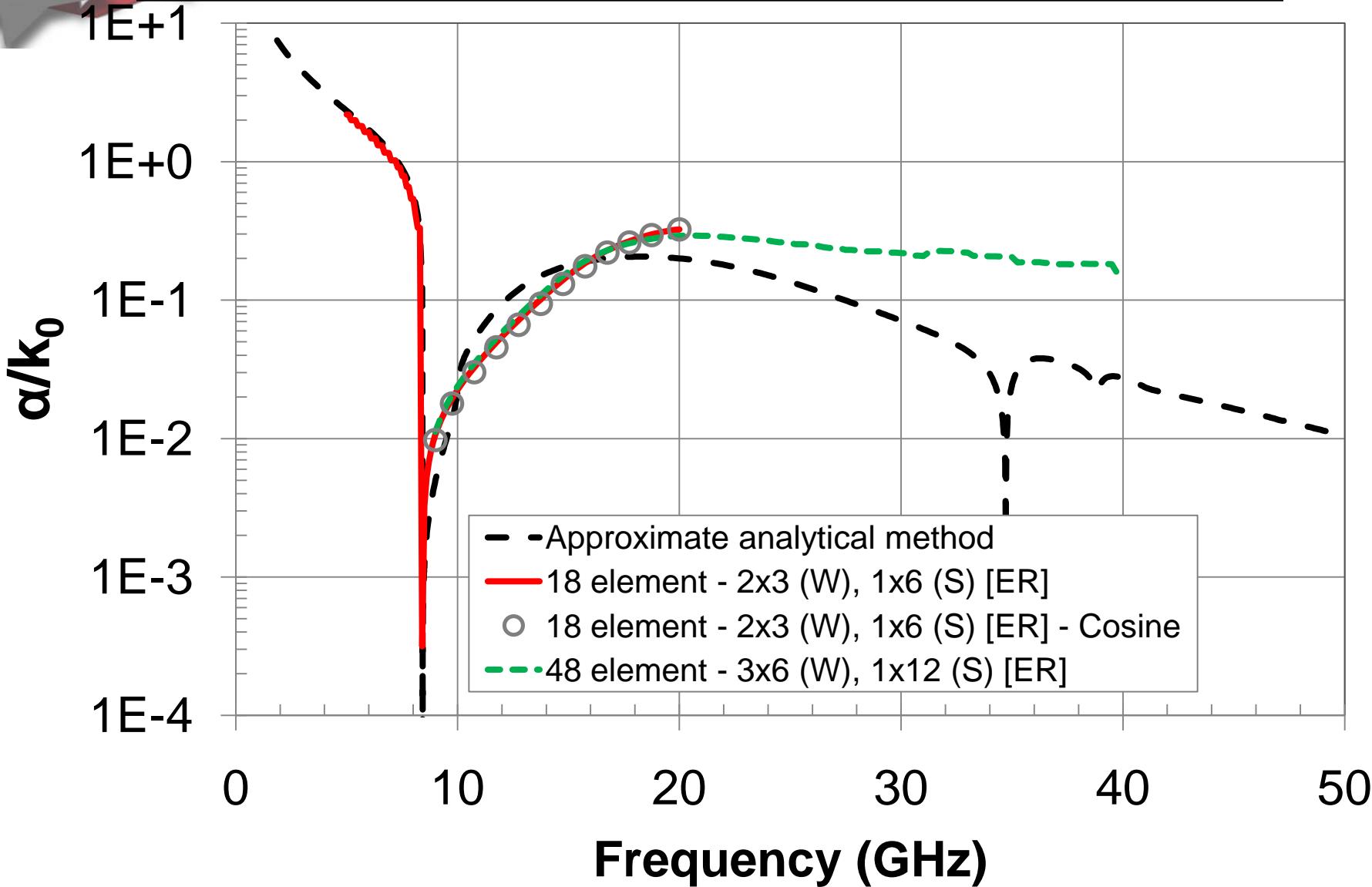
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National  
Laboratories

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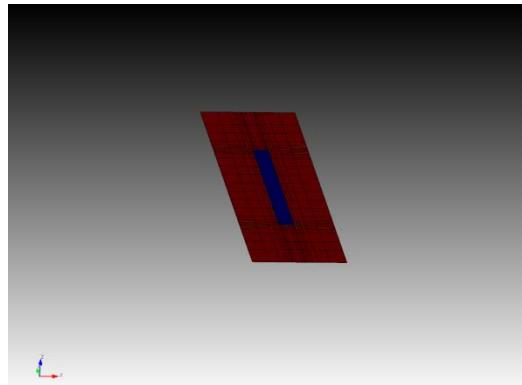
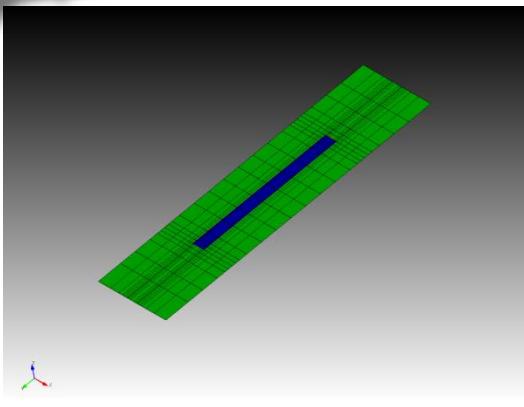


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## Hyneman Leaky Wave Attenuation Constant



# A two dimensionally periodic test case



Field below .5 mm below center of slot

$Ex = ( 0.13875E-01, -0.72604E+00)$  slot only

$Ex = (-0.11165E+00, -0.51954E+00)$  metal and aperture

Note : We are running this now and hope to get a better answer





# Summary

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- **Validated EIGER™ for modeling dispersion diagrams for planar, 1D periodic, leaky wave antennas**
  - PPS (Periodic Planar Simulator) code from Sapienza Universita di Roma
- **Added the capability to model dispersion diagrams for fully 3D leaky wave antennas with**
- **Resolve the discrepancy between the approximate analytical method and EIGER™**



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# ThankYou!



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