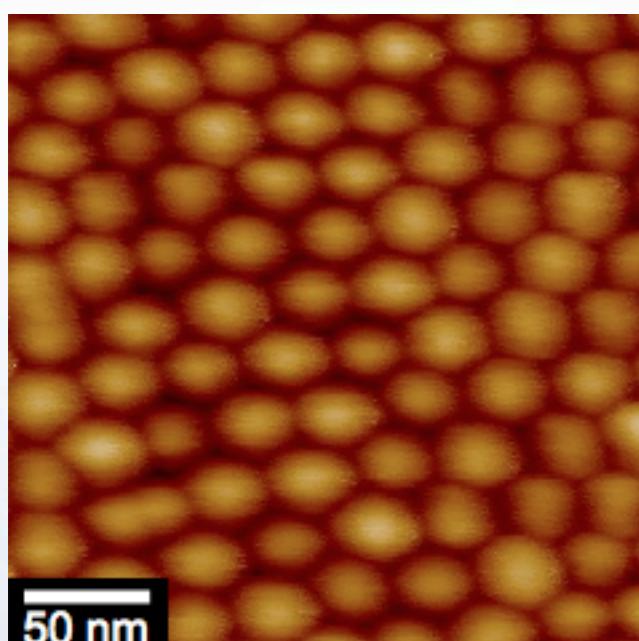


Chemical, Phase, and Interface Effects in Solution-Based Fabrication of Continuous and Nanopatterned Thin Film Ferroelectrics



Geoff L. Brennecka and Jon F. Ihlefeld
Sandia National Laboratories, Albuquerque, NM USA

K. Nittala and Jacob L. Jones
University of Florida, Gainesville, FL USA

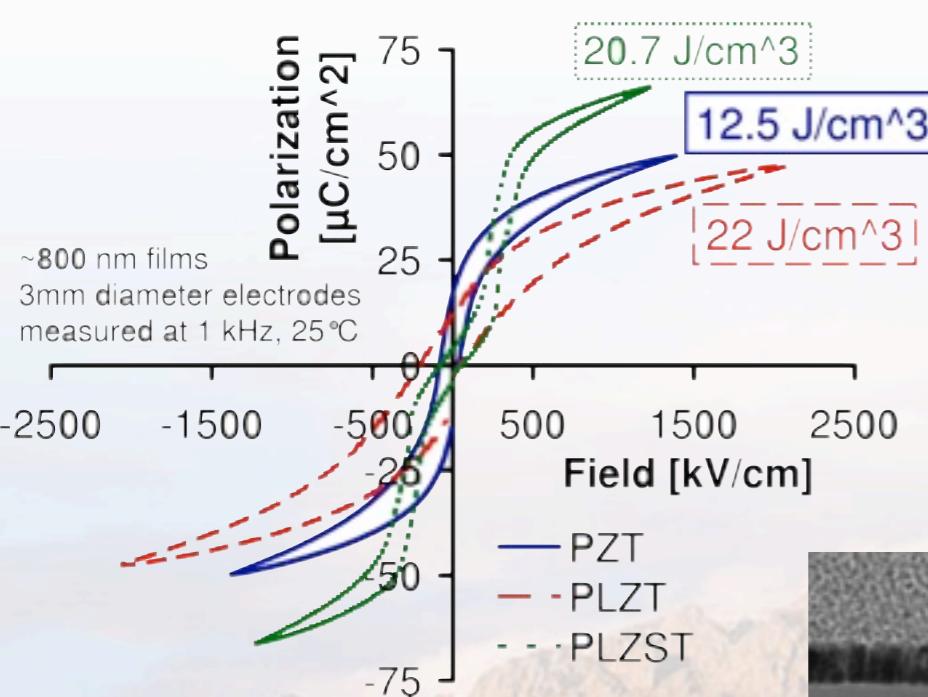
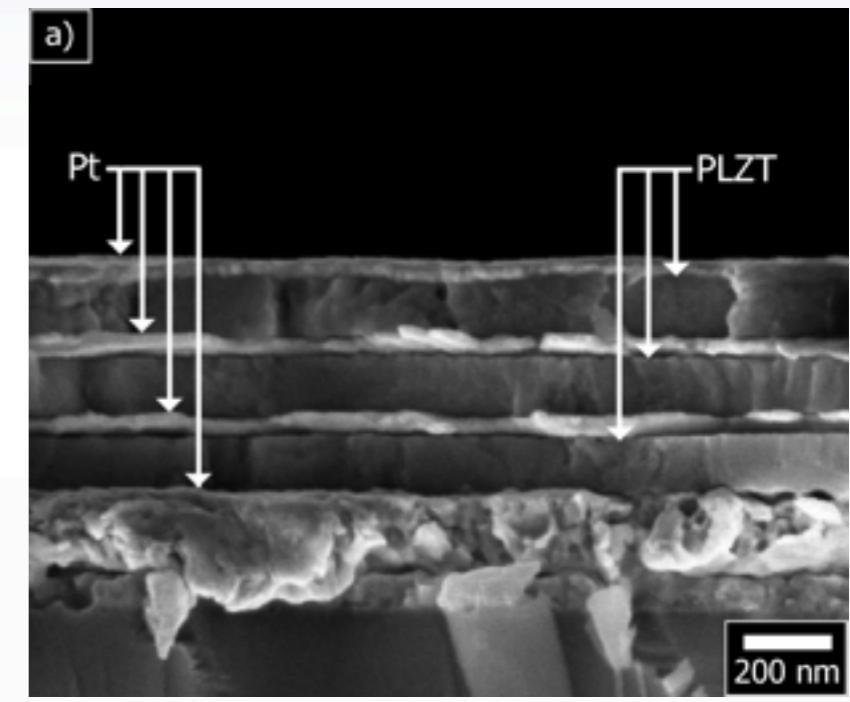
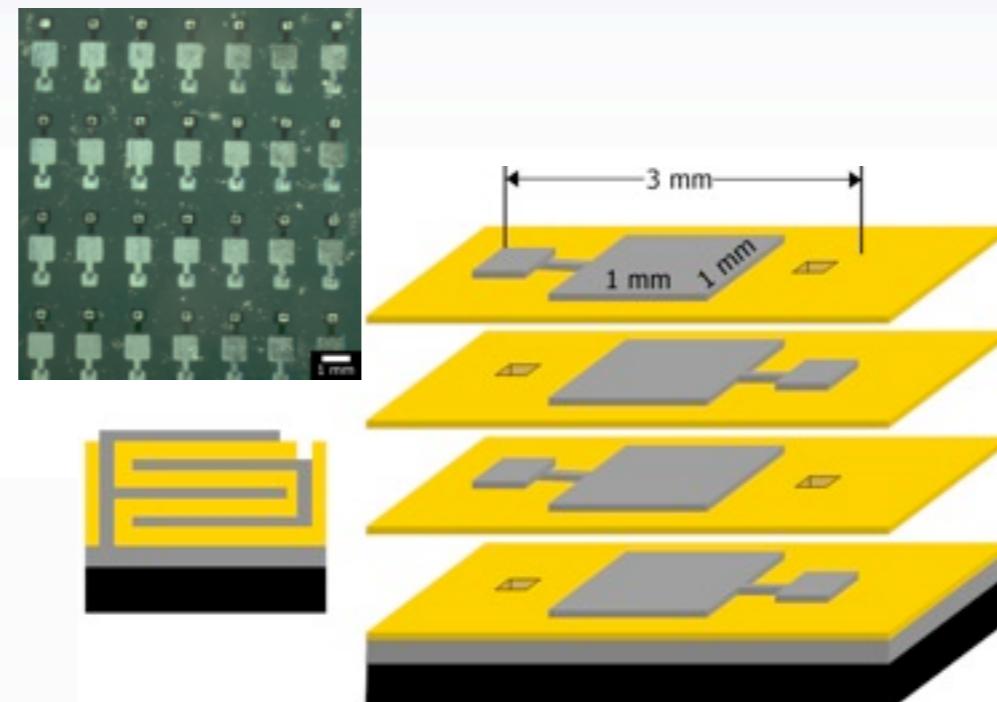
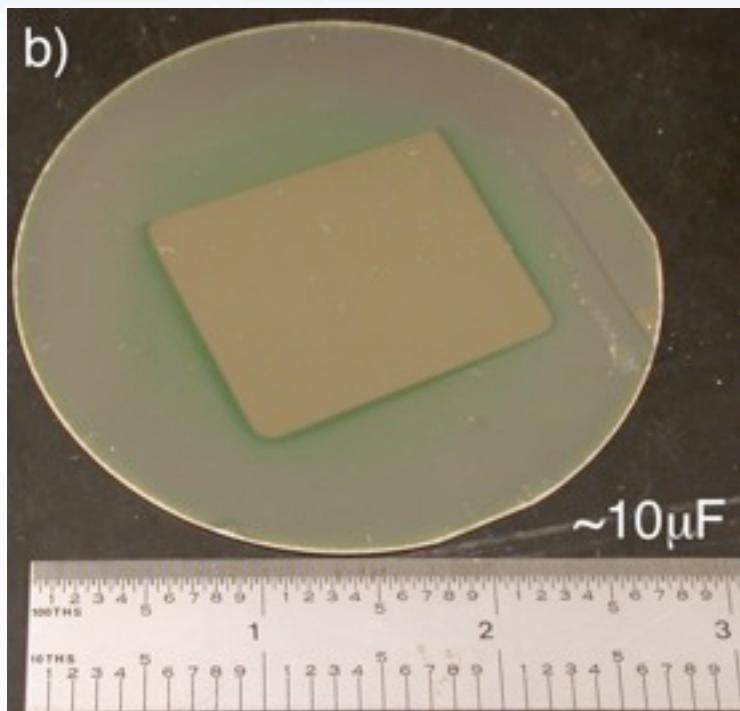
C.T. Shelton* and J.-P. Maria
North Carolina State University, Raleigh, NC USA
*formerly at Oregon State University, Corvallis, OR USA



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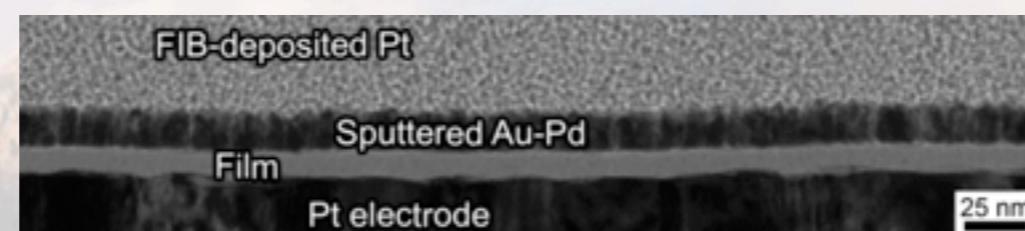


Functional Ferroelectric Thin Films

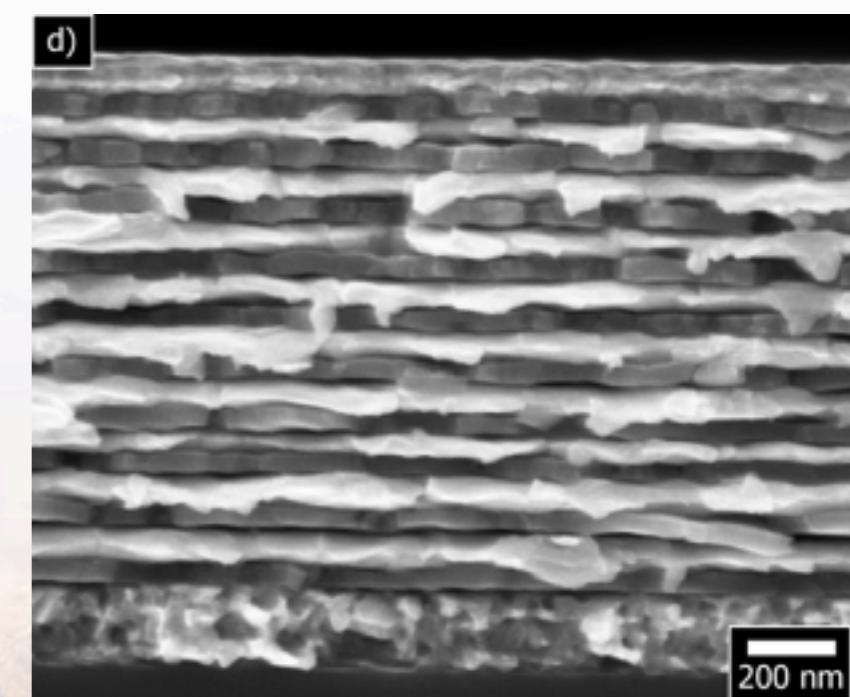


Brennecka, et al., *J. Mater. Res.* (2008),
J. Am. Ceram. Soc. (2008, 2010)

*Ultimate thickness is limited
by wetting/islanding
behavior during deposition
and crystallization*



Continuous single-phase films as thin as 9nm

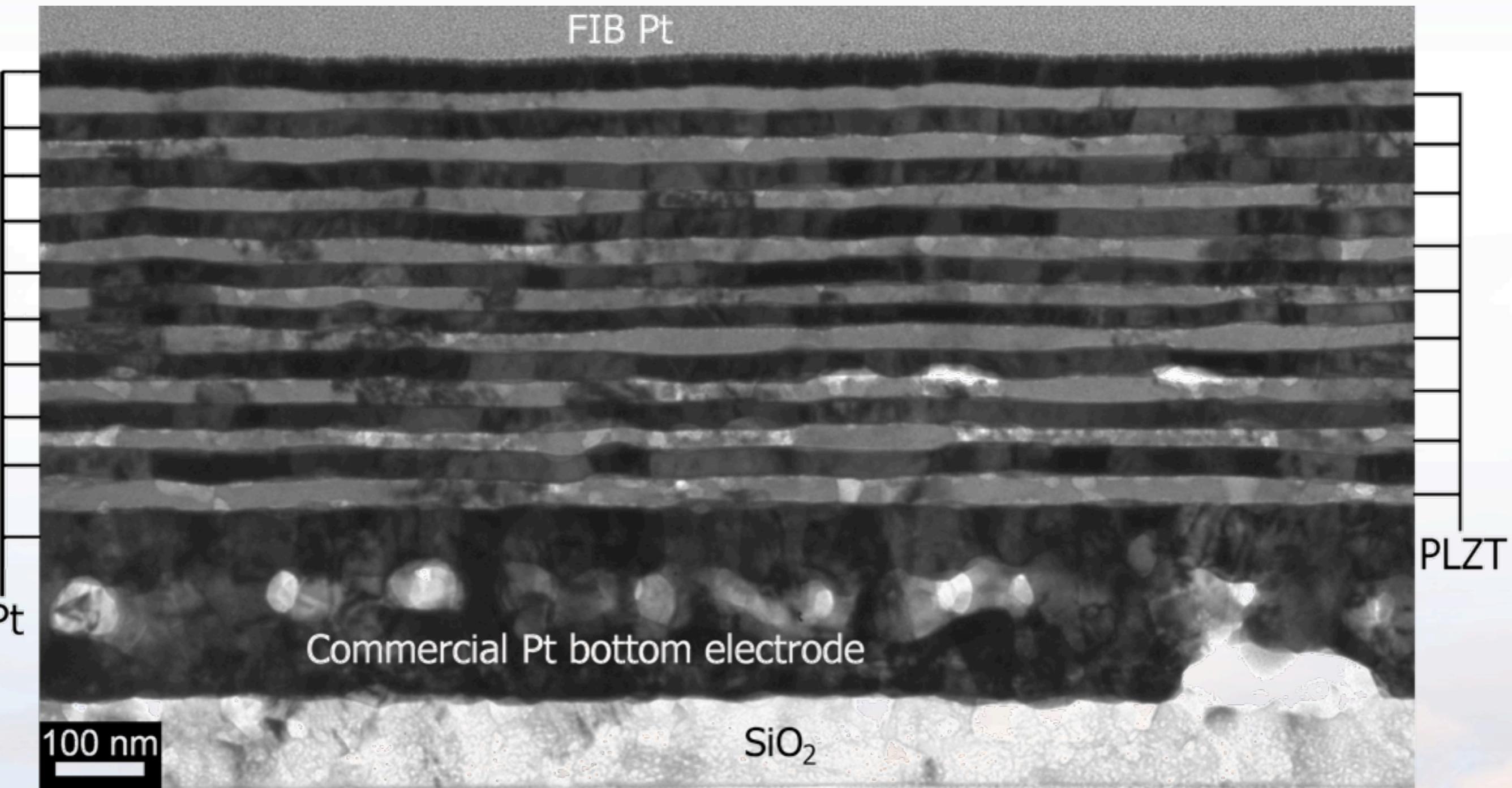


10 layers, ~50nm



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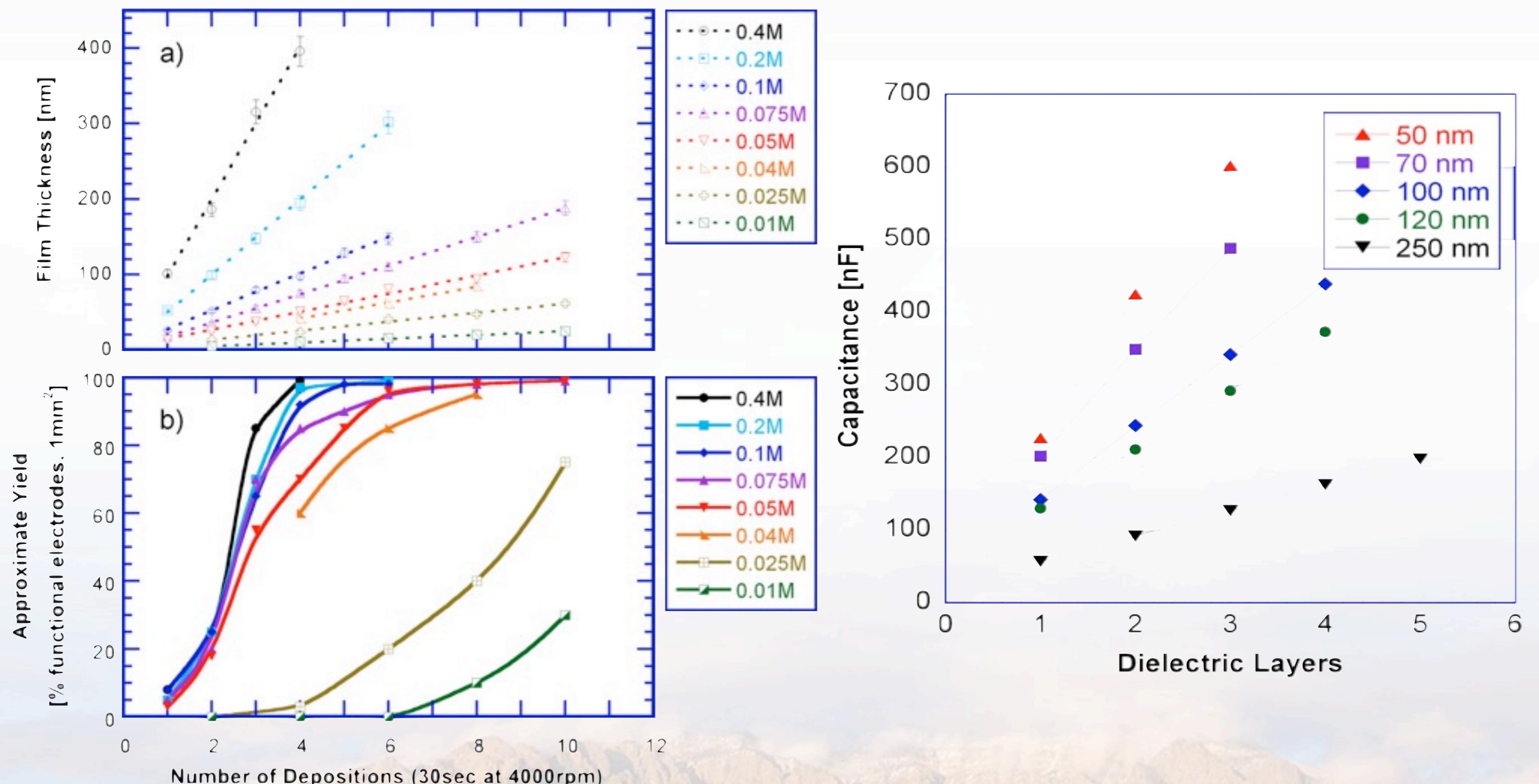
9 Dielectric Layers, ~20nm



Brennecka, et al., *J. Mater. Res.* (2008)

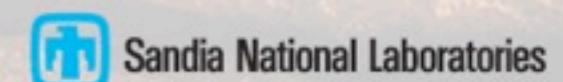
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Good News: Yield, Scaling

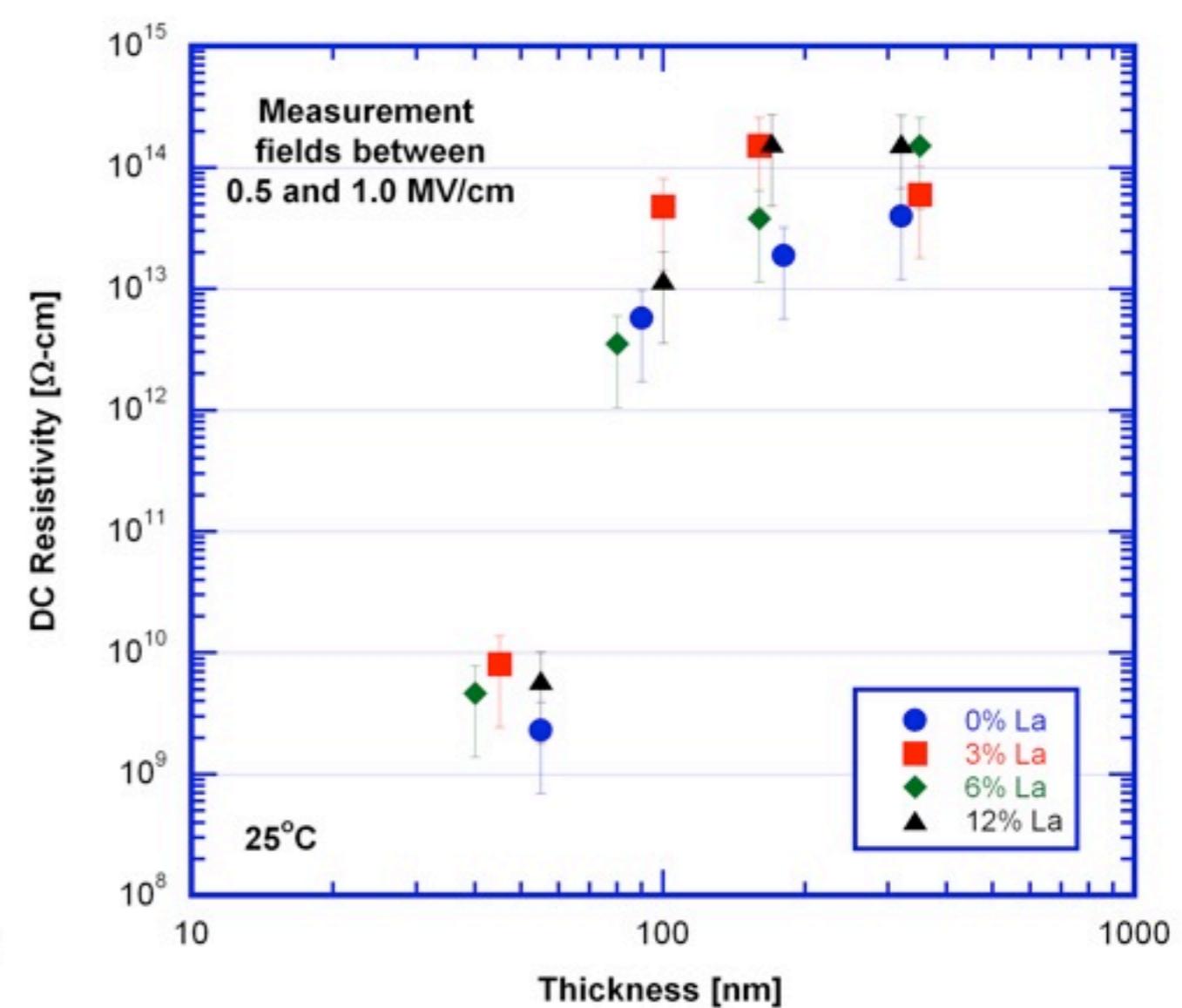
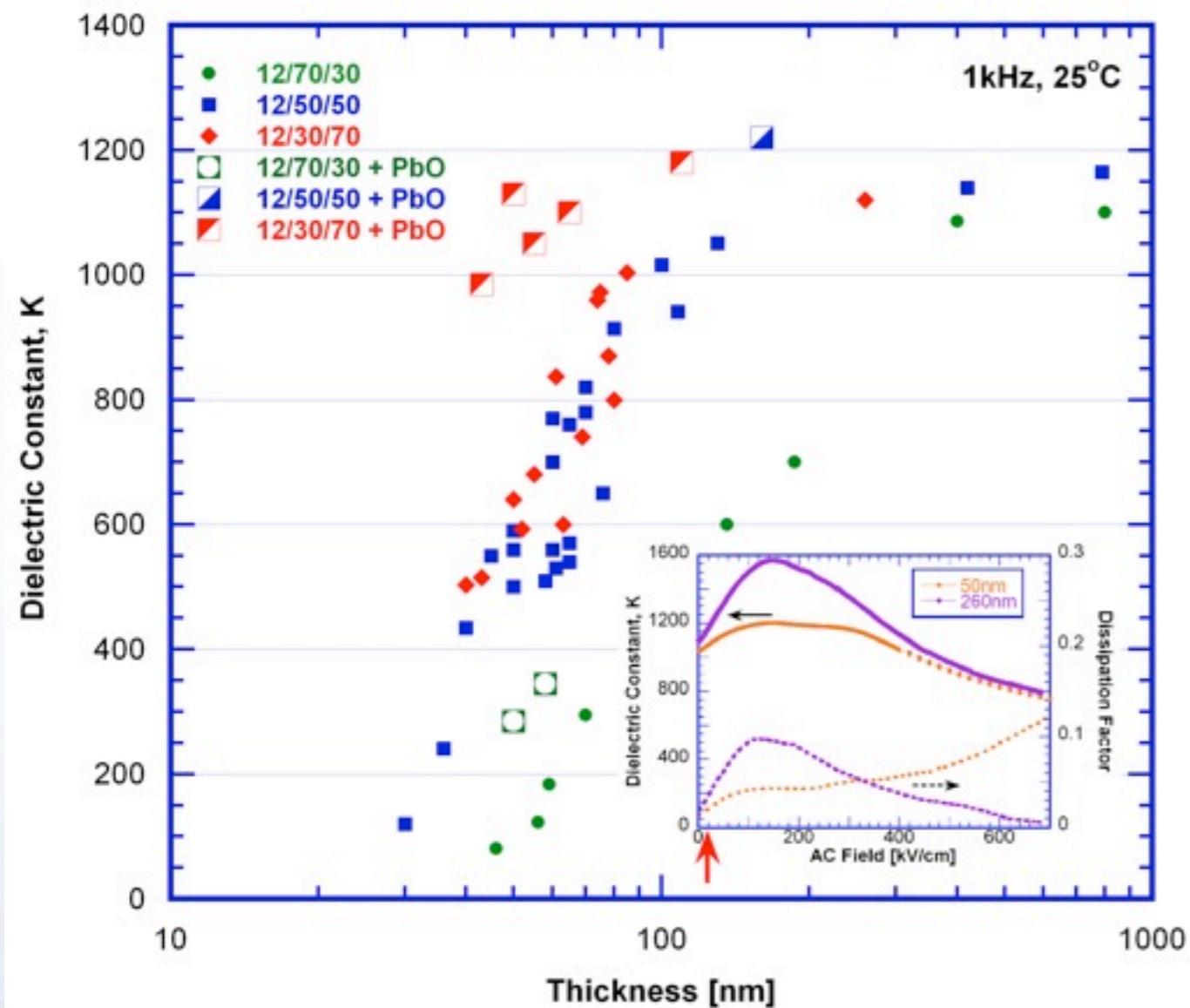


Brennecka, et al.,
J. Mater. Res. (2007)

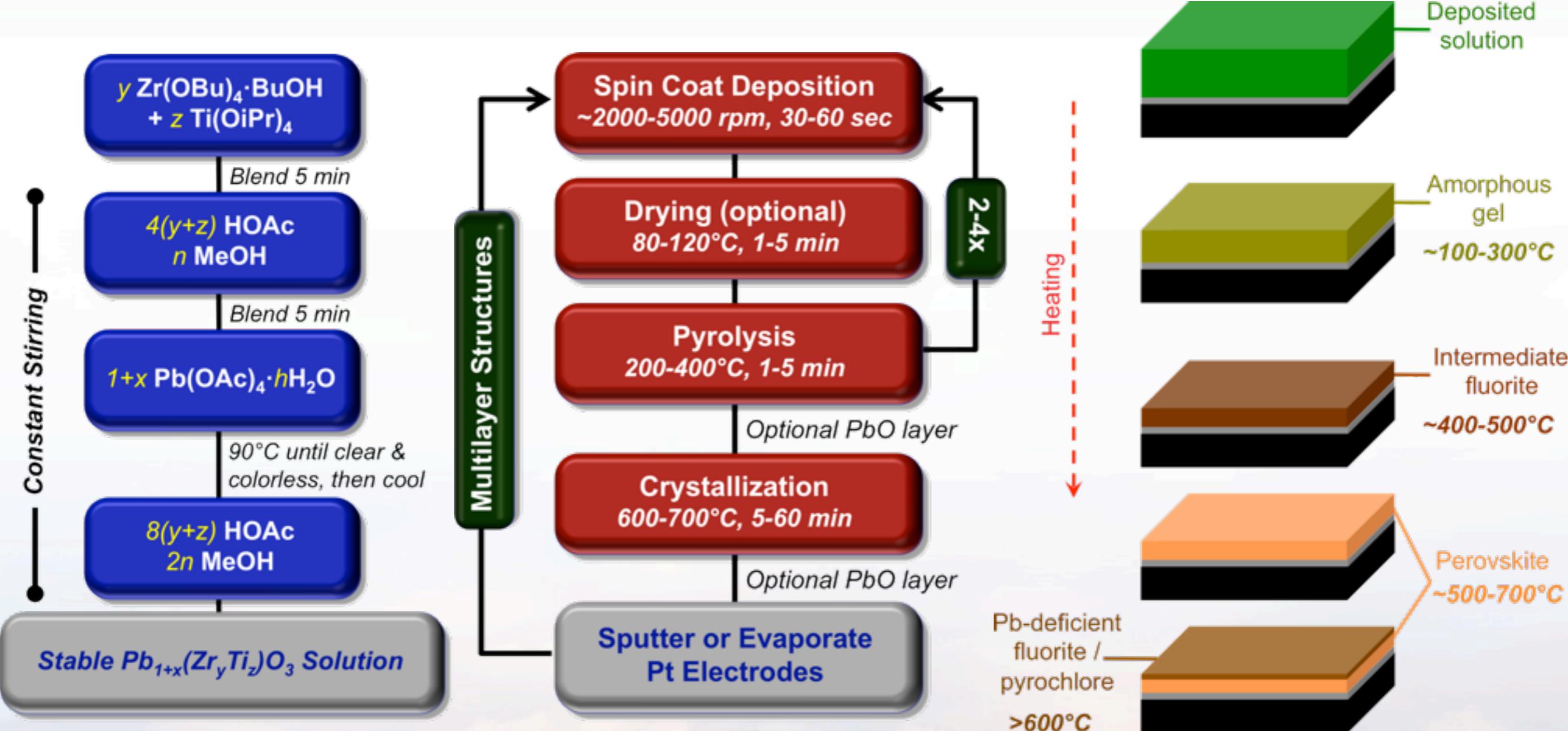
Brennecka, et al.,
J. Mater. Res. (2008)



Bad News: Degradation in Thin Layers



SNL IMO-based Solution Route



R.A. Assink and R.W. Schwartz; **Chem. Mater.** (1993)

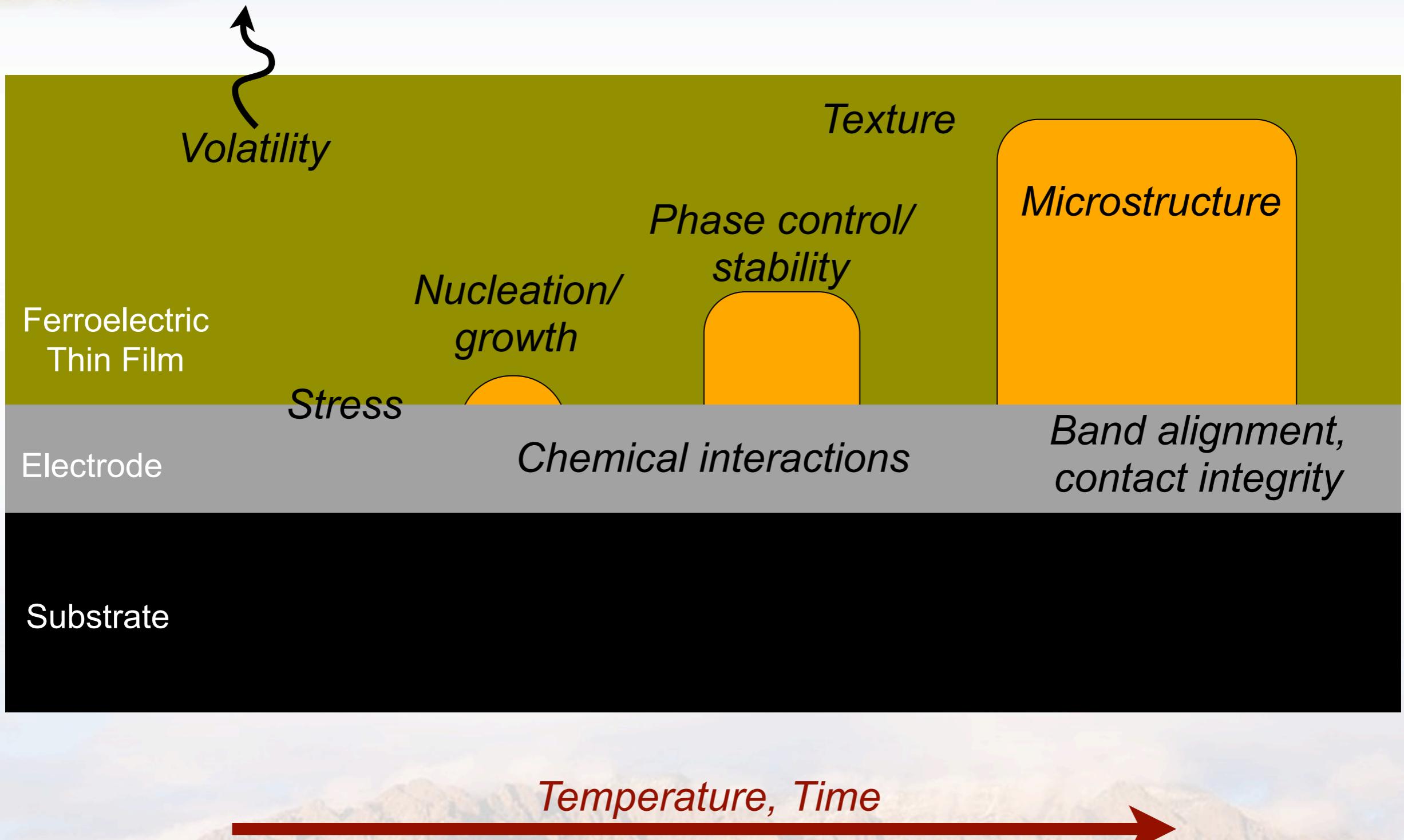
G. Yi and M. Sayer; **J. Appl. Phys.** (1988)

Brennecka et al., **J. Am. Ceram. Soc.** feature article (2010)

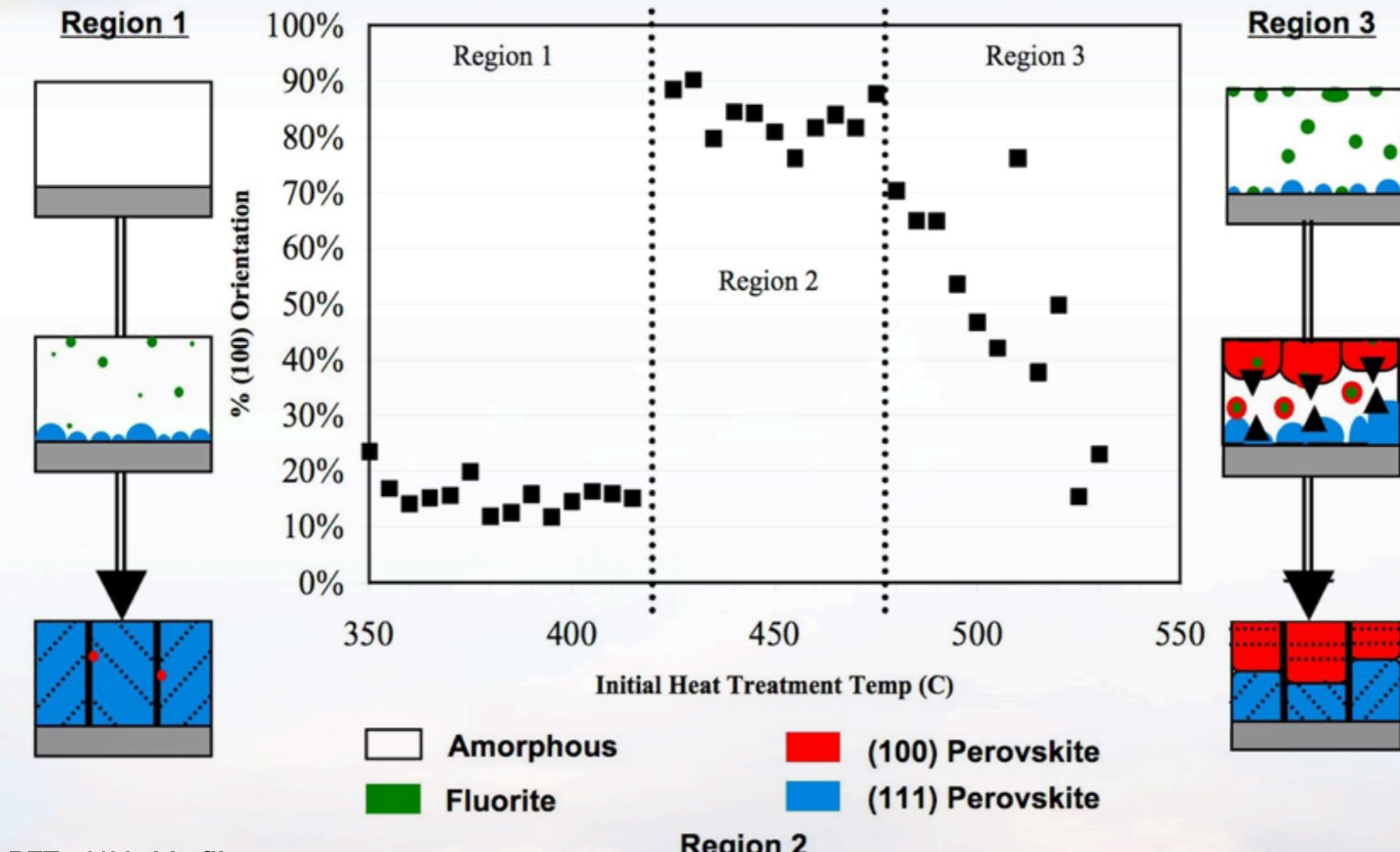


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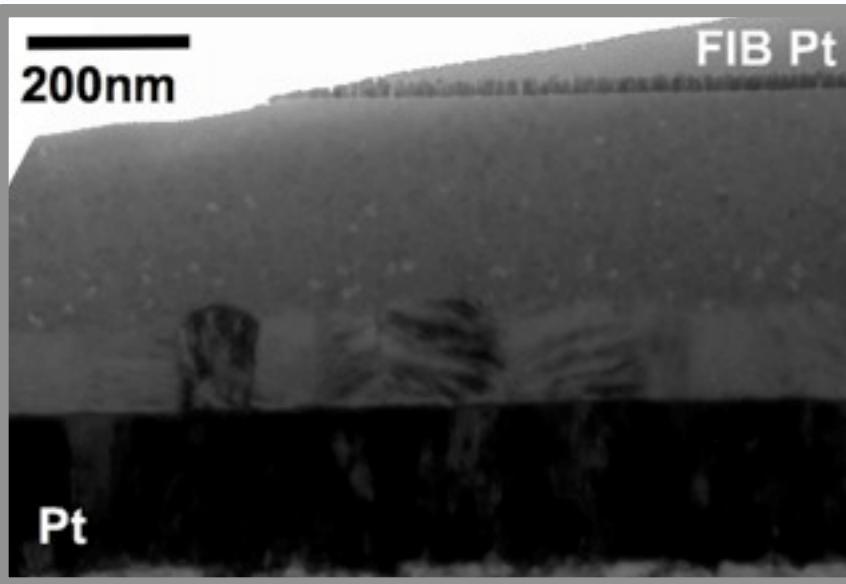
Understanding (and Control) of Processing/ Structure/Property Relationships



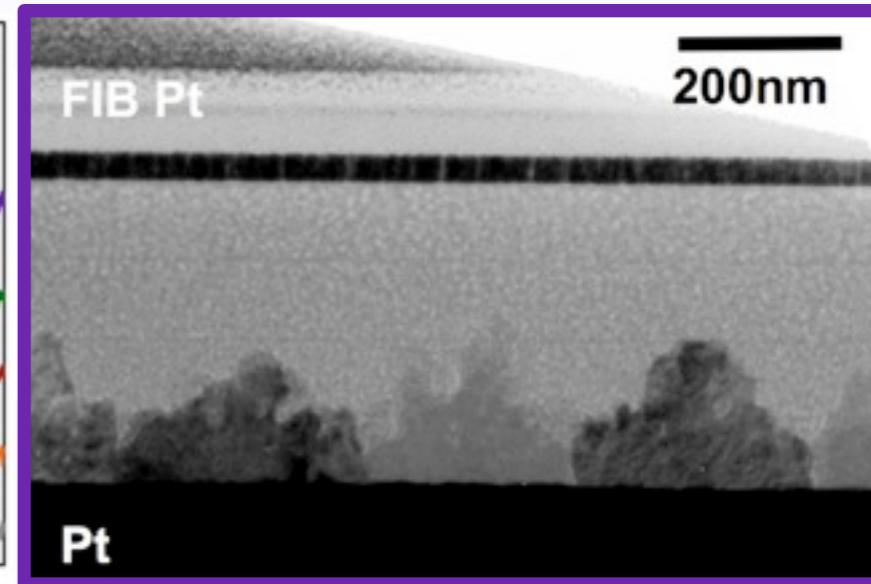
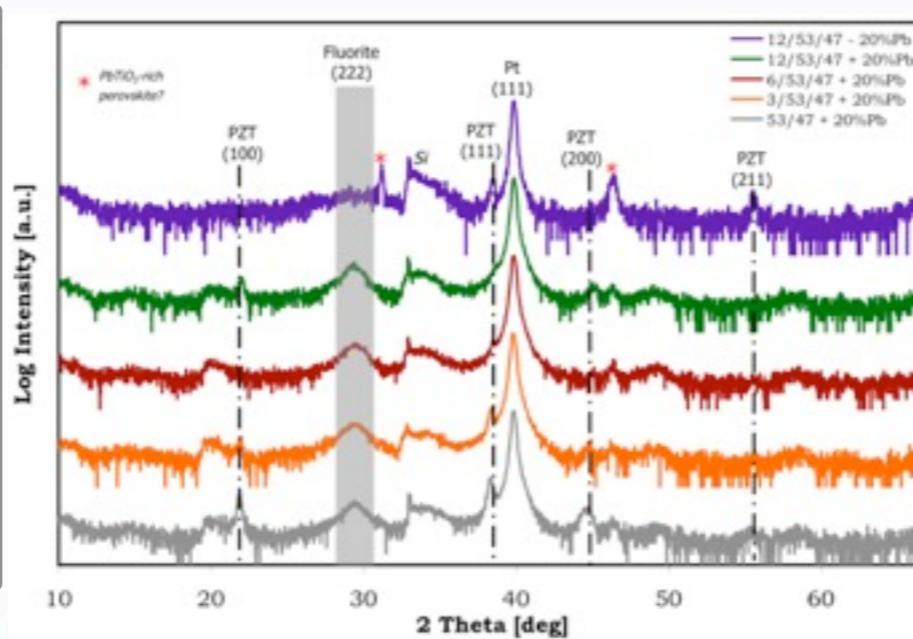
Control of Texture via Nucleation and Growth



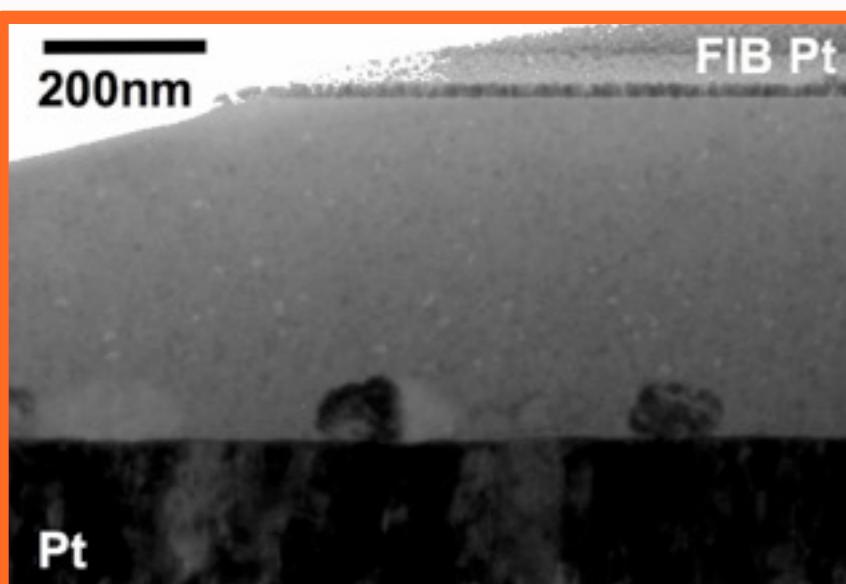
A-site cation effects on nucleation



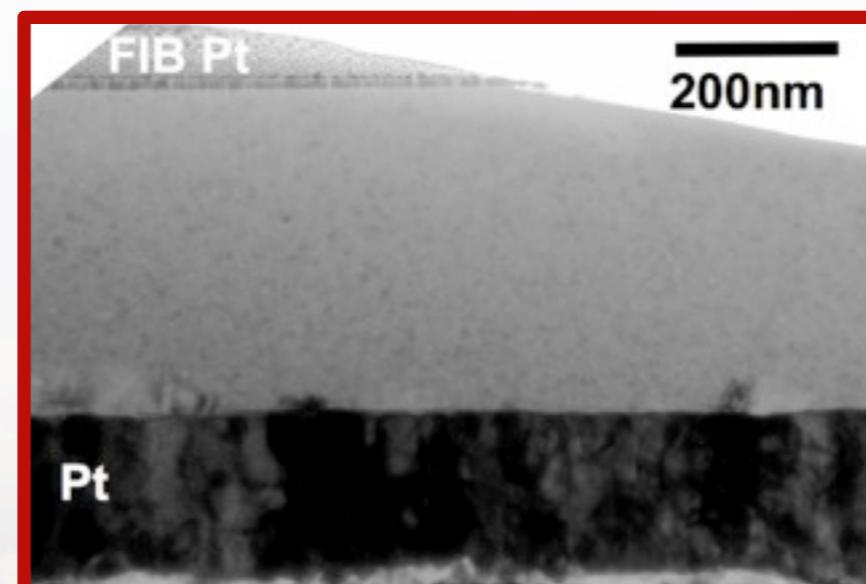
PZT 53/47, 20% excess Pb, 550C 1hr



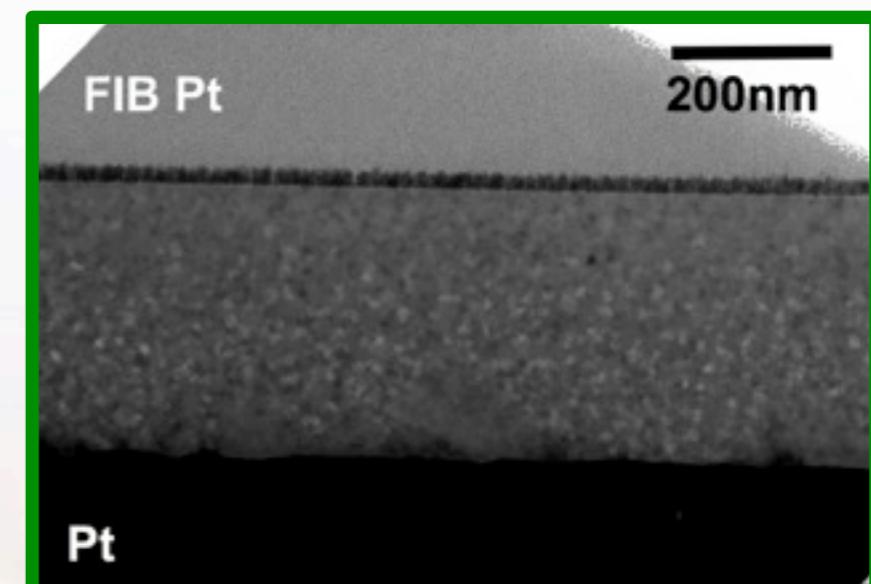
PLZT 12/53/47, 20% Pb deficient, 550C 1hr



PLZT 3/53/47, 20% excess Pb, 550C 1hr



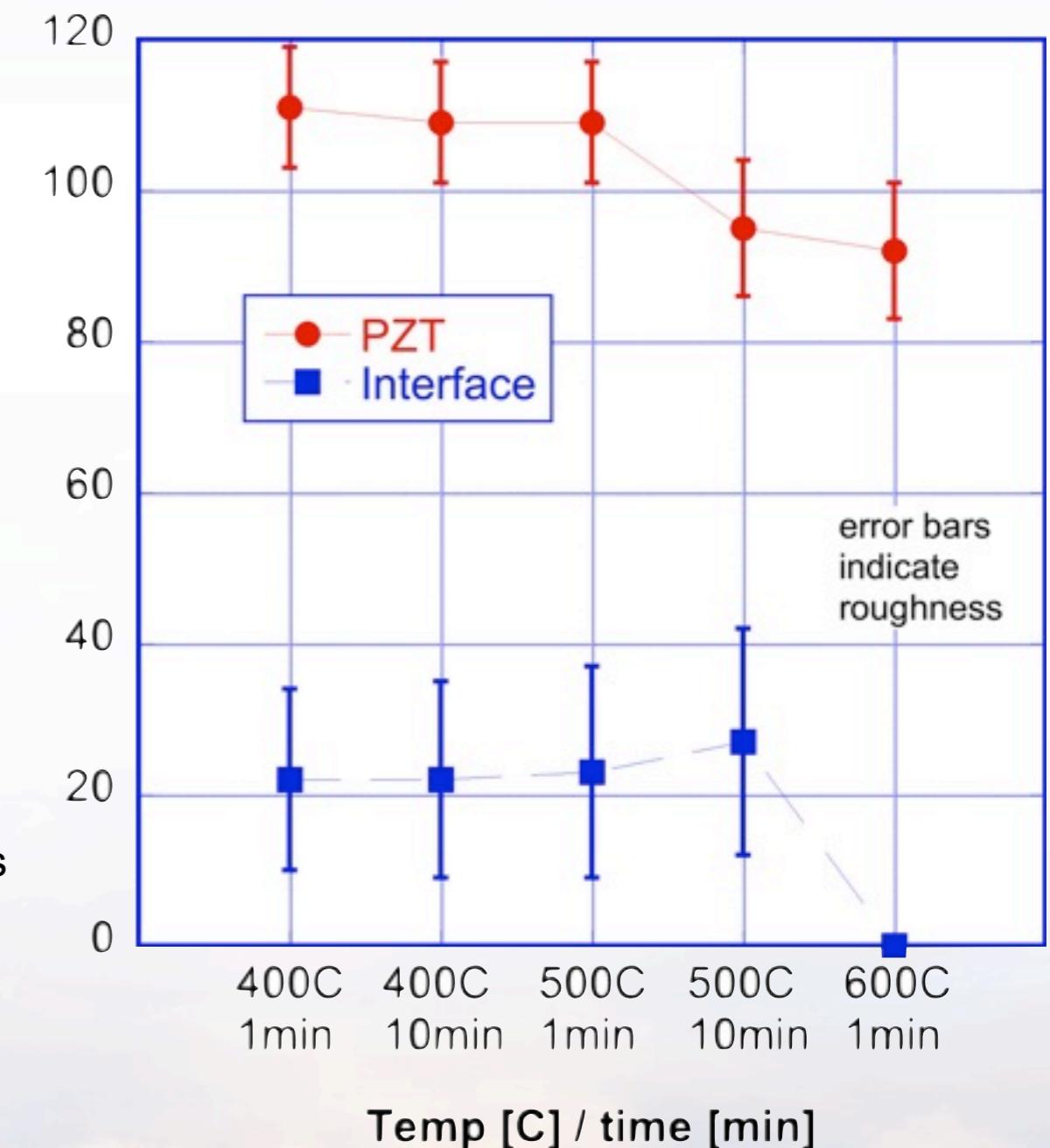
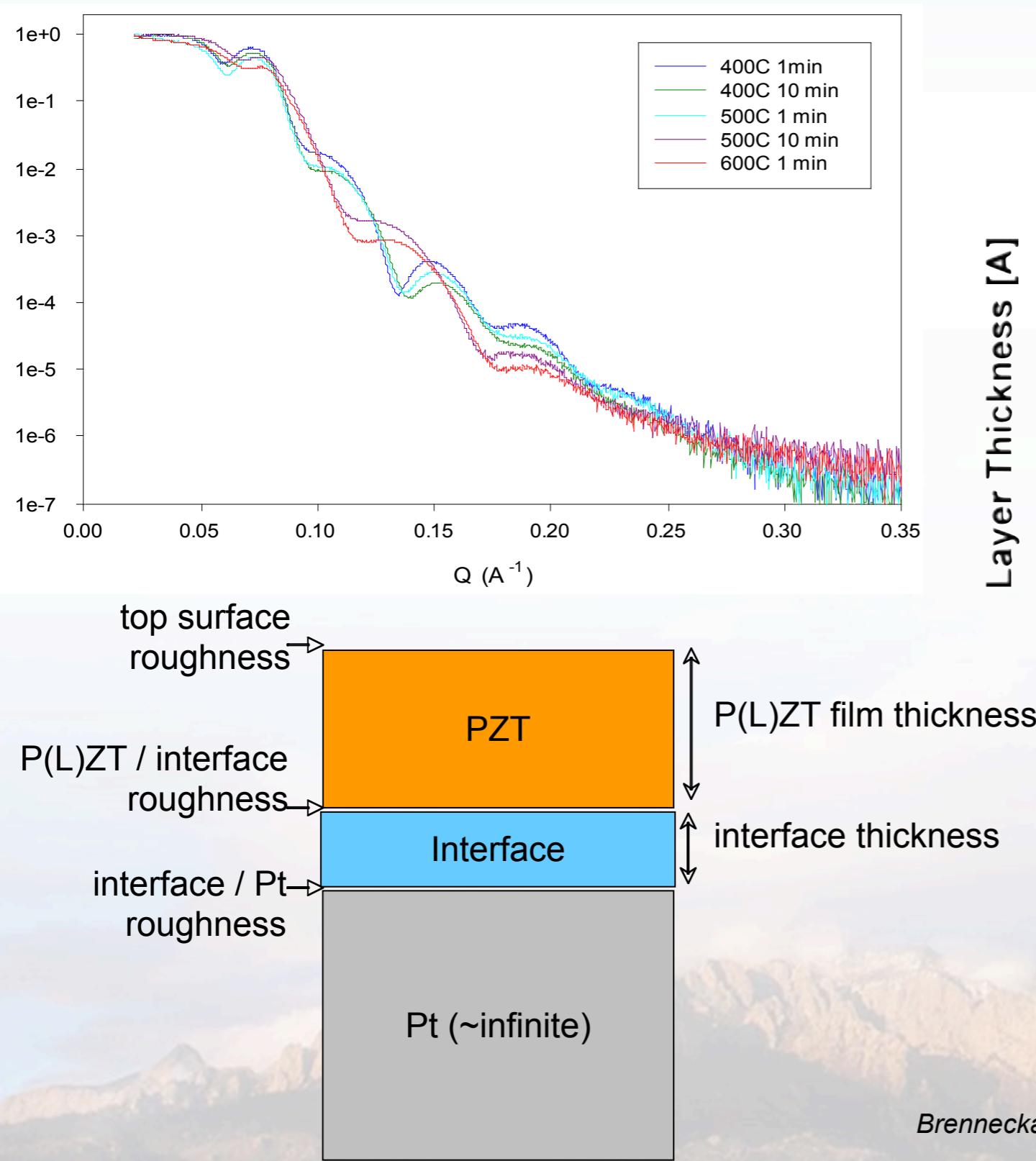
PLZT 6/53/47, 20% excess Pb, 550C 1hr



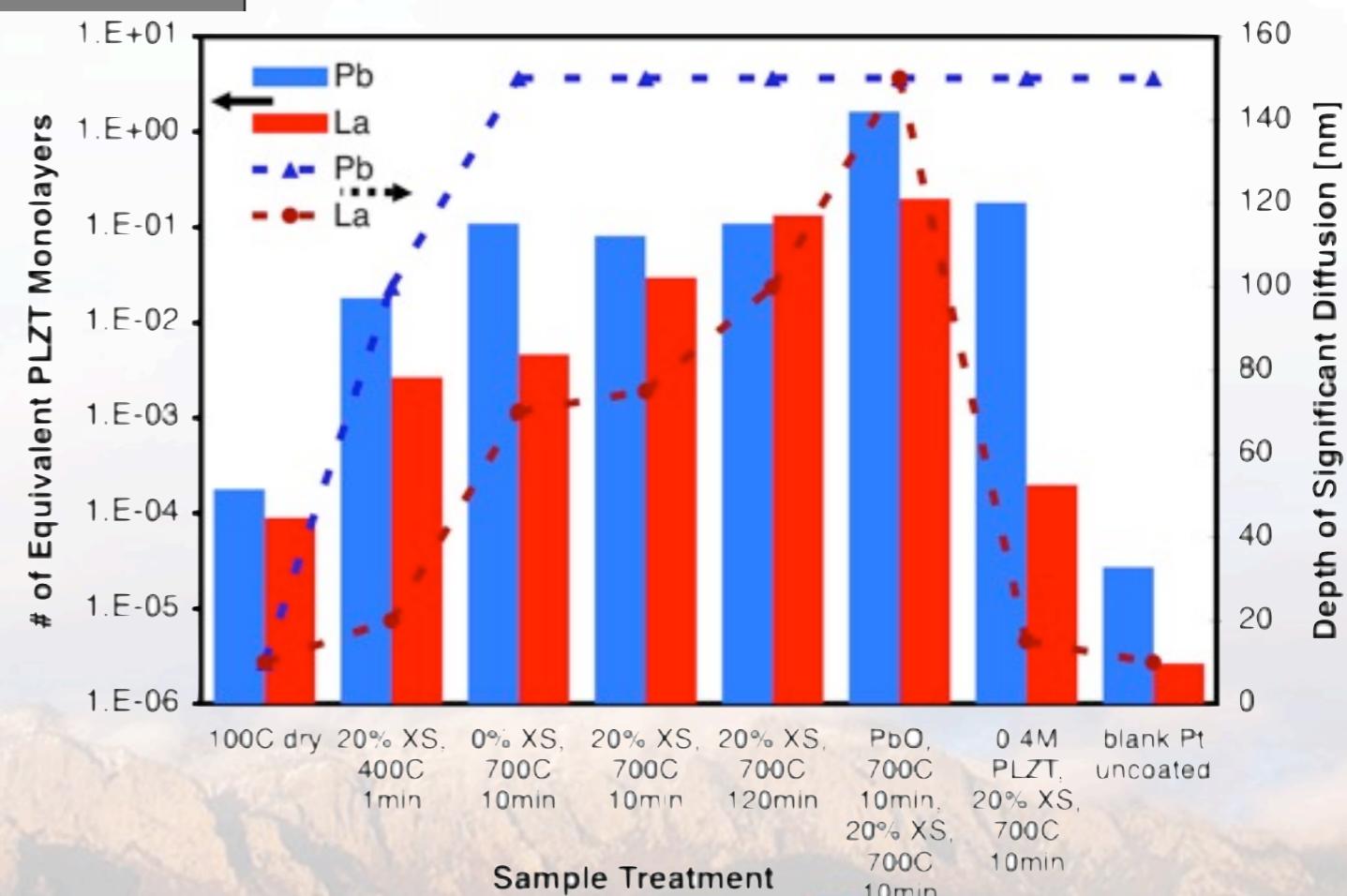
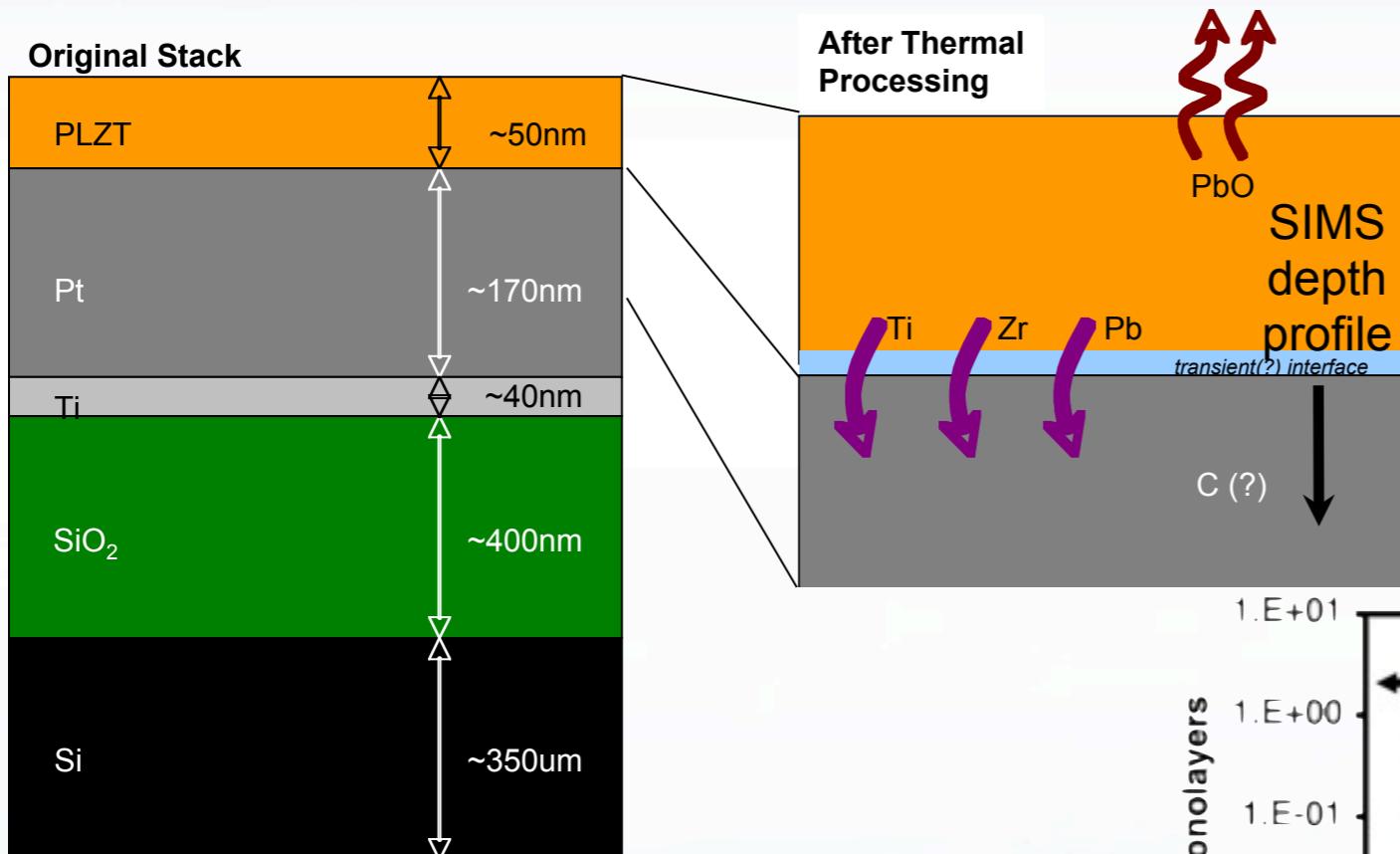
PLZT 12/53/47, 20% excess Pb, 550C 1hr

fix brightness/contrast

PLZT-Pt Interactions

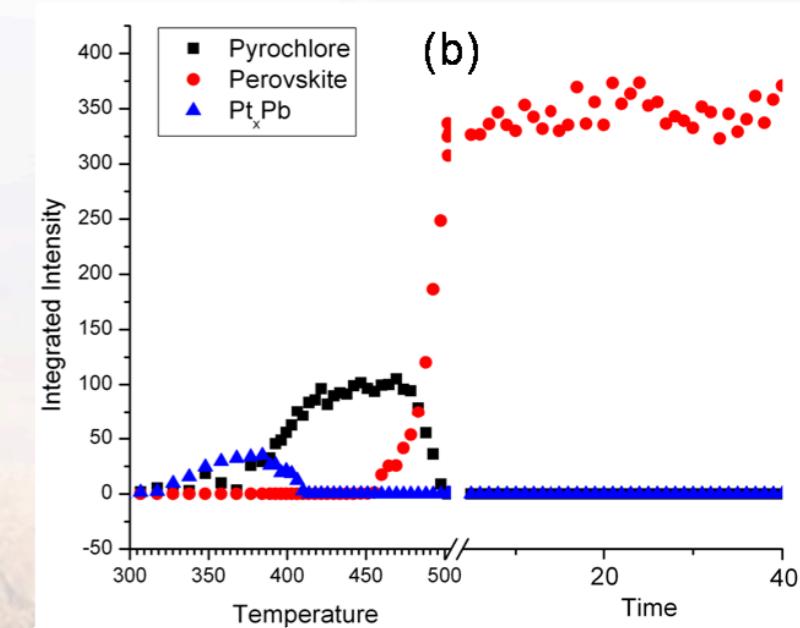
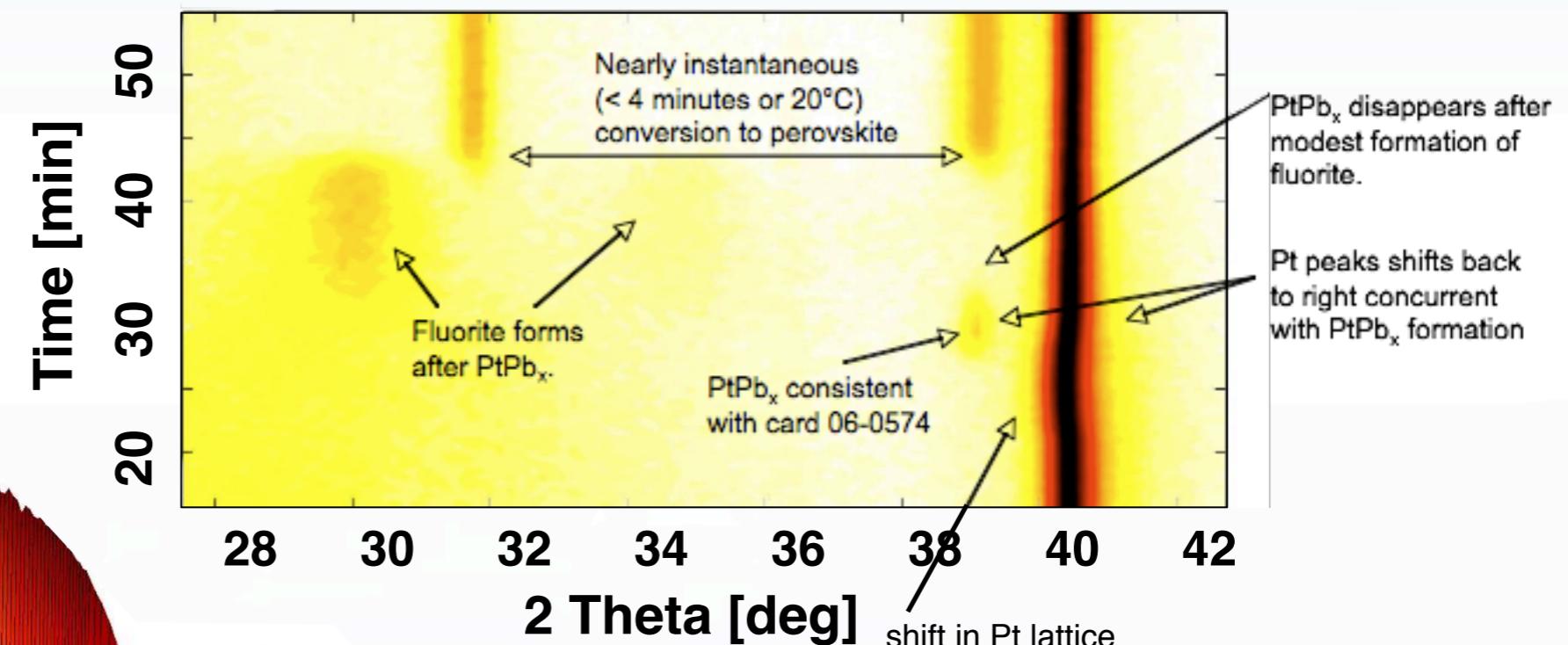
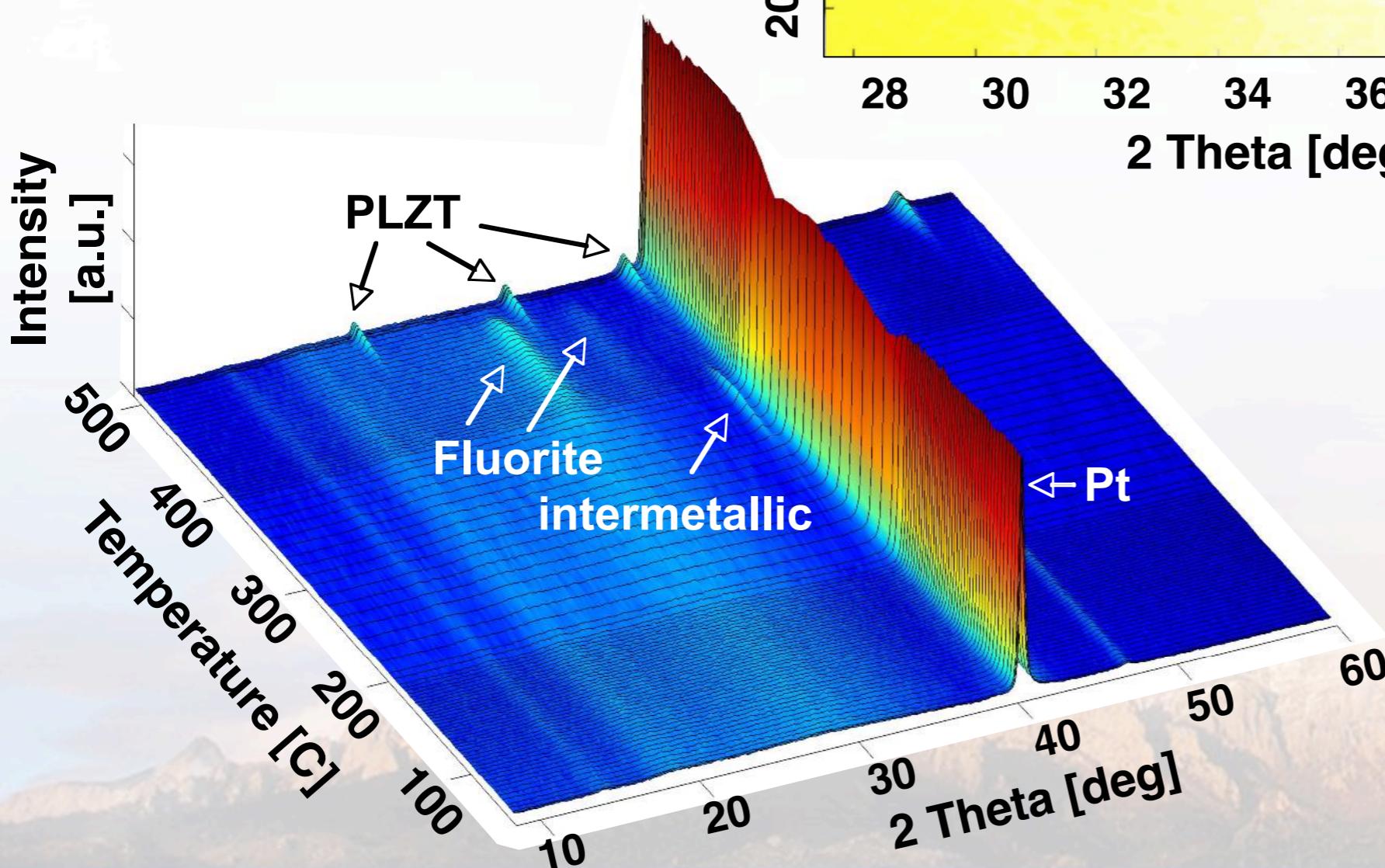


PLZT-Pt Interactions



in-situ X-Ray Diffraction

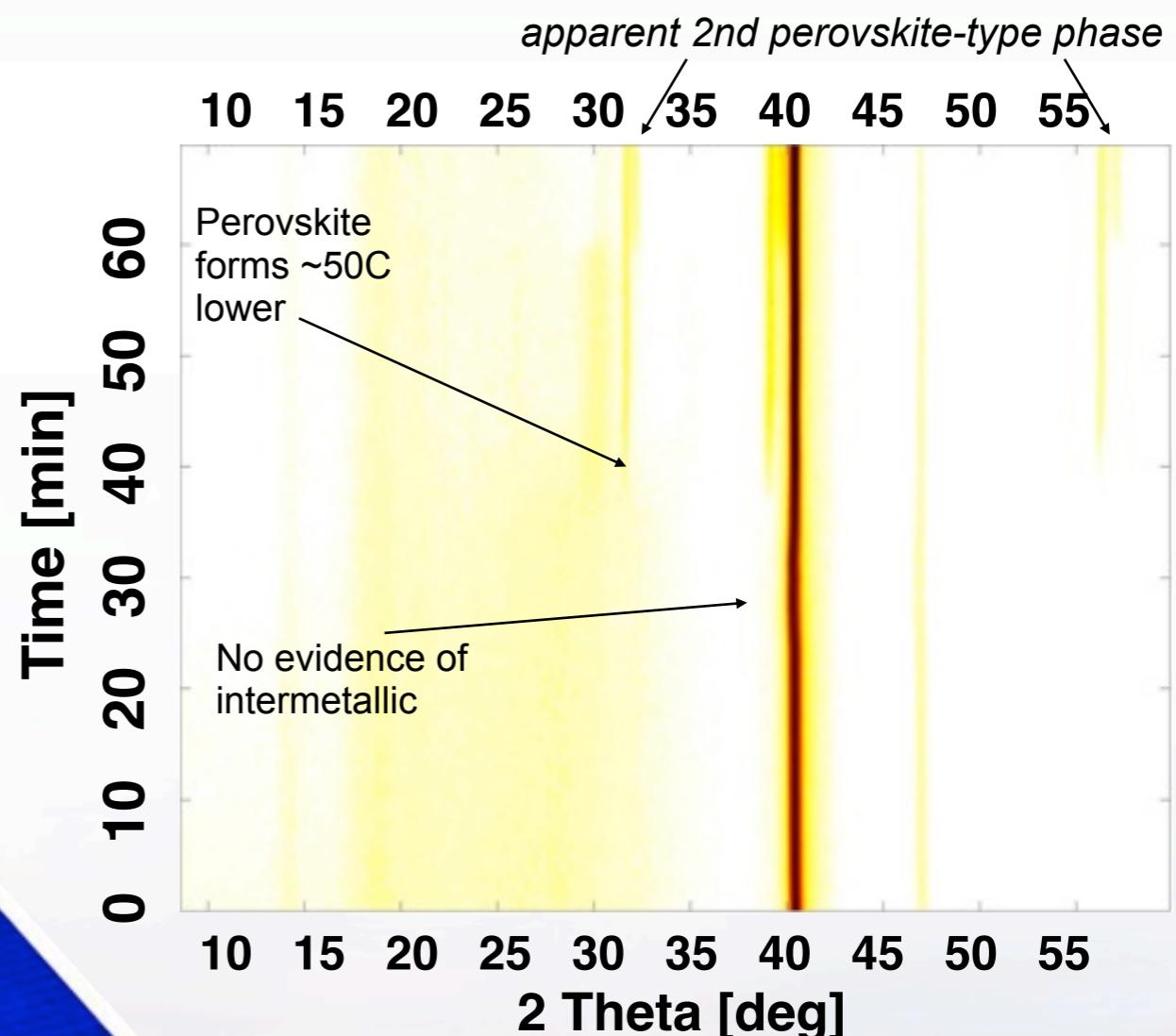
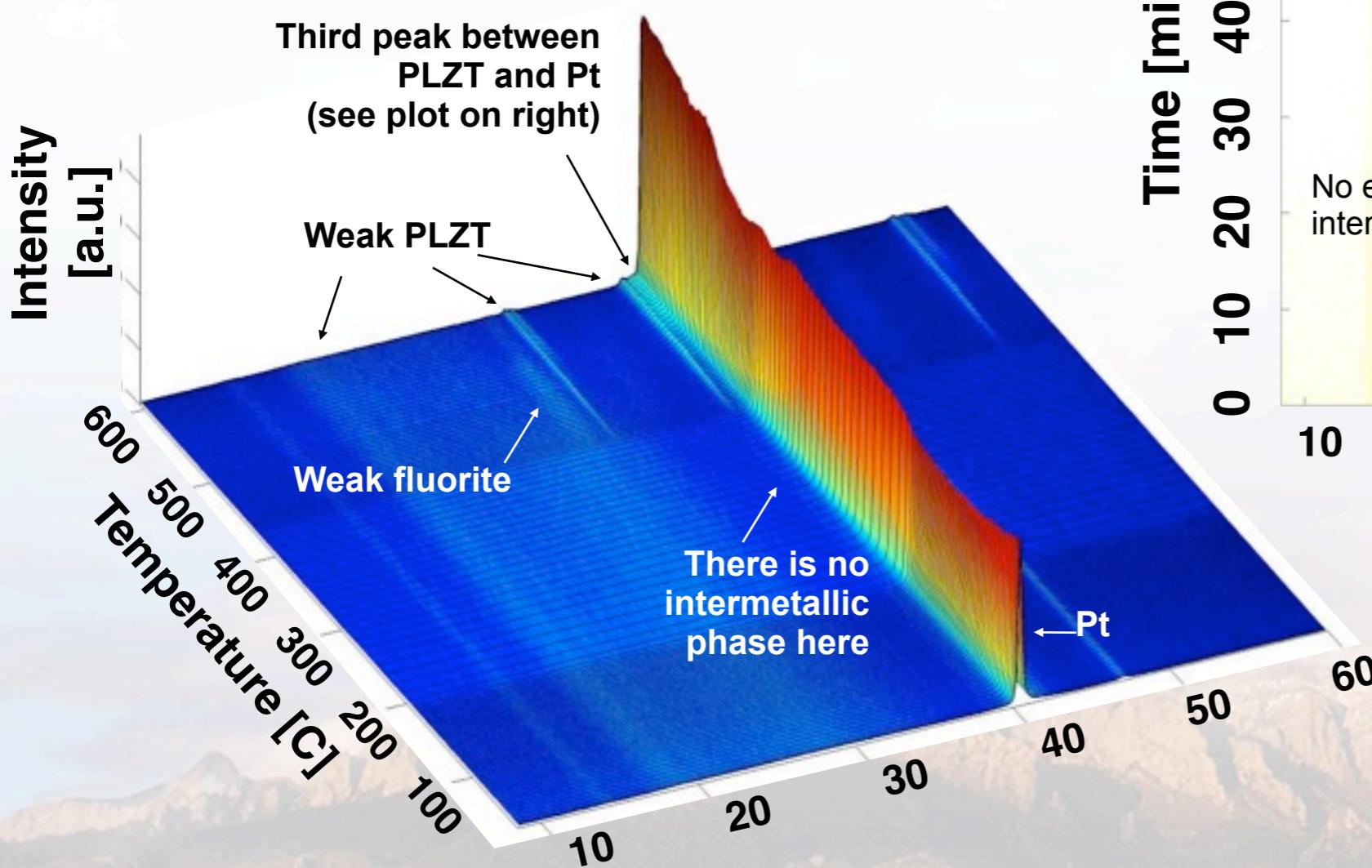
Crystallization of PLZT
 thin film from a solution
 containing 20% XS Pb



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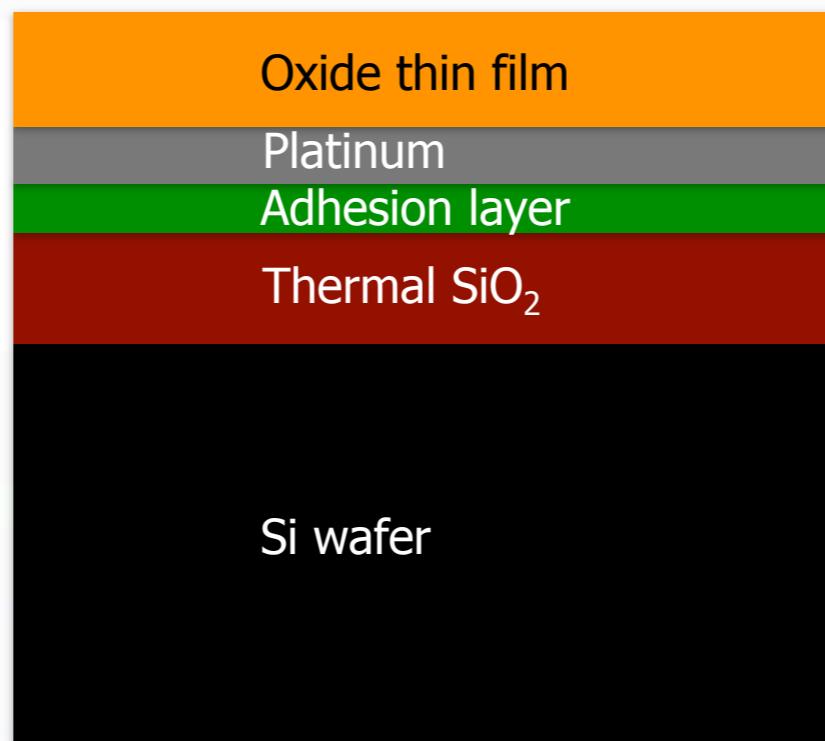
in-situ X-Ray Diffraction

Crystallization of PLZT
thin film from a solution
containing 20% Pb
deficiency



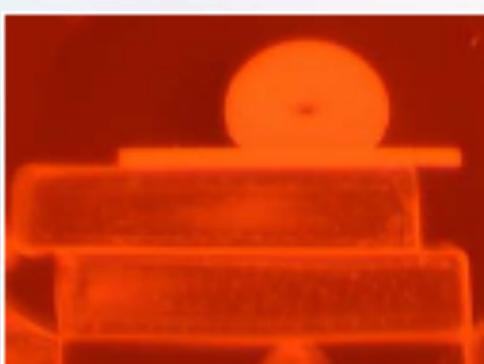
Electrode Adhesion Layer

- Platinized Si is common substrate
 - 400 – 500 nm thermal SiO_2
 - 20 – 40 nm adhesion layer: typically Ti or TiO_2
 - 100 – 200 nm Pt

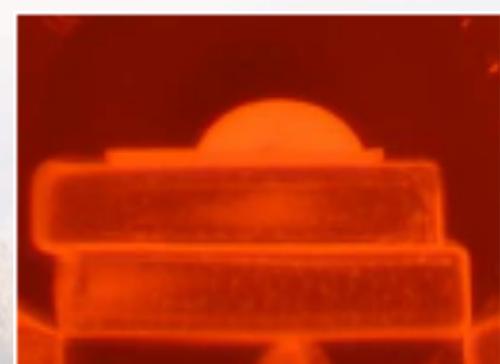


- Other adhesion layers:
 - Zr, ZrO_2 (Al Shareef et al., 1997, Zohni et al., 2008)
 - Ta (Kissurska et al., 1995)
 - Al_2O_3 (Halder et al., 2007)

- Previous work has shown Cu wets ZnO very well:



Molten Cu on Al_2O_3



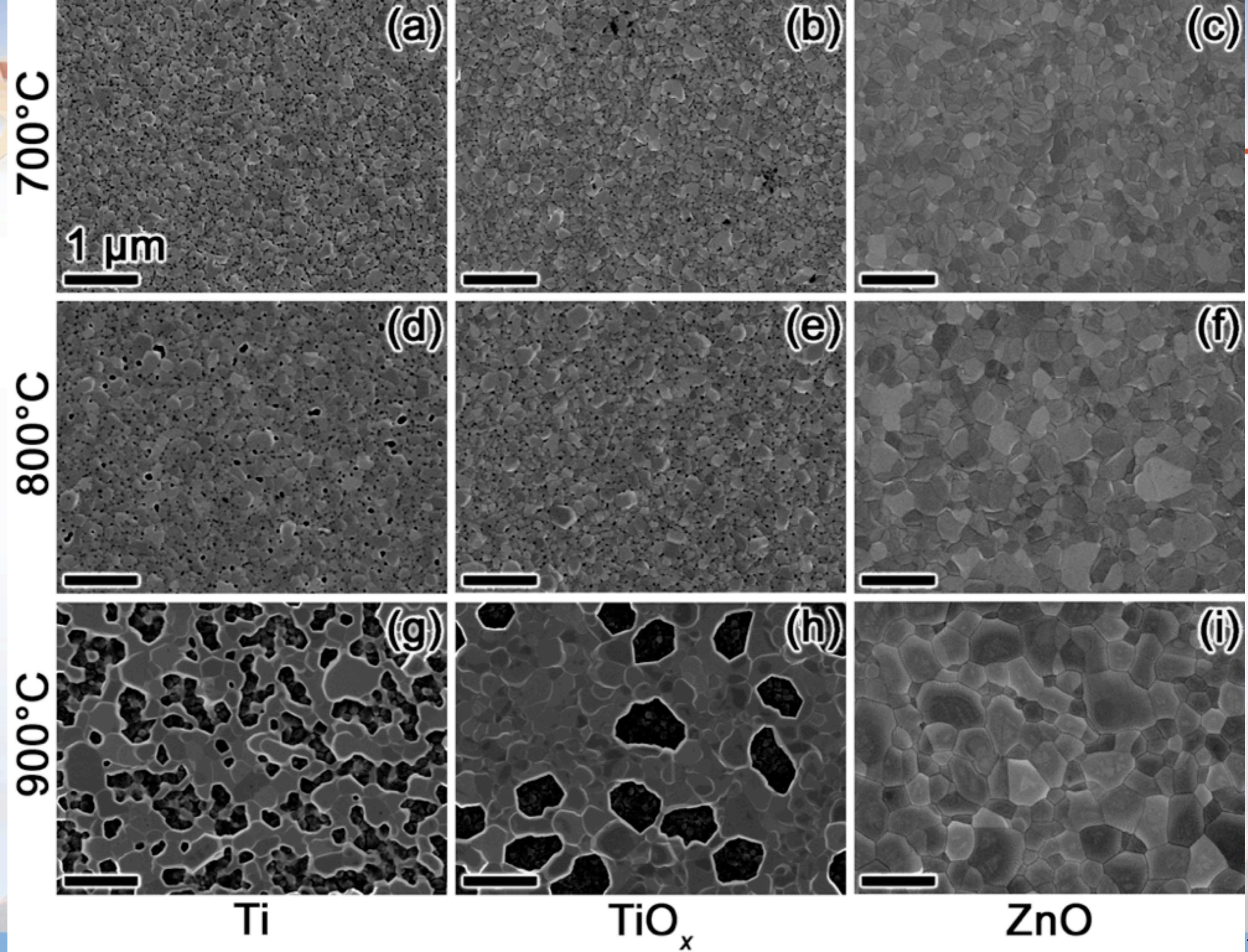
Molten Cu on ZnO

Substrate	Contact angle (°)	$W_a (\text{J/m}^2)$
Al_2O_3	133 ± 6	0.480 ± 0.142
ZnO	62 ± 5	2.012 ± 0.097

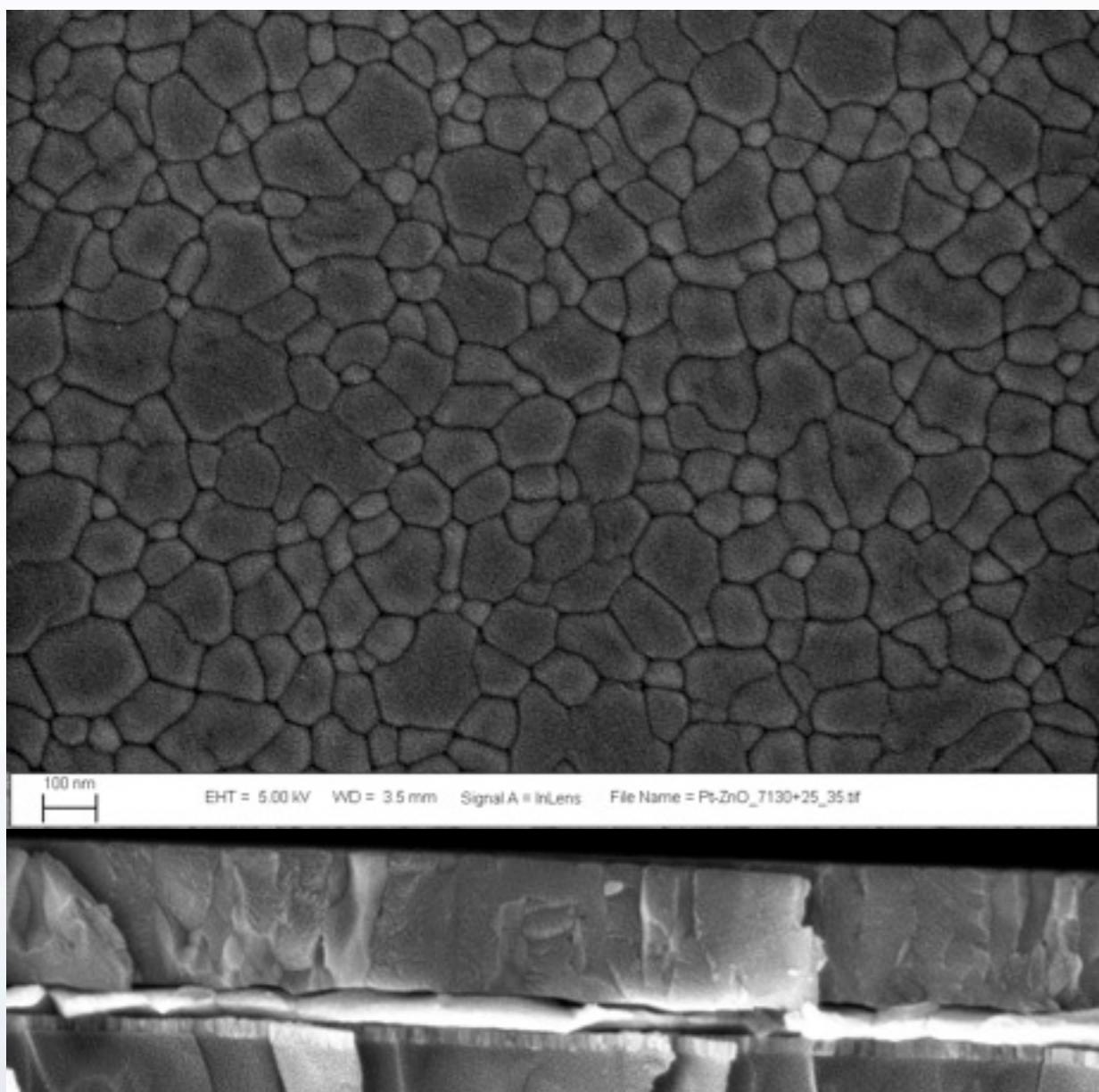
B. Laughlin, Ph.D. thesis, NCSU 2006



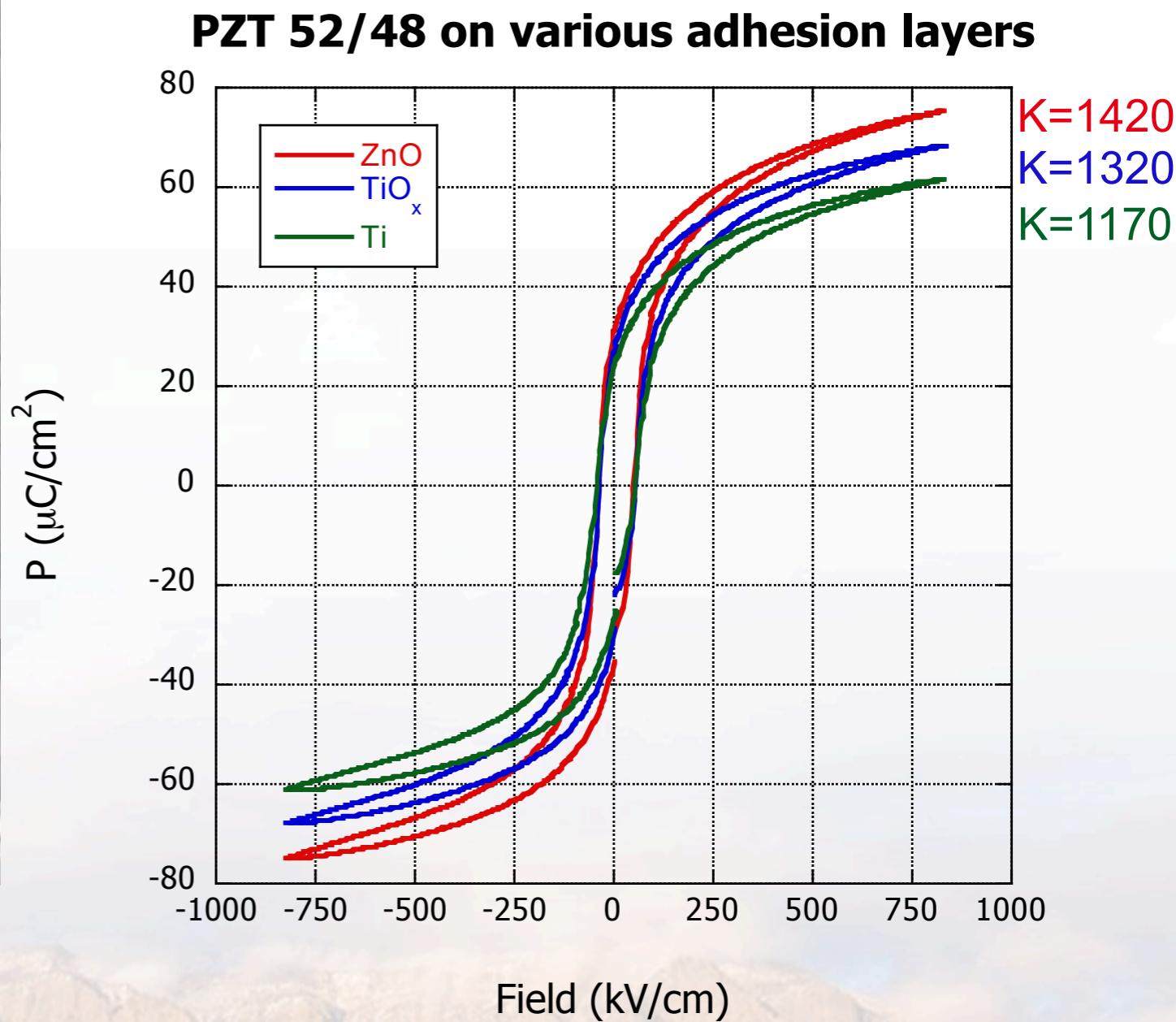
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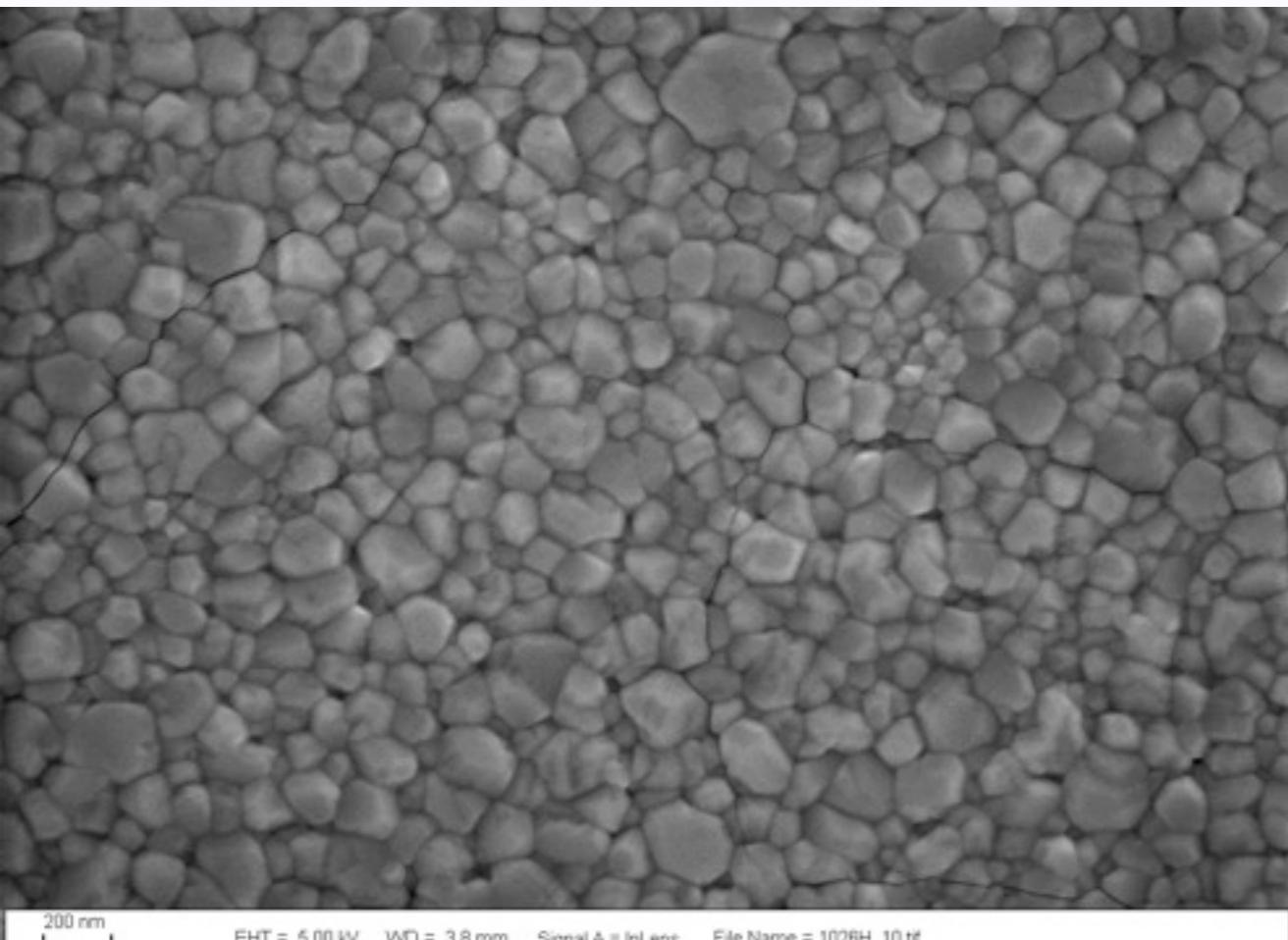
Resulting Ferroelectric Films



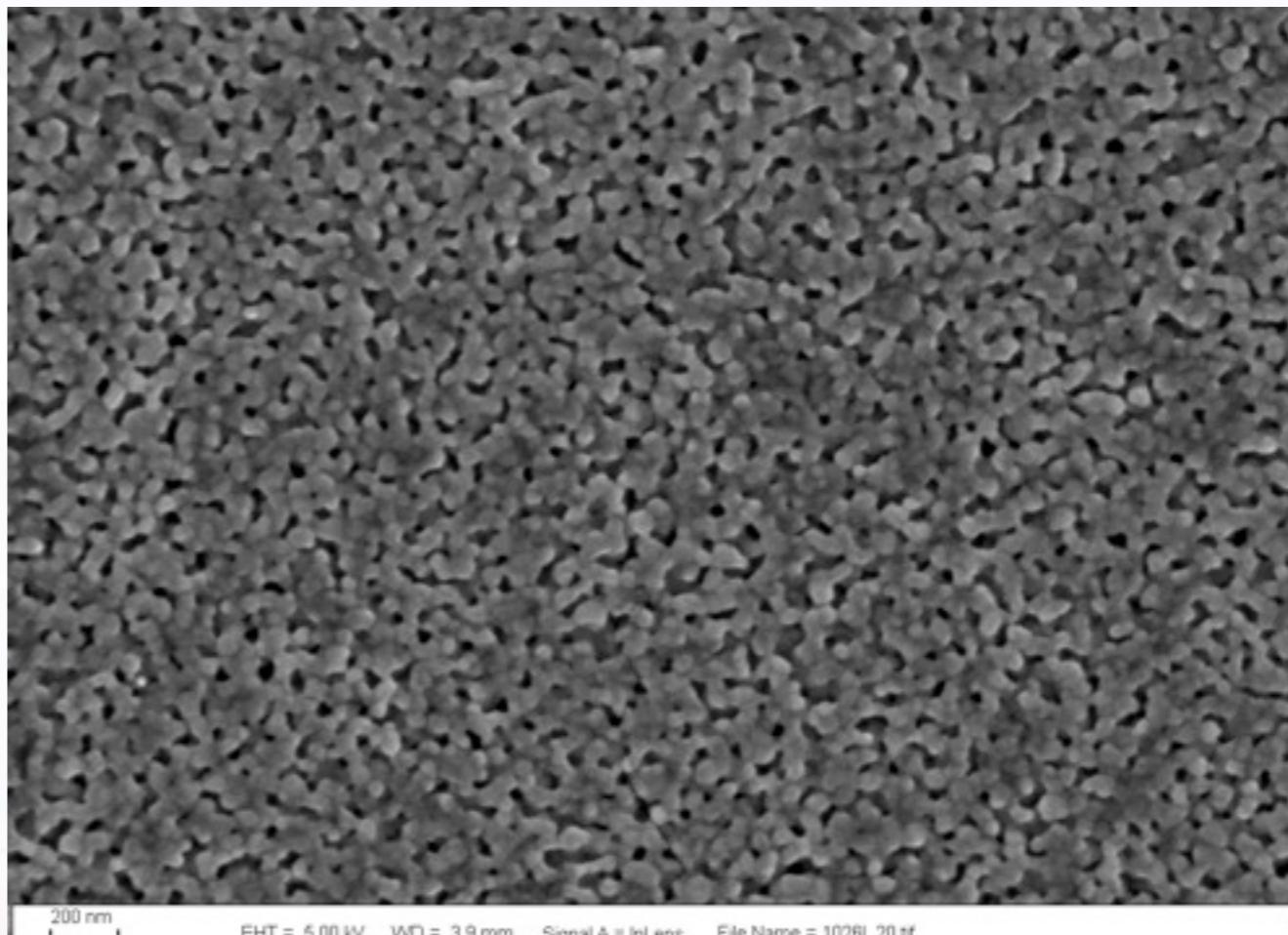
PZT on Pt//ZnO//SiO₂//Si



BaTiO₃ on Platinized Silicon

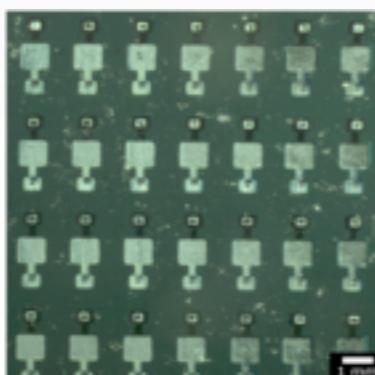


BaTiO₃ on Pt//ZnO//SiO₂//Si
K = 1350

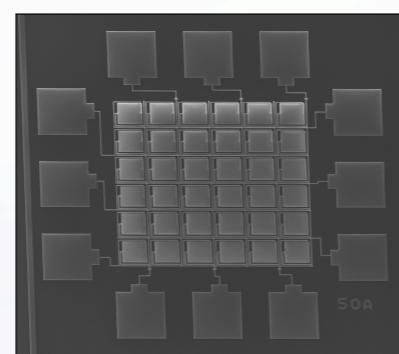
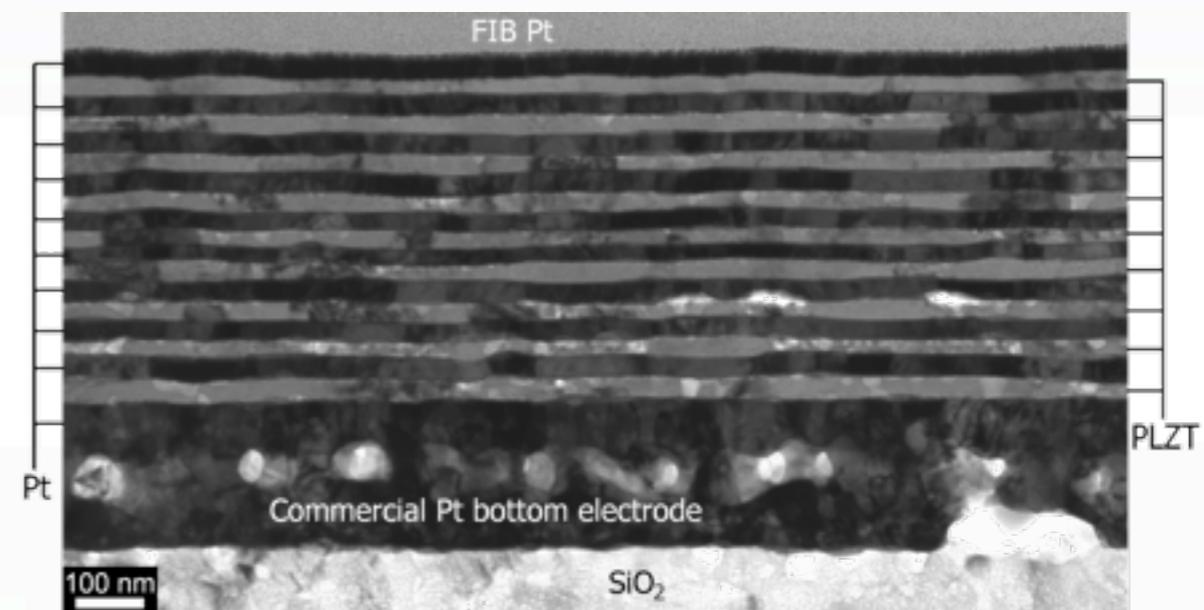


BaTiO₃ on Pt//TiO_x//SiO₂//Si
K = 400

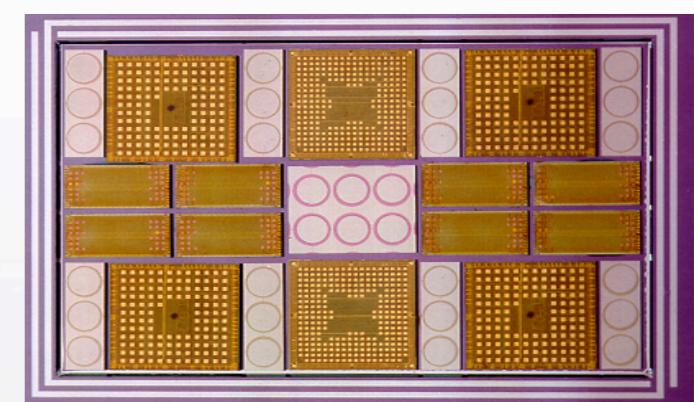
From Blanket Film to Functional Structure



Functional PZT-based multilayer capacitor structures



Pyroelectric pixels w/aerogel insulation



Multichip module with PZT thin-film capacitor arrays



PZT-MEMS piezo cantilever beam for energy harvesting



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Doing More with the Same?

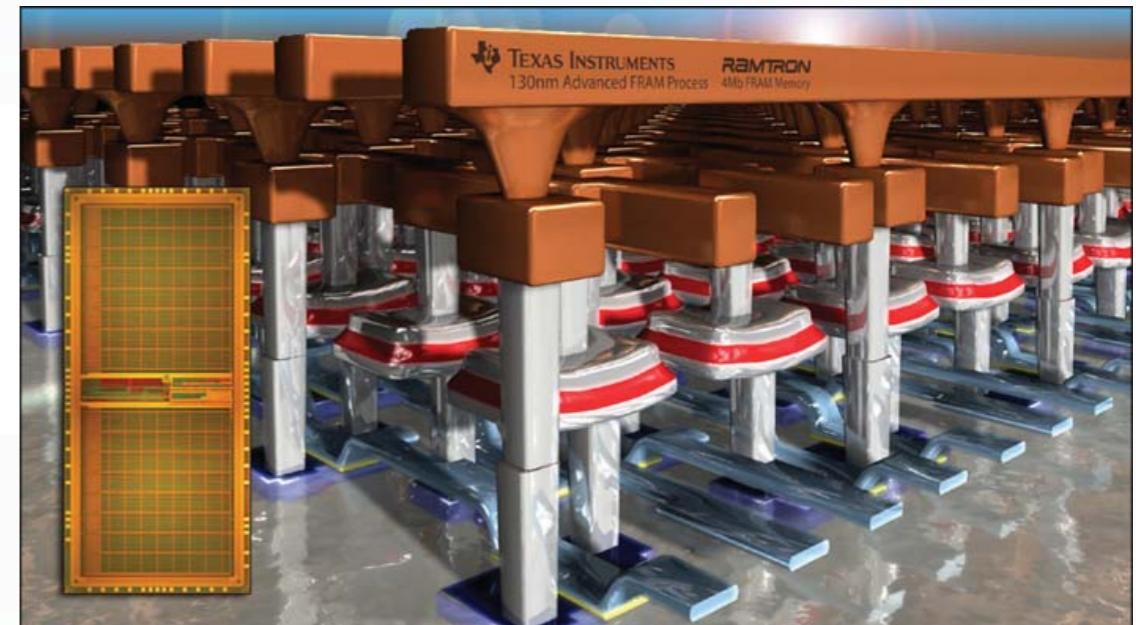
Integration of materials with new and/or increased functionality

General Fabrication Technique for Controlled Nanopatterning

- Any material, any substrate
- Arbitrary, addressable features/patterns
- Platform for size/interface effects studies, device development, etc.

Why Ferroelectrics?

- Demonstrate broad applicability
- Study fundamental lateral size and aspect ratio effects
- Ultrahigh density NVRAM



From MRS Bulletin v33 (2008), originally from TI, Ramtron

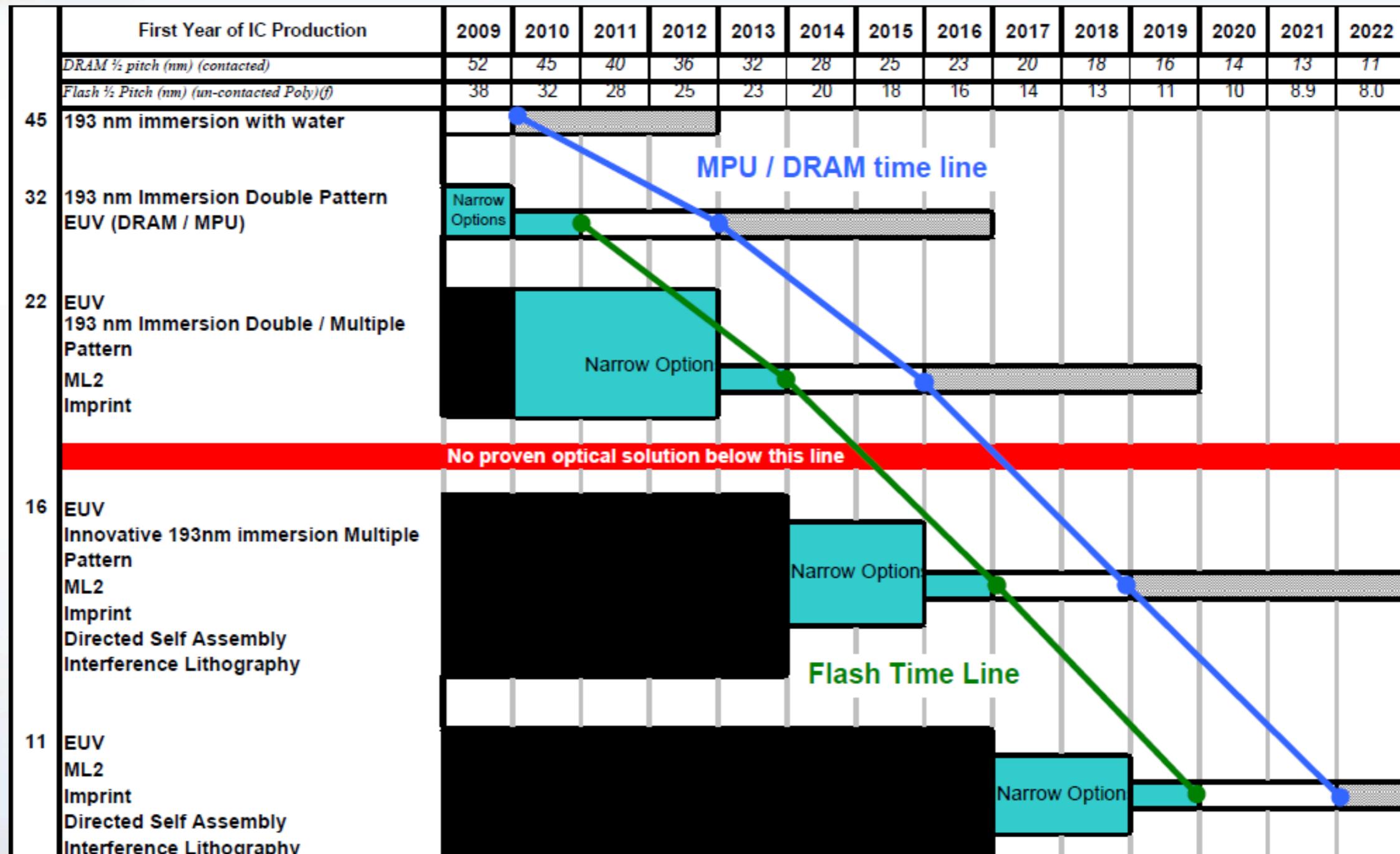
Target Demo Application Information Storage (NVRAM)

- Reduce physical size
- Reduce power consumption
- Improve operation through interface control



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Doing Moore with the Same?



This legend indicates the time during which research, development, and qualification/pre-production should be taking place for the solution

Research Required



Development Underway

Qualification / Pre-Production

Continuous Improvement

ITRS 2009

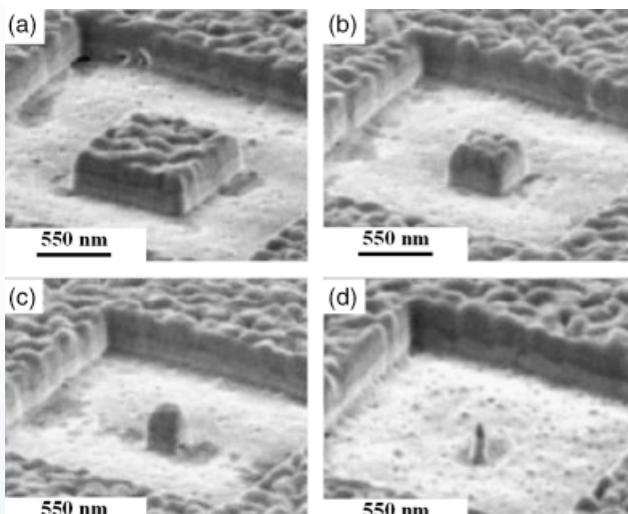


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Micro-, Nano-Patterning of Arbitrary Materials

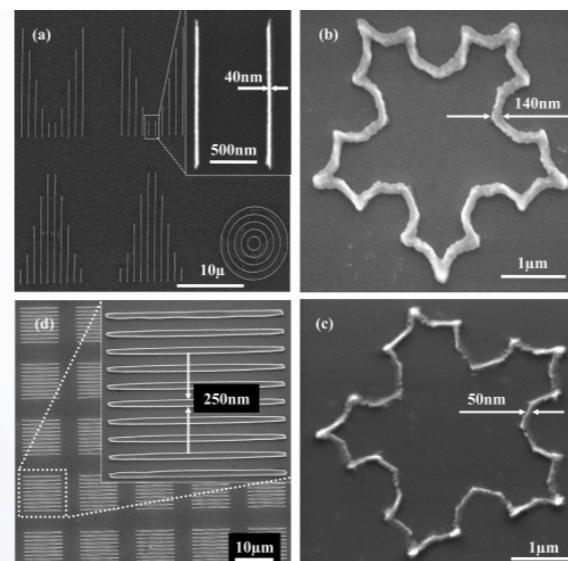
■ Challenges of expanding beyond ‘standard’ materials

Fabrication

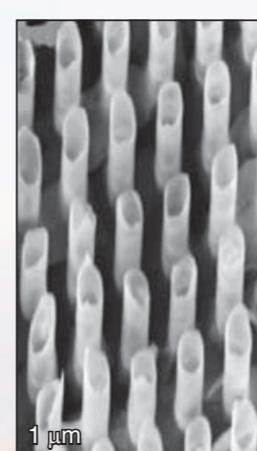


Ganpule et al., MRS Proc. (2001)

Patterning

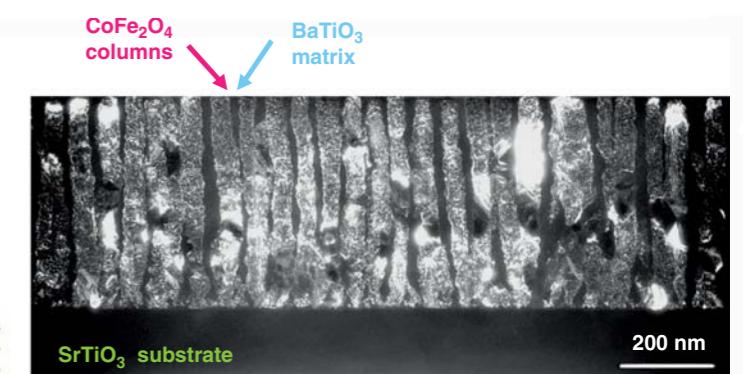


Donthu et al., Nano Lett. (2005)



Scott et al.,
Nano Lett. (2008)

Integration



Zheng et al., Science (2004)

■ Need functional crystalline nanostructures without needing to develop new etching / integration approaches for each new material(s)

■ **Extreme limitations on use of fab tools**

Overview of Our Approach

- Goal: Combine flexibility and functionality of chemical solution deposition with use of e-beam and BCP patterning capabilities

Solution Deposition

Fabrication

Patterning

Integration

Performance

DSA-BCP

Fabrication

Patterning

Integration

Performance

Challenges:

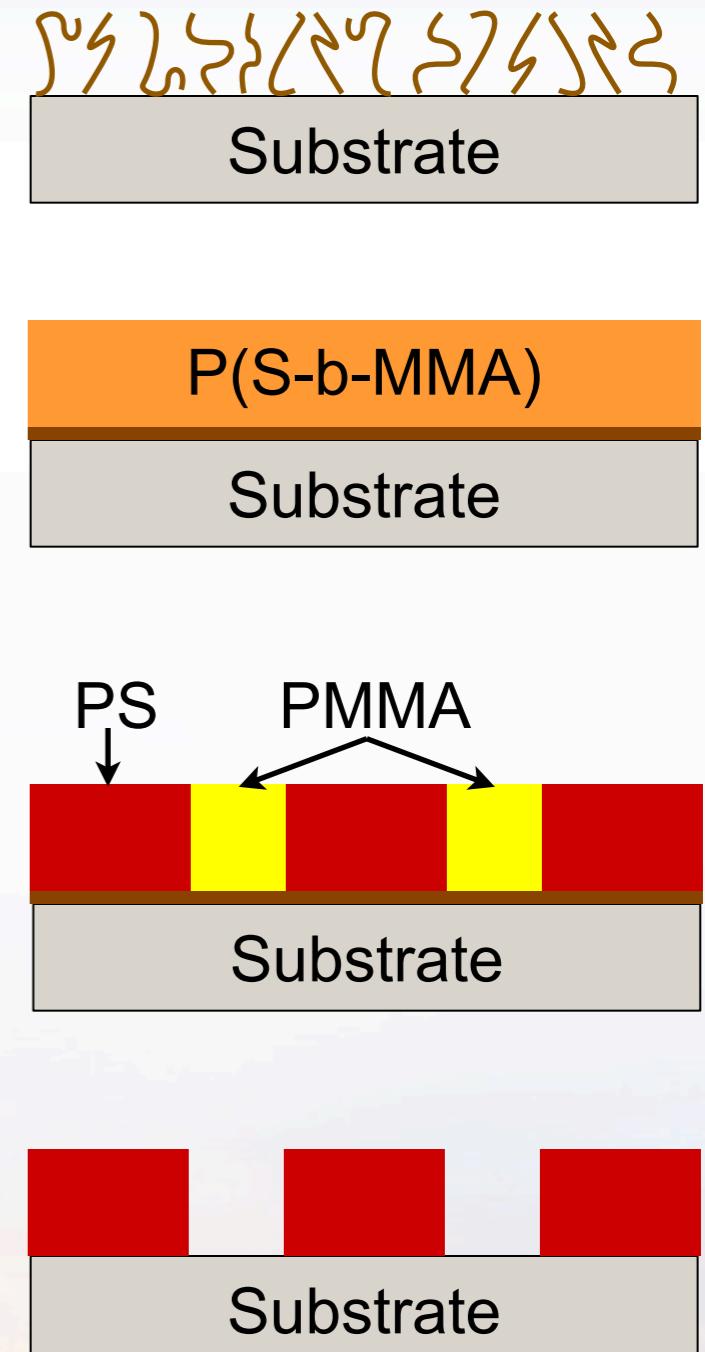
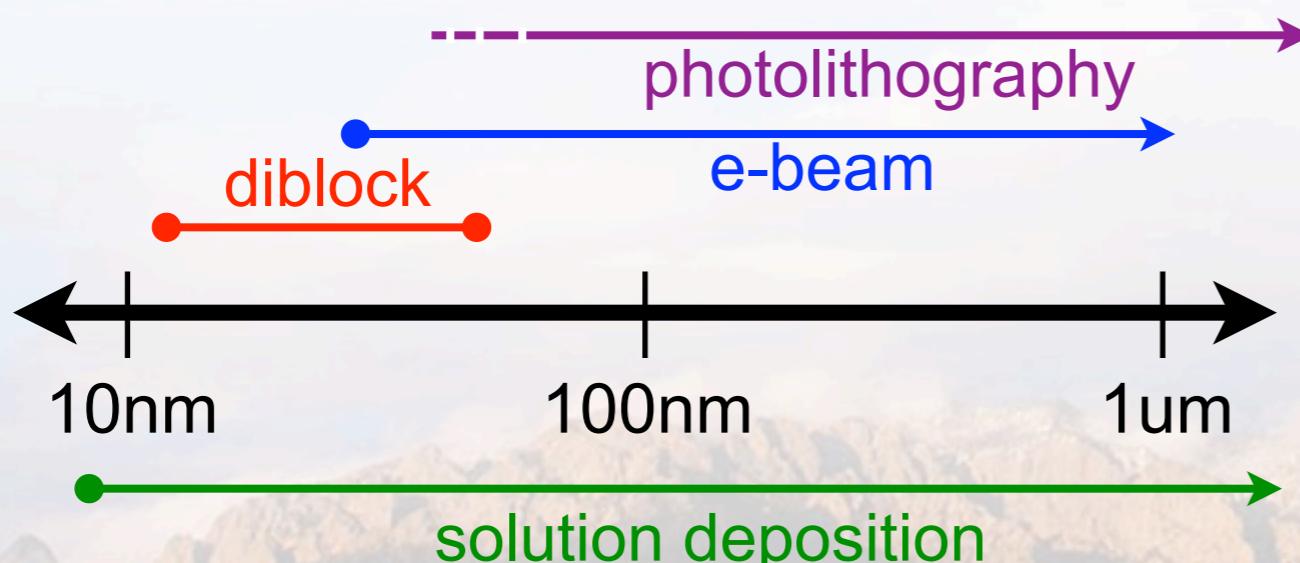
- Avoid etching functional materials
- Avoid any fab-based processes during/ after deposition of functional materials
- Maintain feature integrity after thermal treatment(s)
- Retain function in nanoscale features



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Patterning

- Continuous films are very limited in function
- Difficulties of etching PZT-based films
 - Access to tools...
 - Property/reliability degradation
- Alternative approaches to patterning/integration
 - Direct write
 - Microcontact printing
 - Various transfer techniques
 - PZT-friendly lithography



Guarini, K W, et. al., *J. Vac. Sci. & Tech. B*, 2001, **19** (6), 2784-2788



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Sub-22nm Lithographic Options

Extreme Ultraviolet Lithography (EUVL)

13.2 nm soft x-ray source power

- (+) high-resolution resist development
- (-) poor Line Edge Roughness (LER)
- (-) complex, **costly**

Mask-less Lithography (ML2)

- (+) high resolution electron-beam, ion-beam
- (-) slow serial process, **costly, charge build-up**

Interference Lithography (IL)

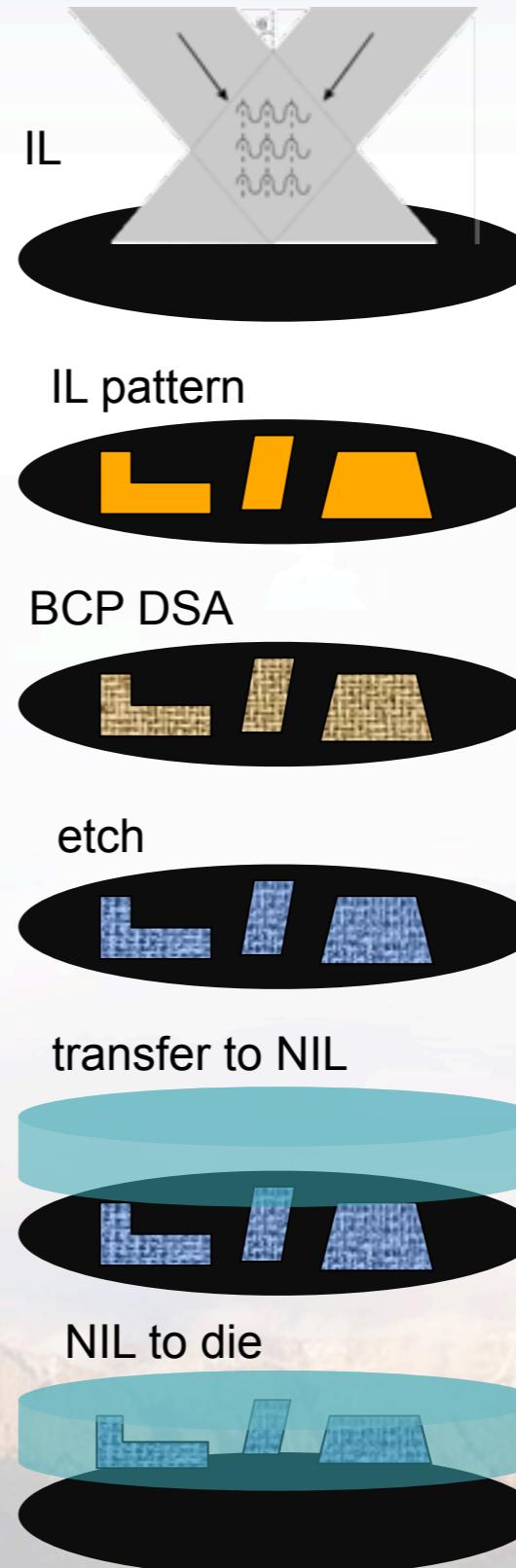
- (+) rapid, large area, parallel process
- (+) **low cost** (rapid, large area, maskless)
- (+) tunable symmetry, period, motif
- (-) layer alignment & spatial pattern variation difficult

Directed Self-assembly (DSA)

- (+) alignment to pre-pattern gives long-range order periodicity set by size of blocks
- (+) pattern rectification and density multiplication
- (-) slow process with many steps

Nano-Imprint Lithography (NIL)

- (+) long-range order set by master
- (-) overlay can be difficult
- (+) high resolution
- (+) **low cost**



IL-defined chemical pre-patterns

- 60-90 nm pitch, ~4 cm² areas

BCP Directed Self Assembly

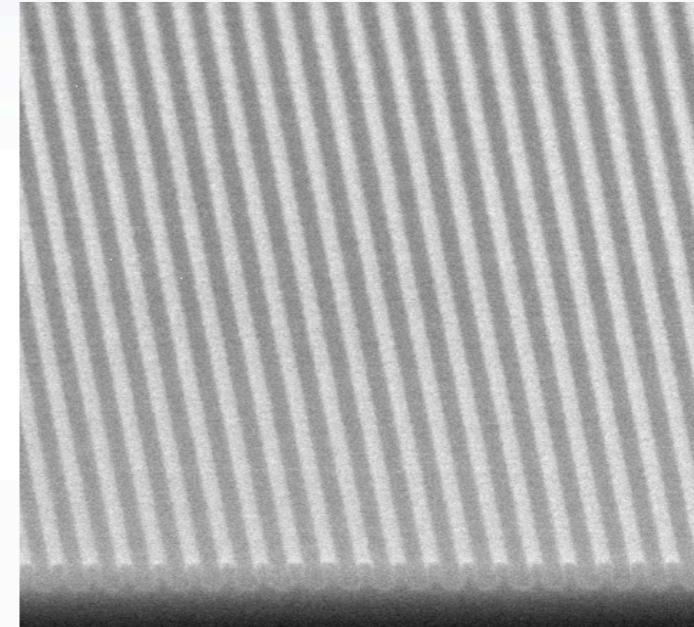
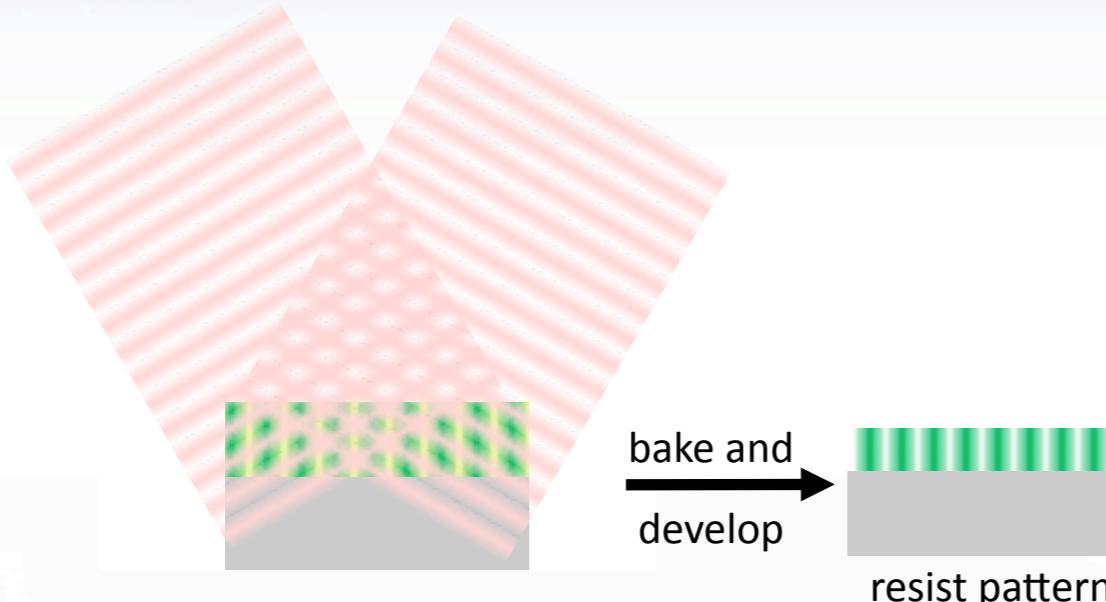
- 20-30 nm pitch device patterns
- 10-50 nm CDs
- Half-pitch to ~11 nm over ~4 cm² areas

Pattern transfer to create Nano-Imprint lithography (NIL) device masters

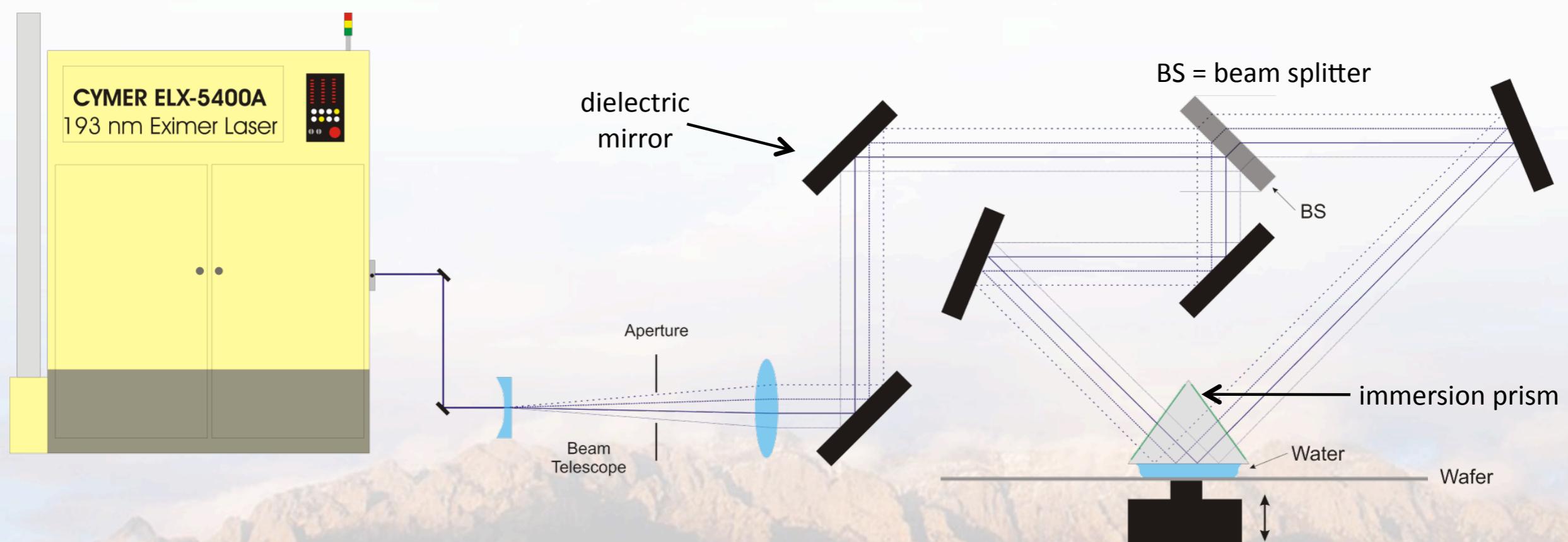


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Optical Interference Lithography



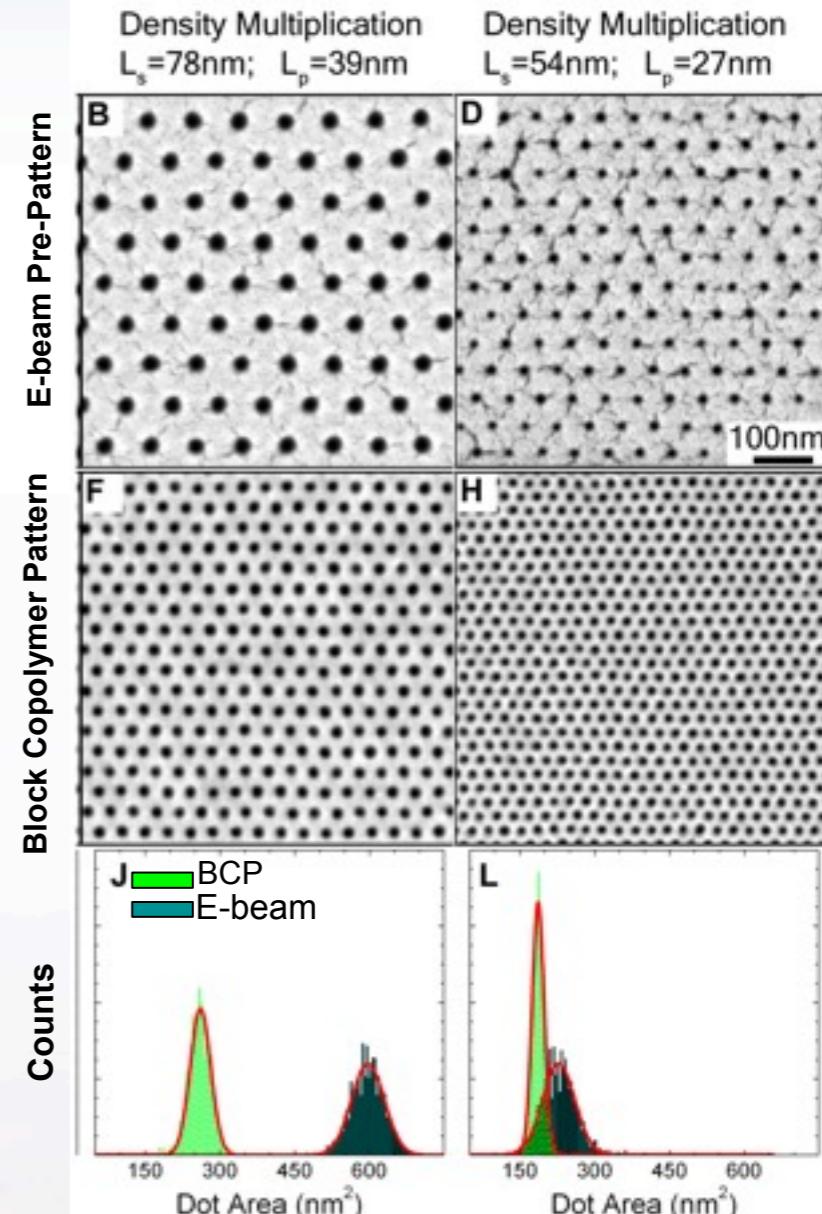
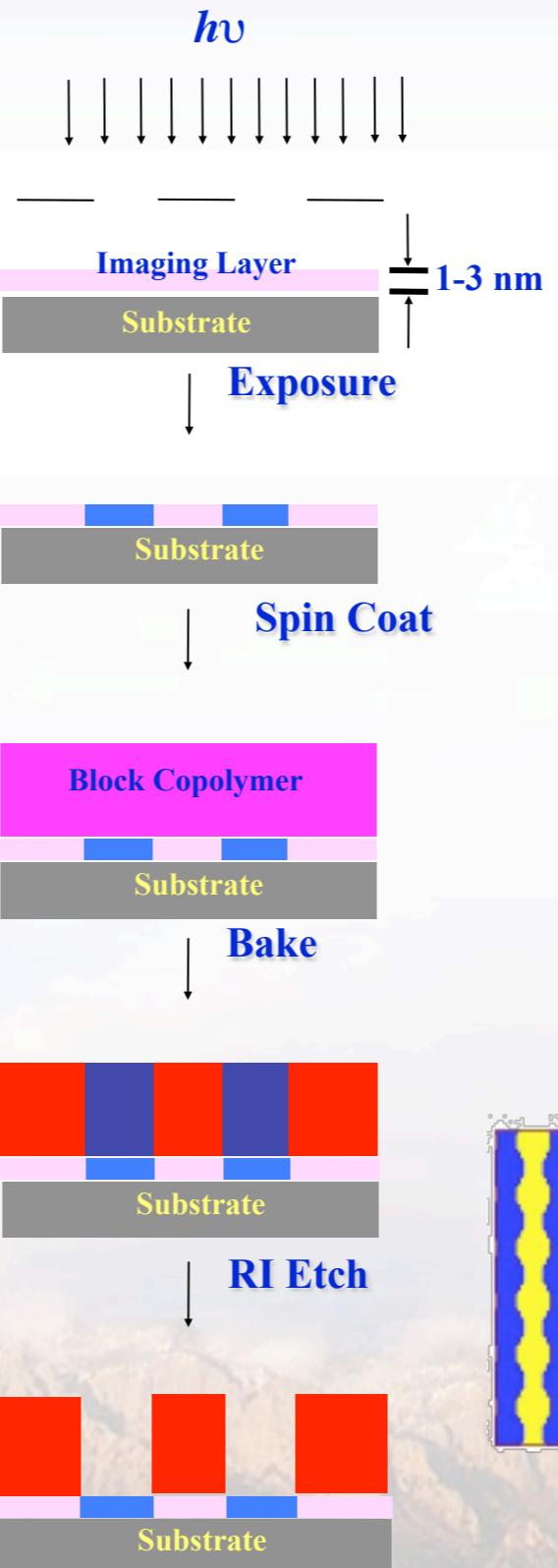
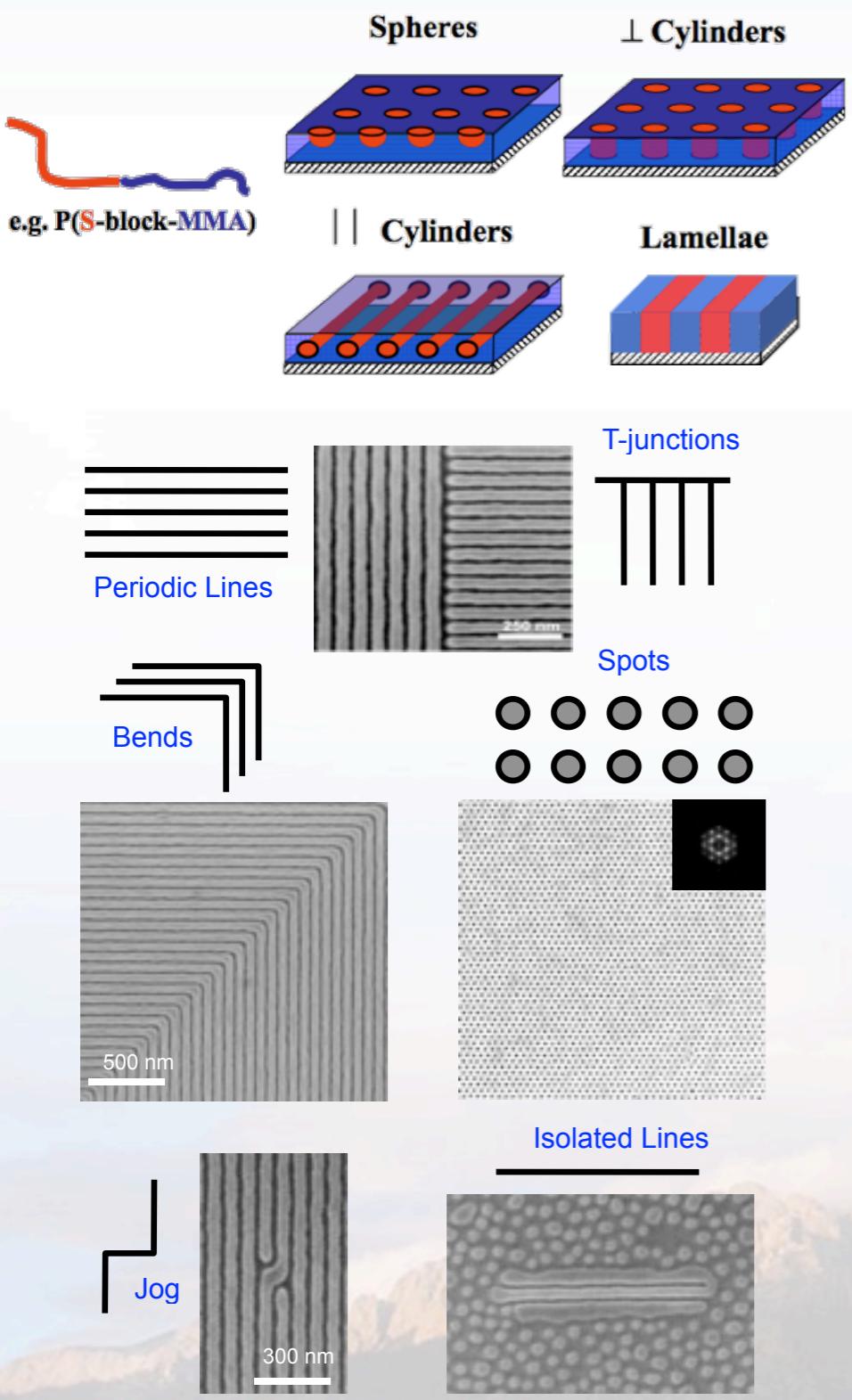
Critical dimensions ~ 70 nm
Patterned areas $\sim 4\text{cm}^2$



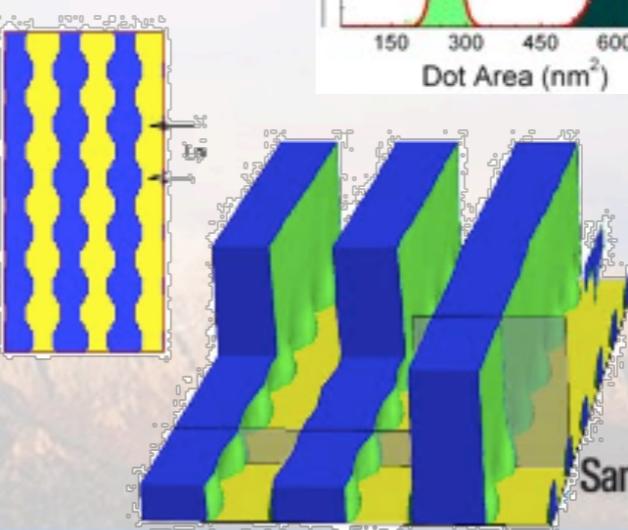
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Block-Copolymer Directed Self Assembly

with Profs. Paul Nealey
and Juan de Pablo



Ruiz, Nealey, de Pablo et al. *Science*, 2008

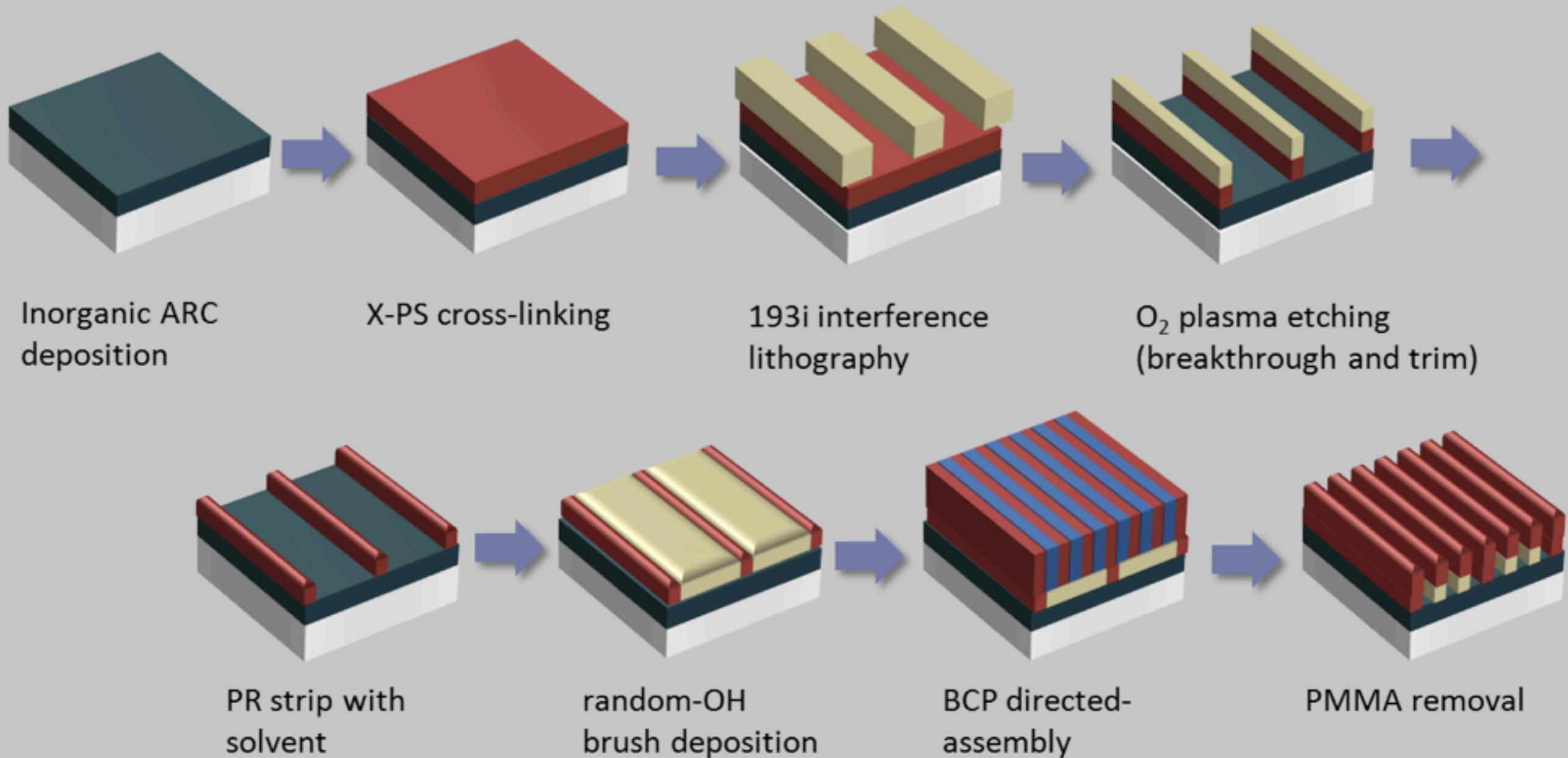


Daoulas et al., *Langmuir*, 2008

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Density Multiplication

Process flow with ARC and 193i



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3x density multiplication
30nm features in 90nm IL pattern
over mm² areas

Density Multiplication

22-22k on 100nm

300nm

18-18k on 110nm

300nm

4X Multiplication

Molecular weight inaccuracies, inconsistencies, and distributions limit continued multiplication factors

Surface interactions are crucial



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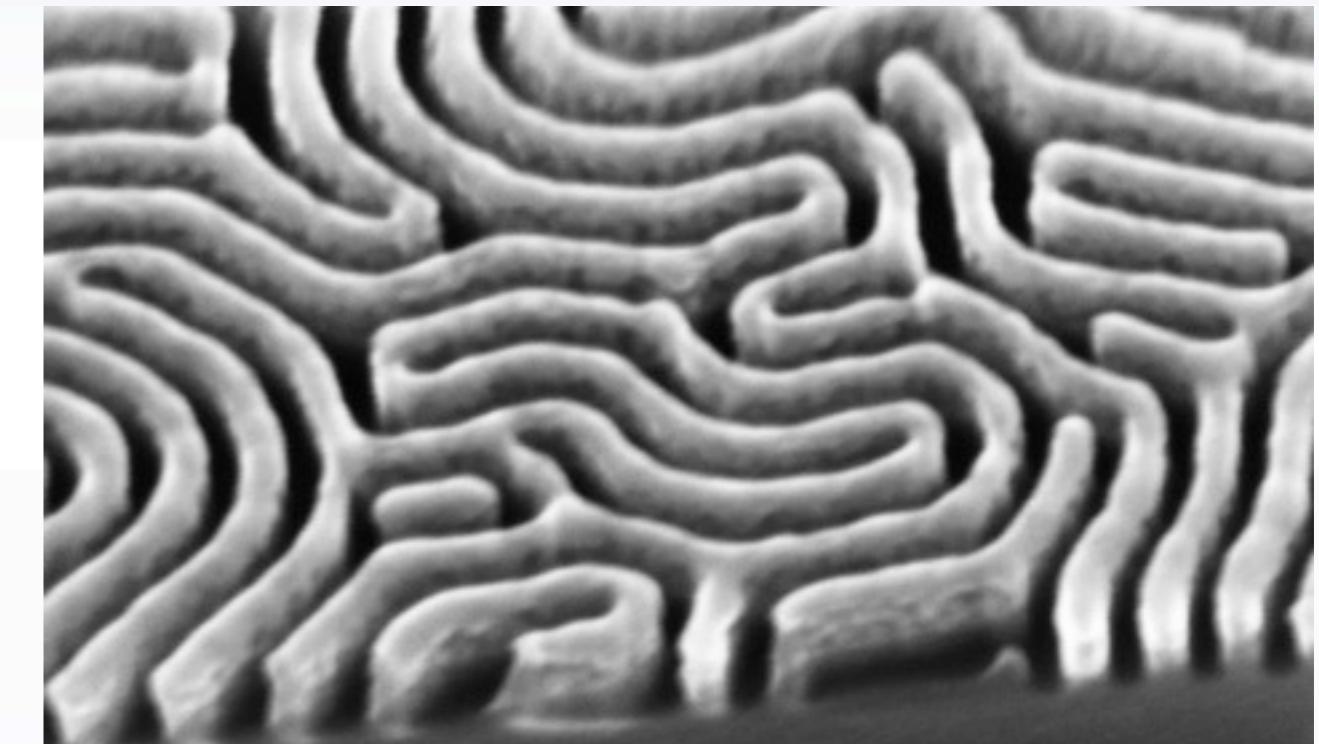
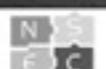
Pattern Transfer



BCP-defined Cr hard mask

Mag = 100.00 K X 100nm

EHT = 10.00 kV Brightness = 42.5 % Date :26 Mar 2010
WD = 4 mm Contrast = 38.8 % Time :20:41:40

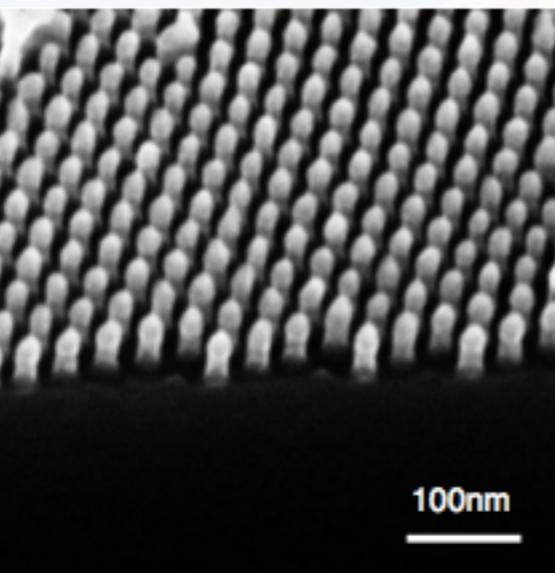
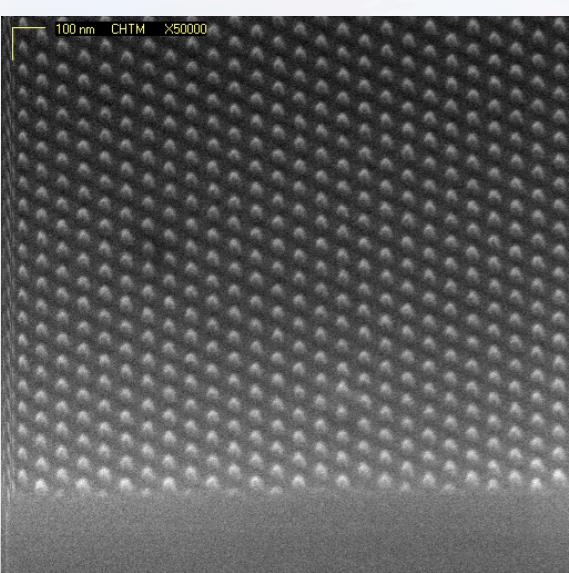


Signal A = InLens
Photo No. = 7388

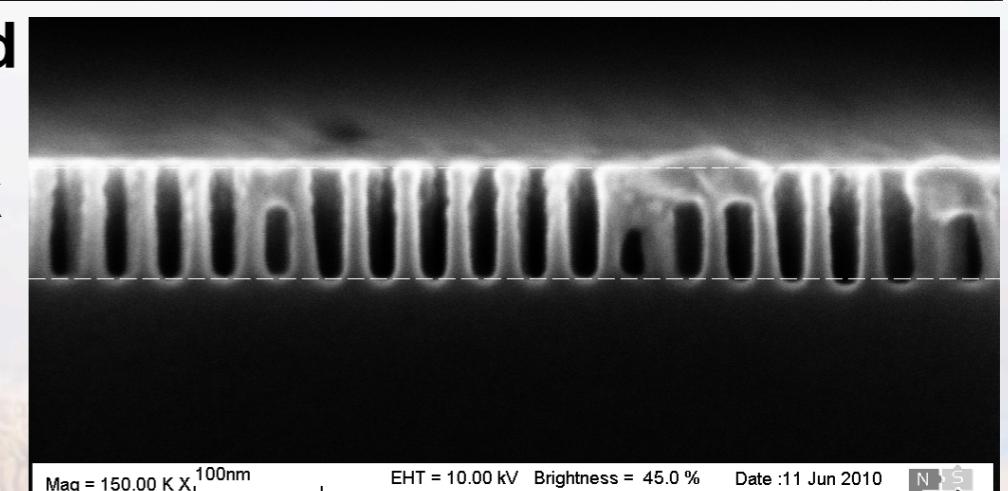
Date :31 Mar 2010
Time :22:36:30



Si etched
through
Cr mask



Si pillars
defined
by BCP
for NIL
master



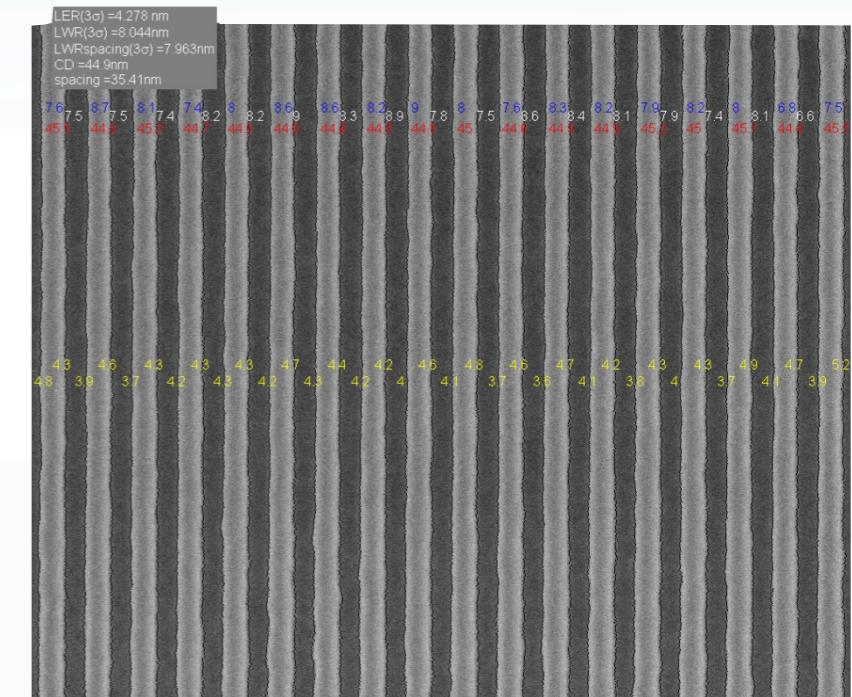
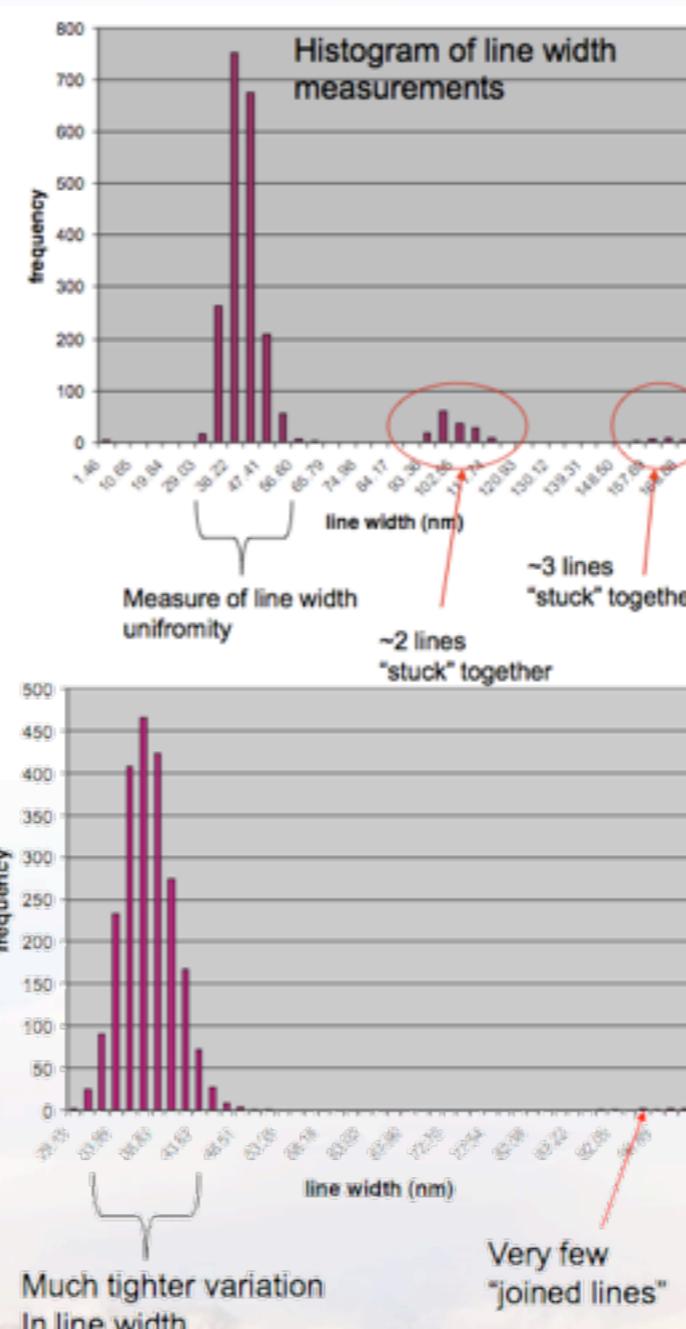
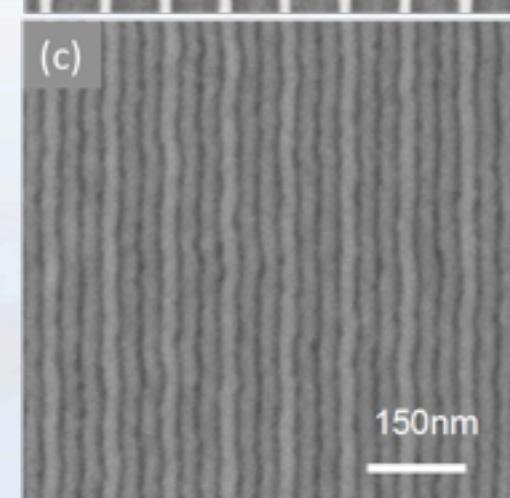
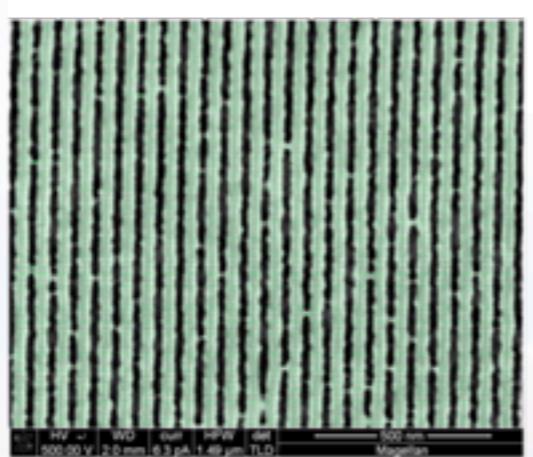
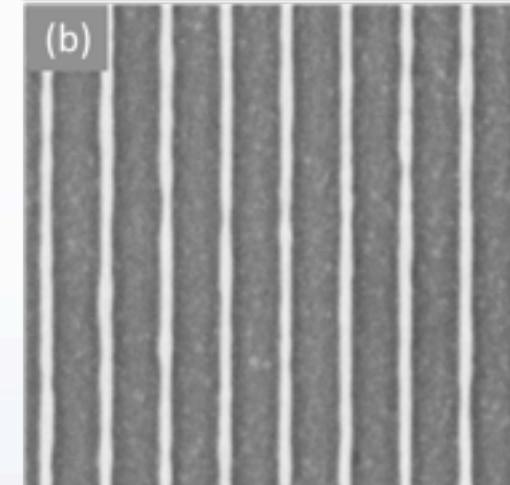
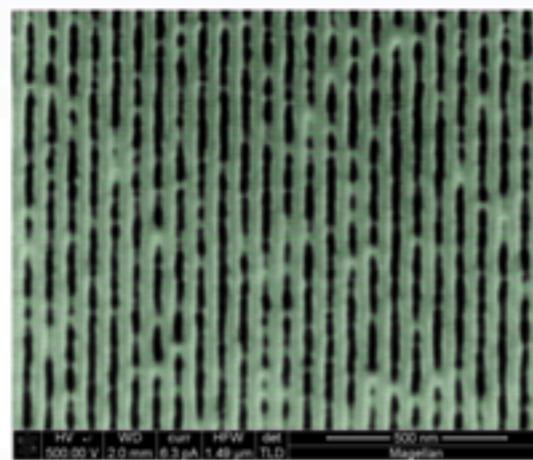
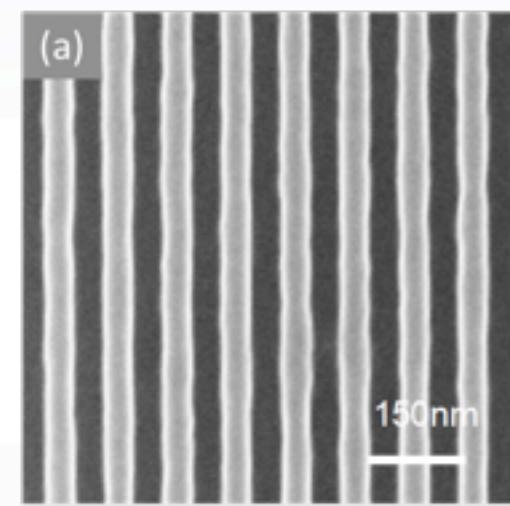
Mag = 150.00 K X 100nm

EHT = 10.00 kV Brightness = 45.0 % Date :11 Jun 2010
WD = 4 mm Contrast = 38.3 % Time :17:20:39



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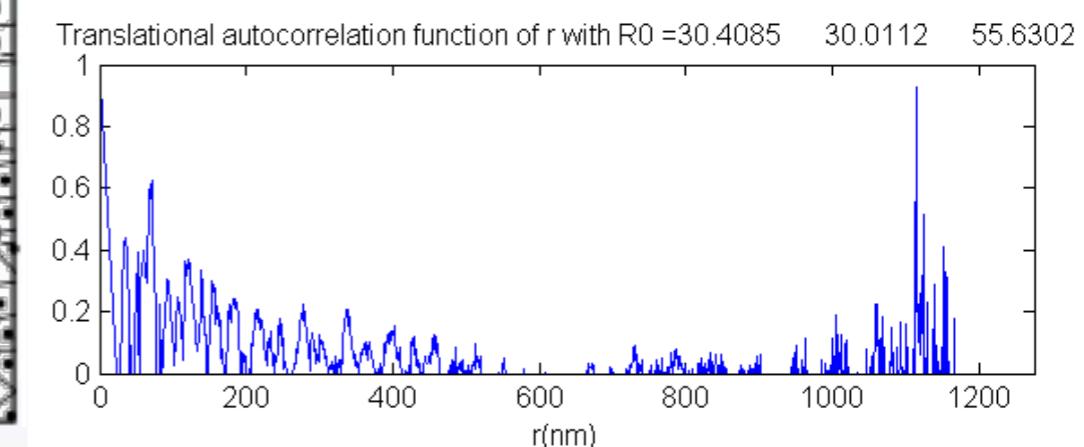
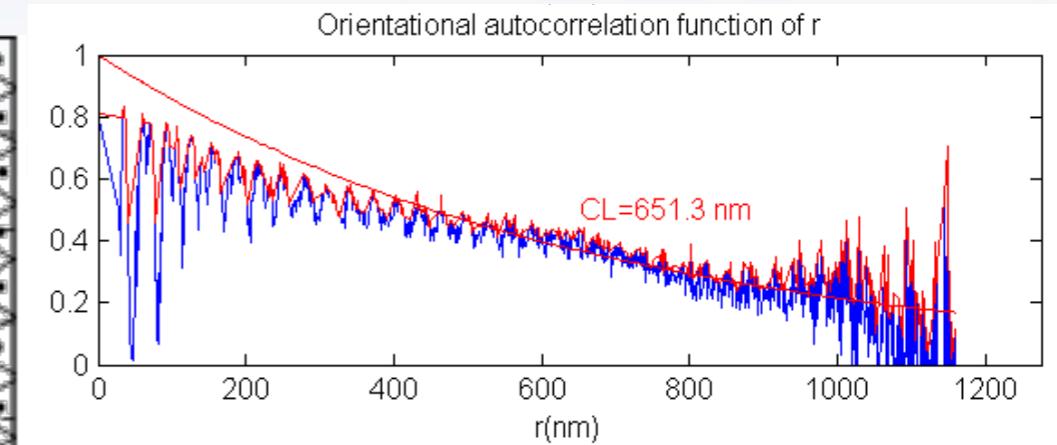
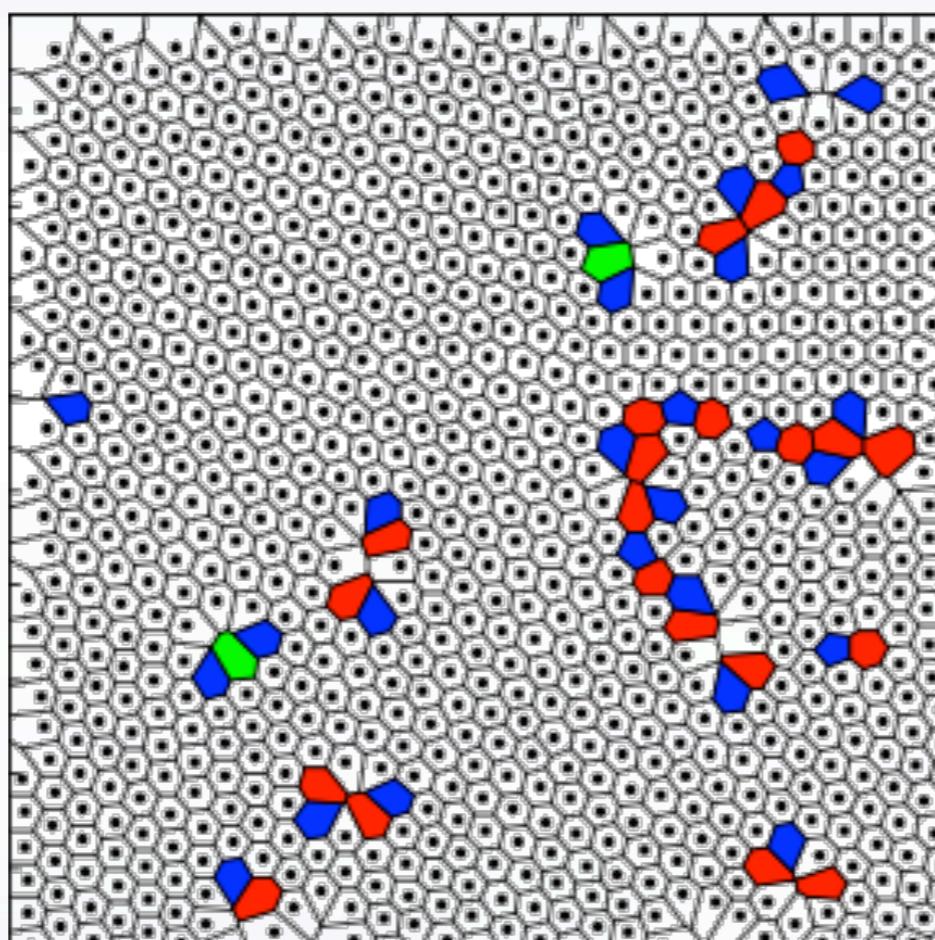
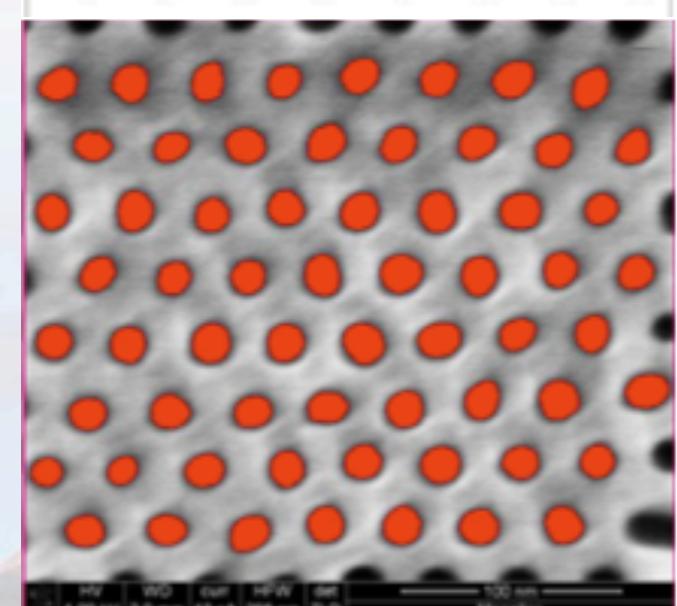
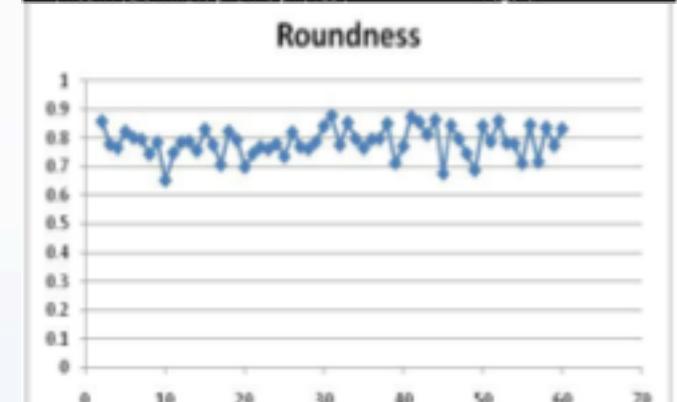
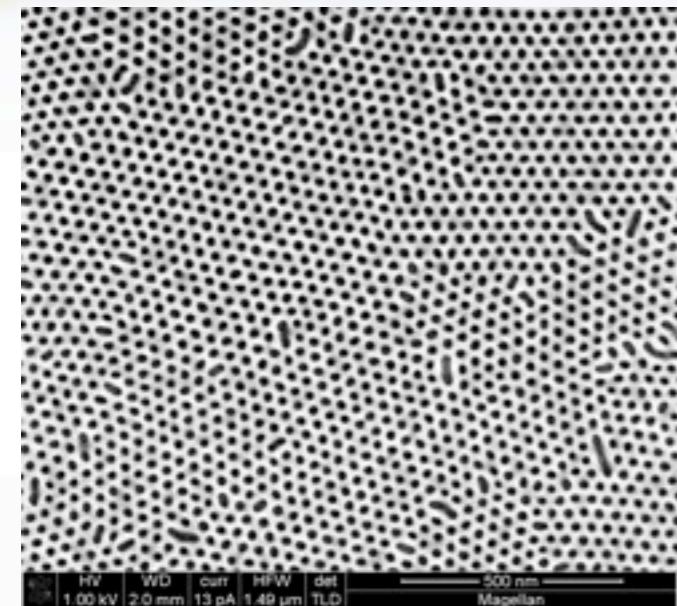
Metrology



Line Edge Roughness (LER)
3 σ deviation of a line edge
from best-fit straight line
target LER < 5 %

Intel-blessed standard, quantitative, non-destructive
feature/defect analysis at each stage of process

Metrology

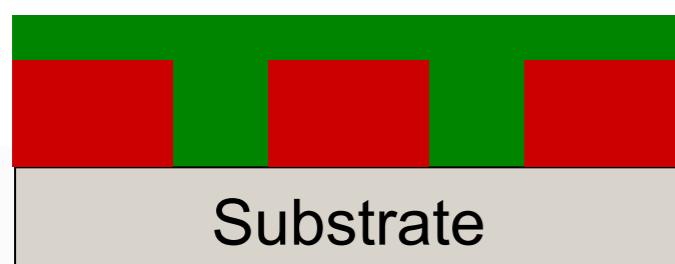


All made possible by FEI Magellan SEM:
quantitative sub-nm measurements from
uncoated samples

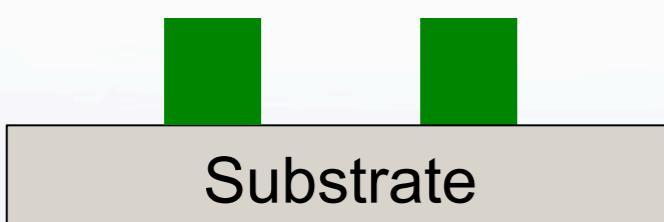


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Additive Fabrication of Patterned Electronic Oxides

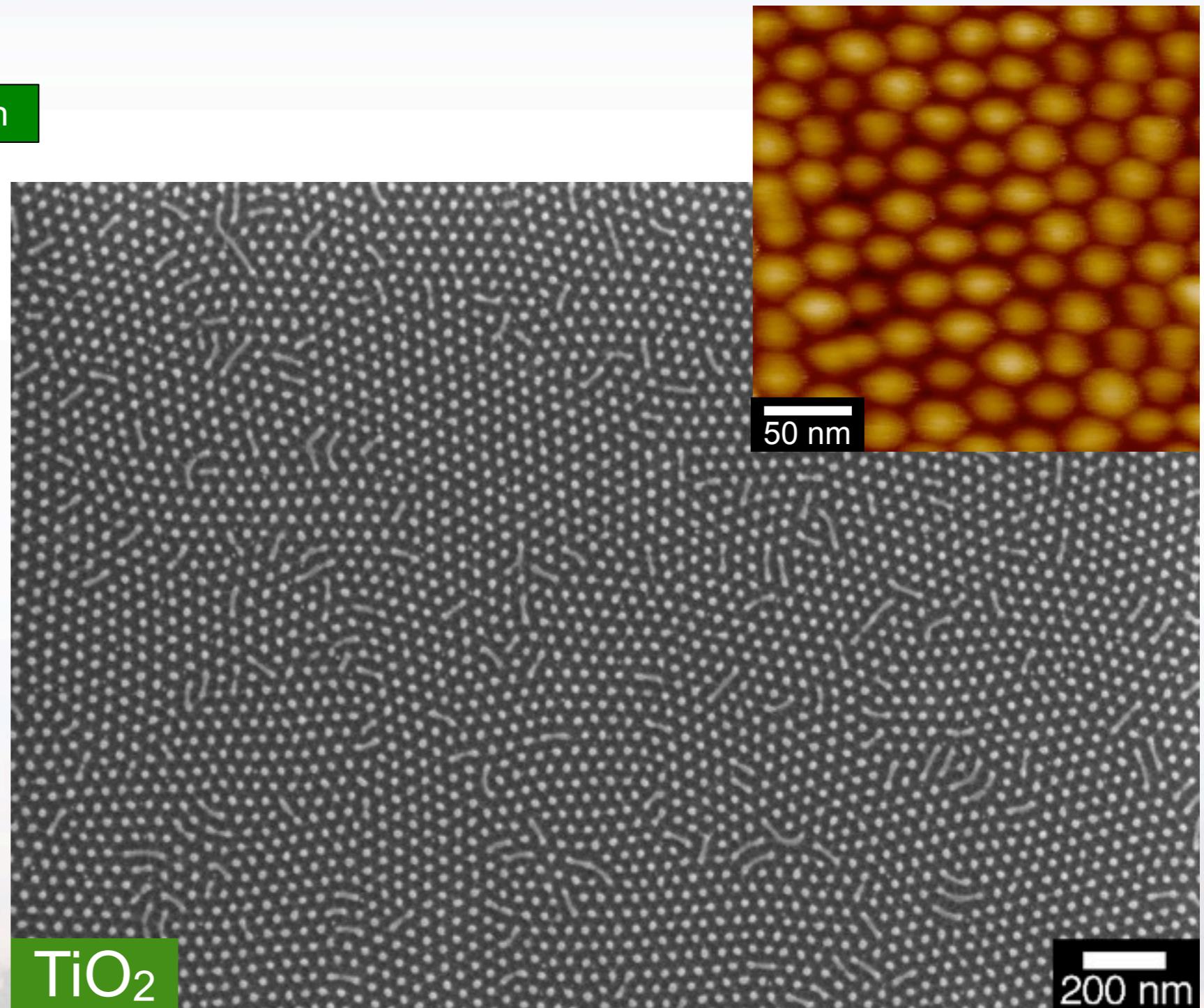


Fill, Gel



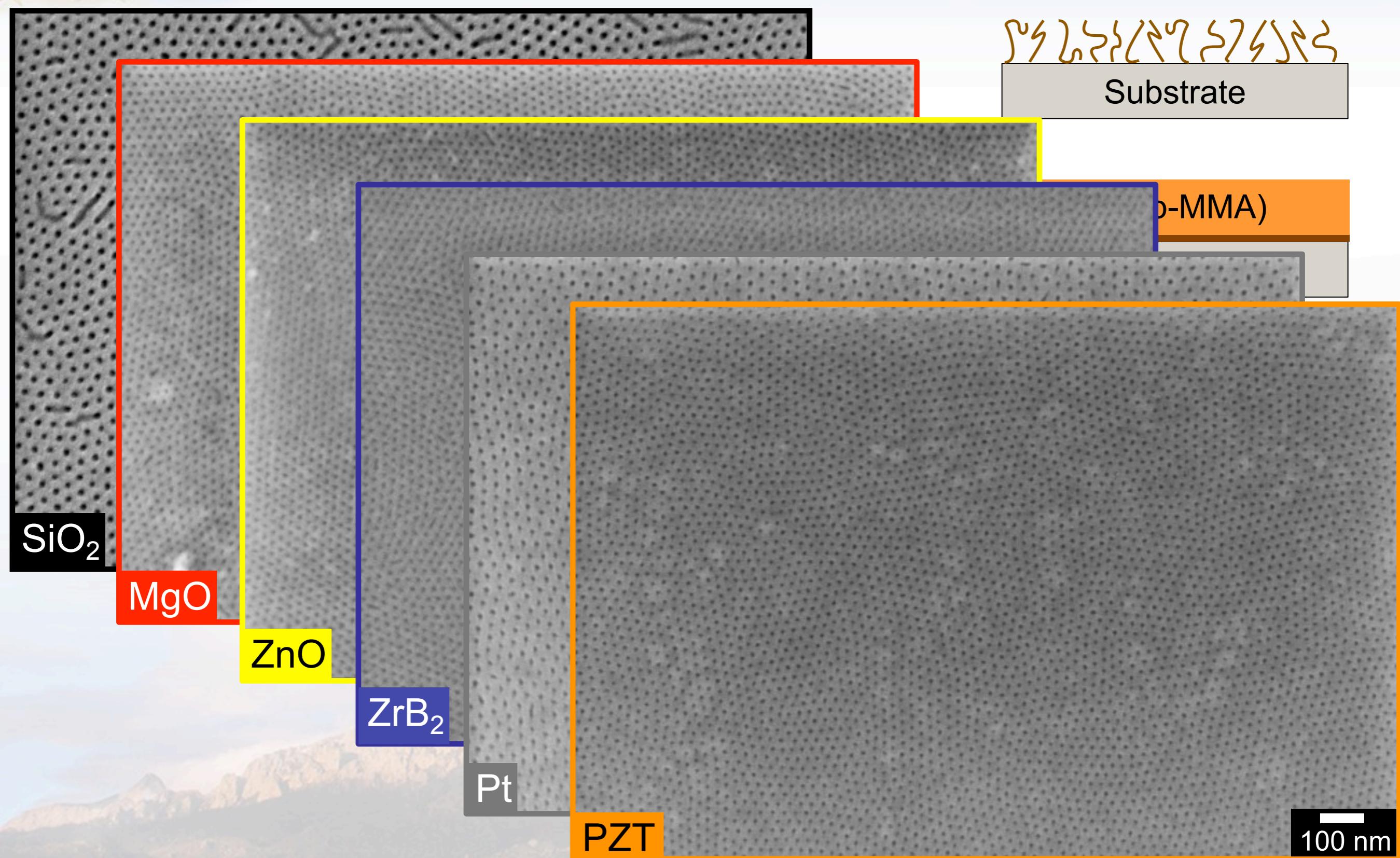
Remove Mask

$\text{CHF}_3 + \text{O}_2$



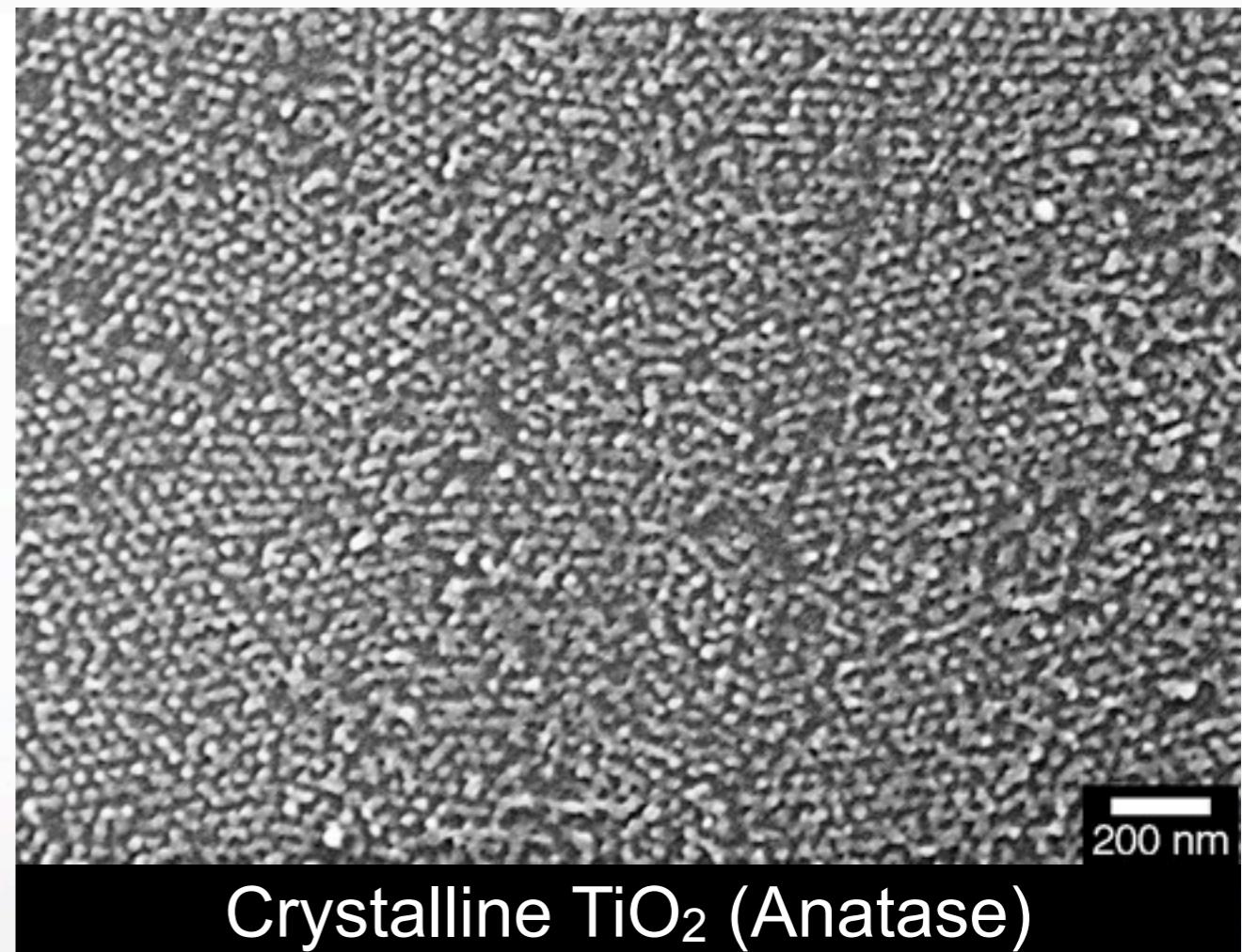
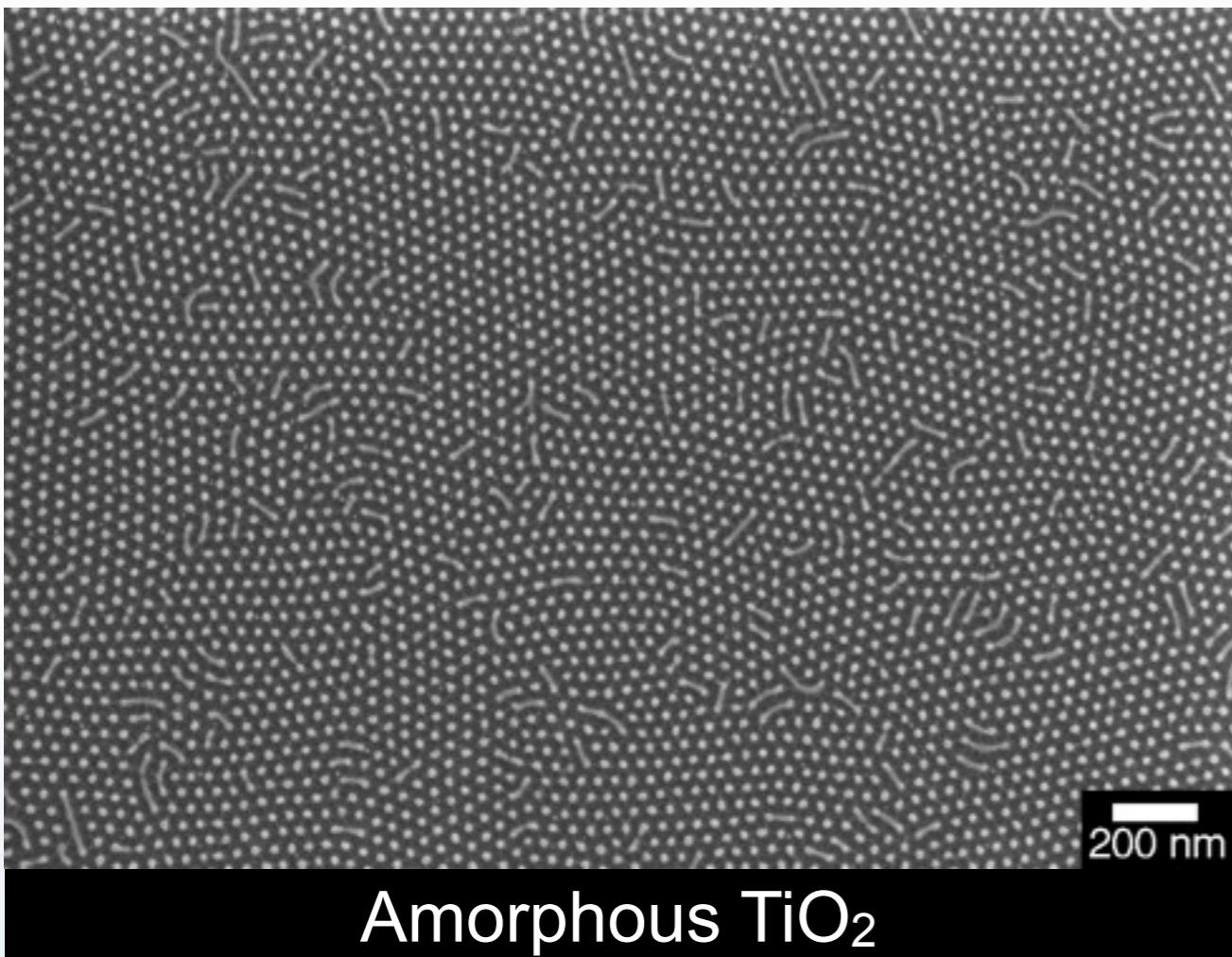
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Diblock Assembly on Various Substrates



Crystallization Destroys Freestanding Nanofeatures

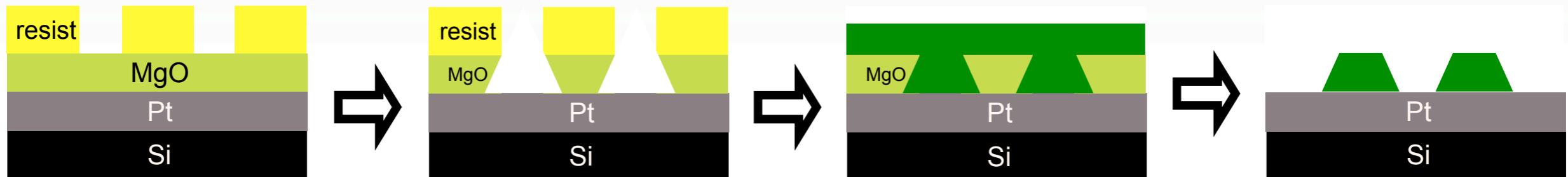
After removal of PS mask, TiO_2 nanopillars were heated to 550°C for 30min to crystallize



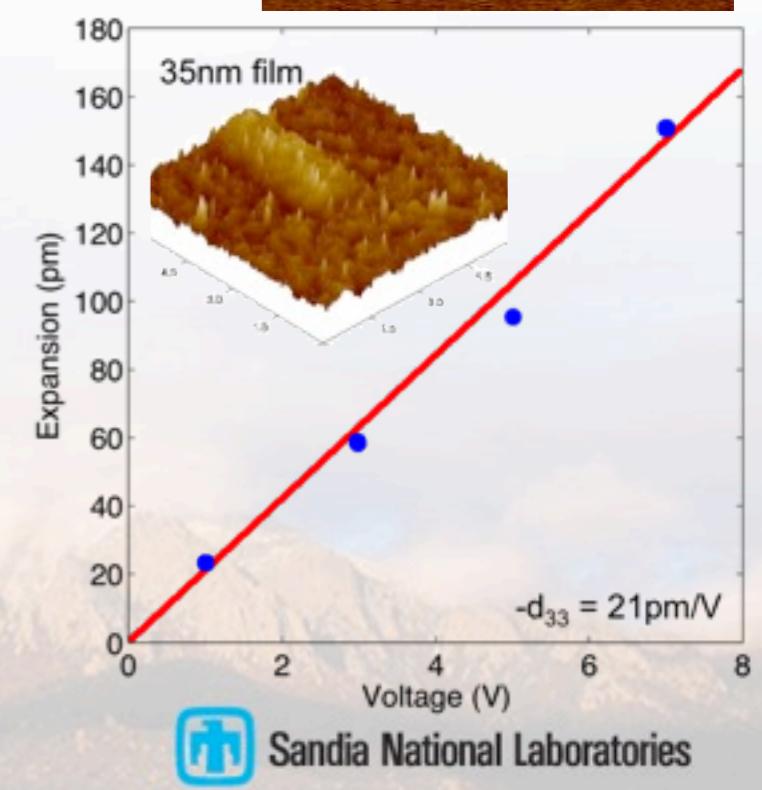
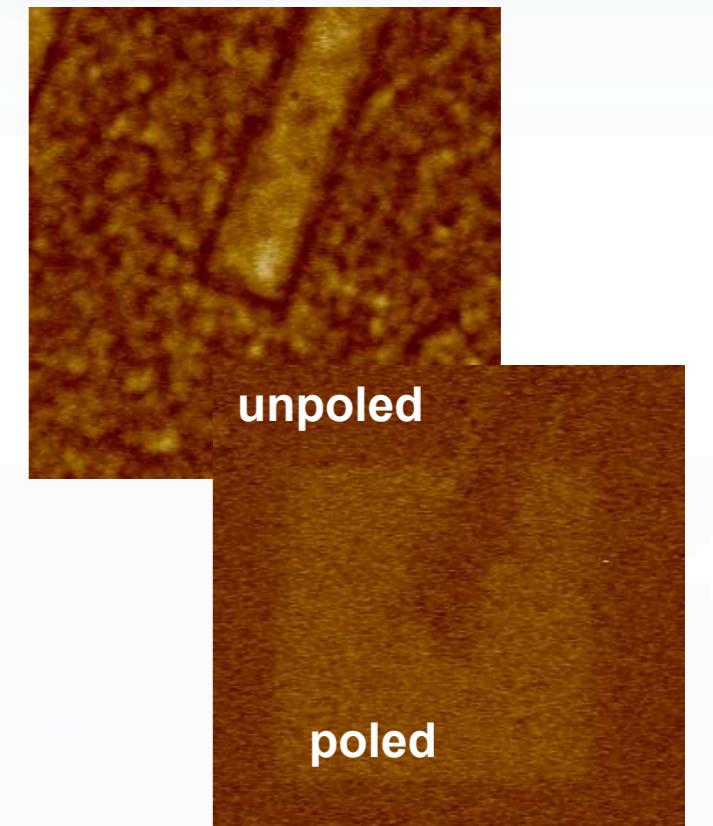
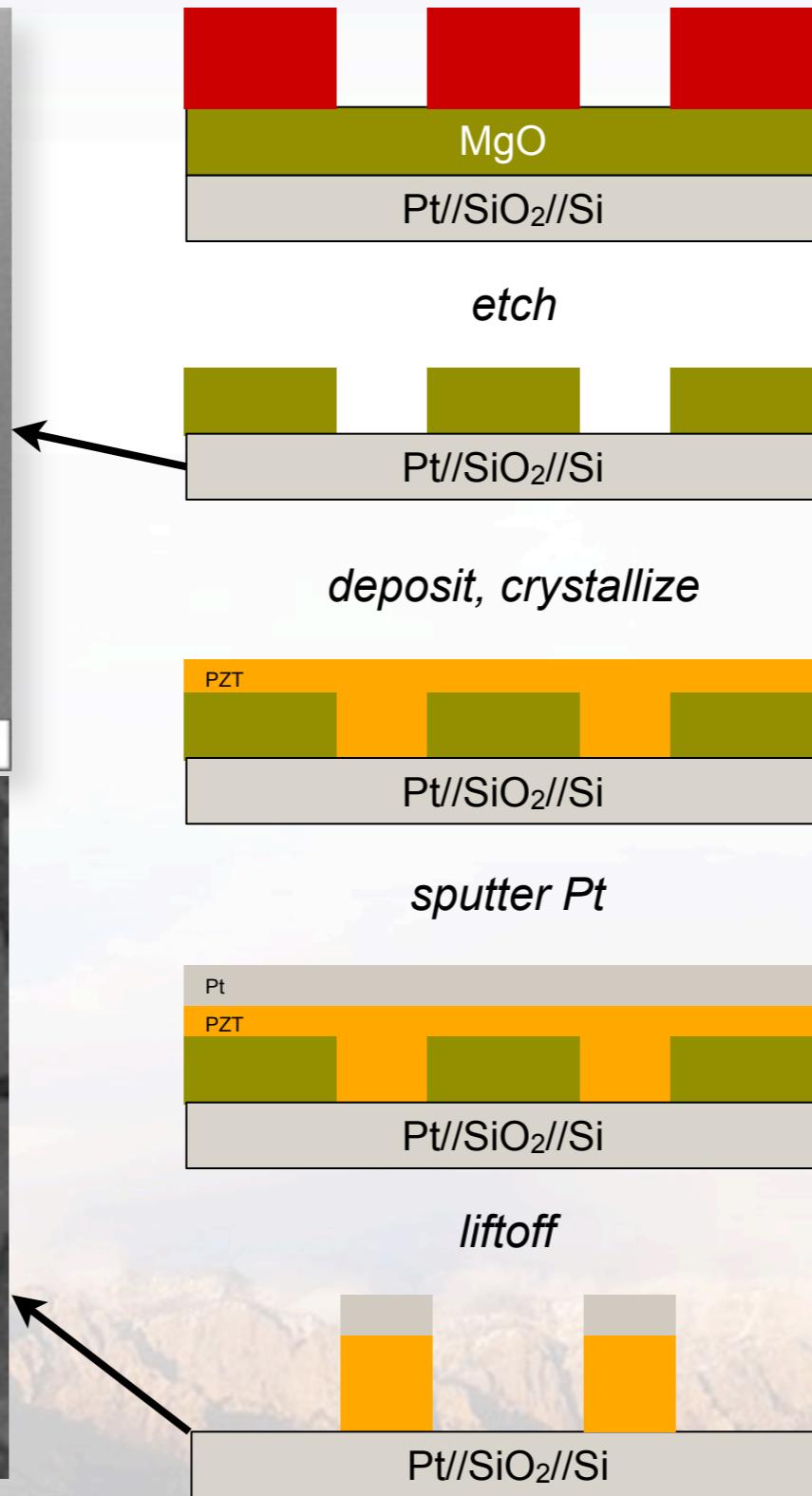
Still lacking:

- Controlled long-range order for addressability
- Crystallization before patterning or within inert and removable mask

Maintaining Pattern Fidelity through Thermal Processing (>600°C)

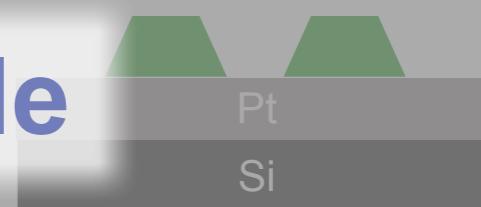
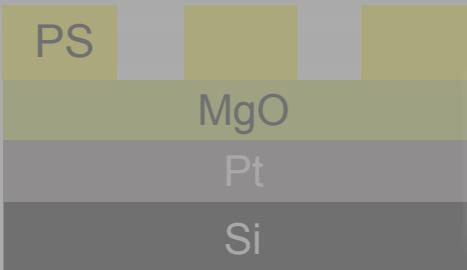


Alternate Microscale Patterning



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Maintaining Pattern Fidelity through Thermal Processing (>600°C)

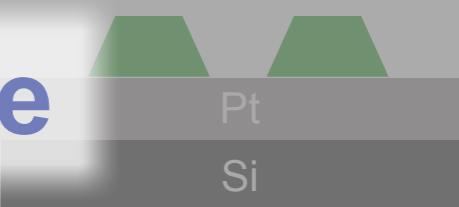
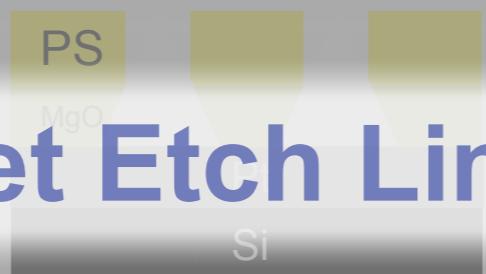
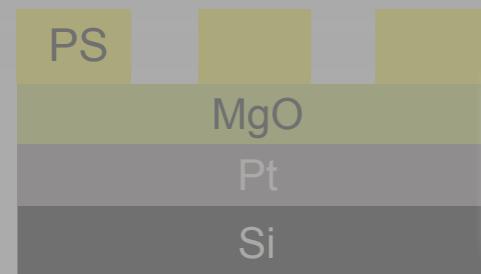


Wet Etch Limits to Microscale

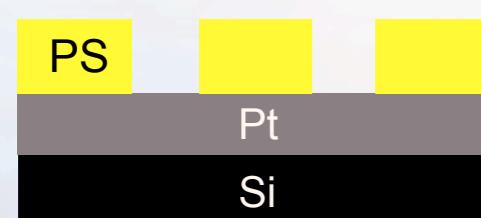
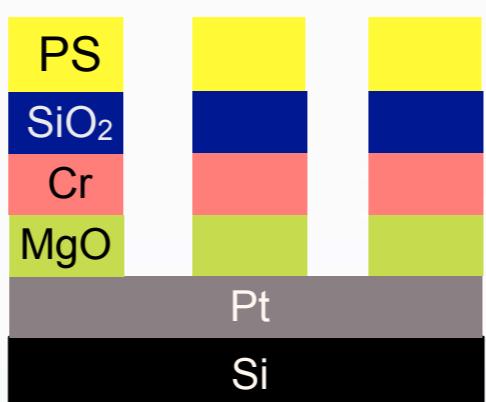


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Maintaining Pattern Fidelity through Thermal Processing (>600°C)



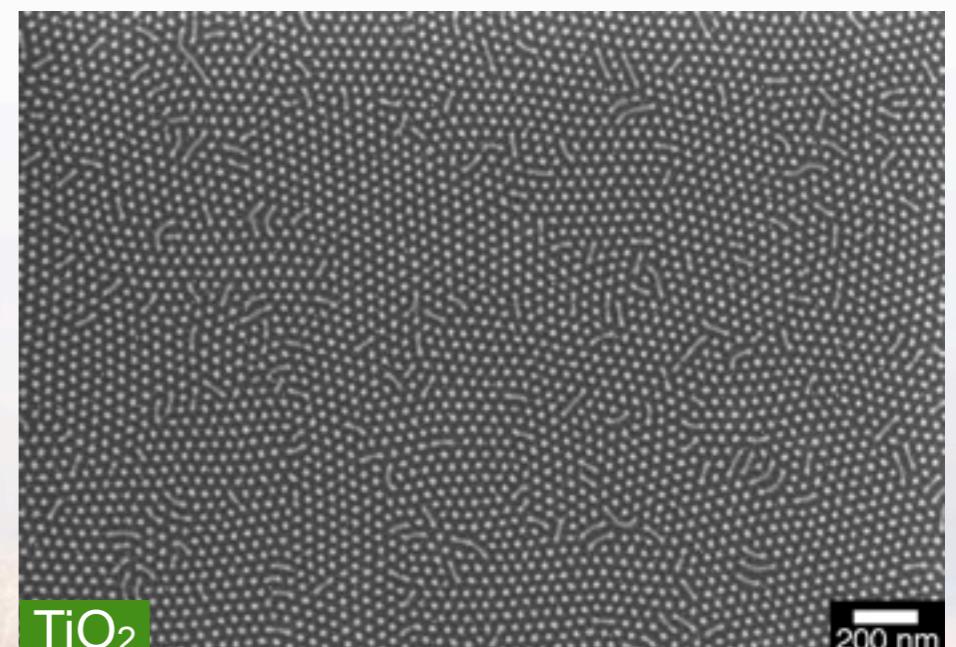
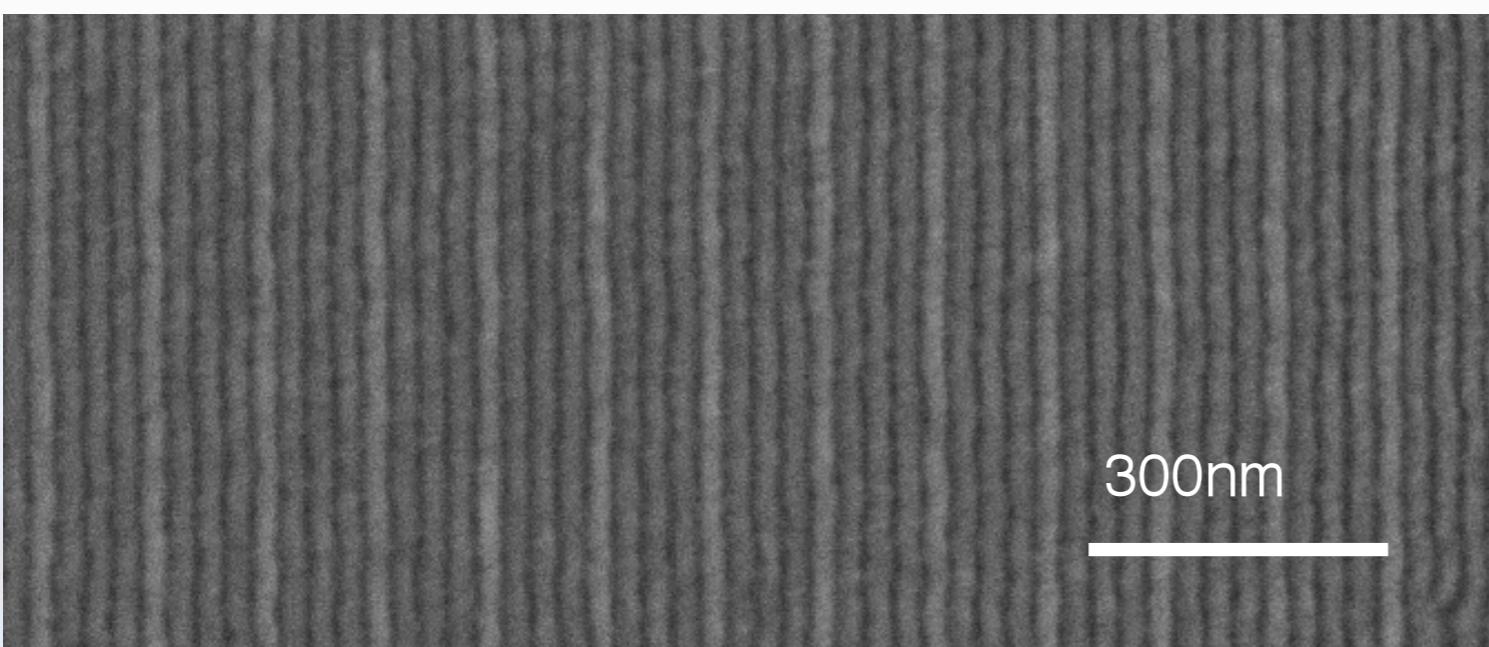
Wet Etch Limits to Microscale



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Summary

- **Solution deposition of ferroelectrics is alive and well**
- **Up to 4x density multiplication with DSA-BCP over mm² areas**
- **Extended BCP-based patterning to wide variety of materials (substrates and solution-derived features)**
- **Initial work on extending functional solution-derived ferroelectrics to etch-free 2+ dimensions**



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