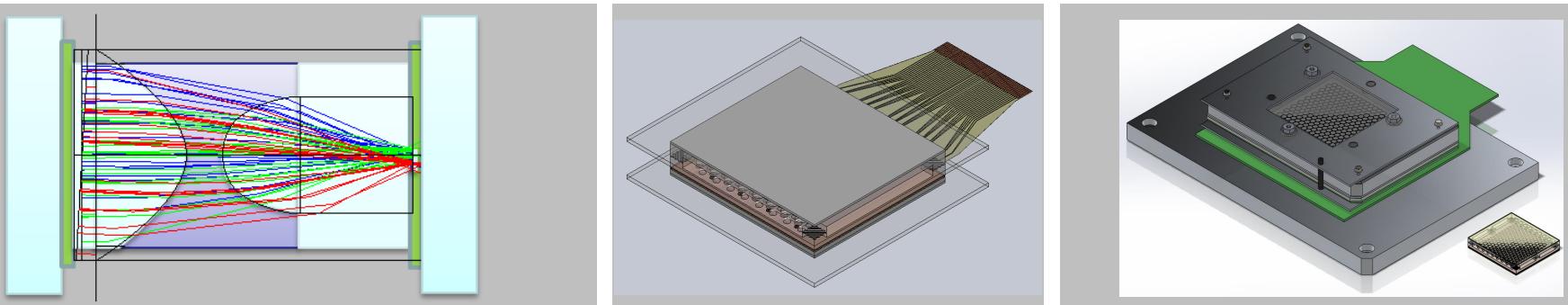


Exceptional service in the national interest



Optics and Packaging Materials in Microsystems Enabled Photovoltaic Solar Modules

Benjamin Anderson, Bradley Jared, Bill Sweatt, Greg Nielson, Murat Okandan,
Brenton Elisberg, Jose Luis Cruz-Campa, Scott Paap



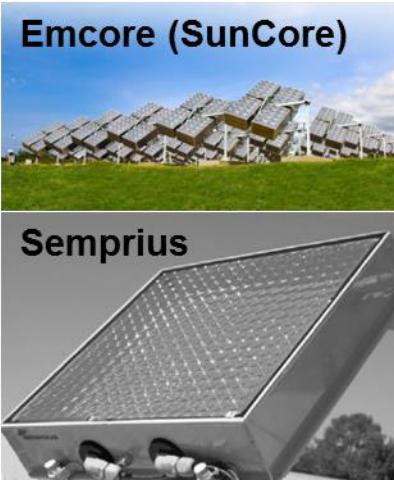
Sandia National Laboratories is a multi-program laboratory managed and operated by Sandia Corporation, a wholly owned subsidiary of Lockheed Martin Corporation, for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-AC04-94AL85000. SAND NO. 2011-XXXX

Outline

- MEPV Introduction
 - What is MEPV?
 - Why MEPV?
- Prototype I
 - Can it be done?
- Prototype II
 - Can it be made efficient?
- Prototype ...

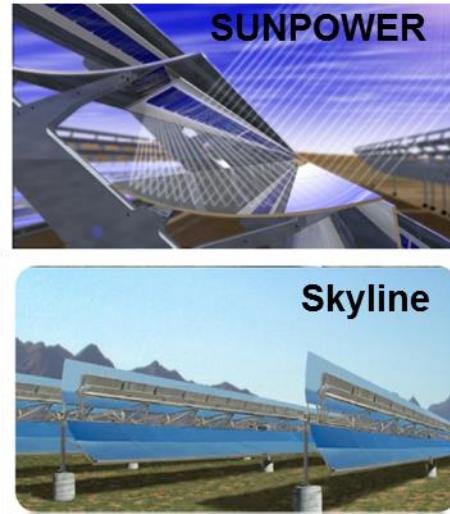
Current Solar Technologies: Optics and BOS

HCPV (500-1000x)



- Fresnel Lens Systems
- High Efficiency III-V Cells (~ 37-42%)
- High System Efficiencies (24-28%)
- Large, Stiff, Accurate Tracking Structures
- High Balance of Systems Costs

Low CPV (5-15x)



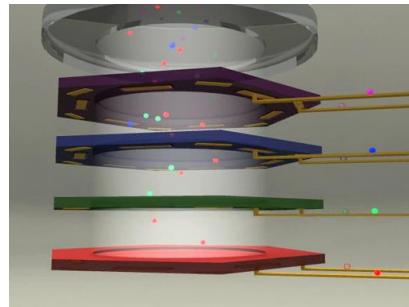
- Reflective Optics, (Trough)
- Moderate Efficiency Si Cells (~ 18-22%)
- Moderate System Efficiencies (16-20%)
- Moderate Complexity Tracking Structures
- Moderate Balance of System Costs

PV

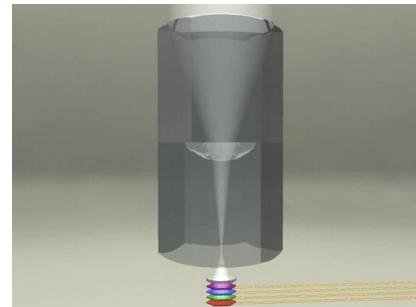


- No Optical System (Flat Glass)
- Low-to-Moderate Efficiency Cells (~ 10-22%)
- Low-to-Moderate System Efficiencies (8-18%)
- Simple Tracking Structures
- Low Balance of Systems Costs

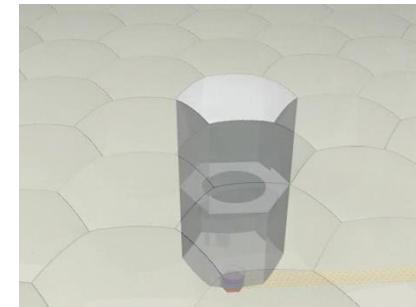
- **Microsystems Enabled Photovoltaics (MEPV)**
 - Very small cell sizes allow high-quality refractive optics to be molded inexpensively in microlens arrays, opening up a broad new design space of solar concentrators.



Micro-PV Cells



Micro-optics



High Performance Modules



Low-cost, MEPV Systems

- **Purpose:**
 - Explore fundamental scale effects within photovoltaic cells, modules, and systems for enhanced performance, reduced costs, and new functionality
- **Goal:**
 - 40% efficient PV system with the potential for \$0.10/kWh levelized cost of energy (LCOE)

MEPV Optical Systems Vision

Create a micro-optical concentrator system with the performance of high-concentration CPV, with the balance-of-system advantages of flat-plate PV.

Final Optical System Attributes:

- Moderate concentration (100-200x)
- Moderate acceptance angle ($\pm 2\text{-}6^\circ$)
- Low-cost materials and usage
- High-reliability materials and design
- High-Volume manufacturing approaches
- Optical system thickness less than 1cm
- Optical system weight consistent with low-cost trackers

Prototype I



Prototype II



Prototype ...

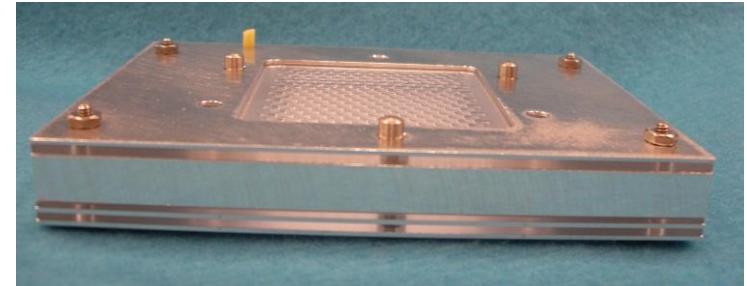


Extensive systems design requirements for Prototype II and beyond

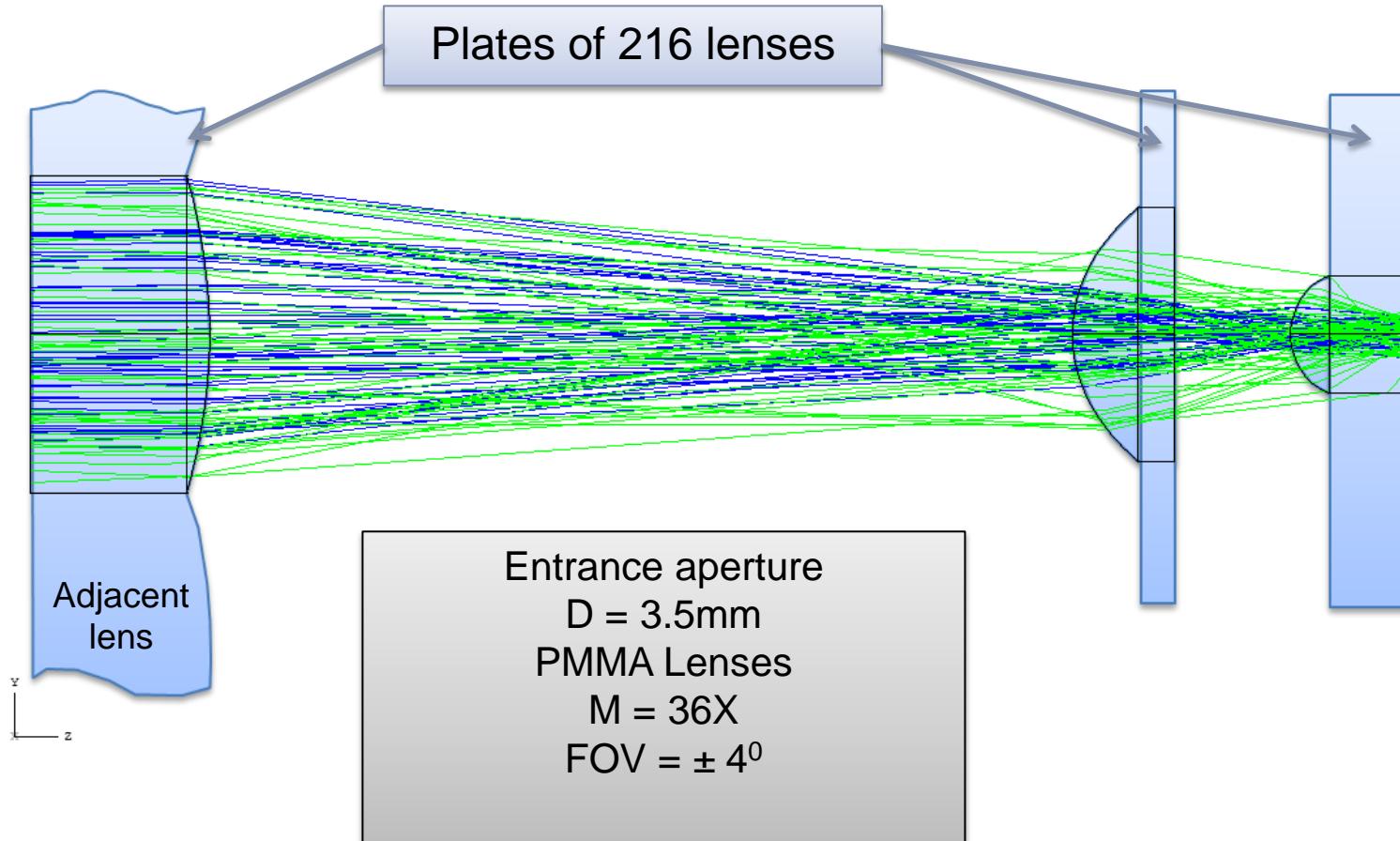
Prototype I

Prototype I Optical System

- 5cm x 5cm area
- 216 element micro-lens array
- Design and in-house fabrication took 9 months
- Using ordinary plastic – PMMA
- 36 sun magnification
- Original design was 50X, FOV= $\pm 6^\circ$, with PMMA & Polycarbonate, but we couldn't machine the Polycarbonate with acceptable surface finish
- Field of view (@90% throughput) = $\pm 4^\circ$
- Allows reduced tracking requirements
- Can use less rigid support structures
- Collects low-angle forward scatter
- The completed lens array is being used to illuminate the first microconcentrator array of PV cells



Prototype I Optics



Microlens Array Fabrication

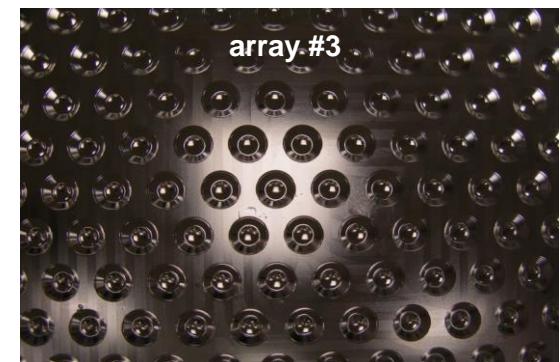
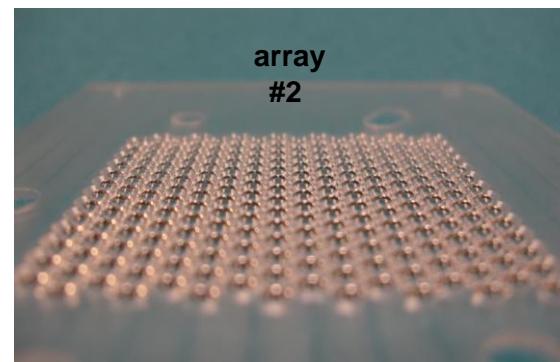
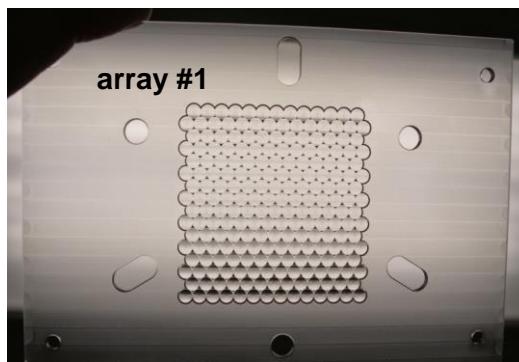
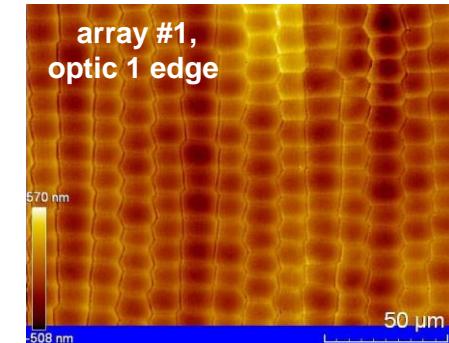
- Machining
 - Yasda micro-milling
 - ~0.5 μm machine accuracy
 - multiple tools for roughing & finishing
 - final cut w/diamond tool
- Discoveries
 - PC milling gives very poor finish
 - switched to a M=36X, all-PMMA design
 - The original PMMA/PC design gave a 50X magnification



rough cutting optics

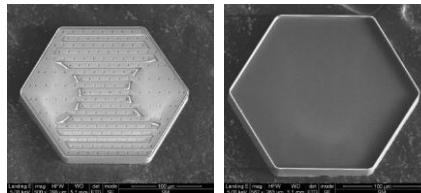


finish cutting optics

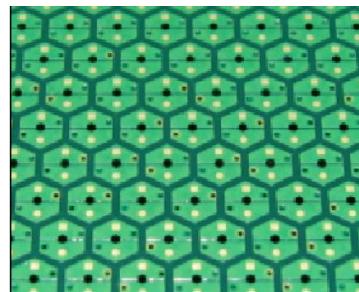


Prototype I Module

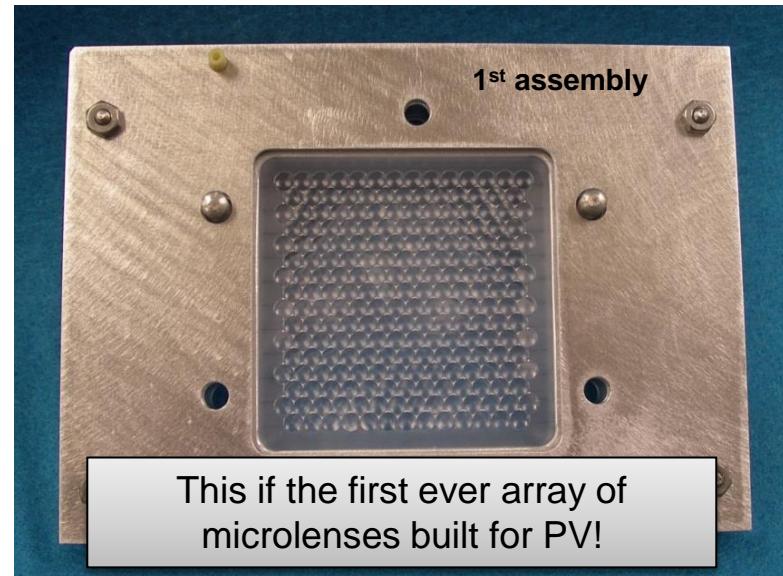
- Hexagonal closed packed arrays
 - 5 cm² (216 cells)



250 micron across, 14 micron thick crystalline silicon cells

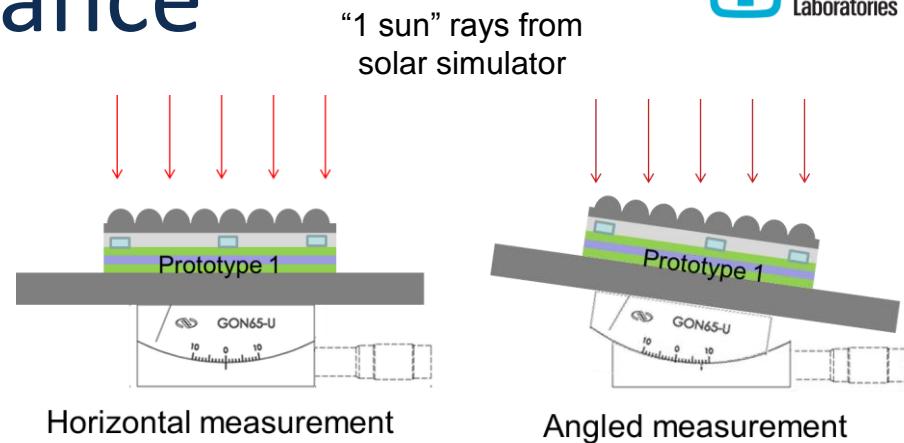
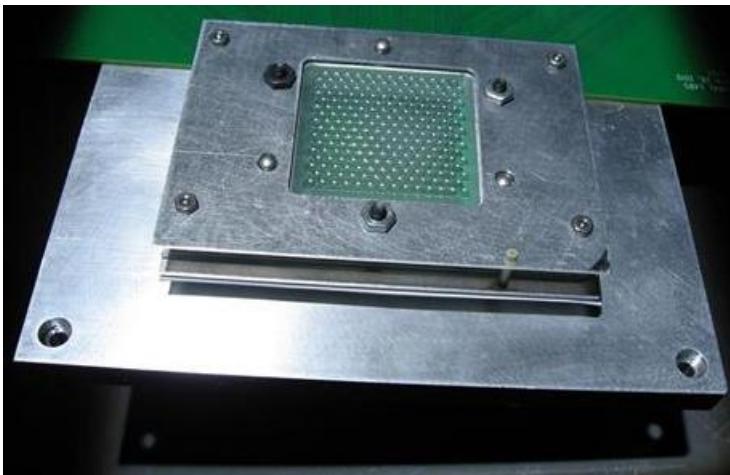


- Kinematic mounting
 - passive optic-to-cell alignment
 - athermal assembly
- Fresnel and Scattering Losses
 - No AR coatings & Rough surfaces
 - Calculated Losses: 39%
 - Measured Losses: 42%

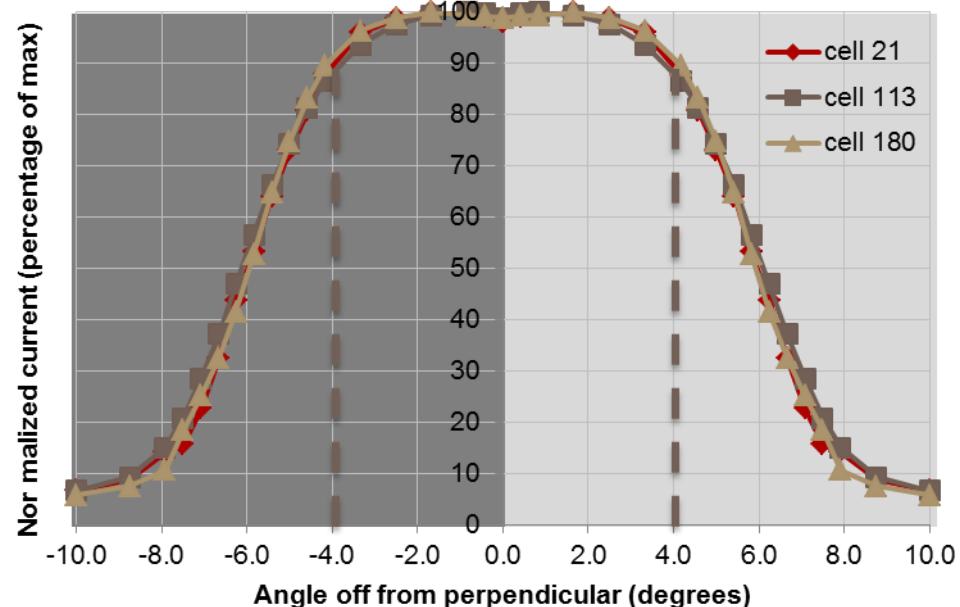


Prototype I Performance

- 36X Concentration
- $\pm 4^\circ$ degree acceptance angle (90% of peak power)
- ~ 1 cm focal length
- 12% module efficiency



Current response to off axis measurements



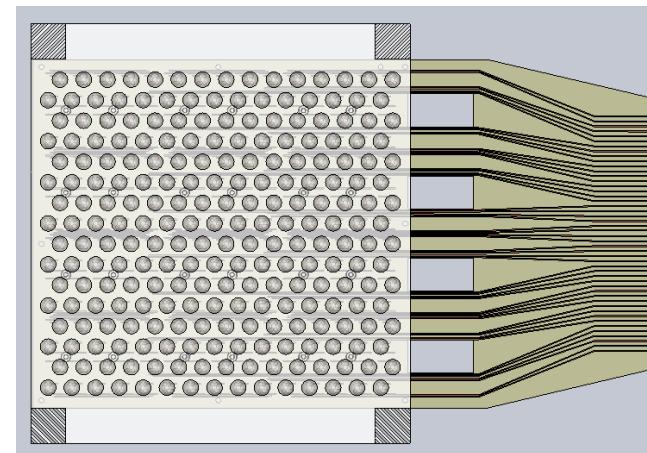
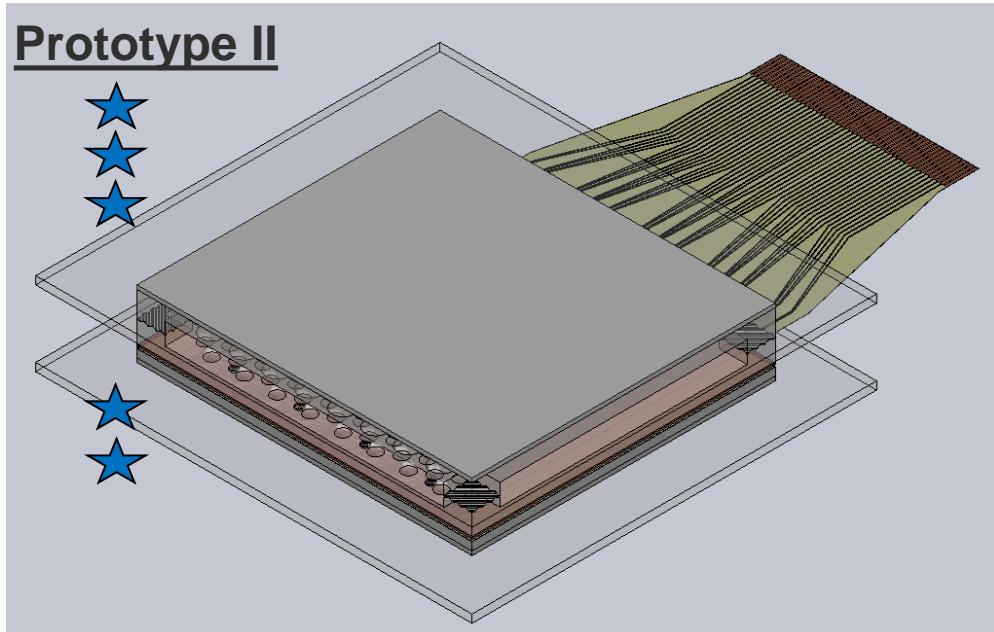
Prototype II

Final Optical System Attributes:

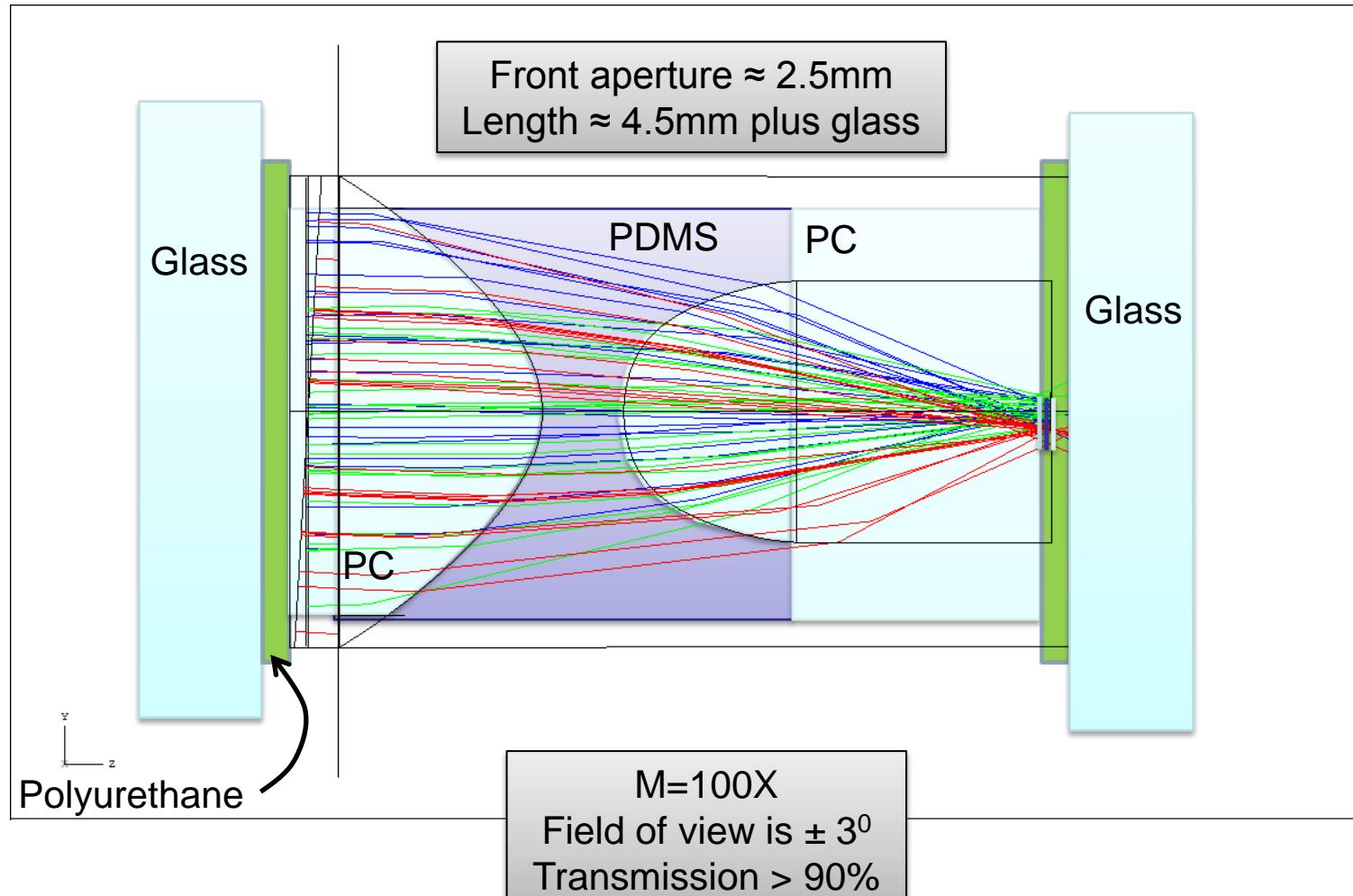
- Moderate concentration (100x)
- Moderate acceptance angle ($\pm 2\text{-}6^\circ$)
- Low-cost materials and usage
- High-reliability materials and design
- High-volume manufacturing approaches
- Optical system thickness less than 1cm
- Optical system weight consistent with low-cost trackers

Engineering Challenges

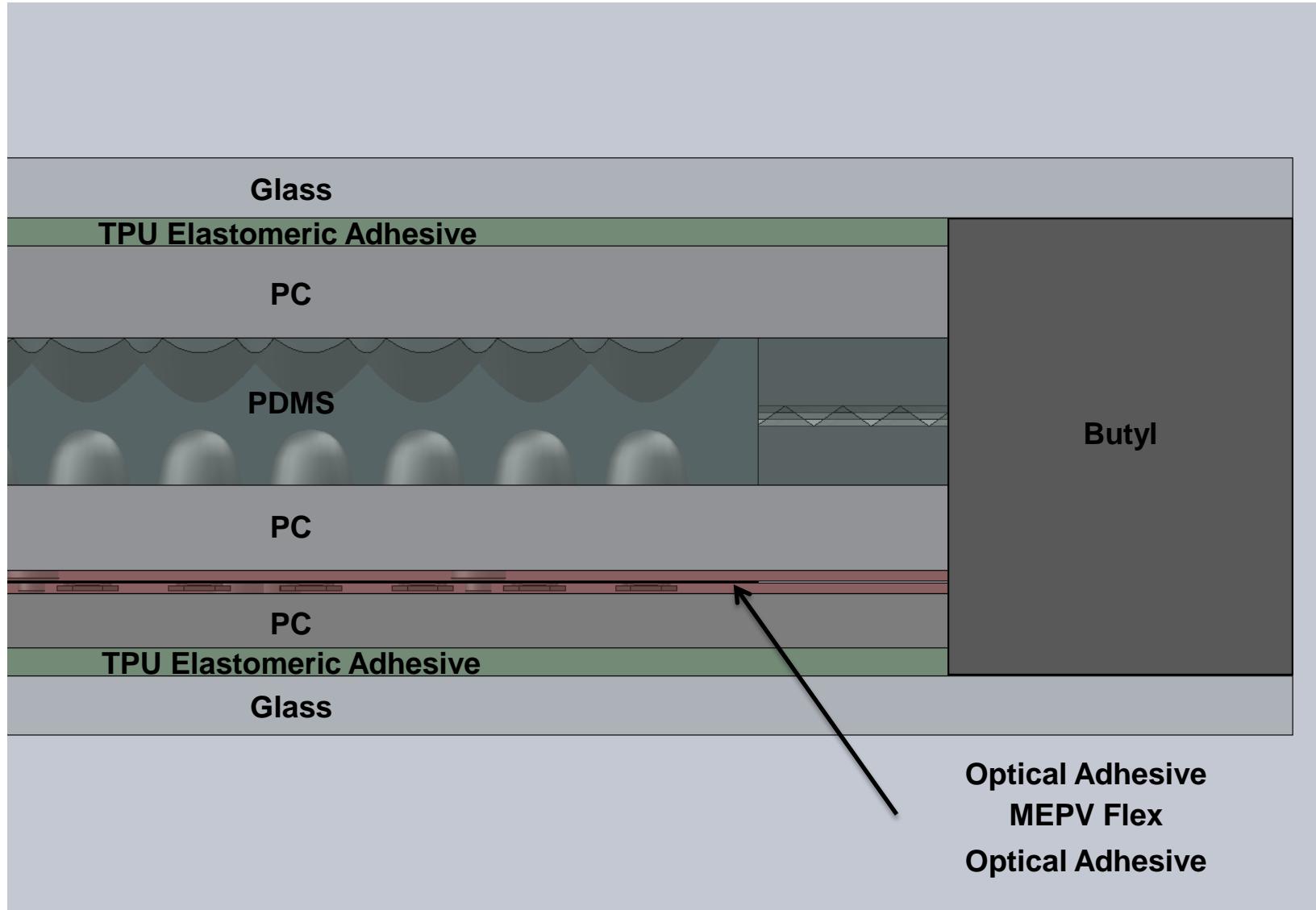
- Plastics have large CTE's
- Must maintain alignment during temperature cycling
- Alignment during assembly
- Maintaining tolerances during molding
- "Hot Spots" in plastic
- "Hot Spots" on PV cells
- Must keep costs down
- Avoid air gaps in the optics which could leak over the years
- Glass bonding and seal
- and many more requirements



Prototype II Optics



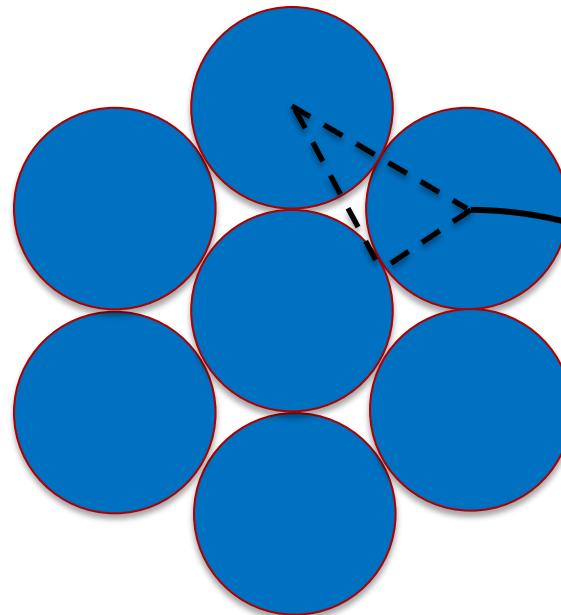
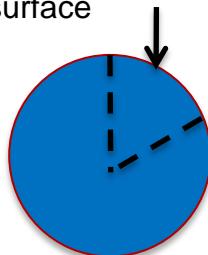
Assembled Optic Module



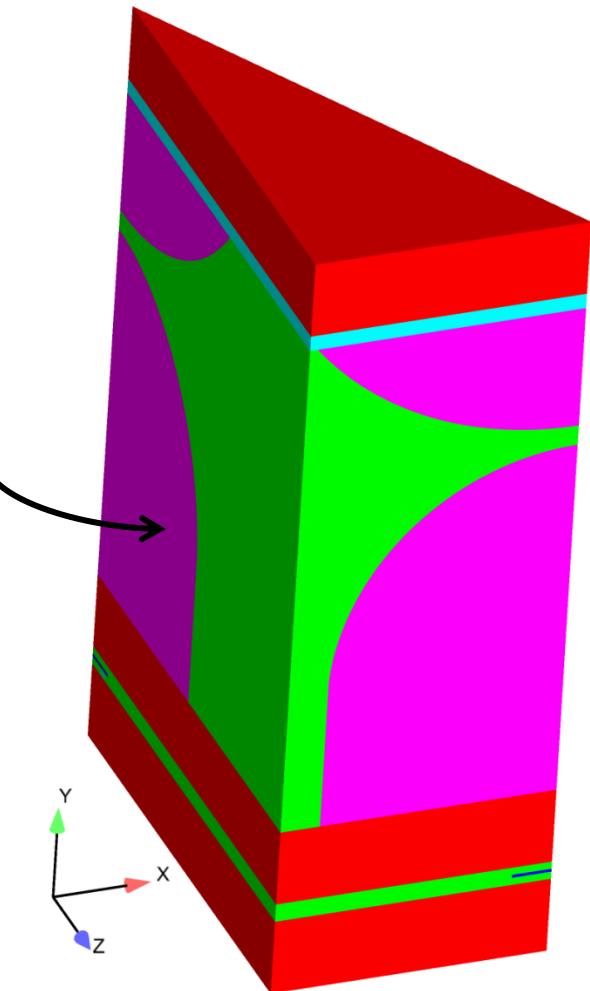
Modeling Approach / Symmetry View

- Model the boxed triangle
- Using 3 Symmetry Planes for imposed boundary conditions (no normal displacements on each side of triangle)

we cannot just model the 1/6 of circular region because we lack a known boundary condition on the radial surface



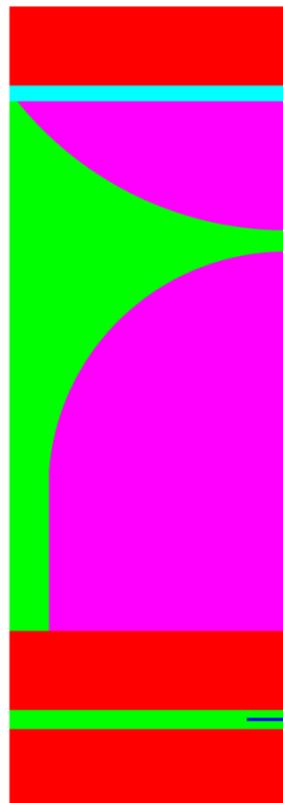
RED – Glass
GREEN – PDMS
MAGENTA – PC
Cyan – Rubbery Adhesive
BLUE – PV cell



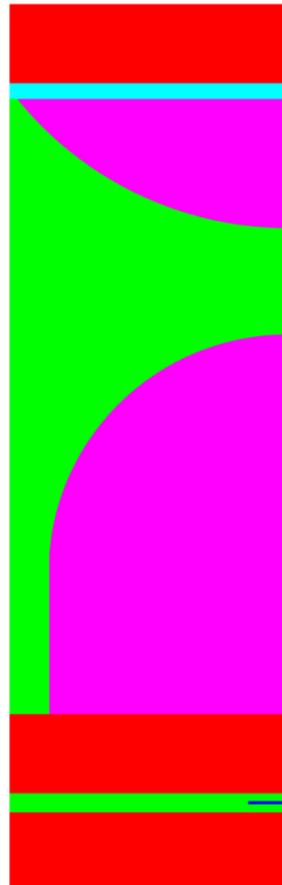
**solder is a cylinder beneath PV cell, but can't be seen

Reduce Stress in PDMS by Increasing Gap Between Lenses

Baseline Design
(134.4 μm gap)



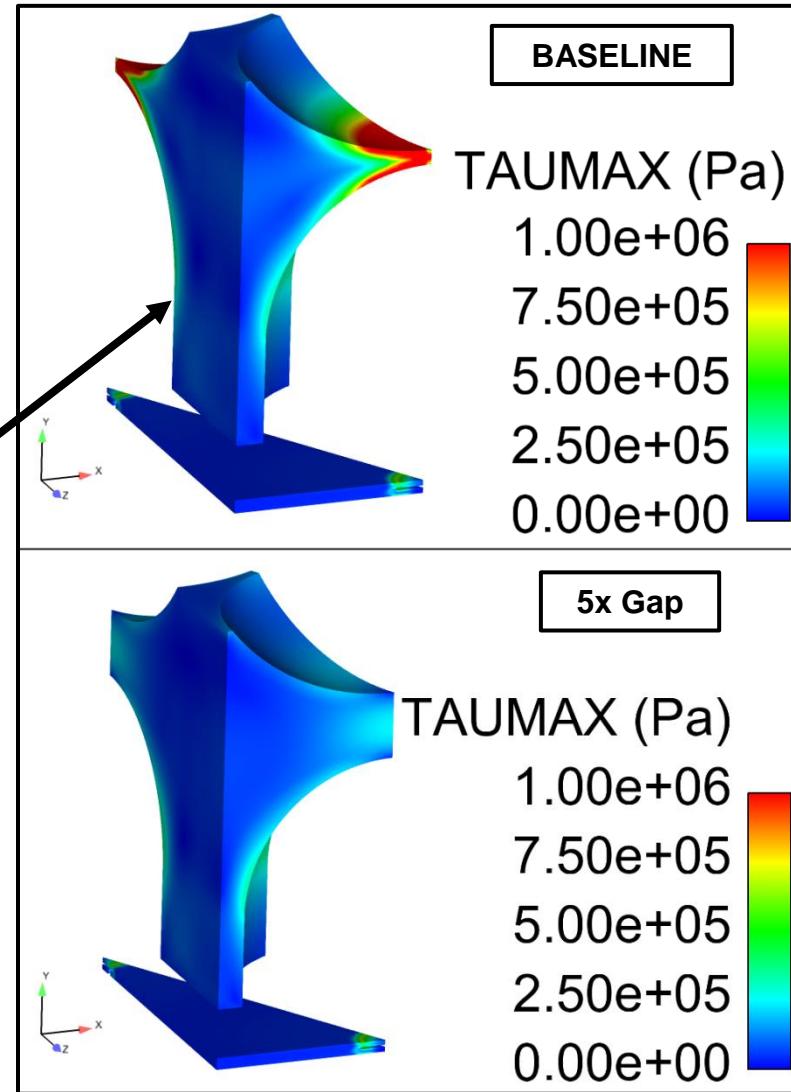
5x Thicker Gap
(672.0 μm gap)



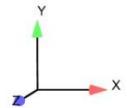
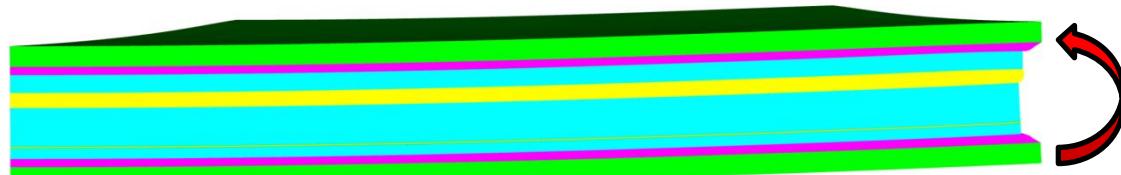
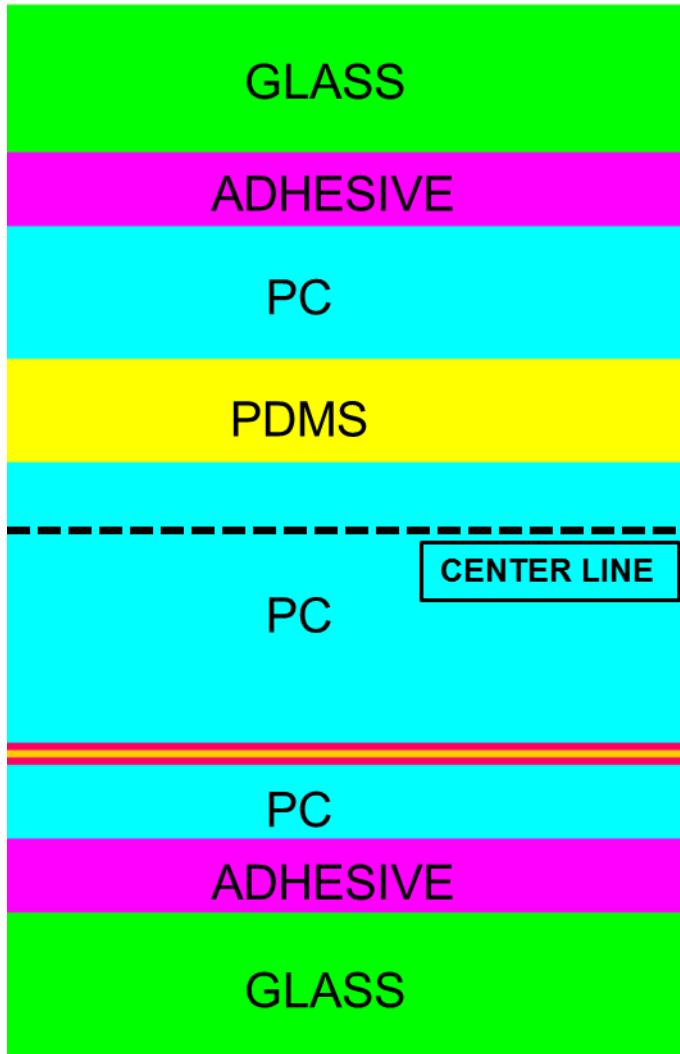
- Analysis $\Delta T = 40^\circ\text{C}$
- Stress scales linearly with ΔT (elastic properties)

PDMS

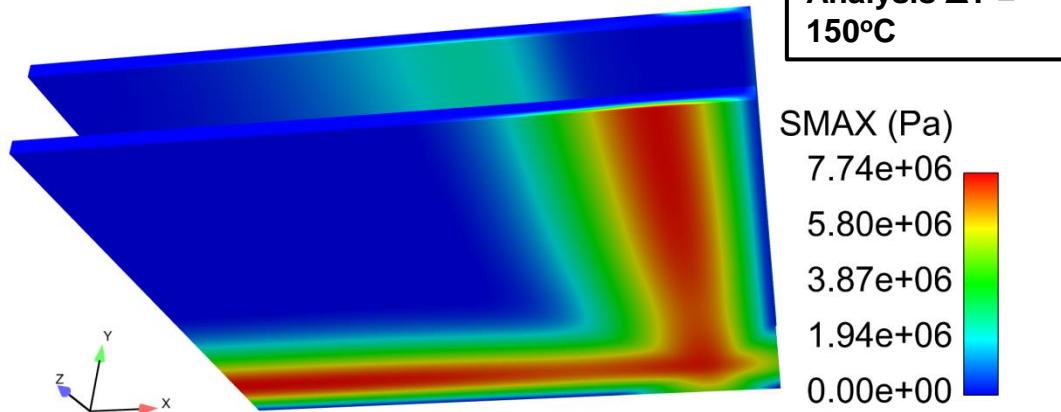
TAUMAX = max
shear stress



Benefits of Implementing Symmetry in Manufacturing (10cm panel)



Large CTE and location of PDMS causes panel to curl up. This causes higher tensile stresses in the bottom layer of glass. Image has 3x displacement magnification.

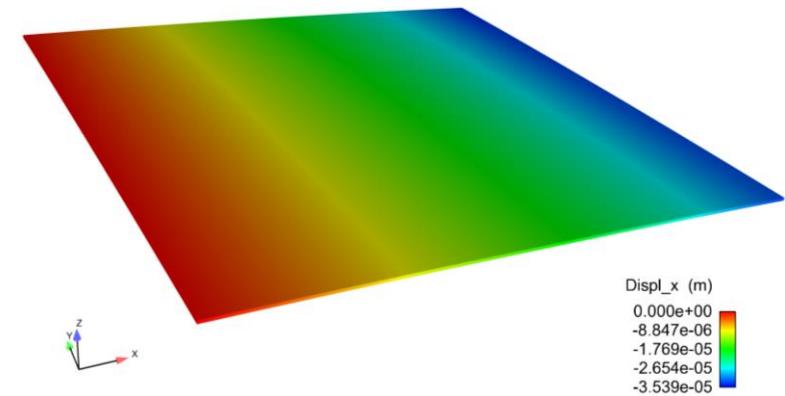
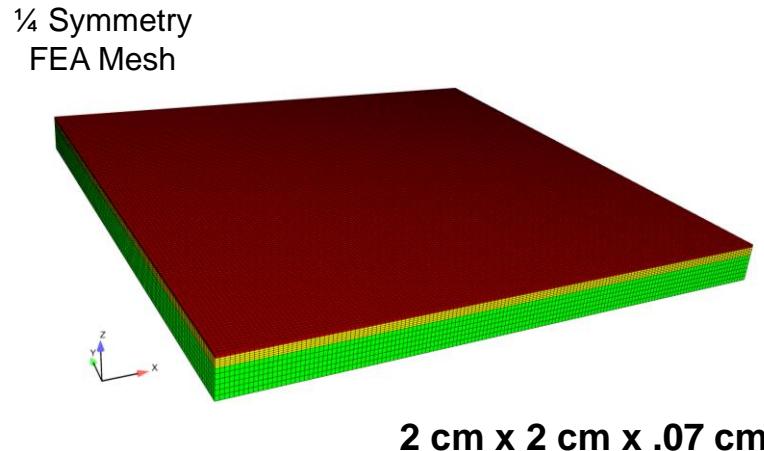


- Top glass layer peak stress: $\sigma_{\max} \approx 5.2 \text{ MPa}$
- Bottom glass layer peak stress: $\sigma_{\max} \approx 7.75 \text{ MPa}$

Misalignment after Cool Down of Flex Circuit Layup

- Assume perfect alignment and stress/strain-free at $T=90^{\circ}\text{C}$
- Cool bonded composite to $T = 20^{\circ}\text{C}$

MEPV Flex – Adhesive – Polycarbonate Layers



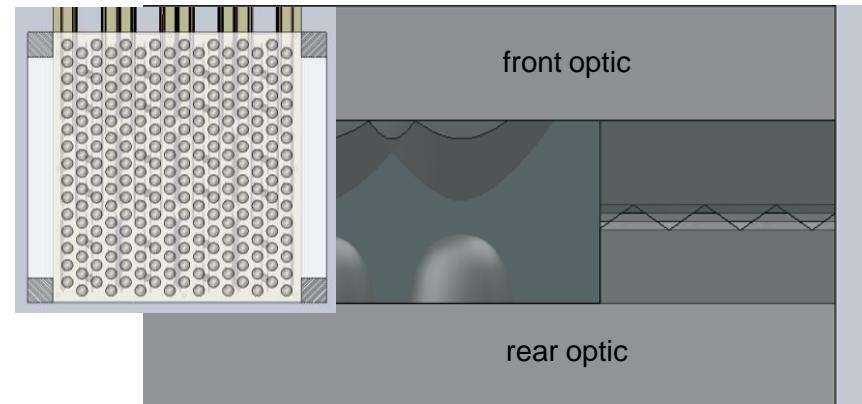
X-Displacement in MEPV Flex
at $T = 20\text{ C}$

Alignment

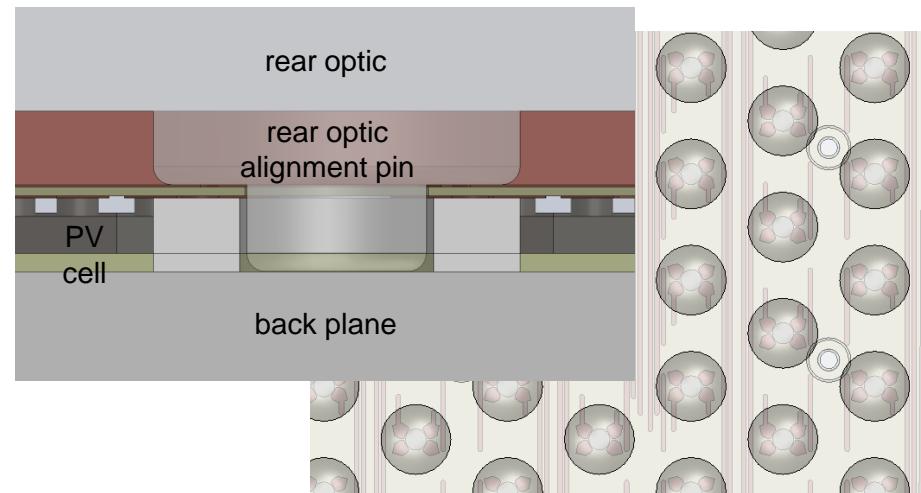
Lens/Flex/Backplane Assembly

- Challenges
 - precision alignment
 - fabrication of passive features
 - minimal restriction to adhesive flow
 - CTE mismatches during processing
- Solution
 - Molded lens alignment structures
 - rear optic pin & spacer
 - flex holes
 - backplane spacer

Microlens Array Alignment



PV Cell to Optic Alignment



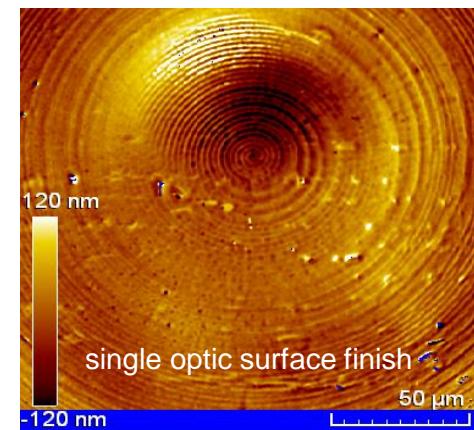
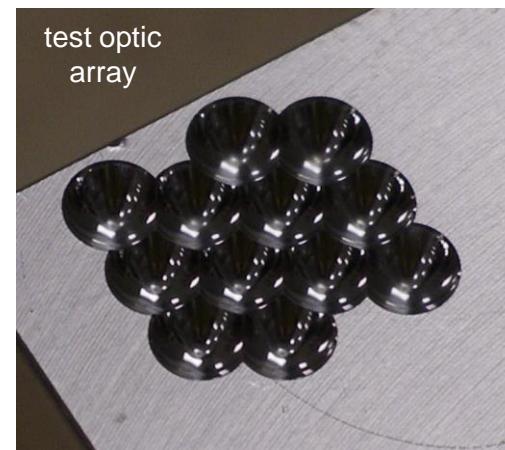
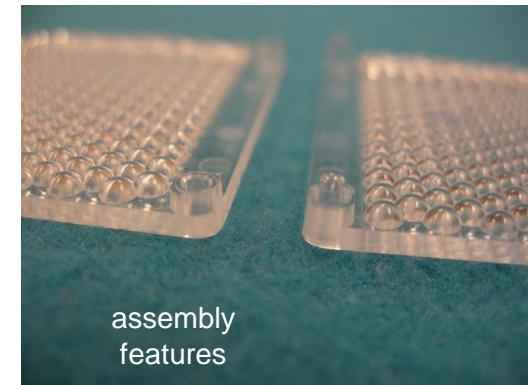
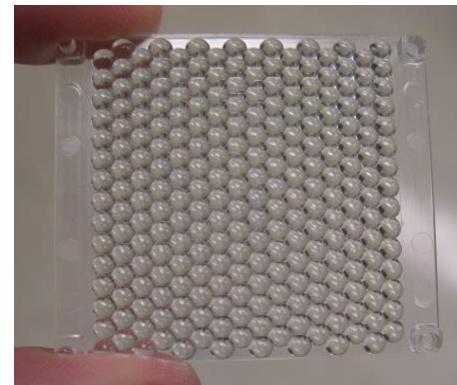
Optics Molding

■ Molded Test Parts

- ball milled “optics” w/pin-in-slot assembly features
- Enabled process development, mold shrinkage measurement, and dimensional stability

■ Test optic mold array

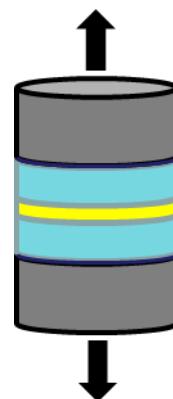
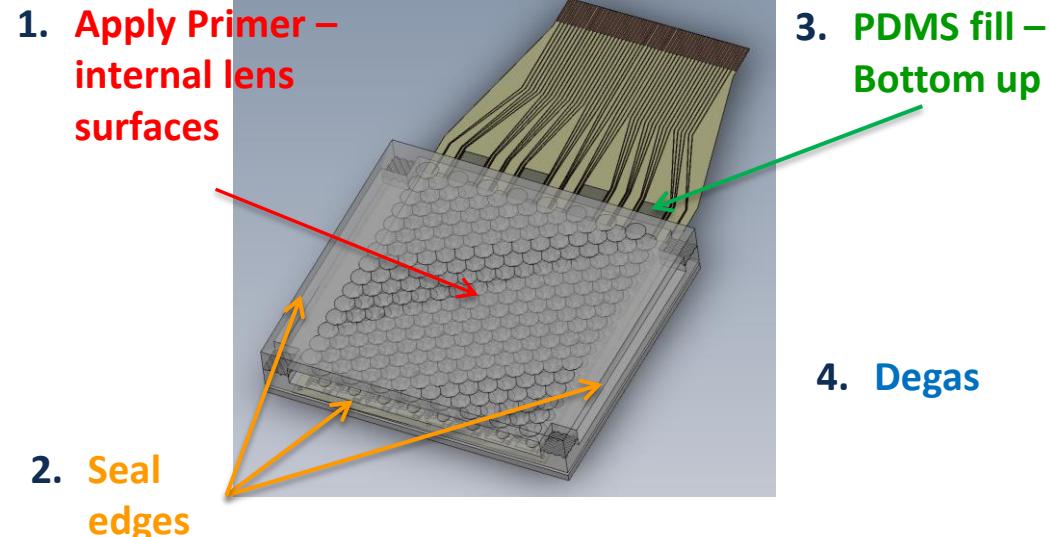
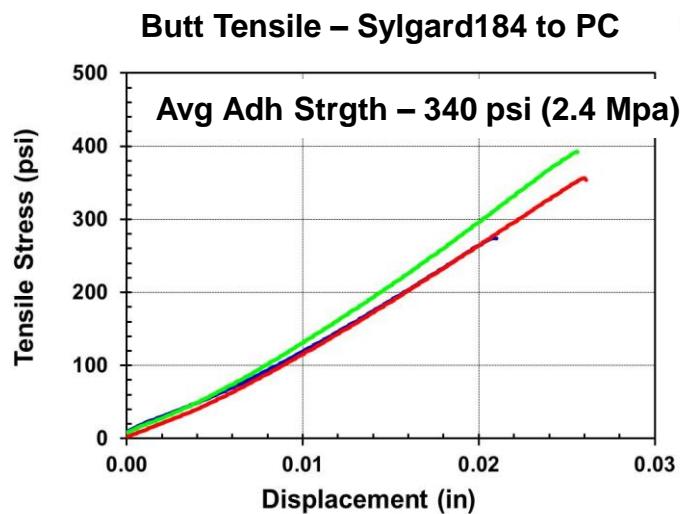
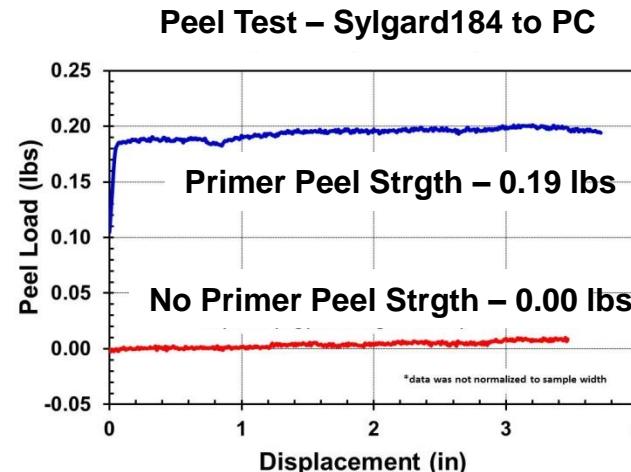
- 4x4 hexagonal array
 - incorporated micro- & diamond-milling
- form accuracy $\sim 1.5 \mu\text{m}$
- surface finish $\sim 30 \text{ nm } S_a$



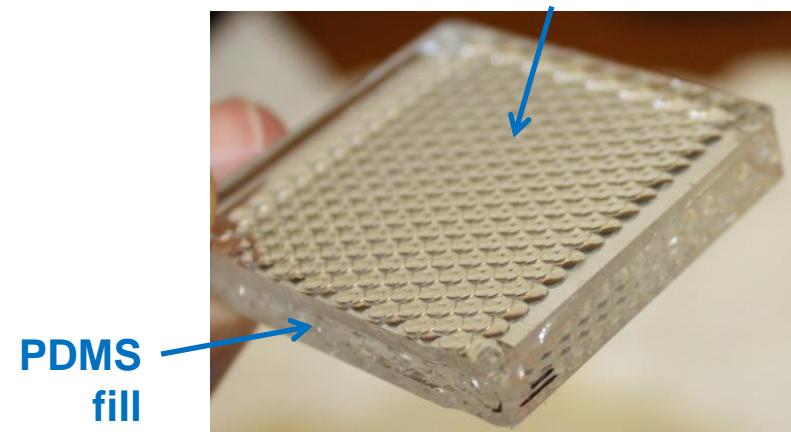
Yasda diamond milled
 $S_a = 30 \text{ nm}$

PC/PDMS/PC Lens Assembly

Silane Primer to promote adhesion

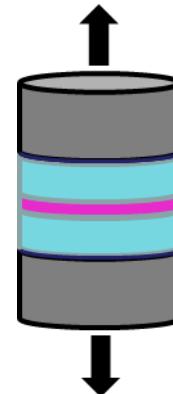
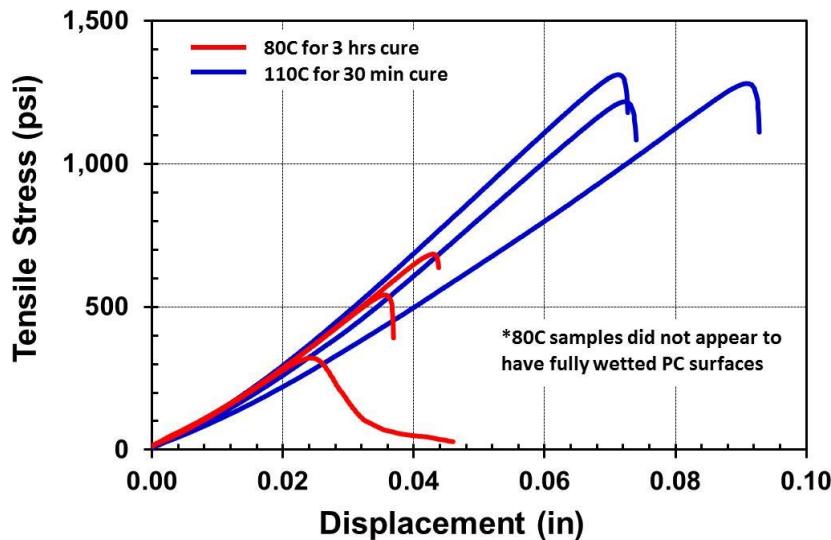


Primer applied to internal lens surfaces

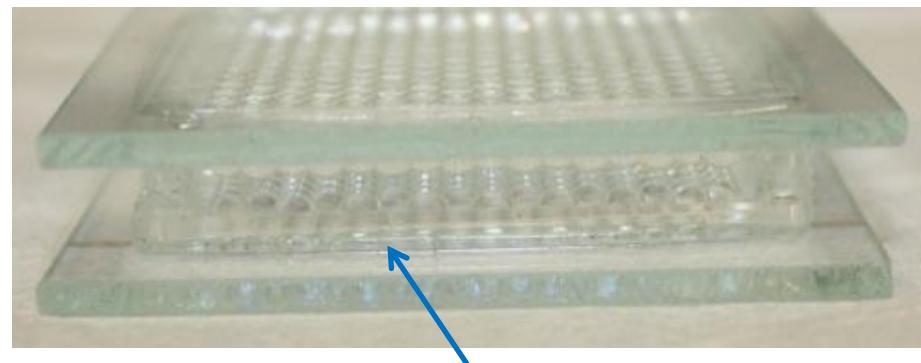
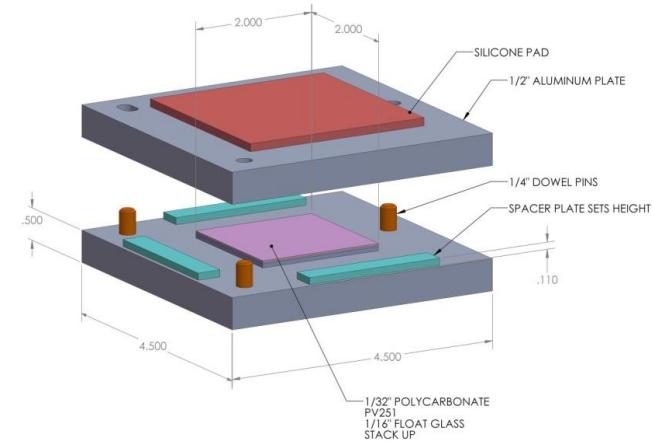


Glass to PC Lamination

Butt Tensile – PV251 to PC



Laminator custom tooling

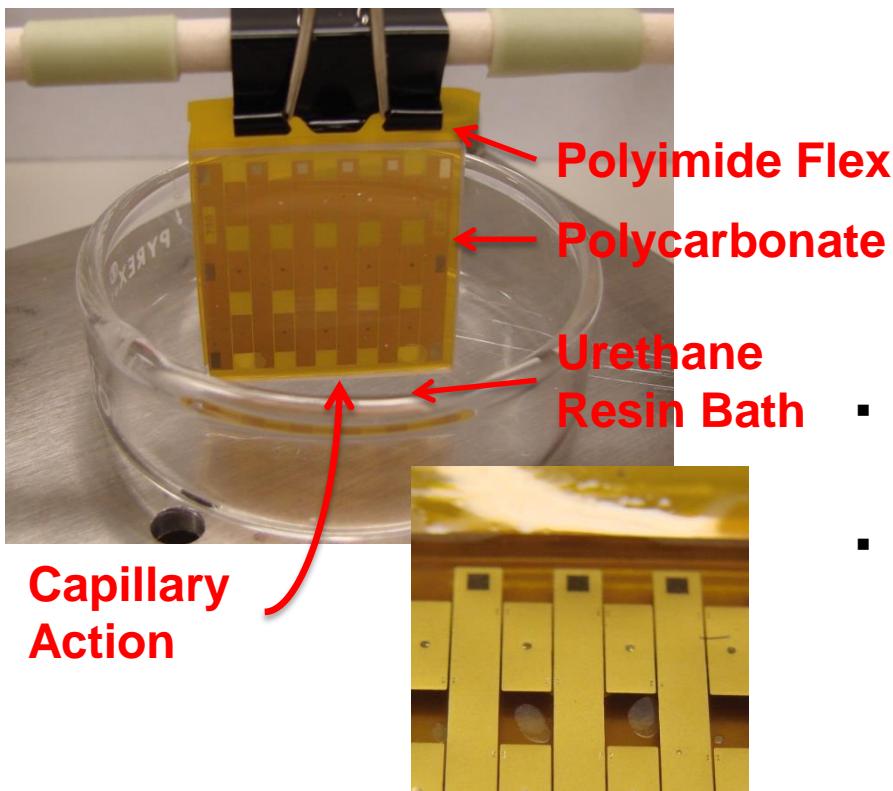


TPU elastomer bonds PC to glass

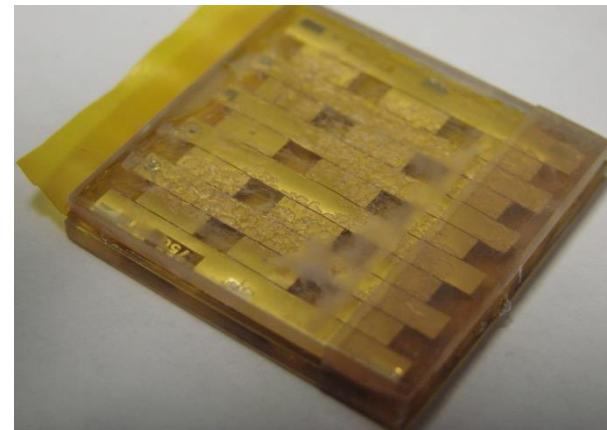
Flex to PC Assembly

Urethane Optical Adhesive

formulated to be index and CTE matched to polycarbonate to reduce stress and maintain alignment of flex with PC microlens array



- Dried assembly prevents water rxn
- Lack of mechanical tie btwn PC and Flex leads to wrinkling of Flex -> Prone to misalignment



Dry assembly
prior to fill

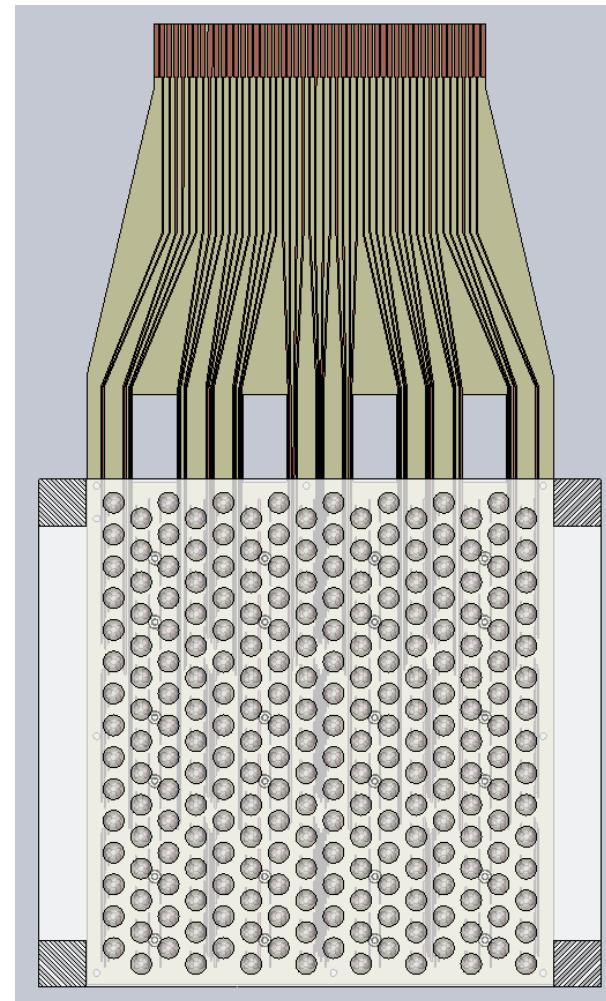
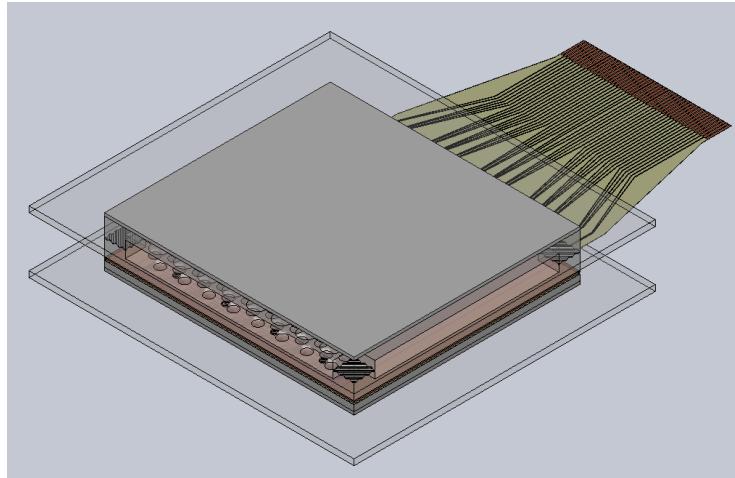


Prevent Rxn
with water

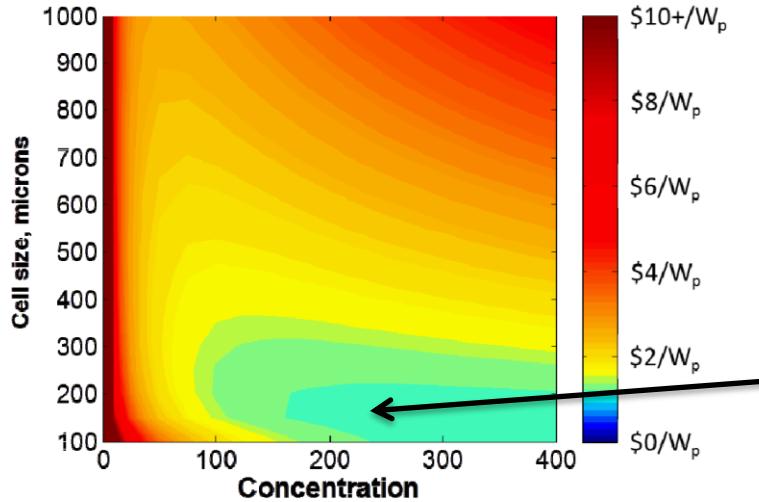


Prototype II Performance

- Expected Performance
 - 100X Concentration
 - $\pm 3^\circ$ degree acceptance angle (90% of peak power)
 - >1 cm focal length
 - 28% module efficiency



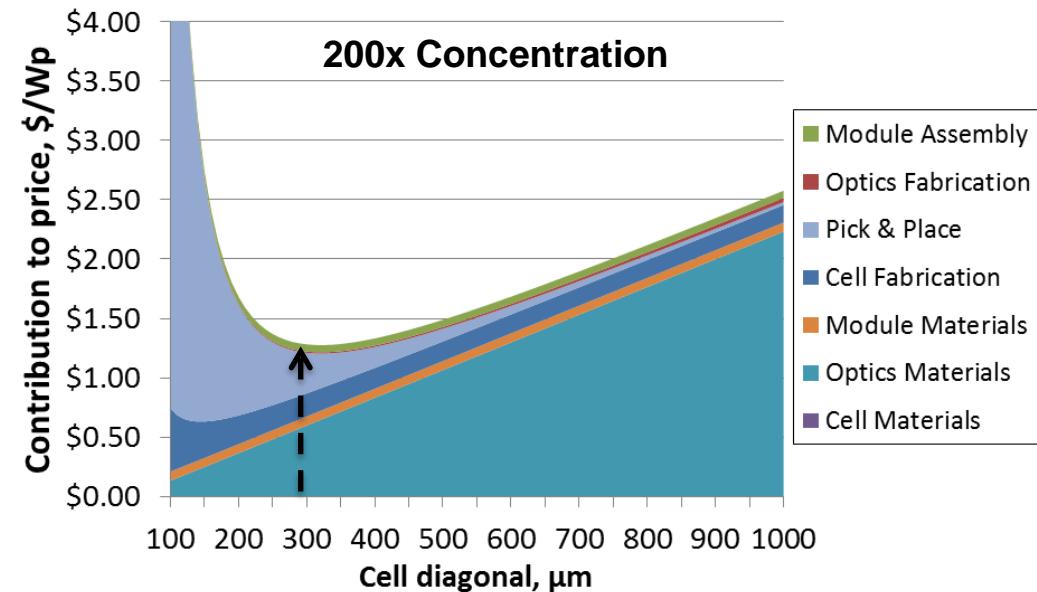
Cost Modeling



- Manufacturing costs push for larger cells
- Material costs push for smaller cells

- Concentration enables cost reduction by reducing amount of PV material

Where we
need to be



Prototype Comparison

