

# On Sintering Stress in Complex Powder Compacts

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# Objectives

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- **Develop a meso-scale model to simulate microstructural evolution during solid-state sintering**
  - Understand microstructural evolution details
  - Obtain engineering sintering quantities
    - Sintering stress
    - Bulk and shear viscosities
- **End goal: simulate constrained sintering with the accompanying shape distortion**
  - Variations in density
  - Multi-layered materials
  - Functionally graded materials
  - Powder packing defects

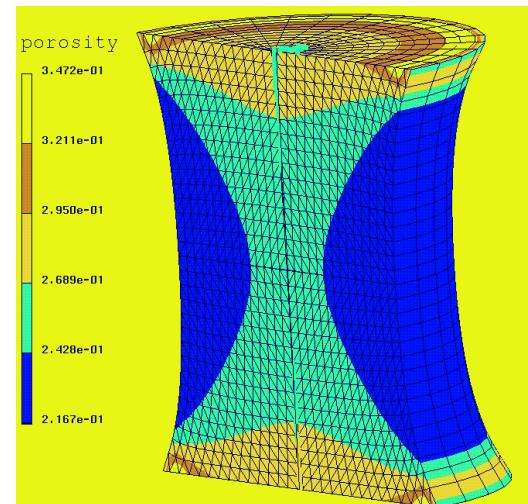


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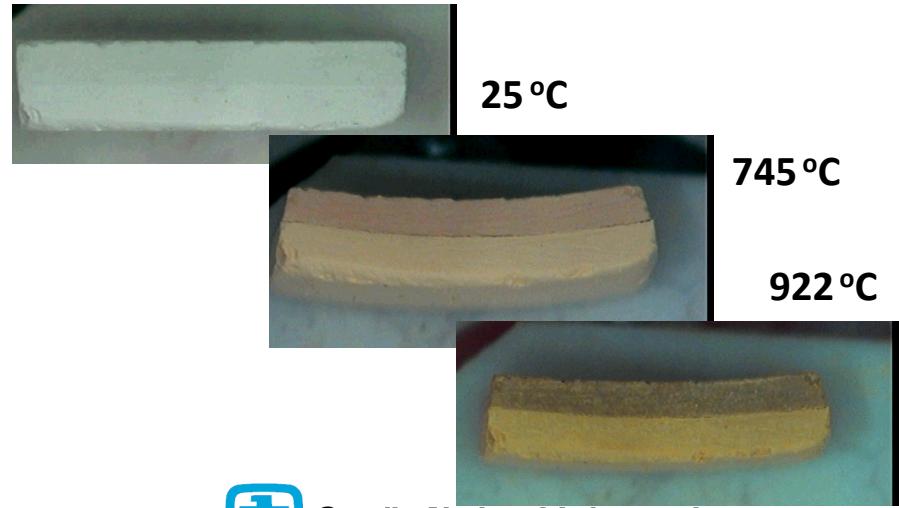
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# Experimental Measurement of Sintering Stress

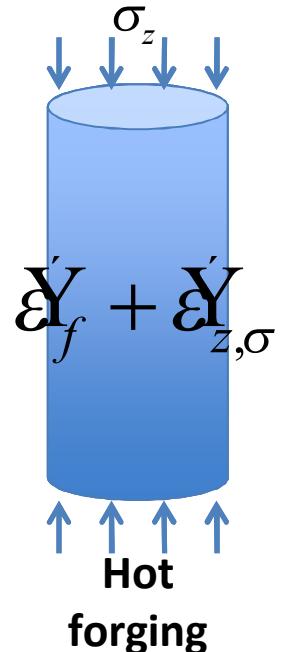
- The usual method for determining sintering stress is loading dilatometry

$$\dot{\epsilon}_f = \frac{P_L}{3K}$$

$$\dot{\epsilon}_z = \dot{\epsilon}_f + \frac{\sigma_z}{3(1-2\nu_p)}$$



Free  
sintering



Hot  
forging

- Assumes microstructural evolution during hot forging & free sintering are the same
- Zuo et al. showed microstructural evolution is not the same for these conditions

Zuo, Aulbach & Rodel, Acta Met, 2003

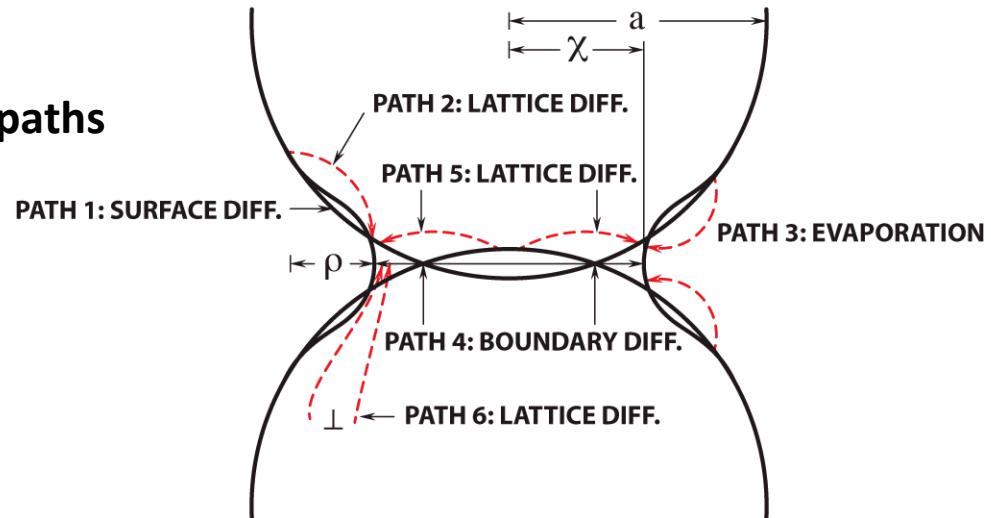
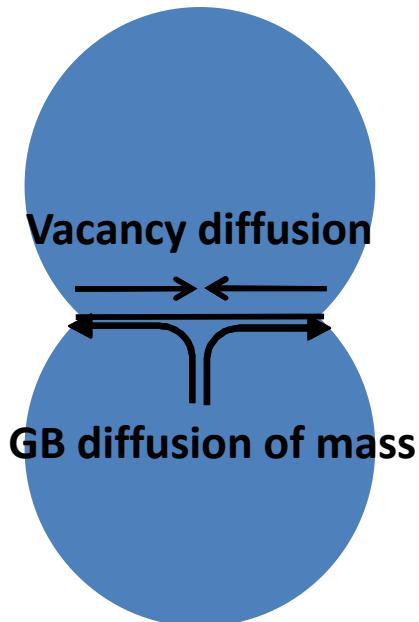


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# Microstructural Evolution During Simple Solid-State Sintering

Mass transport described by  
Classic Ashby model with the 6 paths

In this work:  
grain boundary diffusion  
surface diffusion  
are active.

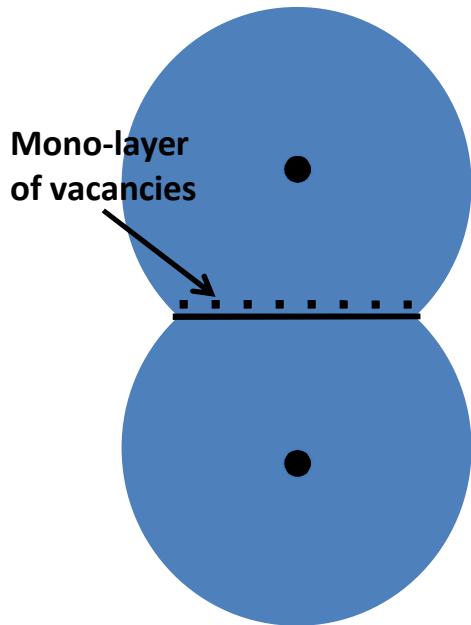


- Mass diffuses along the grain boundary to fill the pore
- Vacancies generated at the pore surface
- Vacancies diffuse from the pore to the grain boundary
- Vacancies are annihilated at the grain boundaries



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# Densification in Stereological Model of Sintering\*



## Densification

- Vacancies diffuse along the grain boundary
- They paint the grain boundary forming a mono-layer
- The entire monolayer is annihilated
- The centers of mass of the particles move closer
- The neck grows
- The pore shrinks

## Densification Rate

- Rate of vacancy annihilations  $\dot{N}_A = \frac{\int (-D_b \nabla C) \delta dL}{A_b}$
- As the neck area grows, the time between the annihilation events increases
  - $\tau \propto A_b$

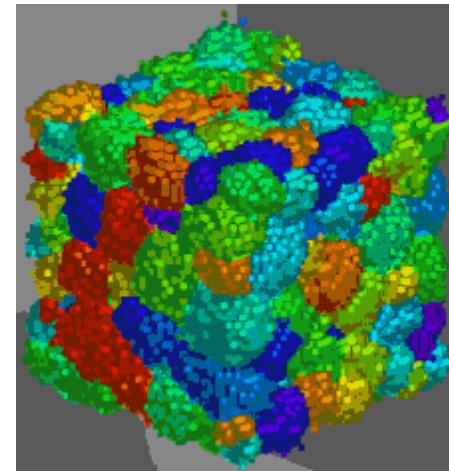
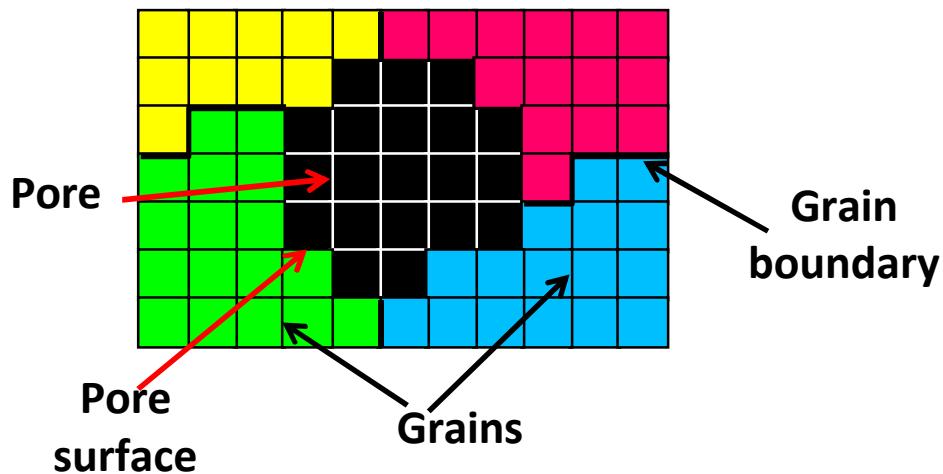
\*R.T DeHoff, Sci. of Sintering, 1989



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# Potts kMC Model

- Microstructure is represented by digitizing on a cubic lattice:



- Each voxel is a unit of matter

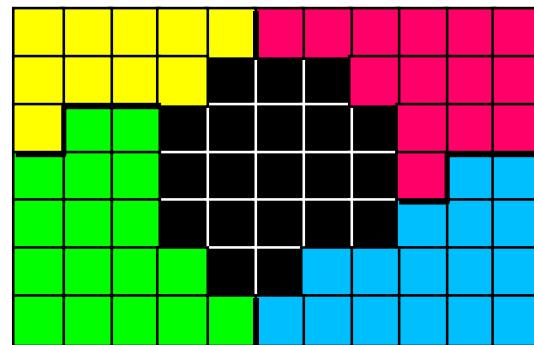


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# Potts kMC Model Equation of State

- Driving force for sintering is the reduction in total interfacial energy

$$E = \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^{26} J_{ij} \left( 1 - \delta(q_i, q_j) \right)$$



- Dihedral angle can be changed by adjusting  $J_{ij}$



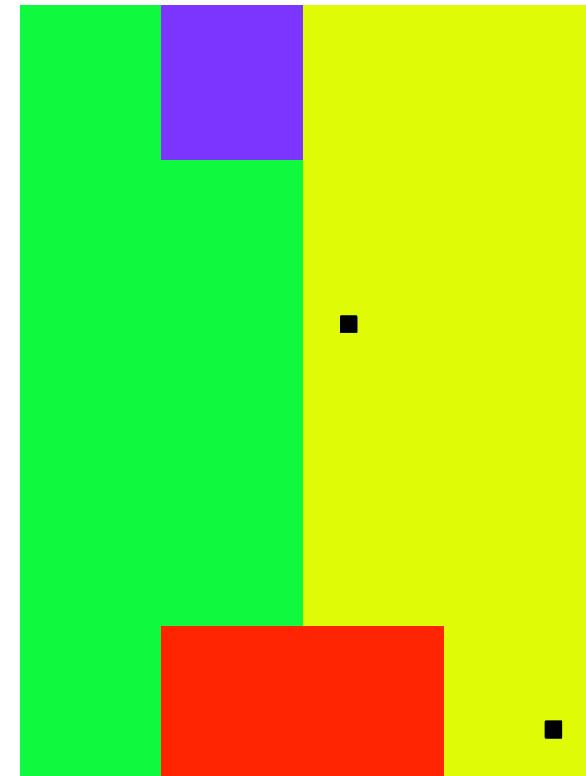
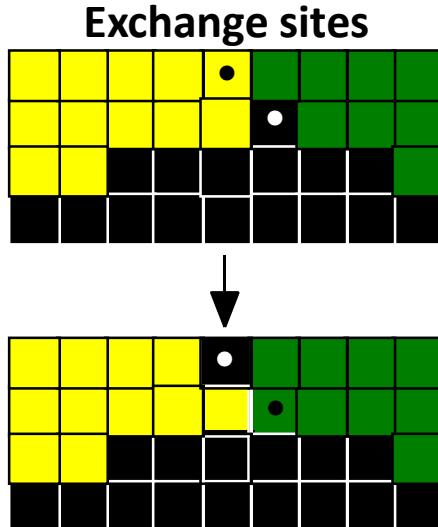
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# Potts kMC Model

## Vacancy Generation

- Vacancies are generated by the exchange mechanisms as shown.
- There is an equilibrium concentration of vacancies
- Concentration of vacancies is proportional to the surface curvature

$$P = \exp\left(\frac{-\Delta E}{k_B T}\right) \quad \Delta E > 0$$

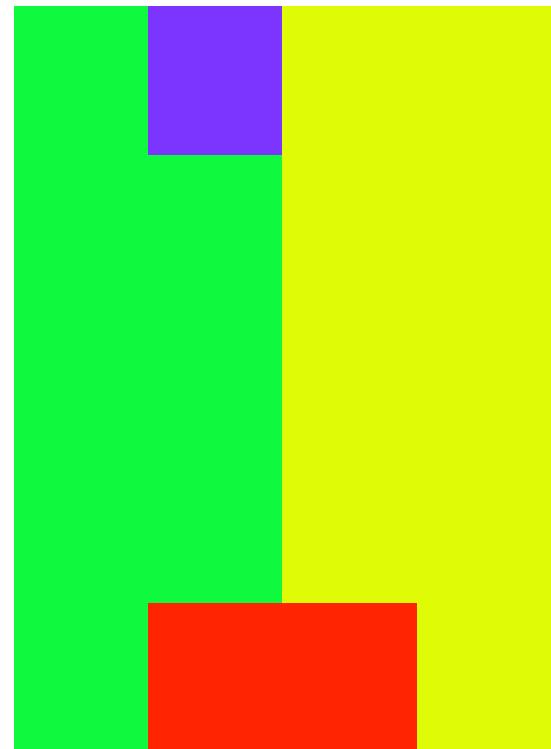


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# Potts kMC Model

## Vacancy Diffusion and Annihilation

- **Stereological model**
  - Paint a layer of vacancies on the neck and annihilate them.
  - Centroids of grains move closer to give densification.
- **Potts model**
  - Annihilation mono-layer is not possible.
  - Annihilate one vacancy with equal probability of being anywhere in the neck.
  - Annihilation frequency is  $\tau H A_b$ .
  - Annihilation is simulated by collapsing a column of sites.
  - Centroid of grains approach each other.
  - Powder compact densifies.



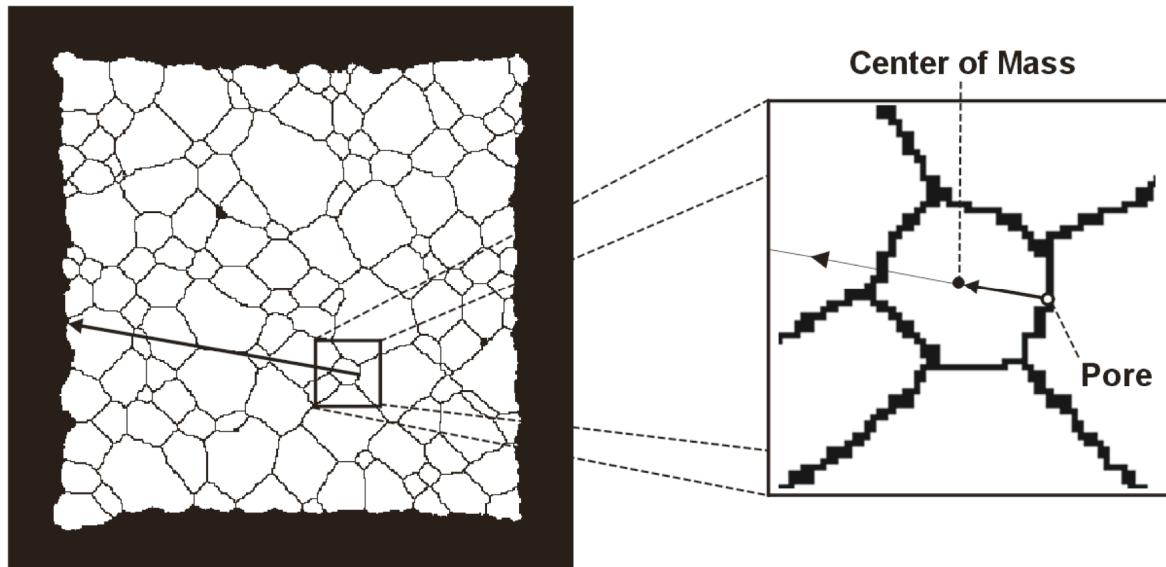
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# Potts kMC Model

## Simulation of Annihilation

### Annihilation of a vacancy on a grain boundary

- Draw a line from the vacancy through the COM to the external surface of the powder compact
- Collapse all the sites along the line by one pixel to fill the vacancy

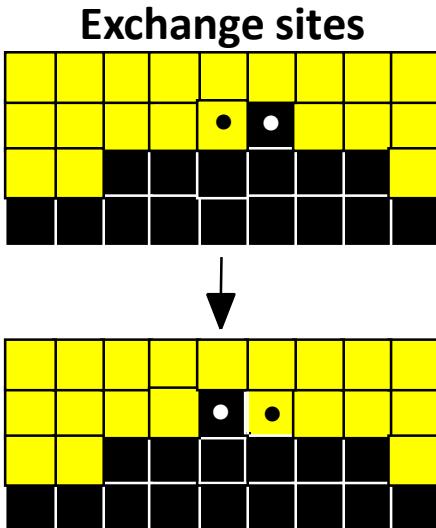


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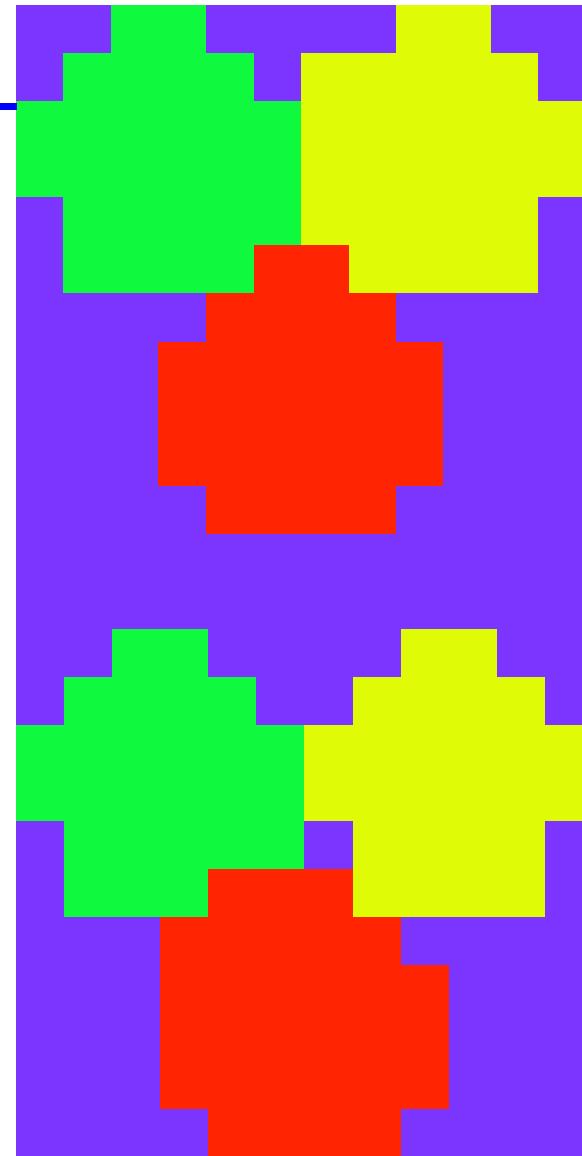
# Potts kMC Model

## Pore Surface Diffusion

- Pore sites and grain sites at pore surface exchange places to simulate surface diffusion
- Minimize surface energy by Metropolis algorithm
- Calculate  $\Delta E$
- Probability of exchange is



$$P = 1 \quad \Delta E \leq 0$$
$$P = \exp\left(\frac{-\Delta E}{k_B T}\right) \quad \Delta E > 0$$



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# Potts kMC Model

## Grain Growth

### Coarsening of grains during sintering

- Is a significant contributor
- Affects densification and distortions

Curvature-driven grain growth is simulated by grain boundary motion

- Grain sites can change from one grain to another

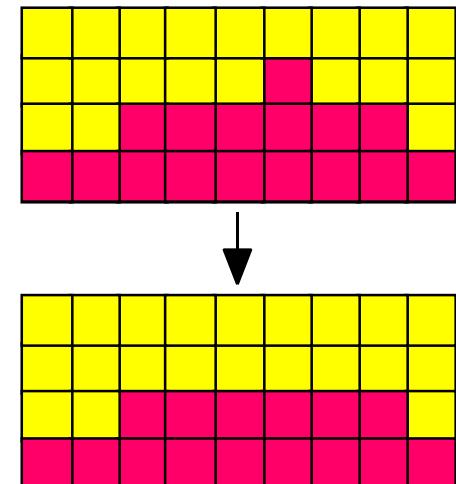
- Calculate  $\Delta E$  
$$E = \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^{26} J_{ij} (1 - \delta(q_i, q_j))$$

$$P = 1 \quad \Delta E \leq 0$$

- Probability of change

$$P = \exp\left(\frac{-\Delta E}{k_B T}\right) \quad \Delta E > 0$$

grain growth  
change pixel color



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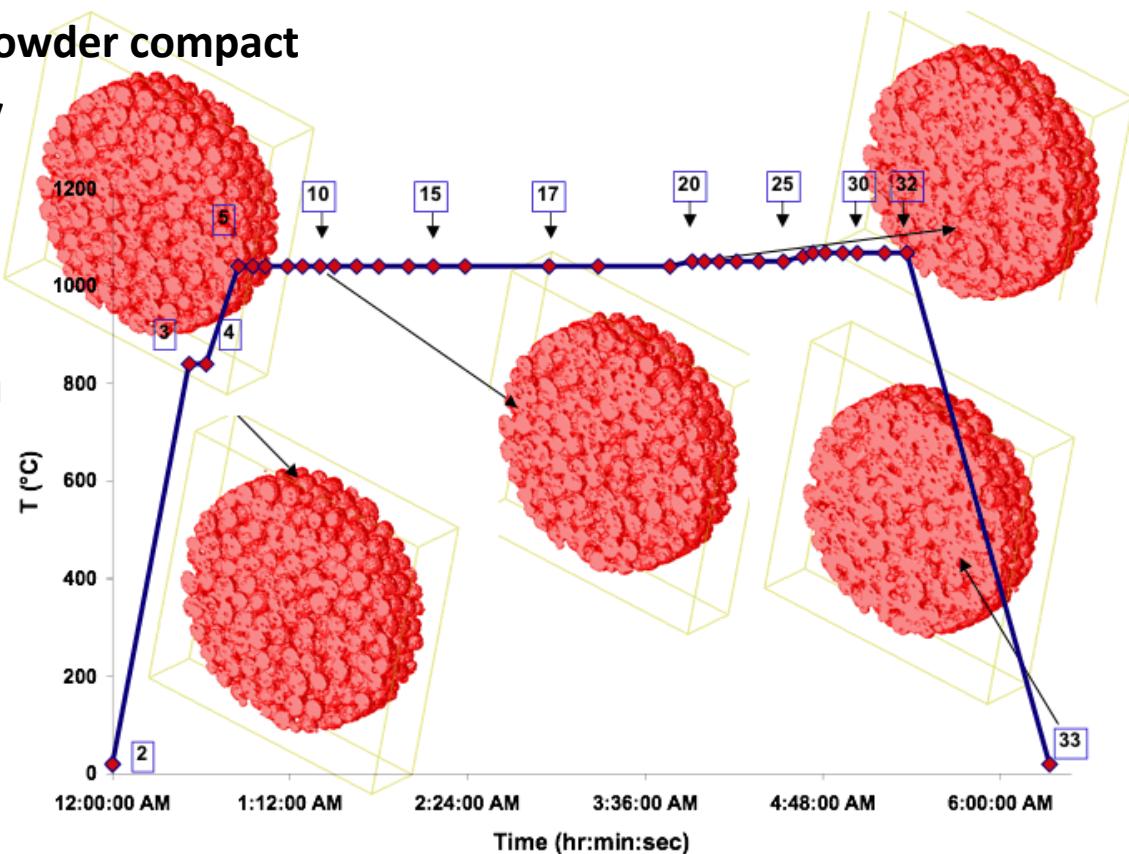
# Application and Validation of Potts kMC Sintering Model

Potts kMC Sintering model was tested by simulating

- Many simple geometries with analytic results.

Comparing to sintering Cu-powder compact

- Imaged with high-energy X-rays in synchrotron
- Cu-particles 30 – 50  $\mu\text{m}$
- Shows the mass and pore distribution in-situ



D. Bouvard

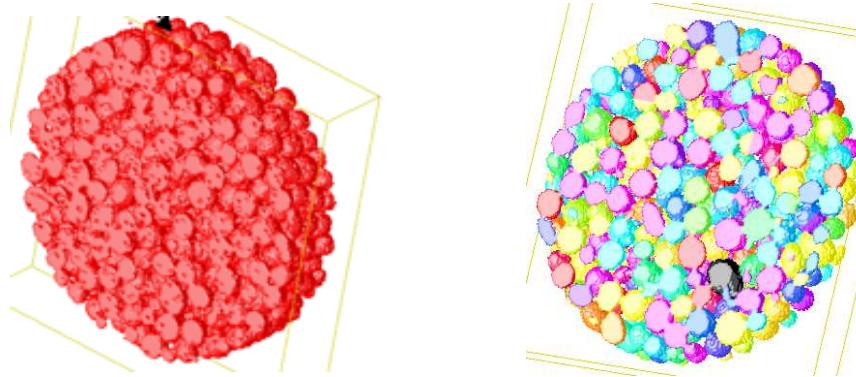


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# Validation by Comparing to Cu-Compact Sintering Potts kMC Sintering Model

Grain structure extrapolated into the initial 3D image obtained from the synchrotron

- Using the Potts grain growth algorithm



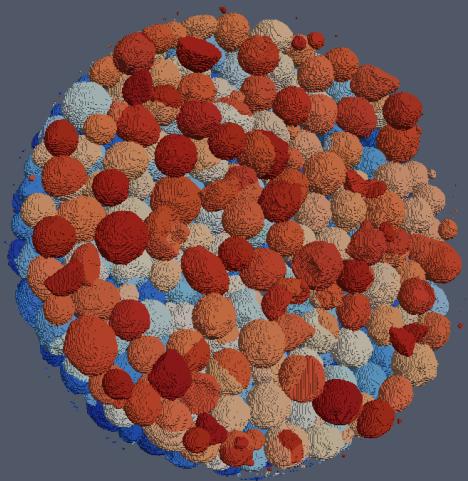
- Microstructural evolution during sintering from this image was compared to later experimentally obtained images.



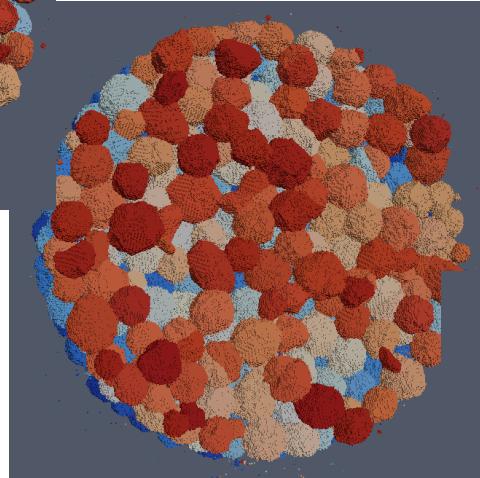
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# Potts kMC Model

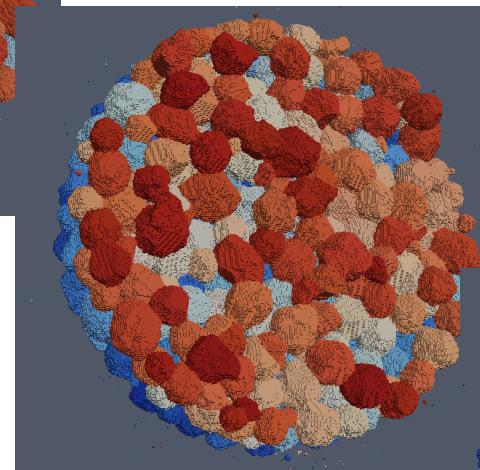
## Simulation of Cu-Particles Compact



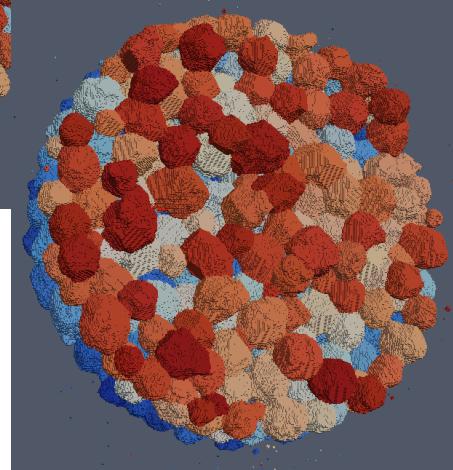
$\rho = 72\%$



$\rho = 82\%$



$\rho = 85\%$



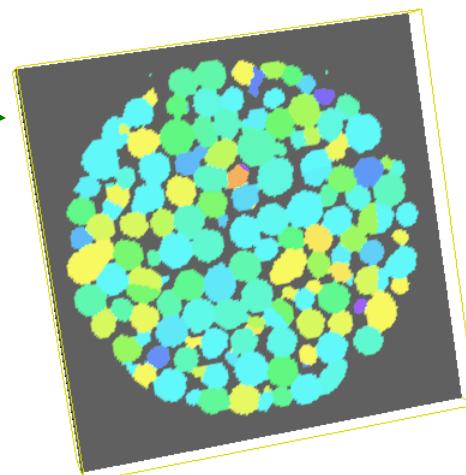
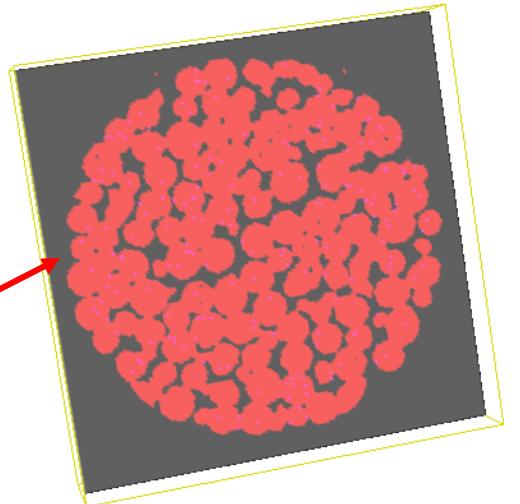
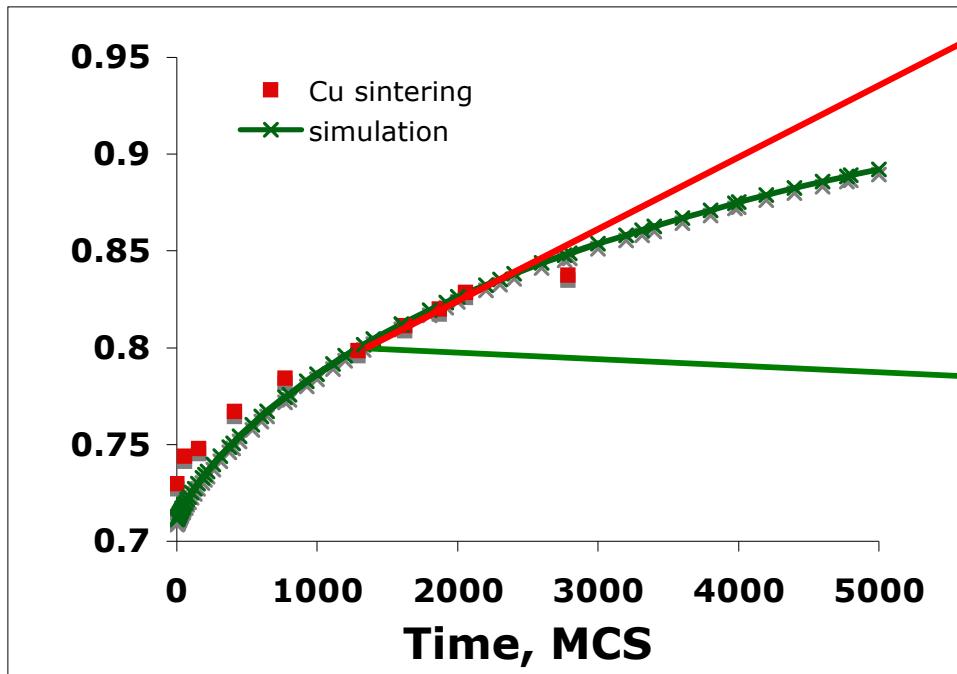
$\rho = 87\%$



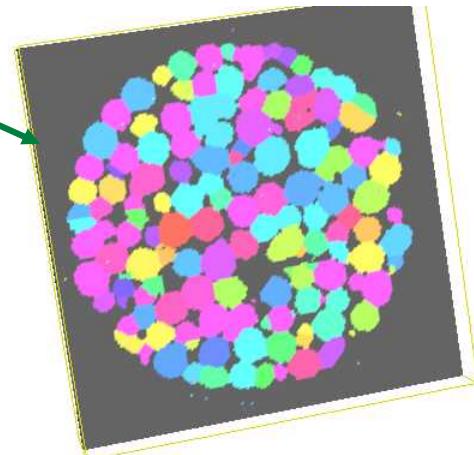
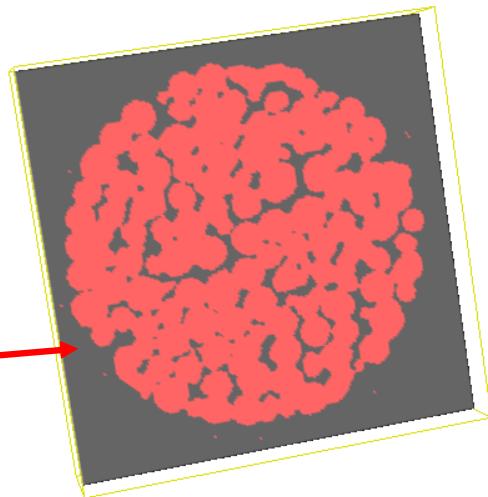
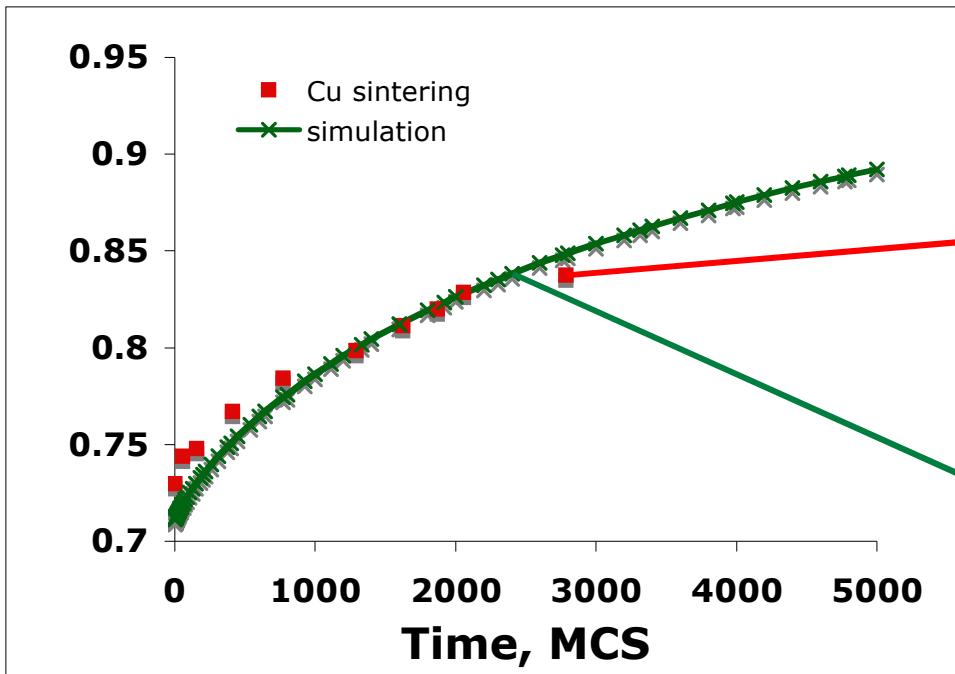
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# Comparison of Potts Simulation and Cu-Experiments

## Microstructure & Densification



# Comparison of Densification Microstructure & Densification



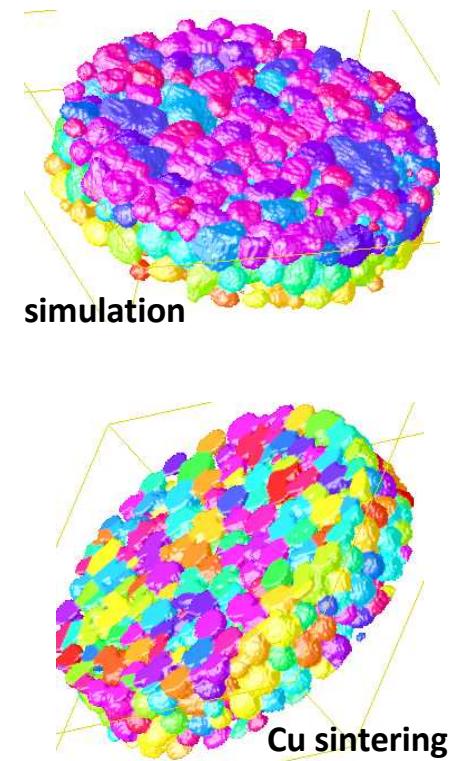
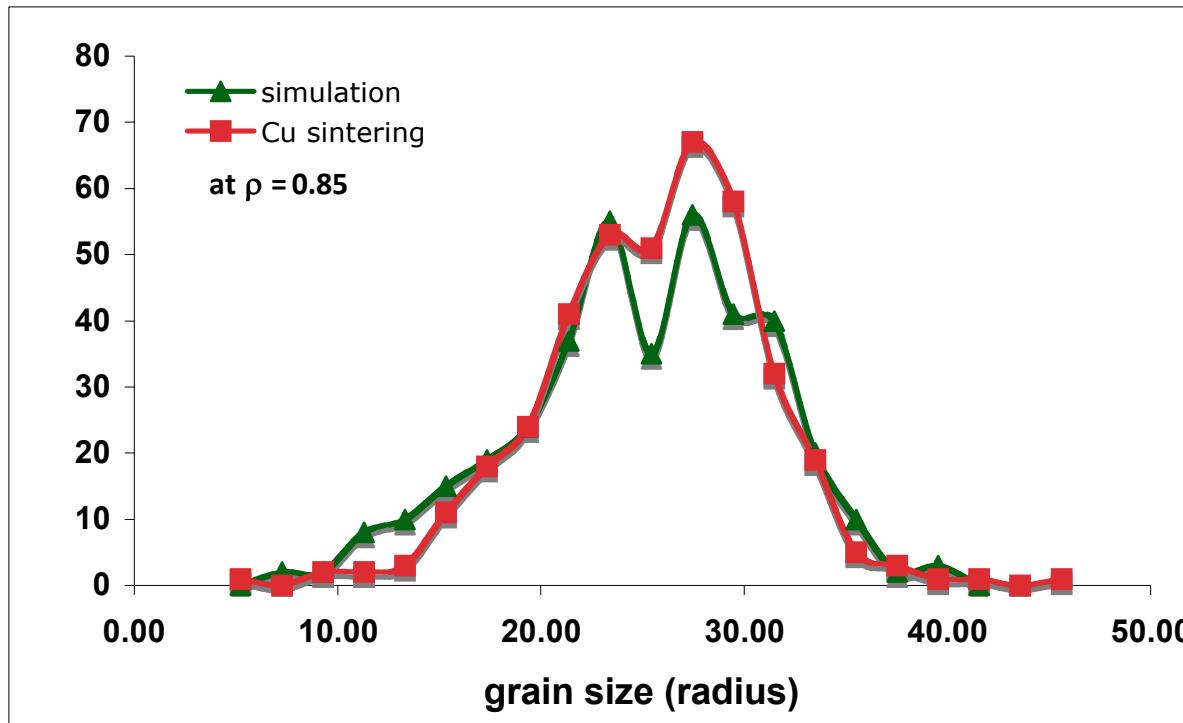
Very good agreement between the sintering simulation and Cu-sintering experiments.



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# Comparison of Grain Size Distributions

- The overall GSD's have very similar sizes and distribution shape.
- There are 15 (out of 380) more grains in Cu sintering compacts because grains in the simulation are truncated at the edges.



The GSD from simulated sintering is very similar to the 3D image of Cu sintering. Differences are due primarily to edge effects.



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# Sintering Stress

- Driving force for sintering,  $\Delta G$ , is the total change in free energy during sintering
  - Potts kMC model simulates the entire microstructural evolution
  - In response to grain boundary energy  $\gamma_{gb}$  and pore surface energy  $\gamma_s$  contributions

$$E = A_{gb}\gamma_{gb} + A_s\gamma_s$$

- Sintering stress,  $P_L$ , is the inherent sintering stress due to capillarity for densification.

- Energy Method

$$P_L = \frac{E_s(V_o + \Delta V) - E_s(V_o)}{\Delta V} = \frac{\partial E_s}{\partial V}$$

- Curvature Method

$$P_L = \gamma_s \bar{H} = \gamma_s \frac{\frac{1}{2} \iint_S \left( \frac{1}{r_1} + \frac{1}{r_2} \right) dS}{\iint_S dS}$$

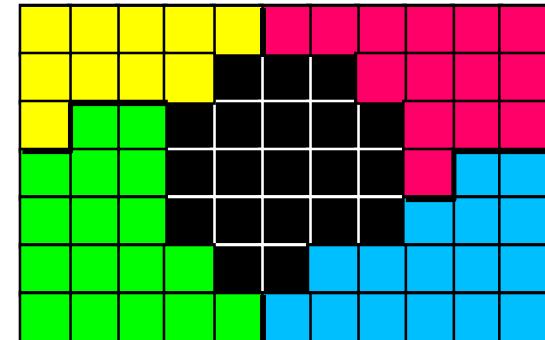


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# Sintering Stress Measuring from Simulations

## Energy Method

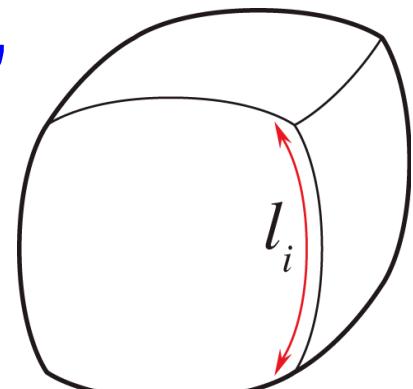
$$E = \frac{1}{2} \sum_{i=1}^P \sum_{j=1}^{26} J_S \left( 1 - \delta(q_i, q_j) \right) \text{ For all pore sites } q_i$$



## Curvature Method

- For a polyhedron
  - Integral mean curvature
  - Digitized microstructure

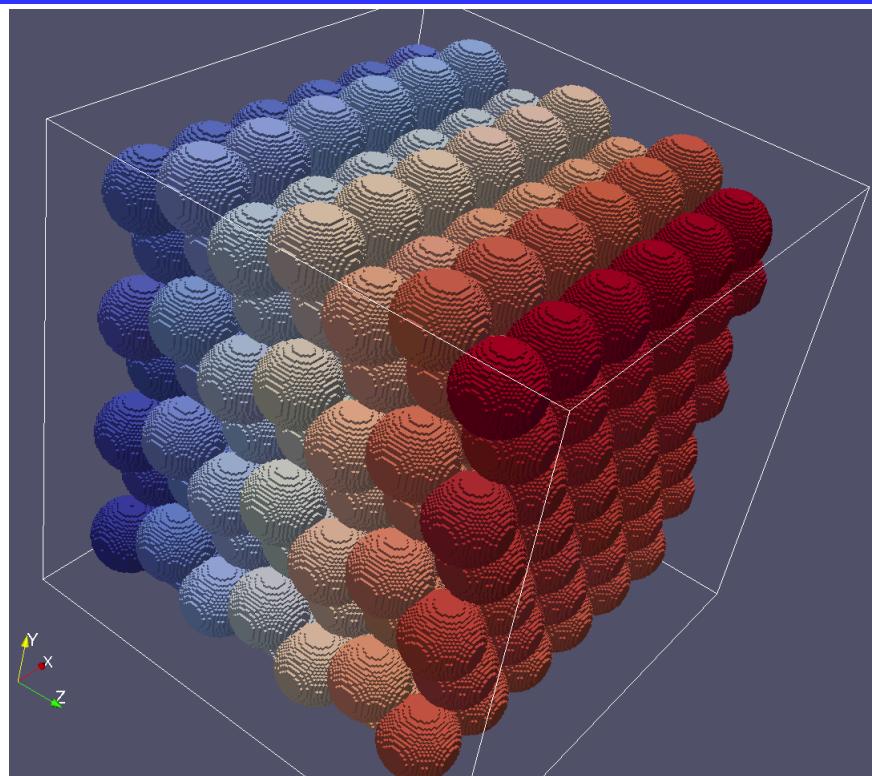
$$M_v = \underbrace{\iint_S \frac{1}{2} \left( \frac{1}{r_1} + \frac{1}{r_2} \right) dS}_{\text{Faces} = 0} + \underbrace{\frac{1}{2\pi} \sum_{e=1}^E l_e \beta_e}_{\text{Edges}}$$



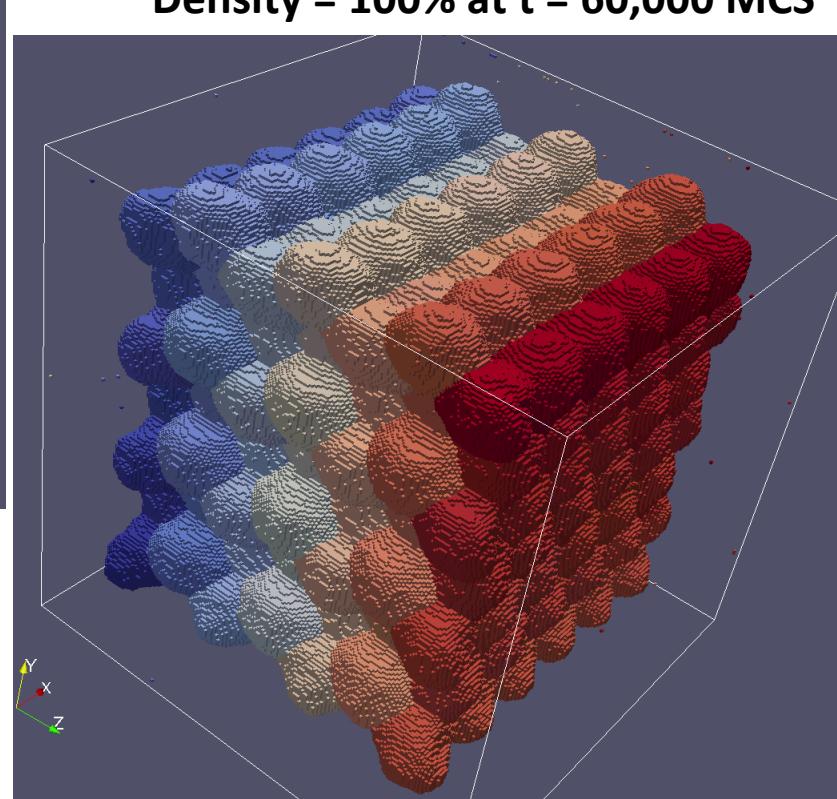
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# Potts kMC Model

## Simulation of Close-Packed Spheres Sintering



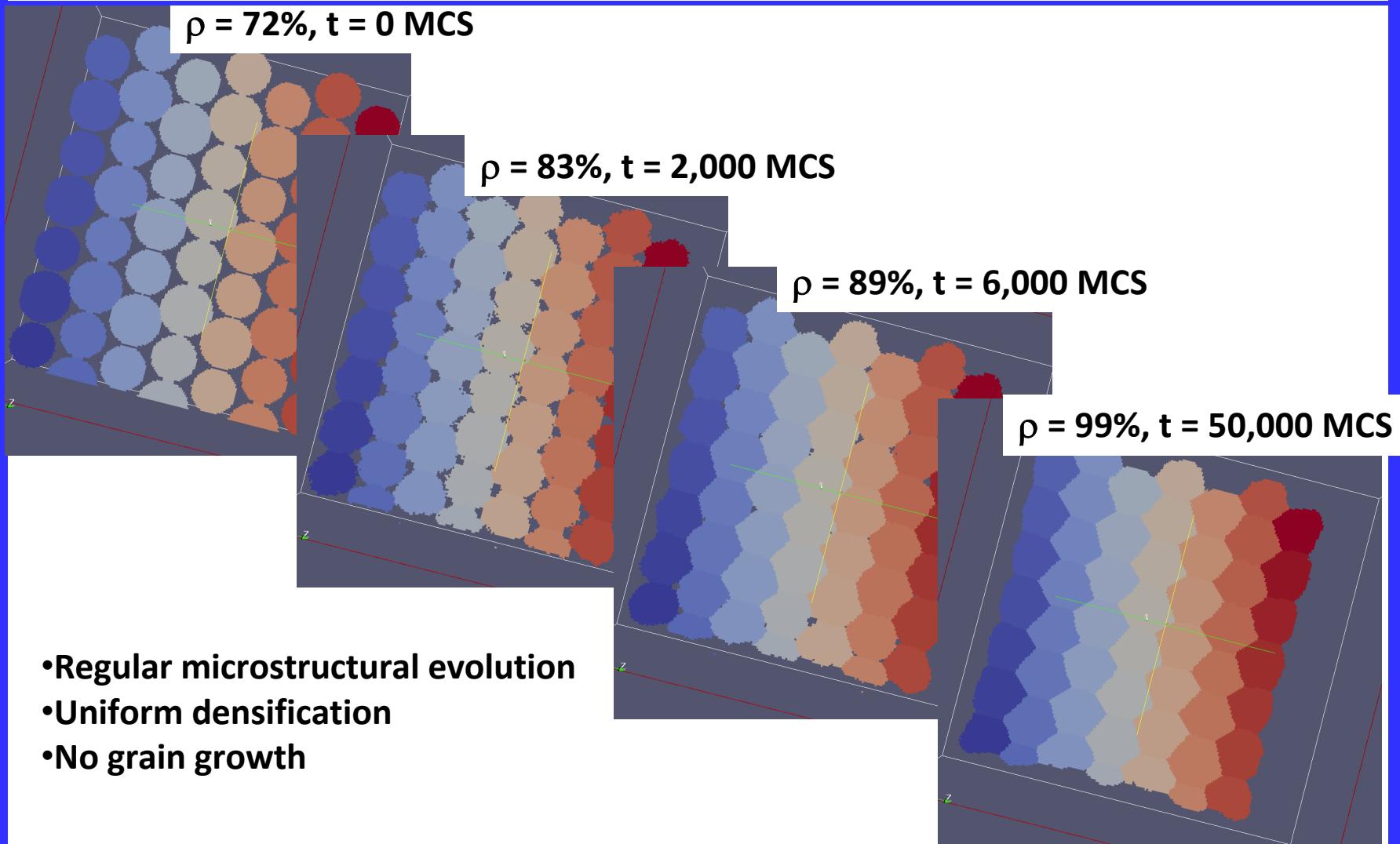
**Density = 72% at t = 0 MCS**



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# Potts kMC Model

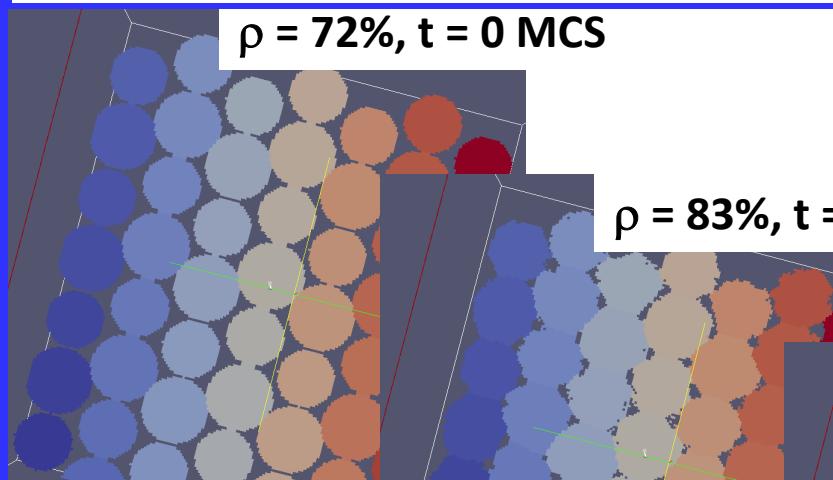
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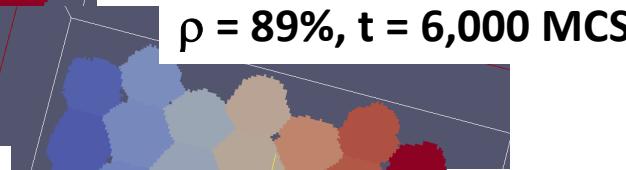
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# Potts kMC Model

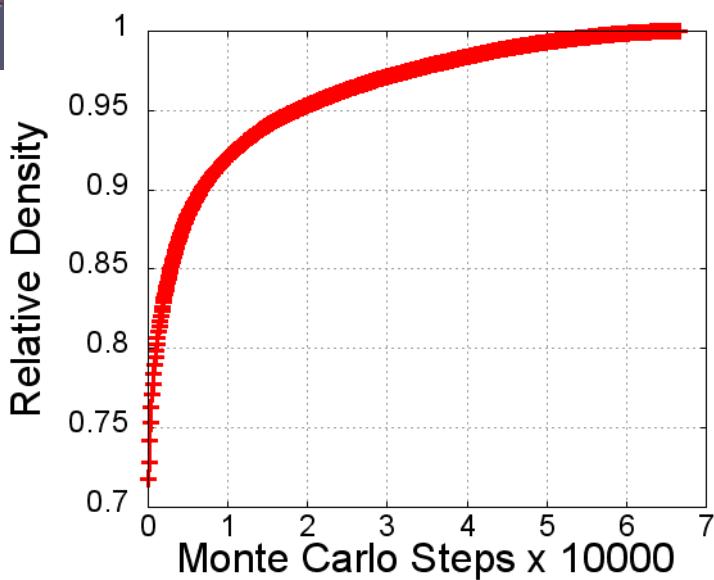
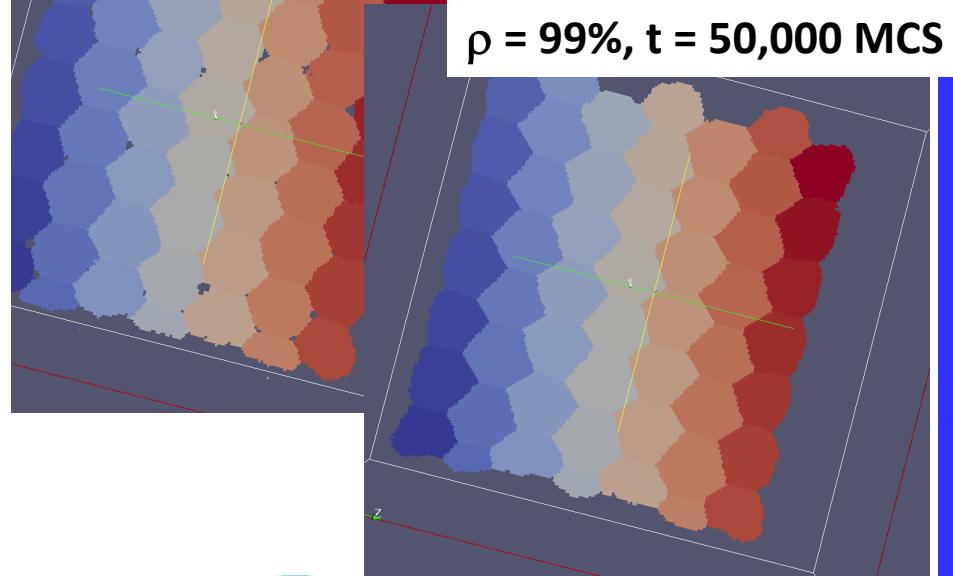
## Simulation of Close-Packed Spheres Sintering



$\rho = 83\%, t = 2,000 \text{ MCS}$



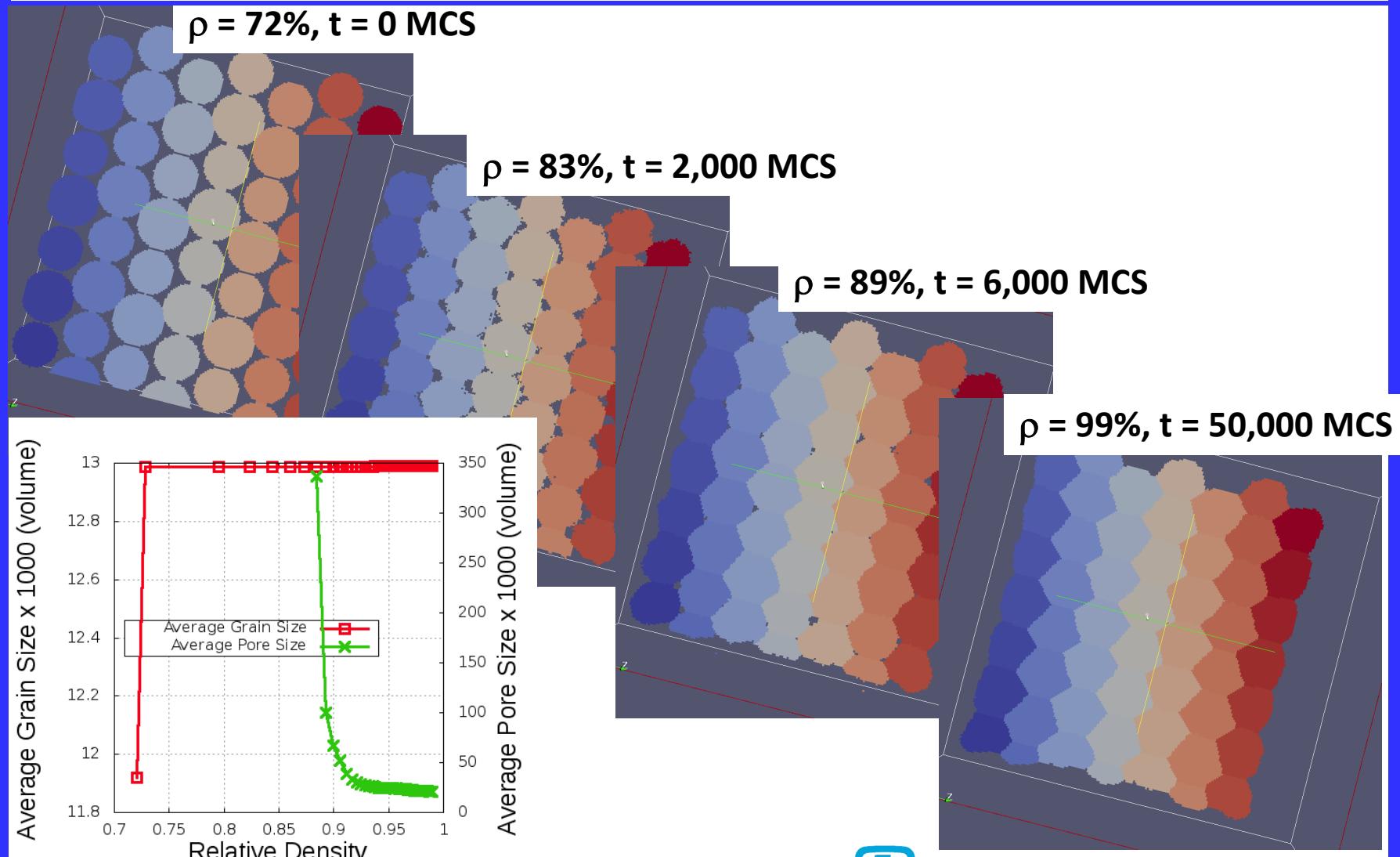
$\rho = 89\%, t = 6,000 \text{ MCS}$



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# Potts kMC Model

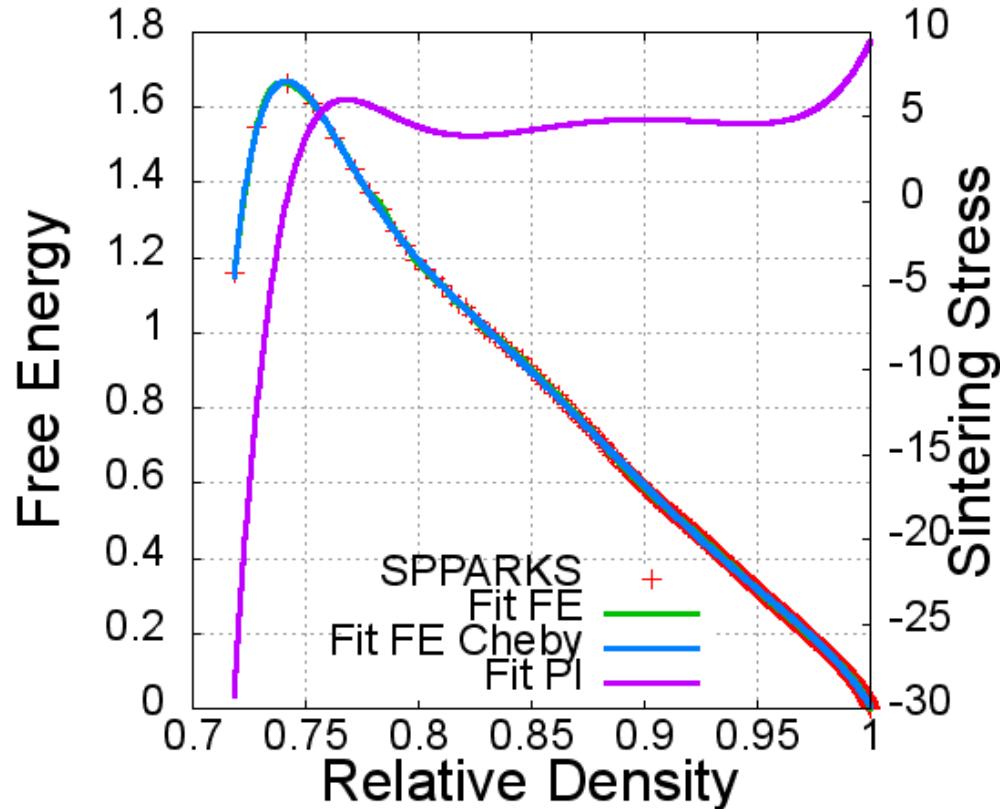
## Simulation of Close-Packed Spheres Sintering



# Sintering Stress, Energy Method

## Simulation of Close-Packed Spheres Sintering

- Energy method  $P_L = \frac{\partial E_s}{\partial V}$
- Data from simulations is  $E_s(\rho)$
- Fit  $E_s(\rho)$  with **splines**, results in noisy  $P_L$
- Fit  $E_s(\rho)$  with **Chebyshev poly**
- Resulting  $P_L$
- $P_L$  is initially negative due to initial pore curvature.
- Becomes ~constant and then increases as pores become isolated and small.



While overall trend is accurate,  $P_L$  is sensitive to the fitting technique used with the energy method

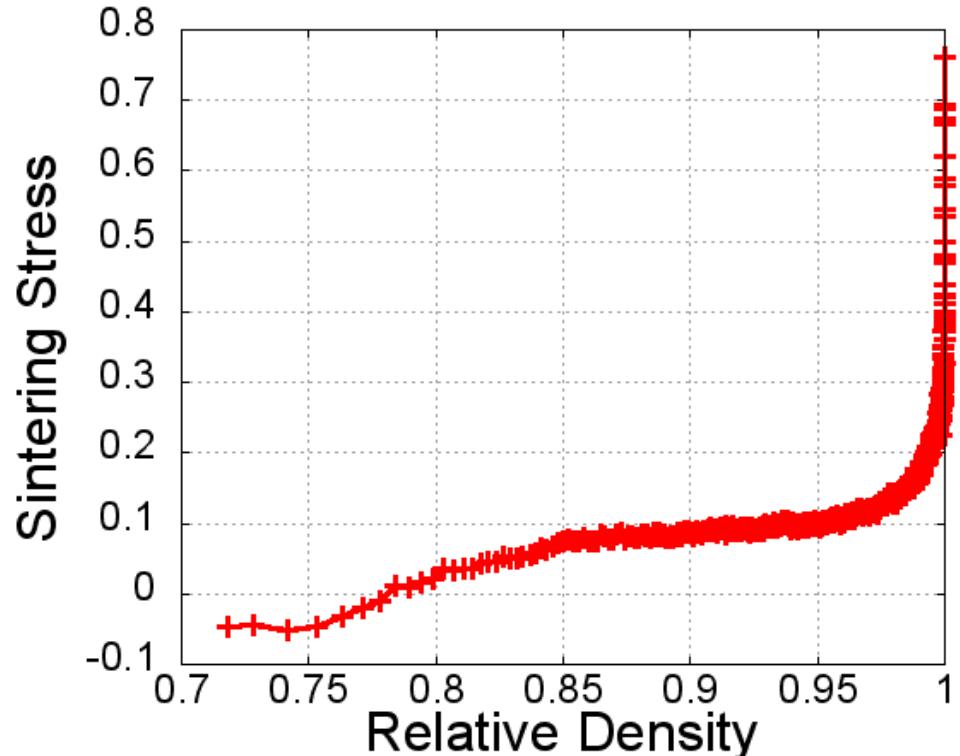


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# Sintering Stress, Curvature Method

## Simulation of Close-Packed Spheres Sintering

- Curvature method  $P_L = \gamma_s \bar{H} = \gamma_s \frac{M_v}{S_v}$
- Directly measure from the simulated microstructures
- Is an instantaneous measure and does not depend on the microstructural evolution path
- $P_L$  initially negative
- $P_L$  increase with increasing pore curvature.



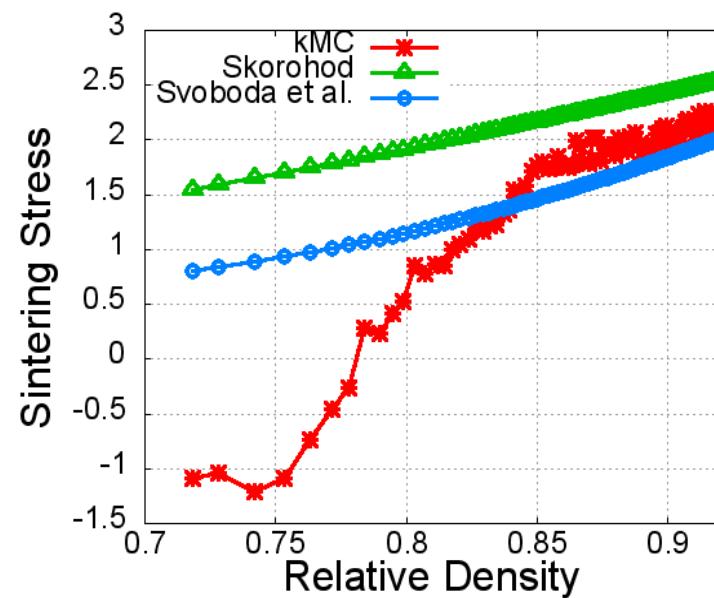
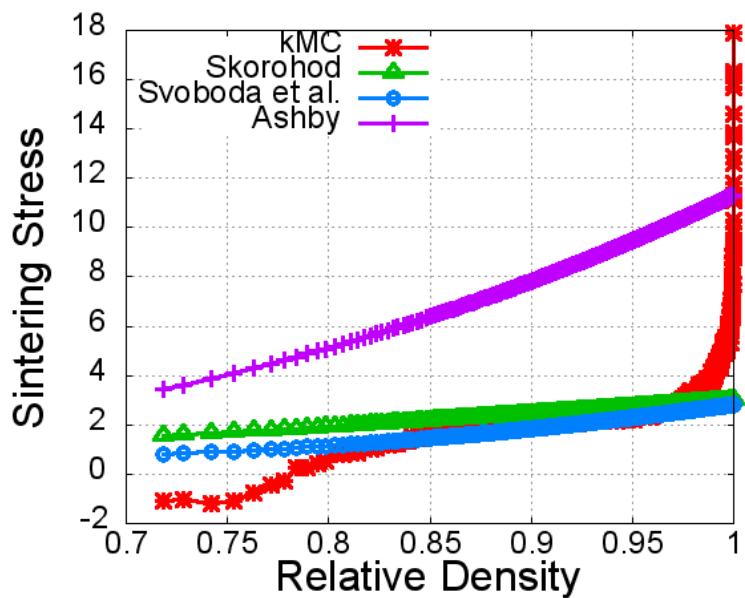
Curvature method will be used for the remainder of this work



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# Sintering Stress, Comparison to Analytic models

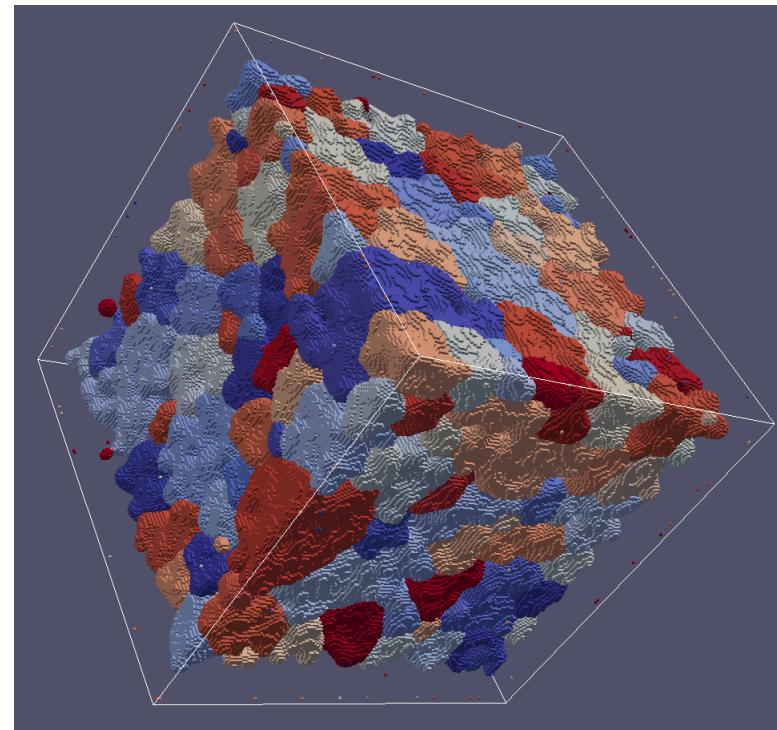
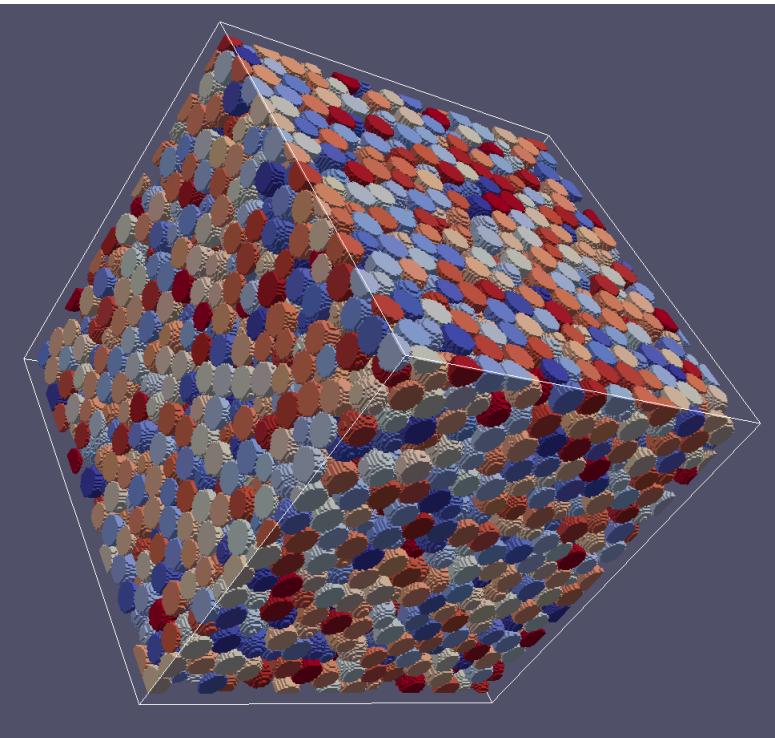
## Simulation of Close-Packed Spheres Sintering



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# Potts kMC Model

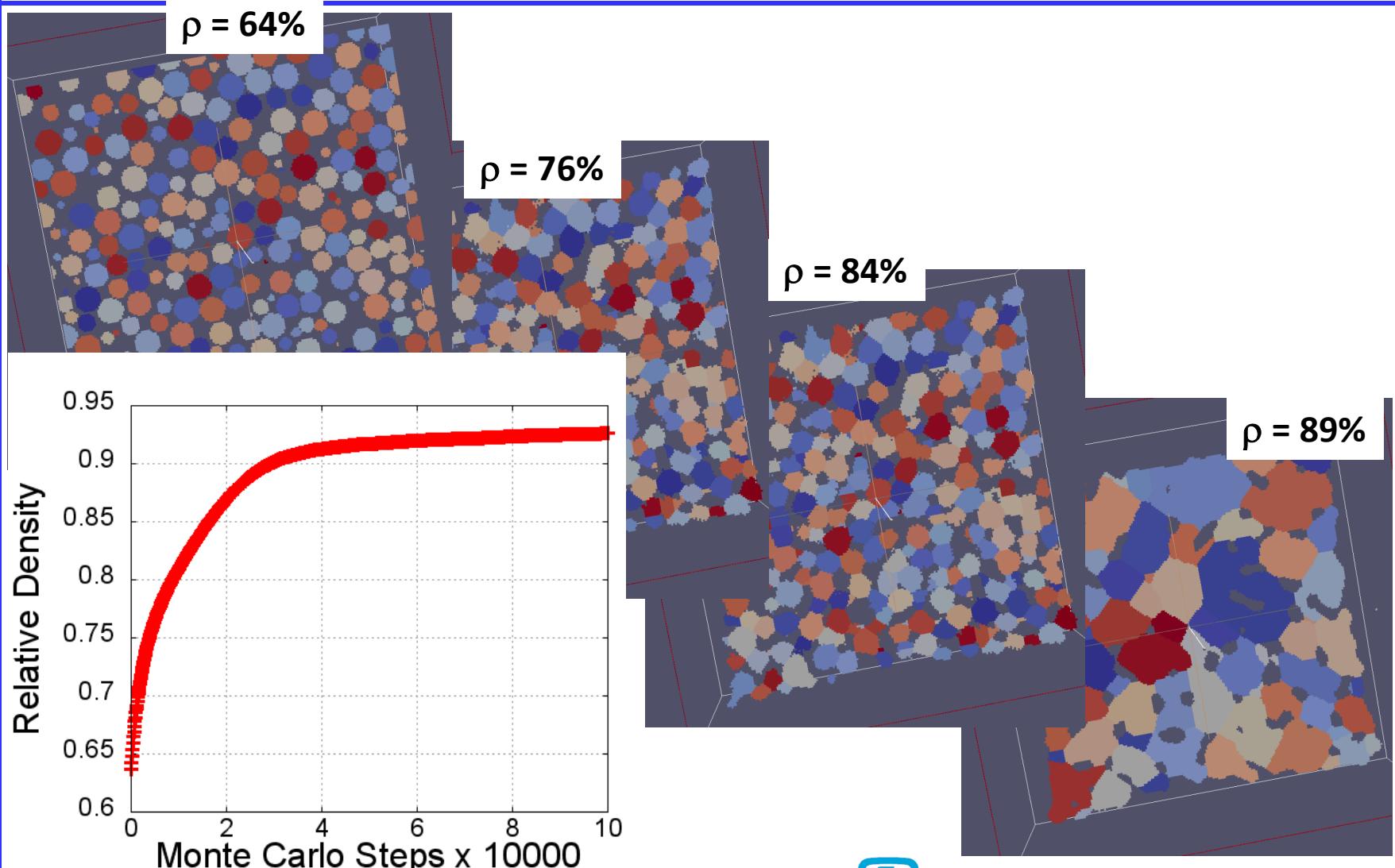
## Simulation of Randomly-Packed Mono-Sized Spheres Sintering



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# Potts kMC Model

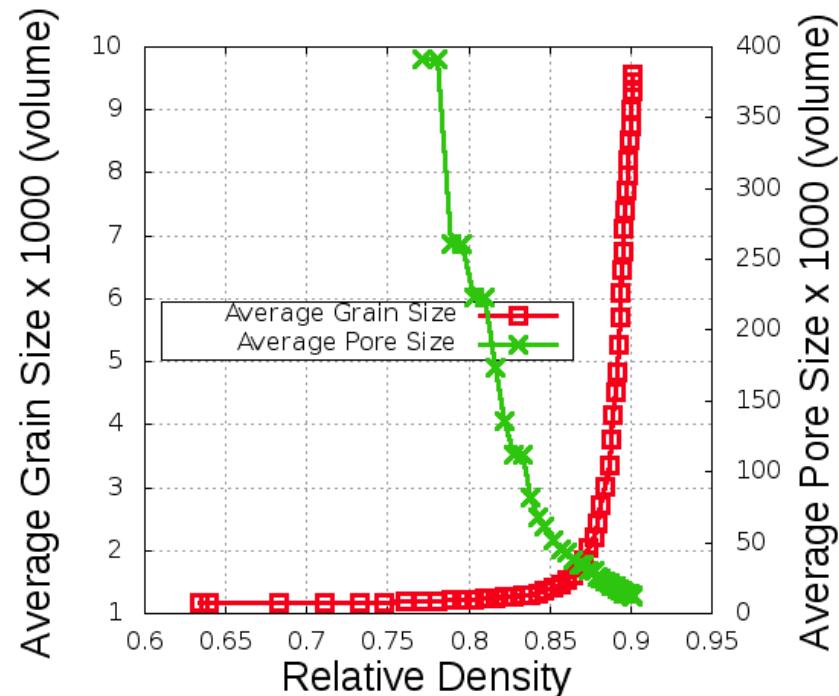
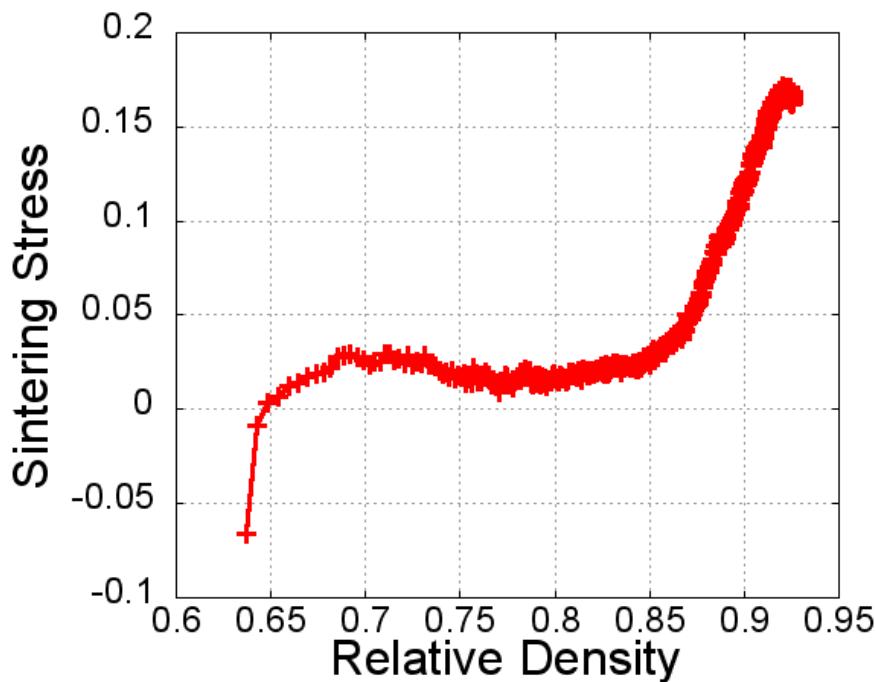
## Simulation of Randomly-Packed Mono-Sized Spheres Sintering



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# Sintering Stress

## Simulation of Randomly-Packed Mono-Sized Spheres Sintering



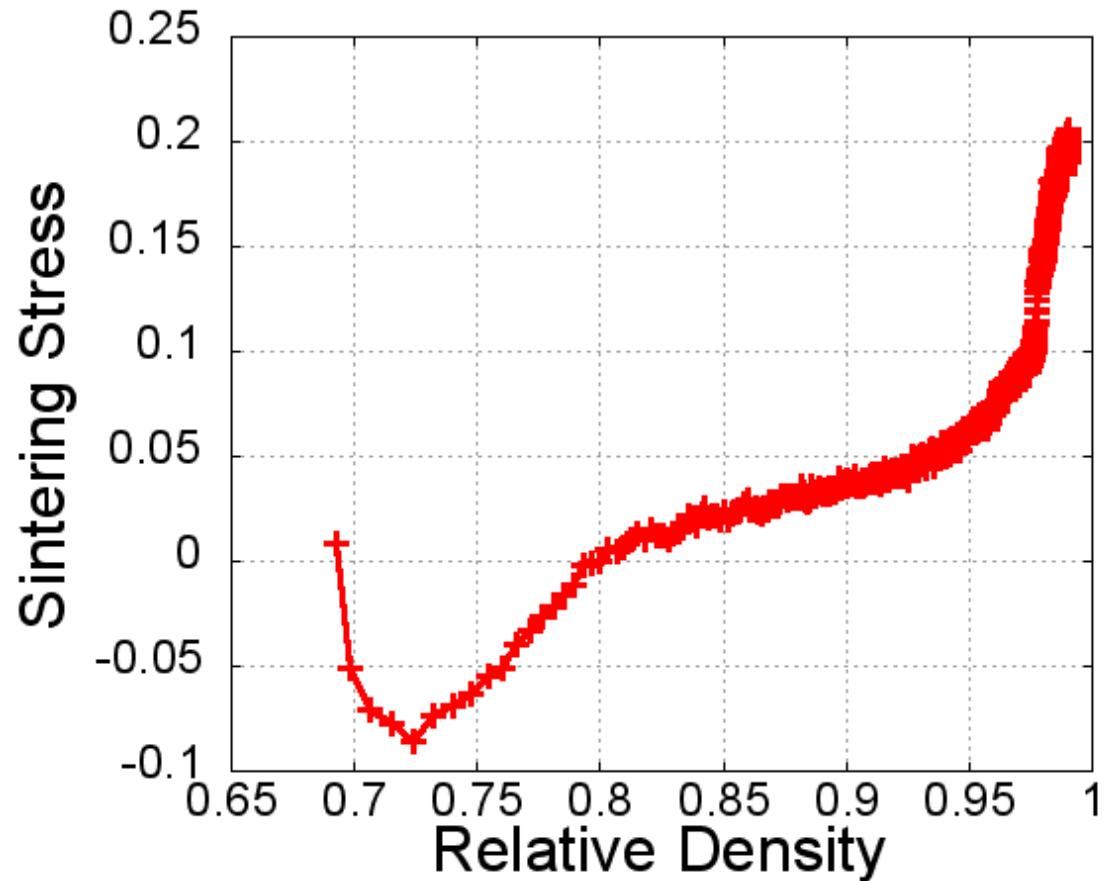
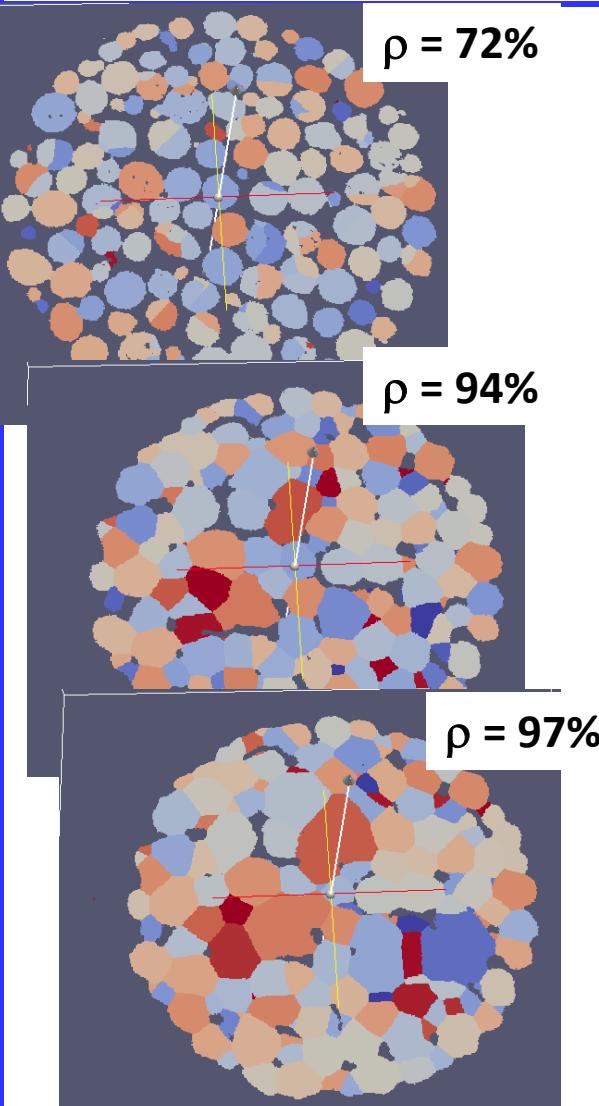
As grains grow and pore curvature decreases at density  $\sim 90\%$ , sintering stress also decreases.



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# Sintering Stress

## Simulation of Cu-Particle Compact Sintering



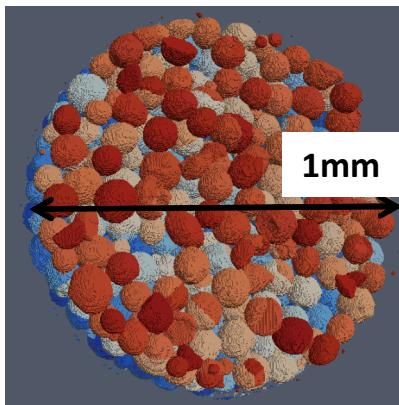
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# Sintering Stress

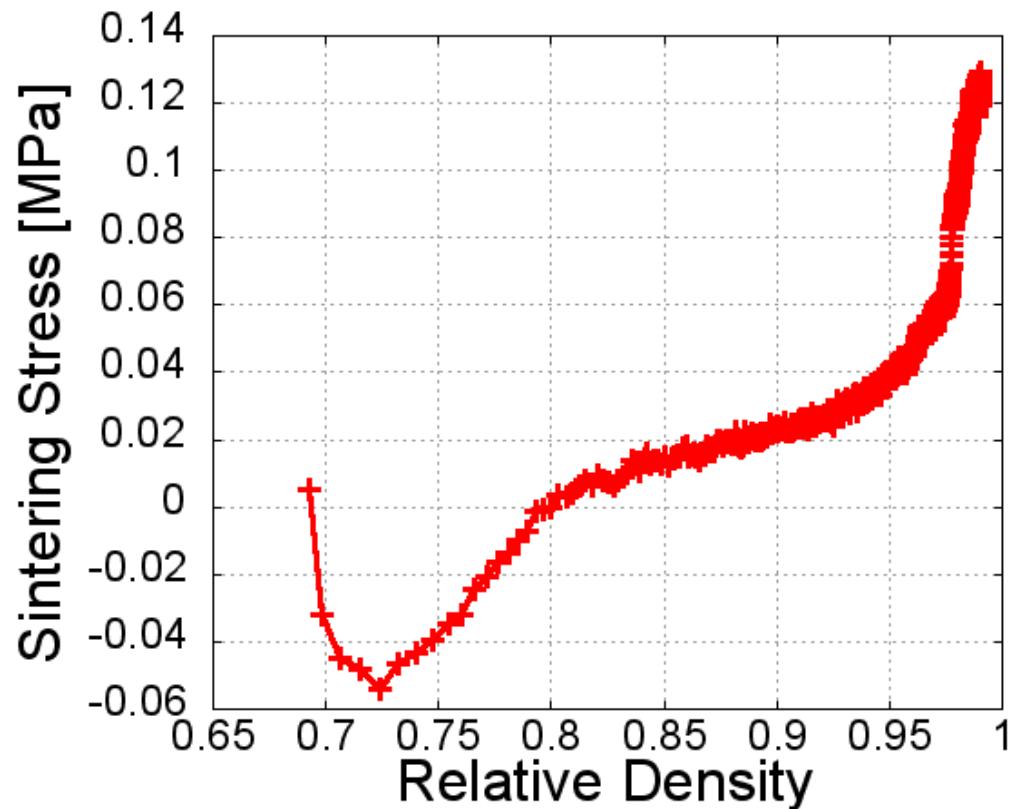
## Simulation of Cu-Particle Compact Sintering

### Obtaining $P_L$ with units for real systems

- units of spatial dimension

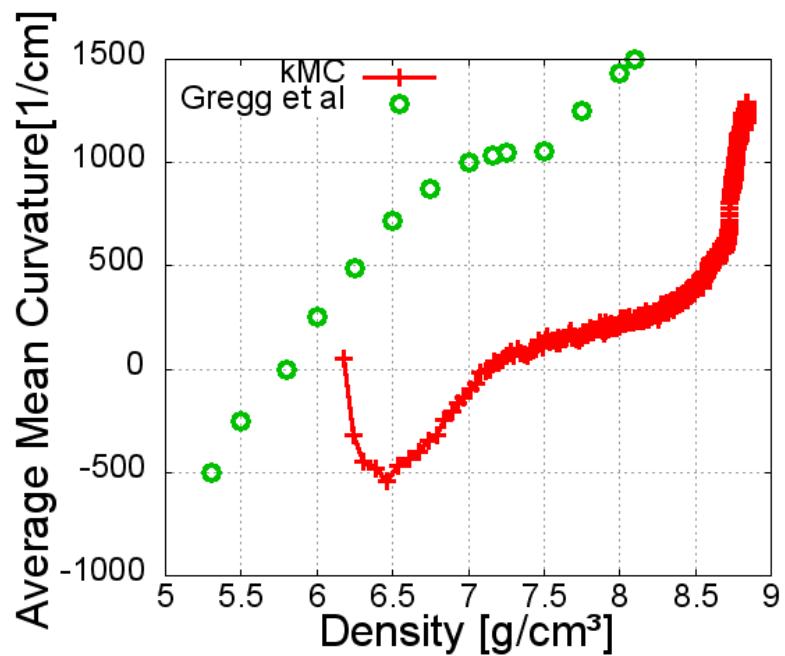


- Assume  $\gamma_s = 1 \text{ J/m}^2$  at 1050 °C



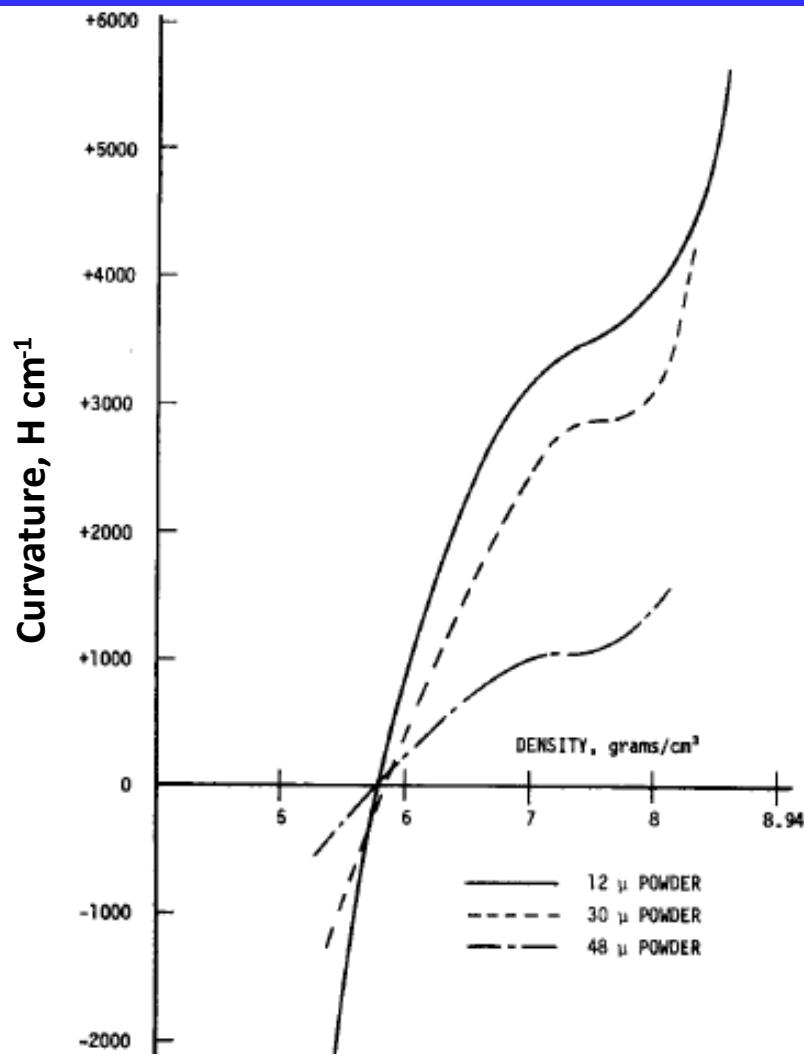
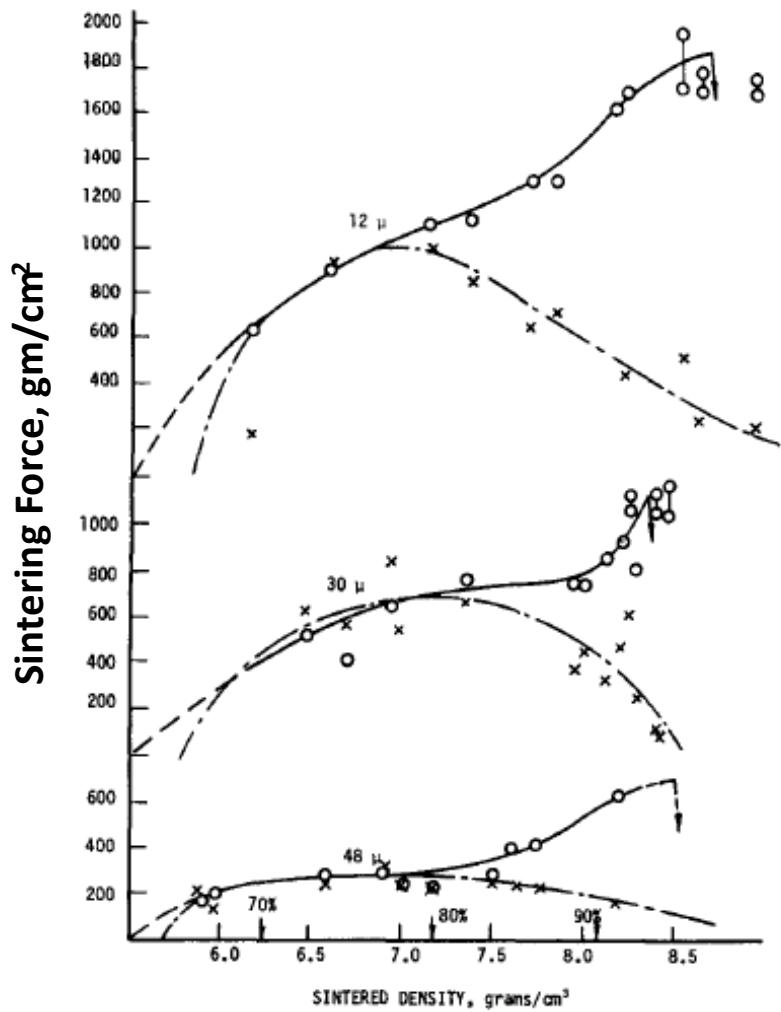
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# Sintering Stress, Comparison to Experiment Simulation of Cu-Particle Compact Sintering



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# Experimental Measurement of Sintering Stress Cu-Powder Compact Sintering



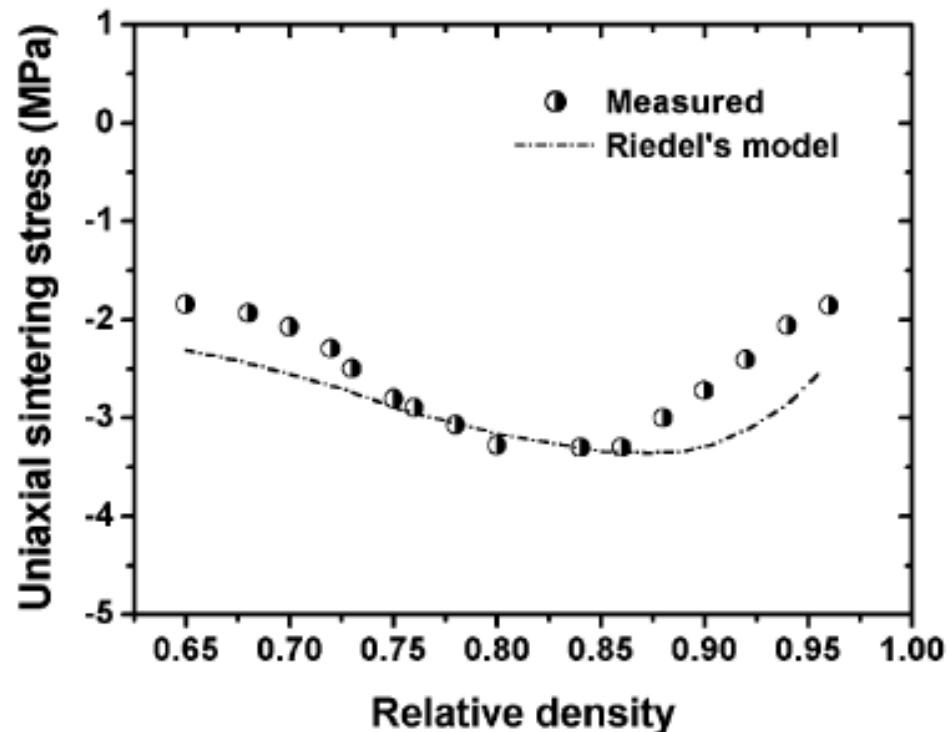
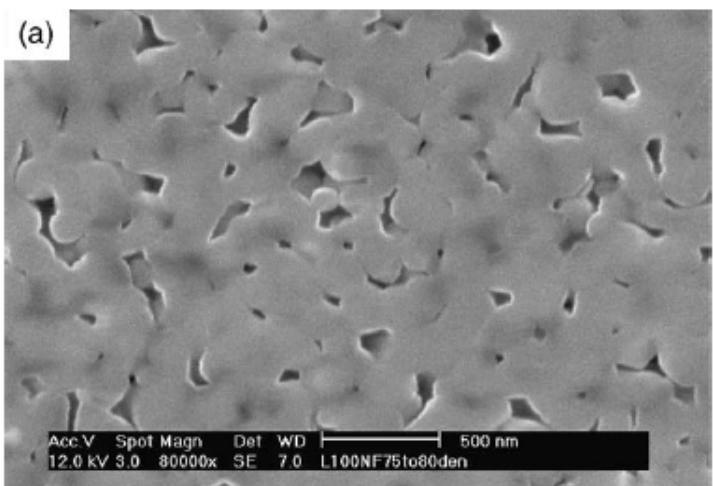
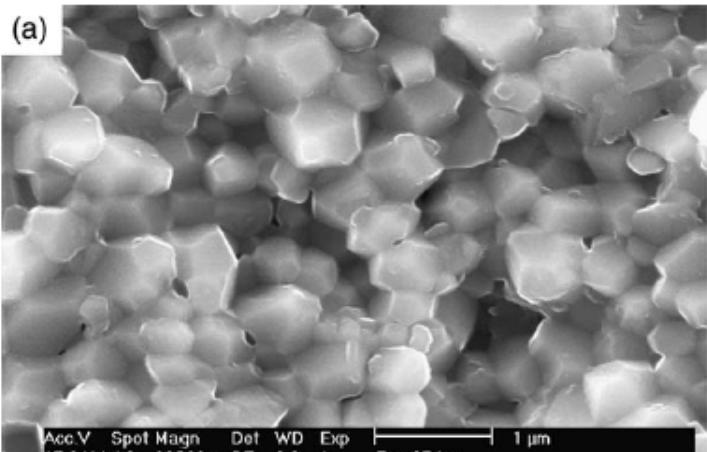
Gregg & Rhines, Met Trans., 1973



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# Experimental Measurement of Sintering Stress

## 150 nm $\text{Al}_2\text{O}_3$ -Powder Compact Sintering

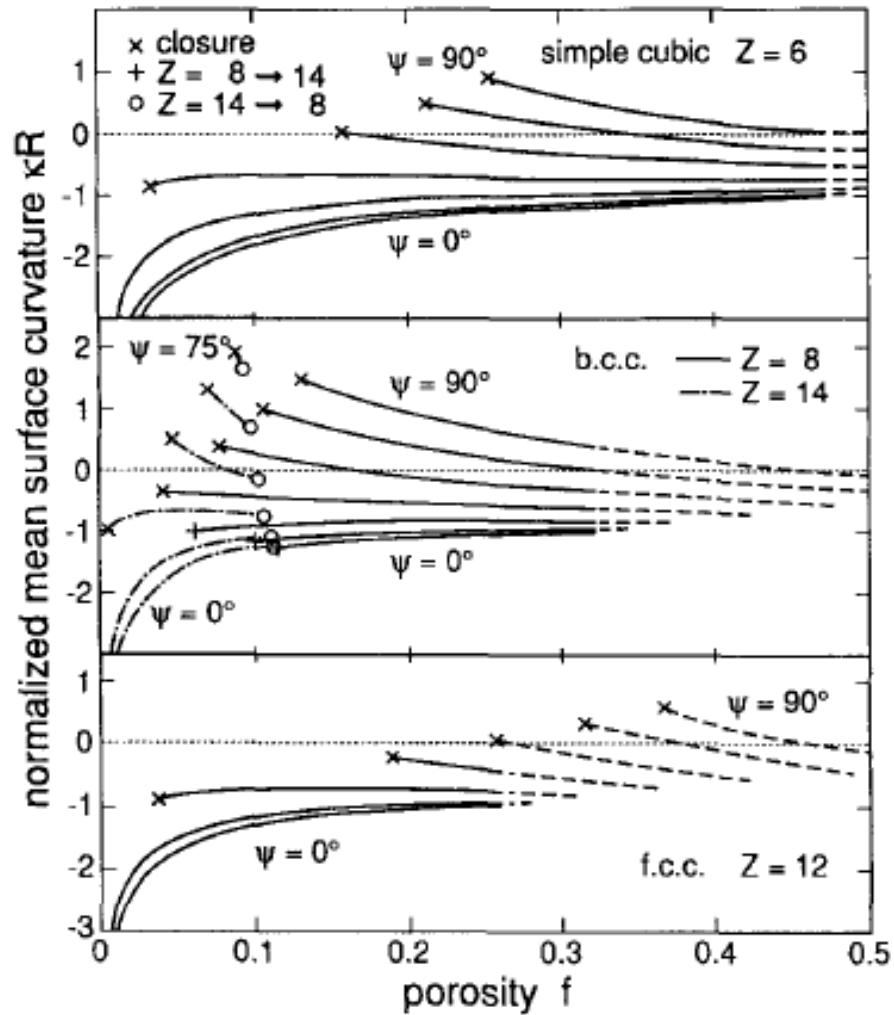
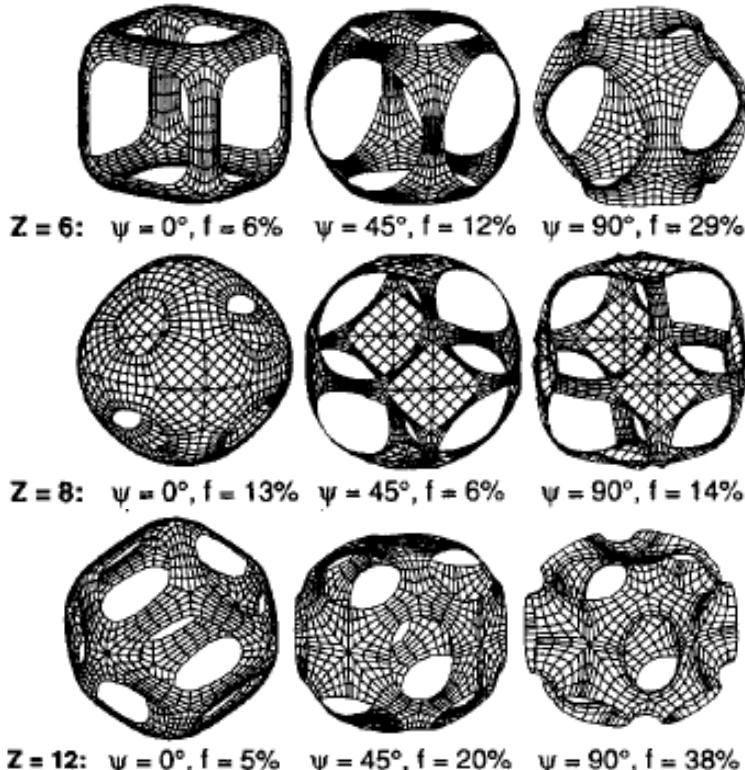


Zuo, Aulbach & Rodel, Acta Met, 2003  
Svoboda, Riedel & Zipse, Acta Metall 1994



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# Analytic Calculation of Pore Surface Curvature Cellular Powder Compact Sintering

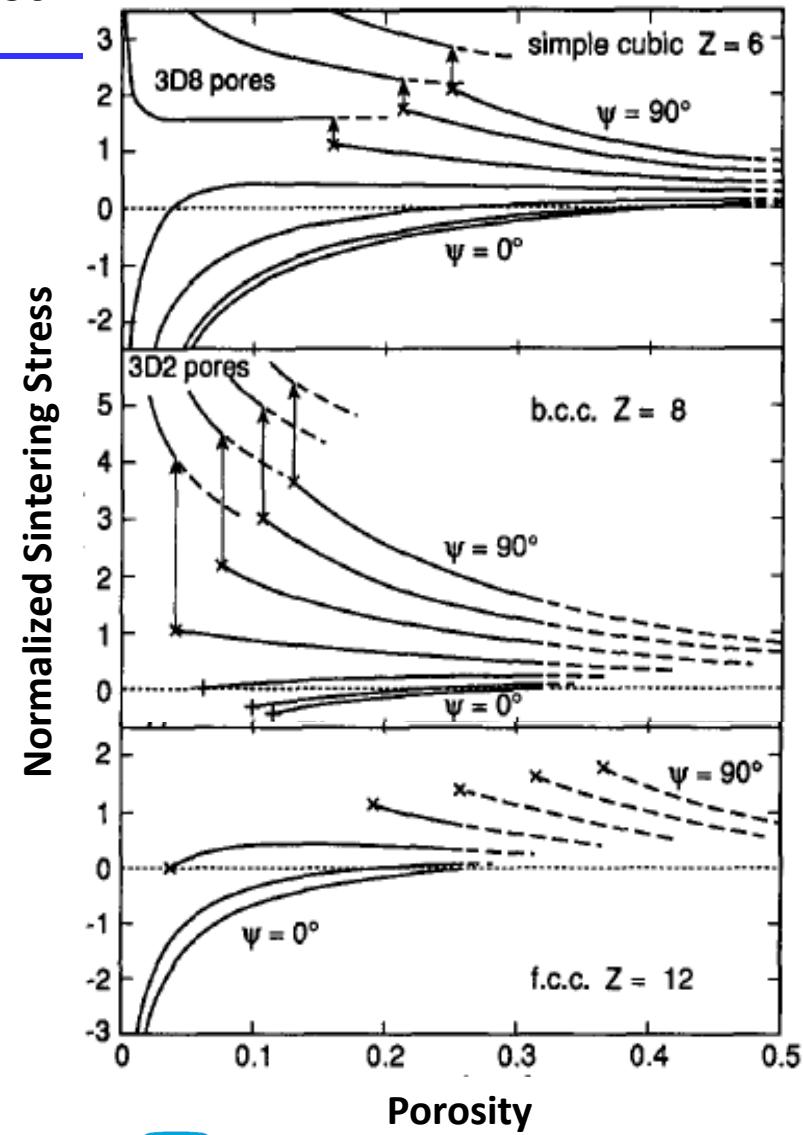
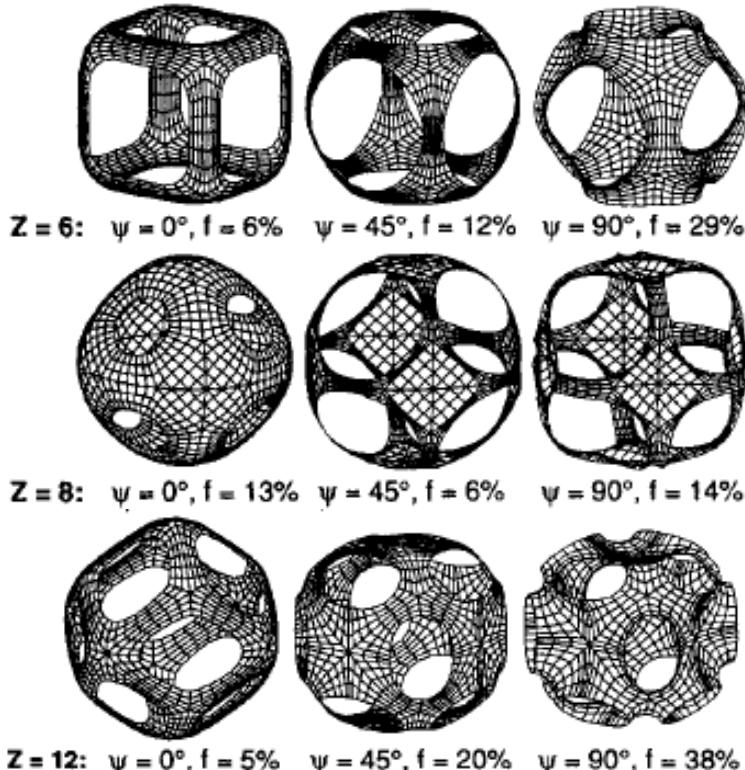


Svoboda, Riedel & Zipse, Acta Metall 1994



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# Analytic Calculation of Sintering Stress Cellular Powder Compact



# Sintering Stress In Heterogeneous Powder Compacts

- A combination of inherent sintering stress and far-field stresses will lead to unique microstructural evolution.
- We are developing models to generate detailed microstructural evolution
  - Direct coupling between FEM – Potts. The distortions introduced in the coupling are of the same magnitude as shrinkage
  - Material Point Method. Enjoying some success, but development of coupling between microstructure and mechanics is demanding
  - Potts – DEM coupling. Just beginning. Early stages of sintering are possible, later stages remain challenging
- Actively seeking collaborations to bring other methods to develop these models.



## Summary and Conclusions

- A model that is capable of simulating simple, solid-state sintering has been presented.
  - True meso-scale with hundred or thousands of particles
  - Arbitrarily complex powder particles of different geometries
- It can incorporate all the mechanisms necessary for simulation of microstructural evolution during sintering
  - Generation, diffusion and annihilations of vacancies
  - Surface diffusion at pore surface
  - Curvature-driven grain growth
- Has sufficient detail to characterize detailed topological features and their influence kinetics
- Can be extended to include more mechanisms and vary thermodynamics and kinetic characteristics.



# Potts kMC Model

## Available as open source code in October 2011

**SPPARKS is a Monte Carlo simulation code**

- with kinetic Monte Carlo (kMC), rejection MC and Metropolis MC
- 2- or 3D simulations
- on- and off-lattice, with several lattice choices
- serial and parallel, supports MPI
- C++
- open source, download from  
<http://www.cs.sandia.gov/~sjplimp/spparks.html>
- designed to modify and extend to many materials processes

**SPPARKS has been adapted to 3D simulate sintering**

- the serial algorithm was parallelized
- can run much larger simulations in more detail

**SPPARKS Developer: Steve Plimpton, SNL**

**Sintering/SPPARKS Developer: Cristina Garcia C., SNL / SDSU**

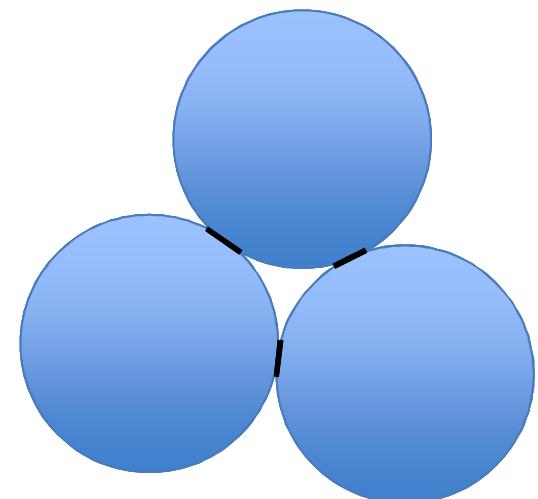


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# Surface Diffusion at Pore Surfaces in Stereological Model of Sintering\*

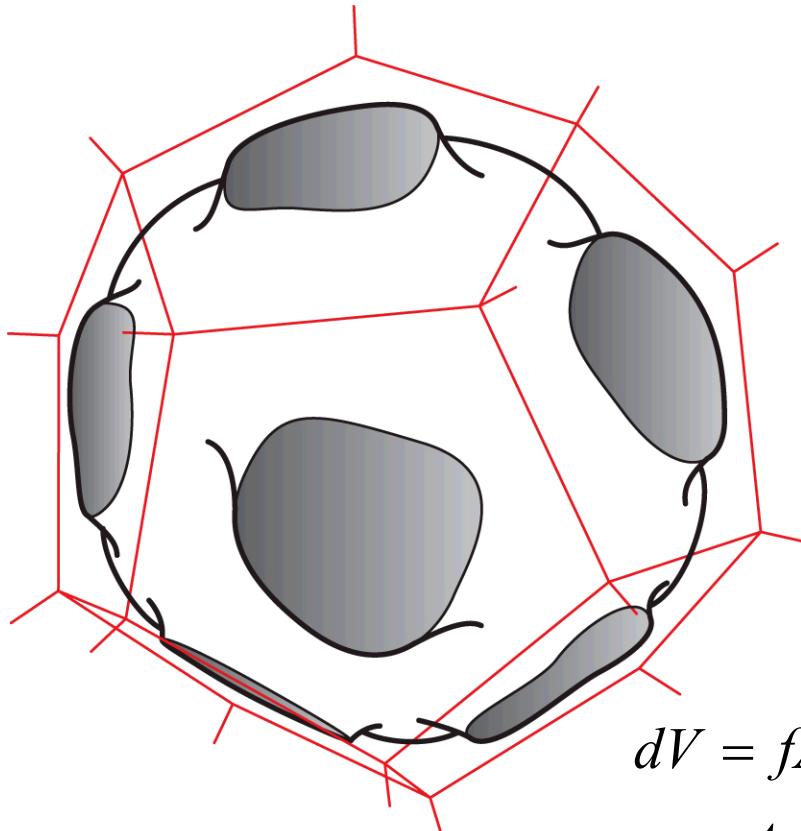
- Pores continually reshape themselves to lower their surface free energy
- Material is transported from areas of high curvature to lower curvature
- Velocity of pore surface

$$v_s = -\Omega \delta \nabla \bullet J_s$$



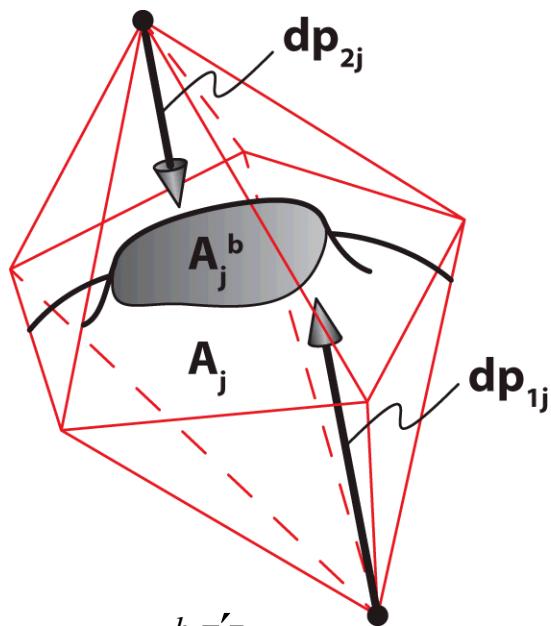
# Bi-Crystal View

## Stereological Model of Sintering\*



$$dV = fA_j^b dp_j = -f\Omega A_j^b \dot{N}_{A_j} dt$$

$$f = \frac{A_j}{A_j^b}$$



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