

# **ION FAST IGNITION — ESTABLISHING A SCIENTIFIC BASIS FOR INERTIAL FUSION ENERGY**

## **Final Report**

by  
**R.B. STEPHENS, M.E. FOORD, M.S. WEI, F.N. BEG,  
and D. SCHUMACHER**

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the U.S. Department of Energy  
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GENERAL ATOMICS PROJECT 30337  
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## 1. Executive Summary

We report on the proton-Fast Ignition (FI) component of the ion-FI project. It was a joint effort with Lawrence Livermore National Laboratory (LLNL) and Los Alamos National Laboratory (LANL) and was structured with separate foci on proton- and heavier-ion-mediated ignition. LANL focused on the heavier Z ions. General Atomics (GA), LLNL, and our subcontractors University of California San Diego (UCSD) and The Ohio State University (OSU) focused on the proton FI aspect of the program. It is the generation and transport of this proton beam that was the focus of the proton-FI program and which is reported here.

Our work has addressed a major scientific uncertainty in Relativistic High Energy Density (RHED) physics: How laser-produced ultra-high current proton beams propagate from their accelerating foil to a high-density target. Learning to control the dynamics of this process (and the limits and potential thereof) will enable a wide range of applications. While the *raison d'être* of this project and the physics we investigated are of specific interest to inertial fusion energy science, they are also important for a wide range of other HED phenomena, particularly the isochoric heating of materials.

All concepts envisioned for inertial fusion energy (IFE) achieve the necessary high gain ( $E_{\text{fusion\_burn}}/E_{\text{target\_driver}}$ ) by compression of deuterium/tritium (DT) fuel to extremely high density and igniting its fusion burn in a small hotspot. The main-line ignition method, central hot-spot (CHS) ignition, uses shaped, nano-second-long pulsed lasers (or laser-driven x-rays) to compress a hollow shell of HD fuel by a factor  $>25\times$  and simultaneously to create a hot, lower density core which can ignite the assembly. The advent of powerful sub-picosecond-pulse lasers allowed decoupling those two goals: one ns-long drive (laser, heavy ion, or Z pinch) compresses the fuel and a second, ps-long pulse delivers the energy to ignite the fuel. The original FI concept had the ps-pulse heating the core by using laser-created hot electrons that streamed in from the critical plasma density surface to the dense core. The ion-ignited variant of FI uses the hot electrons as an intermediary; they create a focused stream of ions which in turn create the initial spark. We investigated the use of protons in this role.

Our strategy was to examine the new physics emerging as we added the complexity necessary to use proton beams in an Inertial Fusion Energy (IFE) application. From the starting point of a proton beam accelerated from a flat, isolated foil, we: 1) curved it to focus the beam, 2) attached the foil to a structure, 3) added a side sheath to protect it from the surrounding plasma, and finally 4) studied the proton beam behavior as it passed through a protective end cap into plasma.

We built up, as we proceeded, a self-consistent picture of the quasi-neutral plasma jet that is the proton beam that, for the first time, included the role of the hot electrons in shaping the jet. Controlling them — through design of the accelerating surface and its connection to the surrounding superstructure — is critical; their uniform spread across the proton accelerating area is vital, but their presence in the jet opposes focus; the electron flow away from the acceleration area reduces conversion efficiency but can also increase focusing ability. The understanding emerging from our work, and the improved simulation tools we have developed allow designing structures that optimize proton beams for focused heating.





## 2. Introduction/Background

In high-intensity laser/thin-foil interaction experiments, electrons are accelerated by the laser at the target front surface to relativistic velocities. These electrons travel through the foil to form a sheath field of  $O(\text{MV}/\mu\text{m})$  at the rear surface. Such an electric field is large enough to ionize and accelerate layers of material away from the surface, the so-called Target Normal Sheath Acceleration (TNSA) mechanism (Fig. 1) [Hatchett00, Wilks01] for energetic proton and ion production. Ions with the largest charge to mass ratio accelerate fastest and gain the most energy so protons, omnipresent as  $O(10)$  nm films barring intensive cleaning procedures, dominate beams created by present laser facilities.

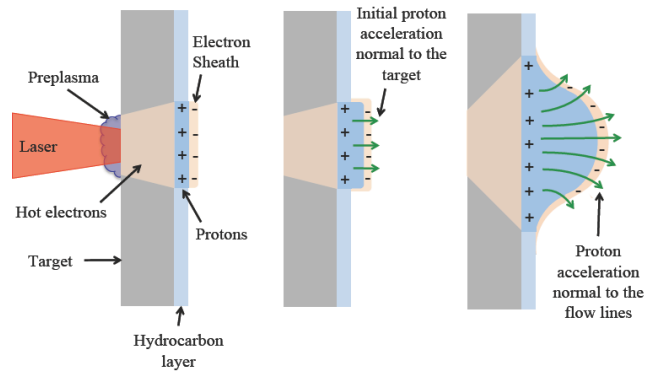


Fig. 1. Schematic picture of proton target normal sheath acceleration: Laser acceleration of protons from the back side of thin foil target initially normal to the target surface and later normal to the flow lines.

The potential value of these proton beams, discovered only a decade ago [Snively00, Hatchett00], was immediately recognized. They have already found widespread use in High Energy Density (HED) physics laboratories as isochoric heaters [Snively07, Patel03] that allow study of conditions in, for instance, the interior of Jupiter [Baraffe05], probes of material density [Roth02] and of transient electric and magnetic fields [Borghesi02, Fuchs07, Quinn09] with micron scale resolution [MacKinnon06], for nuclear reactions to create directional neutrons [Lancaster04, Higginson10] and isotope production [Spencer01, Fritzler03], for applications in medicine, material science, and neutron resonance spectroscopy, and they hold promise as the ignitor in Fast Ignition fusion [Roth01, Roth09, Key06] and as a front end to particle beam devices [Cowen04, Fuchs05] for, *e.g.*, tumor treatments [Salamin08, Bulanov02]. The value of these beams comes from the energy of their particles and their ease of production and control. Energies as high as 67MeV are now readily produced [Gaillard11, Offermann11] while new mechanisms for generating even higher energy protons and ions are also being explored [Yin11a,b]. In addition any material covered with a proton rich surface layer (*e.g.* every surface in almost every experiment) irradiated with a  $\sim$ picosecond heating pulse, will generate a proton beam normal to its surface by the TNSA mechanism; a curved surface will focus that beam. A thin curved surface can generate a proton beam that focuses  $\approx 10\%$  of the incident laser energy, which can isochorically heat a solid to a higher, more uniform temperature than by direct irradiation of the laser pulse that created the proton beam [Snively07].

Over the last 12 years investigating the fast ignition concept has proved to be challenging. Injection of the ignition energy involves enough power- and energy-density ( $I_{\text{Laser}} > 10^{20} \text{Wcm}^{-2}$ , pressure  $> \text{Gbar}$ , magnetic field  $B > 100 \text{MGauss}$ , electric field  $E > 10^{12} \text{V/m}$ ) and large numbers of relativistic particles ( $kT_{\text{electron}} > \text{MeV}$ ,  $I_{\text{electron}} > 1 \text{GAmp}$ ) to enter a new regime—a relativistic high energy density (RHED) plasma—whose properties vary substantially from their less energetic brethren. Experimental access to this regime in a limited way began with operation of the LLNL Nova PW followed, after its retirement, by a number of other lasers. None of these were capable

of providing an RHED environment for research in the FI relevant regime until the recent availability of PW, high energy ( $>kJ$ ), longer pulse ( $\sim 10$  ps) facilities (OMEGA, OMEGA EP at LLE; LFEX at ILE in Japan). Because of considerable progress over the last few years in understanding the issues critical to the fast ignition concept and advances in predictive modeling capabilities, we have been well positioned to perform expanded experimental efforts on these newer facilities. Our goal was to understand the interplay of forces involved with the creation, injection, and transport of relativistic electrons into HED plasmas. Such scientifically rich understanding with the benchmarked modeling codes has led to our improved capability to extrapolate to FI ignition conditions and evaluate the FI concept.

Section 3 details our progress and accomplishments over the last few years of our Advanced Concept Exploration (ACE) program (started Aug. 2005). Section 4 summarizes the achievements and impacts of the ACE program. A list of PhD theses and scientific papers published during the last three years are detailed in Section 5.

### 3. Progress and Accomplishments

This program is meant to obtain a critical understanding of proton generation and focusing under fast ignition conditions, allowing the evaluation of its attractiveness as an alternative ignition concept. The common understanding at the beginning of our project was that the protons were ballistic particles accelerated perpendicular to their originating surface. That was far too simplistic. We discovered that the hot electrons that do the acceleration also: 1) co-move with protons and oppose tight focus, 2) leak from the accelerating surface causing loss in conversion efficiency, 3) leak into the surrounding structure to reshape the proton beam, and 4) exchange with cold electrons as the jet passes through the protective end cap into the compressed plasma. We describe below the detailed physics of these effects and ways they can be used to our advantage.

#### 3.1. Proton Focus and the Effect of Hot Electrons

Initial proton focusing tests, assuming straight-line path for the protons orthogonal to the starting surface (the common wisdom in those days [Wilks01]) to calculate the location and size of the beam waist gave beam-waists in impossible locations—at less than the radius of curvature or even at negative values. Careful consideration of the proton beam generation mechanism, and experiments to test the effects of different configurations has clarified the situation, shown the complete validity of our measurements, and indicated the focus may be even better than we had predicted [Bartal12].

Experiments were carried out on the Trident laser facility ( $E=75$  J,  $\tau=0.6$  ps) [Batha08] at LANL. The proton beam was generated from a surface supported by an enclosing structure similar to that envisioned for FI targets (Fig. 2). Partial and fully freestanding hemispherical shell targets were also included for comparison. A thin adsorbed layer of hydrocarbons on the foil surface provided the protons [Foord07].

The focusing characteristics of the beam were determined by imaging the protons through a Cu mesh and recording the mesh pattern on a stack of radiochromic film (RCF) [Nürnberg09]. The 3D ray tracing technique projecting back the shadow of the mesh on the RCF through the original mesh initially assumed straight-line propagation of the protons from the source to the detector. As noted above, that gave nonsensical results.

The generated proton beam was simulated using the hybrid particle-in-cell code LSP [Welch01]. The trajectories for a group of test particles are shown in Fig. 3. After the initial acceleration, protons bend away from the axis. The curved trajectories can be qualitatively understood with a simple model of the radial electric field. Following the initial acceleration phase near the surface, the hot electrons are confined by the ambipolar field of the positively

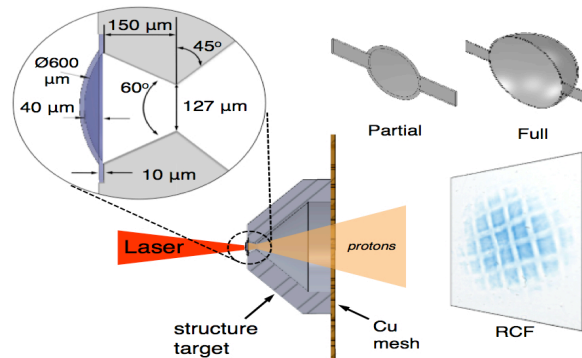


Fig. 2. Experimental setup showing accelerating surface held in a jig that approximates the protective shield.

charged proton beam. The hot-electron pressure gradient sets up a radial electric field,  $E_r \approx -\nabla(P_e)/n_e \approx kT_{\text{hot}}/R$ , where  $R$  is the radial scale length of the beam,  $kT_{\text{hot}}$ ,  $P_e$ , and  $n_e$  are the hot electron temperature, pressure, and density, respectively. The radial expansion field thus increases as  $R$  decreases. Simulations indicate that the radial field in the beam is of the order of a few MV/100  $\mu\text{m}$ , which is sufficient to deflect a multi-MeV proton over the spatial scale of the target. Depending on the curvature of the trajectories, the inferred focal position determined from extrapolating the trajectories to the axis may fall much inside the actual focal position of the proton beam. That is shown in Fig. 4(a), where the apparent minimum diameter was calculated using a straight-line analysis of LSP-calculated RCF data (which is in excellent agreement with calculations from experimental data), compared to Fig. 4(b), which shows the beam diameter profile determined from the LSP-calculations. The inferred diameter and beam position are both much larger than apparent from the shadows-based calculation [Fig. 4(a)] [Bartal12].

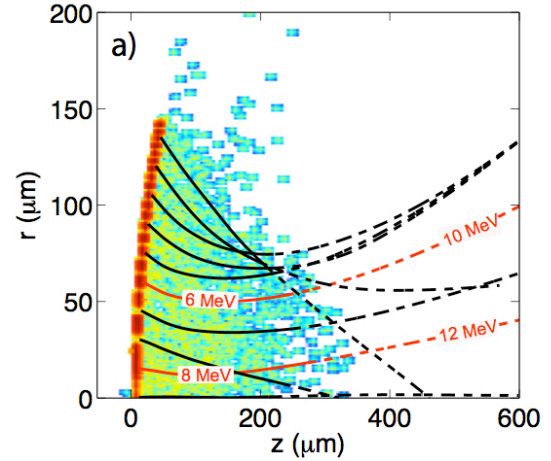


Fig. 3. Proton beam bending by hot electrons for a hemispherical section with 300  $\mu\text{m}$  radius of curvature (a) isolated in space, and (b) encased in an open-ended conical shell.

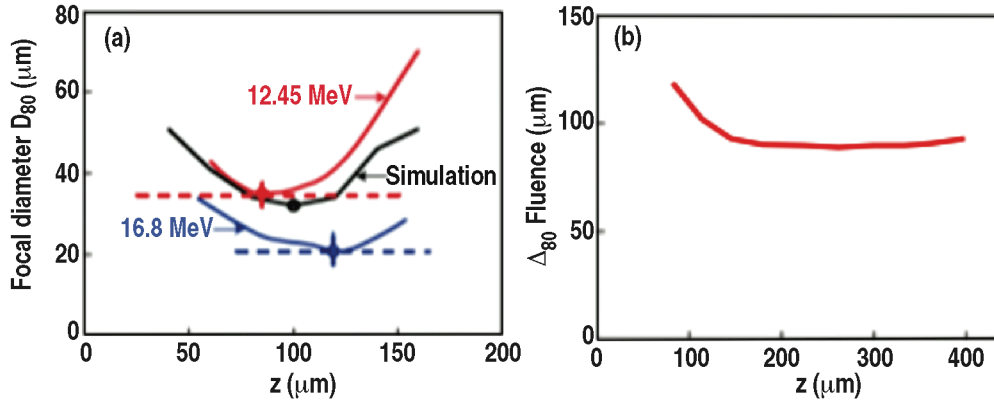


Fig. 4. (a) Comparison of experimental (dashed lines) and simulation results for a freestanding partial hemisphere target. The profile of  $D_{80}(z)$  is plotted. The circles, along with the appropriate error bars, represent the minimum  $D_{80}$ . The simulation includes all protons with  $D > 9$  MeV. (b) Fluence curve  $\Delta_{80}(z)$  for proton energies  $> 3$  MeV (relevant to FI requirements).

In an IFE application, the region of the proton focus, where the defocus force is strongest, would be outside the protective cone. Since the jet plasma density is lower than the fuel density, one would expect this expansion mechanism to disappear; the fuel electrons can easily cancel the field set up by the jet electrons. We found in Sec. 3.4 the situation was not that simple.

### 3.2. Hot Electron Confinement for High Conversion Efficiency

We have investigated the effect on laser-to-proton energy conversion efficiency of confining electrons with laser experiments on reduced mass targets (RMT) at the Center for Ultrafast

Optical Science, Univ. of Michigan and with particle-in-cell simulations using the LSP code [Morace13]. Confining electrons to the focusing surface ensures their energy is spent maintaining the sheath fields — maximizing their voltage and extending their lifetime, and should have a dramatic effect on the energy of the resulting proton beam.

Experiments were carried out using the  $\lambda=1035$  nm,  $E=5$  J,  $\tau=400$  fs T-Cubed laser focused to  $d=20$   $\mu\text{m}$  fwhm diameter and  $I=10^{18}$  W/cm<sup>2</sup>. The targets were 150  $\mu\text{m}$  squares, 10  $\mu\text{m}$  thick Cu attached to the surrounding foil with 4 legs 106  $\mu\text{m}$  long and either 21, 42, or 84  $\mu\text{m}$  wide. In addition 3 mm  $\times$  3 mm Cu foil targets were used as comparison. A stack of RCF, digitized with a calibrated scanner was the proton diagnostic. The first two layers were sensitive to proton energies above 1.05 MeV and 3.1 MeV, respectively (Fig. 5). The dependence on leg width is shown quantitatively in Fig. 6.

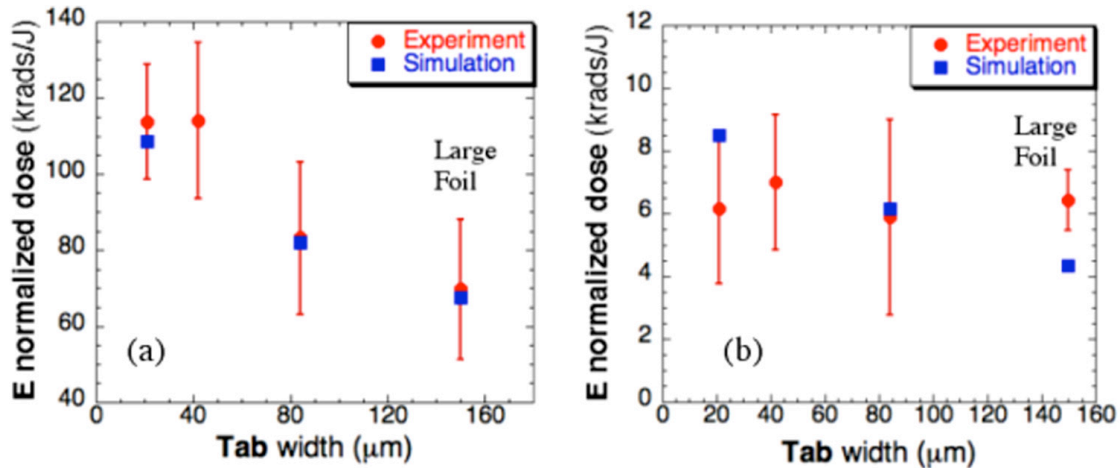
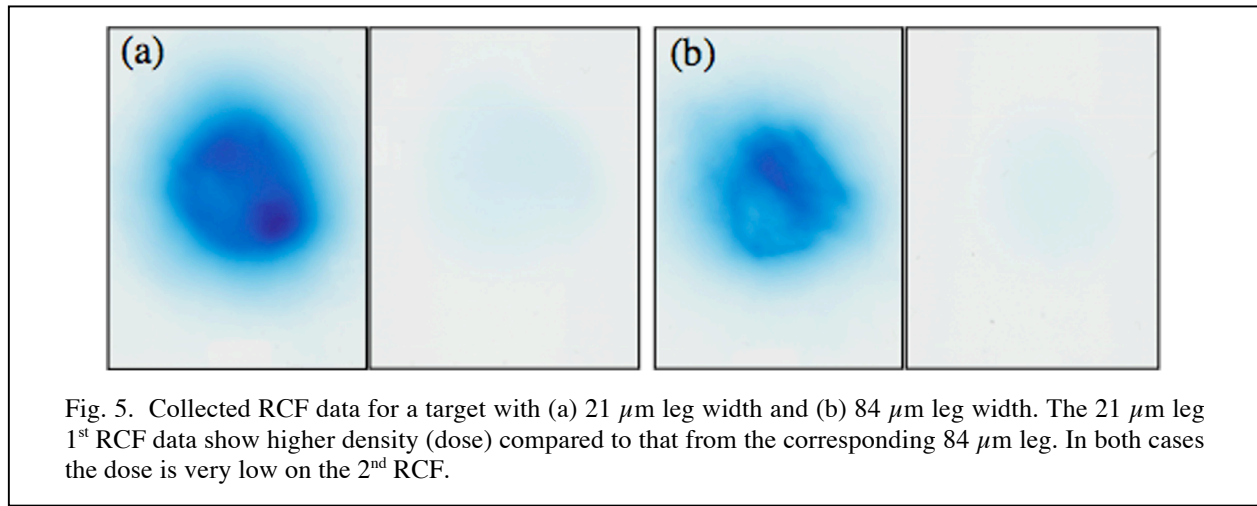


Fig. 6. Energy normalized integrated dose as a function of the RMT leg size. Red color represents the experimental data, blue color the 3D PIC hybrid simulation results. (a) First RCF layer, corresponding to proton energies above 1 MeV. (b) Second RCF layer, corresponding to proton energies above 3.3 MeV. Note the dose here is  $<1/10$  that of the first layer. The 150 m leg size symbolically corresponds to the large Cu foil.

One can qualitatively understand this increase in proton intensity as coming from increasing electron confinement with narrowing RMT legs caused by the diminishing opportunity they present for the hot electrons to escape. Quantitative understanding was pursued with the use of 3D hybrid particle-in-cell (PIC) simulations. Those results are also shown in Fig. 6. We assumed a laser-to-fast-electron conversion efficiency of 30% to calculate the simulated energy-normalized deposited dose. This is consistent with experimentally inferred values [Key98]. For the first RCF, the agreement is excellent. The simulation captures the much lower intensity in the second RCF, but error bars at this low intensity preclude comparing the trend. We then looked within the simulation at the fast electron density  $n_e(t)$  and the electric field component  $E_z(t)$  to understand the details of the effect of RMT leg width on conversion efficiency and spectra.  $n_e$  and  $E_z$  are the same for all targets during the laser pulse. It is only at later times ( $\sim 1.5$  ps) that the differences among the target types become evident. As shown in Fig. 7, shortly after the end of the injection, the (radially averaged) fast electron density is larger for more isolated targets [Fig. 7(a)]. In fact, for these highly isolated targets the fast electrons are better confined in the RMT, leading to larger charge density and consequently larger sheath field  $E_z$  [Fig. 7(b)]. In poorly isolated targets instead, the fast electrons can spread radially more easily, and the overall charge density is reduced, as well as the amplitude of  $E_z$ .

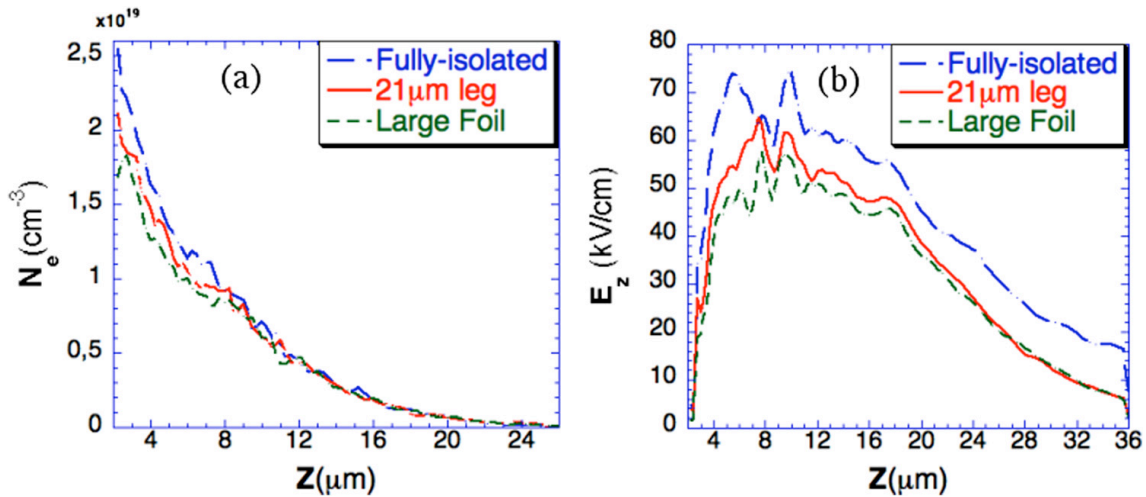


Fig. 7. Fast electron density (a) and  $E_z$  component (b), taken at 1.5 ps simulation time (0.5 ps after the injection) and averaged over the RMT surface, as a function of the distance from the target surface. At this time, it is already possible to observe the dependence of proton acceleration on target isolation.

The slower decay of the sheath field in the isolated targets maintains the accelerating field and allows to accelerate of protons more efficiently at later times, showing clear differences in the total kinetic energy.

It is important to mention that the choice of thin legs to isolate the RMT from the support foil is not equivalent to isolating it by means of a dielectric material. The voltages created by the laser-generated hot electrons would generate an ionization wave [Krashenninnikov05] that rapidly crosses the barrier.



Figure 8 shows the time integrated proton kinetic energy as a function of time; it demonstrates how the laser-to-proton conversion efficiency is highly dependent on the target isolation. We observe that even though the initial acceleration stage is similar for all targets, at around 1.4 ps (or 0.4 ps after the end of the injection) the curves start to separate. The acceleration ceases earlier for poorly isolated targets; it continues longer the better the isolation. From the simulation data, it is possible to obtain the integrated doses deposited into the RCFs. The calculation is made accounting for the Bragg curve in the RCF relative to the specific proton energy and is plotted in Fig. 5.

Finally, from the simulation data, it is possible to estimate the laser-to-proton conversion efficiency. Considering only the forward-accelerated protons with energy above 1.05 MeV, we find efficiencies of 3%, 2.5%, and 2.1% for the 21  $\mu\text{m}$  leg, 84  $\mu\text{m}$  leg, and large foil cases, respectively. That is, one finds an efficiency increment of  $\sim 50\%$  for highly isolated target with respect to large foils.

### 3.3. Hot Electron Leakage for Proton Focus Control

The structure necessary to support and protect the proton-generating surface plays a major role in the proton beam — more than just an escape route for hot electrons. The Trident experiments referred to in Sec. 3.1 showed that the consequent charging of the surrounding surfaces could also focus the protons. To study that we have used 2D hybrid LSP PIC code [Welch01, Welch06] simulations to self-consistently model the whole structure and its evolution for 15 ps, the time necessary for protons to reach their asymptotic velocities [Qiao13].

The simulations cover 400  $\mu\text{m}$  transversely and 700  $\mu\text{m}$  longitudinally with zero potential, perfect conducting, perfectly absorbing boundary on all sides excepting the laser entry surface. Collisions are included based on Spitzer collision frequencies. A 1  $\mu\text{m}$  wavelength laser with peak intensity  $I_0 = 4 \times 10^{19} \text{ W cm}^{-2}$  and Gaussian distribution with  $r_0 = 45 \mu\text{m}$  enters from the left boundary ( $z = -50 \mu\text{m}$  and irradiates the target at  $z = 0$ ). The laser pulse has a trapezoidal temporal profile of total duration 500 fs including rise and fall of 50 fs each. Three geometries of carbon targets were modeled: planar foil, open-hemisphere, and hemisphere-cone targets, using the ion charge states of  $\text{C}^{4+}$ , mass density  $2.7 \text{ g/cm}^3$ , and thickness of  $10 \mu\text{m}$ . The partial hemispheres have a radius of curvature of 300  $\mu\text{m}$  and diameter 300  $\mu\text{m}$ . The cone structure is taken as  $\text{Al}^{10+}$ . A preplasma is assumed in each case with scale length  $L = 4 \mu\text{m}$ , and all other surfaces are coated with a 50 nm hydrocarbon contamination layer.

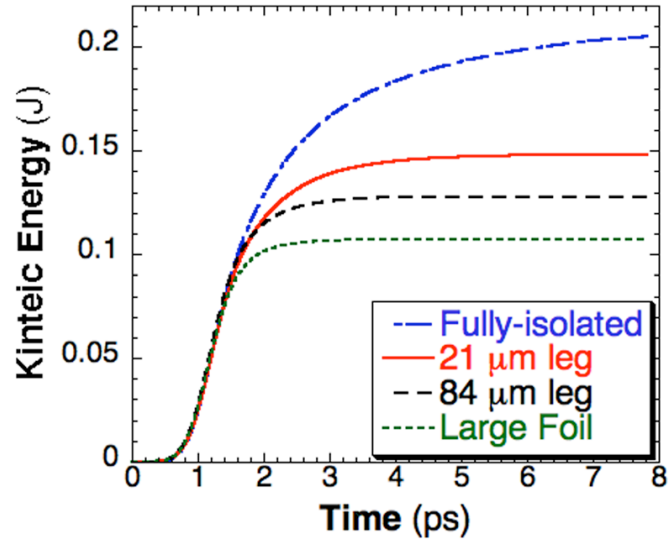
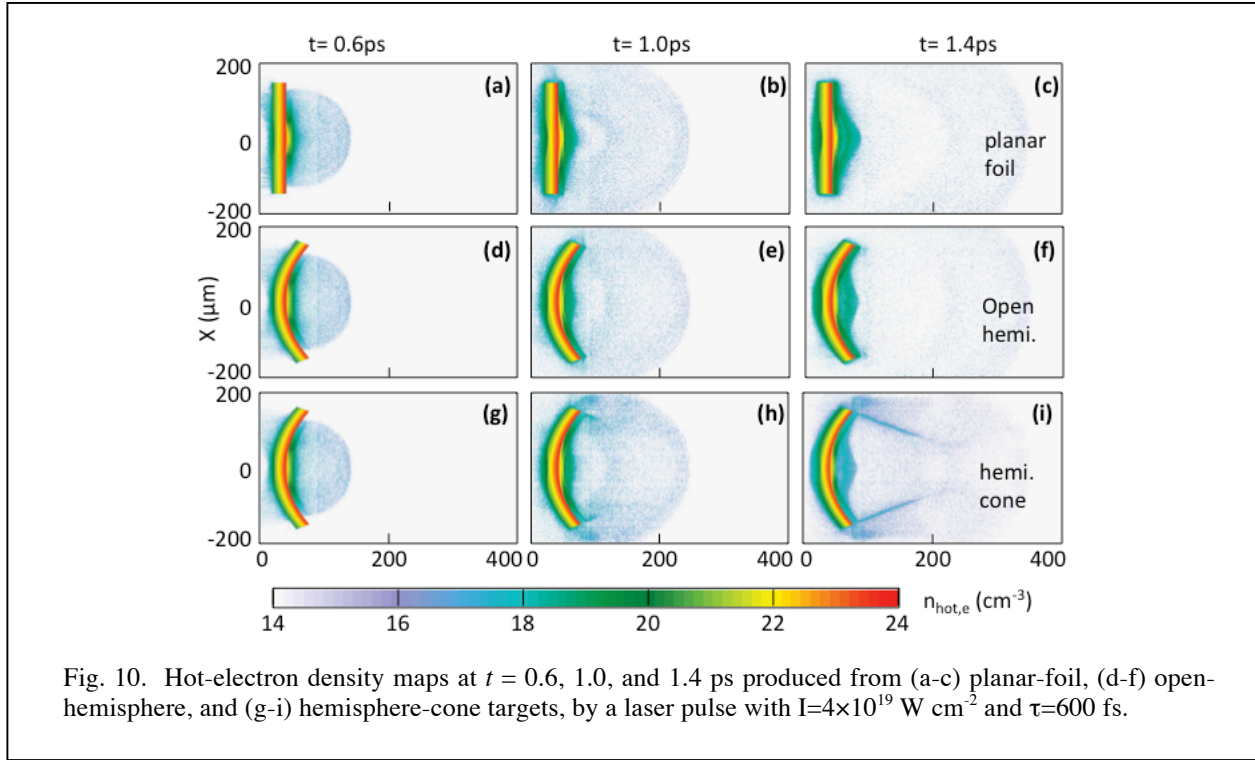


Fig. 8. Proton (forward accelerated) total kinetic energy vs. time, for the four types of targets. The proton acceleration is initially identical. They only start diversifying at about 1.4 ps simulation time, or 0.4 ps after the injection.

The hot electrons propagate nearly ballistically in the thin low-Z carbon target until they reach the rear surface. It can be seen in Fig. 9(a,d), and 9(g), at  $t = 600$  fs when the laser pulse is just over, that only a tiny fraction of the hot electrons (those in the high energy tail up to 28 MeV) can escape far away into vacuum. Their escape sets up a strong sheath potential. Lower energy electrons reflect from this sheath and, retaining their transverse motion, spread across the target. In the 600 fs pulse duration they spread  $\sim 150 \mu\text{m}$ , but extend from the cold surface (before the protons start to move) only  $\sim 10 \mu\text{m}$ .

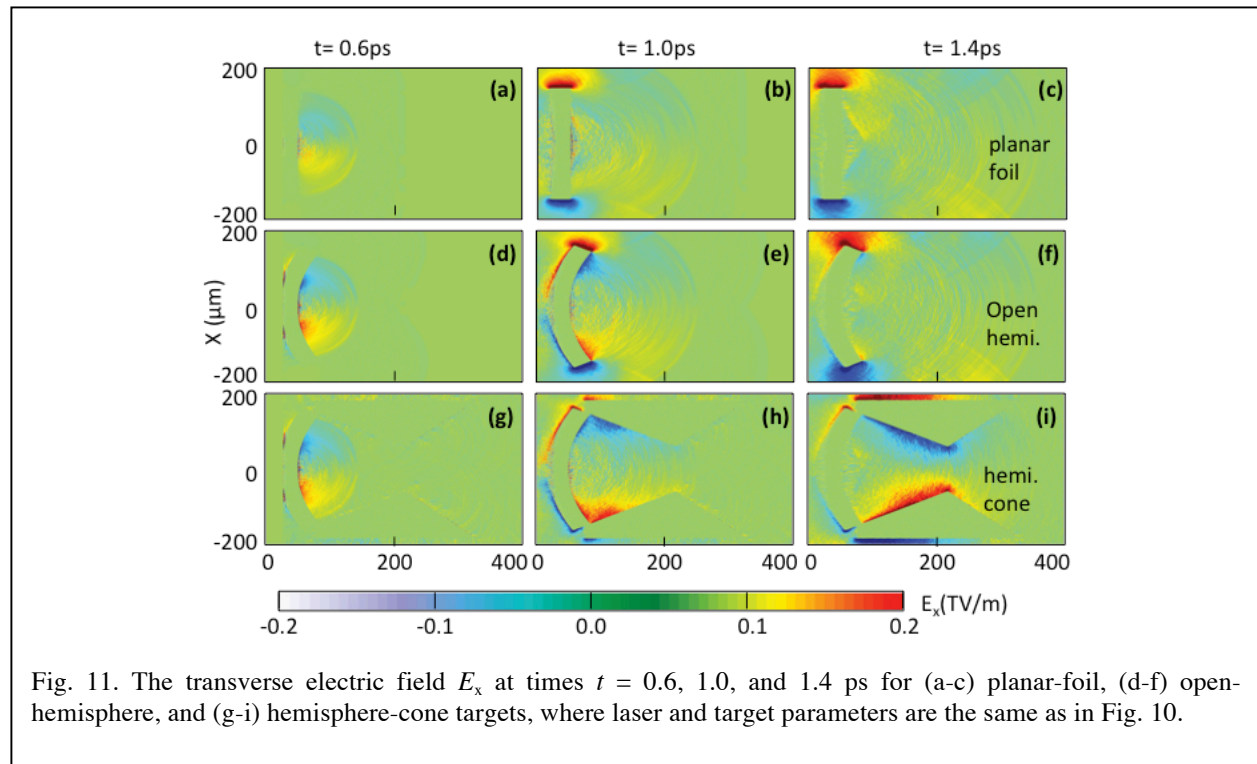


Because the smallest plasma scale length direction initially is normal to the target surface direction (see [Qiao13] for detailed discussion), the sheath electric field and proton acceleration are, initially, dominantly along the direction normal to the target rear surface. For the curved hemisphere targets, the electric field has a stronger transverse component  $E_x$ , shown in Fig. 11(d), Fig. 11(g) leading, in principle, to geometrical focusing of protons initially expected for TNSA.

However, after protons are accelerated into vacuum, they form, together with the co-moving hot electrons, a quasi-neutral plasma jet undergoing thermal expansion driven both longitudinally and transversely by the local hot-electron pressure. During this thermal expansion stage, proton acceleration and focusing behaviors depend heavily on the hot-electron dynamics, which is significantly influenced by the target geometries, particularly by the surrounding cone structure, into which a significant fraction of the hot electrons can leak [Fig. 11(h,i)]. In particular, the longitudinal sheath electric field  $E_z$  together with the magnetic field  $B_y$ , induced by the density gradient between the target rear surface and the vacuum, confine a number of hot electrons to flow along the target rear surface, forming a surface current similar to those in the electron FI



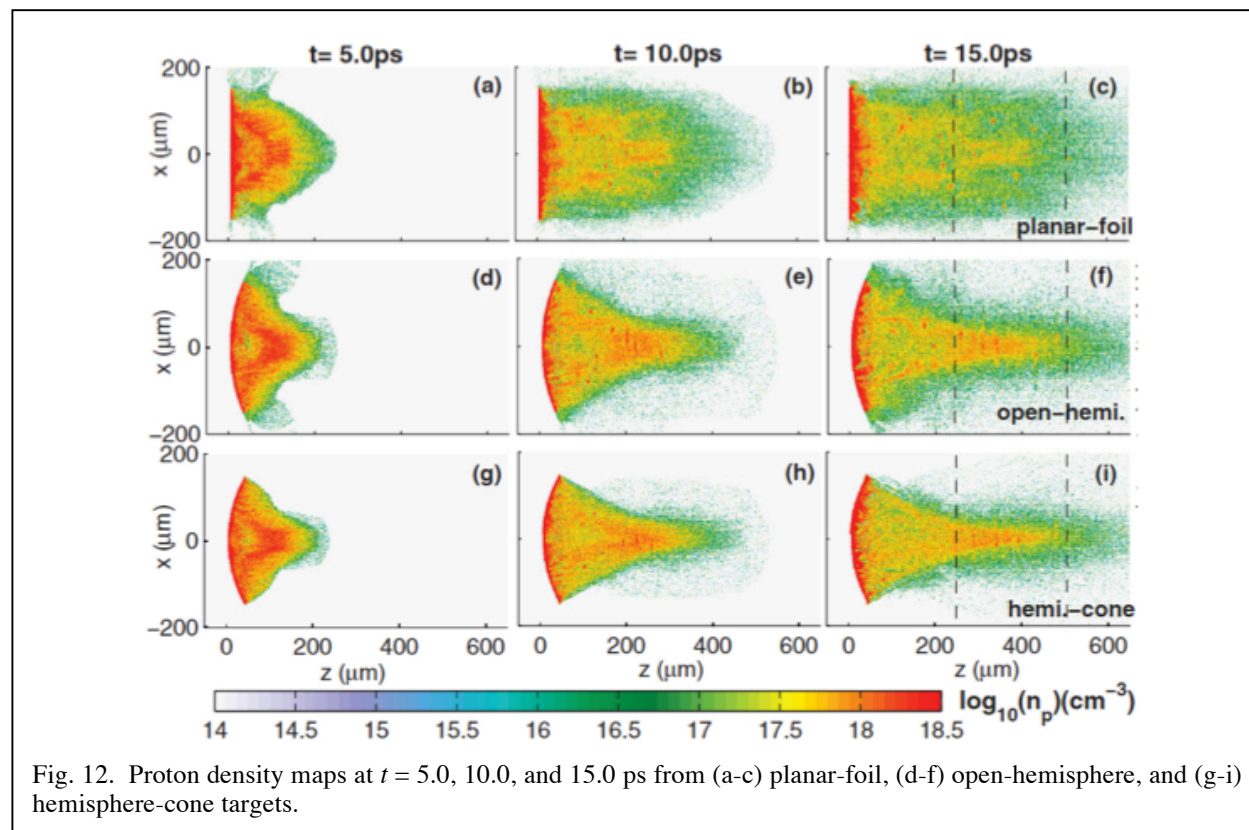
scheme. This surface current can be maintained along the cone wall for the hemisphere-cone target, as seen in Fig. 10(h,i) and Fig. 11(h,i).



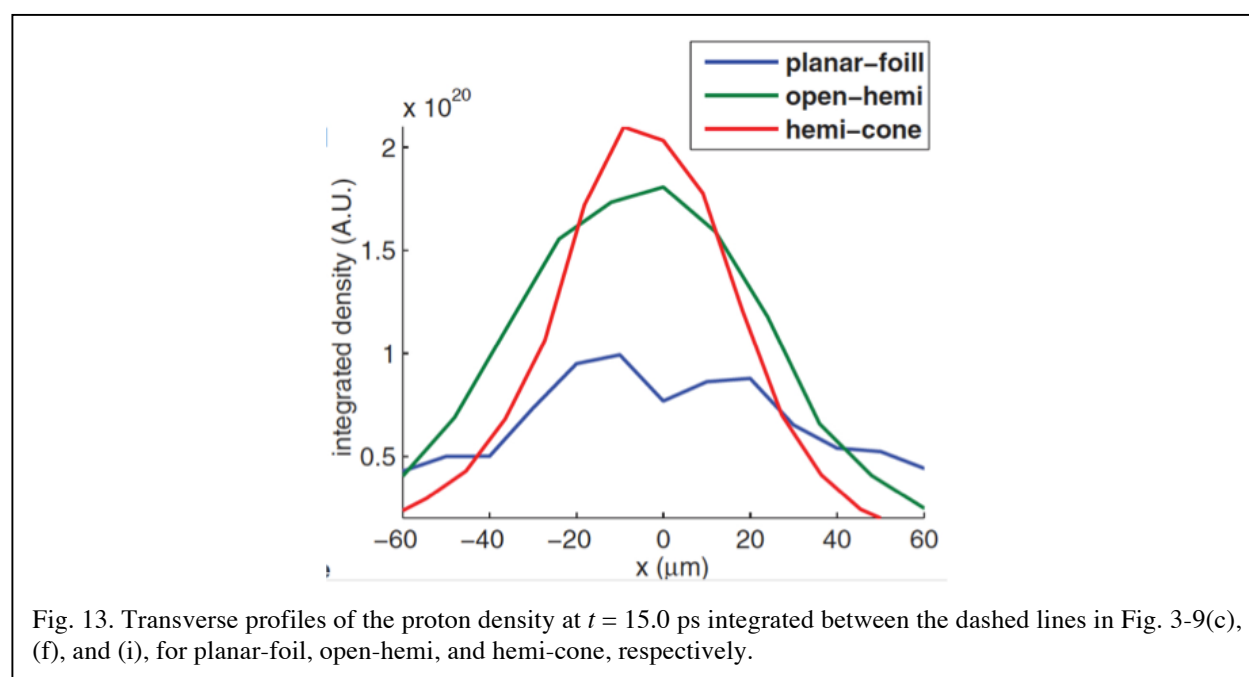
The above complex hot-electron dynamics leads to different proton focusing dynamics depending on the target geometries. For the planar-foil target, the surface current mentioned above disappears rapidly after protons expand into vacuum. The hot-electron thermal pressure in the plasma is higher close to the laser axis with gradient directed transversely outward due to the finite laser focus, resulting in a spreading of the proton beam. For the open-hemisphere target, the surface current can be maintained for a longer distance in the  $z$  direction along the curved surface, and the transverse component of the sheath field  $E_x$  can be maintained out to  $z \sim 100 \mu\text{m}$  [Fig. 11(e)] ( $E_x$  also diffuses from the surface into the vacuum significantly) for proton focusing. Beyond this the sign of  $E_x$  changes [Fig. 11(f)] due to the hot-electron pressure gradient in the expanding plasma, which bends the proton trajectories and limits the achievable focus as discussed in Sec. 3.1.

However, for the hemisphere-cone target case, the hot-electron flow is guided and continued along the cone wall surface, maintaining the focusing electric sheath field sign up to the cone tip, as shown in Figs 11(h,i). This focusing field diffuses inward significantly from the wall surface into the vacuum, therefore a significant enhanced focusing of proton beams is achieved, although the hot-electron current is only along the wall surface.

You can see the results of these fields in the proton density maps for the different geometries (Fig. 12).



Cross-sections through the beams integrated between the dashed lines in (c), (f), and (i), are shown in Fig. 13. A clear enhanced focusing — about 15% reduction in the focal radius, can be seen for the hemisphere cone relative to the open hemisphere target.



### 3.4. Proton Jet Transport Dynamics in Dense Plasma

All of the above experiments and simulations have examined the proton beam as it expanded from its source out into vacuum. For use in an IFE application, the beam must, well before focus, transit a protective end cap (a high-Z dense plasma) and enter the compressed fuel. We recently designed an experimental campaign to examine that transition. The experiment (Fig. 14) was conducted with the Trident laser ( $E=75$  J,  $\tau=0.6$  ps) at LANL. Protons from a laser-irradiated spherically curved target foil were focused into a planar transport foil of varied atomic number ( $Z$ ) and thickness. The transport foil was positioned so that its rear, Au-coated surface coincided with the expected focal position of the beam ( $510\text{ }\mu\text{m}$ ). XUV emission brightness depends strongly on temperature of the surface with detectable emission indicating that the surface locally reached  $>20$  eV. It was thought that the hot-electron transverse force would be absent for the time traversing the transport foil, and the beam diameter at its rear surface correspondingly reduced. The stopping power of the transport foils was set to preferentially observe either 1 or 1.9 MeV protons (their Bragg peak should occur just at the back surface). We examined the effects for a variety of foil thicknesses from 5 to  $50\text{ }\mu\text{m}$ , modifying the  $Z$  (CH, Al, Cu, Au) (except for the  $0.5\text{ }\mu\text{m}$  Au back surface) to maintain a constant stopping power. In this view, the observed beam diameter should decrease with increasing foil thickness (decreasing  $Z$ ).

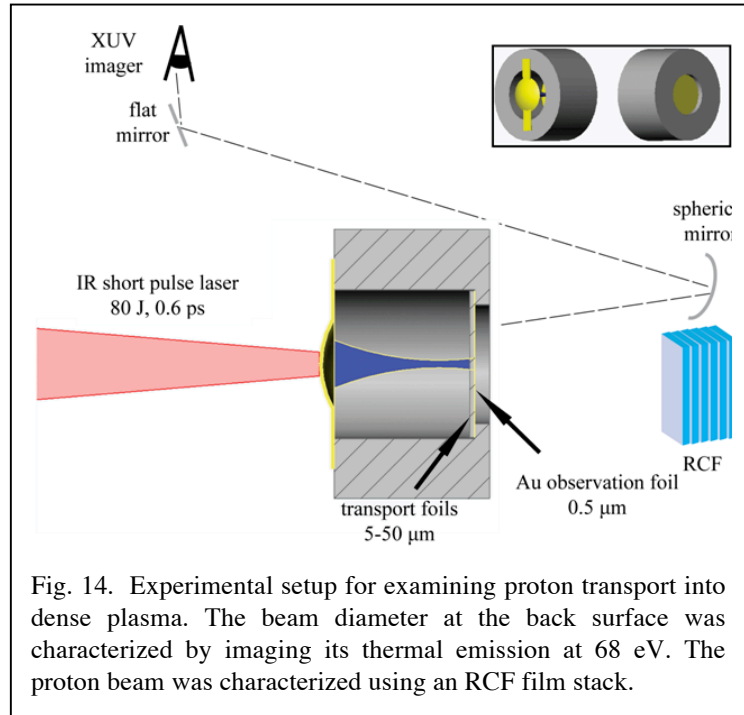
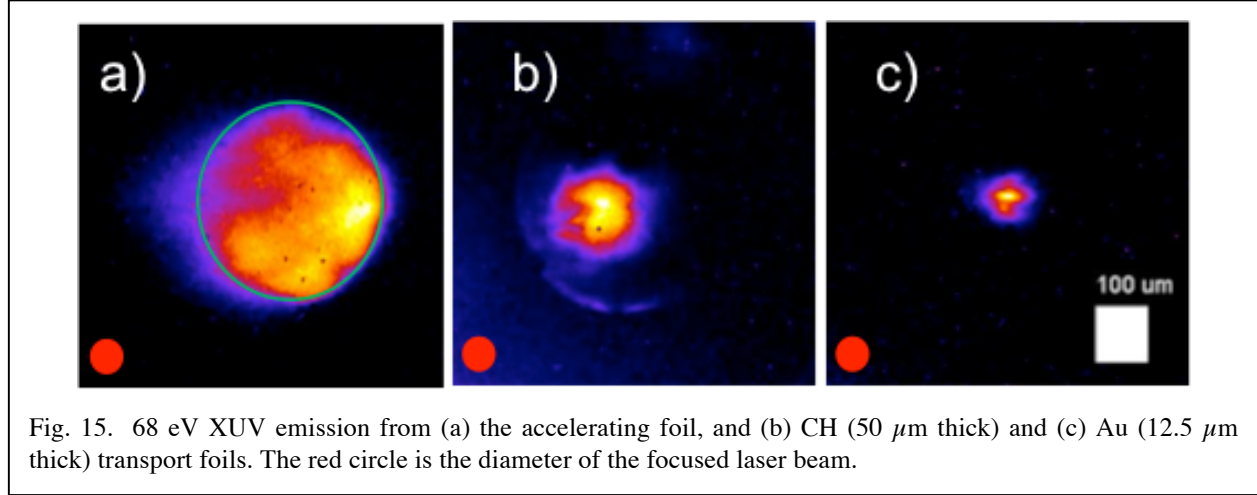


Fig. 14. Experimental setup for examining proton transport into dense plasma. The beam diameter at the back surface was characterized by imaging its thermal emission at 68 eV. The proton beam was characterized using an RCF film stack.

However, the beam diameter (roughly) decreased with increasing  $Z$ , with the thin Cu and Au transport foil targets producing very tightly confined emission profiles ( $\sim 44\text{ }\mu\text{m}$ ) compared to the Al and CH cases ( $\sim 180\text{ }\mu\text{m}$ ) (Fig. 15). This variation with material (Fig. 16) indicates a clear dependence of the beam transport and heating dynamics on the target material properties that had not been accounted for, and which was not included in our codes. For example, Monte Carlo calculations in a cold target suggest that straggling can only account for a  $\sim 10\text{ }\mu\text{m}$  difference in beam expansion for protons traversing these foils and that expansion would be greatest for the highest areal density targets [Ziegler10].

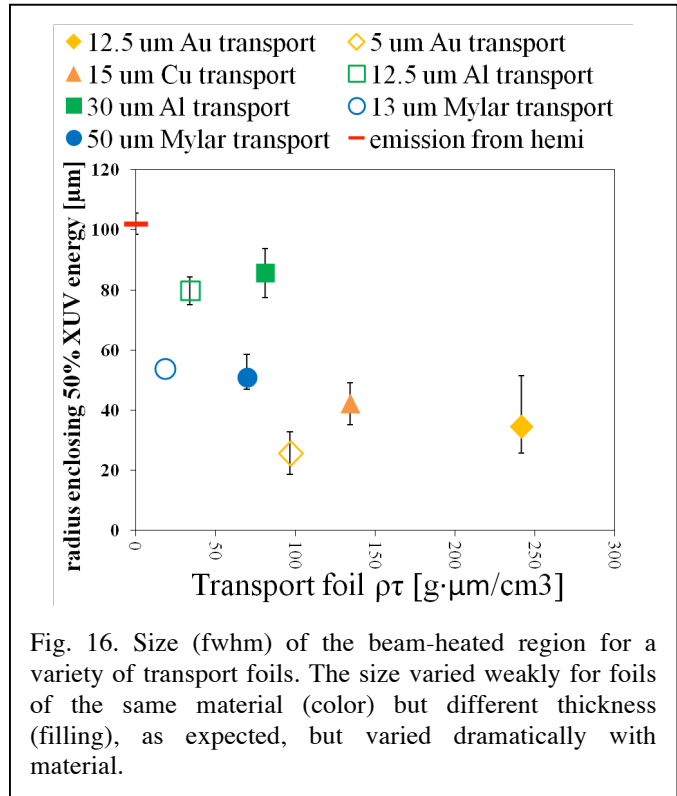
However, the beam diameter (roughly) decreased with increasing  $Z$ , with the thin Cu and Au transport foil targets producing very tightly confined emission profiles ( $\sim 44\text{ }\mu\text{m}$ ) compared to the Al and CH cases ( $\sim 180\text{ }\mu\text{m}$ ) (Fig. 15). This variation with material (Fig. 16) indicates a clear dependence of the beam transport and heating dynamics on the target material properties that had not been accounted for, and which was not included in our codes. For example, Monte Carlo calculations in a cold target suggest that straggling can only account for a  $\sim 10\text{ }\mu\text{m}$  difference in beam expansion for protons traversing these foils and that expansion would be greatest for the highest areal density targets [Ziegler10].

To correctly model this beam-plasma interaction, a new ion stopping-power calculation module [Kim13, McGuffey13] covering both the warm and hot dense plasma regimes has been implemented in the hybrid particle-in-cell code LSP, where both the contributions from bound and free electrons are taken into account for the total stopping power, but not including the nuclear term; that is insignificant for these plasmas (1).



$$\left(-\frac{dE}{dX}\right)_{\text{plasma}} = \left(-\frac{dE}{dX}\right)_{\text{bound}} + \left(-\frac{dE}{dX}\right)_{\text{free}} + \left(-\frac{dE}{dX}\right)_{\text{nuclear}} \quad (1)$$

The ion stopping power of the target depends on the balance of these two terms. They in turn depend on the local temperature and ionization level, which can vary significantly in space and time as the beam deposits energy. The range of ions and target heating profile can therefore be substantially altered from the predictions of standard stopping models, i.e. with the rising ionization during the interaction, stopping power decreases for particles at the low-energy range but increases for energies above  $\sim 1$  MeV. In the LSP code, these effects are now included self-consistently: The Bethe formula with a shell correction was implemented into the code for bound electronic stopping power and the free electron gas model with plasma dielectric function was used for free electron stopping. The ionization state is updated locally and dynamically using an equation of state table. The stopping power module has been benchmarked against the latest theoretical plasma stopping calculations [Faussurier10].



Using this new modeling tool, we have the capability for the first time to study the generation of laser-accelerated ion beams and their propagation in vacuum and transport and deposition in warm dense plasmas. We have systematically studied the dependences of these interactions on the beam intensity, target material and initial target plasma temperature [Kim13]. It was found that particle ranges can be as much as double in the plasmas we investigated, compared to a cold target case and protons can be further focused (Fig. 17). These effects depend strongly on material.

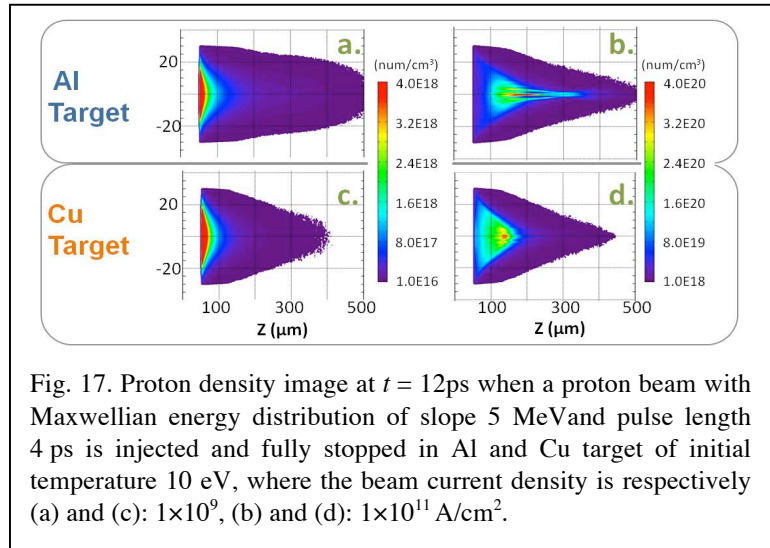


Fig. 17. Proton density image at  $t = 12\text{ps}$  when a proton beam with Maxwellian energy distribution of slope 5 MeV and pulse length 4 ps is injected and fully stopped in Al and Cu target of initial temperature 10 eV, where the beam current density is respectively (a) and (c):  $1 \times 10^9$ , (b) and (d):  $1 \times 10^{11}$  A/cm<sup>2</sup>.



#### **4. Overall Summary and Impact**

This work has systematically investigated all of the components necessary for proton ignited FI. At each stage we have developed experimental platforms and added new modules to the simulation codes to gain — for the first time — complete and self-consistent understanding of the physics involved. Our findings include:

- The achievable focus of proton beams in vacuum is limited by the thermal pressure gradient in the laser-generated hot electrons that drive the process. This bending can be suppressed using a controlled flow of hot electrons along the surrounding cone wall, which induces a local transverse focusing sheath electric field. The resultant (vacuum-focused) spot can meet IFE requirements.
- Confinement of laser-generated electrons to the proton accelerating area can be achieved by supporting targets on thin struts. That increases laser-to-proton conversion energy by ~50%. As noted above, confinement should not be total; necessary hot-electron leakage into the surrounding superstructure for proton focusing can be controlled by with the strut width/number.
- Proton jets are further modified as they enter the fuel through the superstructure's end cap. They ionize the material, changing its stopping power, and generate currents during that transit that can further focus the proton beams. We developed a new ion stopping module for LSP code that properly accounted for changes in stopping power with ionization (e.g. temperature), and will be using it in future studies.
- The improved understanding, new experimental platforms, and the self-consistent modeling capability allow researchers a new ability to investigate the interaction of large ion currents with warm dense matter. That is of direct importance to the creation and investigation of all aspects of warm dense matter as well as to proton-ignited FI.





## **5. Project Staff Over the Period of Contract**

### **5.1. General Atomics**

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Dr. M.S. We

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C. McGuffey – post-doctoral fellow

### **5.3. The Ohio State University**

Prof. L. Van Woerkom  
Prof. R.R. Freeman  
D. Wertepny – graduate student

### **5.4. Lawrence Livermore National Laboratory**

Dr. M.E. Foord – P.I.  
Dr. M.H. Key  
Dr. A.J. Mackinnon  
Dr. S. LePape  
Dr. P.K. Patel  
Dr. H.S. McLean



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## **7. Publications, Presentations, and Theses Since Inception**

### **7.1. Invited Talks**

- M.E. Foord, *et al.*, “Simulations and experiments of proton generation and focusing from intense laser irradiation of enclosed targets in fast-ignition cone geometry,” International Fast Ignition Workshop, Shanghai, China, 2010.
- M.E. Foord, “Generation and focusing of short pulse high intensity laser accelerated protons,” 53<sup>rd</sup> Annual APS-DPP Meeting, 8 Nov. 2011.
- M.E. Foord, T. Bartal, C. McGuffey, M.S. Wei, B. Qiao, C. Bellei, M.H. Key, P.K. Patel, L.C. Jarrott, D.P. Higginson, R.B. Stephens, and F.N. Beg, “Particle transport and electric fields in a laser-generated focused proton beam,” ICOPS 2012, Faro, Portugal, September 2012.
- F.N. Beg, *et al.*, “Dynamics of short pulse high intensity laser produced energetic proton beams,” ICOPS 2012, Faro, Portugal, September 2012.
- B. Qiao, “Acceleration and transport of a focused laser-generated proton beams for fast-ignition,” ICOPS, San Francisco, 2013.
- C. McGuffey, “Computational study of transport and stopping of a laser-accelerated proton beam in solid targets,” CLEO Pacific Rim, Kyoto Japan, 2 July 2013.
- B. Qiao, C. McGuffey, “Transport of high intensity laser-driven proton beams in solid-density, isochorically heated matter,” The 8<sup>th</sup> International Conference on Inertial Fusion Sciences and Applications, Nara, Japan, 11 September, 2013.

### **7.2. Contributed Presentations**

#### **2010**

- T. Bartal, *et al.*, “The effect of hemispherical target diameter on proton focusing,” 52<sup>nd</sup> APS-DPP Meeting, Chicago, Illinois, 8-12 November 2010.
- C. Bellei, *et al.*, “LSP simulations of proton-driven fast ignition,” 52<sup>nd</sup> APS-DPP Meeting, Chicago, Illinois, 8-12 November 2010.
- C. Bellei, *et al.*, “LSP simulations of proton-driven fast ignition,” 11<sup>th</sup> International Workshop on Fast Ignition of Fusion Targets, Shanghai, China, 18-21 October 2010.
- C. Bellei, *et al.*, “LSP simulations of proton-driven fast ignition,” Japan-US Fast Ignitor and High Energy Density Workshop, Wakayama Japan, 23-24 October 2010.

#### **2011**

- T. Bartal, *et al.*, “Focusing of laser accelerated proton beams from a novel cone shaped target,” 7<sup>th</sup> International Fusion Sciences and Applications, Bordeaux France, 12-16 September 2011.
- C. Bellei, *et al.*, “Focusing of laser-accelerated protons for fast ignition studies,” 38<sup>th</sup> International Conference on Plasma Science and 24<sup>th</sup> Symposium on Fusion Engineering, 26-30 June 2011.

- A. Morace, *et al.*, “Improved laser to proton energy conversion efficiency in reduced mass targets.” 7<sup>th</sup> International Fusion Sciences and Applications, Bordeaux France, 12-16 September 2011.

## 2012

- C. McGuffey, *et al.*, “Focusing proton beams into solid-density matter for fast ignition,” 24<sup>th</sup> IAEA Fusion Energy Conf., San Diego, California, October 2012.
- B. Qiao, M.E. Foord, R.B. Stephens, M.S. Wei, P. Patel, H. McLean, M. Key and F.N. Beg, “Dynamics of high-energy proton beam acceleration and focusing from advanced hemisphere-cone target by high-intensity lasers,” 54<sup>th</sup> APS-DPP Meeting, Providence, Rhode Island, 29 October through 2 November 2012.
- C. McGuffey, *et al.*, “Material dependence on transport of high-charge proton beams,” 54<sup>th</sup> APS-DPP Meeting, Providence, Rhode Island, 29 October through 2 November 2012.

## 2013

- J. Kim, B. Qiao, C. McGuffey, F.N. Beg, M. Wei, R.B. Stephens, M.E. Foord, P.K. Patel, H. McLean, “Computational study of transport and stopping of a laser-accelerated proton beam in solid targets,” 55<sup>th</sup> APS-DPP Meeting, Denver, Colorado 11-15 November 2013.
- C. McGuffey, B. Qiao, “Transport of high intensity laser-driven proton beams in solid-density isochorically heated matter,” 8<sup>th</sup> International Fusion Sciences and Applications, Nara, Japan, 11 September 2013.
- J. Kim, B. Qiao, C. McGuffey, M.S. Wei, R.B. Stephens, M.E. Foord, F.N. Beg, “Computational study of self consistent transport and stopping of a laser-driven proton beam in warm dense targets,” High Power Laser Workshop, Menlo Park, California, 1-2 October 2013.

## 7.3. Publications

### 2011

- T. Bartal, K.A. Flippo, S.A. Gaillard, D.T. Offermann, M.E. Foord, C. Bellei, P.K. Patel, M.H. Key, R.B. Stephens, H.S. McLean, L.C. Jarrott, F.N. Beg, “Proton focusing characteristics relevant to fast ignition,” *IEEE Trans. on Plasma Sci.* **39**, 2818 (2011).

### 2012

- T. Bartal, M.E. Foord, C. Bellei, M.H. Key, K.A. Flippo, S.A. Gaillard, D.T. Offermann, P.K. Patel, L.C. Jarrott, D.P. Higginson, M. Roth, A. Otten, D. Kraus, R.B. Stephens, H.S. McLean, E.M. Giraldez, M.S. Wei, D.C. Gautier, F.N. Beg, “Focusing of short-pulse high-intensity laser-accelerated proton beams,” *Nature Phys.* **8**, 139 (2012).
- C. Bellei, M.E. Foord, T. Bartal, M.H. Key, H.S. McLean, P.K. Patel, R.B. Stephens, F.N. Beg, “Electron and ion dynamics during the expansion of a laser-heated plasma under vacuum,” *Phys. Plasmas* **19**, 033109 (2012).
- M.E. Foord, T. Bartal, C. Bellei, M. Key, K. Flippo, R.B. Stephens, P.K. Patel, H.S. McLean, L.C. Jarrott, M.S. Wei, F.N. Beg, “Proton trajectories and electric fields in a laser-accelerated focused proton beam,” *Phys. Plasmas* **19**, 056702 (2012).



## 2013

- J.C. Fernández, B.J. Albright, F.N. Beg, M.E. Foord, B.M. Hegelich, J.J. Honrubia, M. Roth, R.B. Stephens, and L. Yin, “Fast ignition with laser driven proton and ion beams,” to be published in *Nuclear Fusion* 2013.
- B. Qiao, M.E. Foord, M.S. Wei, R.B. Stephens, M.H. Key, H. McLean, P.K. Patel, F.N. Beg, “Dynamics of high-energy proton beam acceleration and focusing from hemisphere-cone targets by high-intensity lasers,” *Phys. Rev. E* **87**, 013108 (2013).
- A. Morace, C. Bellei, T. Bartal, L. Willingale, J. Kim, A. Maksimchuk, K. Krushelnick, M.S. Wei, P.K. Patel, D. Batani, N. Piovella, R.B. Stephens, and F.N. Beg, “Improved laser-to-proton conversion efficiency in isolated reduced mass targets,” *Appl. Phys. Lett.* **103**, 054102 (2013).
- P.A. Ni, S.M. Lund, C. McGuffey, N. Alexander, B. Aurand, J.J. Barnard, F.N. Beg, C. Bellei, F.M. Bieniosek, C. Brabetz, R.H. Cohen, J. Kim, P. Neumayer, M. Roth, and B.G. Logan, “Initial experimental evidence of self-collimation of target-normal-sheath-accelerated proton beam in a stack of conducting foils,” *Phys. Plasmas* **20**, 083111 (2013).

## 7.4. Thesis

- Teresa Bartal, University of California San Diego, 2011.



**GENERAL ATOMICS**

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