

**LA-UR-13-26212**

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**Title:** Control System Security

**Author(s):** Frost, Sandra L.

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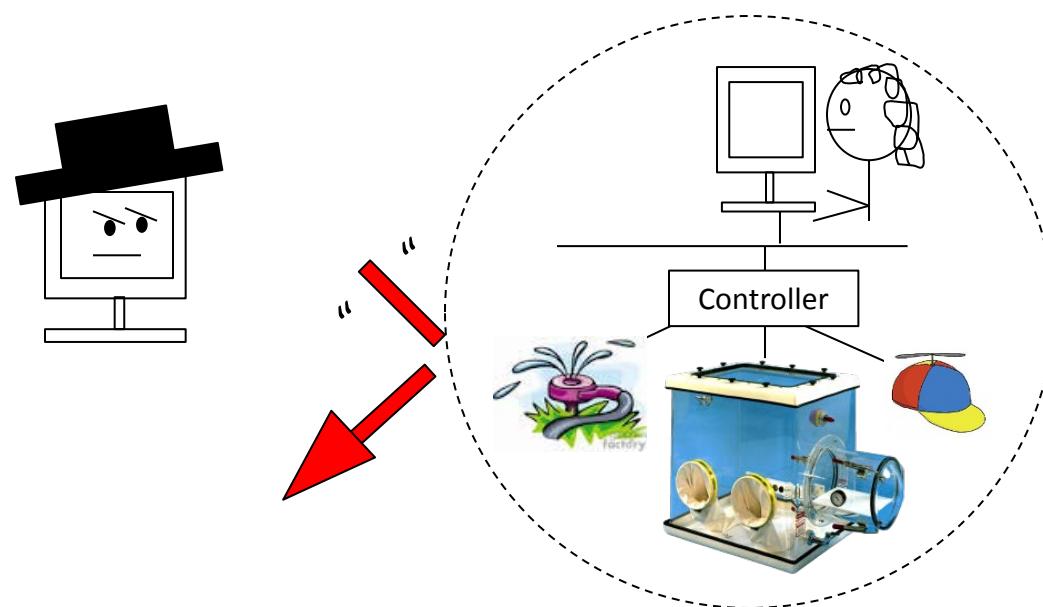
**Issued:** 2013-08-06



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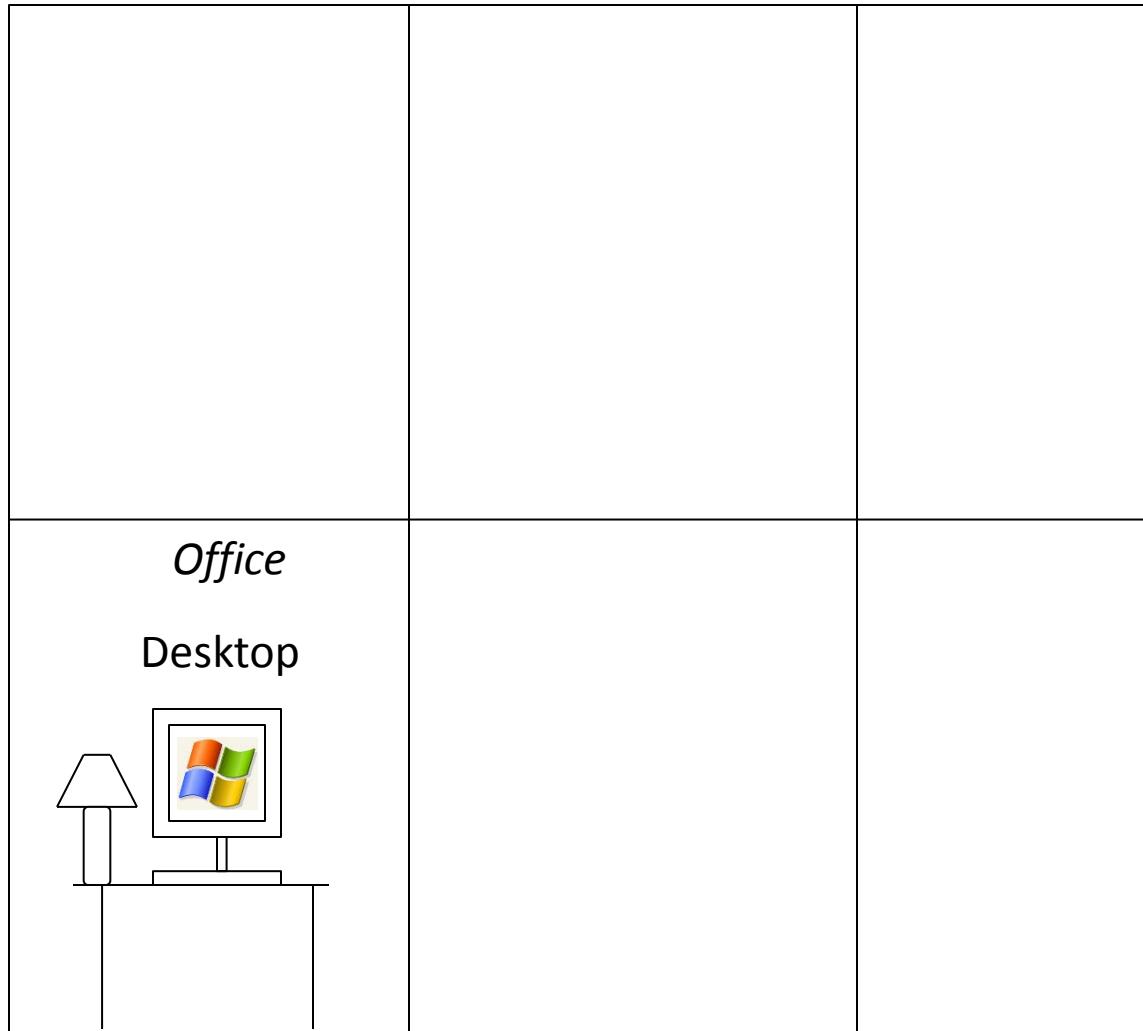
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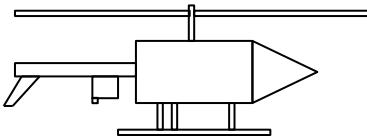


# Control System Security

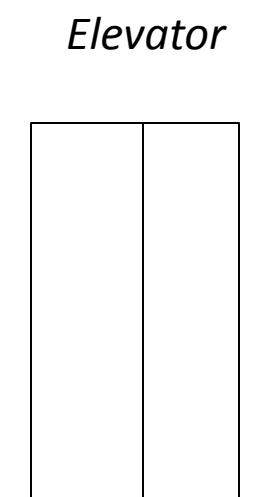
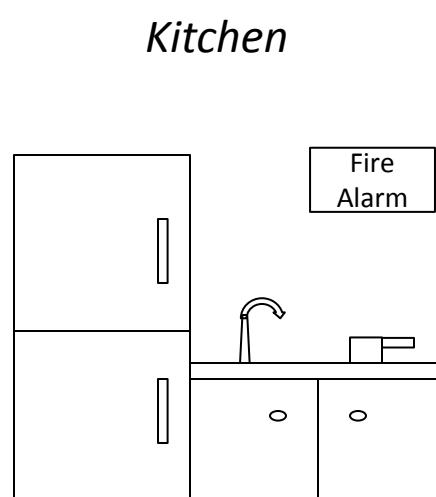
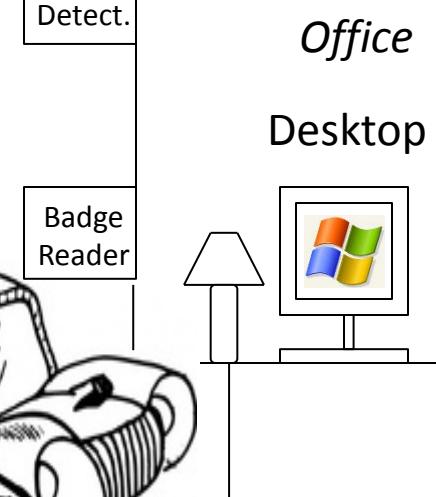
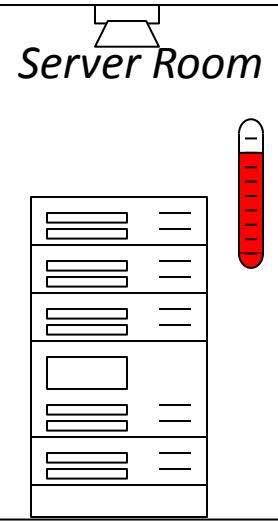
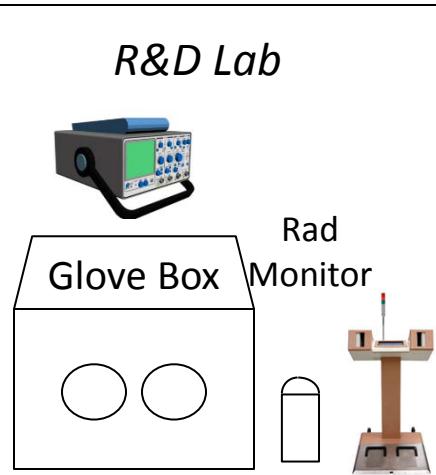
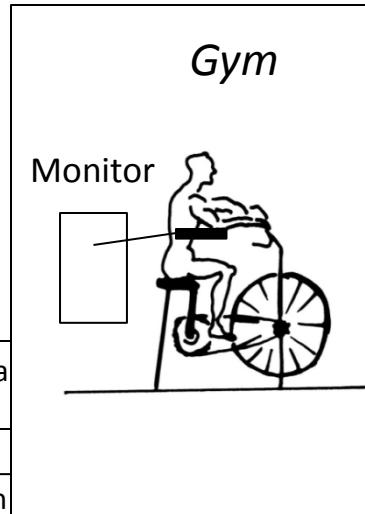
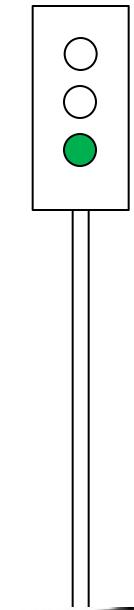
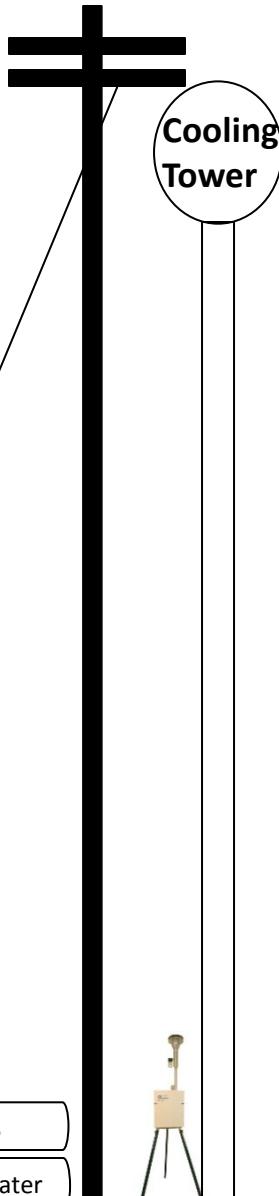
Sandy Frost

# TA99-0100





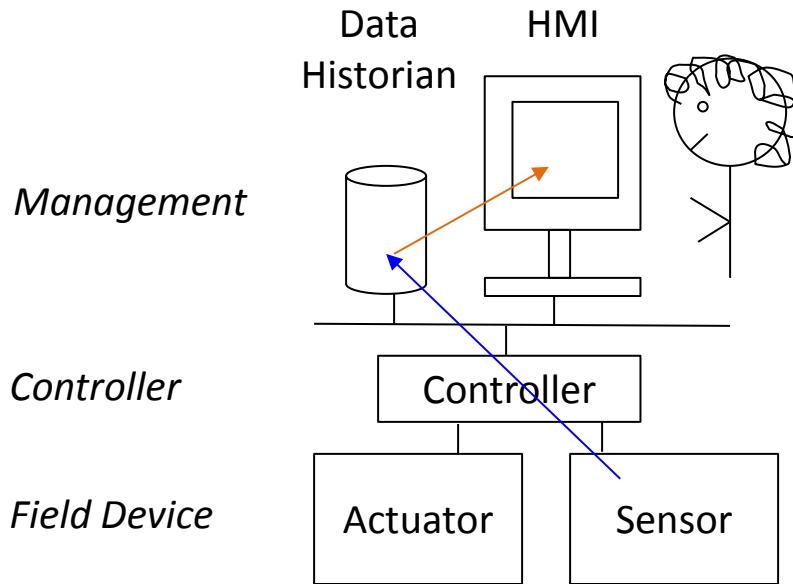
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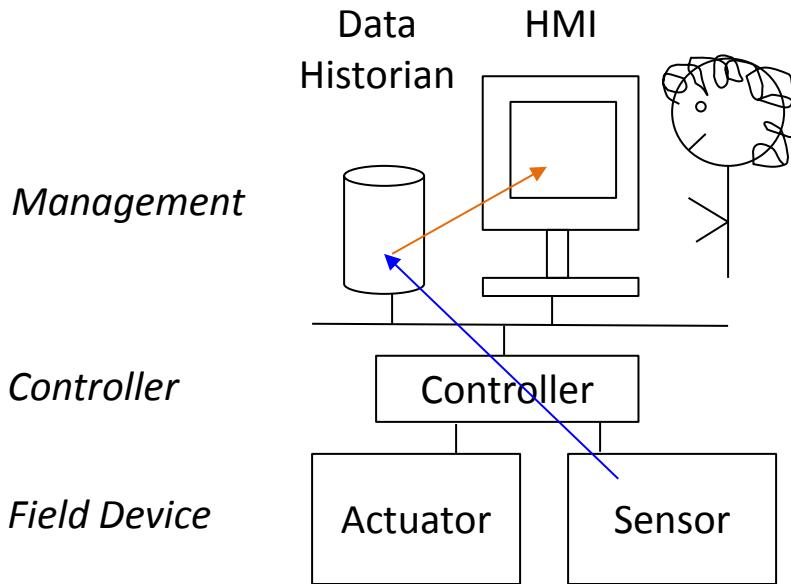
Water

Gas  
Waste Water

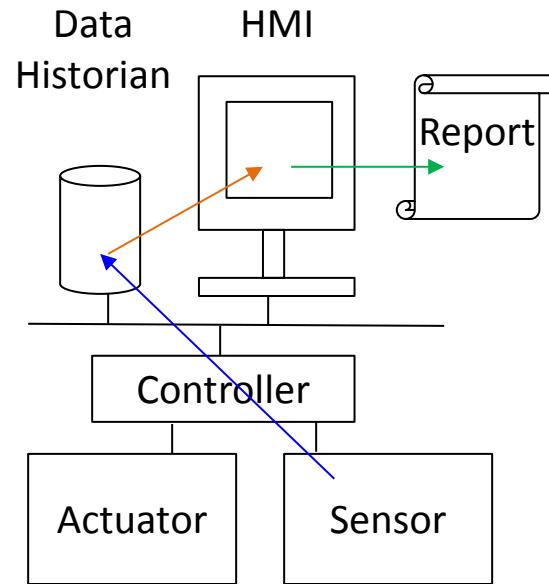
# Control System



# Control System

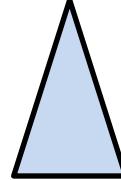


# Data Acquisition System



# LANL CONTROL SYSTEMS

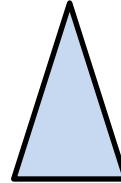
**BAS, Environmental, Physical Security, R&D, Safety, Scientific Instrumentation, Utilities/Facilities**



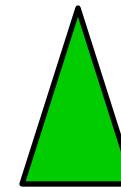
Building  
Automation  
System (BAS)

# LANL CONTROL SYSTEMS

BAS, Environmental, Physical Security, R&D, Safety, Scientific Instrumentation, Utilities/Facilities



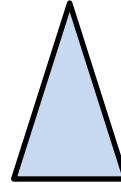
Building  
Automation  
System (BAS)



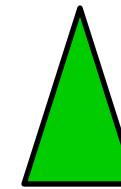
Environmental:  
AIRNET, Meteorology,  
NEWNET, Non-  
radioactive Air  
Emissions

# LANL CONTROL SYSTEMS

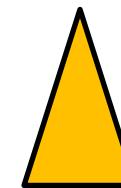
BAS, Environmental, **Physical Security**, R&D, Safety, Scientific Instrumentation, Utilities/Facilities



Building  
Automation  
System (BAS)



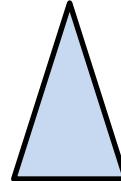
Environmental:  
AIRNET, Meteorology,  
NEWNET, Non-  
radioactive Air  
Emissions



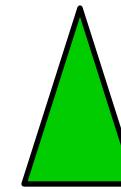
**Physical Security**  
Systems (e.g. Badge  
Readers, Cameras,  
Motion Detectors,  
IIDS, PIDAS)

# LANL CONTROL SYSTEMS

BAS, Environmental, Physical Security, **R&D**, Safety, Scientific Instrumentation, Utilities/Facilities



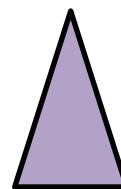
Building  
Automation  
System (BAS)



Environmental:  
AIRNET, Meteorology,  
NEWNET, Non-  
radioactive Air  
Emissions



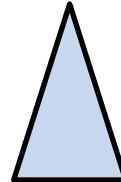
Physical Security  
Systems (e.g. Badge  
Readers, Cameras,  
Motion Detectors,  
IIDS, PIDAS)



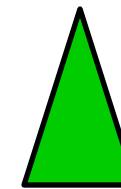
**R&D (Programmatic):**  
B, DARHT, LANSCE, TA-55

# LANL CONTROL SYSTEMS

BAS, Environmental, Physical Security, R&D, **Safety**, Scientific Instrumentation, Utilities/Facilities



Building  
Automation  
System (BAS)



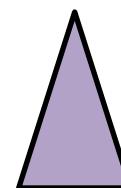
Environmental:  
AIRNET, Meteorology,  
NEWNET, Non-  
radioactive Air  
Emissions



Physical Security  
Systems (e.g. Badge  
Readers, Cameras,  
Motion Detectors,  
IIDS, PIDAS)



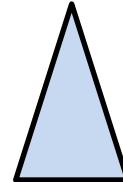
**Safety:**  
Airborne Radioactivity,  
External Radiation Fields,  
Occupational Medicine, Material At Risk,  
Safety Instrumented Systems, UAV



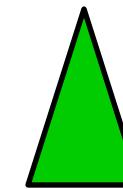
R&D (Programmatic):  
B, DARHT, LANSCE, TA-55

# LANL CONTROL SYSTEMS

BAS, Environmental, Physical Security, R&D, Safety, **Scientific Instrumentation**, Utilities/Facilities



Building  
Automation  
System (BAS)



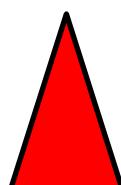
Environmental:  
AIRNET, Meteorology,  
NEWNET, Non-  
radioactive Air  
Emissions

**Scientific Instrumentation**

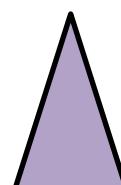
Data Recorder,  
Oscilloscope, UPS



Physical Security  
Systems (e.g. Badge  
Readers, Cameras,  
Motion Detectors,  
IIDS, PIDAS)



Safety:  
Airborne Radioactivity,  
External Radiation Fields,  
Occupational Medicine, Material At Risk,  
Safety Instrumented Systems, UAV



R&D (Programmatic):  
B, DARHT, LANSCE, TA-55

# LANL CONTROL SYSTEMS

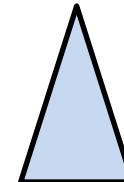
BAS, Environmental, Physical Security, R&D, Safety, Scientific Instrumentation, [Utilities/Facilities](#)

## [Utilities & Inst. Facilities:](#)

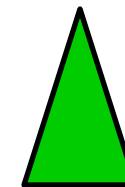
Cooling Towers, Electric, Elevators, Gas, Metering, SERF, Steam, Traffic Lights, Waste Water, Water Distribution



## Building Automation System (BAS)

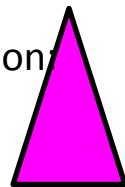


Environmental:  
AIRNET, Meteorology, NEWNET, Non-radioactive Air Emissions



## Scientific Instrumentation:

Data Recorder, Oscilloscope, UPS

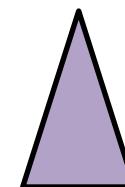
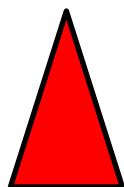


Physical Security Systems (e.g. Badge Readers, Cameras, Motion Detectors, IIDS, PIDAS)

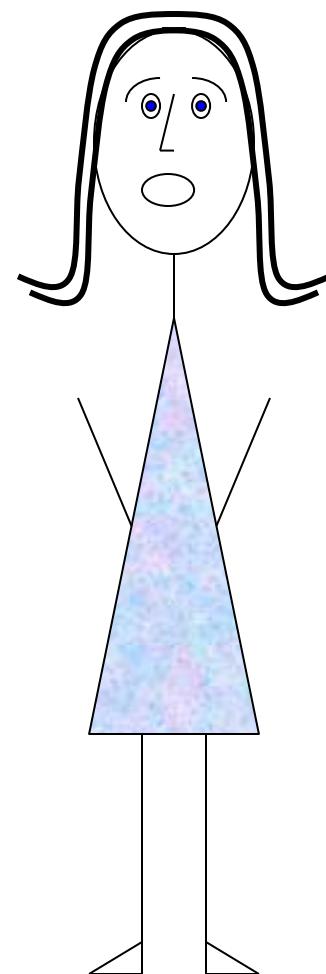


## Safety:

Airborne Radioactivity, External Radiation Fields, Occupational Medicine, Material At Risk, Safety Instrumented Systems, UAV

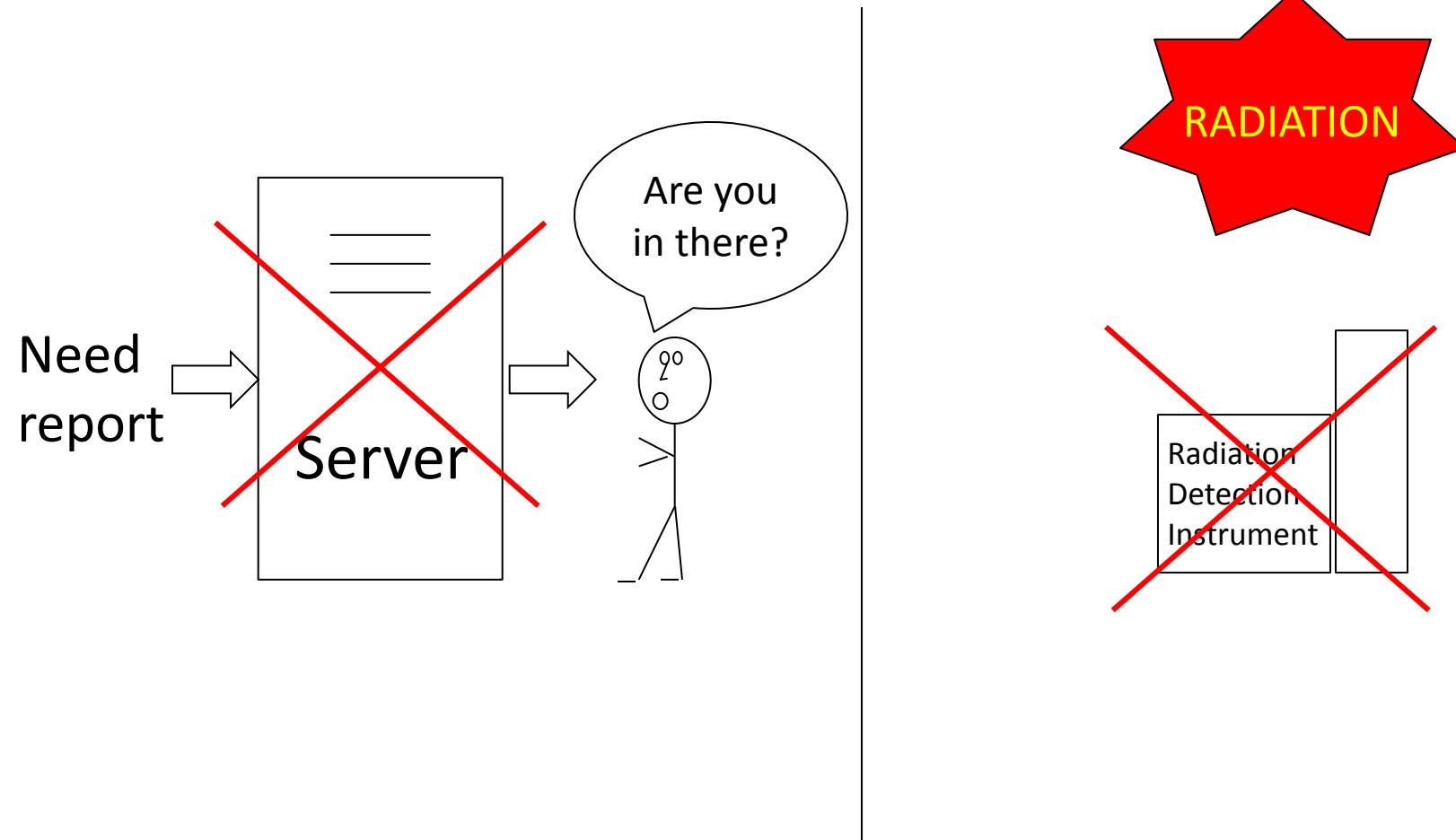


R&D (Programmatic):  
B, DARHT, LANSCE, TA-55

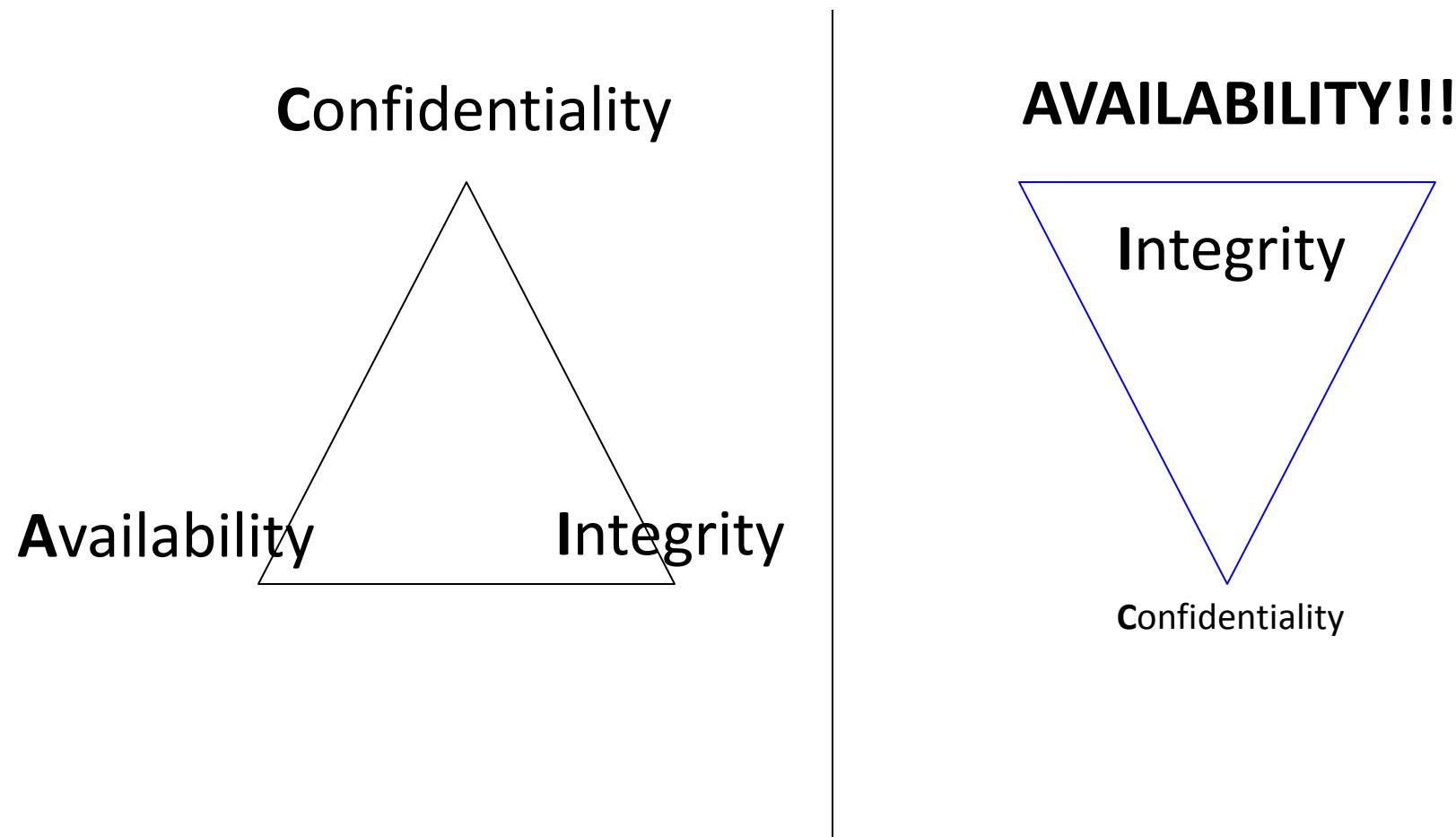


Are they different  
than IT?

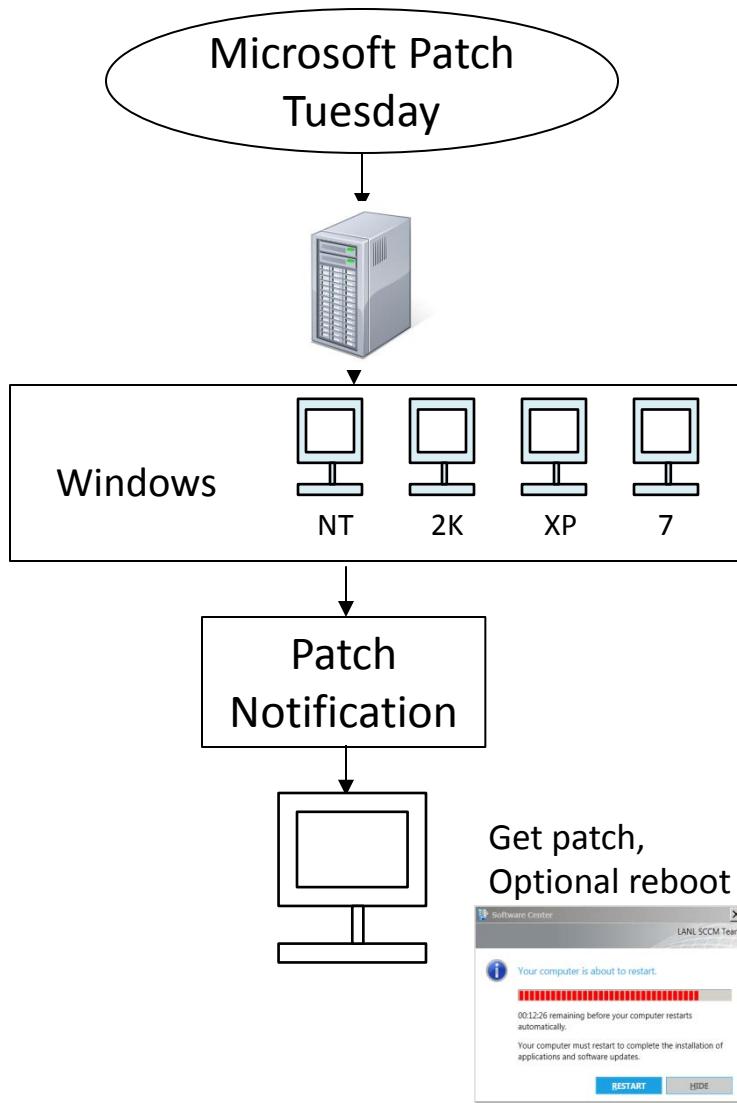
# Risk Management



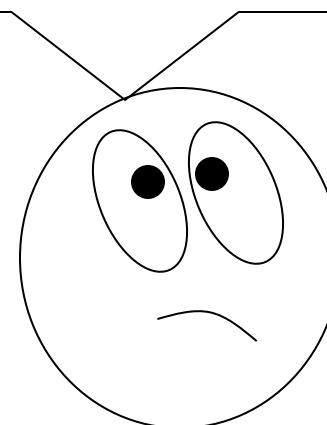
# Security Objectives



# Change Management



- Individual vendor sites
- Little test equipment
- Few dedicated teams to test patches
- Have to schedule update, especially if reboot reqd
- Change firmware?
- ...



# Resource Constraints

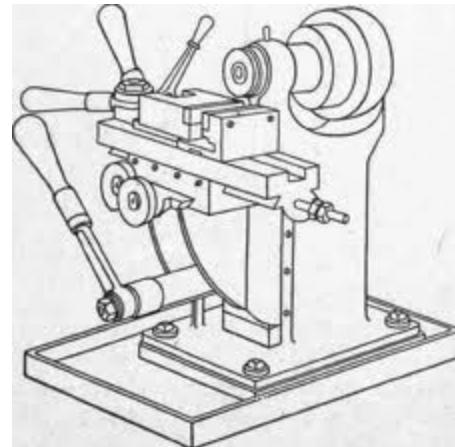


Processor: Intel(R) Xeon(R) CPU E5520 @ 2.27GHz 2.27 GHz

Installed memory (RAM): 4.00 GB

System type: 64-bit Operating System

Volume	Capacity	Free Space	% Free
OSDisk (C:)	232.34 GB	168.68 GB	73 %
System	500 MB	454 MB	91 %



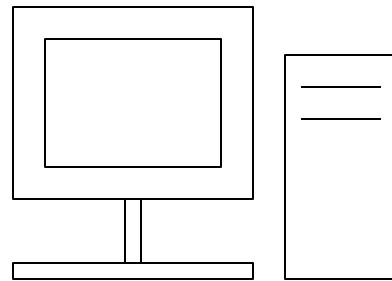
OS: DOS 3.0

Processor: ?

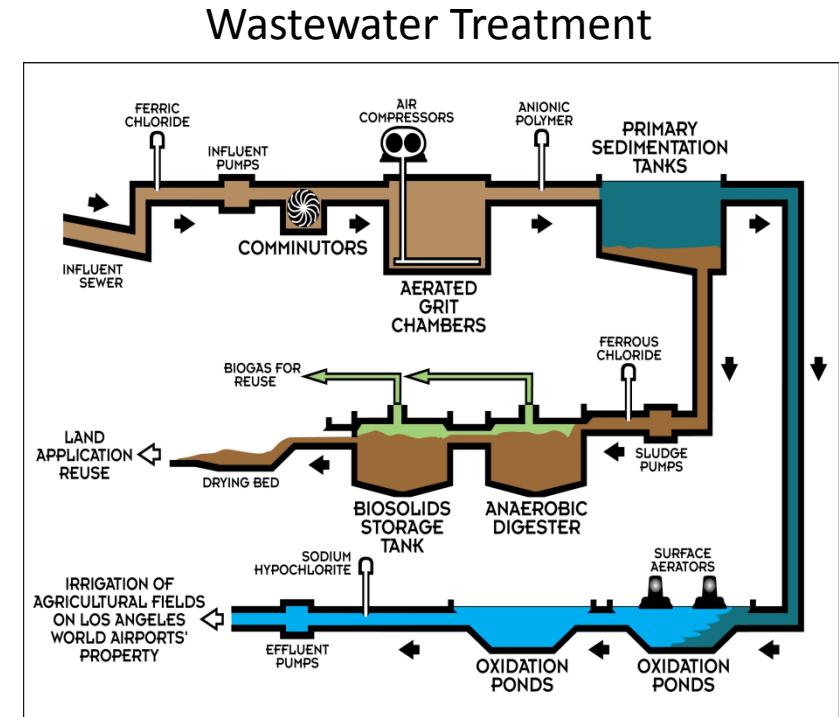
RAM: ? (bubble memory)

Disk capacity: ?

# Component Life Cycle



Tech refresh is 3-5 years



What “tech refresh”?



Are they  
vulnerable?

# Control System Timeline

	1997
Ethernet ports	Began to ship
Control Systems	Proprietary
Internal Web, FTP, Telnet servers	No
Encryption	No
Authentication (RADIUS, LDAP, AD)	No
Forensics (syslog)	No
Management (SNMP)	No
Control System Protocols	RS232 > 80%  IP-based > Starting to see
HMI	Mainly UNIX based
Network segmentation	No
IDS/IPS	No

# Control System Timeline

	1997	2007
<b>Ethernet ports</b>	Began to ship	All
<b>Control Systems</b>	Proprietary	Hybrid – IT switches, IT computer workstations and servers, IT OS (Microsoft), IP protocols, but Controllers and I/O are proprietary
<b>Internal Web, FTP, Telnet servers</b>	No	Some Can't turn off
<b>Encryption</b>	No	No
<b>Authentication (RADIUS, LDAP, AD)</b>	No	Some local auth.
<b>Forensics (syslog)</b>	No	No
<b>Management (SNMP)</b>	No	No
<b>Control System Protocols</b>	RS232 > 80%  IP-based > Starting to see	RS232 – limited basis  IP-based > 70%
<b>HMI</b>	Mainly UNIX based	UNIX ported to Windows
<b>Network segmentation</b>	No	Separate networks or VLANs
<b>IDS/IPS</b>	No	No

# Control System Timeline

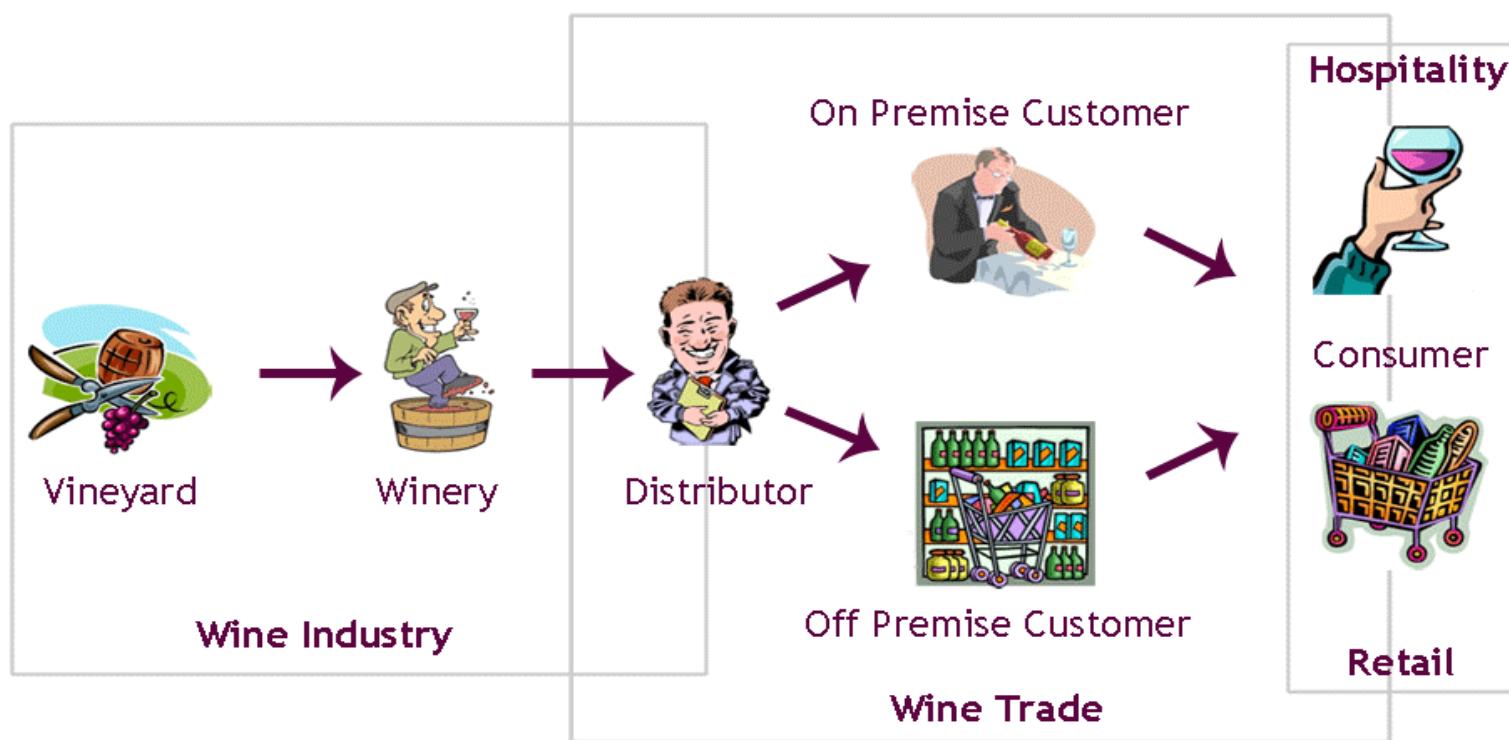
	1997	2007	2012
<b>Ethernet ports</b>	Began to ship	All	Same
<b>Control Systems</b>	Proprietary	Hybrid – IT switches, IT computer workstations and servers, IT OS (Microsoft), IP protocols, but Controllers and I/O are proprietary	Same
			Options: FW in front of Controller, Whitelisting
<b>Internal Web, FTP, Telnet servers</b>	No	Some Can't turn off	Same
<b>Encryption</b>	No	No	Some or certificate based comm.
<b>Authentication (RADIUS, LDAP, AD)</b>	No	Some local auth.	R&D
<b>Forensics (syslog)</b>	No	No	R&D
<b>Management (SNMP)</b>	No	No	R&D
<b>Control System Protocols</b>	RS232 > 80%  IP-based > Starting to see	RS232 – limited basis  IP-based > 70%	Same  IP-based > 80%
<b>HMI</b>	Mainly UNIX based	UNIX ported to Windows	Mainly Windows
<b>Network segmentation</b>	No	Separate networks or VLANs	Same  Most behind one FW
<b>IDS/IPS</b>	No	No	Very few

# Control System Timeline

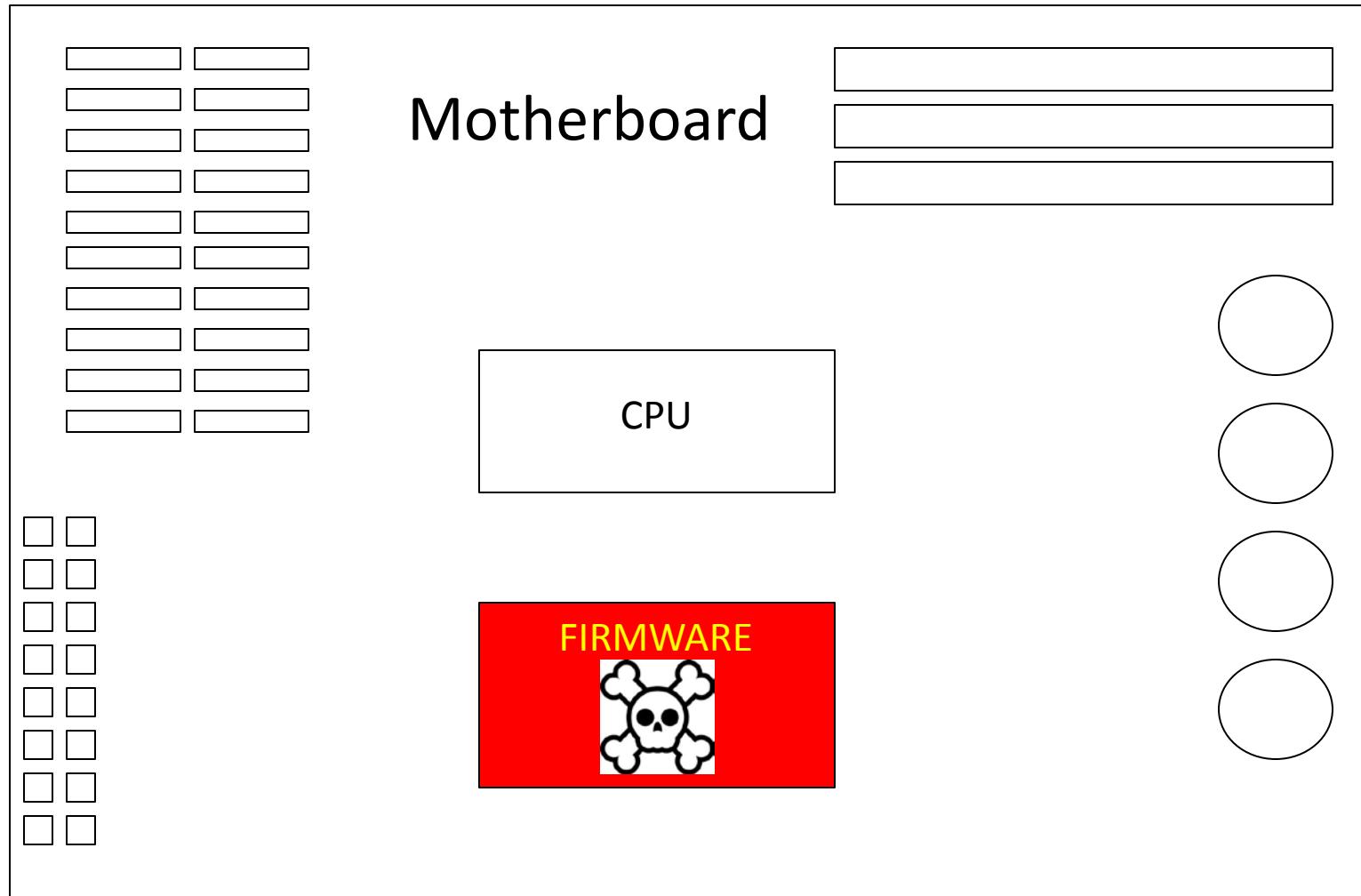
	1997	2007	2012	2017
<b>Ethernet ports</b>	Began to ship	All	Same	Same
<b>Control Systems</b>	Proprietary	Hybrid – IT switches, IT computer workstations and servers, IT OS (Microsoft), IP protocols, but Controllers and I/O are proprietary	Same	Same
			Options: FW in front of Controller, Whitelisting	Security by default
<b>Internal Web, FTP, Telnet servers</b>	No	Some Can't turn off	Same Same	Fully supported Can turn on/off
<b>Encryption</b>	No	No	Some or certificate based comm.	Yes
<b>Authentication (RADIUS, LDAP, AD)</b>	No	Some local auth.	R&D	Yes
<b>Forensics (syslog)</b>	No	No	R&D	Yes
<b>Management (SNMP)</b>	No	No	R&D	Yes
<b>Control System Protocols</b>	RS232 > 80%  IP-based > Starting to see	RS232 – limited basis  IP-based > 70%	Same  IP-based > 80%	Same  IP-based > 90%
<b>HMI</b>	Mainly UNIX based	UNIX ported to Windows	Mainly Windows	Pushback from Microsoft vuls to UNIX
<b>Network segmentation</b>	No	Separate networks or VLANs	Same  Most behind one FW	same  Most behind layered FW system with multiple DMZs
<b>IDS/IPS</b>	No	No	Very few	Yes

# Supply Chain

- A system of organizations, people technology, activities, information and resources involved in moving a product of service from supplier to customer. Wikipedia



# Are you already owned?

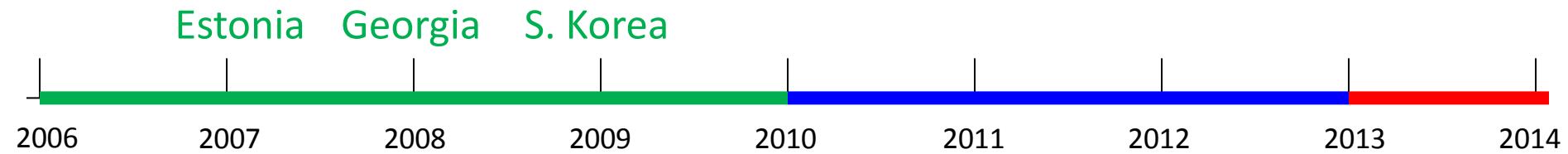




What are the  
threats?

# Timeline

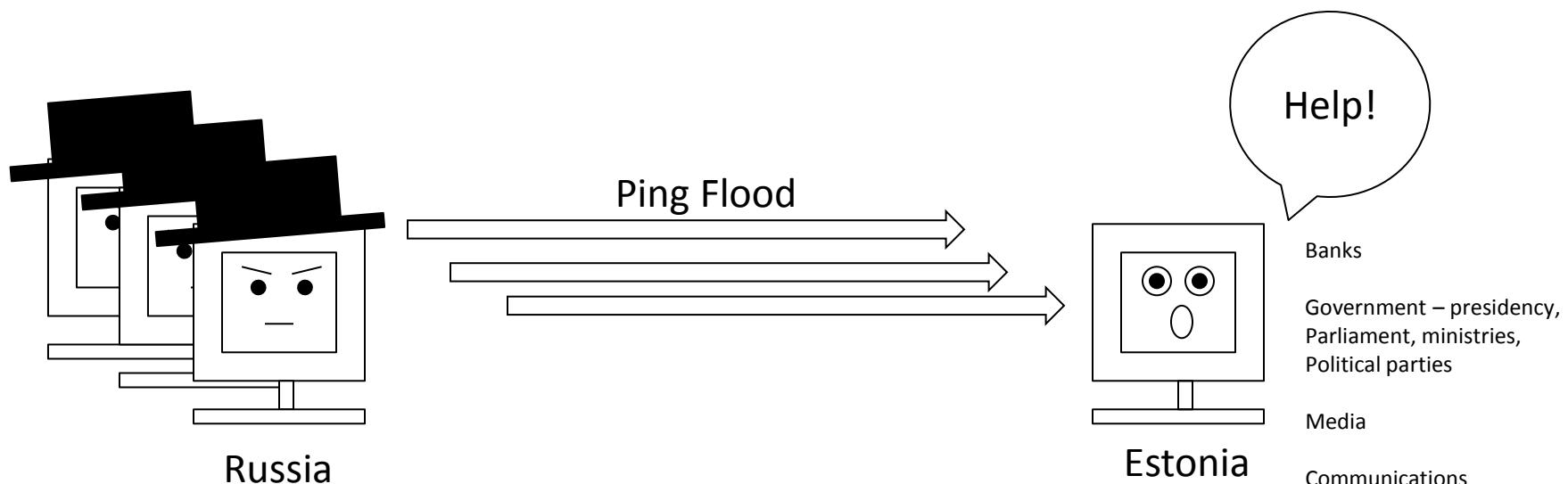
## Pre-Stuxnet



# Estonia – April 2007



Relocated Bronze Soldier of Tallinn



# Timeline

## Pre-Stuxnet

- 4 zero days
- 2 stolen dig. Certificates
- Disables AV
- USB jumps “air gap”
- First PLC rootkit
- Took 10 people 6 months to create
- 67% occurrences in Iran



# Timeline

Pre-Stuxnet

Stuxnet  
Awareness

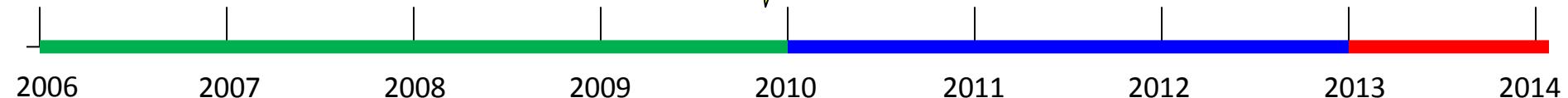
Financial Services

Telvent

Shamoon,  
RasGas

DuQu,  
Wiper

Flame,  
Gauss



2006

2007

2008

2009

2010

2011

2012

2013

2014

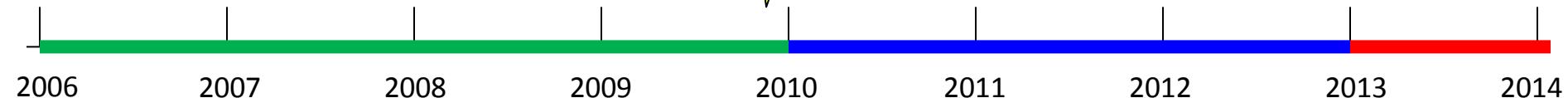
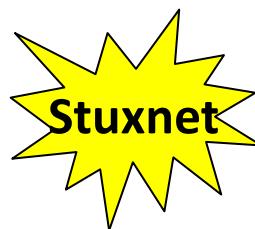
# Timeline

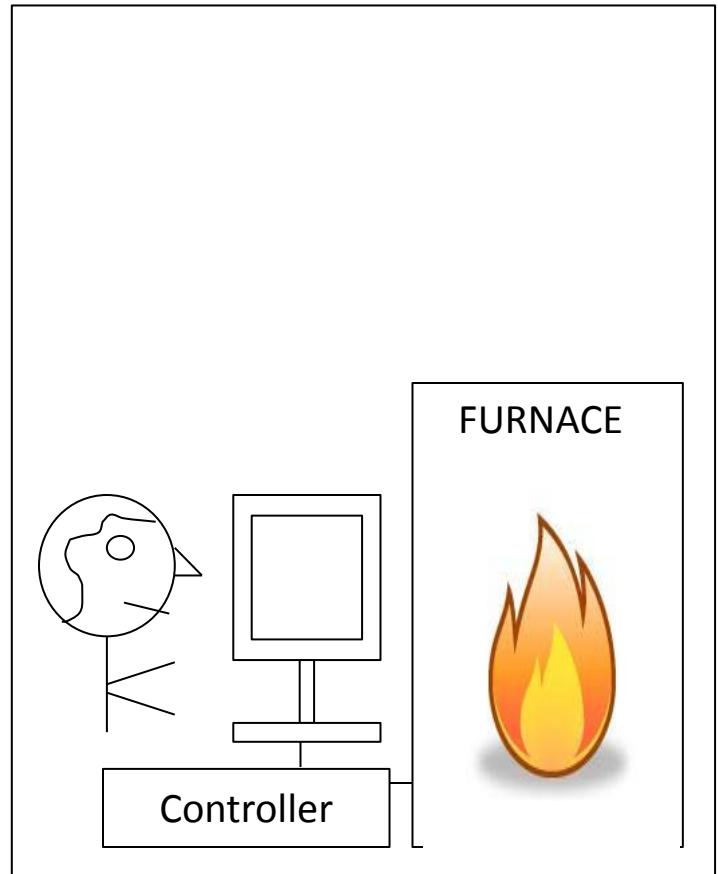
Pre-Stuxnet

Stuxnet Awareness

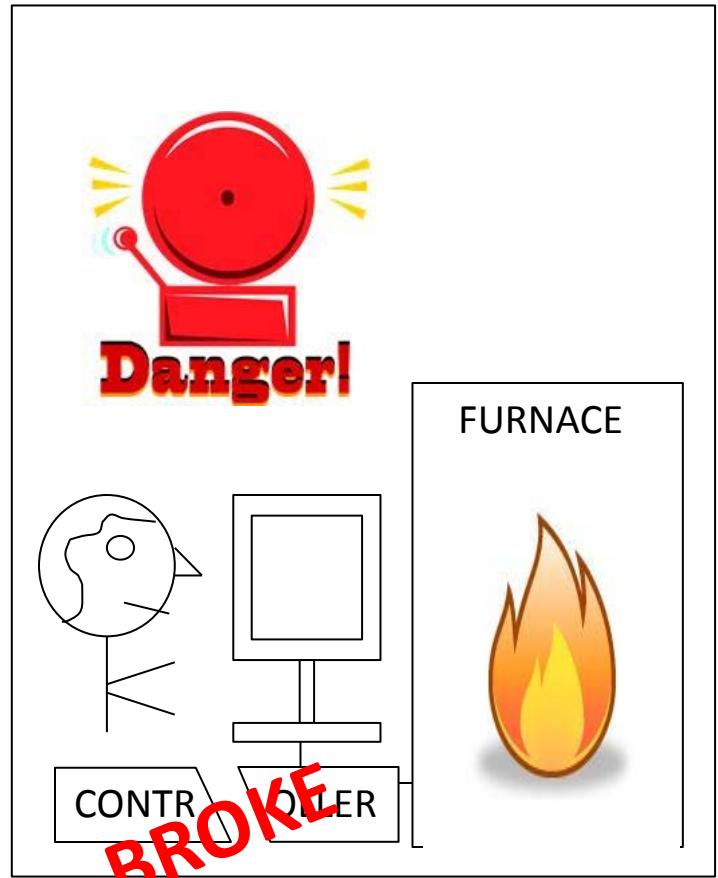
Call to Action

President's  
Executive Order:  
Critical  
Infrastructure  
Cyber Security  
Framework

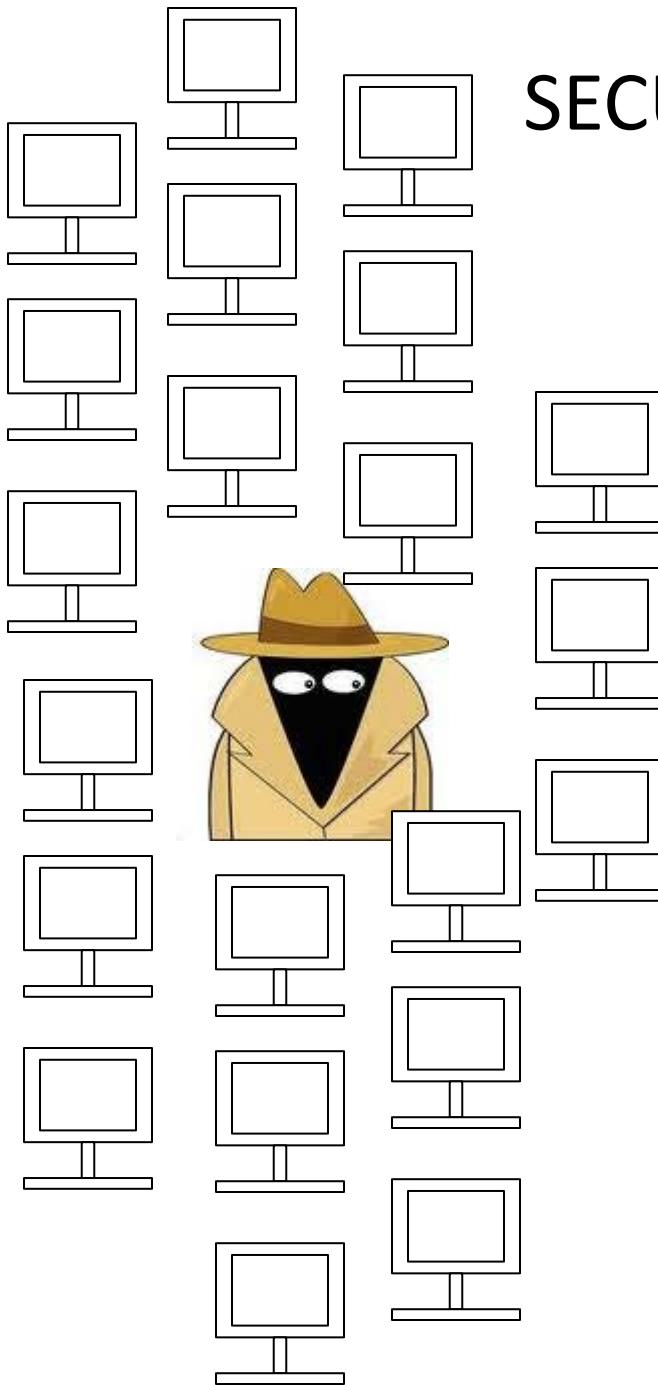




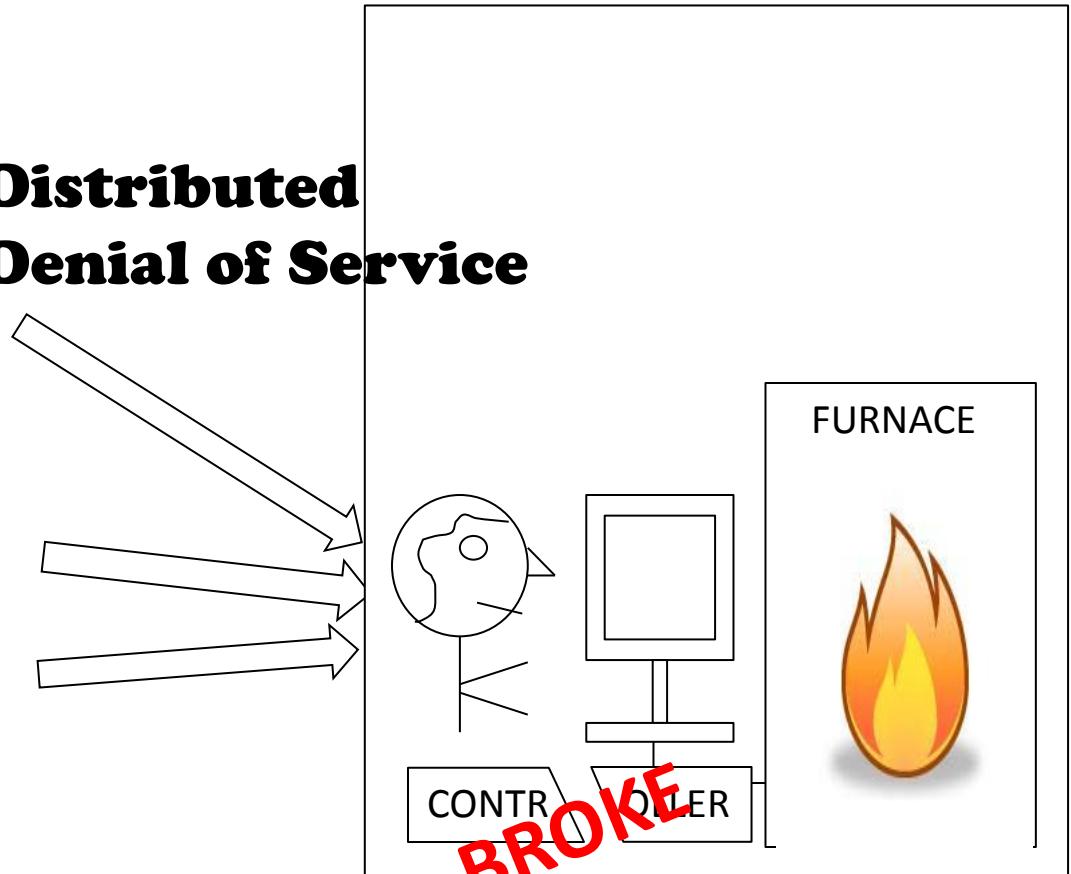
# SAFETY EVENT!!!



SECURITY EVENT → SAFETY EVENT!!!



## Distributed Denial of Service





What are the  
mitigations?

Control Systems  
treated like IT

~~HCS Production~~

NA-00-LA

- Inventory
- Security State
- Roadmap

2010

2011

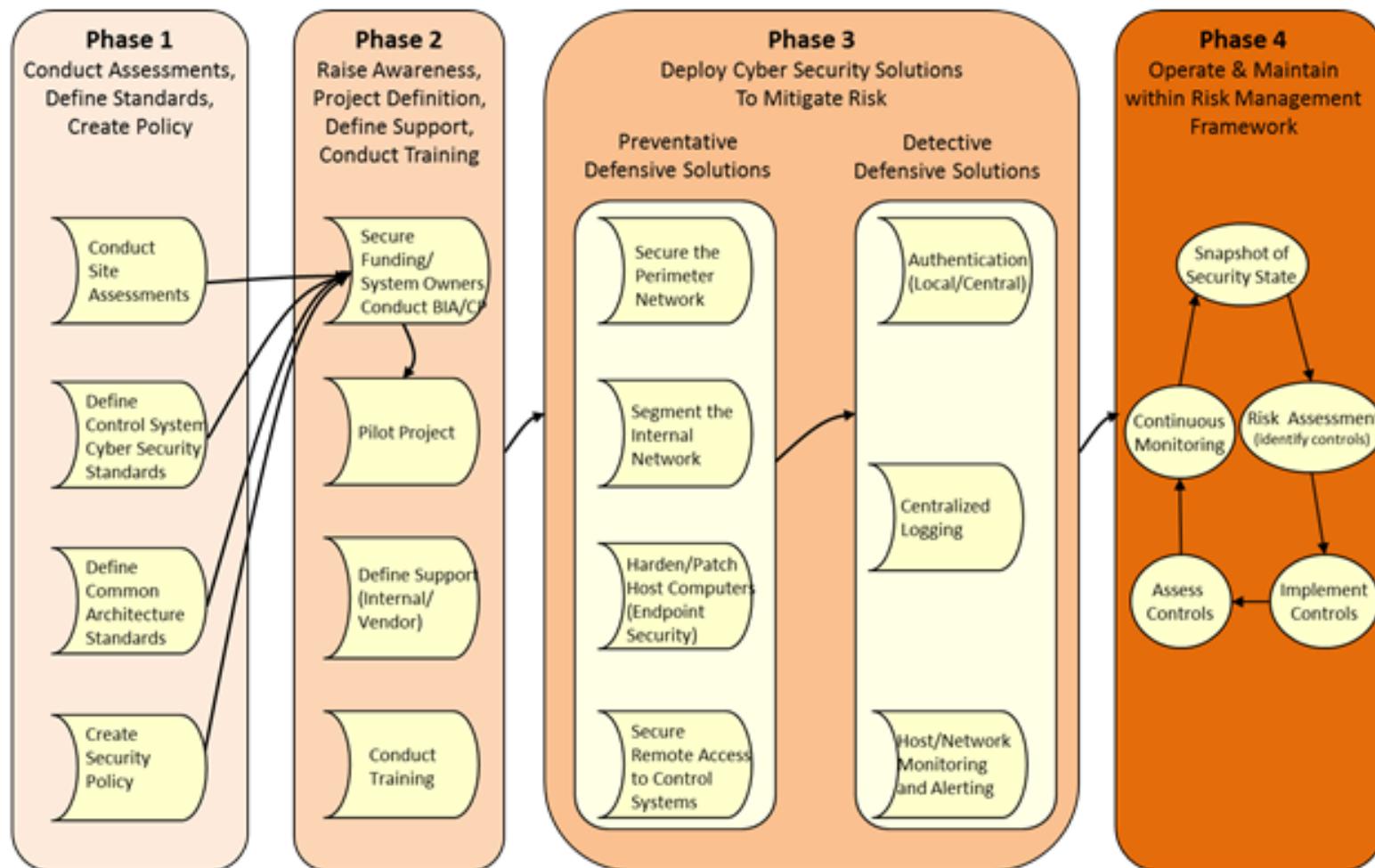
2012

2013

2014

# Roadmap to Secure Control Systems

- Strategic, Tactical, Operational



Control Systems  
treated like IT

~~HCS Production~~

NA-00-LA

- Inventory
- Security State
- Roadmap

**LANL RMF SP**

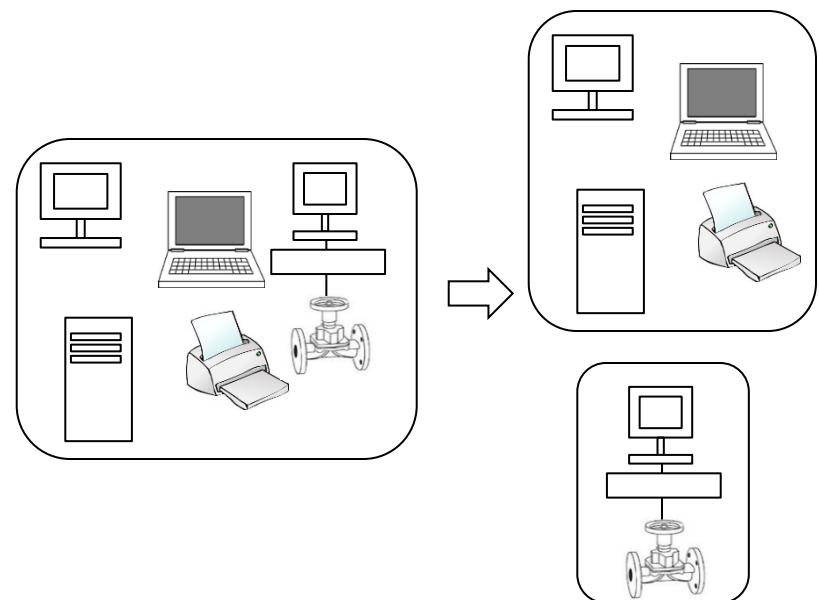
2010

2011

2012

2013

2014



DRAFT

Controls

Enterprise LEVEL 1

Continuous Monitoring

Common Control Opportunities

Infrastructure and Services LEVEL 2

LEVEL 4

LEVEL 4

LEVEL 4

LEVEL 4

Mission and Business Impact Controls Common to Mission

LEVEL 3    LEVEL 3    LEVEL 3    LEVEL 3    LEVEL 3    LEVEL 3

LEVEL 3  
Control Systems

LEVEL 3  
Production

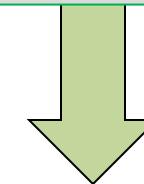
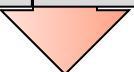
LEVEL 3

LEVEL 4    LEVEL 4    LEVEL 4    LEVEL 4    LEVEL 4

LEVEL 4  
<Type A>    LEVEL 4  
<Type B>    LEVEL 4  
<Type C>    LEVEL 4  
<Type D>

LEVEL 4

LEVEL 4



Control Systems  
treated like IT

~~HCS Production~~

NA-00-LA

- Inventory
- Security State
- Roadmap

LANL RMF SP

June 2014  
BIA/CP Critical  
Facilities

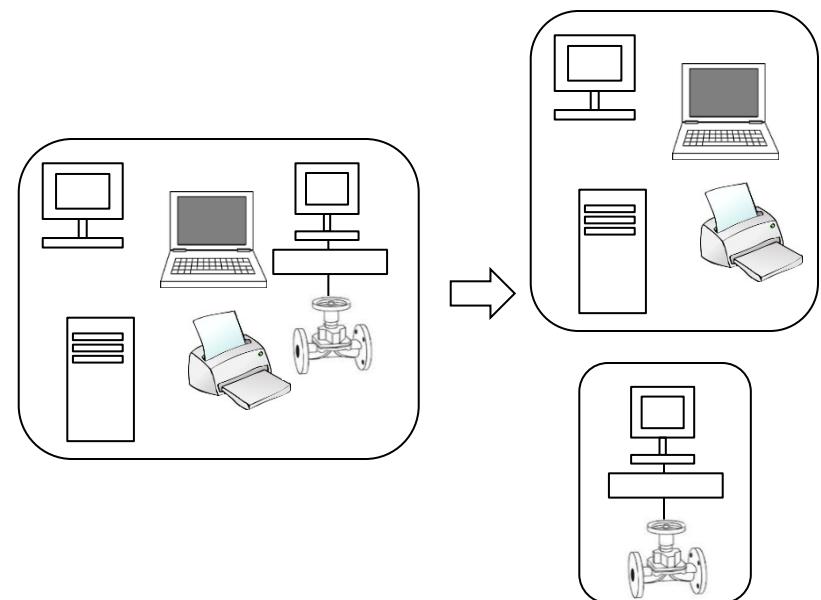
2010

2011

2012

2013

2014

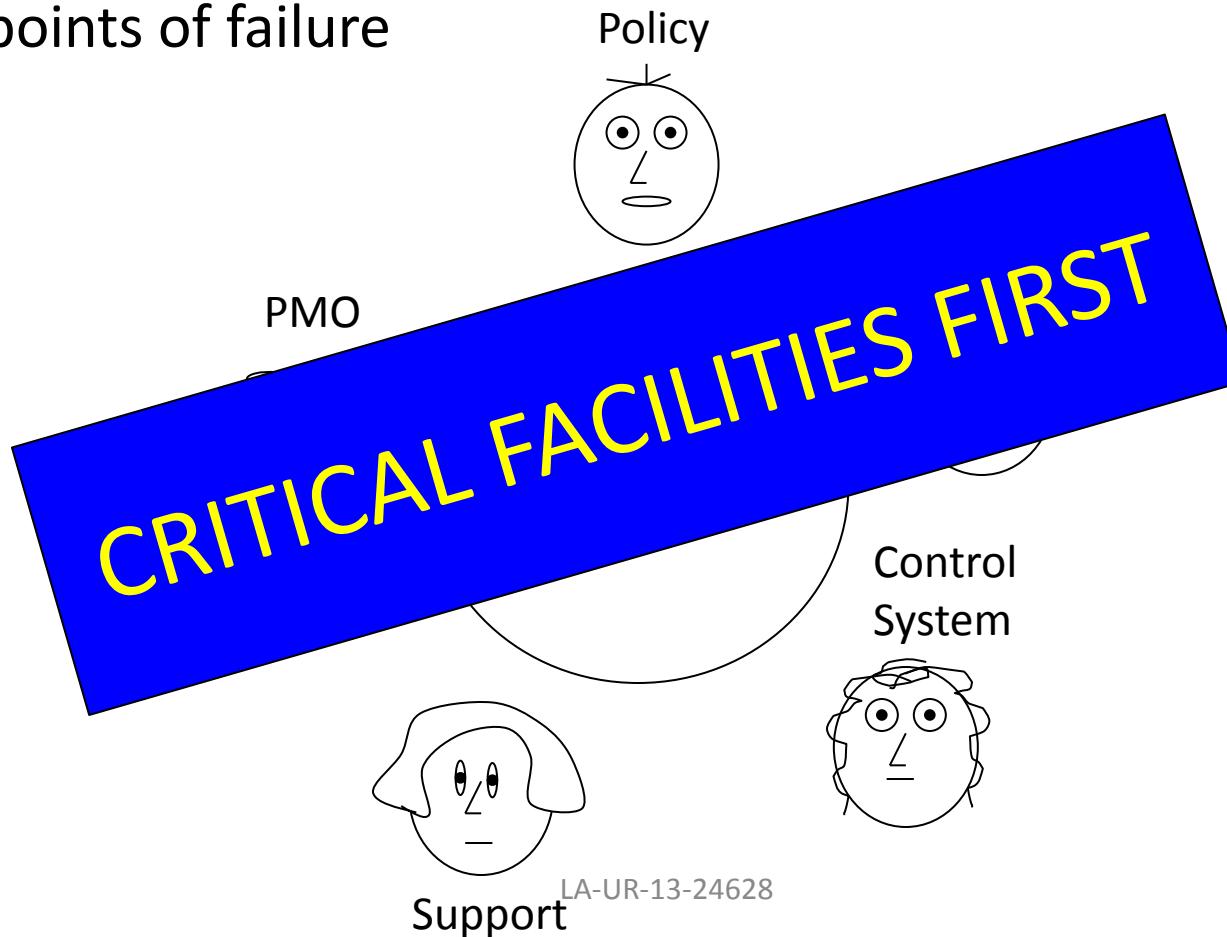


# Business Impact Analysis

- Prioritize systems & mitigations
- Dependencies
- POCs (LANL, vendors)
- MAD
- Single points of failure

# Contingency Planning

- Guide during a crisis
- Activation, notification, recovery

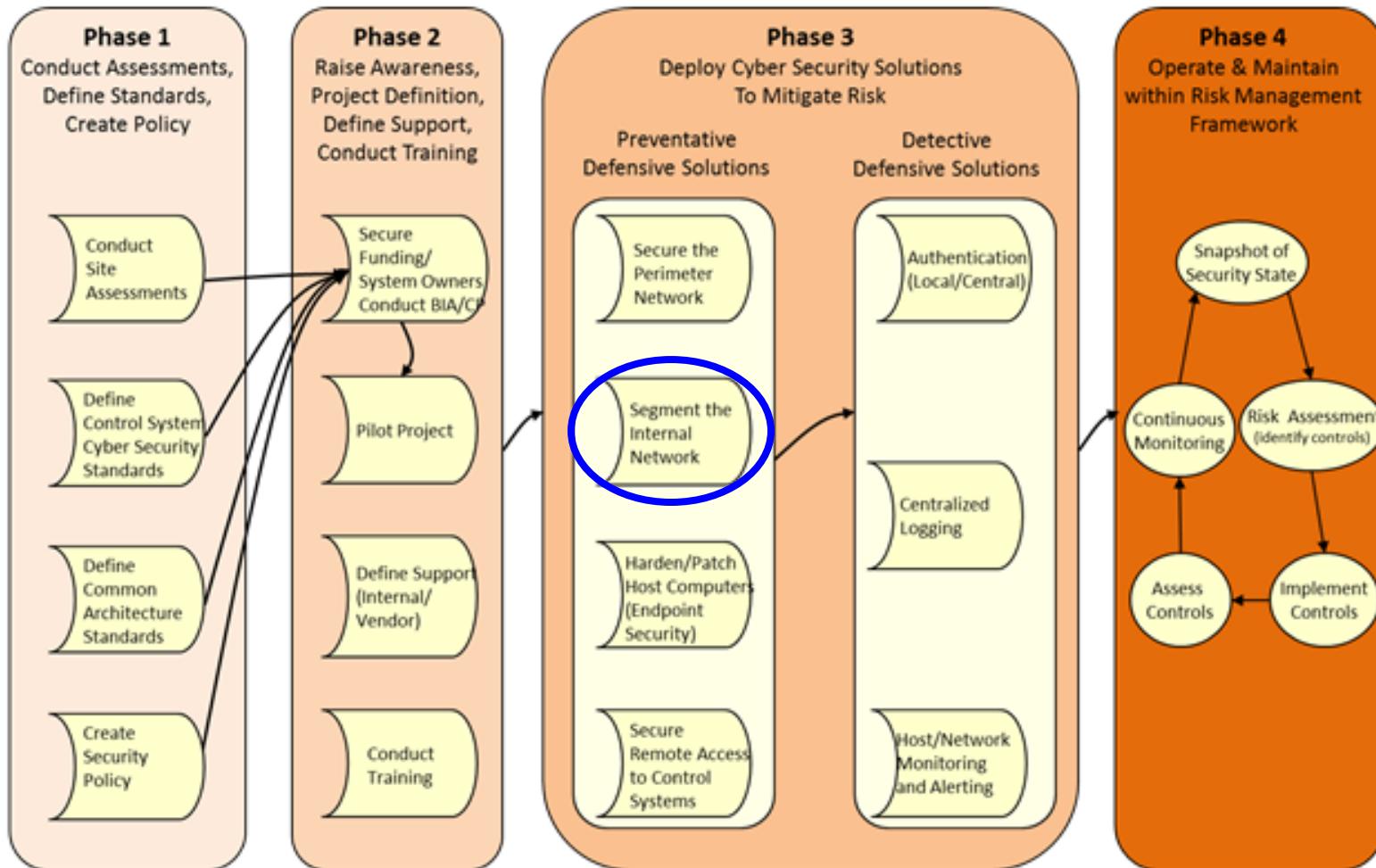


## NEXT STEPS

The plan to secure Control Systems will happen at the following levels:

- Strategic – high level, long range plan (Figure 2, Phases 1-2)
  - The new Security Plan enclosures for Control Systems will be based on the Risk Management Framework, which will separate out classes with common ownership, characteristic risks and mitigations.
  - Subject Matter Experts (SME) will conduct a Risk Assessment and Business Impact Analysis (BIA) to determine the risks and mitigations unique to their environment.
  - The BIA will focus on first identifying mission critical resources (e.g. hardware, software, network, people, environmental components), their dependencies and then restoration of critical services after an interruption or outage. It will be vetted with group discussions and scenario walkthroughs. This living document will be tracked with Continuous Monitoring (e.g. Validation and Verification, annual security assessments, host security software, table tops).
  - Once HCS Production is re-accredited, remaining Unclassified and Classified Security Plans will follow the same process.
- Tactical – mid-term focus on events that will affect an organization's functional plans (Figure 2, Phases 2-3)
  - Each BIA will be updated on an annual basis, which will revisit risks and mitigations. This may require tasks such as network redesign, installation of new equipment and controls, support model change (e.g. multi-person team with both Control System and IT expertise, Service Level Agreement) and incident tracking (e.g. utility and network outages, flaws in processes [e.g. change management] or training).
- Operational – near-term focus on events that affect an organization's day-to-day operations to accomplish the mission (Figure 2, Phase 4)
  - Daily operation and maintenance are performed and continuously evaluated against existing and potential controls to enhance the system's security posture.
  - New security education classes will better enable integration between IT and Operational Technology (OT) personnel in terms of roles, responsibilities and secure behaviors.

# Roadmap to Secure Control Systems





# Why a Control Systems Enclave?

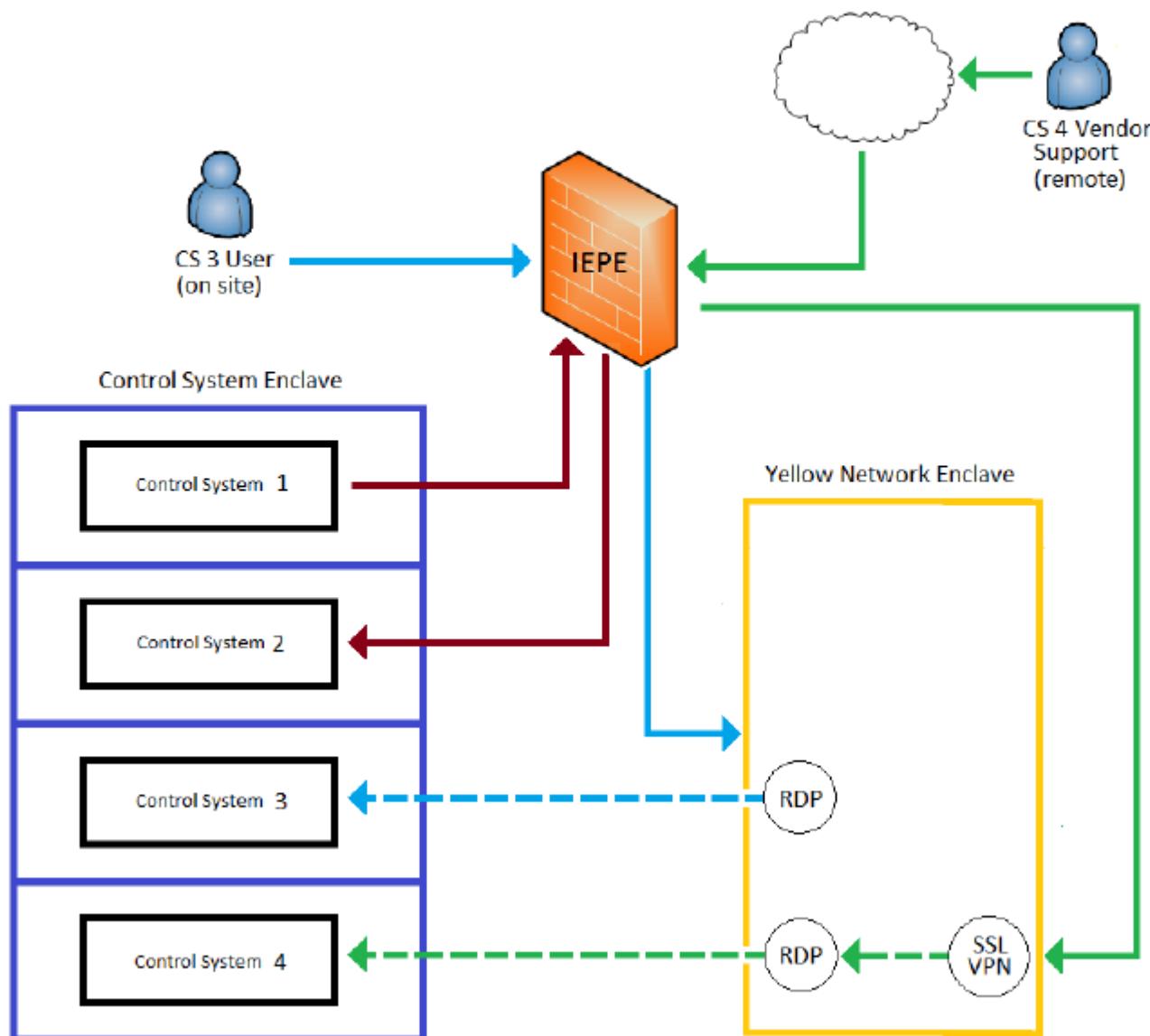
- Protect against lateral movement
- Some systems are sensitive to scanning/patching
- Centralized controlled access to system



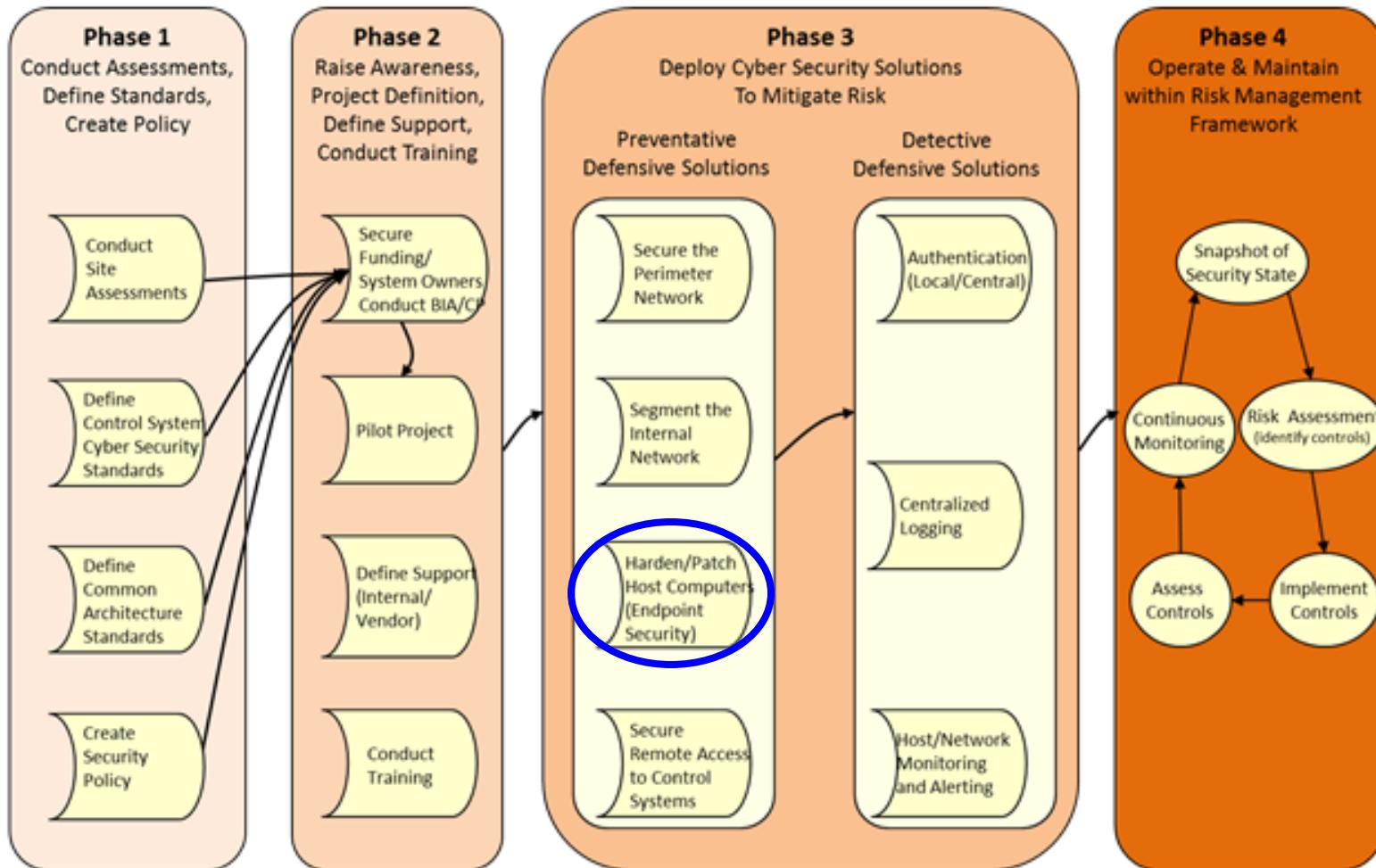
# Proposed Control Systems Enclave

- One Enclave with high fences
- A “system” will be on one subnet
  - Subnet can span campus
- IP address will need to be changed
  - Unless the system is completely isolated

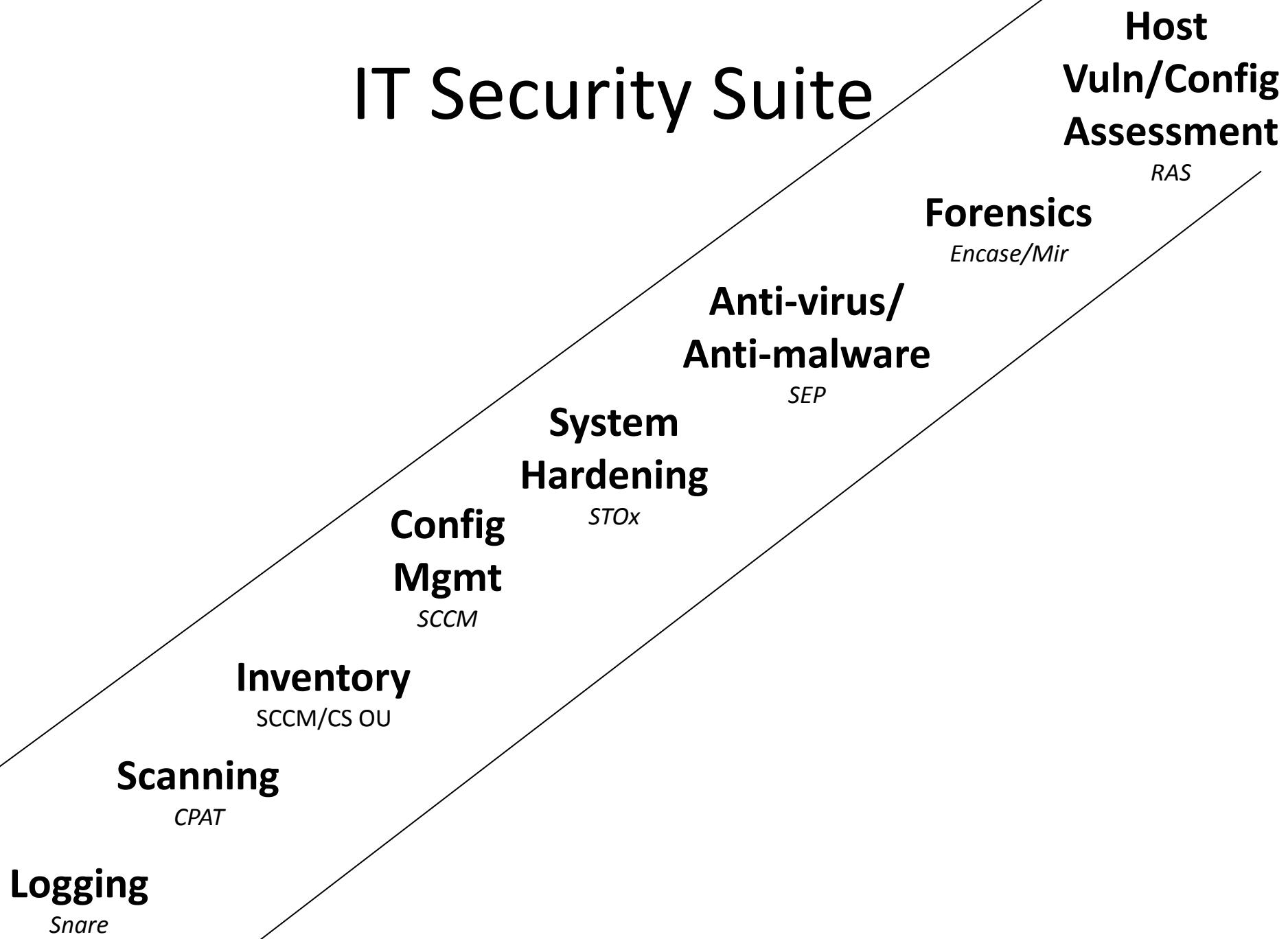
# Control Systems (CS) Enclave



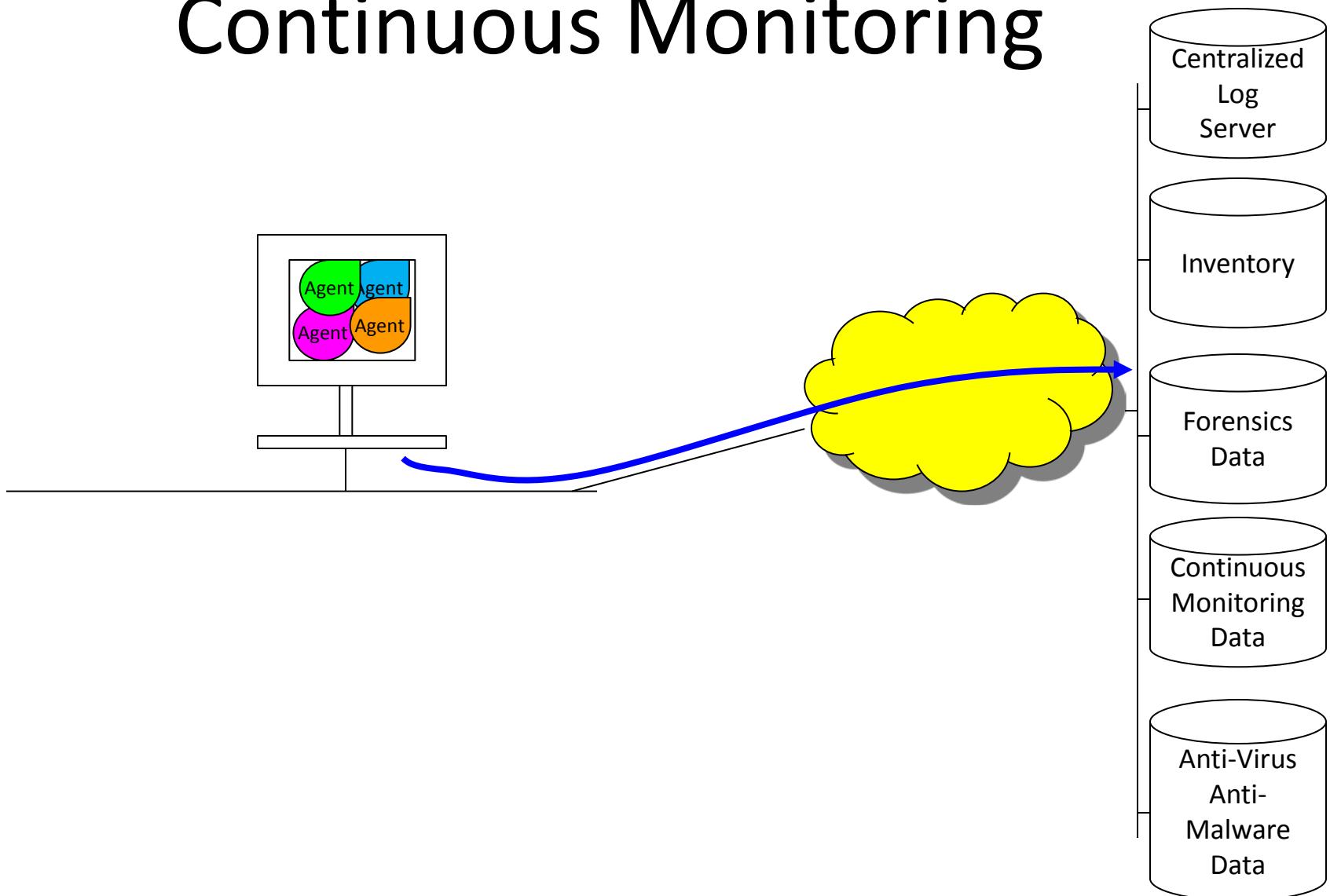
# Roadmap to Secure Control Systems



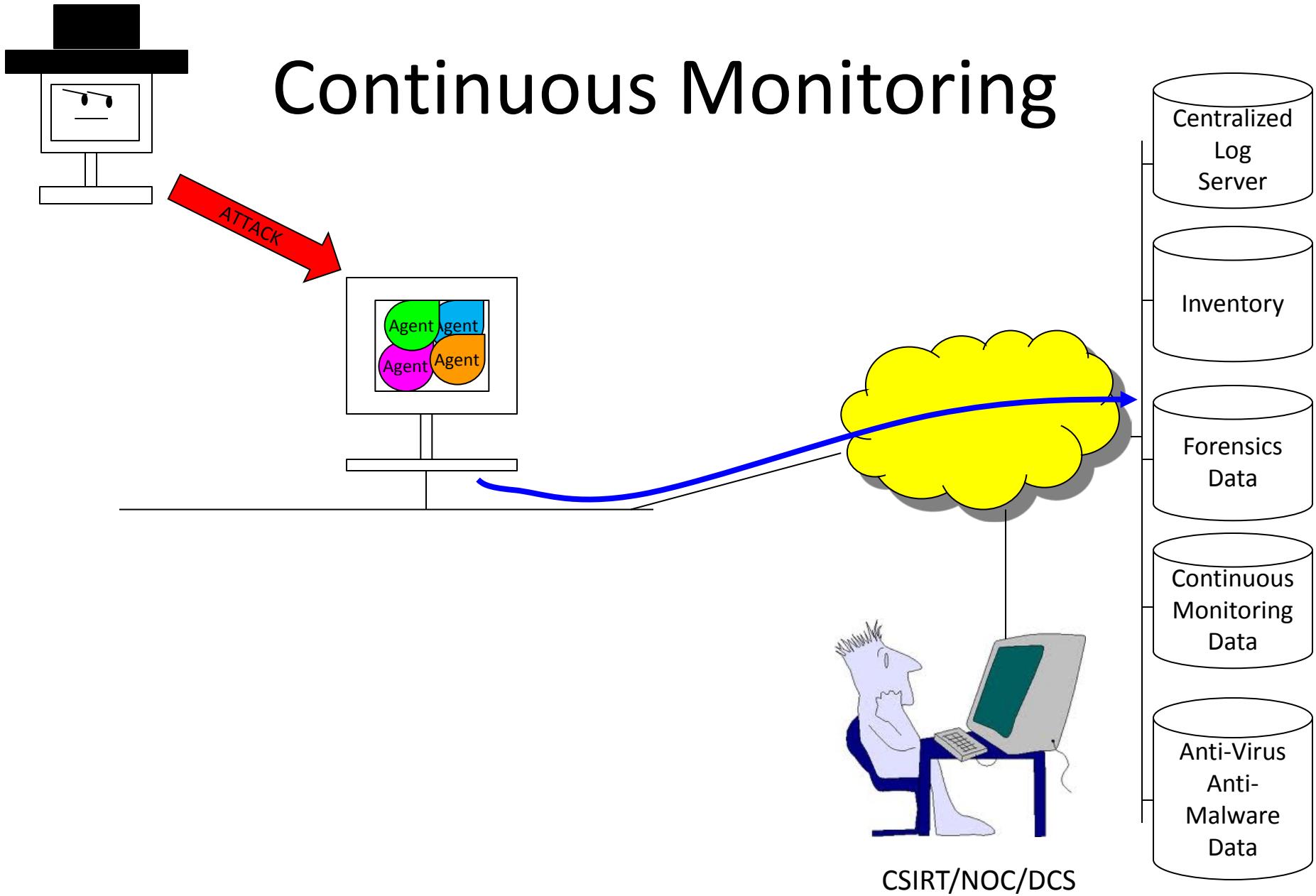
# IT Security Suite



# Continuous Monitoring

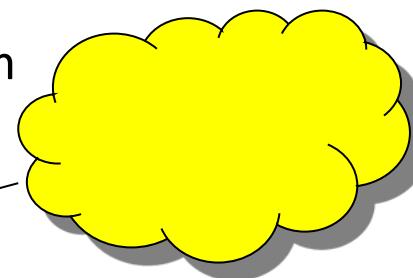
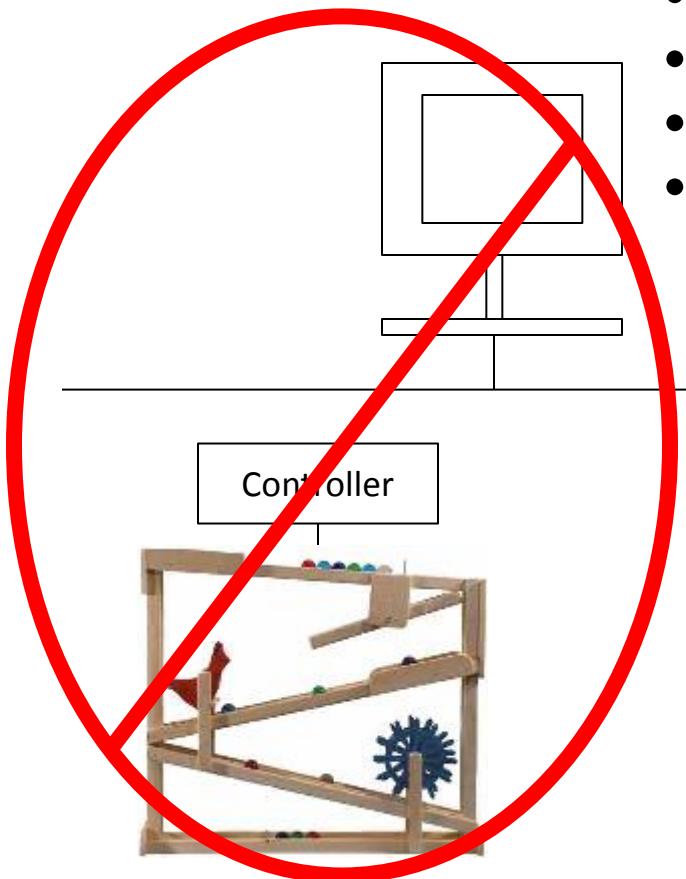


# Continuous Monitoring

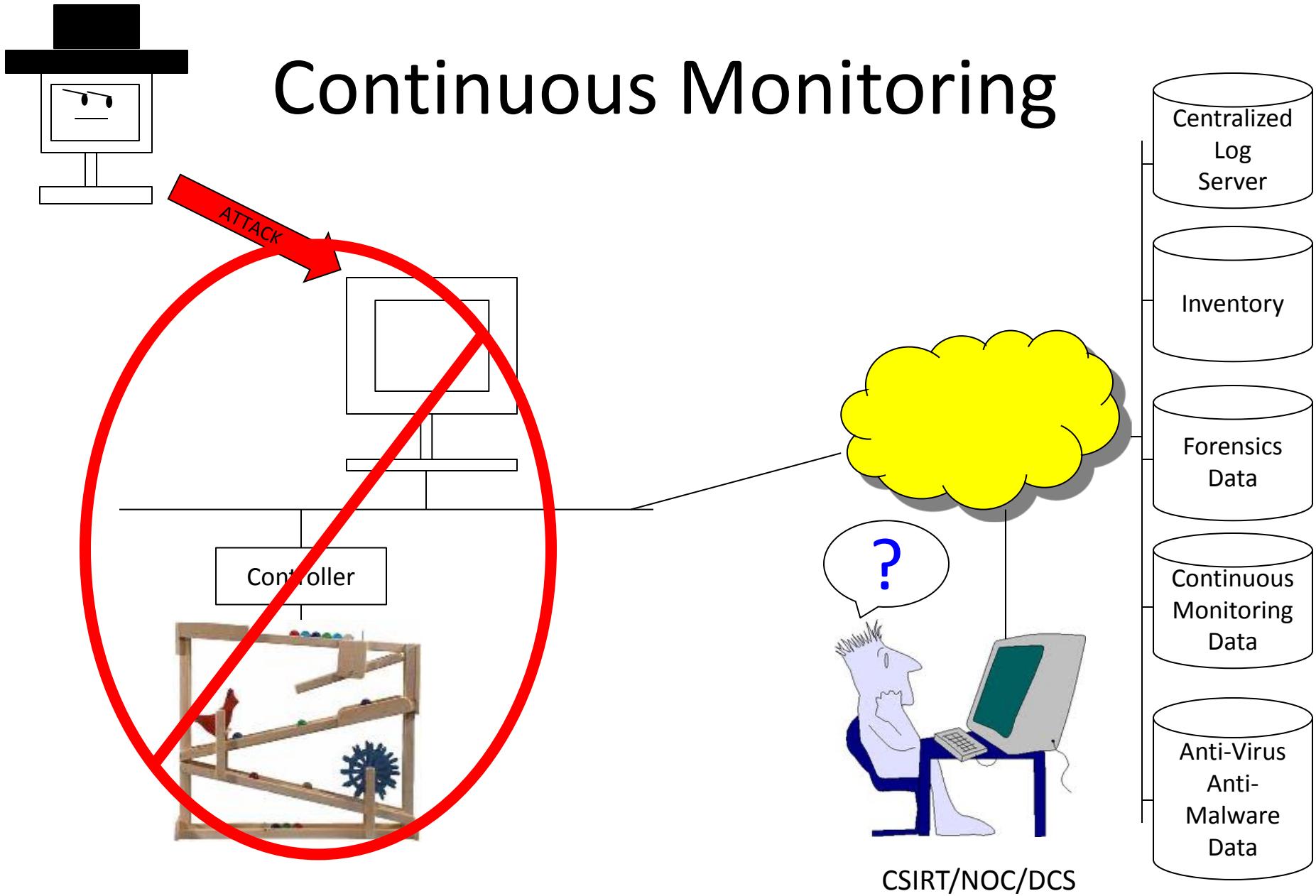


# Continuous Monitoring

- “If its running, don’t touch it”
- No test equipment
- No test expertise
- Performance
- Complex system



# Continuous Monitoring



# Baby Steps

Host  
Vuln/Config  
Assessment

RAS

Forensics

*Encase/Mir*

Anti-virus/  
Anti-malware

SEP

System  
Hardening

STOx

Config  
Mgmt

SCCM

Inventory

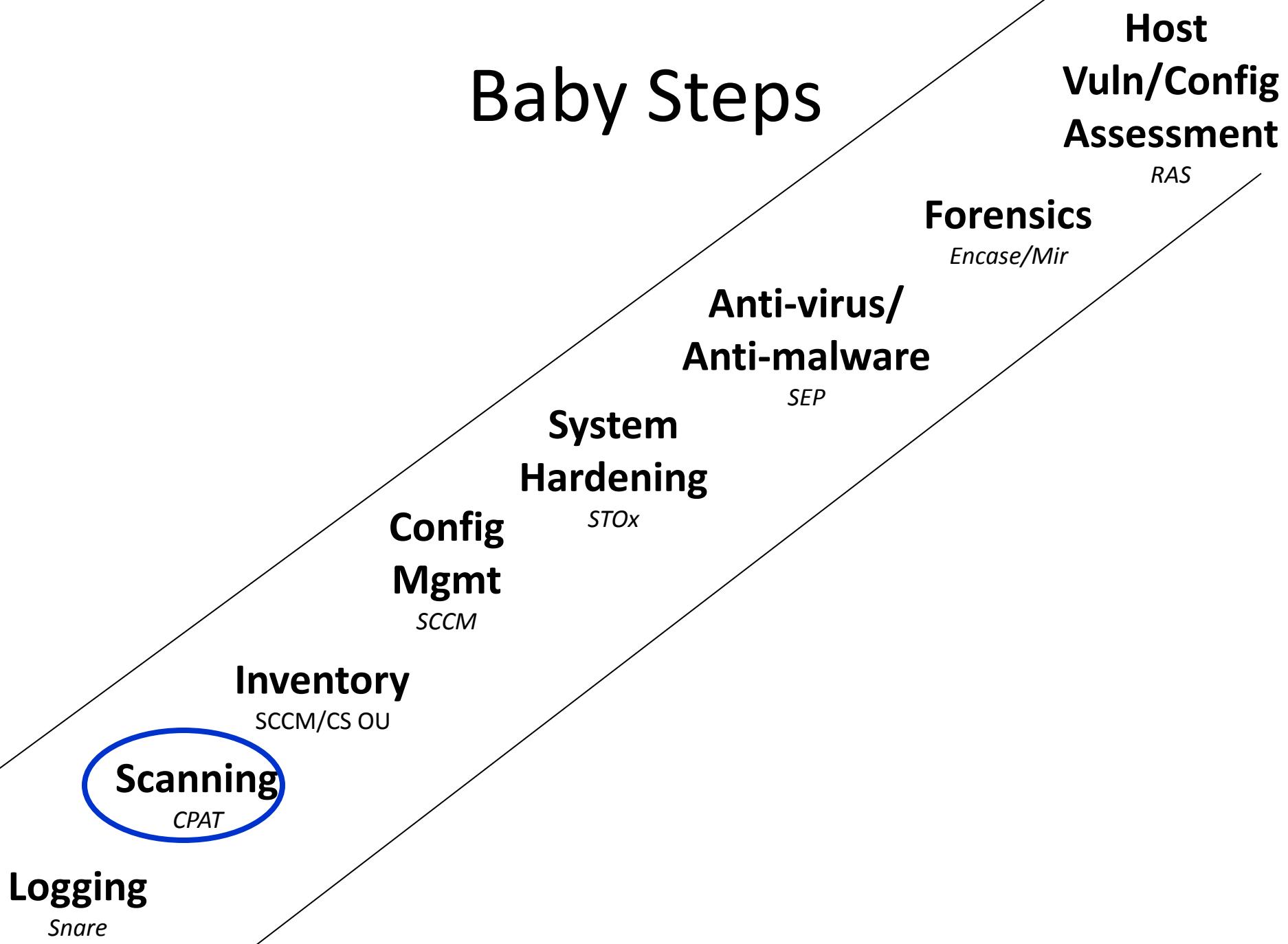
SCCM/CS OU

Scanning

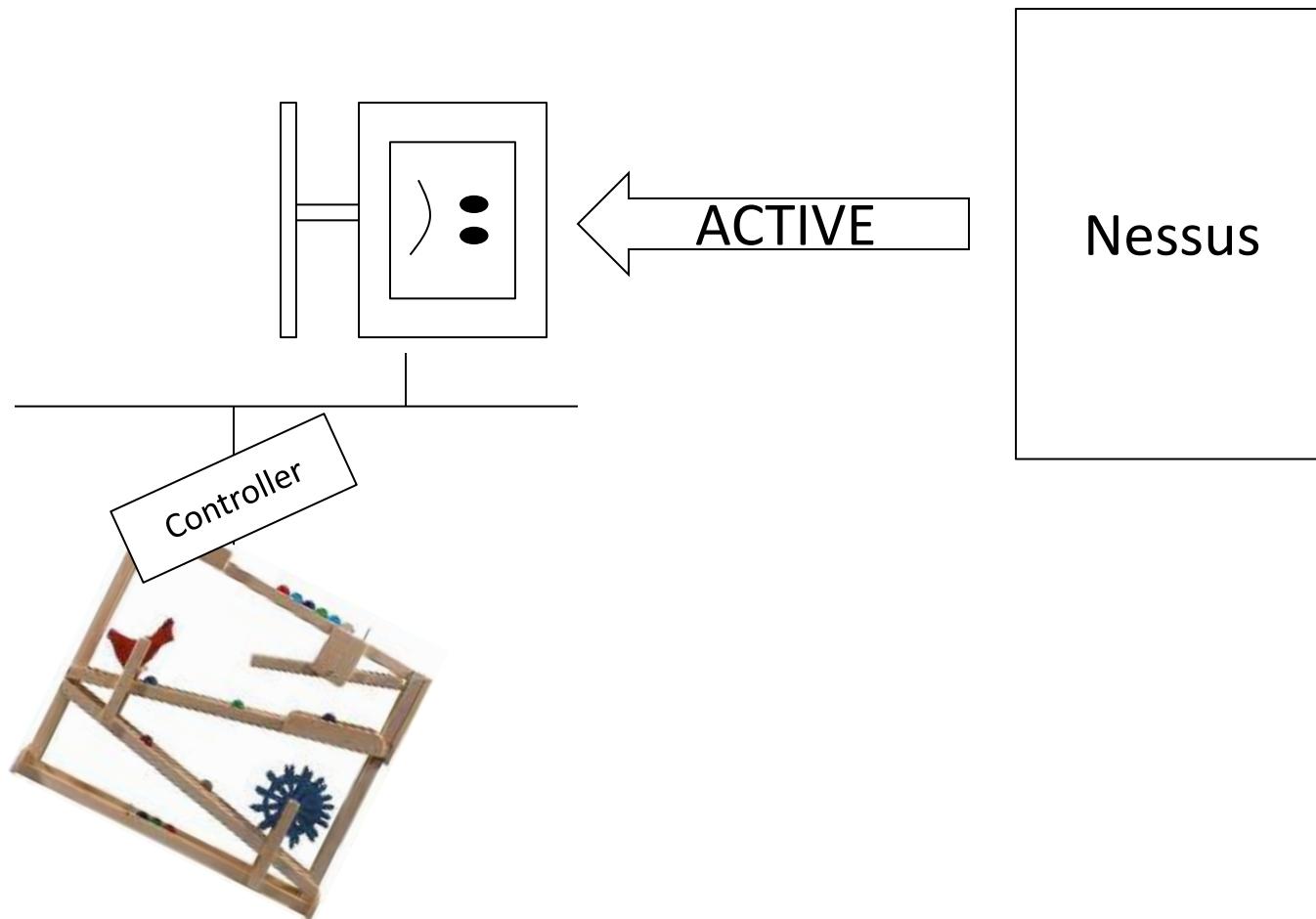
CPAT

Logging  
*Snare*

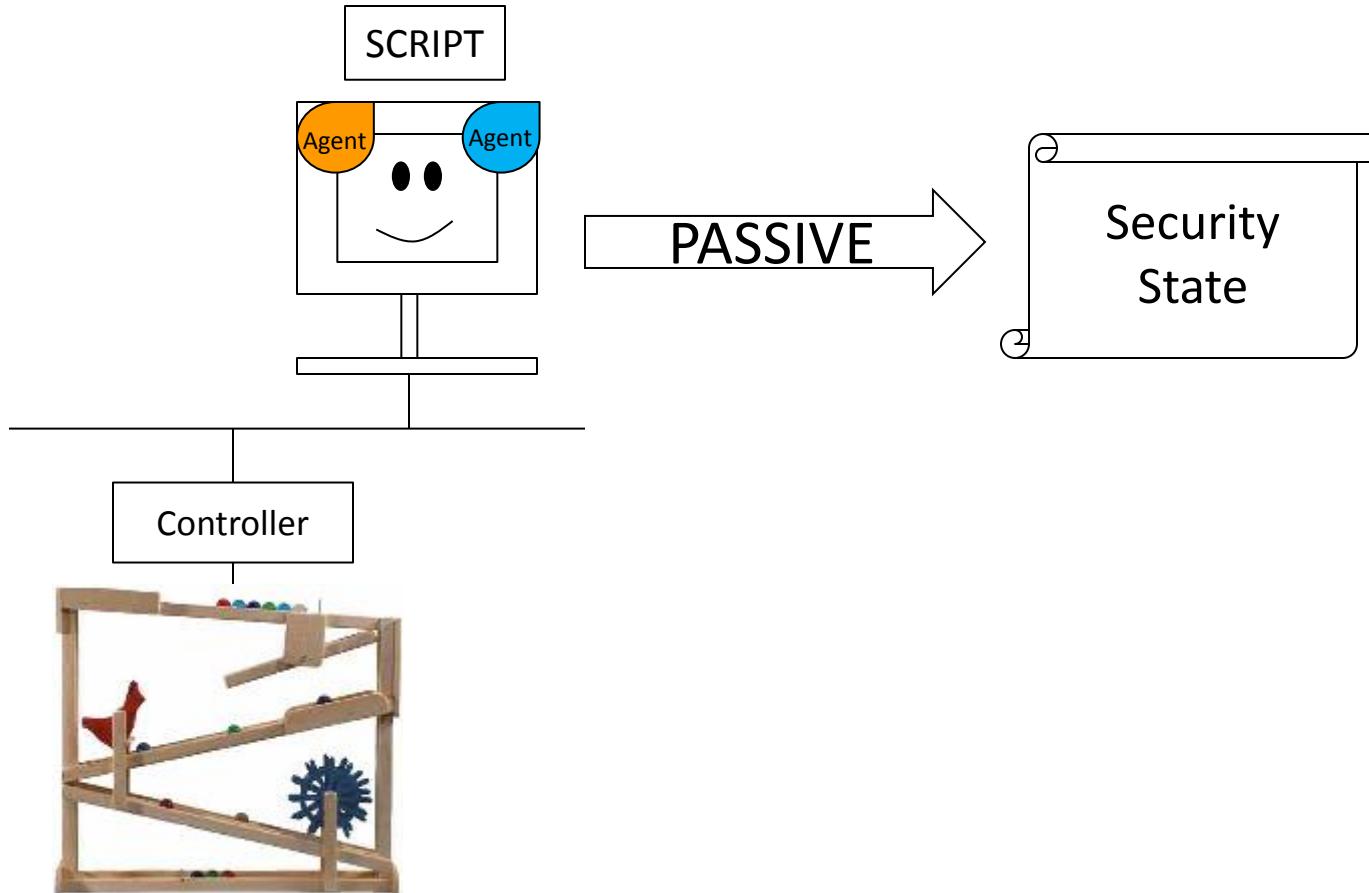
# Baby Steps



# Scanning

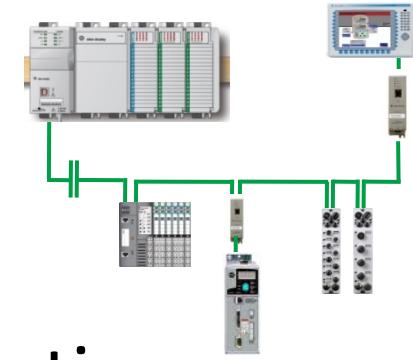


# Scanning



# New Technology Lab

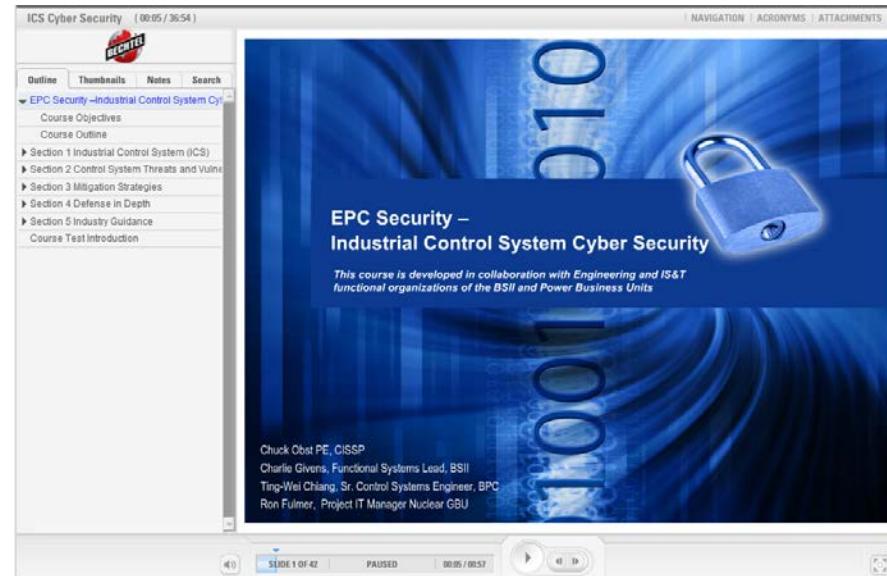
TA46-42-226



- Uses: testing, training, vendor interactions
- Standard equipment + community donations
- Sign “Rules of Use”
- Make appointments (M-F, 8-5) starting Aug. 12
  - [techlab@lanl.gov](mailto:techlab@lanl.gov), 665-6820

# Training - Future

- UTRAIN – IT/Control System Security Awareness (1.5 hour near Tech Lab)
- Bechtel online training



The screenshot shows a computer screen displaying an online training course. The title bar reads "ICS Cyber Security 08/05 / 36:54". The top right corner has links for "NAVIGATION", "ACRONYMS", and "ATTACHMENTS". The main content area is titled "EPC Security - Industrial Control System Cyber Security". It features a blue background with a large, glowing blue padlock on the right. The text "EPC Security – Industrial Control System Cyber Security" is displayed in white. Below it, a subtext states: "This course is developed in collaboration with Engineering and IS&T functional organizations of the BSII and Power Business Units". At the bottom left, the names of the course creators are listed: "Chuck Obst PE, CISSP", "Charlie Givens, Functional Systems Lead, BSII", "Ting-Wei Chiang, Sr. Control Systems Engineer, BPC", and "Ron Fulmer, Project IT Manager Nuclear GBU". The bottom of the screen shows a navigation bar with icons for back, forward, and search, and a progress bar indicating "SLIDE 1 OF 42", "PAUSED", and "00:05 / 00:57".

# Anatomy of a Disaster

CSB Safety Video: Anatomy of a Disaster



Anatomy of a Disaster

Friday, Mar 21 2008

A massive explosion kills 15 and injures 180 at the BP Texas City refinery

Investigations:

- BP America Refinery Explosion

[Download Windows Video](#)  
[Download QuickTime Video](#)

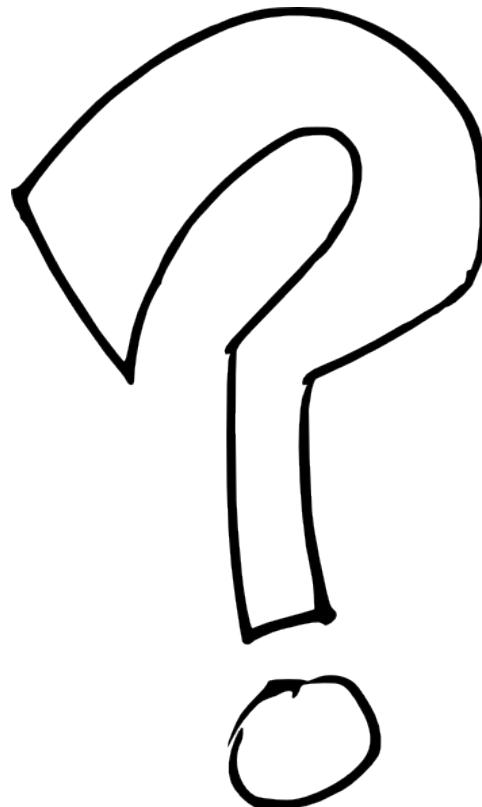
<http://www.csb.gov/videos/anatomy-of-a-disaster/>, animation starts at 3:21

# Summary

- IT ≠ Control Systems
- Communities
  - Awareness: LANL, Technology Lab, DOE
- Roadmap
  - Strategic, Tactical, Operations
- BIA/CP – Critical facilities first



# Questions



# Contact

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- [slf2@lanl.gov](mailto:slf2@lanl.gov)
- 665-6820