

LA-UR-13-26212

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Title: Control System Security

Author(s): Frost, Sandra L.

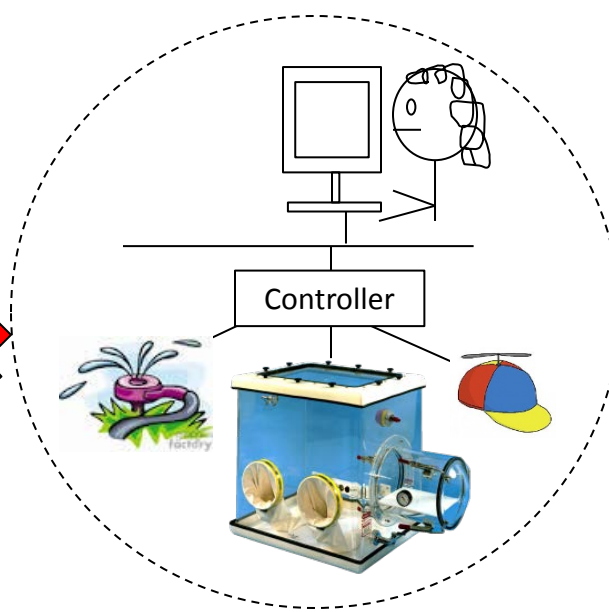
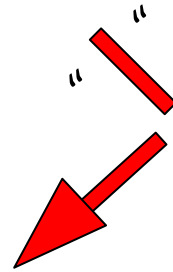
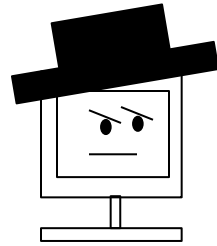
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Issued: 2013-08-06



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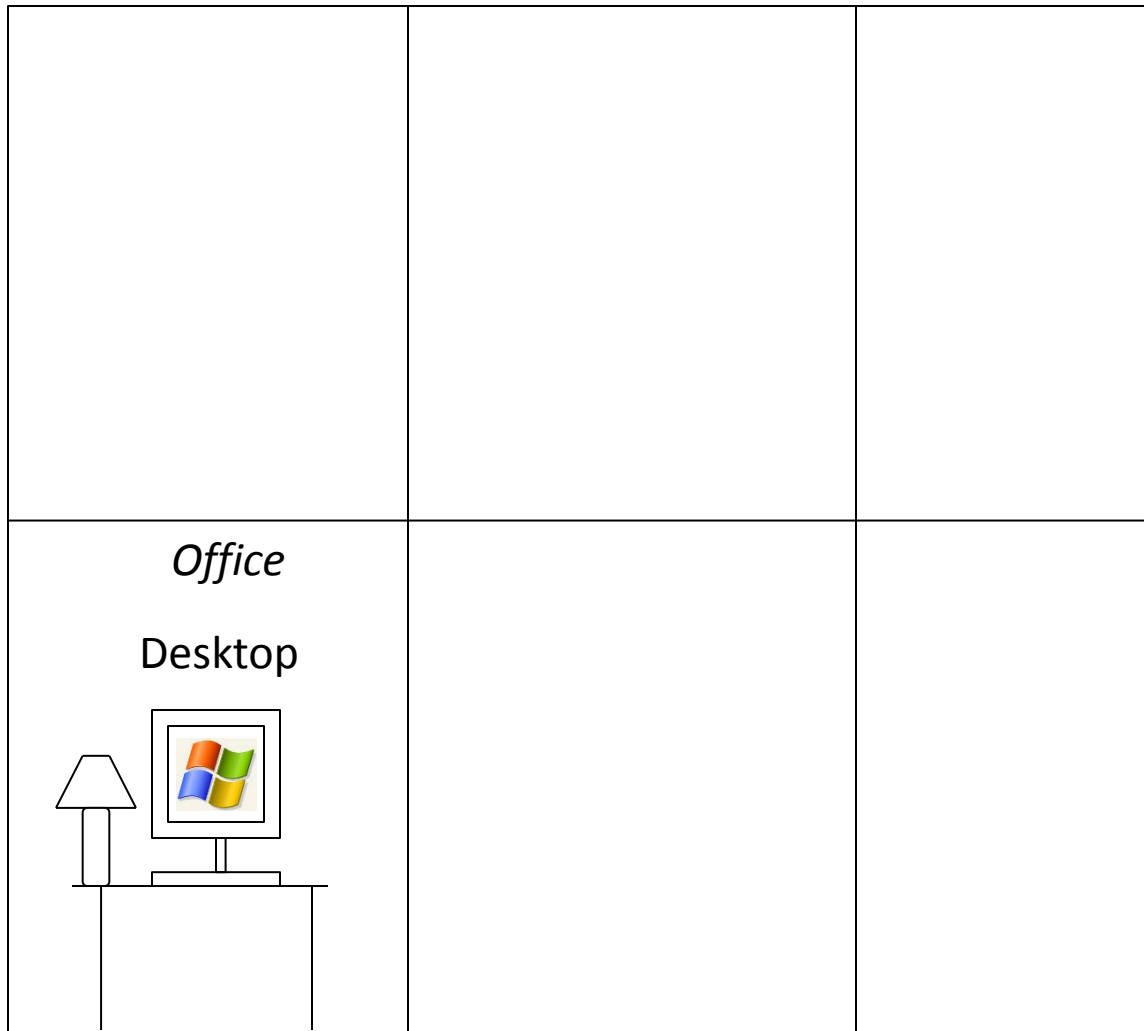
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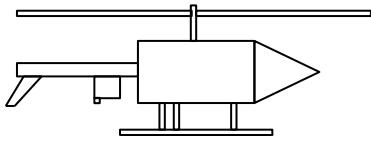


Control System Security

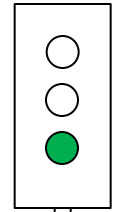
Sandy Frost

TA99-0100





TA99-0100



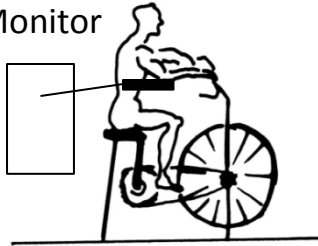
Camera

Motion
Detect.

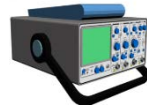
Badge
Reader

Gym

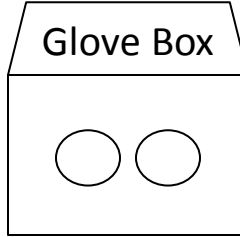
Monitor



R&D Lab



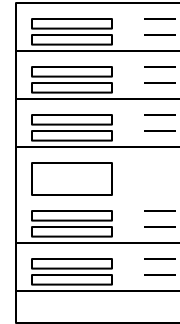
Glove Box



Rad
Monitor

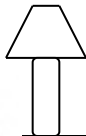


Server Room

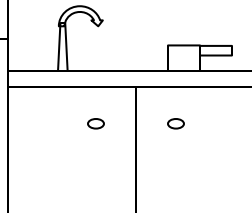
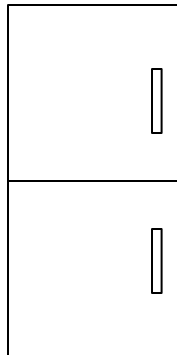


Office

Desktop

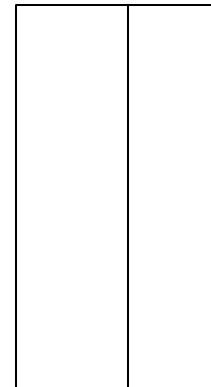


Kitchen



Fire
Alarm

Elevator



Gas

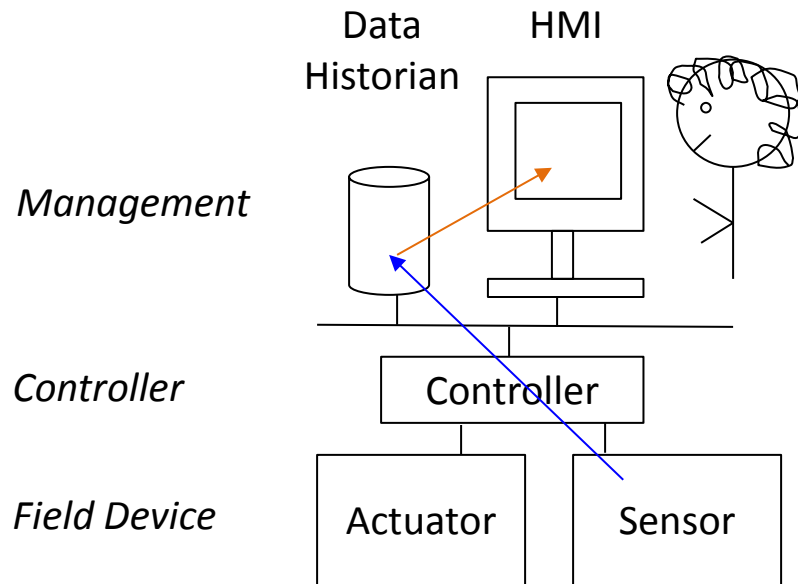
Waste Water

Water

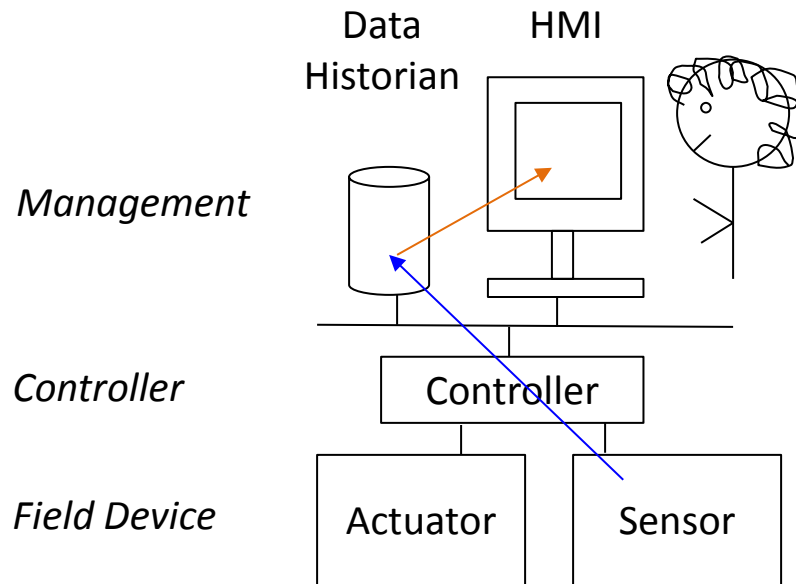
Cooling
Tower



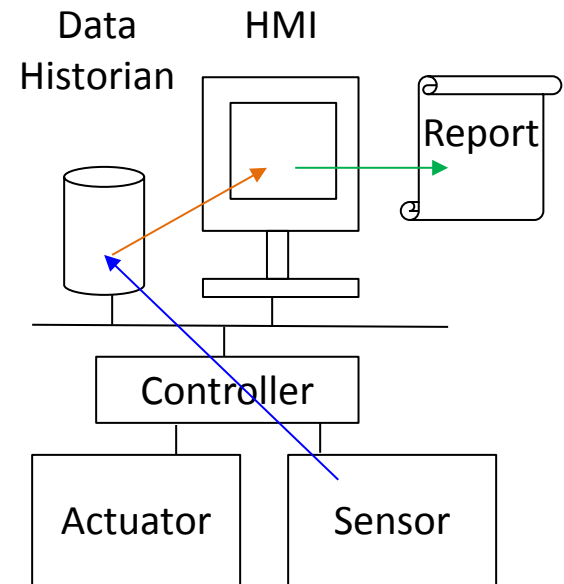
Control System



Control System

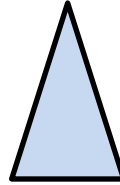


Data Acquisition System



LANL CONTROL SYSTEMS

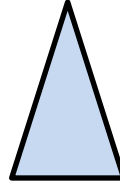
BAS, Environmental, Physical Security, R&D, Safety, Scientific Instrumentation, Utilities/Facilities



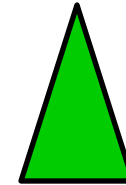
Building
Automation
System (BAS)

LANL CONTROL SYSTEMS

BAS, **Environmental**, Physical Security, R&D, Safety, Scientific Instrumentation, Utilities/Facilities



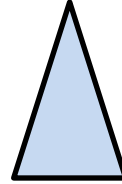
Building
Automation
System (BAS)



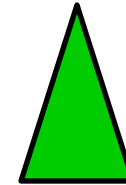
Environmental:
AIRNET, Meteorology,
NEWNET, Non-
radioactive Air
Emissions

LANL CONTROL SYSTEMS

BAS, Environmental, **Physical Security**, R&D, Safety, Scientific Instrumentation, Utilities/Facilities



Building
Automation
System (BAS)



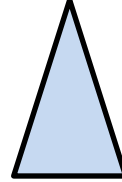
Environmental:
AIRNET, Meteorology,
NEWNET, Non-
radioactive Air
Emissions



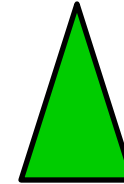
**Physical Security
Systems** (e.g. Badge
Readers, Cameras,
Motion Detectors,
IIDS, PIDAS)

LANL CONTROL SYSTEMS

BAS, Environmental, Physical Security, R&D, Safety, Scientific Instrumentation, Utilities/Facilities



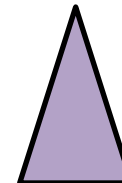
Building
Automation
System (BAS)



Environmental:
AIRNET, Meteorology,
NEWNET, Non-
radioactive Air
Emissions



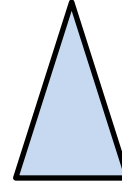
Physical Security
Systems (e.g. Badge
Readers, Cameras,
Motion Detectors,
IIDS, PIDAS)



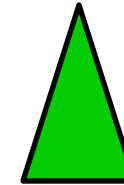
R&D (Programmatic):
B, DARHT, LANSCE, TA-55

LANL CONTROL SYSTEMS

BAS, Environmental, Physical Security, R&D, **Safety**, Scientific Instrumentation, Utilities/Facilities



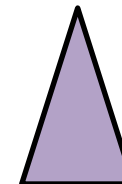
Building
Automation
System (BAS)



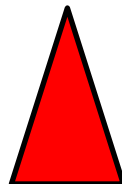
Environmental:
AIRNET, Meteorology,
NEWNET, Non-
radioactive Air
Emissions



Physical Security
Systems (e.g. Badge
Readers, Cameras,
Motion Detectors,
IIDS, PIDAS)



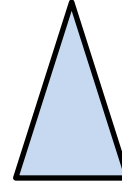
R&D (Programmatic):
B, DARHT, LANSCE, TA-55



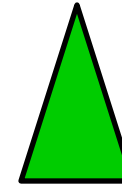
Safety:
Airborne Radioactivity,
External Radiation Fields,
Occupational Medicine, Material At Risk,
Safety Instrumented Systems, UAV

LANL CONTROL SYSTEMS

BAS, Environmental, Physical Security, R&D, Safety, **Scientific Instrumentation**, Utilities/Facilities



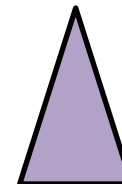
Building
Automation
System (BAS)



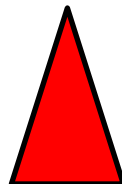
Environmental:
AIRNET, Meteorology,
NEWNET, Non-
radioactive Air
Emissions



Physical Security
Systems (e.g. Badge
Readers, Cameras,
Motion Detectors,
IIDS, PIDAS)



R&D (Programmatic):
B, DARHT, LANSCE, TA-55



Safety:
Airborne Radioactivity,
External Radiation Fields,
Occupational Medicine, Material At Risk,
Safety Instrumented Systems, UAV



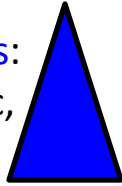
Scientific Instrumentation
Data Recorder,
Oscilloscope, UPS

LANL CONTROL SYSTEMS

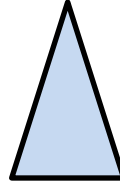
BAS, Environmental, Physical Security, R&D, Safety, Scientific Instrumentation, [Utilities/Facilities](#)

[Utilities & Inst. Facilities:](#)

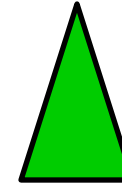
Cooling Towers, Electric, Elevators, Gas, Metering, SERF, Steam, Traffic Lights, Waste Water, Water Distribution



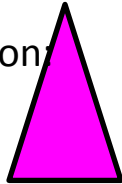
Building Automation System (BAS)



Environmental: AIRNET, Meteorology, NEWNET, Non-radioactive Air Emissions



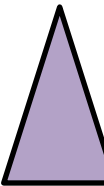
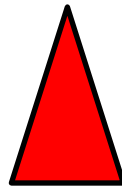
Scientific Instrumentation: Data Recorder, Oscilloscope, UPS



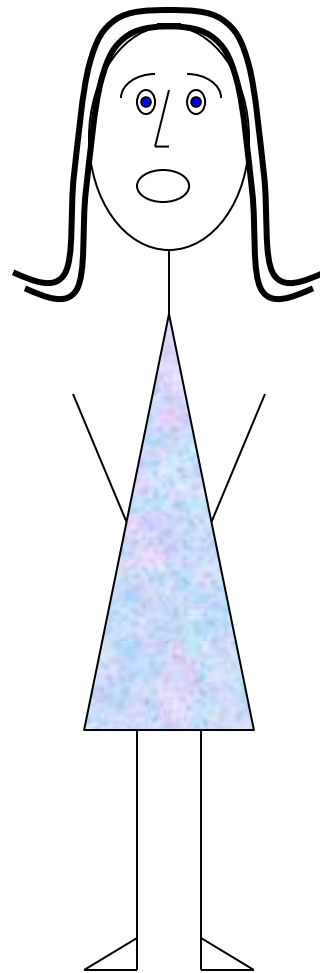
Physical Security Systems (e.g. Badge Readers, Cameras, Motion Detectors, IIDS, PIDAS)



Safety: Airborne Radioactivity, External Radiation Fields, Occupational Medicine, Material At Risk, Safety Instrumented Systems, UAV

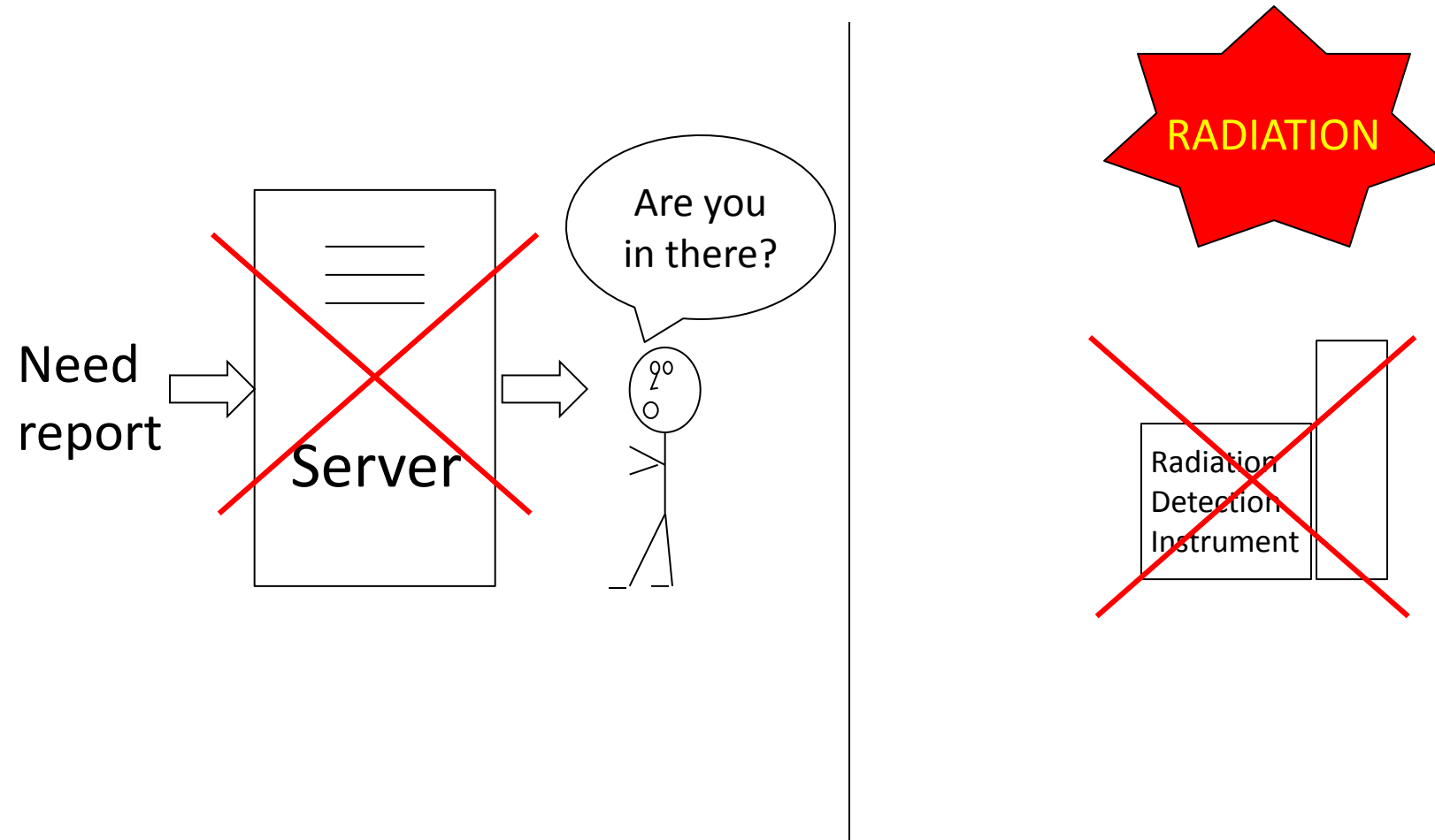


R&D (Programmatic): B, DARHT, LANSCE, TA-55



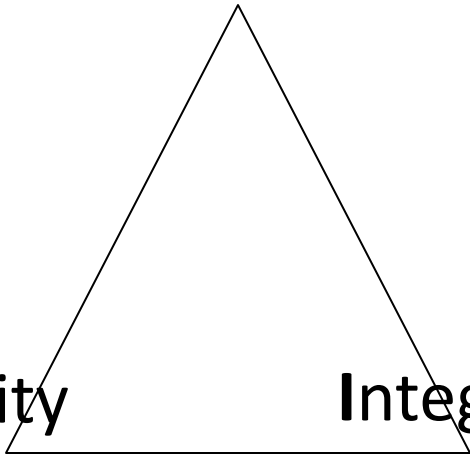
Are they different
than IT?

Risk Management



Security Objectives

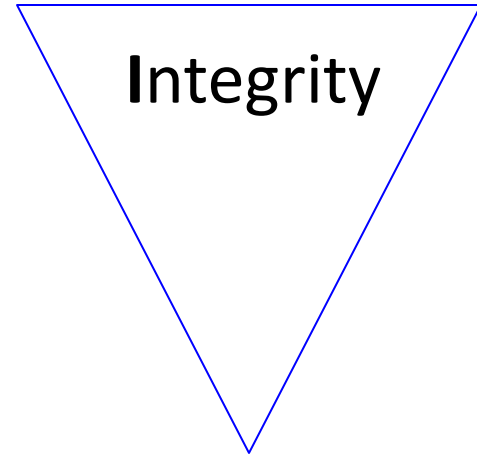
Confidentiality



Availability

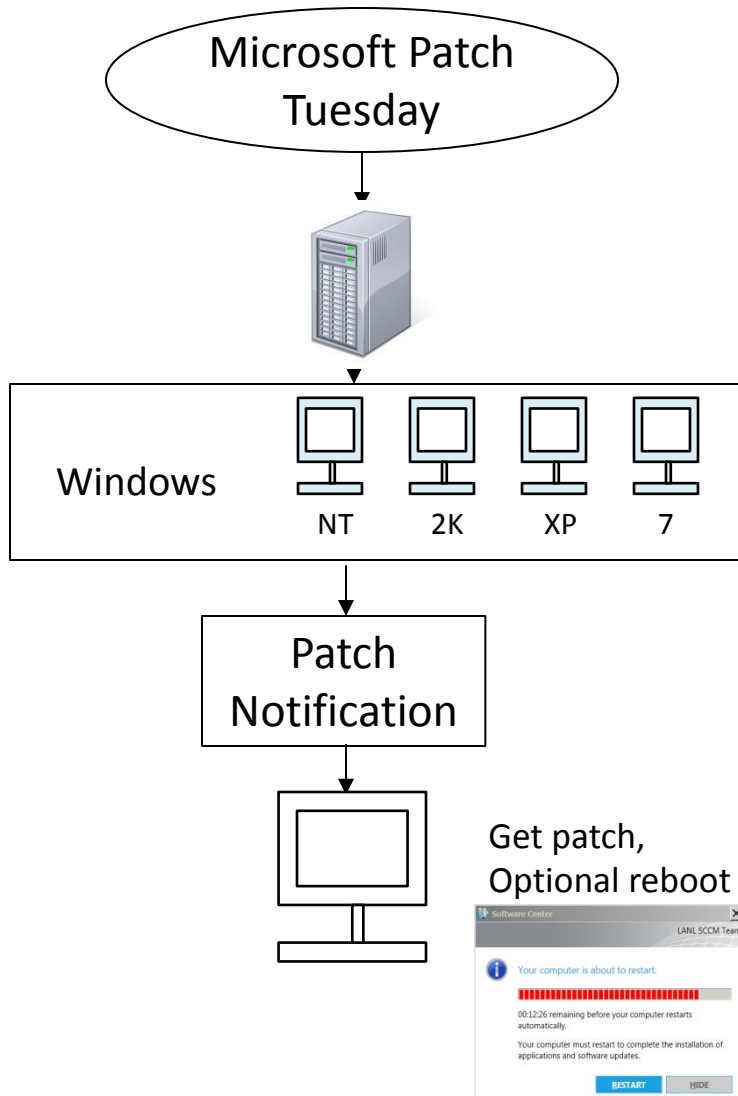
Integrity

AVAILABILITY!!!

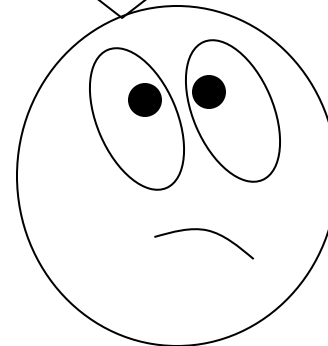


Confidentiality

Change Management



- Individual vendor sites
- Little test equipment
- Few dedicated teams to test patches
- Have to schedule update, especially if reboot reqd
- Change firmware?
- ...

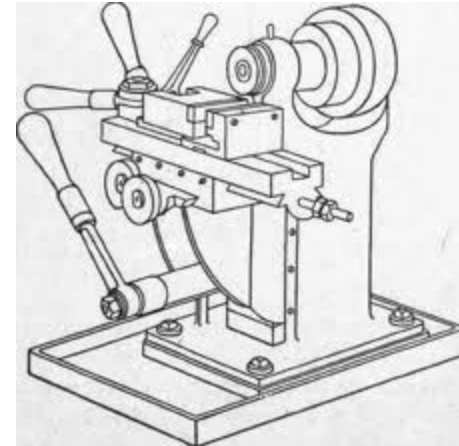


Resource Constraints



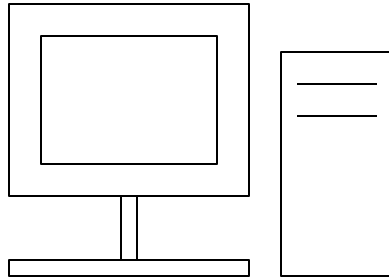
Processor: Intel(R) Xeon(R) CPU E5520 @ 2.27GHz 2.27 GHz
Installed memory (RAM): 4.00 GB
System type: 64-bit Operating System

Volume	Capacity	Free Space	% Free
OSDisk (C:)	232.34 GB	168.68 GB	73 %
System	500 MB	454 MB	91 %



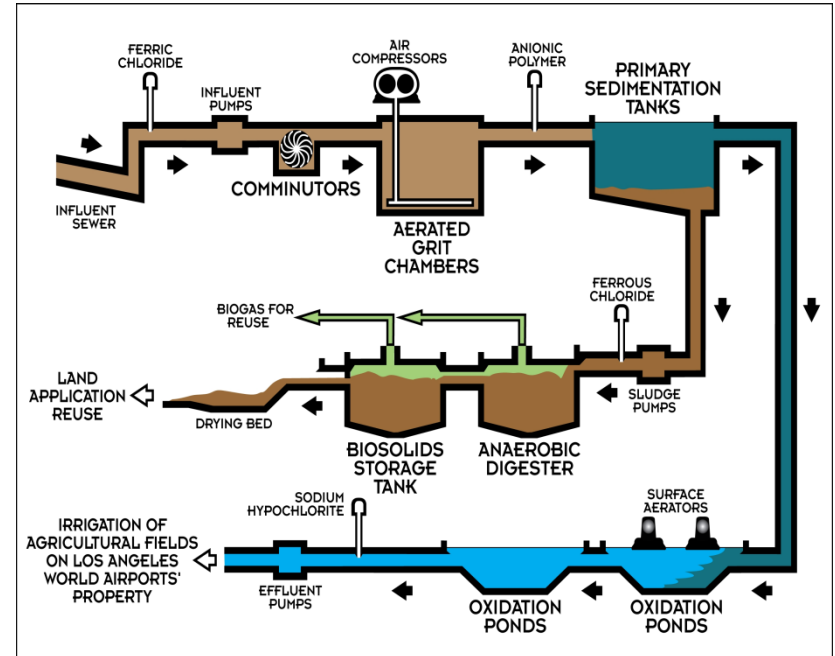
OS: DOS 3.0
Processor: ?
RAM: ? (bubble memory)
Disk capacity: ?

Component Life Cycle

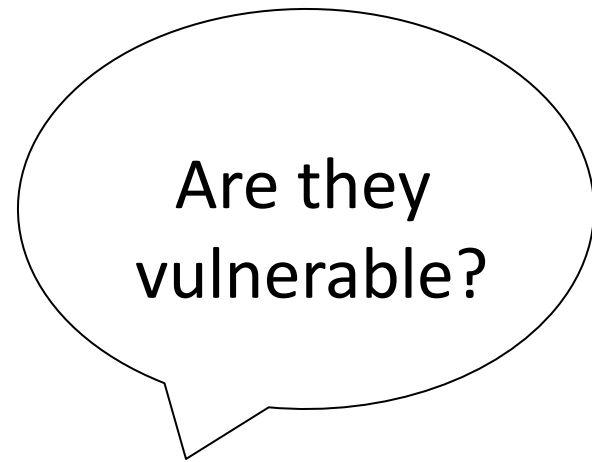
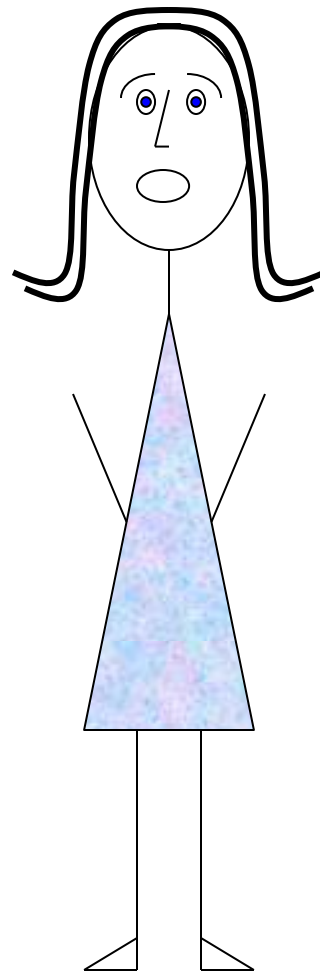


Tech refresh is 3-5 years

Wastewater Treatment



What “tech refresh”?



Control System Timeline

	1997
Ethernet ports	Began to ship
Control Systems	Proprietary
Internal Web, FTP, Telnet servers	No
Encryption	No
Authentication (RADIUS, LDAP, AD)	No
Forensics (syslog)	No
Management (SNMP)	No
Control System Protocols	RS232 > 80% IP-based > Starting to see
HMI	Mainly UNIX based
Network segmentation	No
IDS/IPS	No

Control System Timeline

	1997	2007
Ethernet ports	Began to ship	All
Control Systems	Proprietary	Hybrid – IT switches, IT computer workstations and servers, IT OS (Microsoft), IP protocols, but Controllers and I/O are proprietary
Internal Web, FTP, Telnet servers	No	Some Can't turn off
Encryption	No	No
Authentication (RADIUS, LDAP, AD)	No	Some local auth.
Forensics (syslog)	No	No
Management (SNMP)	No	No
Control System Protocols	RS232 > 80% IP-based > Starting to see	RS232 – limited basis IP-based > 70%
HMI	Mainly UNIX based	UNIX ported to Windows
Network segmentation	No	Separate networks or VLANs
IDS/IPS	No	No

Control System Timeline

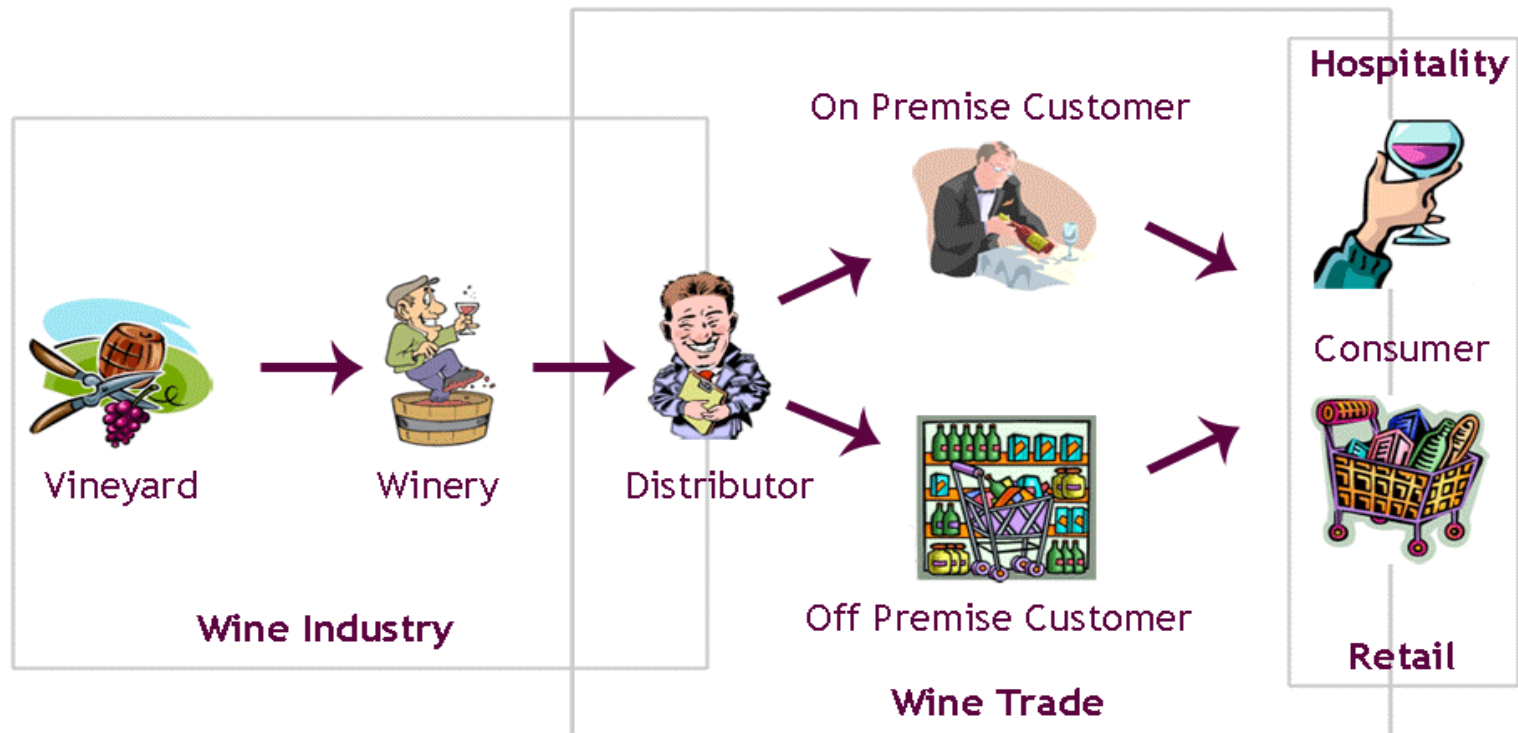
	1997	2007	2012
Ethernet ports	Began to ship	All	Same
Control Systems	Proprietary	Hybrid – IT switches, IT computer workstations and servers, IT OS (Microsoft), IP protocols, but Controllers and I/O are proprietary	Same Options: FW in front of Controller, Whitelisting
Internal Web, FTP, Telnet servers	No	Some Can't turn off	Same Same
Encryption	No	No	Some or certificate based comm.
Authentication (RADIUS, LDAP, AD)	No	Some local auth.	R&D
Forensics (syslog)	No	No	R&D
Management (SNMP)	No	No	R&D
Control System Protocols	RS232 > 80% IP-based > Starting to see	RS232 – limited basis IP-based > 70%	Same IP-based > 80%
HMI	Mainly UNIX based	UNIX ported to Windows	Mainly Windows
Network segmentation	No	Separate networks or VLANs	Same Most behind one FW
IDS/IPS	No	No	Very few

Control System Timeline

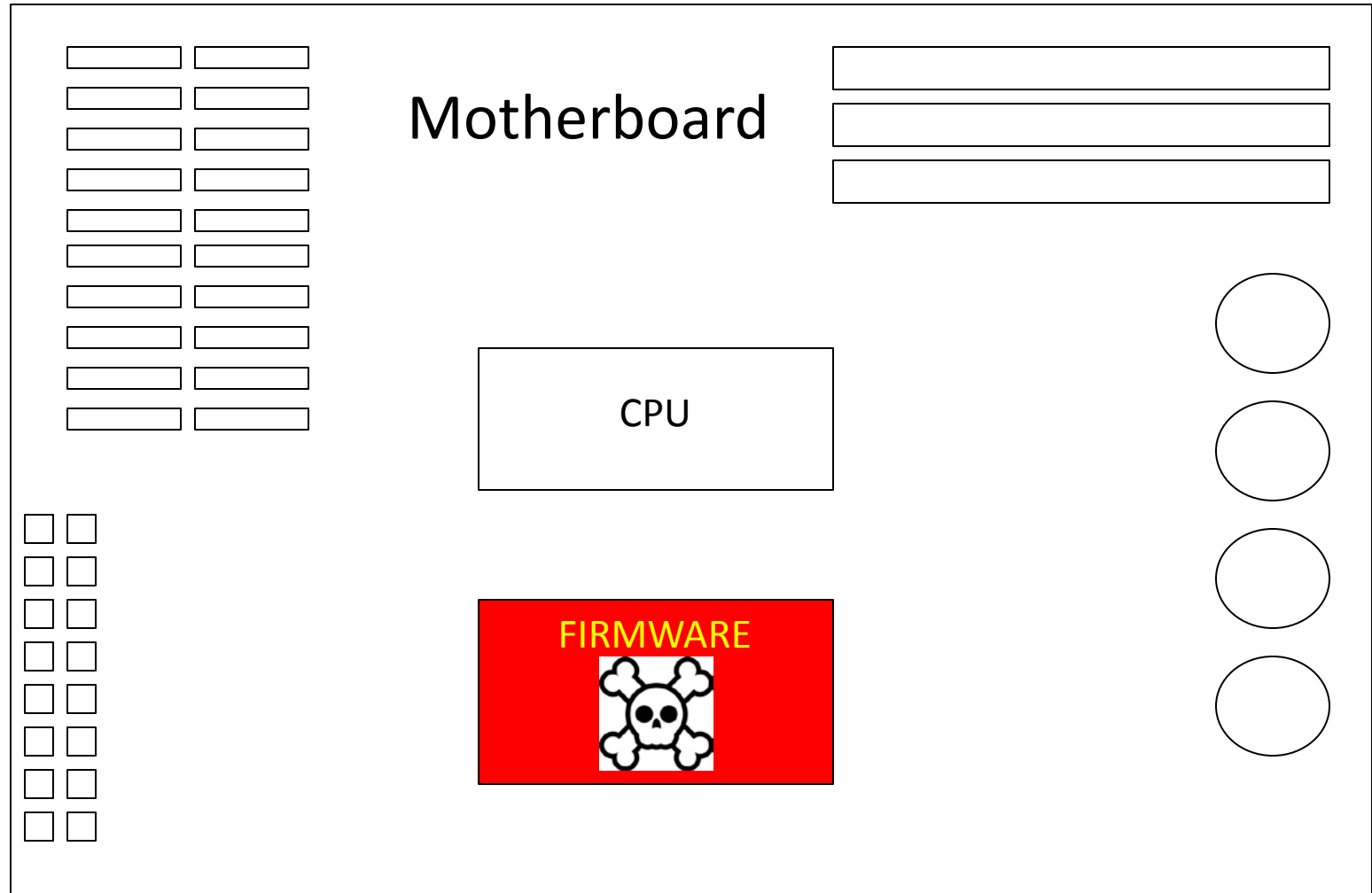
	1997	2007	2012	2017
Ethernet ports	Began to ship	All	Same	Same
Control Systems	Proprietary	Hybrid – IT switches, IT computer workstations and servers, IT OS (Microsoft), IP protocols, but Controllers and I/O are proprietary	Same Options: FW in front of Controller, Whitelisting	Same Security by default
Internal Web, FTP, Telnet servers	No	Some Can't turn off	Same Same	Fully supported Can turn on/off
Encryption	No	No	Some or certificate based comm.	Yes
Authentication (RADIUS, LDAP, AD)	No	Some local auth.	R&D	Yes
Forensics (syslog)	No	No	R&D	Yes
Management (SNMP)	No	No	R&D	Yes
Control System Protocols	RS232 > 80% IP-based > Starting to see	RS232 – limited basis IP-based > 70%	Same IP-based > 80%	Same IP-based > 90%
HMI	Mainly UNIX based	UNIX ported to Windows	Mainly Windows	Pushback from Microsoft vults to UNIX
Network segmentation	No	Separate networks or VLANs	Same Most behind one FW	same Most behind layered FW system with multiple DMZs
IDS/IPS	No	No	Very few	Yes

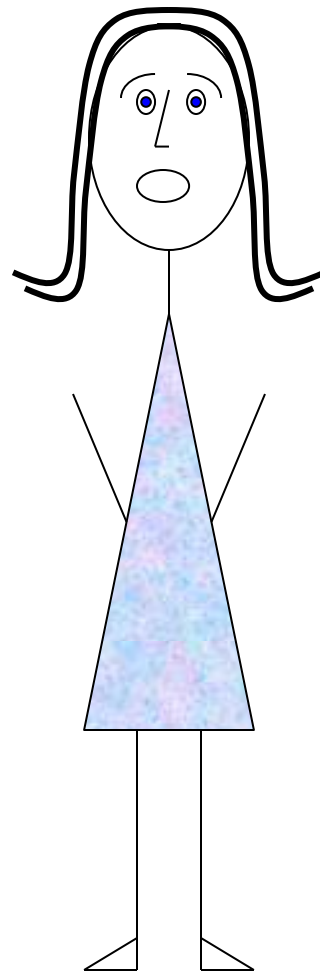
Supply Chain

- A system of organizations, people technology, activities, information and resources involved in moving a product of service from supplier to customer. Wikipedia



Are you already owned?





What are the threats?

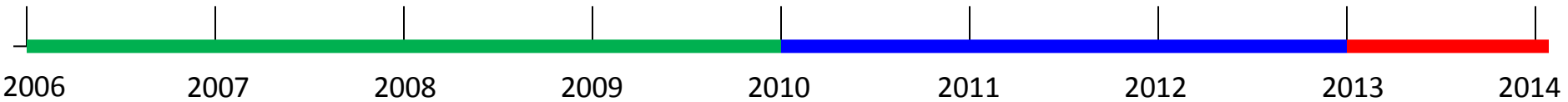
Timeline

Pre-Stuxnet

Estonia

Georgia

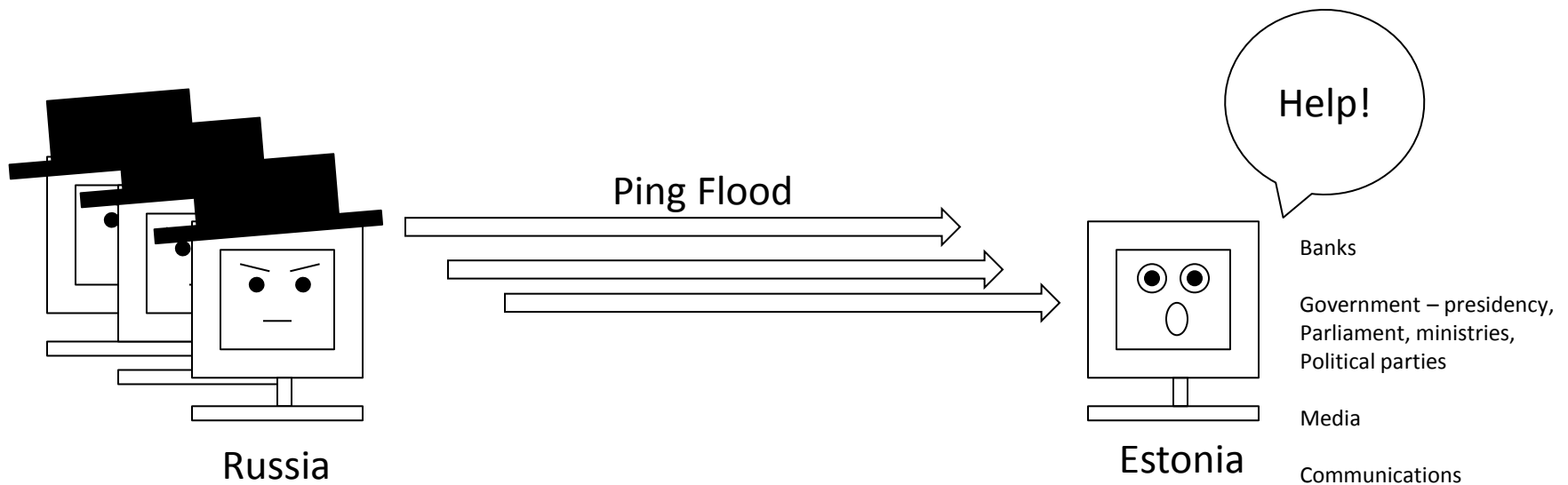
S. Korea



Estonia – April 2007



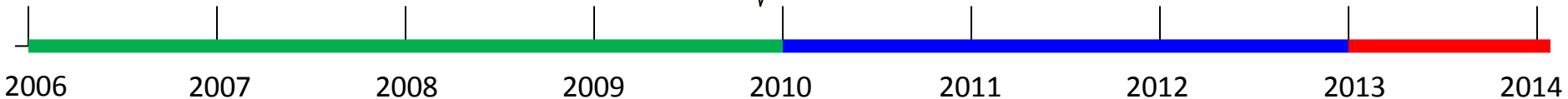
Relocated Bronze Soldier of Tallinn



Timeline

Pre-Stuxnet

- 4 zero days
- 2 stolen dig. Certificates
- Disables AV
- USB jumps “air gap”
- First PLC rootkit
- Took 10 people 6 months to create
- 67% occurrences in Iran



Timeline

Pre-Stuxnet

Stuxnet
Awareness

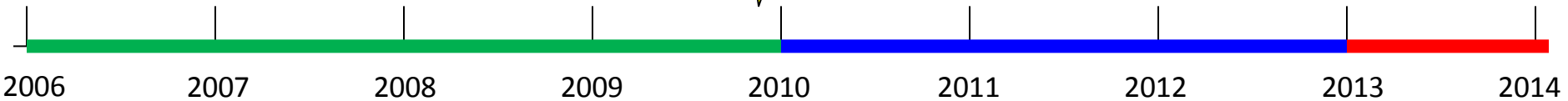
Financial Services

Telvent

Shamoon,
RasGas

DuQu,
Wiper

Flame,
Gauss



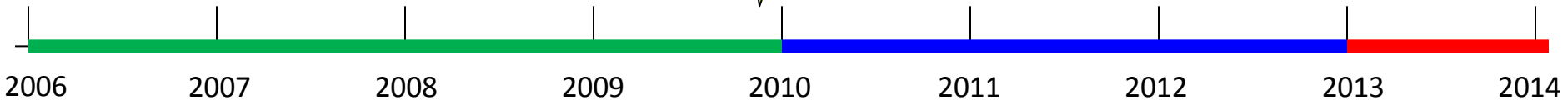
Timeline

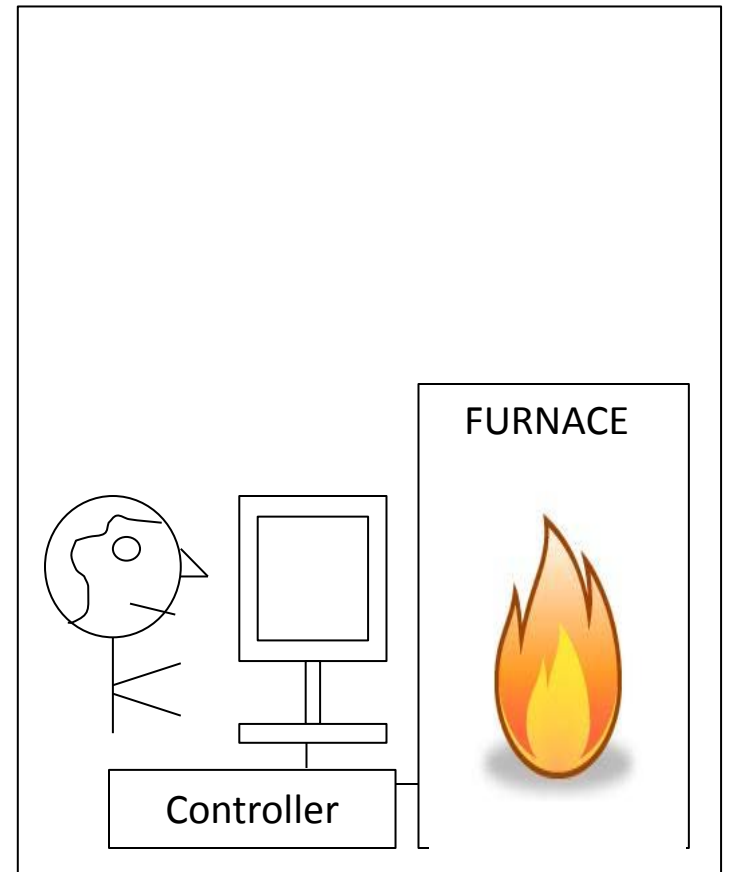
Pre-Stuxnet

Stuxnet
Awareness

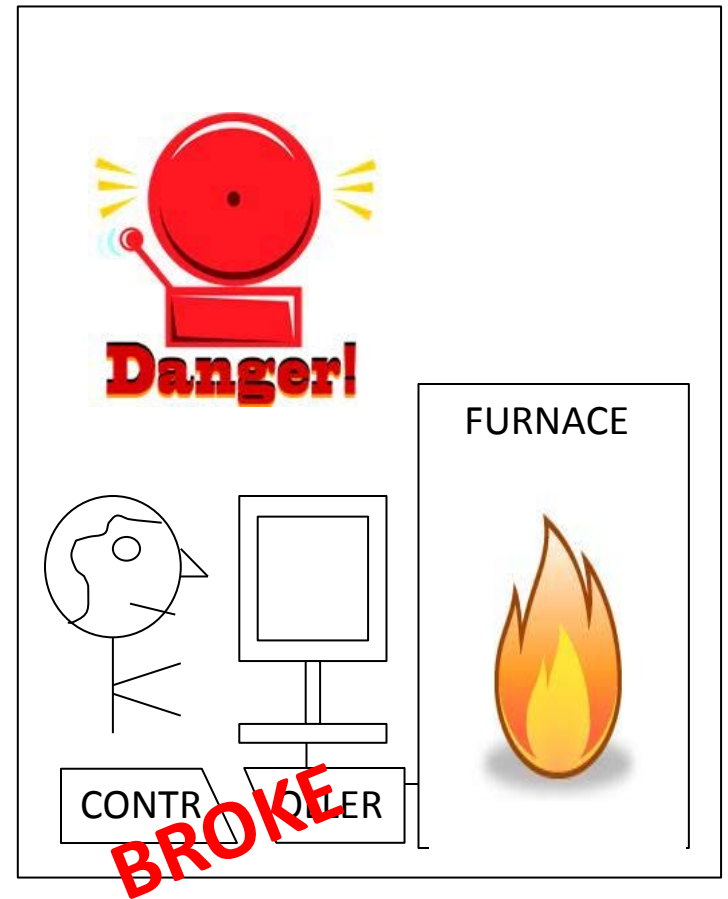
Call to
Action

President's
Executive Order:
Critical
Infrastructure
Cyber Security
Framework

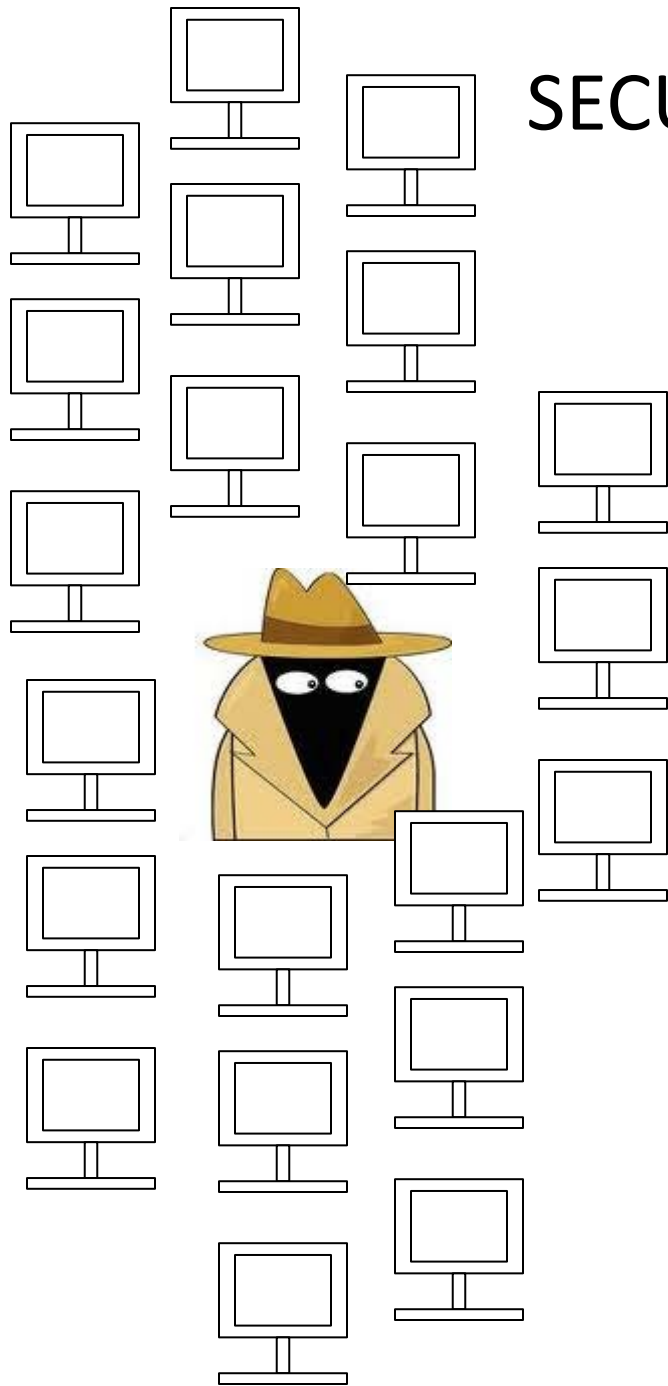




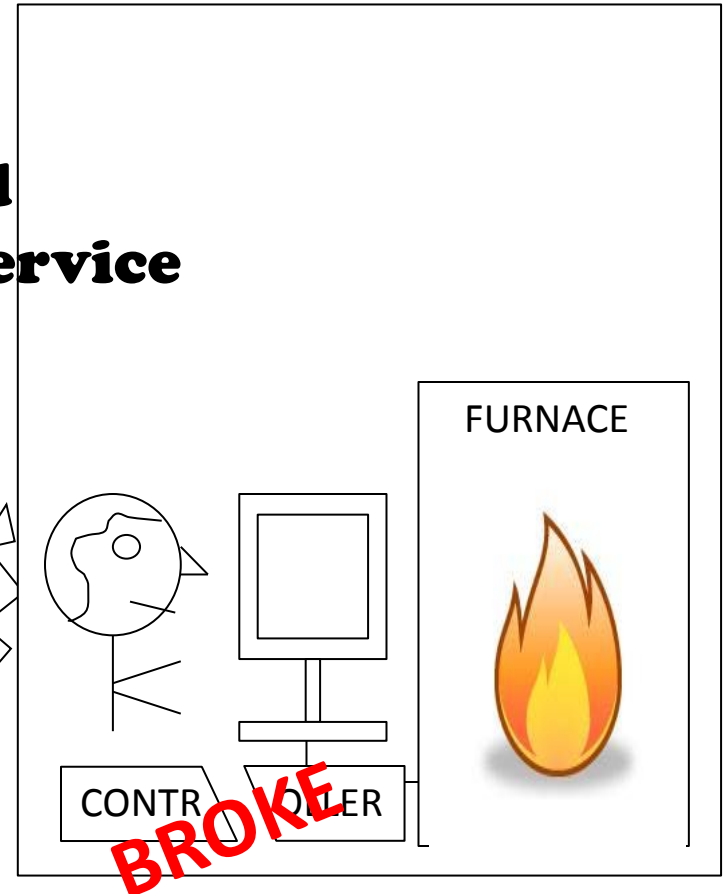
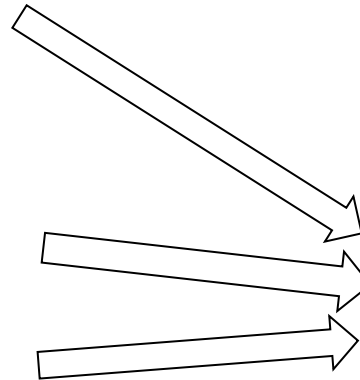
SAFETY EVENT!!!

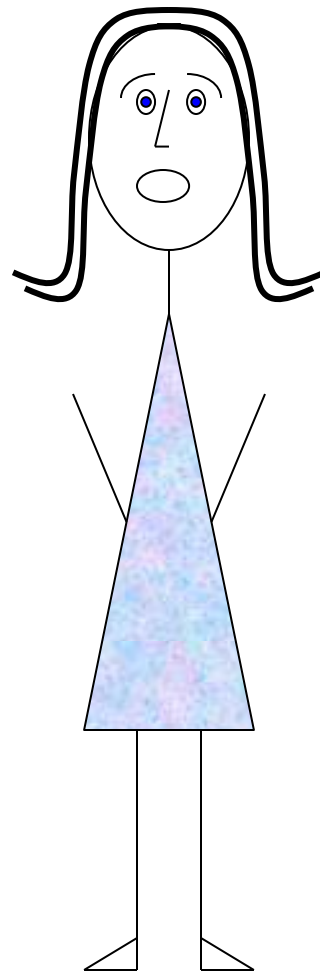


SECURITY EVENT → SAFETY EVENT!!!



**Distributed
Denial of Service**





Control Systems
treated like IT

~~HCS Production~~

NA-00-LA

- Inventory
- Security State
- Roadmap

2010

2011

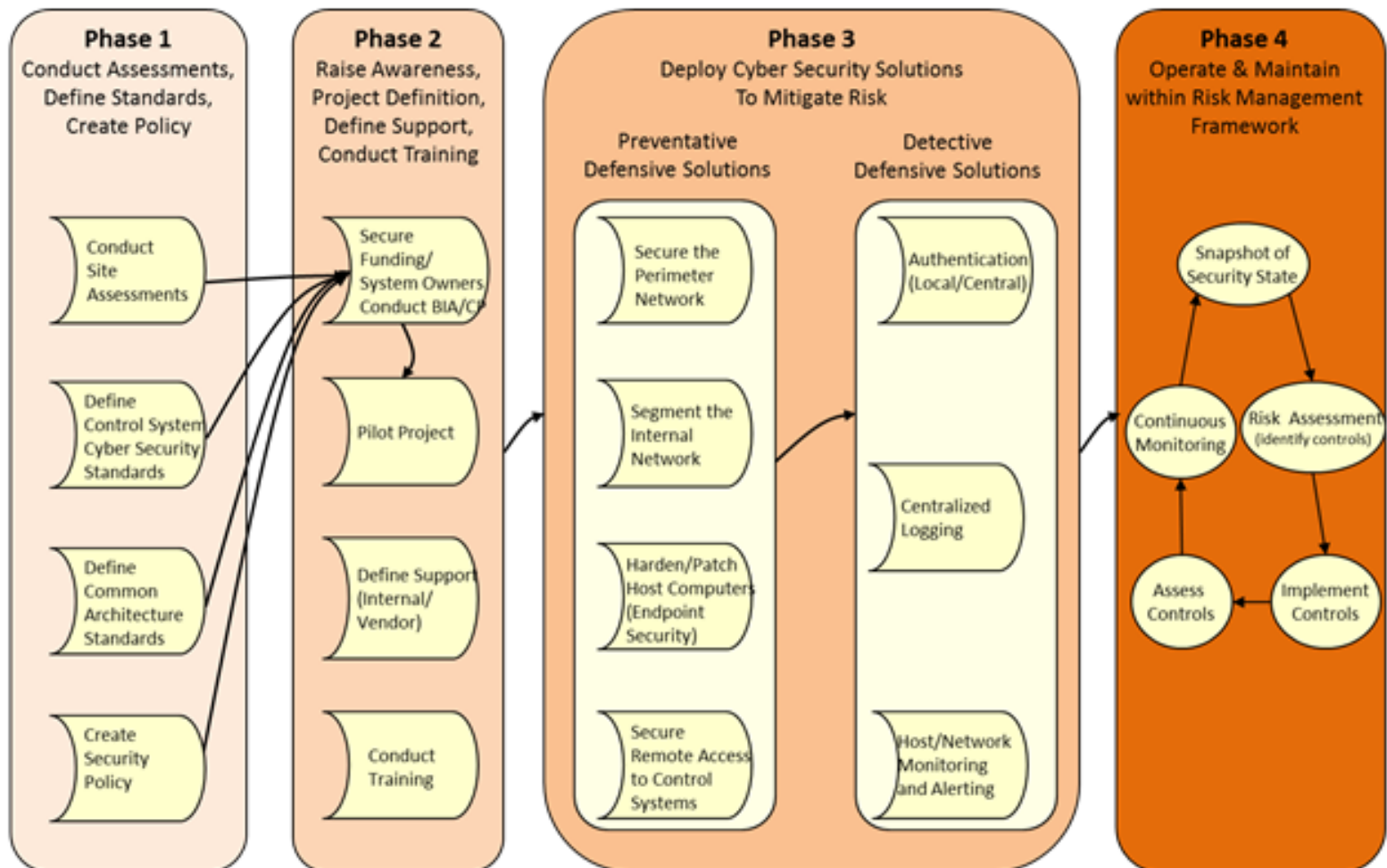
2012

2013

2014

Roadmap to Secure Control Systems

- Strategic, Tactical, Operational



Control Systems
treated like IT

~~HCS Production~~

NA-00-LA

- Inventory
- Security State
- Roadmap

LANL RMF SP

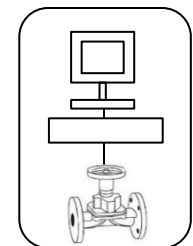
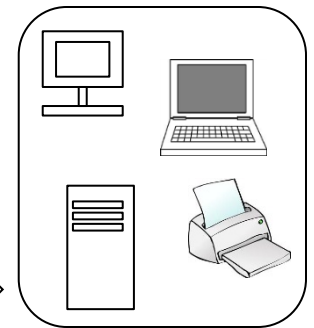
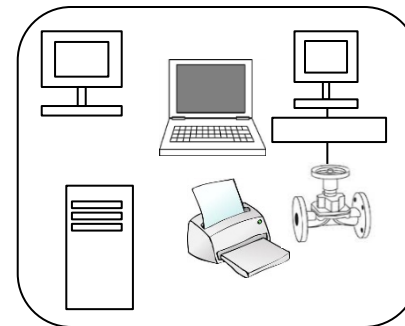
2010

2011

2012

2013

2014

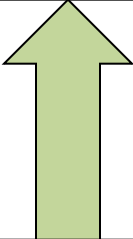


DRAFT

Controls

Enterprise LEVEL 1

Continuous
Monitoring



Common **Control** Opportunities

Infrastructure and Services LEVEL 2

LEVEL 4

LEVEL 4

LEVEL 4

LEVEL 4



Mission and Business Impact Controls Common to Mission

LEVEL 3

LEVEL 3

LEVEL 3

LEVEL 3

LEVEL 3

LEVEL 3

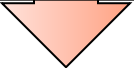
LEVEL 4

LEVEL 4

LEVEL 4

LEVEL 4

LEVEL 4



LEVEL 3
Control Systems

LEVEL 3
Production

LEVEL 3

LEVEL 4
<Type A>

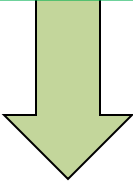
LEVEL 4
<Type B>

LEVEL 4
<Type C>

LEVEL 4
<Type D>

LEVEL 4

LEVEL 4



Control Systems
treated like IT

~~HCS Production~~

NA-00-LA

- Inventory
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LANL RMF SP

June 2014
BIA/CP Critical
Facilities

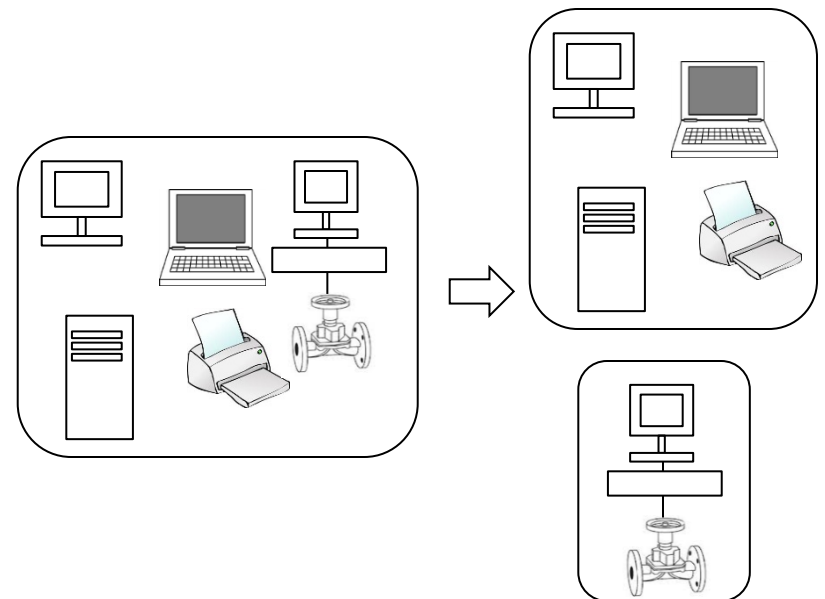
2010

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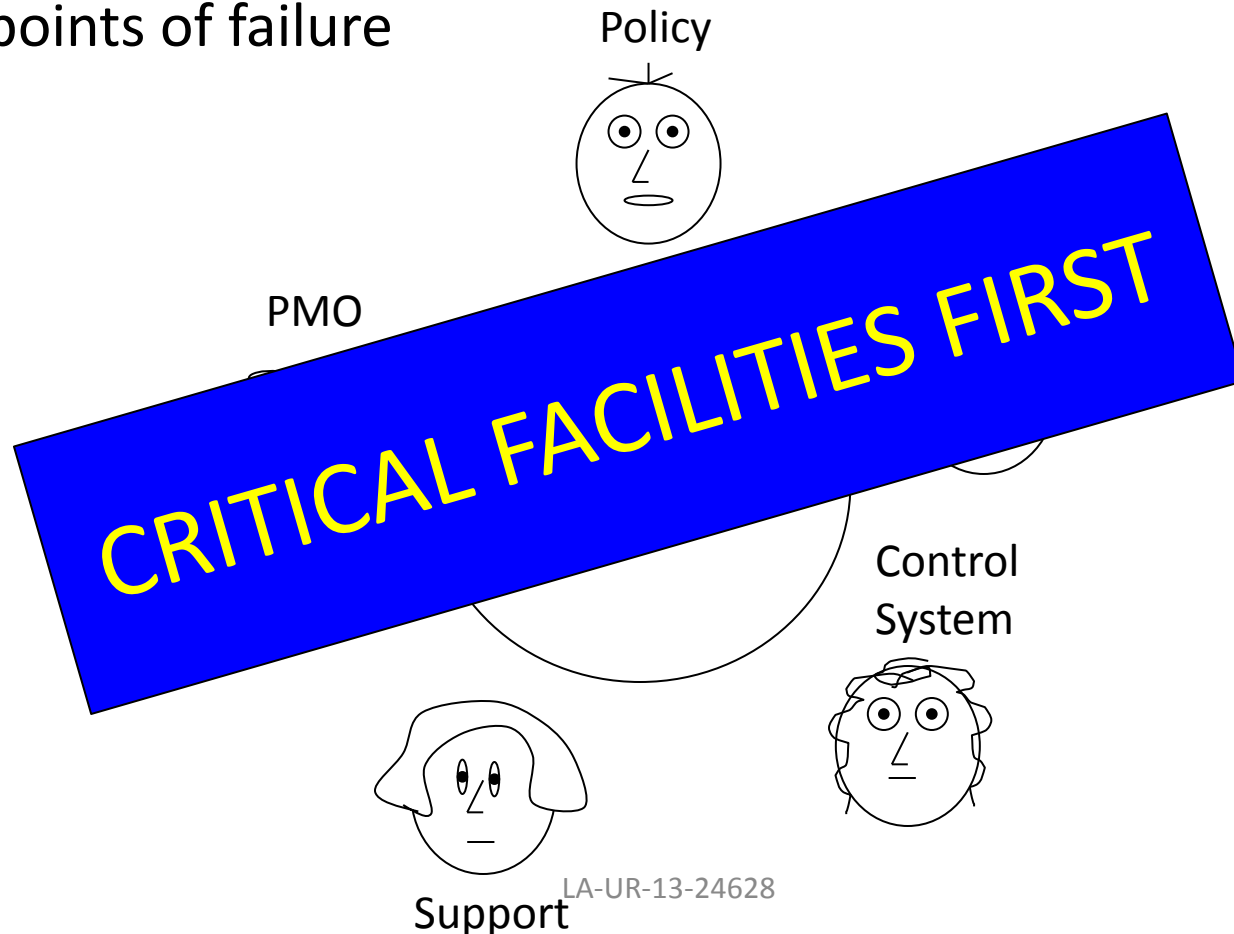


Business Impact Analysis

- Prioritize systems & mitigations
- Dependencies
- POCs (LANL, vendors)
- MAD
- Single points of failure

Contingency Planning

- Guide during a crisis
- Activation, notification, recovery

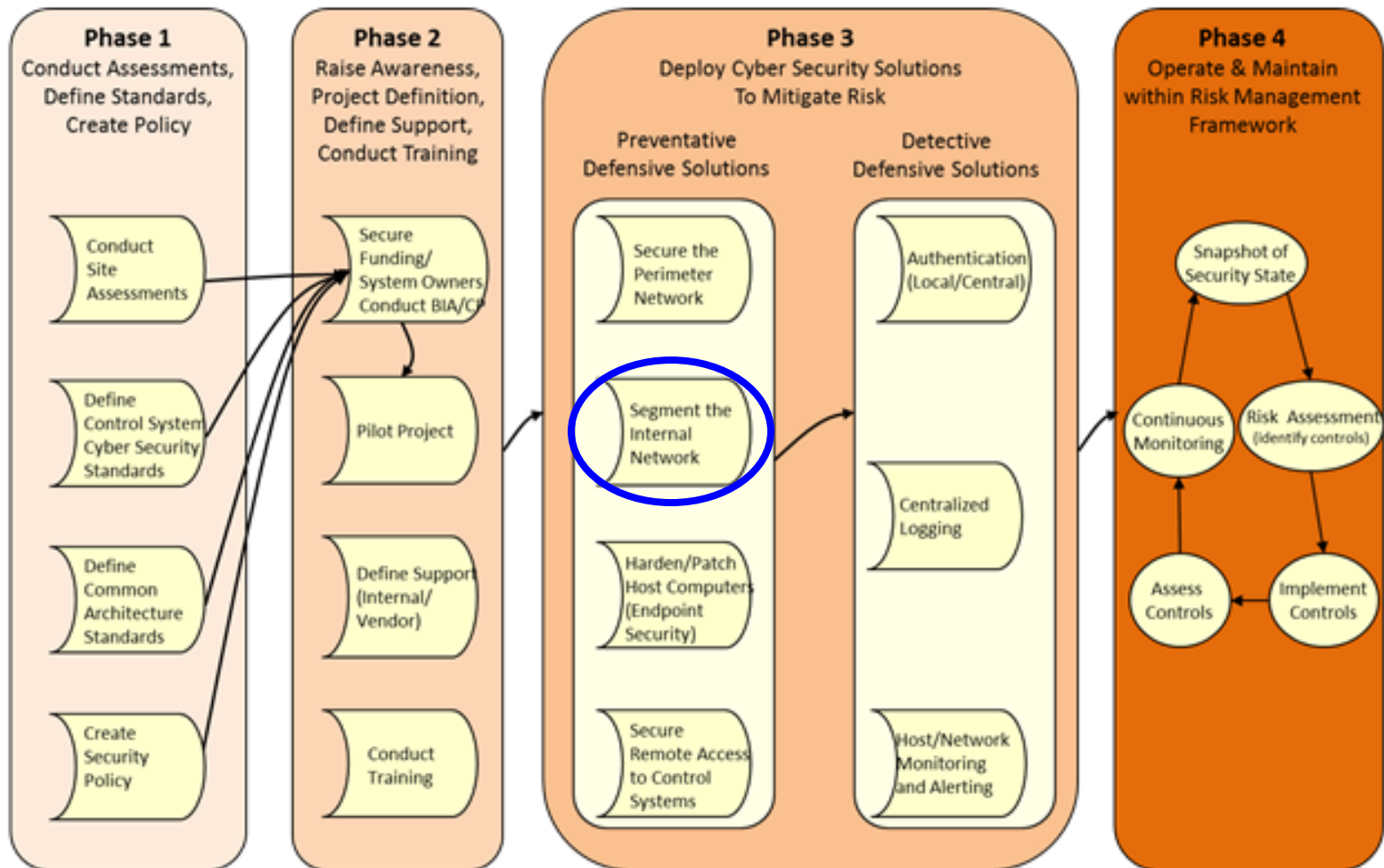


NEXT STEPS

The plan to secure Control Systems will happen at the following levels:

- Strategic – high level, long range plan (Figure 2, Phases 1-2)
 - The new Security Plan enclosures for Control Systems will be based on the Risk Management Framework, which will separate out classes with common ownership, characteristic risks and mitigations.
 - Subject Matter Experts (SME) will conduct a Risk Assessment and Business Impact Analysis (BIA) to determine the risks and mitigations unique to their environment.
 - The BIA will focus on first identifying mission critical resources (e.g. hardware, software, network, people, environmental components), their dependencies and then restoration of critical services after an interruption or outage. It will be vetted with group discussions and scenario walkthroughs. This living document will be tracked with Continuous Monitoring (e.g. Validation and Verification, annual security assessments, host security software, table tops).
 - Once HCS Production is re-accredited, remaining Unclassified and Classified Security Plans will follow the same process.
- Tactical – mid-term focus on events that will affect an organization's functional plans (Figure 2, Phases 2-3)
 - Each BIA will be updated on an annual basis, which will revisit risks and mitigations. This may require tasks such as network redesign, installation of new equipment and controls, support model change (e.g. multi-person team with both Control System and IT expertise, Service Level Agreement) and incident tracking (e.g. utility and network outages, flaws in processes [e.g. change management] or training).
- Operational – near-term focus on events that affect an organization's day-to-day operations to accomplish the mission (Figure 2, Phase 4)
 - Daily operation and maintenance are performed and continuously evaluated against existing and potential controls to enhance the system's security posture.
 - New security education classes will better enable integration between IT and Operational Technology (OT) personnel in terms of roles, responsibilities and secure behaviors.

Roadmap to Secure Control Systems





Why a Control Systems Enclave?

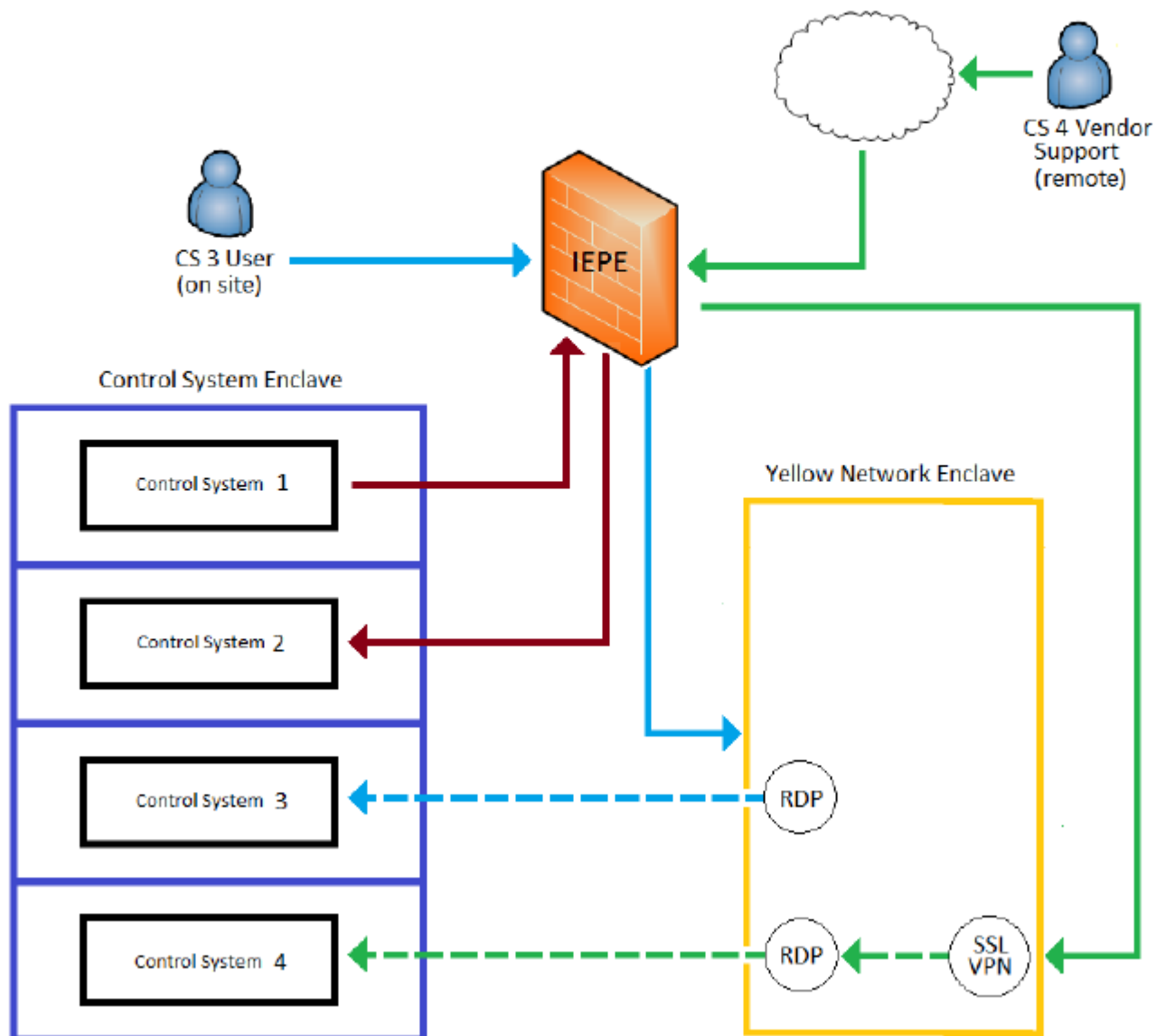
- Protect against lateral movement
- Some systems are sensitive to scanning/patching
- Centralized controlled access to system



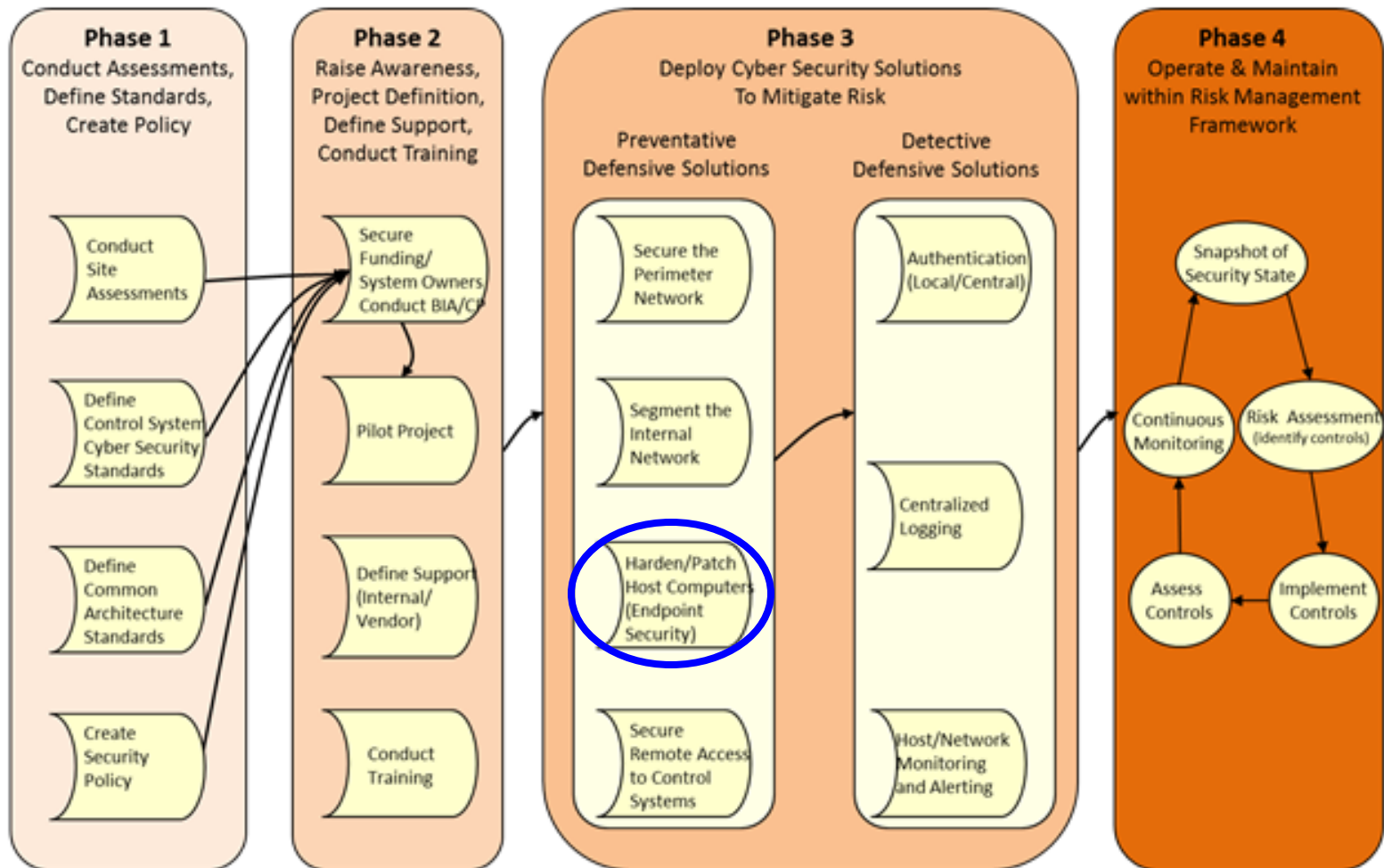
Proposed Control Systems Enclave

- One Enclave with high fences
- A “system” will be on one subnet
 - Subnet can span campus
- IP address will need to be changed
 - Unless the system is completely isolated

Control Systems (CS) Enclave



Roadmap to Secure Control Systems



IT Security Suite

**Host
Vuln/Config
Assessment**

RAS

Forensics

Encase/Mir

**Anti-virus/
Anti-malware**

SEP

**System
Hardening**

STOx

**Config
Mgmt**

SCCM

Inventory

SCCM/CS OU

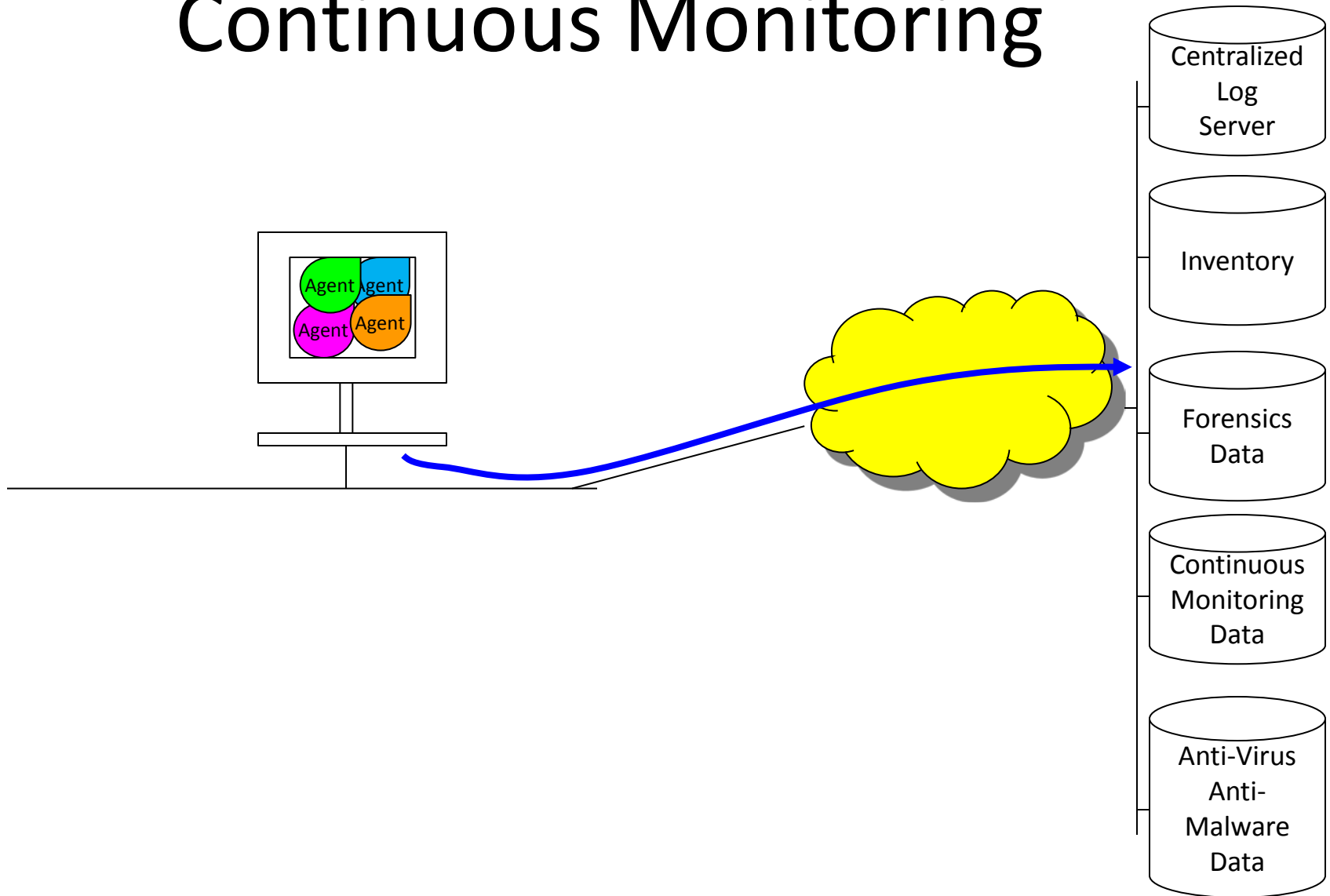
Scanning

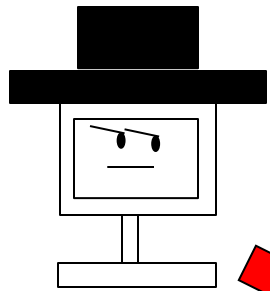
CPAT

Logging

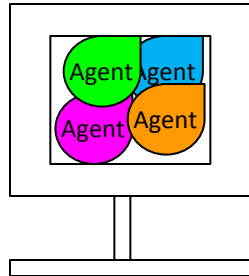
Snare

Continuous Monitoring





Continuous Monitoring



CSIRT/NOC/DCS

Centralized
Log
Server

Inventory

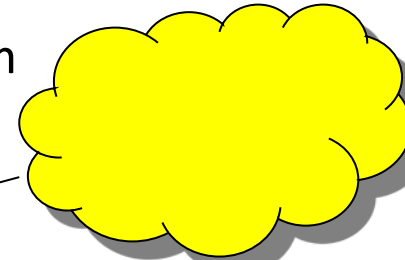
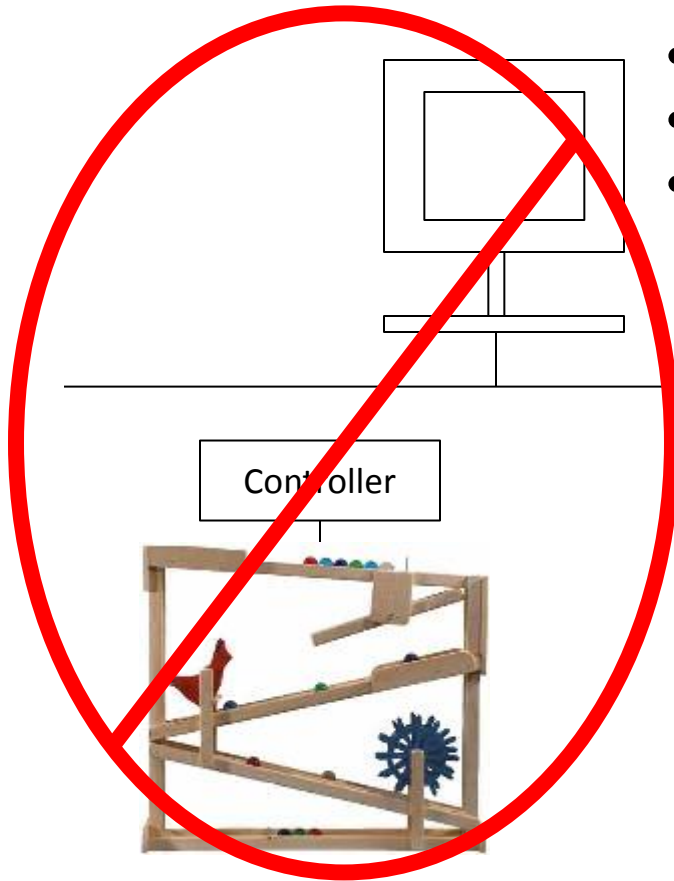
Forensics
Data

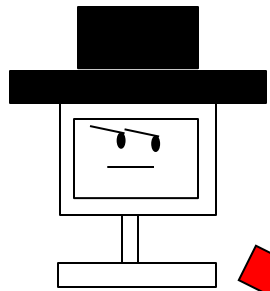
Continuous
Monitoring
Data

Anti-Virus
Anti-
Malware
Data

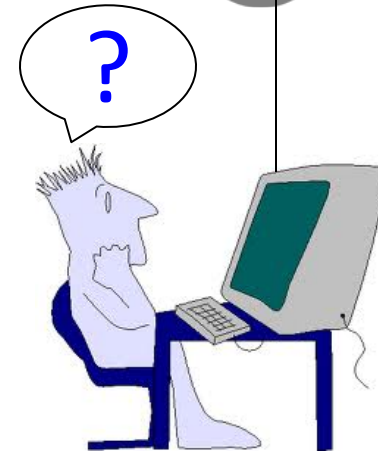
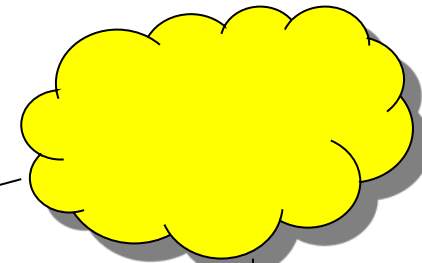
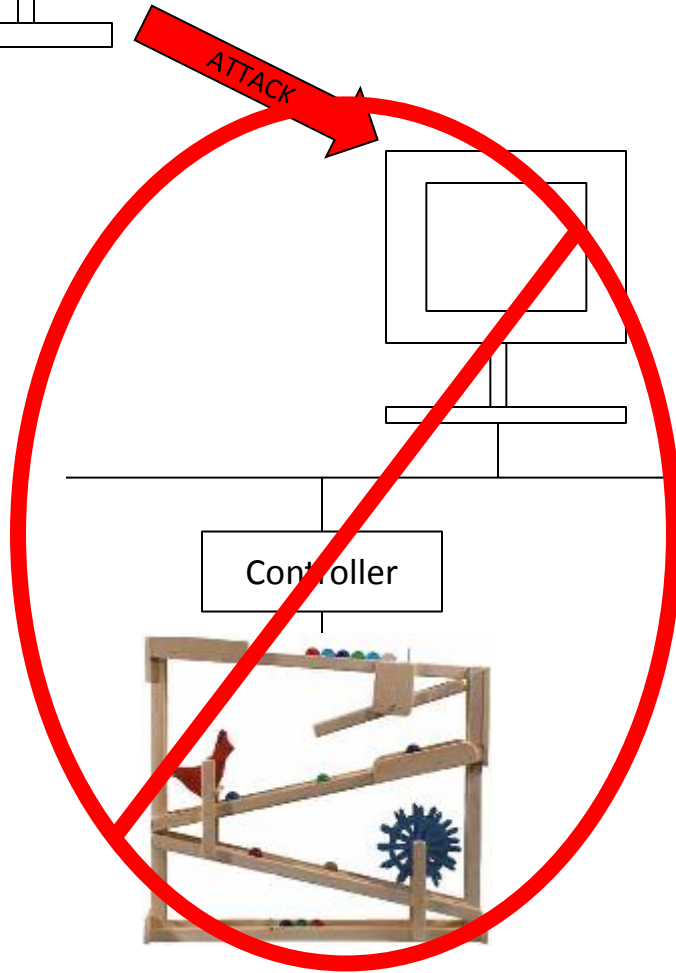
Continuous Monitoring

- “If its running, don’t touch it”
- No test equipment
- No test expertise
- Performance
- Complex system





Continuous Monitoring



CSIRT/NOC/DCS

Centralized
Log
Server

Inventory

Forensics
Data

Continuous
Monitoring
Data

Anti-Virus
Anti-
Malware
Data

Baby Steps

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Forensics

Encase/Mir

**Anti-virus/
Anti-malware**

SEP

**System
Hardening**

STOx

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SCCM/CS OU

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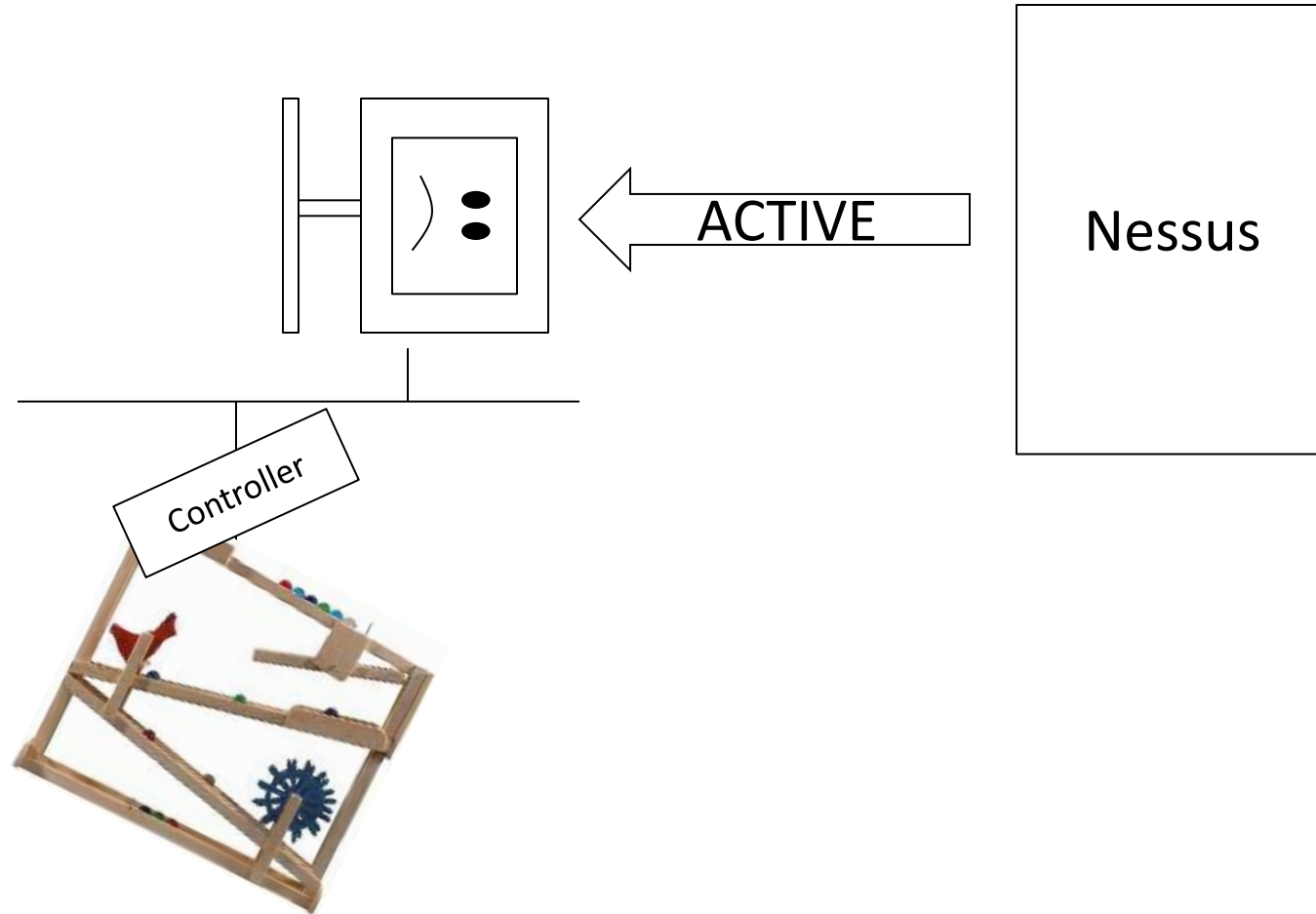
Scanning

CPAT

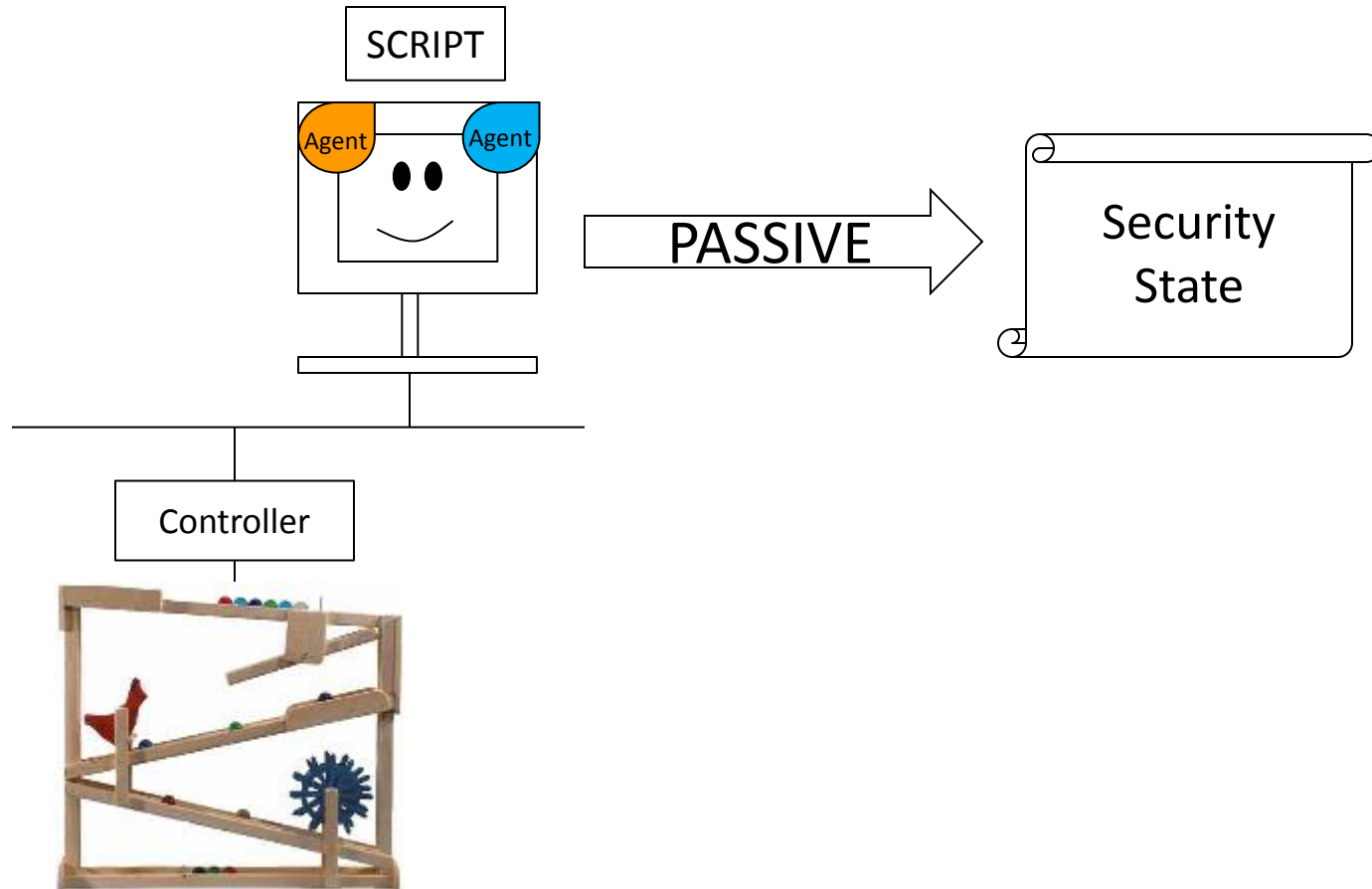
Logging

Snare

Scanning

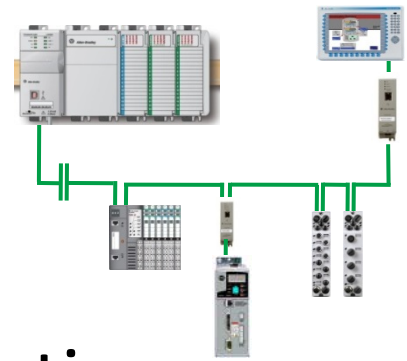


Scanning



New Technology Lab

TA46-42-226



- Uses: testing, training, vendor interactions
- Standard equipment + community donations
- Sign “Rules of Use”
- Make appointments (M-F, 8-5) starting Aug. 12
 - techlab@lanl.gov, 665-6820

Training - Future

- UTRAIN – IT/Control System Security Awareness (1.5 hour near Tech Lab)
- Bechtel online training



Anatomy of a Disaster

CSB Safety Video: Anatomy of a Disaster



The video player shows a night scene of an industrial facility with smoke and fire. A blue semi-transparent box with the text 'Animated Sequence of Events' is overlaid on the video. The video progress bar at the bottom indicates the video is at 03:21 out of 55:34. The YouTube logo and various control icons are visible at the bottom of the player.

Anatomy of a Disaster

Friday, Mar 21 2008

A massive explosion kills 15 and injures 180 at the BP Texas City refinery

Investigations:

- **BP America Refinery Explosion**

[Download Windows Video](#)
[Download QuickTime Video](#)

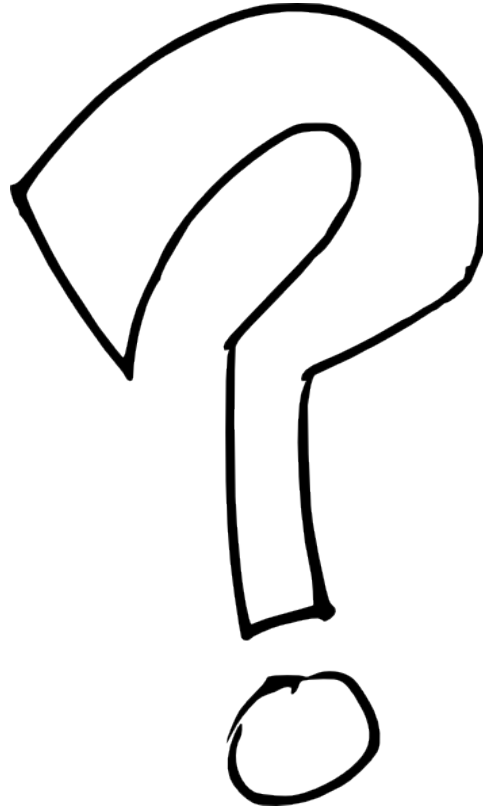
<http://www.csb.gov/videos/anatomy-of-a-disaster/>, animation starts at 3:21

Summary

- IT \neq Control Systems
- Communities
 - Awareness: LANL, Technology Lab, DOE
- Roadmap
 - Strategic, Tactical, Operations
- BIA/CP – Critical facilities first



Questions



Contact

- Sandy Frost
- slf2@lanl.gov
- 665-6820