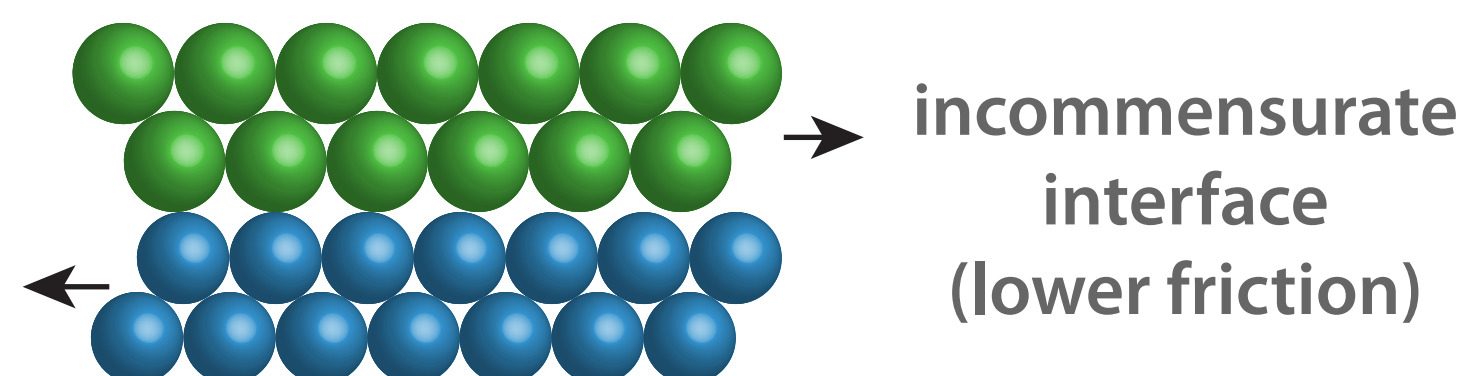
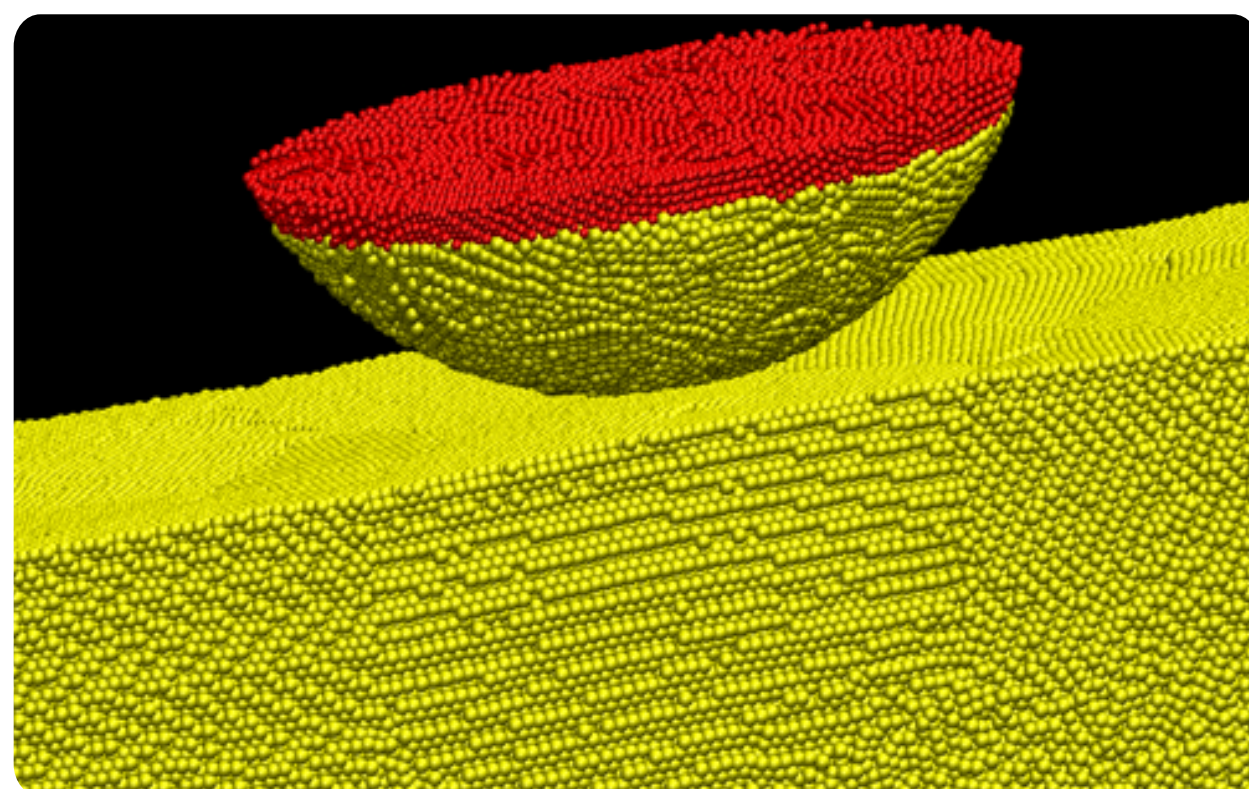


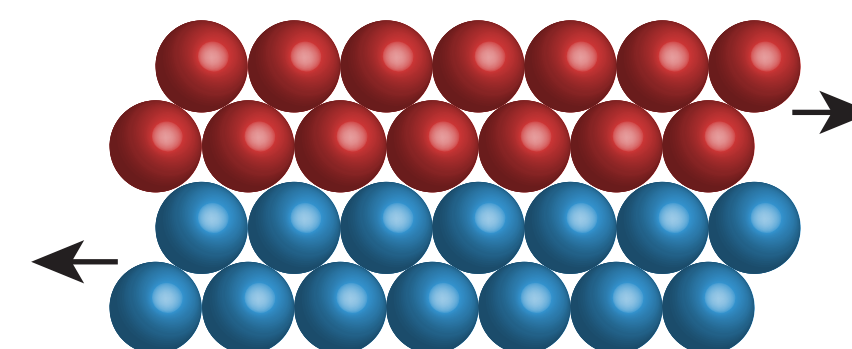
# Atomic Origins of Friction Reduction in Nanocrystalline Metal Alloys and Composites

Nicolas Argibay - Michael Chandross - Shengfeng Cheng

Sandia National Laboratories



commensurate  
interface  
(higher friction)



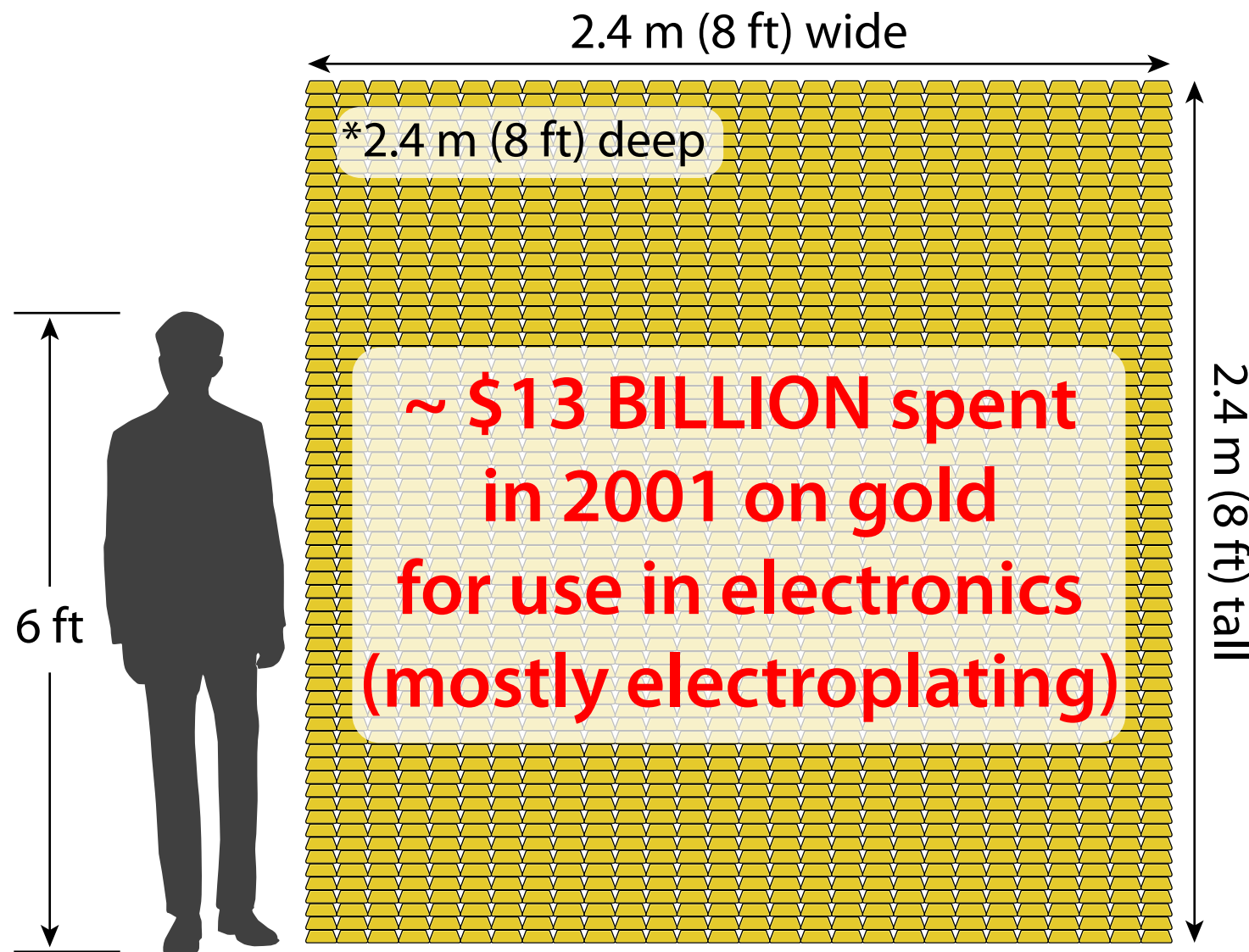
Sandia National Laboratories is a multi-program laboratory managed and operated by Sandia Corporation, a wholly owned subsidiary of Lockheed Martin Corporation, for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-AC04-94AL85000



# How relevant is hard gold as a tribological material (solid lubricant)?

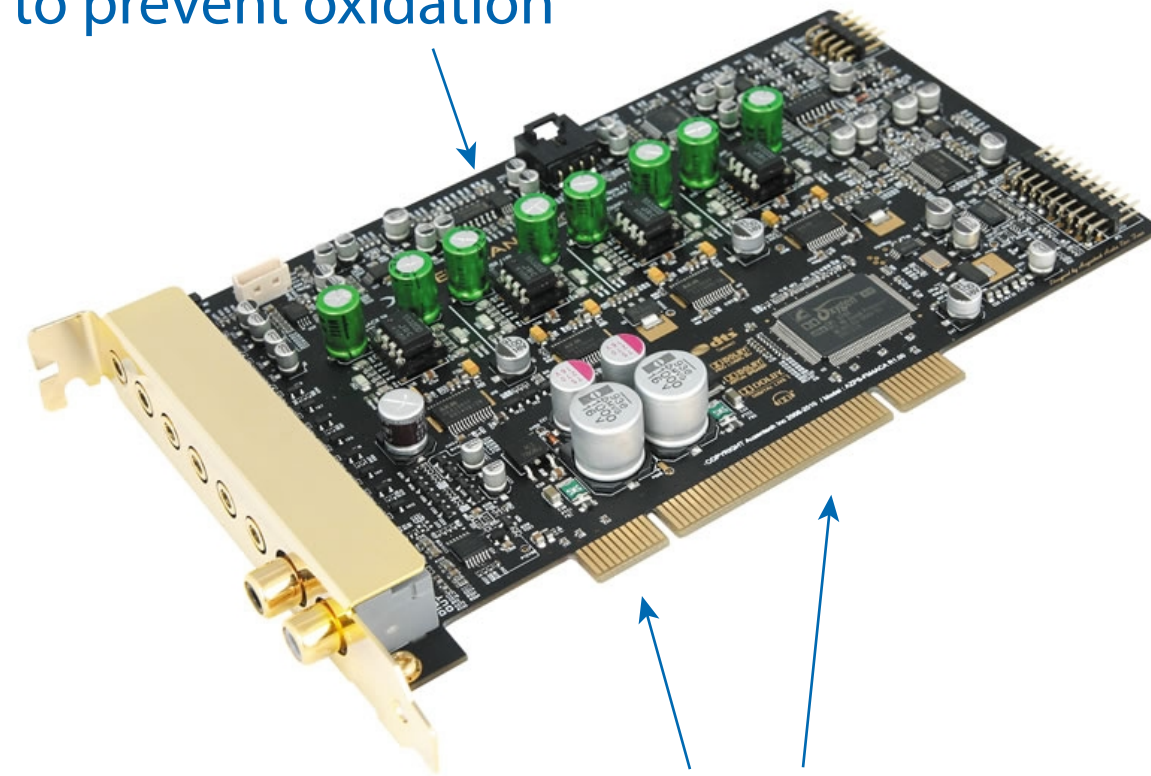
**280 METRIC TONS** of gold used in 2001 on electronics related applications, most of it in electroplated connectors and contacts (0.15% of world supply **per year**)

**equivalent to a cube comprised of ~23,333 standard gold bars (12 kg/26.4 lb each):**



Ni or Co hardened Au films (electroplated and electroless) protect copper connectors in many printed circuit boards (PCBs)

200 - 500 nm thick electroless plating on soldered connections to prevent oxidation

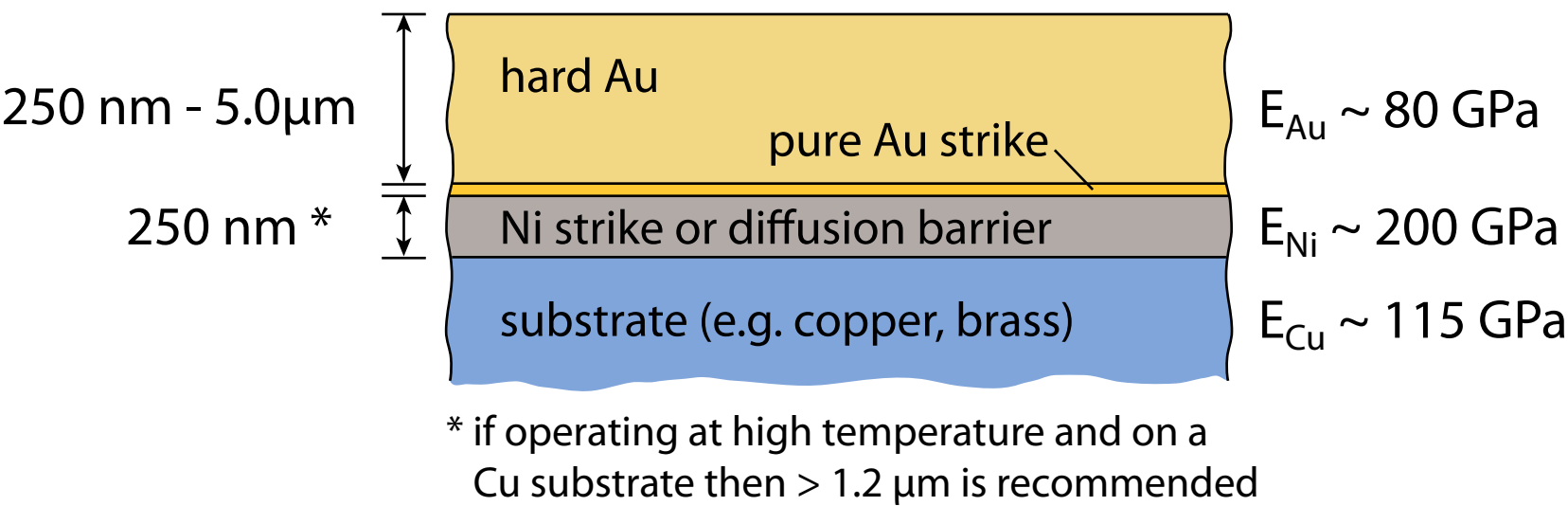


1 - 3  $\mu\text{m}$  thick electroplate used on edge connections that are susceptible to wear



hard gold films are defined by the following specifications:

type (purity) / code or grade (hardness) / class (minimum thickness)



type		suggested applications (ASTM)
> 99.7% Au	I	general-purpose, high-reliability electrical contacts
(hardest) > 99.0% Au	II	general-purpose, wear resistance; low temperature only
(softest) > 99.9% Au	III	soldering; limits impact of oxidation of codeposited material
	IIIA	semiconductor components, nuclear eng., high temperature

*more Ni/Co/Fe content  
(in the 0 to 2 vol. %)* → *increased  
hardness* → *reduced wear and  
increased electrical  
contact resistance*



# Hardness of hard gold is a function of grain size (Hall-Petch strengthening)

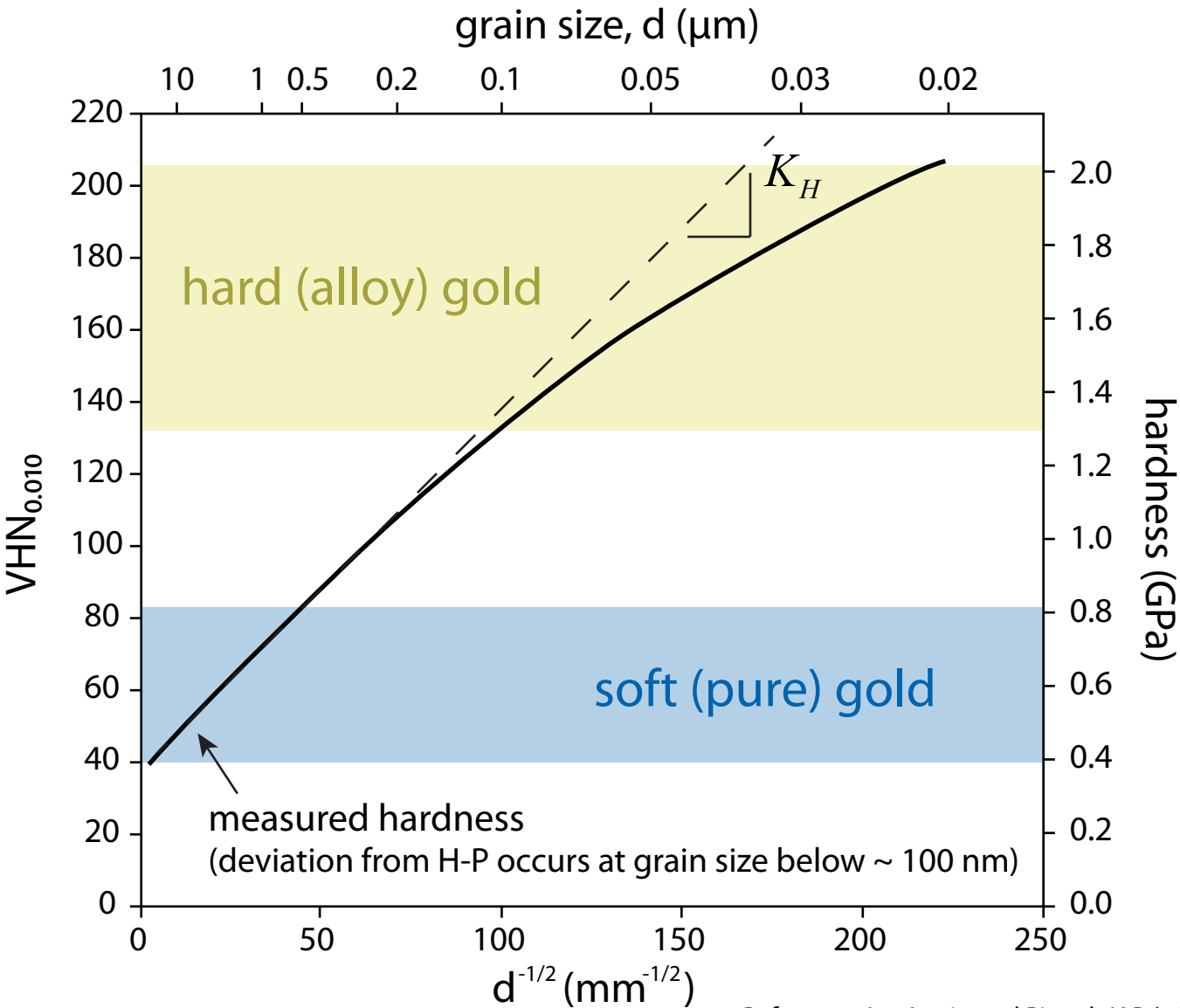
For metal contacts the real area is a function of hardness and contact force (Bowden & Tabor, 1939):

$$A_r \cong \frac{F_n}{H}$$

... adhesion related to real area.

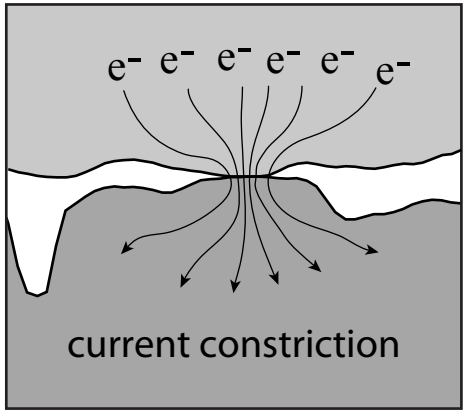
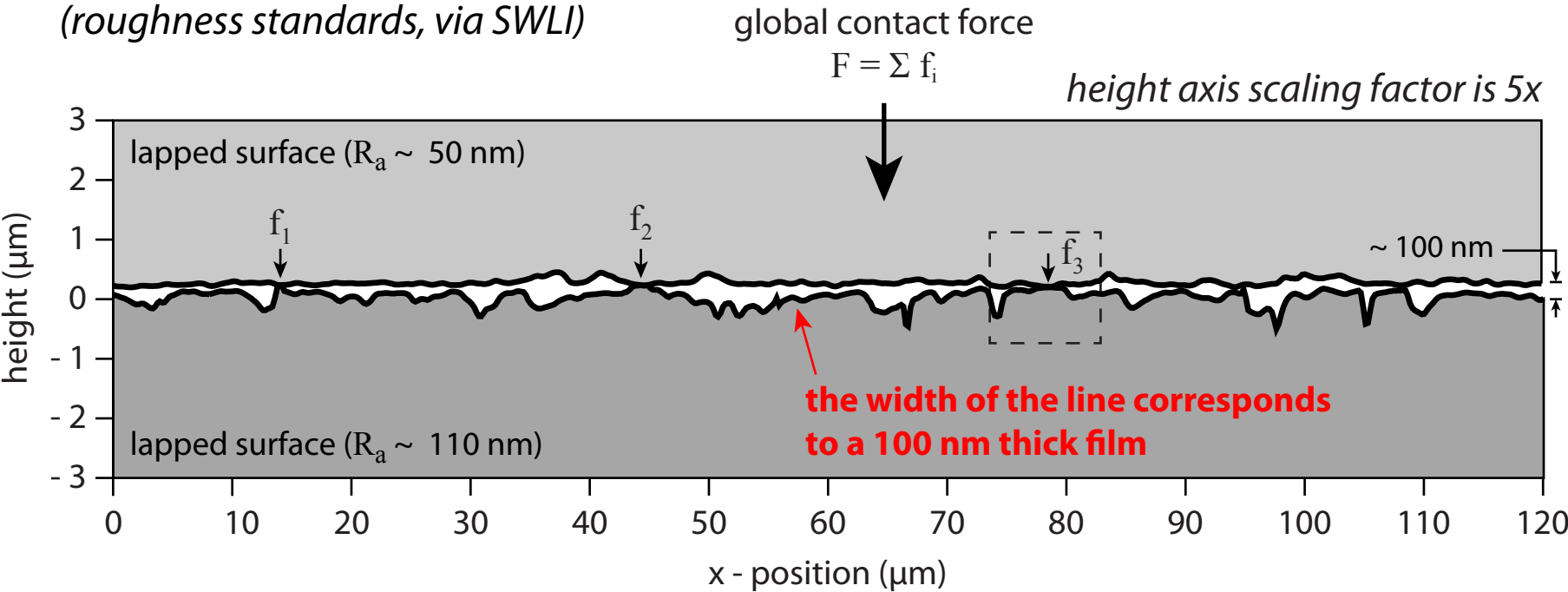
Hardness of hard gold is primarily the result of Hall-Petch strengthening (Lo, Augis, Pinnel, JAP 1979):

$$H = H_0 + K_H d^{-1/2}$$



Reference: Lo, Augis, and Pinnel, JAP (1979)

Example of actual surface roughness:  
(roughness standards, via SWLI)



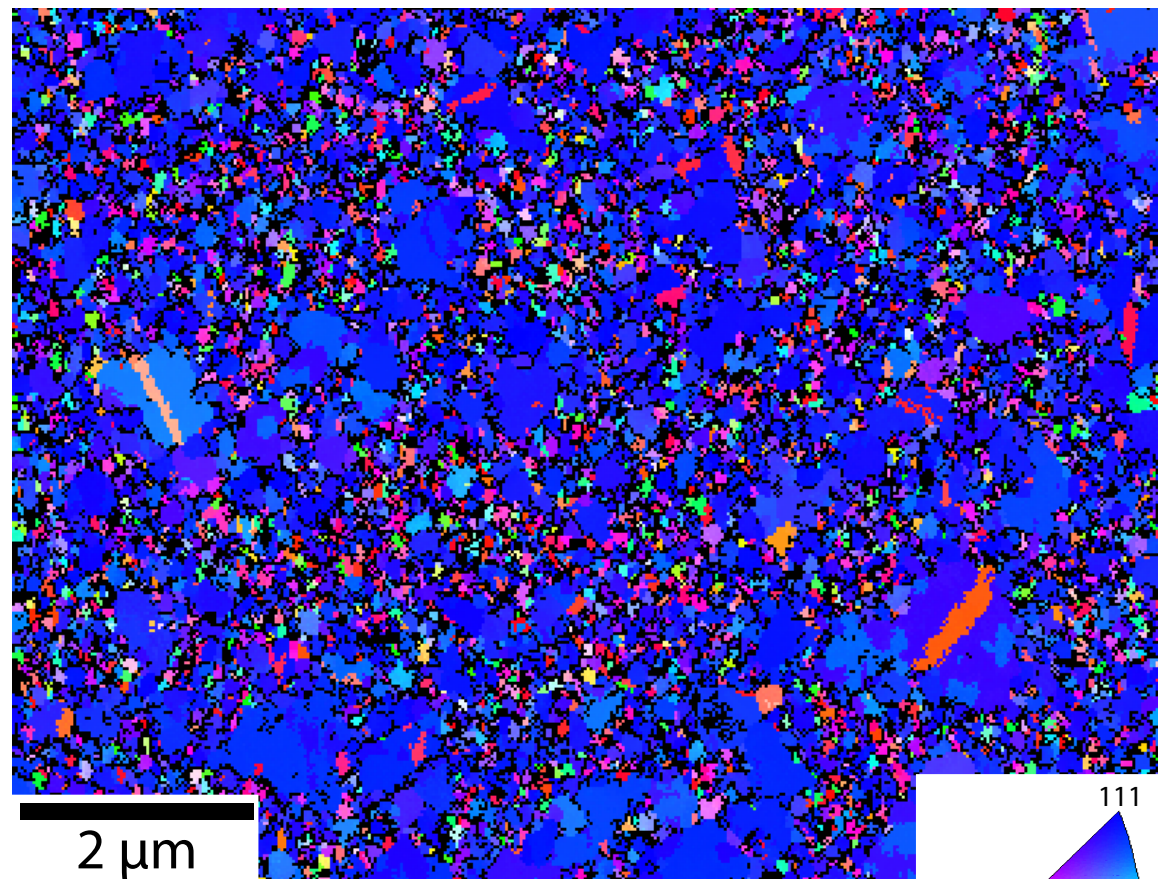
constriction of electrical current leads to **large** local current density ( $\sim 1\text{-}100 \text{ MA/cm}^2$  is typical!)



# Film surface EBSD maps of pure and 0.1% alloy gold reveals 5x grain size reduction

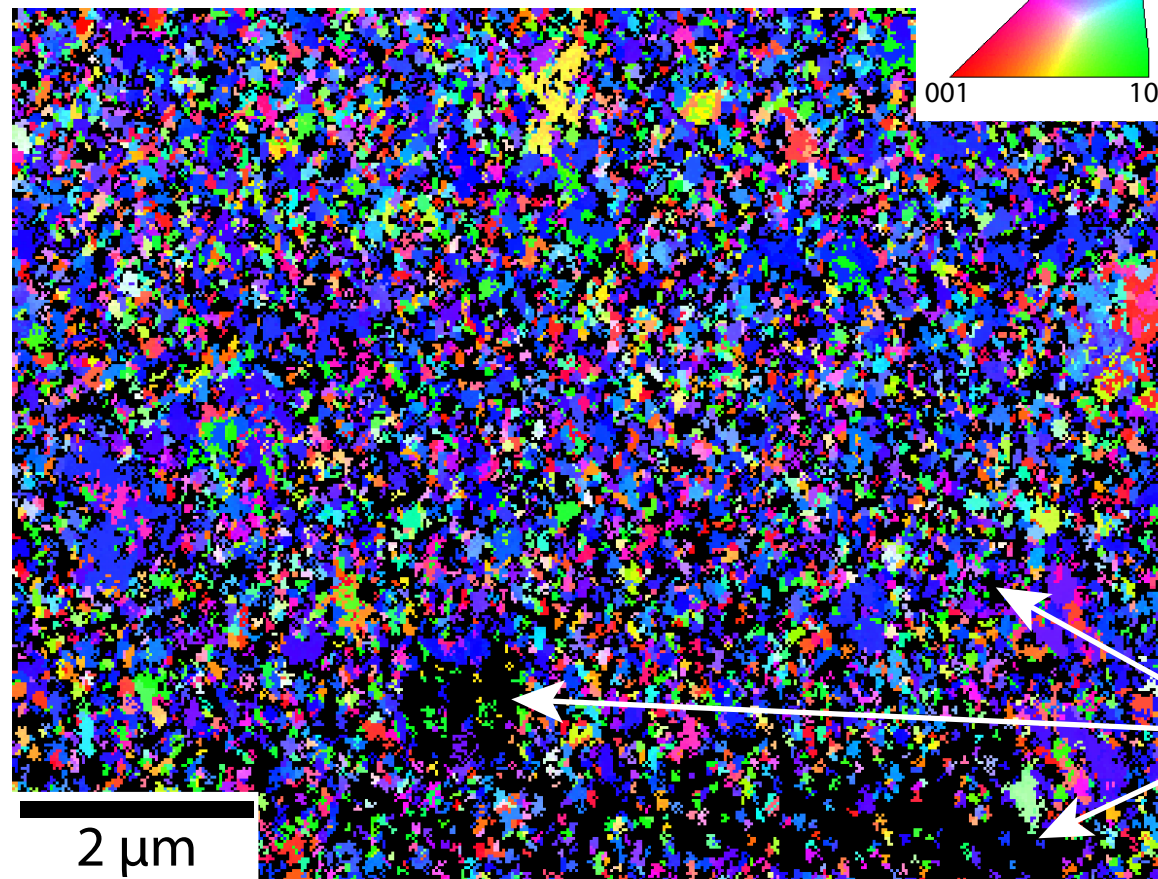
(EBSD = electron backscatter diffraction)

pure gold film  
(highly textured)



pure gold grain size was bimodal and textured in the surface normal direction, with average grain size  $> 500 \text{ nm}$

0.1 vol. % alloy  
(random texture)



gold nanocomposite grain size was significantly smaller and not preferentially oriented, with an average grain size  $\sim 100 \text{ nm}$

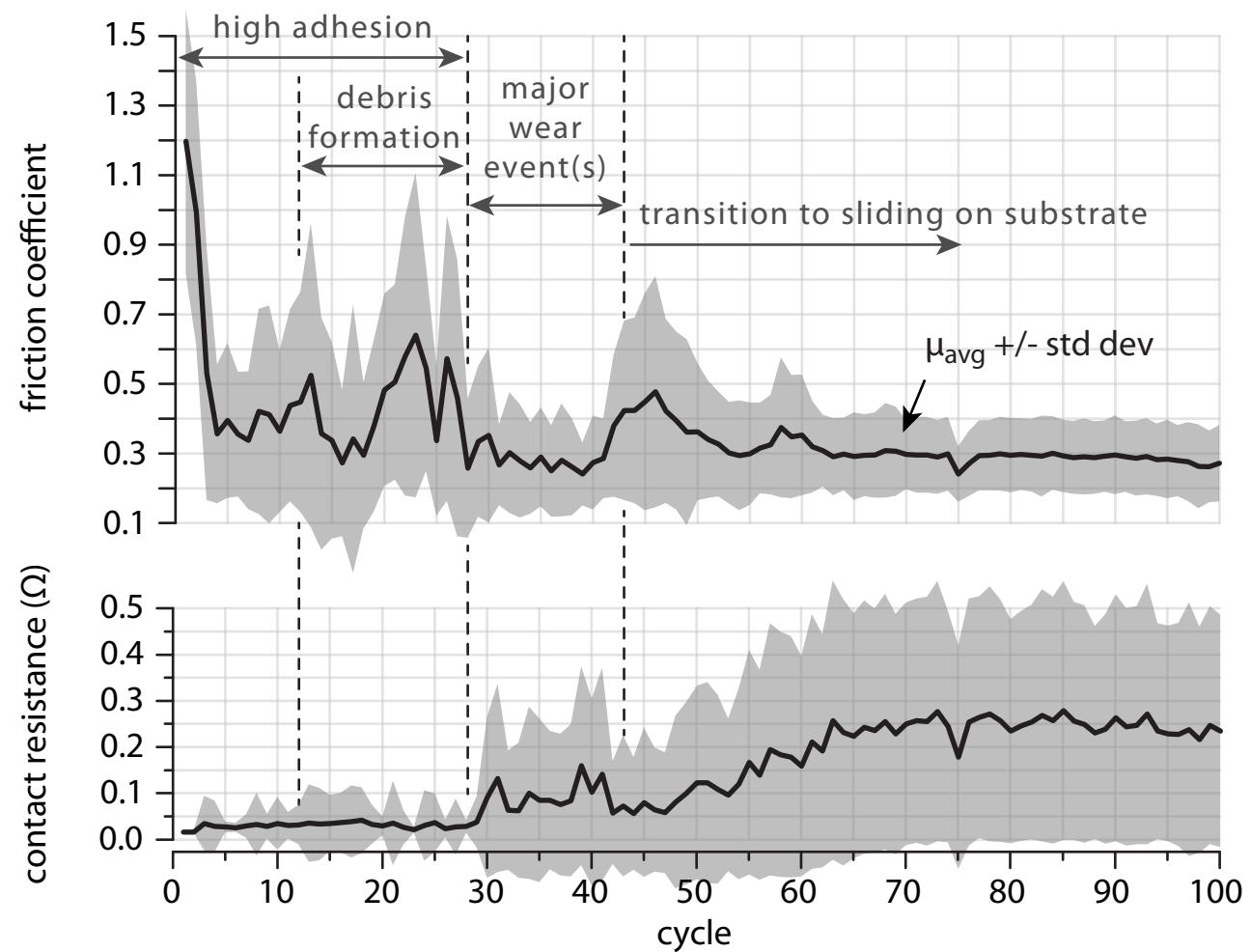
black pixels imply regions with grain sizes  $< 50 \text{ nm}$

surface normal orientation

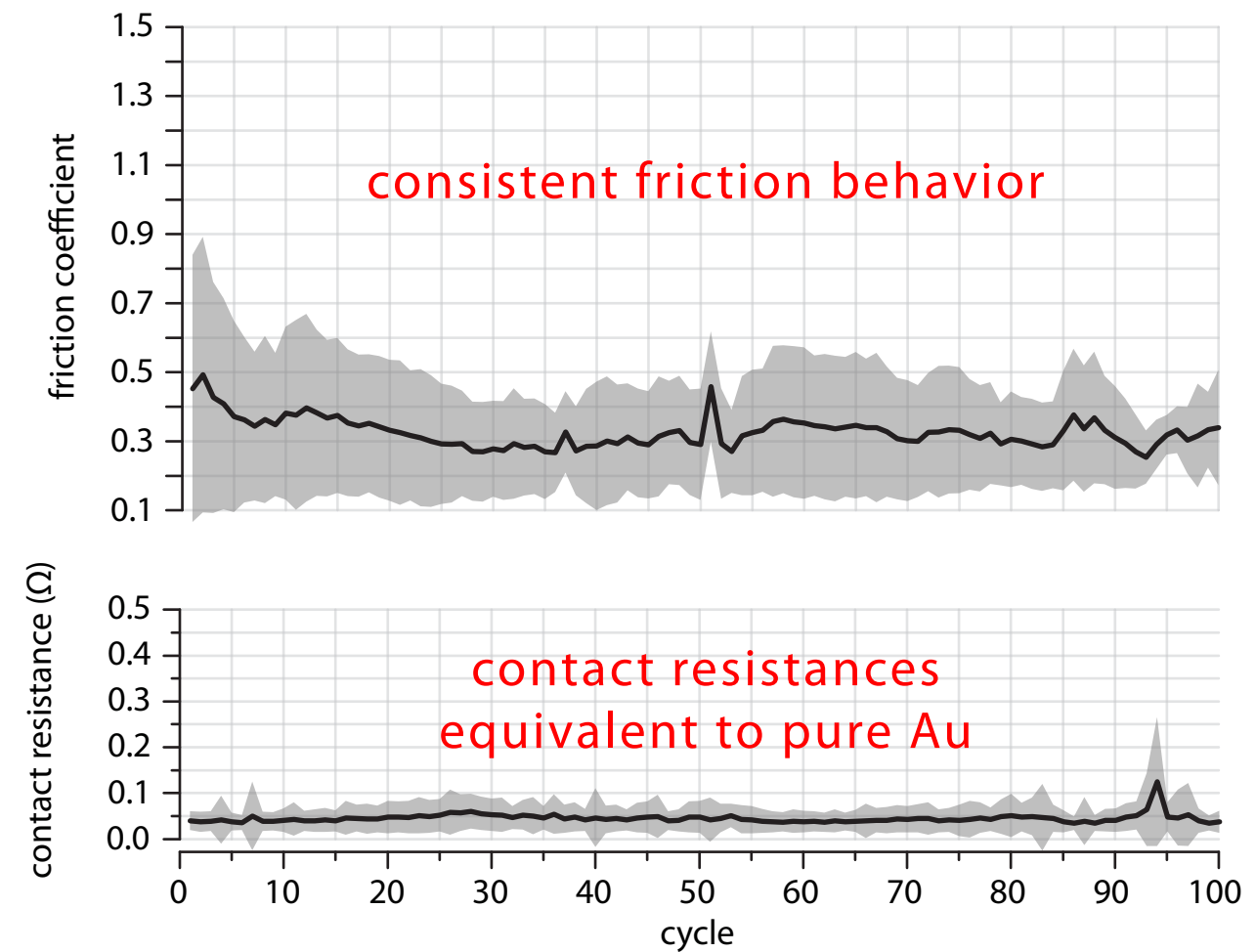


# Alloying produces more consistently “low” friction & contact resistance

Neyoro G rider sliding  
against a **pure Au film**



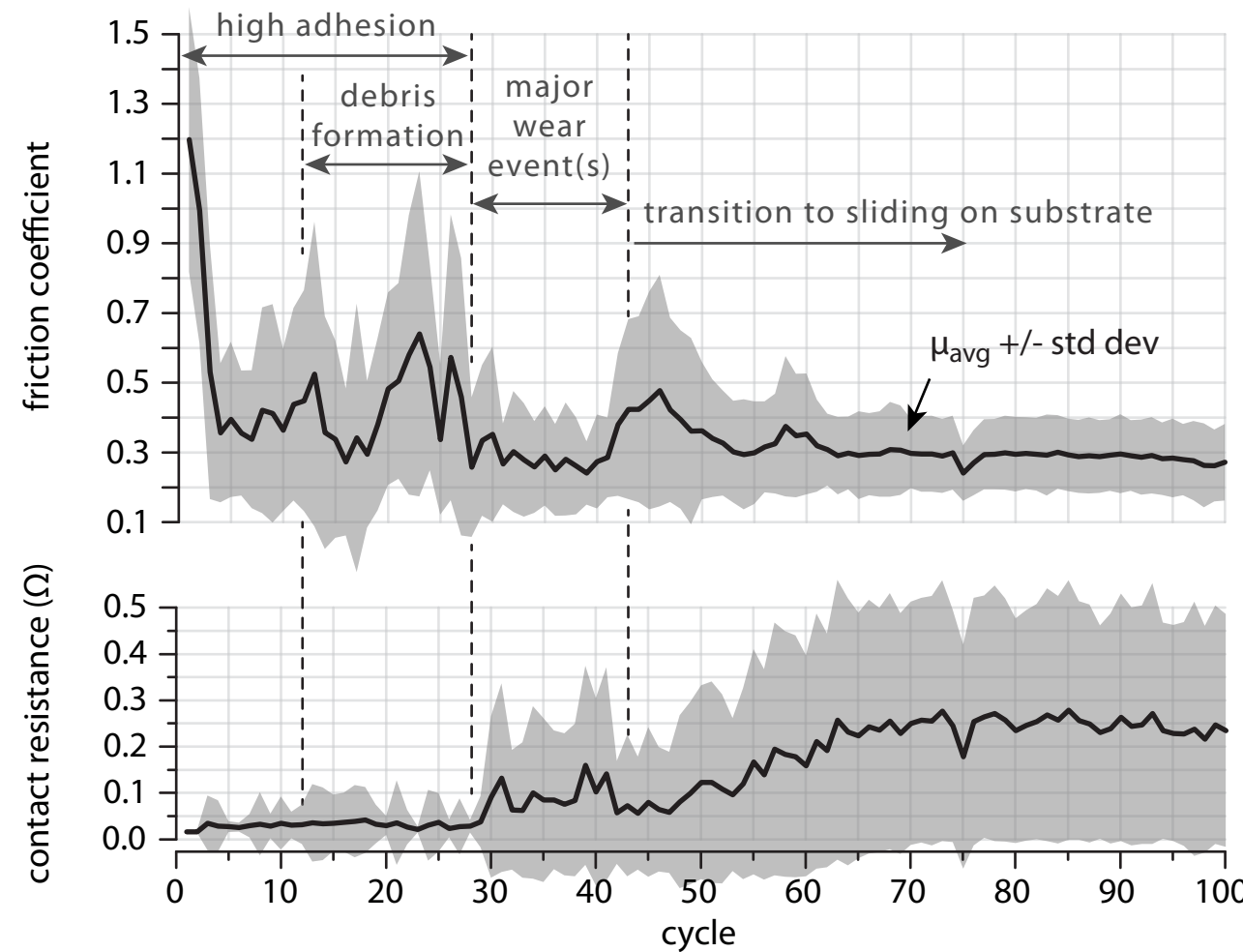
Neyoro G rider sliding  
against a “hard” (alloyed) 98% Au film



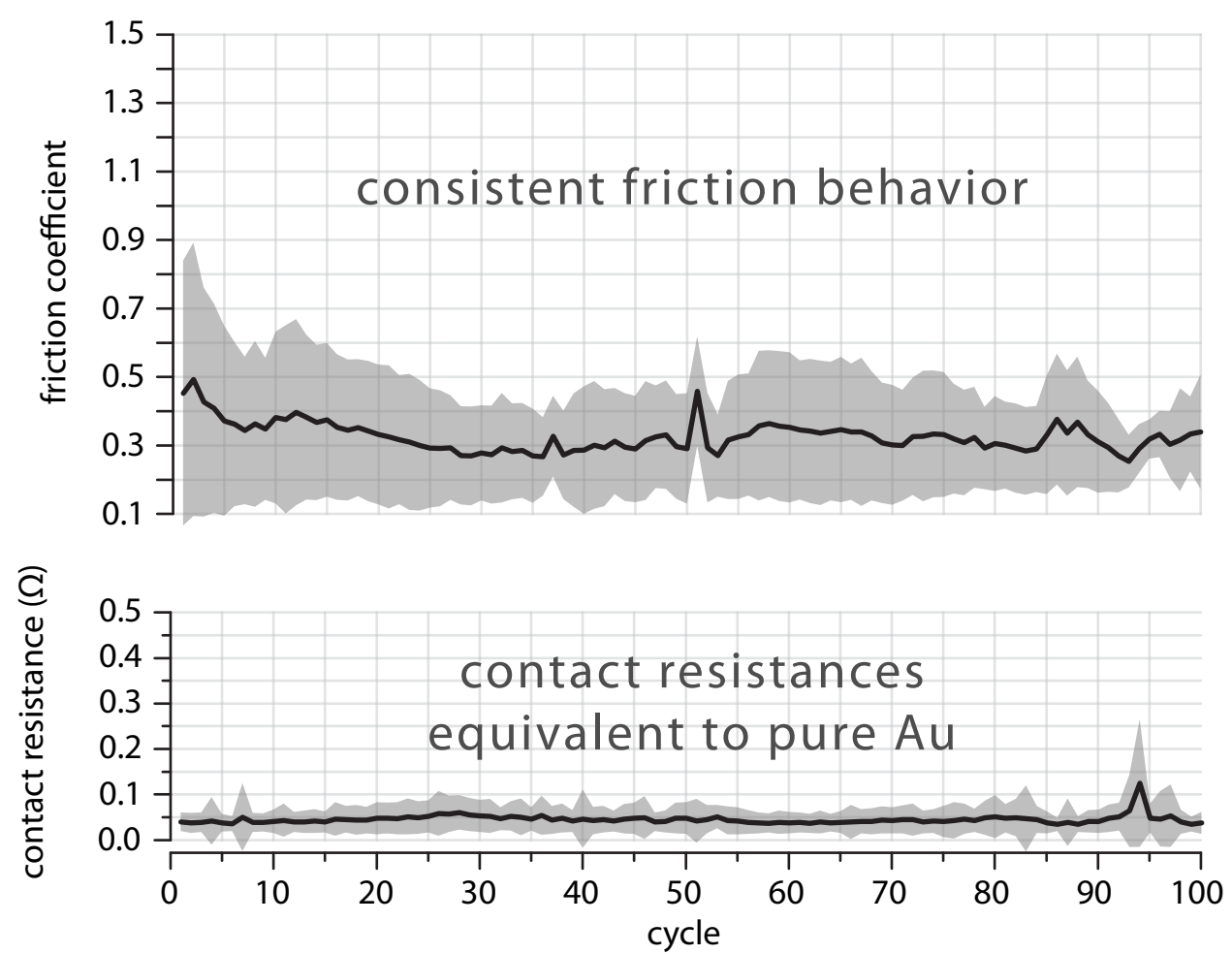


... and significant increases in wear resistance

Neyoro G rider sliding against a **pure Au film**

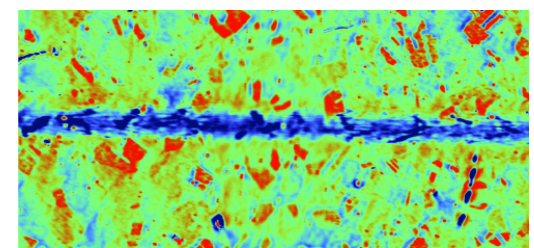


Neyoro G rider sliding against a **98% "hard" (alloyed) Au film**

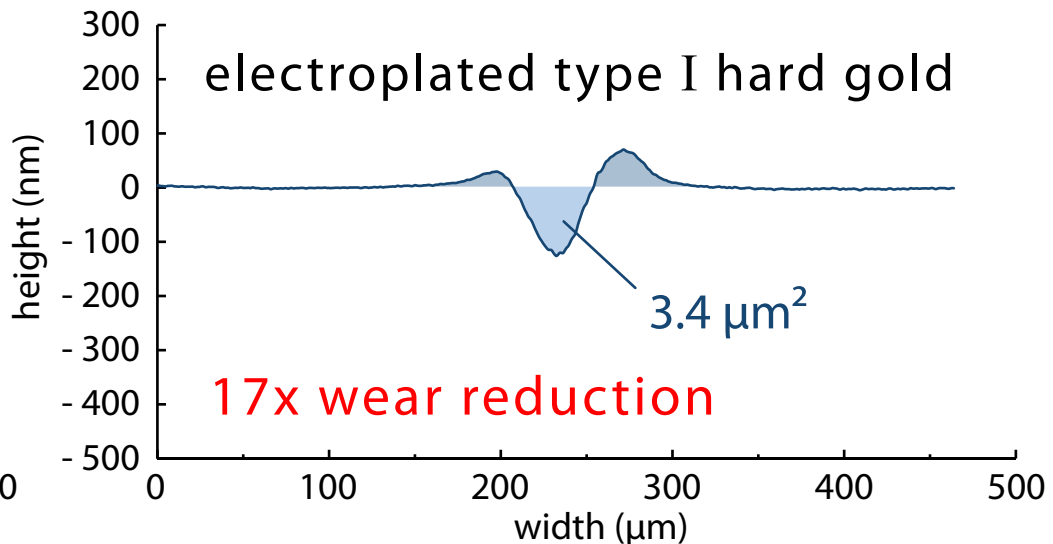
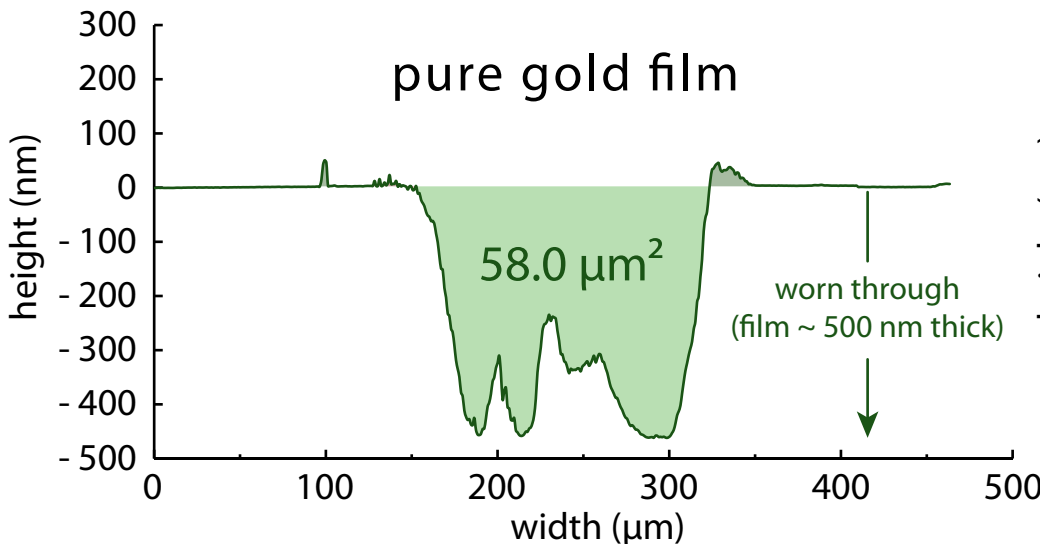


average wear track cross-section in same sliding conditions against Neyoro G rider:

(example of topographical map)



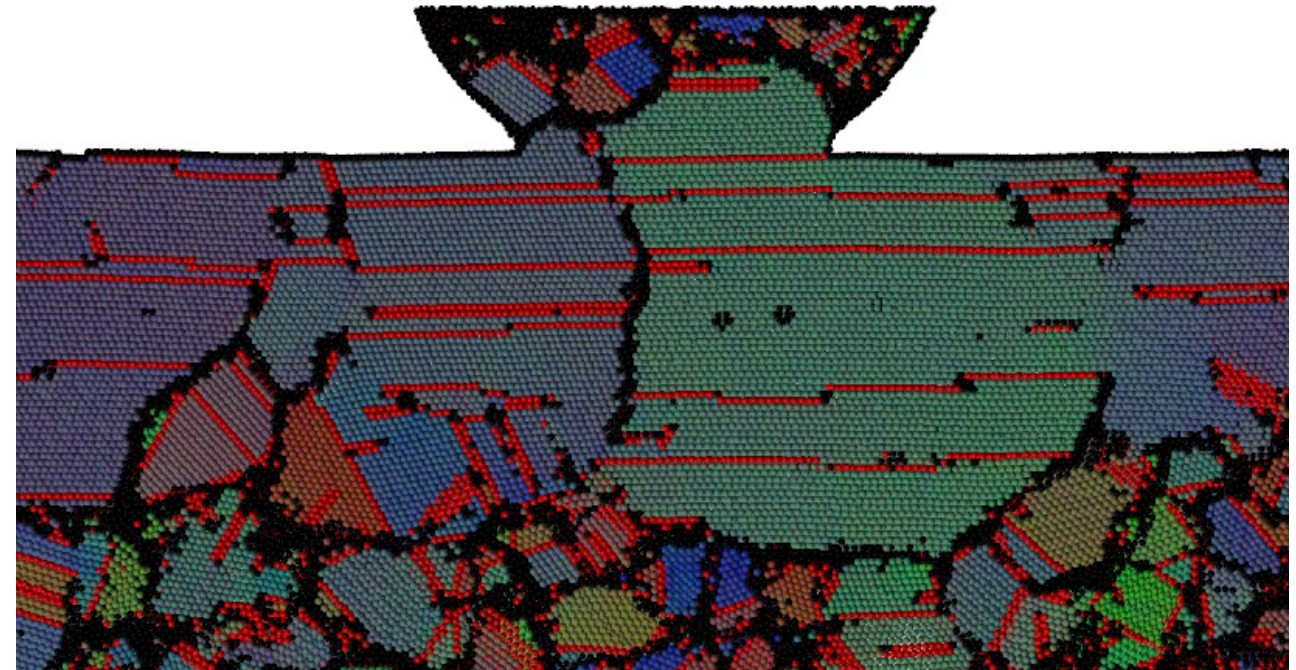
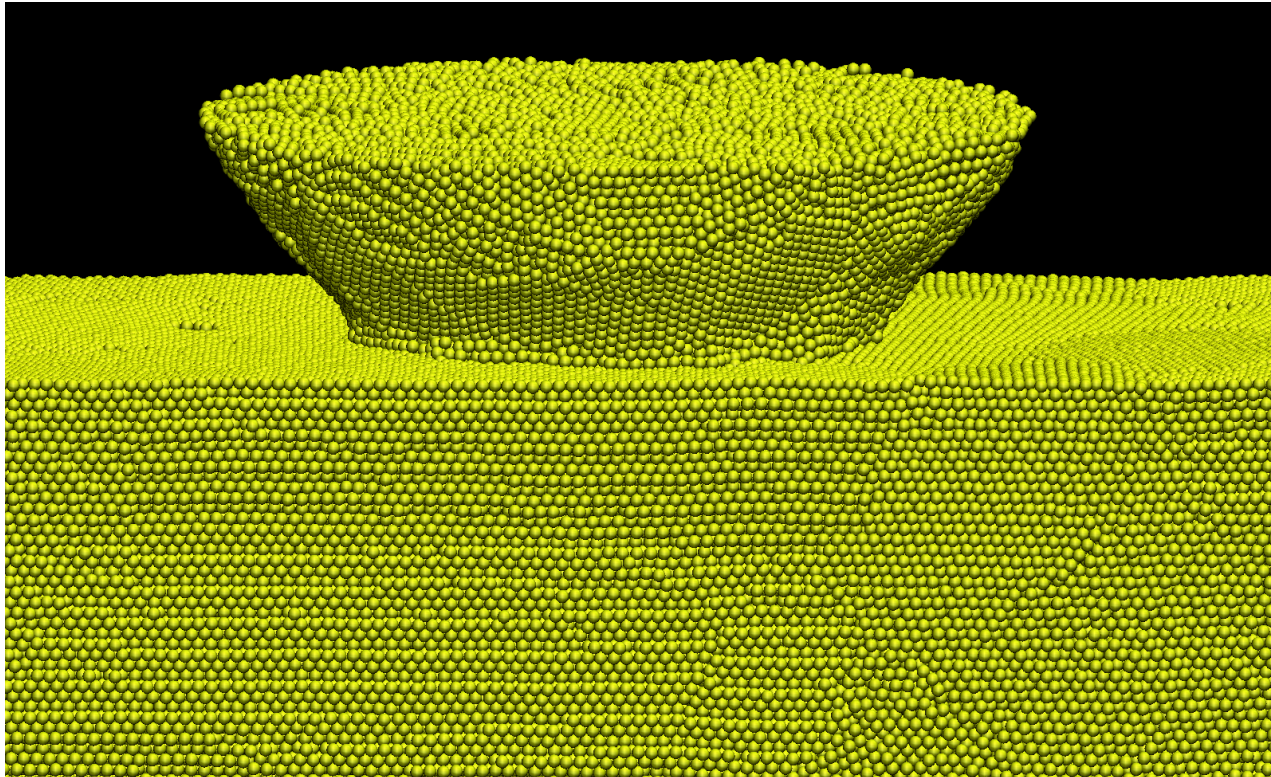
500  $\mu\text{m}$   
average line scans over  
50% of wear track length





## Question

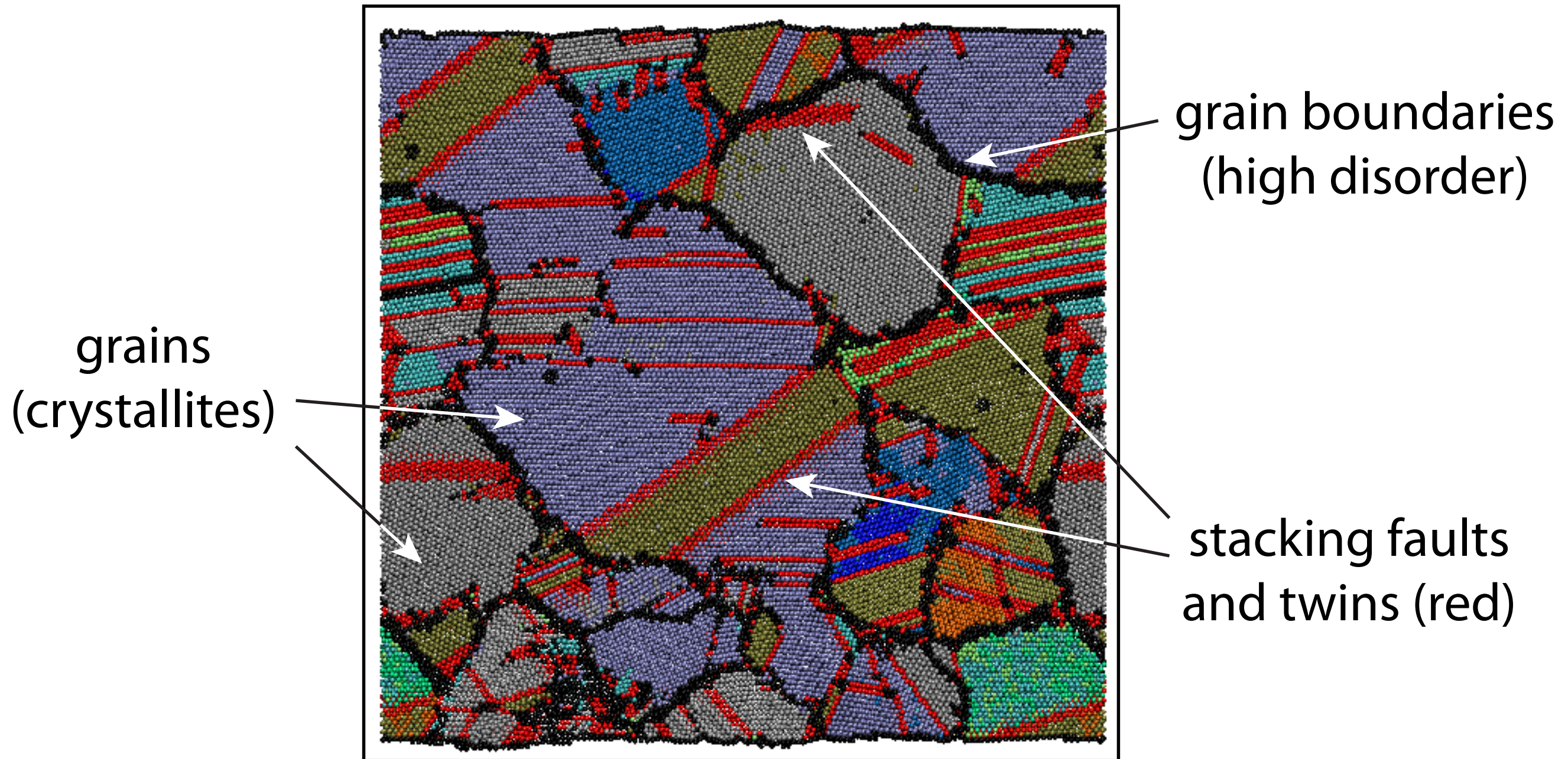
What is the atomic origin of reduced friction/wear?



## Approach

Large scale MD simulations  
utilizing embedded atom method  
(particularly suited to metals)

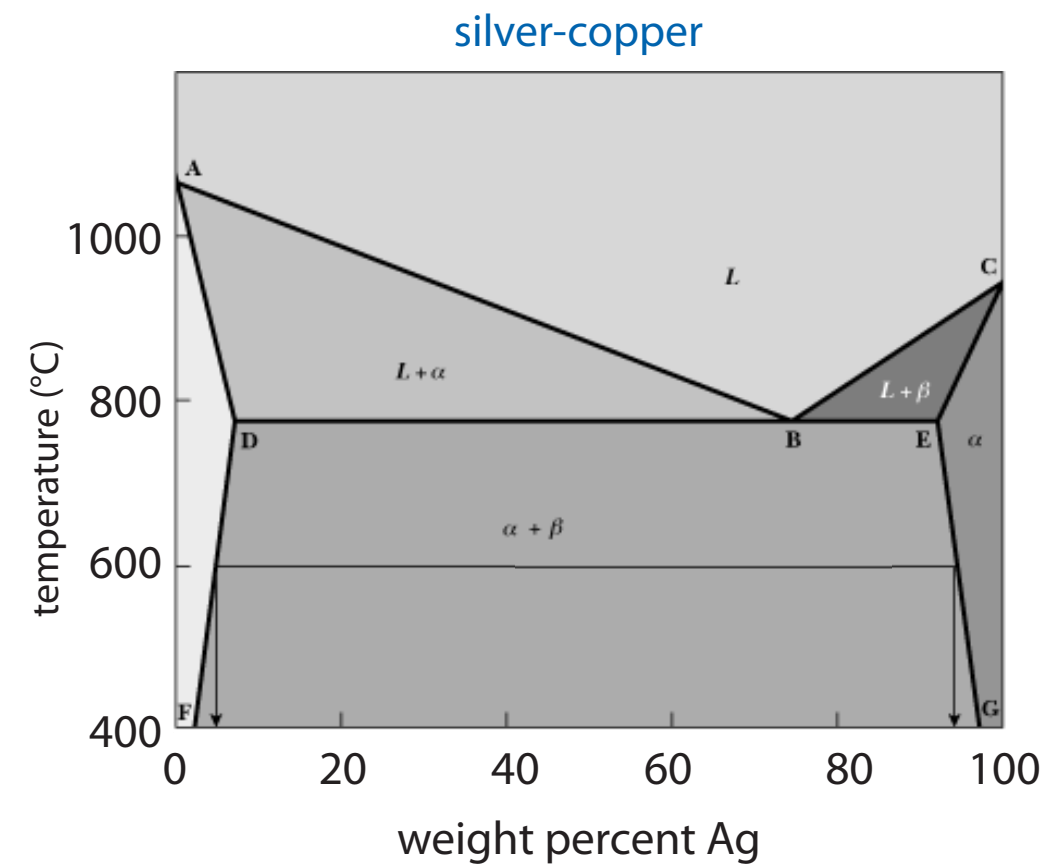
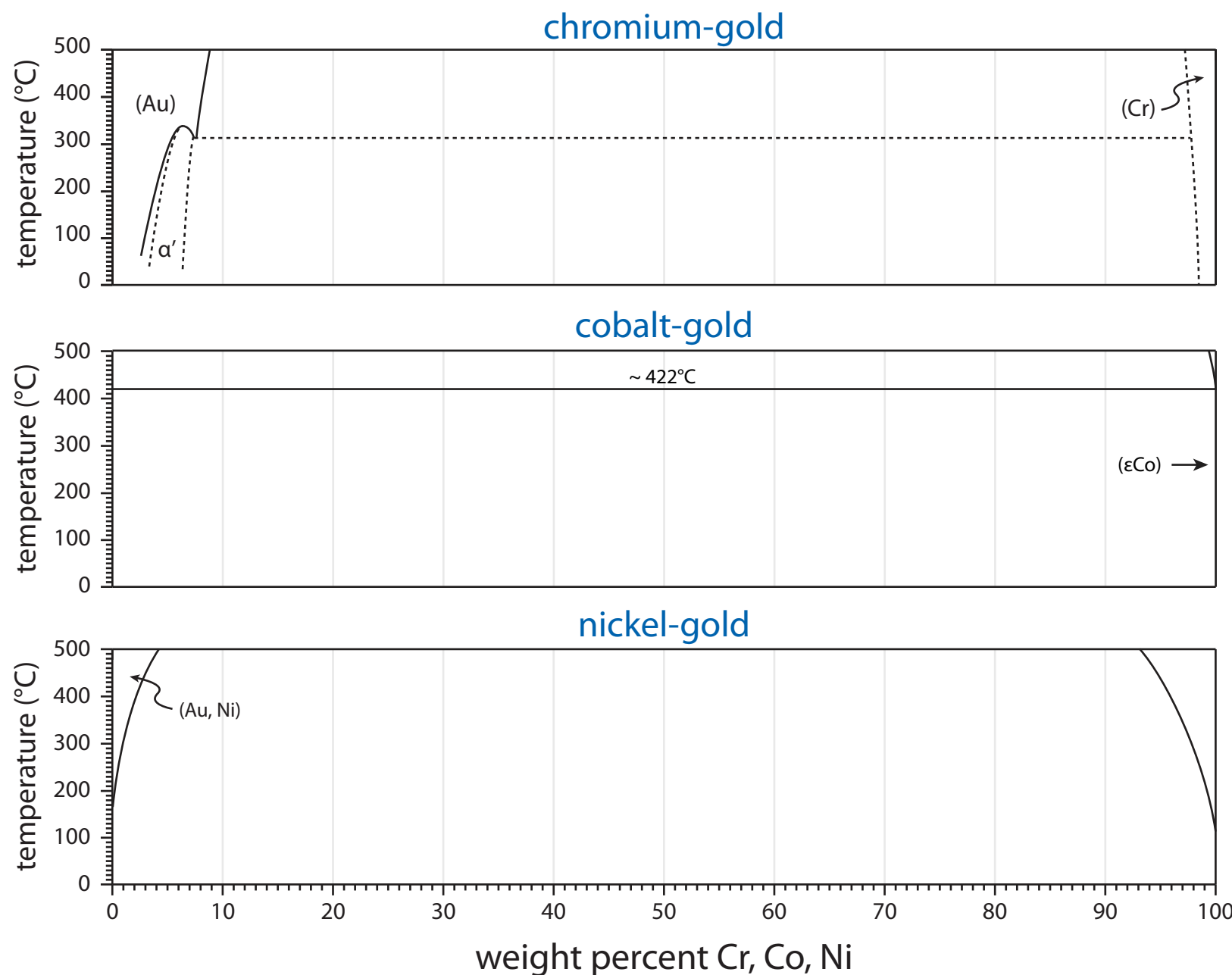




- locally FCC atoms colored according to Euler angle
- locally HCP atoms colored **red** (twins & stacking faults)
- grain boundaries colored black

# MD simulations done with silver and copper, not gold (comparable systems)

- Few potentials for Au and Ag alloys available, but Ag/Cu works
- Cu/Ag are similarly **insoluble** (at deposition temperatures,  $T < 300^\circ\text{C}$ ) as Au/Ni, Au/Co, etc. (hard gold)
- **Sterling silver** (7.5 wt. % Cu) was used as a substitute





## Melt and quench

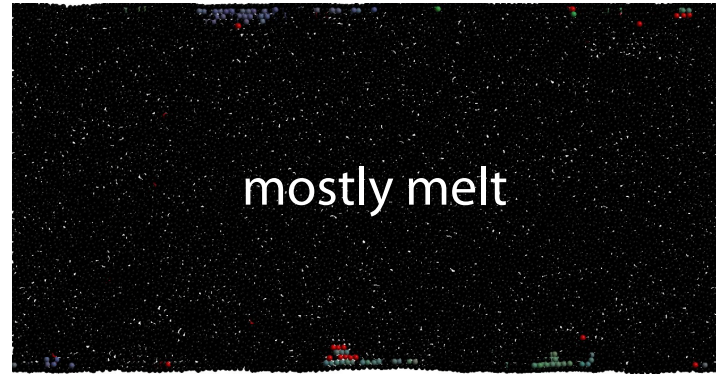
- start with bulk FCC
- melt at 1800K (20 ps)
- rapid quench (100 ps)
- grains ~ 5 nm
- can then grow grains easily

## Note on metallurgy...

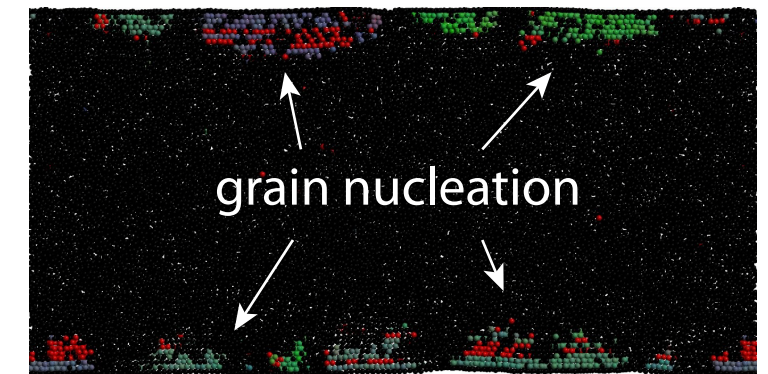
- twins indicate  $\{111\}$  surface
- growth nucleates at surface

greater disorder/finer  
grain size where the grain  
nucleation fronts meet

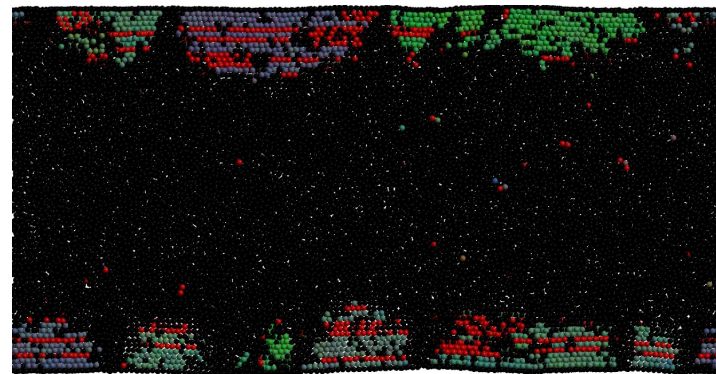
time step 1



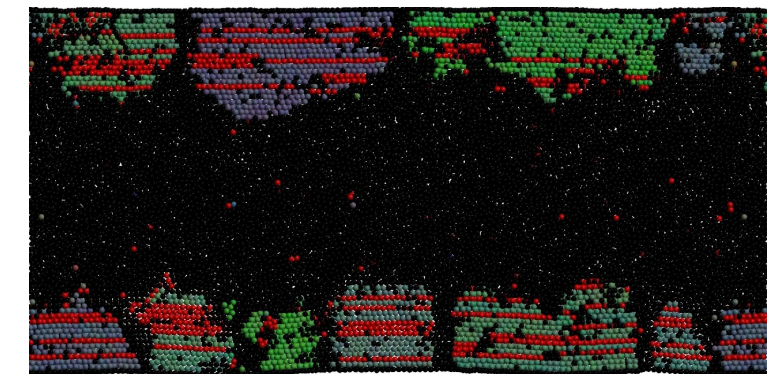
time step 2



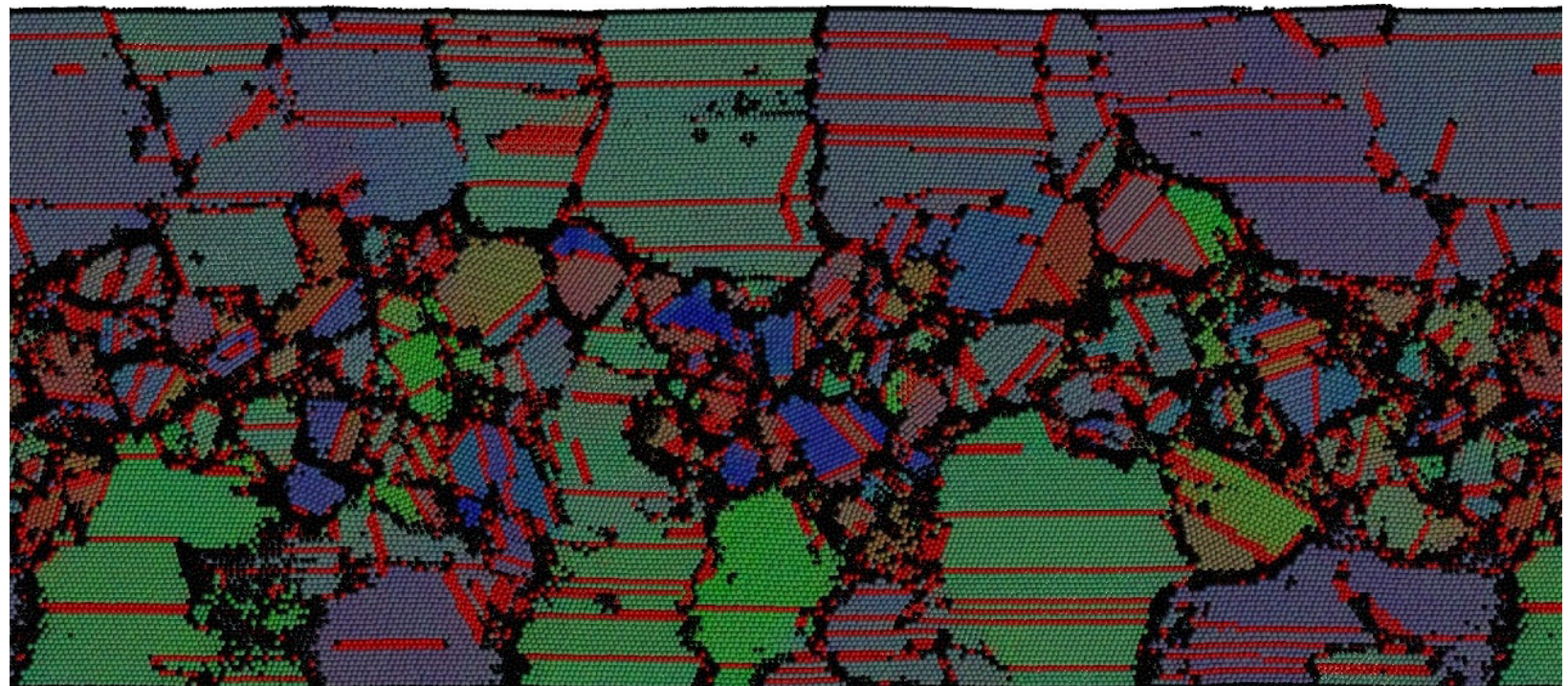
time step 3



time step 4

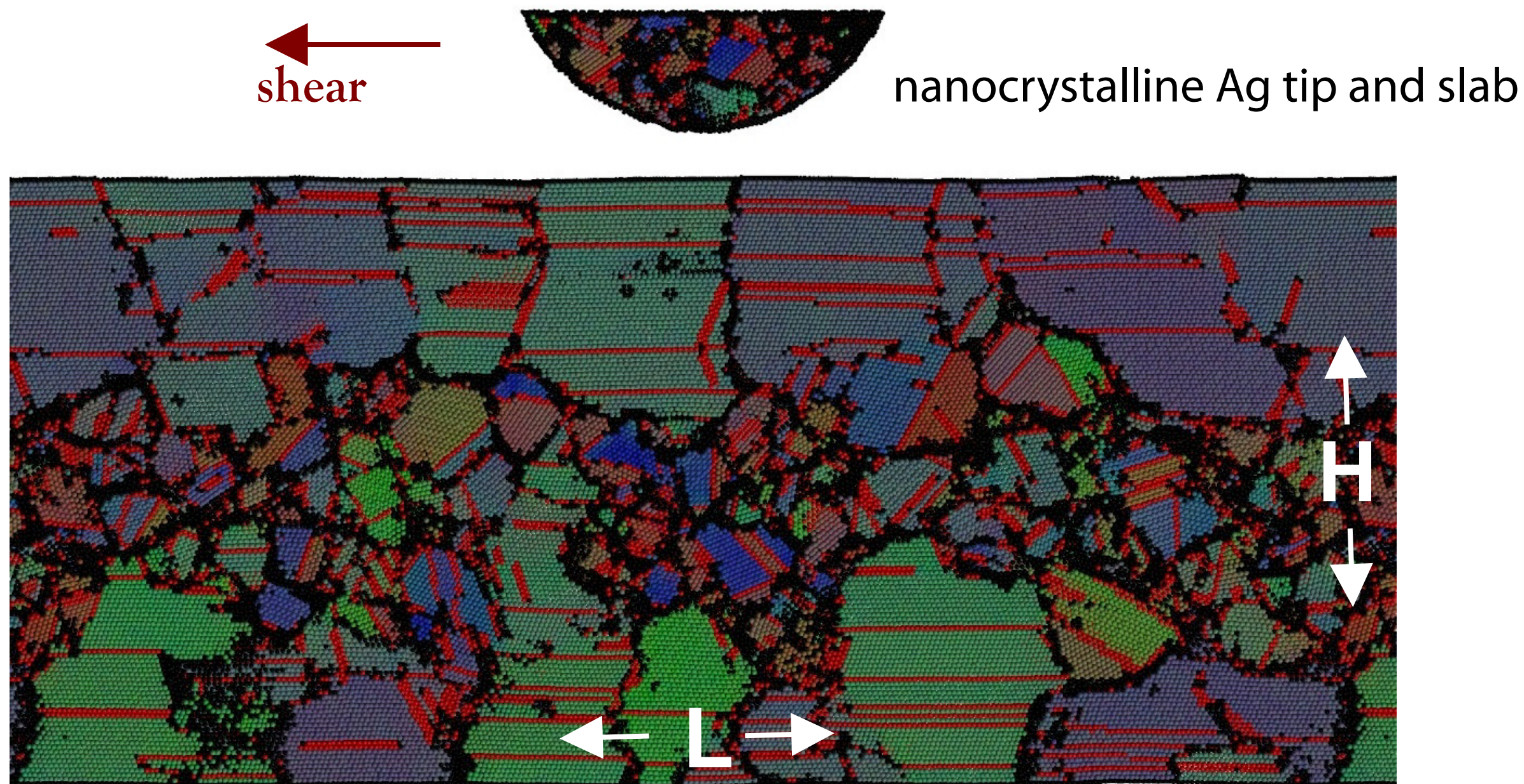


time step 5





# Nanocrystalline tip on slab shear simulation of pure Ag



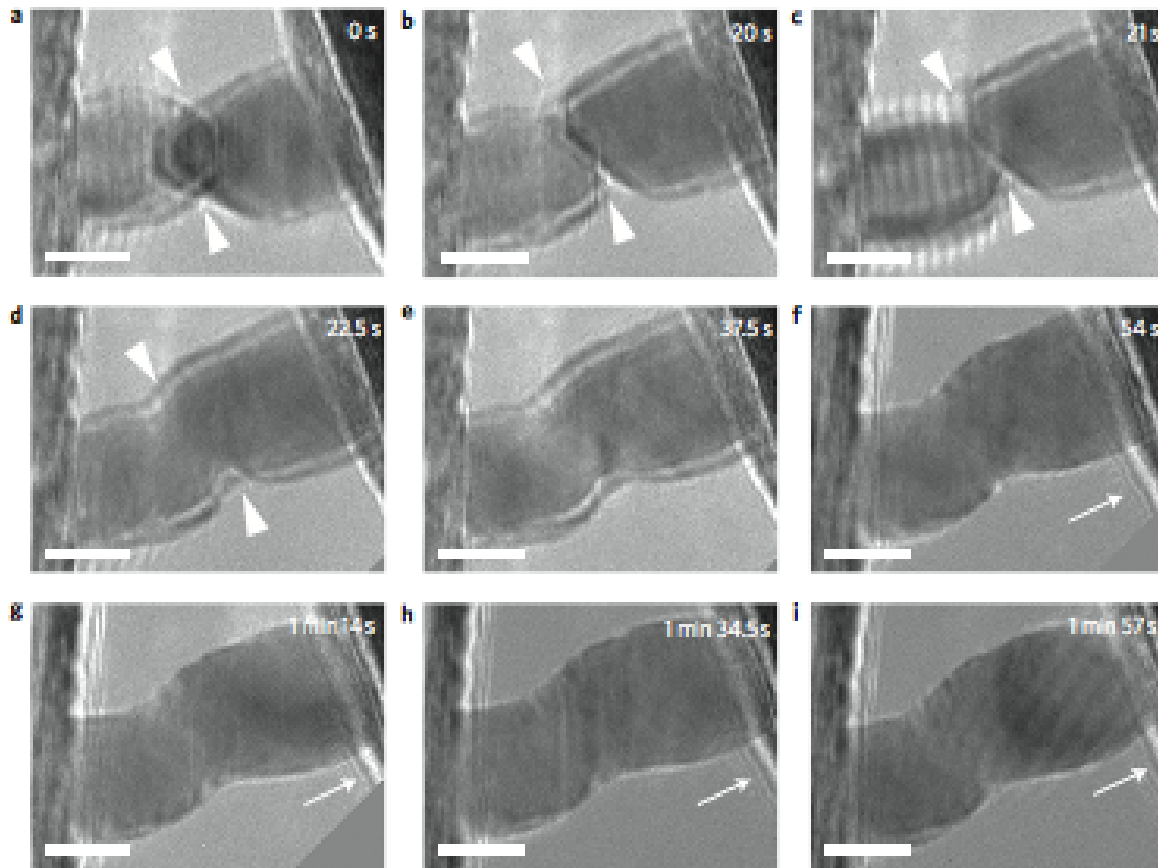
- slab dimensions:  
width = 17 nm  
height = 34 nm  
length = 67 nm

- tip radius = 10 nm
- shear velocity was 2 m/s (constant)
- constant separation *or* force



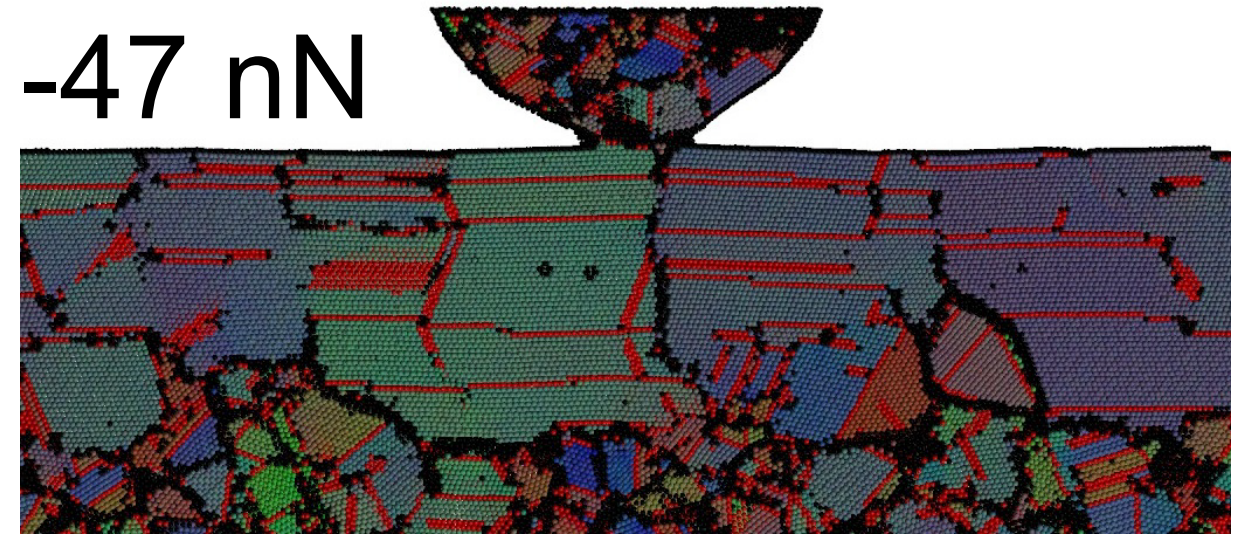
# Cold welding verified in single asperity experiments

Lu, *Nature Nanotech*, 2010

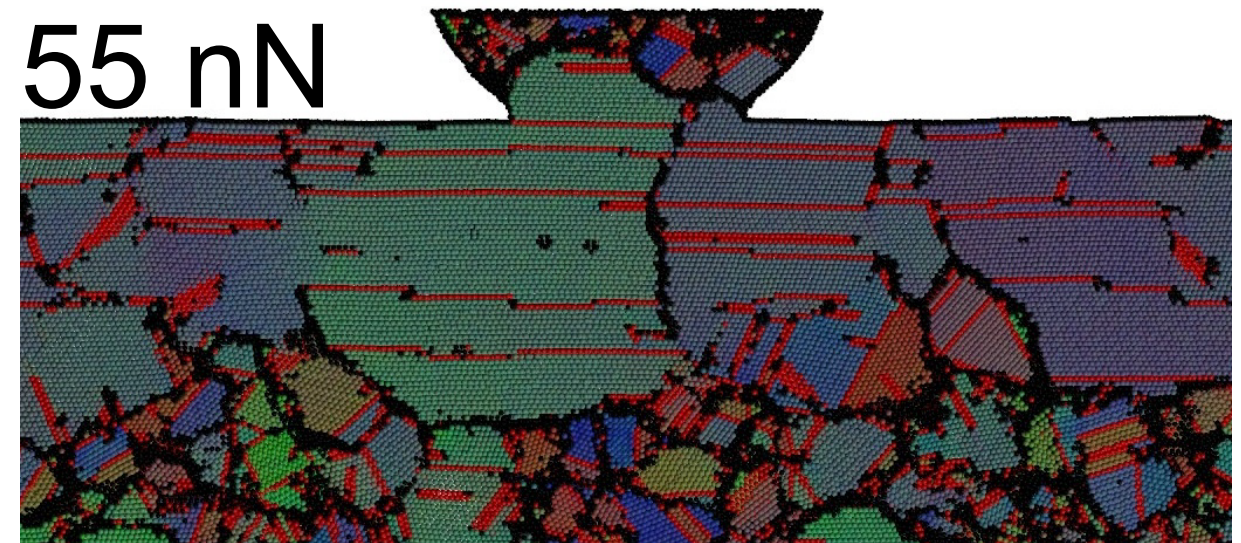


cold welding of gold nanowires  
occured with little external force  
during 1.5 seconds  
(single crystals)

-47 nN

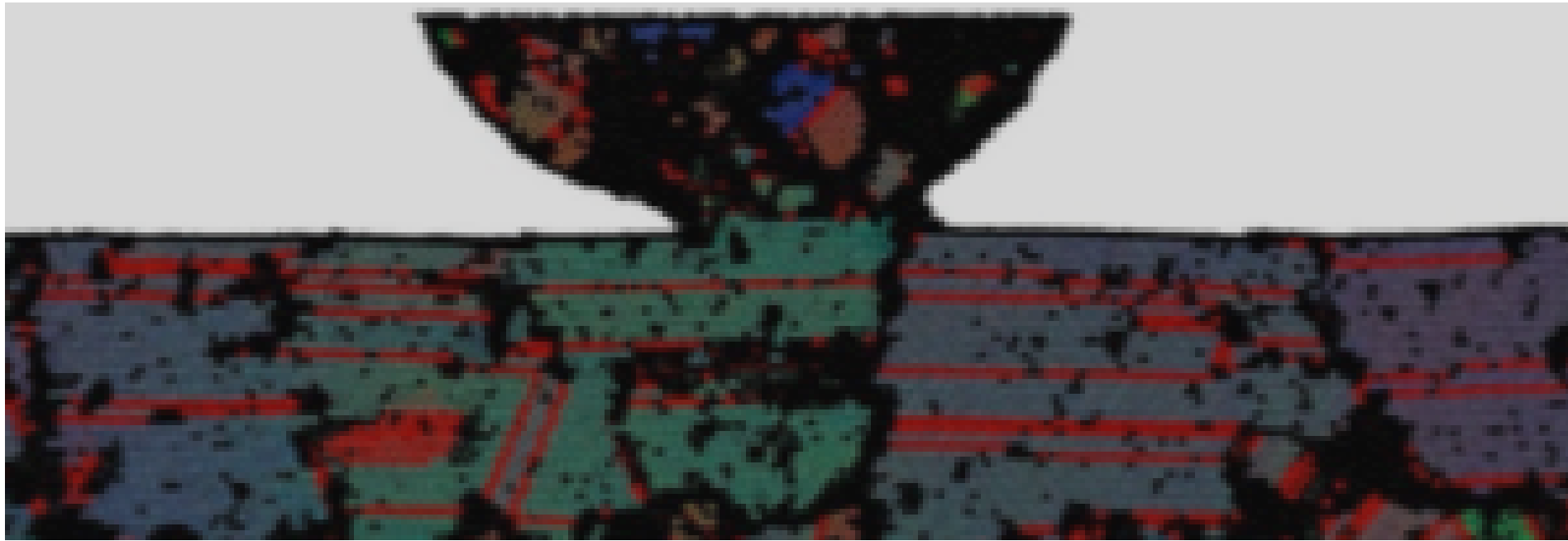


55 nN

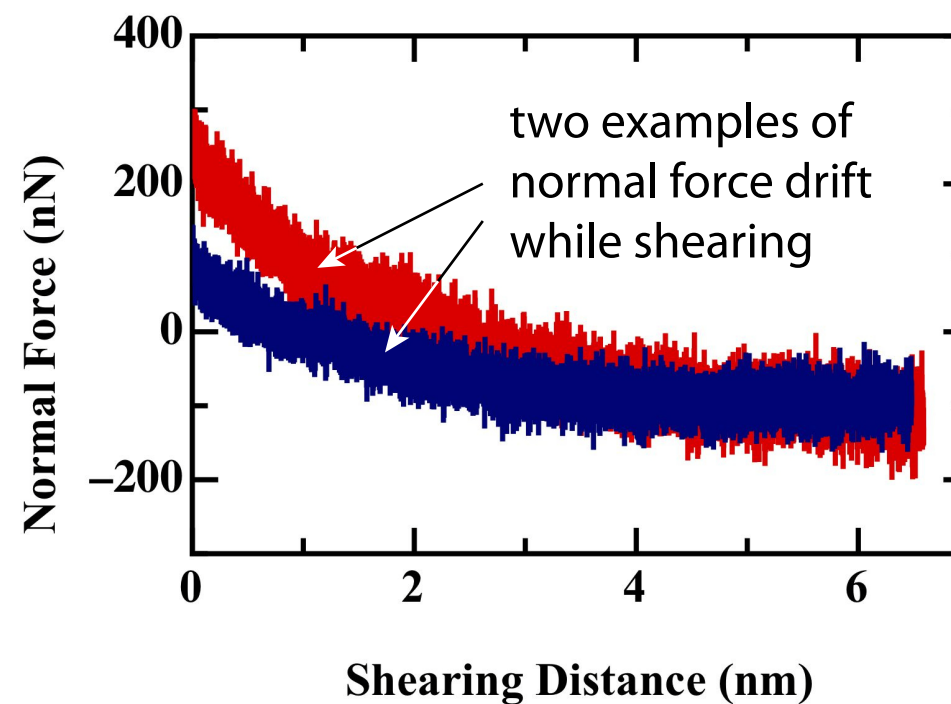


simulation shows coalescence  
and grain growth after 2 ps  
of contact under compression  
(without sliding)

## Can't measure alloy friction with tip/slab geometry... switch to slab/slab



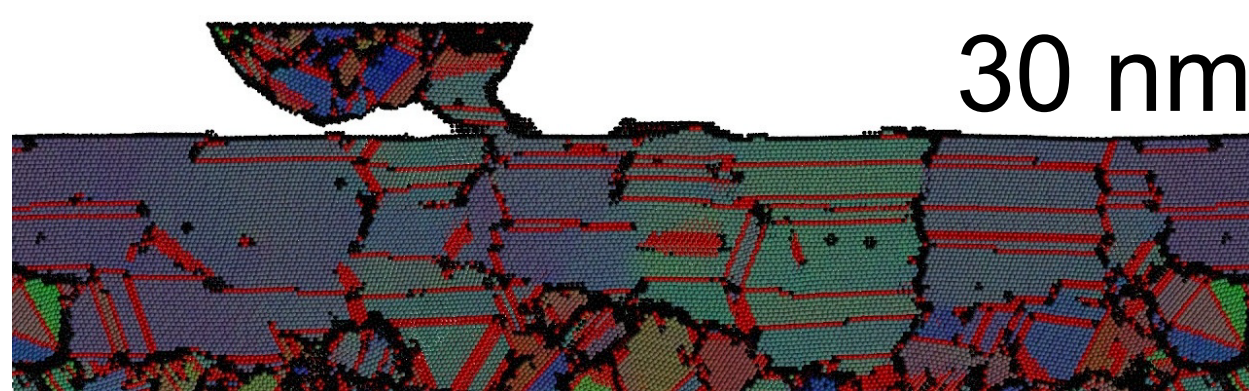
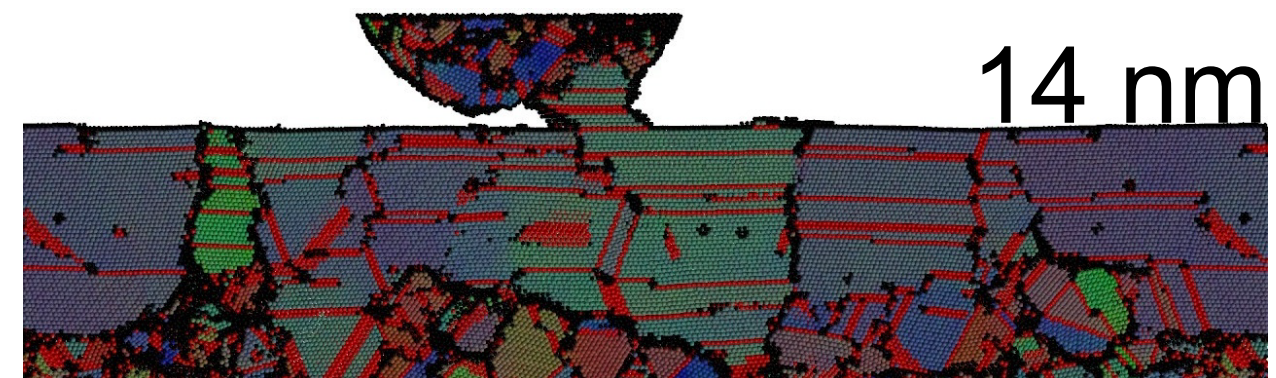
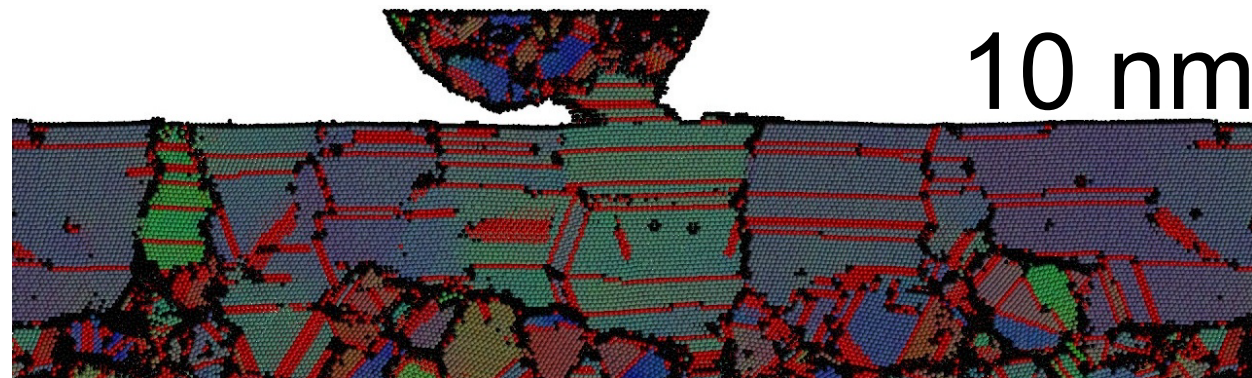
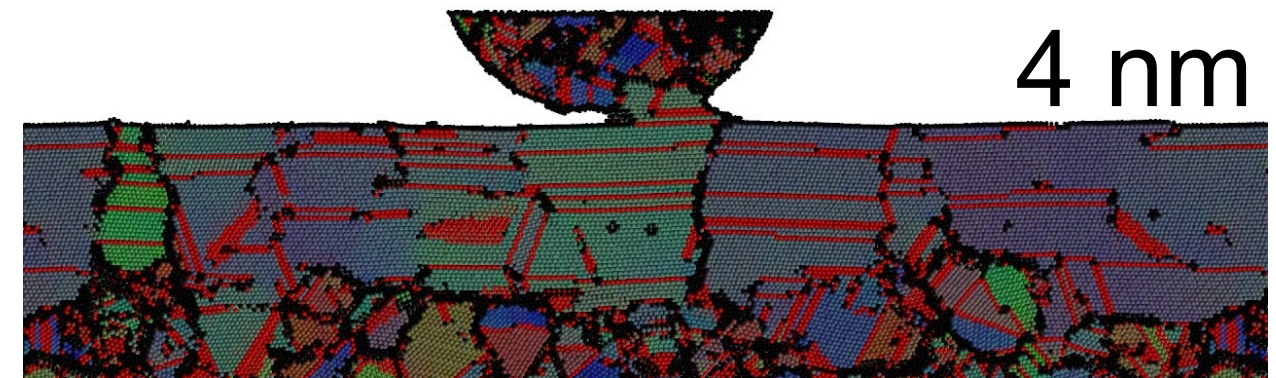
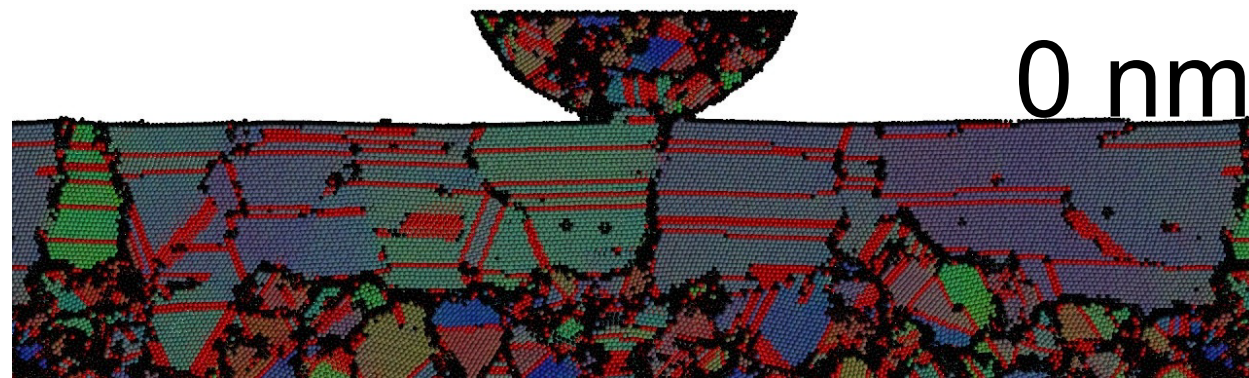
Alloying suppressed coalescence (commensurate contact) due to shear



Cannot measure friction with tip/slab due to significant normal load drift, perhaps related to work of adhesion (Ag/Cu alloy exhibits 2x that of Ag/Ag)



## Nanocrystalline pure metal surfaces coalesce and weld (high friction)

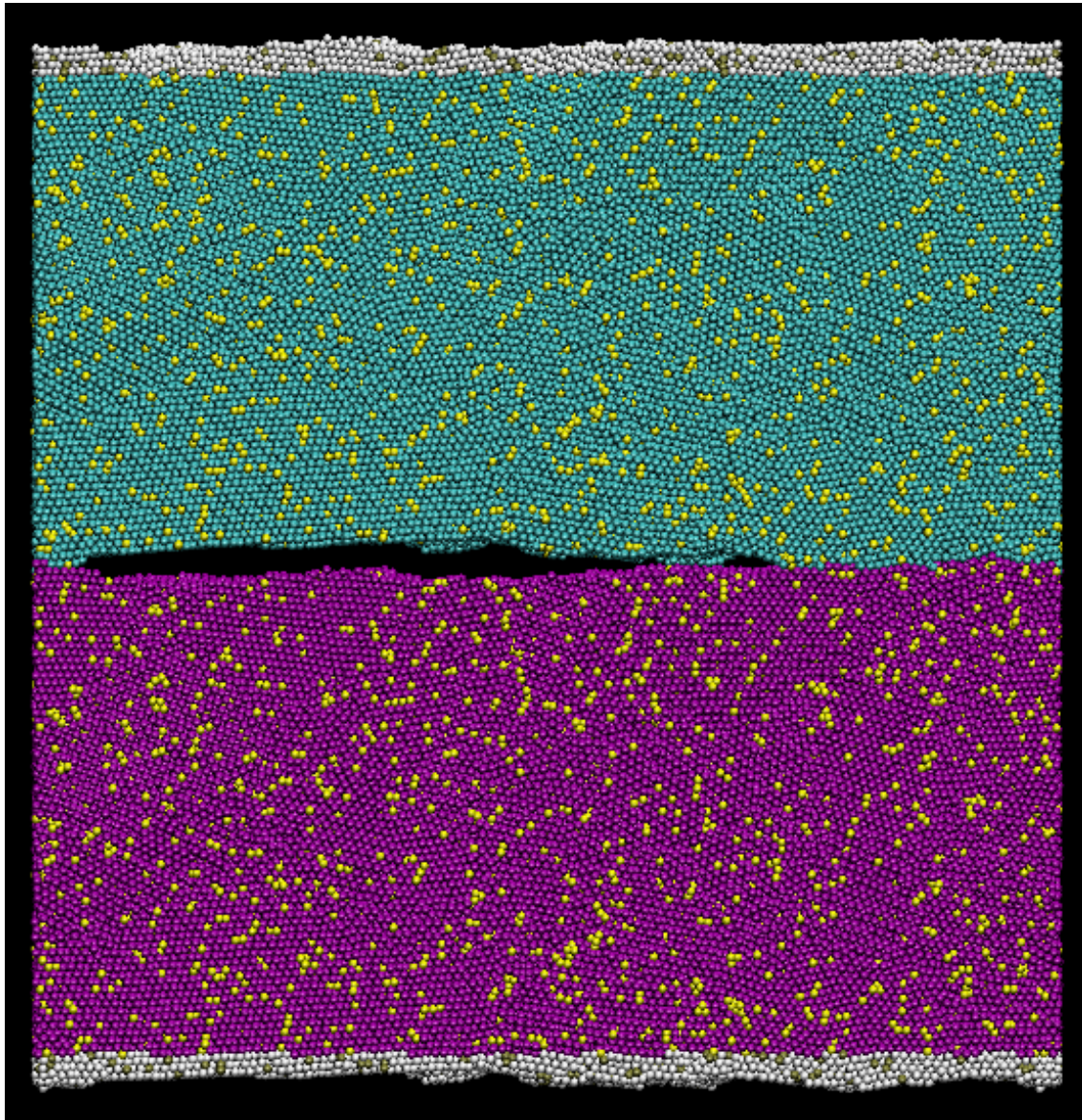


- initially distinct grains
- after shear (**adhesive** load), coalescence occurs -- now a mode II crack
- single grain forms across interface -- stress induced grain growth

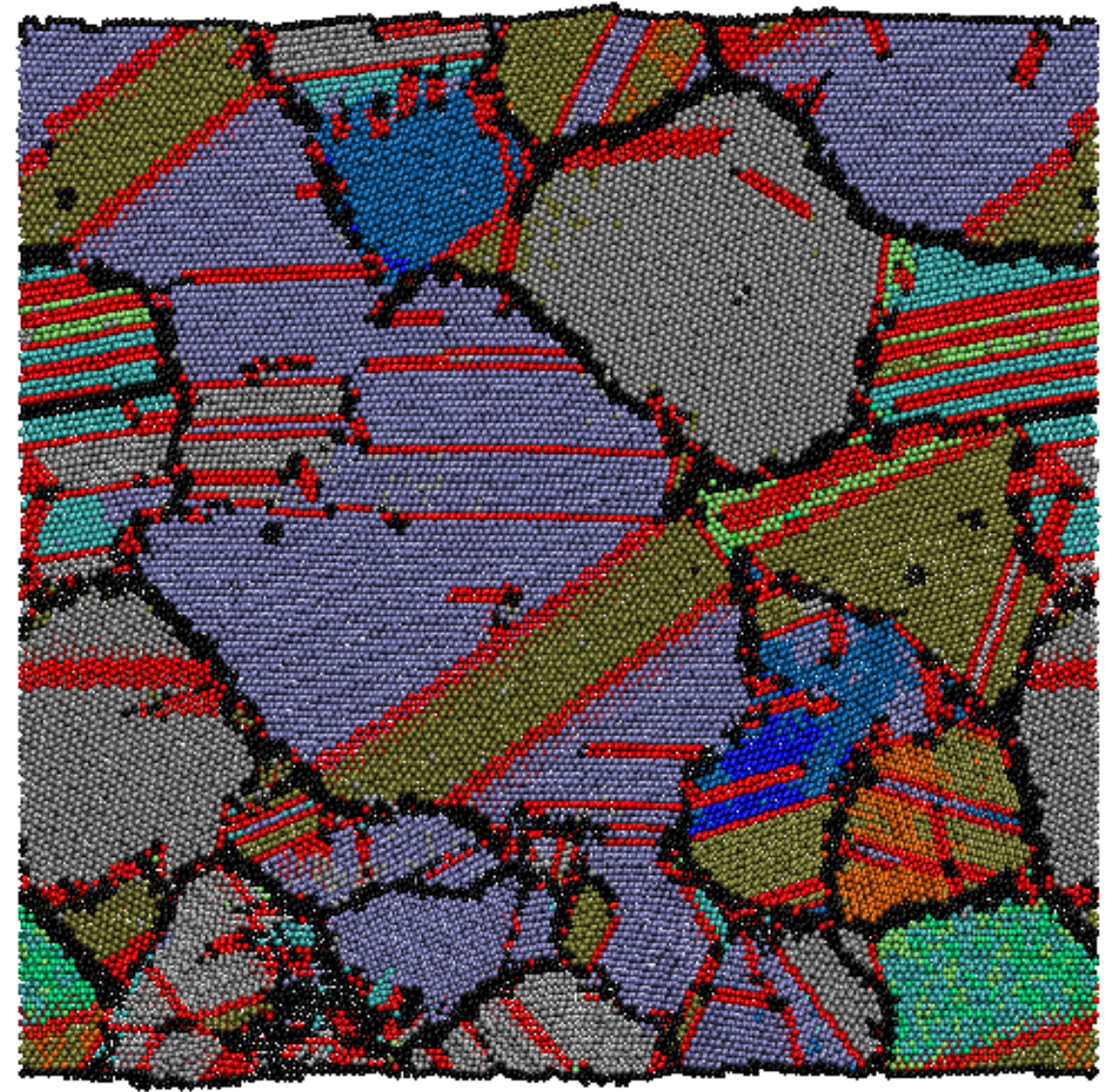


## Pure Ag slab/slab contacts coalesced, sheared at *stacking faults* NOT junction

Instead, used Ag slab/slab geometry, made by duplicating and rotating a slab (now using a compressive normal load, about 50 nN)



example of alloy slabs with initial roughness (yellow = Cu)



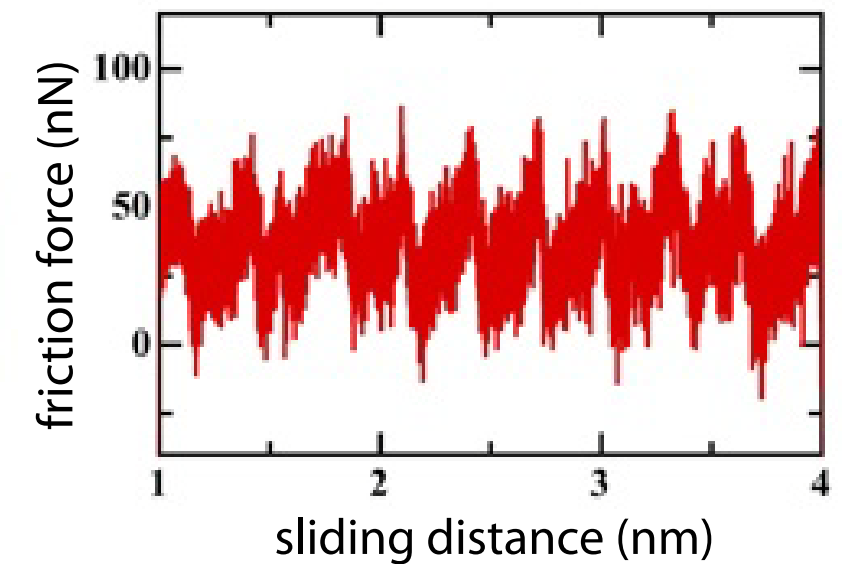
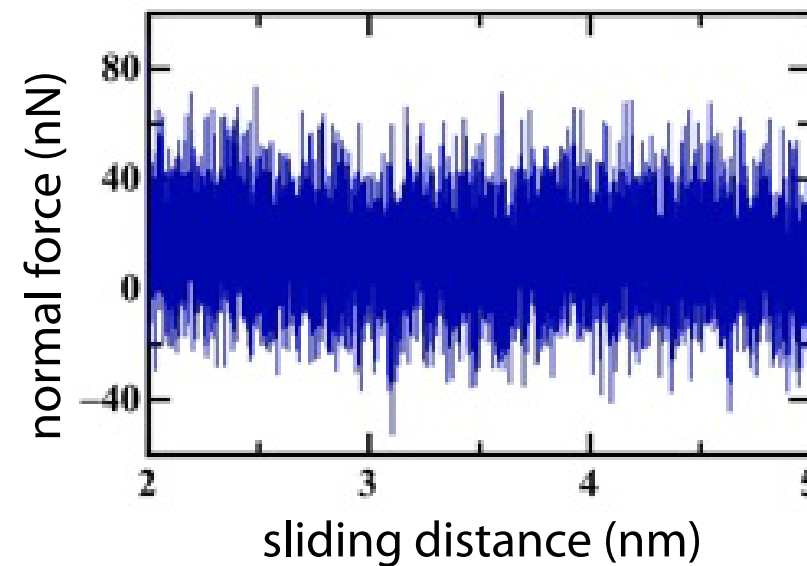
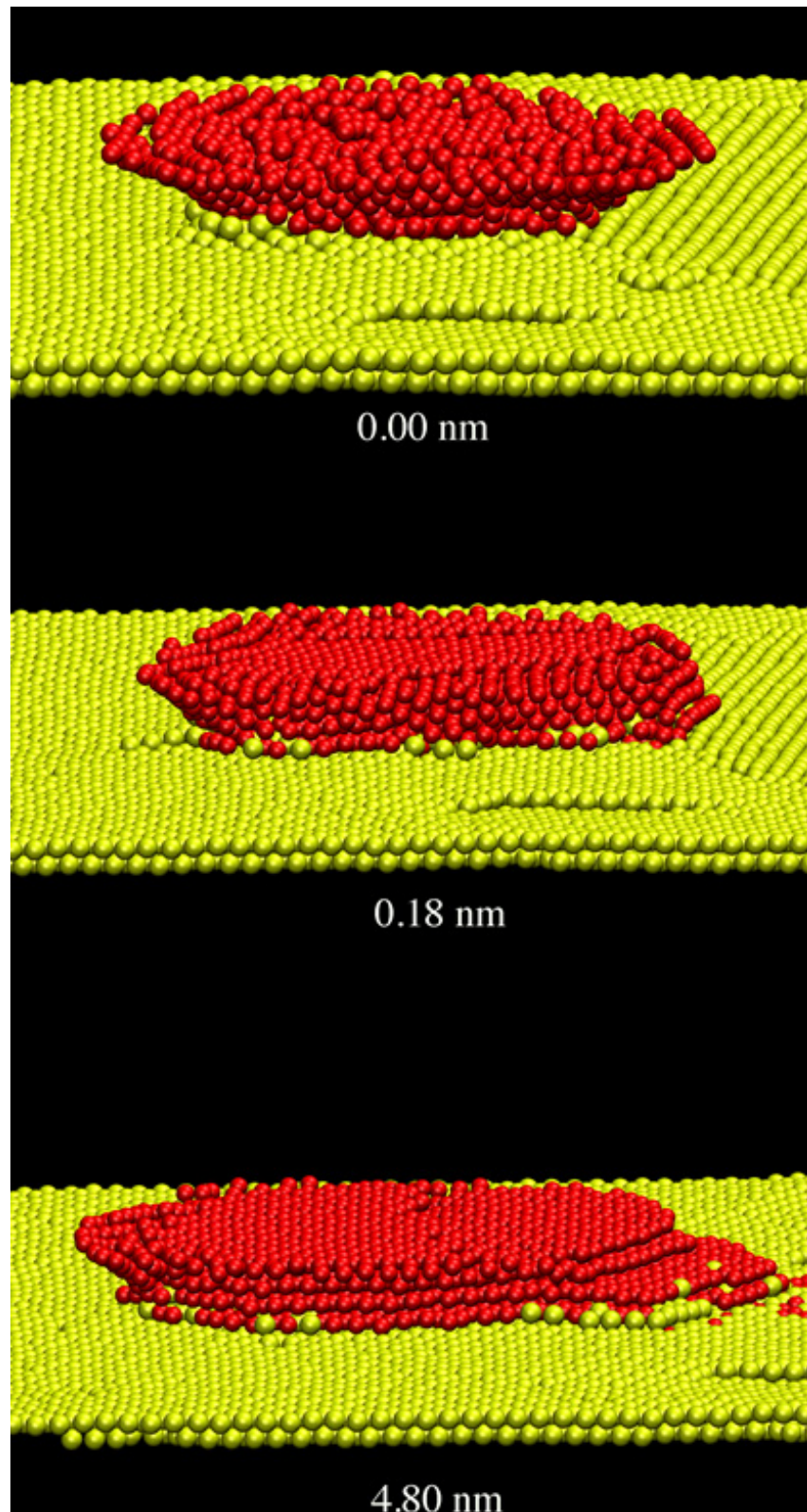
pure Ag slabs after shearing (completely welded)

- observed stress induced **grain growth**, as with tip/slab
- shear occurred at **stacking faults**, not the junction -- slabs coalesced



# Shear induces commensurate contact for Ag/Ag contact (high friction, $\mu > 1$ )

friction coefficient,  $\mu > 1$

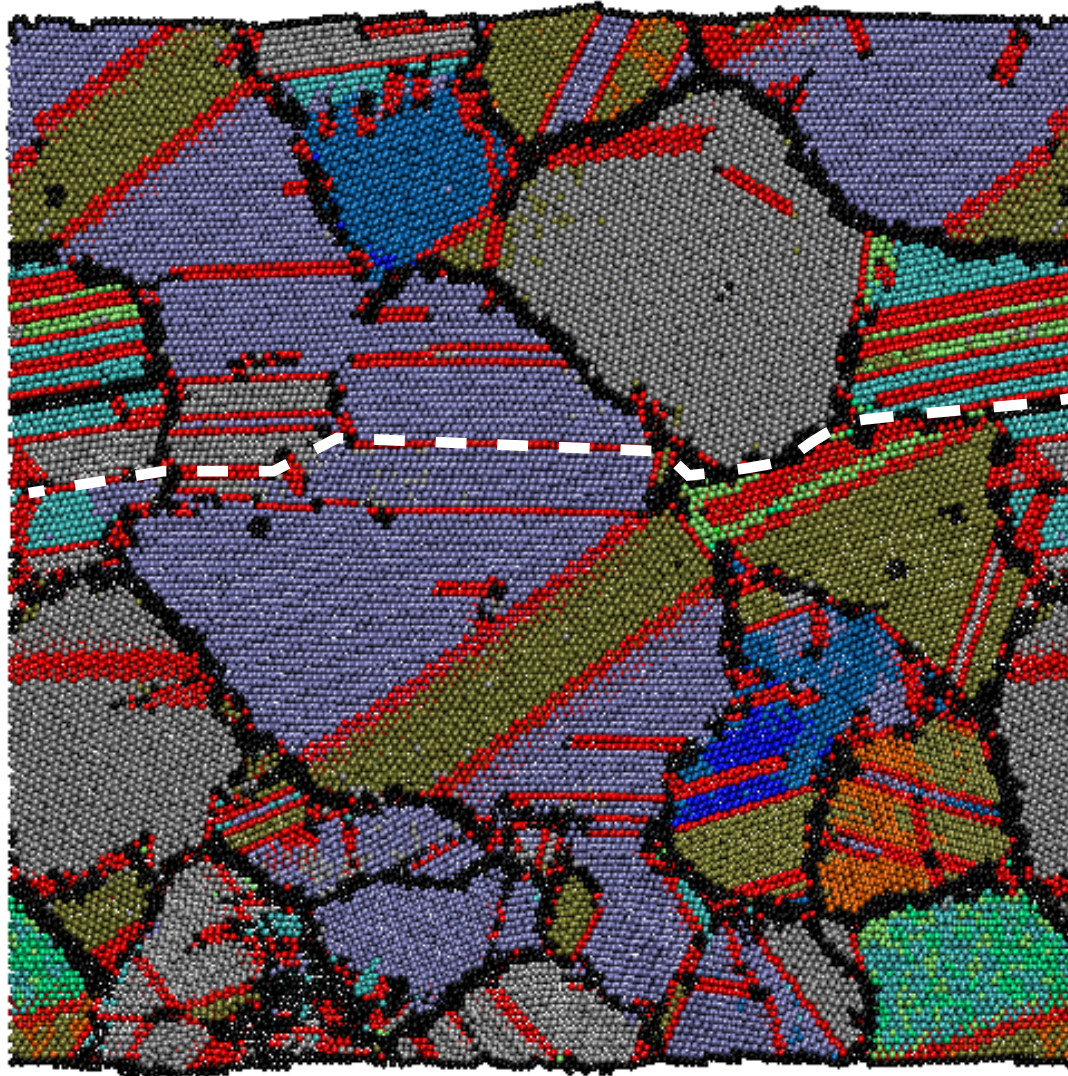


- layering of tip atoms
- stick-slip behavior
- shear induced commensurate contact
- commensurability leads to high friction

... do composites/alloys suppress this behavior?



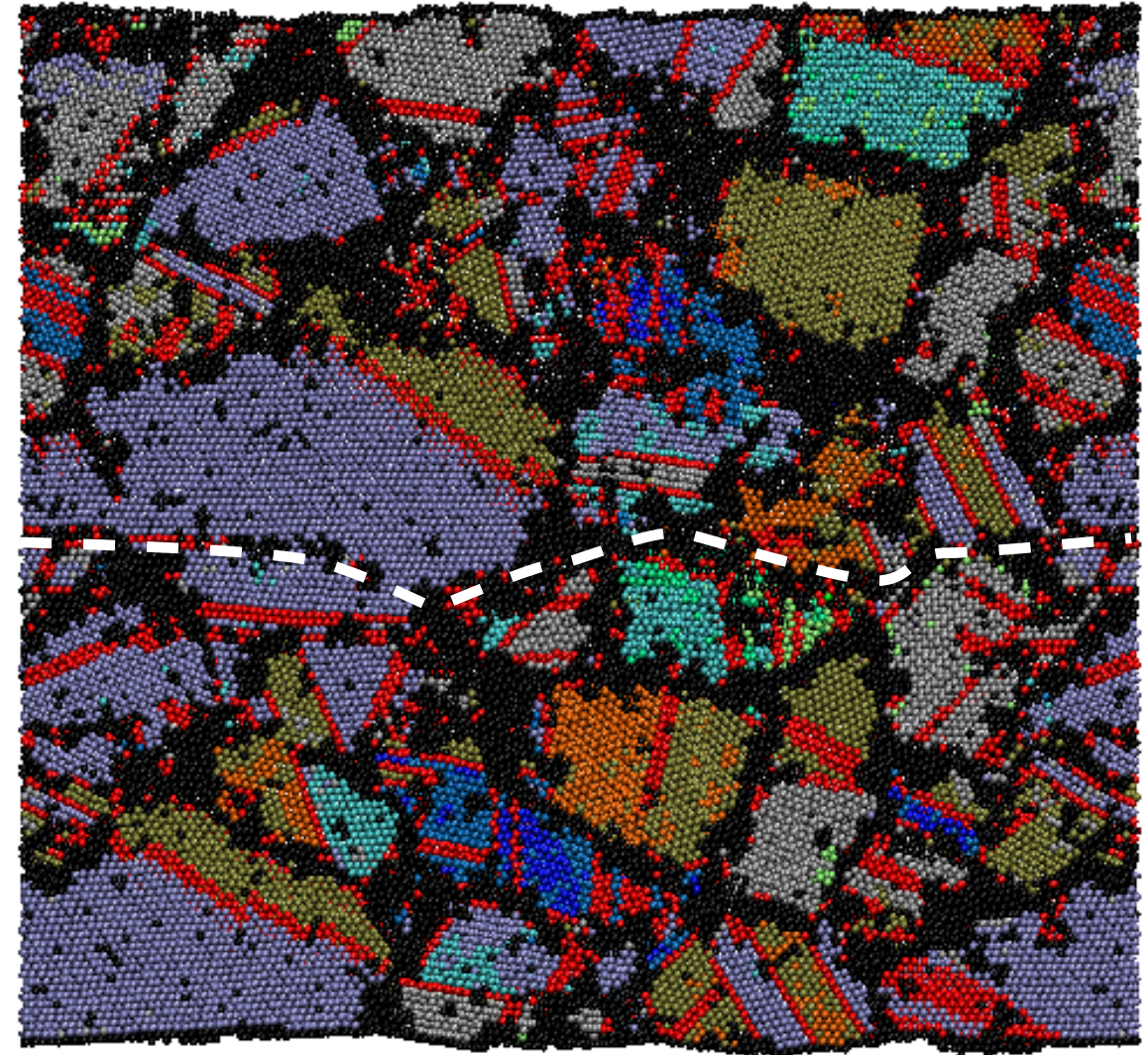
Ag slabs



friction coefficient,  $\mu \sim 0.22$

- shear occurred primarily at stacking faults in the bulk
- grains coalesced and grew across junction

Ag/Cu slabs



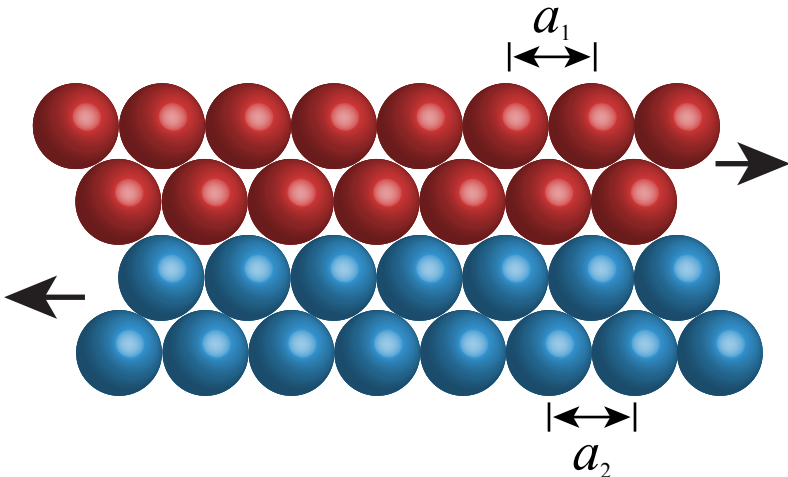
friction coefficient,  $\mu \sim 0.02$

- higher disorder
- shear occurred primarily at the *junction*
- coalescence was suppressed

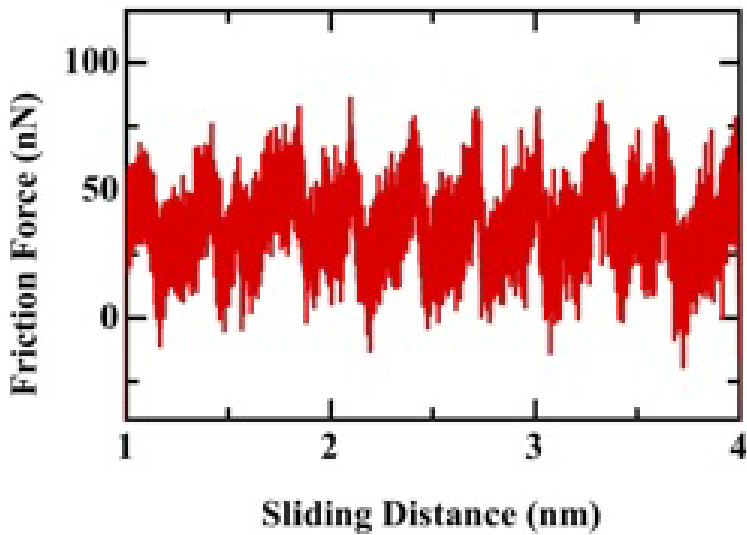


commensurate interface  
(**stick-slip**)

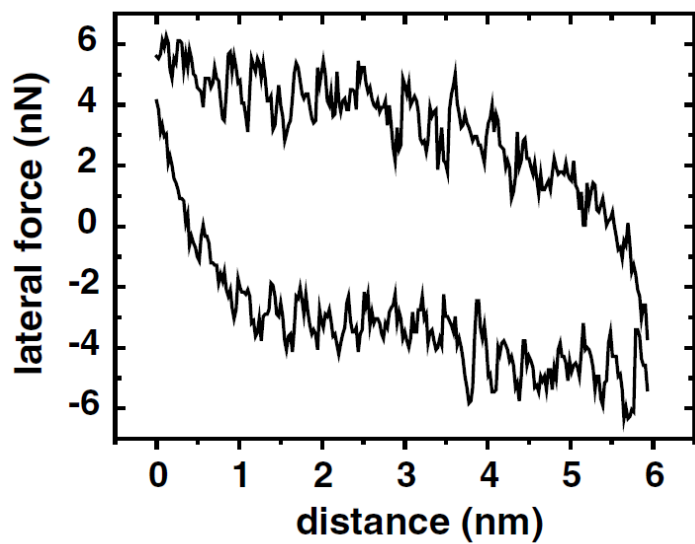
$$a_1 \cong a_2$$



MD simulation



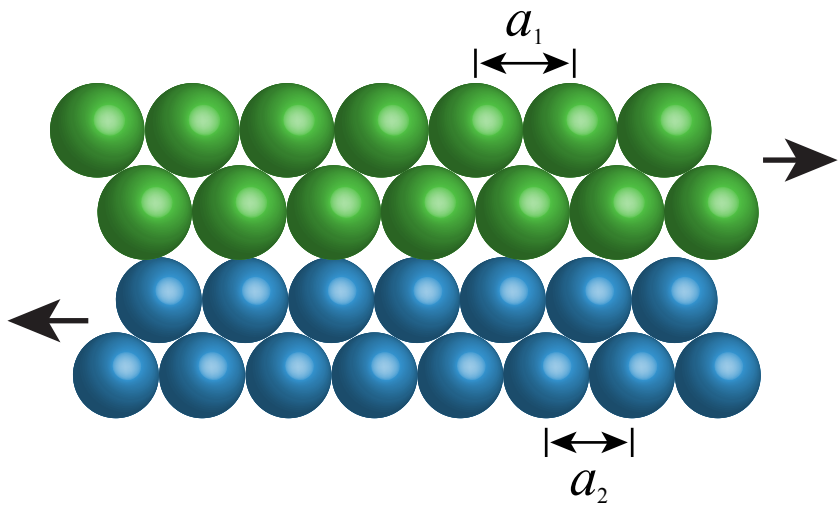
experimental (AFM) data



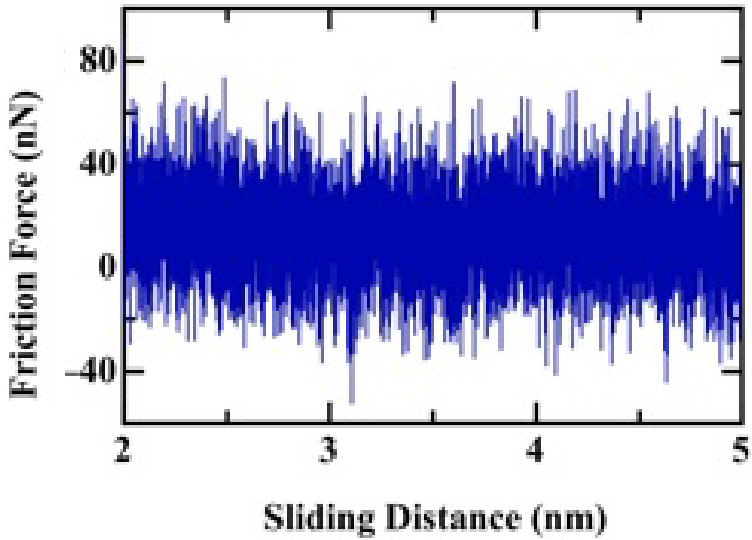
Ref: Gosvami et al, Phys. Rev. Lett. 2011

incommensurate interface  
(**smooth sliding**)

$$a_1 \neq a_2$$

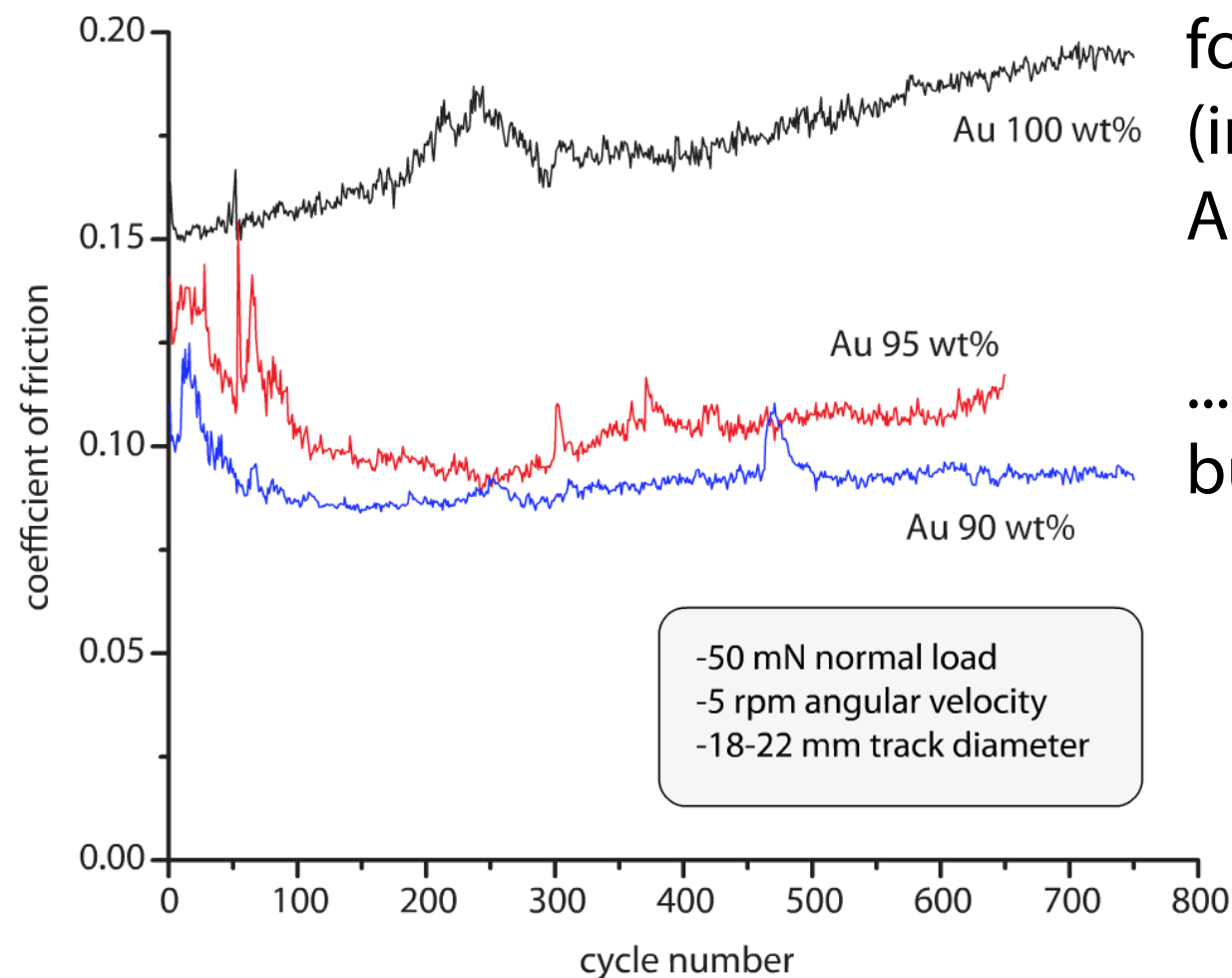


MD simulation





# Qualitative agreement with low contact stress experiments



Courtesy: WG Sawyer, U. Florida

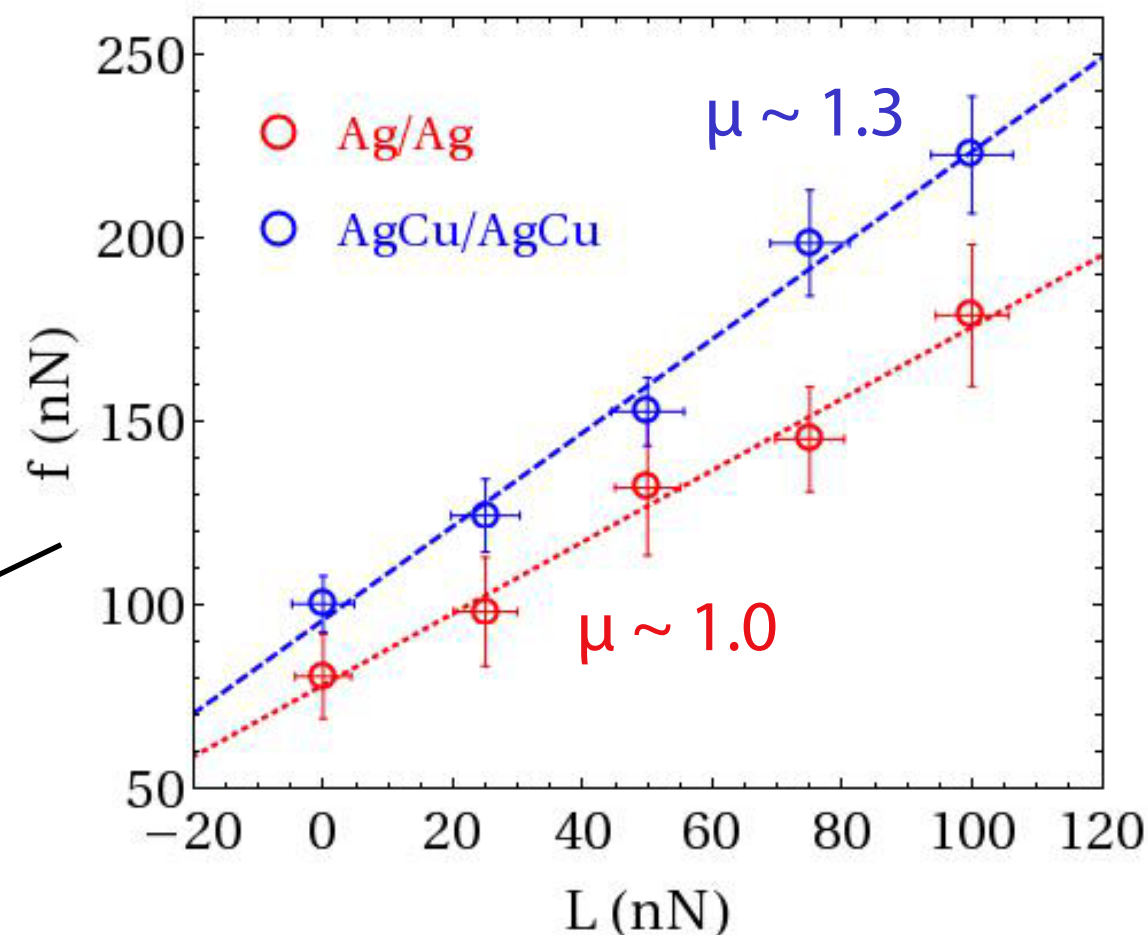
for pure Au contact  $\mu \sim 0.2$   
(in agreement with simulation AND with  
AFM experiments; **soft tip = no ploughing!**)

... reduction with alloying,  
but not to  $\mu \sim 0.02$

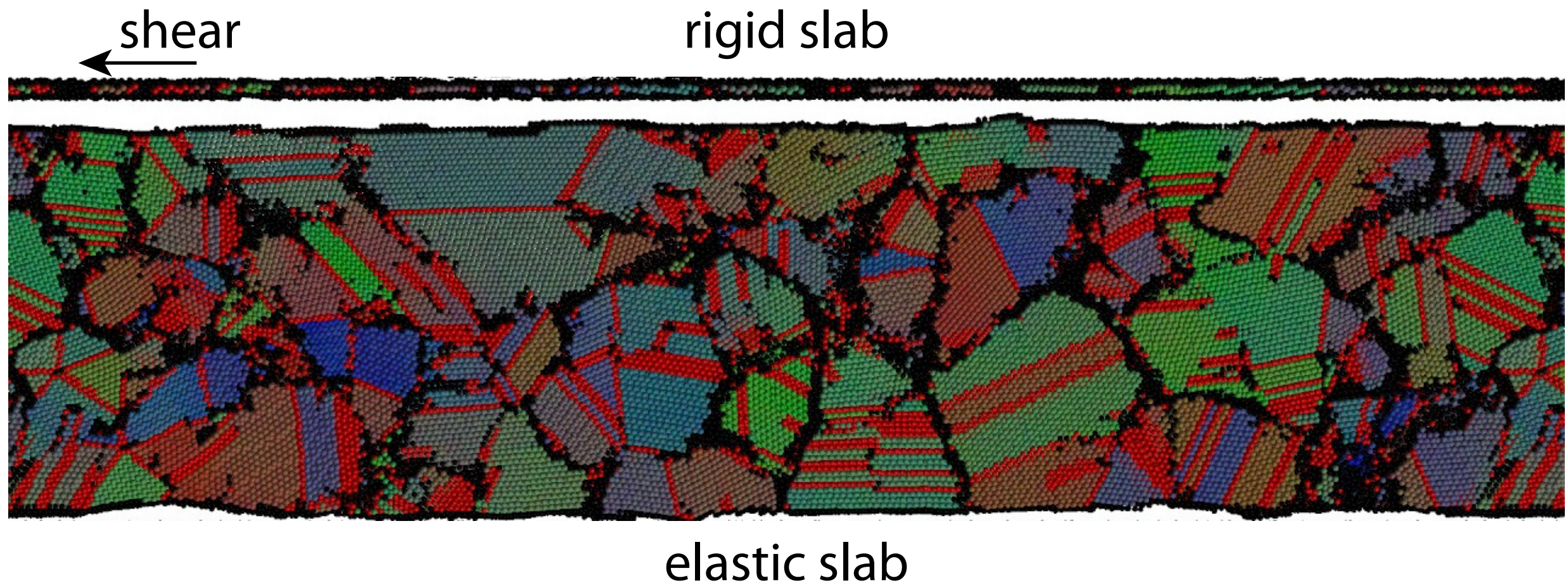
Using **rigid tip** in tip/slab contact can  
artificially suppress grain growth:

**material independent** friction  
behavior was observed  
(all friction was ploughing)

alloy  $\mu$  was higher



# Rigid slab sliding on slab suppresses grain growth and ploughing...

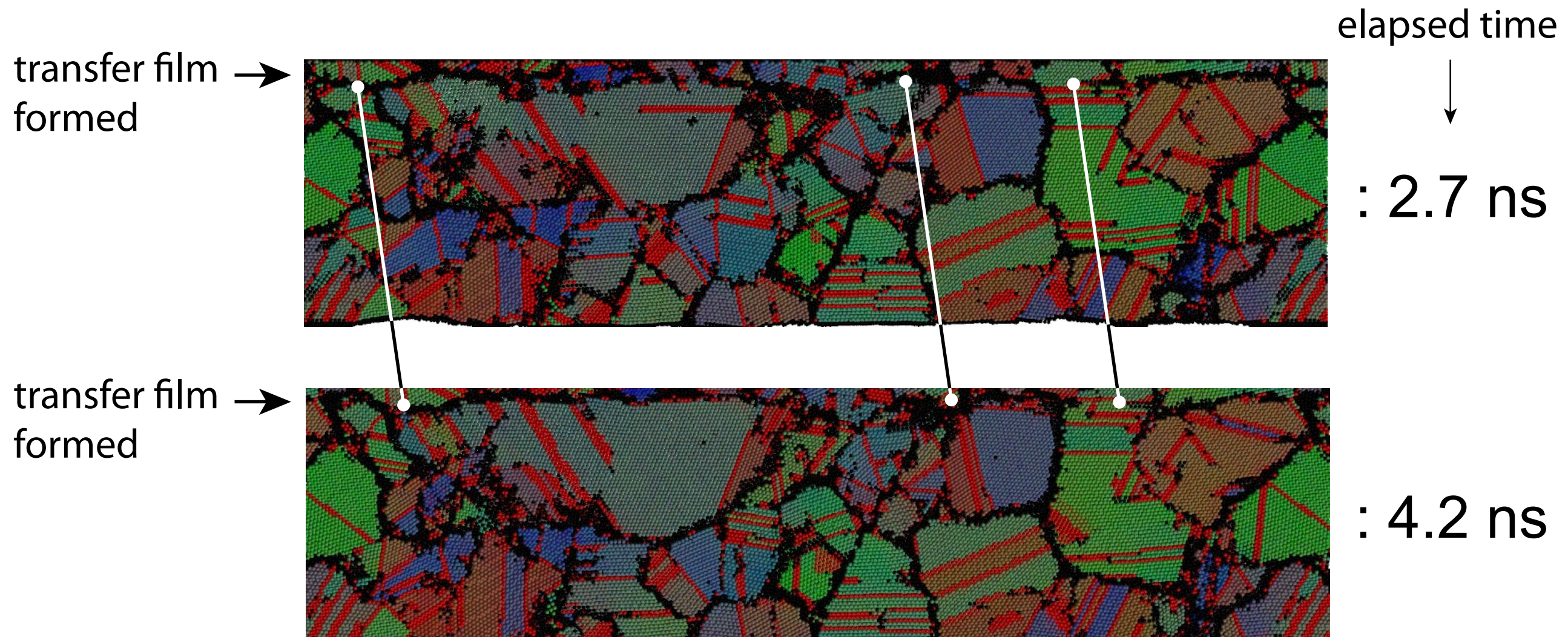


By using a slabs -> suppress ploughing

By rigidizing top slab -> suppress grain growth



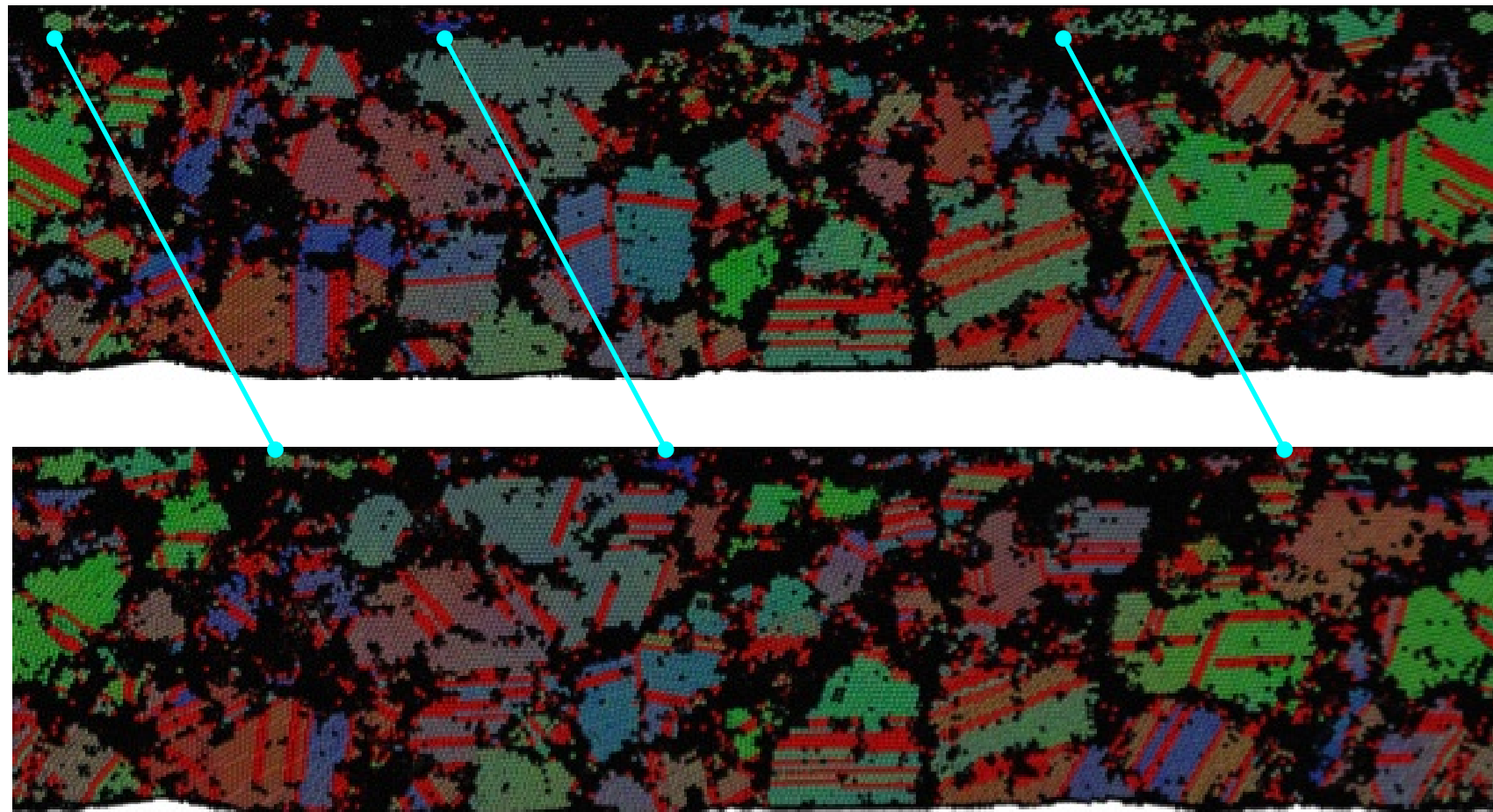
# Pure Ag slabs (one rigid/one elastic) formed transfer film, sheared at junction



- transfer film formed between rigid/elastic slabs
- sliding occurred along grain boundary of transfer film, or stacking fault, depending on local availability
- grain growth mostly suppressed

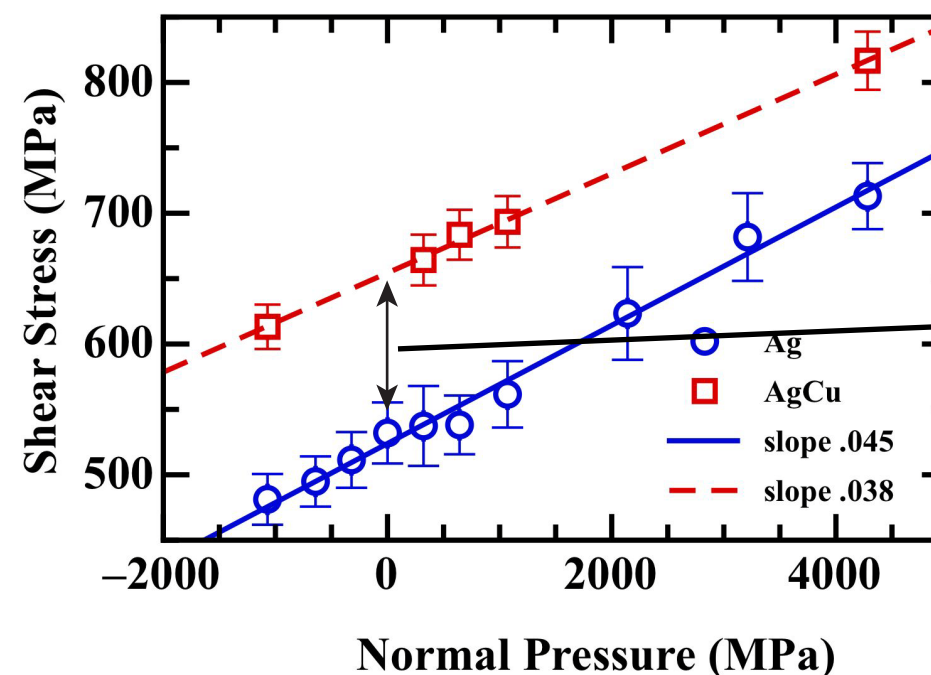


# Alloy slabs sheared at boundary *and* throughout substrate; friction was reduced



Alloy slabs slide at boundary and also throughout the substrate

Friction behavior  
was materials  
dependent



Alloy shear stress 23%  
higher than pure metal  
(650 MPa vs 530 MPa)



## Macro-scale experiments with hard gold ("engineering")

1. Reductions in friction and wear are attributed to grain refinement and grain boundary segregation of alloy species (typically an insoluble material) in the 1-2 wt. %
2. Friction reduces from  $\mu > 1$  to  $\mu \sim 0.3$  to 0.5 with order 1 wt. % alloying of various species -- wear reduces 17x over pure gold for a "gold/gold" contact (Neyoro G vs Au film) -- grain size reduced from  $> 500$  nm to  $\sim 100$  nm with 0.1 vol. % alloying

## MD simulations with hard gold-like materials ("fundamental science")

1. Pure metal contacts (without protective oxide films) will cold weld and undergo grain reorientation -- shear will occur along slip planes (dislocation mediated plasticity) -- and commensurate interfaces (self-mated materials) will exhibit the highest friction ( $\mu > 1$ )
2. Alloys such as hard gold will still cold weld, but grain reorientation is suppressed -- shear occurred along transfer film boundary -- grain boundary mediated shear

## Acknowledgments

- Somuri Prasad and Michael Dugger for fruitful discussions and support
- Joeseeph Michael and Bonnie McKenzie for SEM/EBSD microscopy
- Rand Garfield for tribotesting specimen preparation



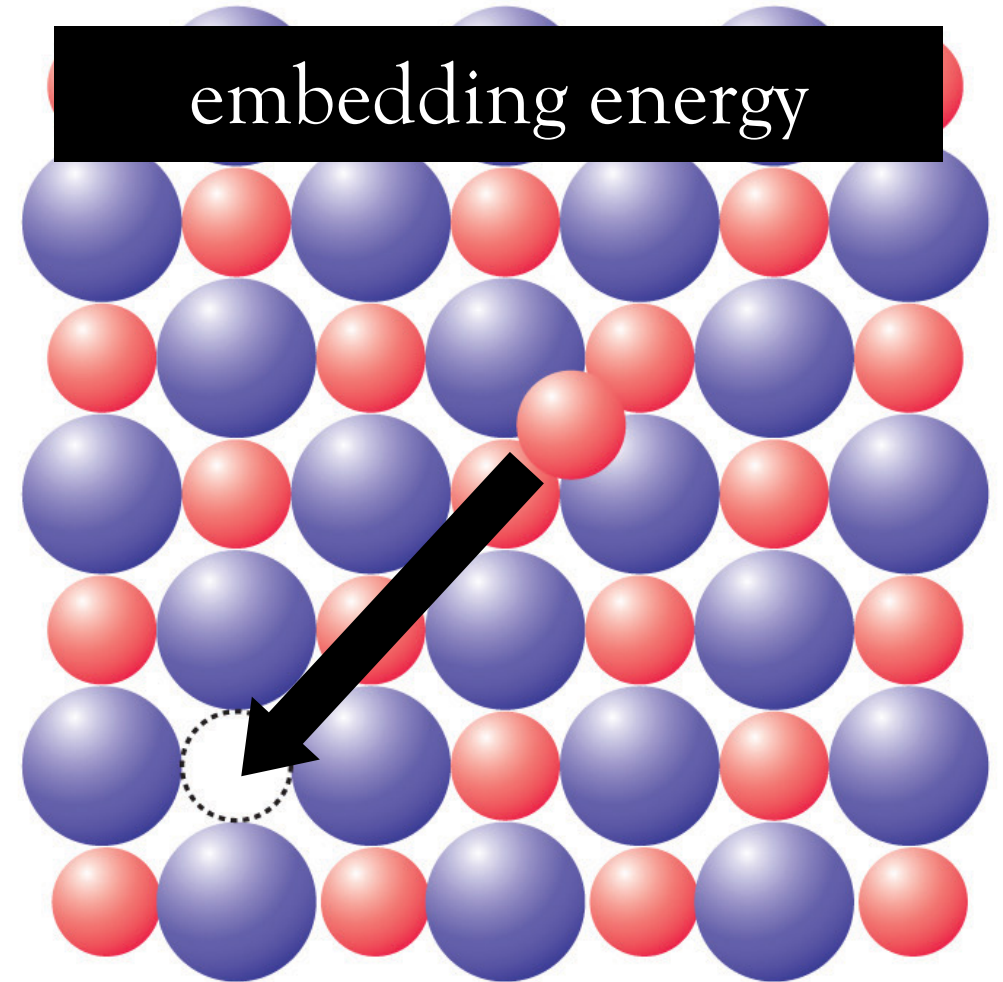
non-bonded



$$4\epsilon \left[ \left( \frac{\sigma}{r} \right)^{12} - \left( \frac{\sigma}{r} \right)^6 \right] \quad r < r_c$$

+

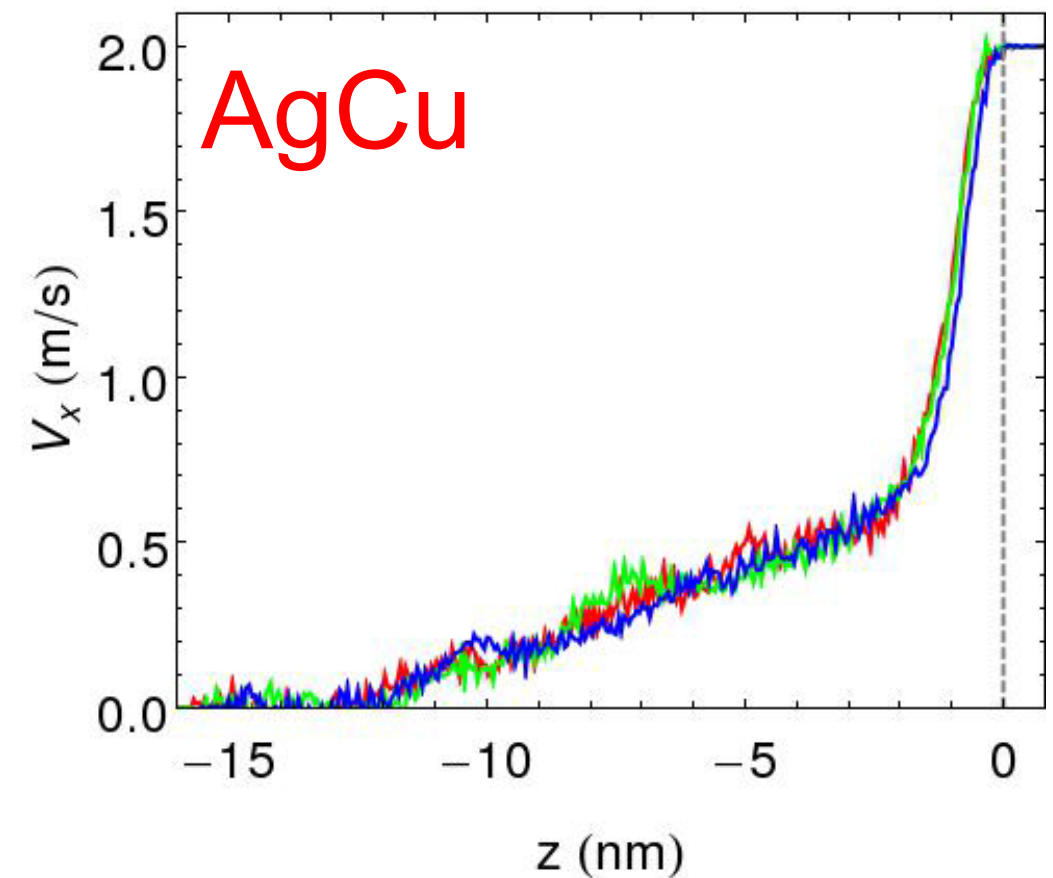
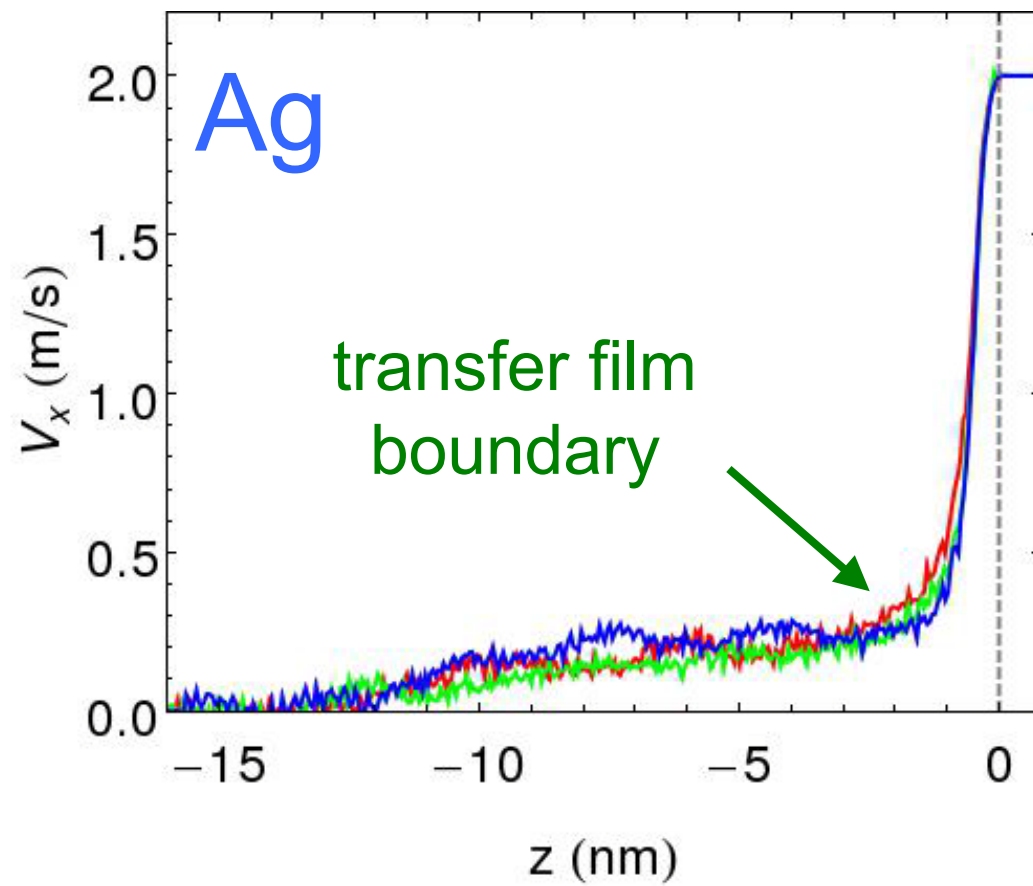
embedding energy



$$F_\alpha \left( \sum_{i \neq j} \rho_\beta(r_{ij}) \right)$$



# Velocity Profiles



- Velocity profiles indicate liquid-like shearing
- Ag shears at transfer film
- AgCu shears at boundary, also throughout substrate
- Can extract pseudo-viscosities: Ag = 19 Pa.s, AgCu = 10 Pa.s
- Compare to Merkle and Marks, Wear (2008): Au = 2 Pa.s