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Web



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P24 Plasma Physics Summer School
2012
Los Alamos National Laboratory
Summer lecture series for students

1. Tom Intrator, P24 LANL: Kick off, Introduction - What is a plasma?
2. Bruno Bauer, Univ. Nevada–Reno: Derivation of plasma fluid equations
3. Juan Fernandez, P24 LANL Overview of research being done in p-24 –
4. Tom Intrator, P24 LANL: Intro to dynamo, reconnection, shocks
5. Bill Daughton X-CP6 LANL: Intro to computational particle in cell methods
6. Kirk Flippo, P24 LANL: High energy density plasmas
7. Thom Weber, P24 LANL: Energy crisis, fission, fusion, non carbon fuel cycles
8. Tom Awe, Sandia National Laboratory: Magneto Inertial Fusion
9. Yongho Kim, P24 LANL: Industrial technologies

What is a plasma?

The other 99% of the universe

T. Intrator

P-24 Plasma Physics

2012 Jun 13

P-24 Plasma Physics Summer School

Center for Non Linear Studies conference room

Wednesdays 1pm-2pm

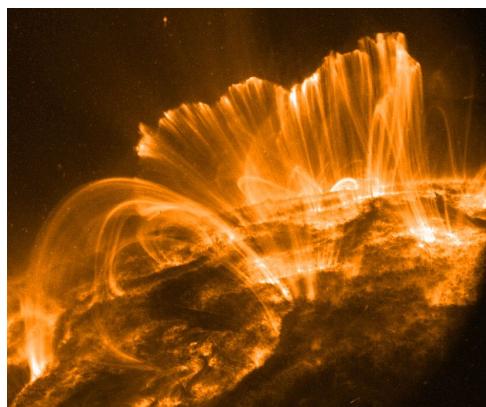
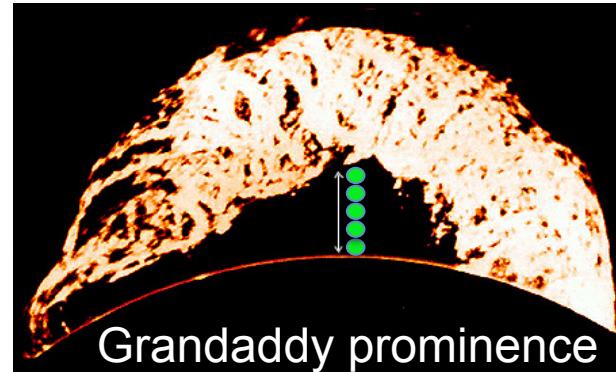
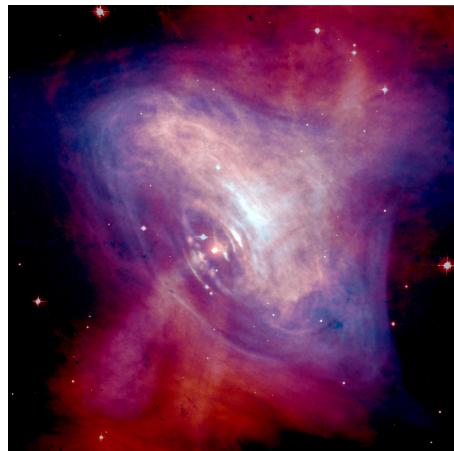
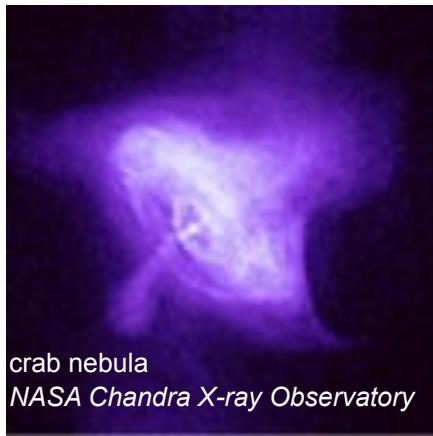
Abstract

This introduction will define the plasma fourth state of matter, where we find plasmas on earth and beyond, and why they are useful. There are applications to many consumer items, fusion energy, scientific devices, satellite communications, semiconductor processing, spacecraft propulsion, and more. Since 99% of our observable universe is ionized gas, plasma physics determines many important features of astrophysics, space physics, and magnetosphere physics in our solar system. We describe some plasma characteristics, examples in nature, some useful applications, how to create plasmas. A brief introduction to the theoretical framework includes the connection between kinetic and fluid descriptions, quasi neutrality, Debye shielding, ambipolar electric fields, some plasma waves. Hands-on demonstrations follow. More complete explanations will follow next week.

Outline

- What is a plasma?
- Different examples
- How to create plasmas?
- Theoretical framework
 - Particles & fluids
 - Maxwell's equations
 - Debye shielding, sheath
- Magneto hydrodynamics
- Waves
- Bruno Bauer next week will amplify on these and more
- Some applications
- Summary

Large Scale Plasma Structure in the Universe



Coronal arches, magnetic structures

Arrow is 64,000km, 5 earths high, earth diameter = 12756km

June 4, 1946 High Altitude Observatory...
<http://solar-center.stanford.edu/compare>

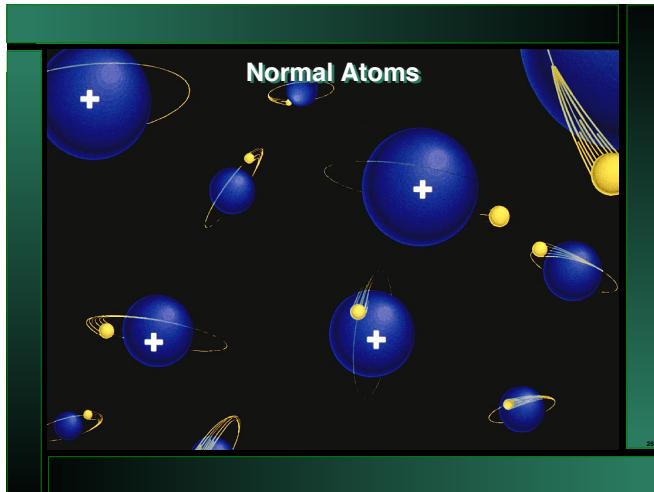
What is a plasma?

- Plasma state is the “fourth” state of matter
- As a solid is heated
 - Bonds between adjacent molecules loosen => solid (1/40 eV)
 - More heat => loosens up the lattice => Liquid state
 - More heat => neighboring bonds are broken => gas
 - More heat => molecular collisions => dissociate to atoms
 - More heat => collisions knock off electrons
 - neutral particles, ions & electrons
 - $k_B T \approx$ few eV, equivalent to chemical bonds
 - 99% of the universe
- **solid => liquid => gas => plasma**

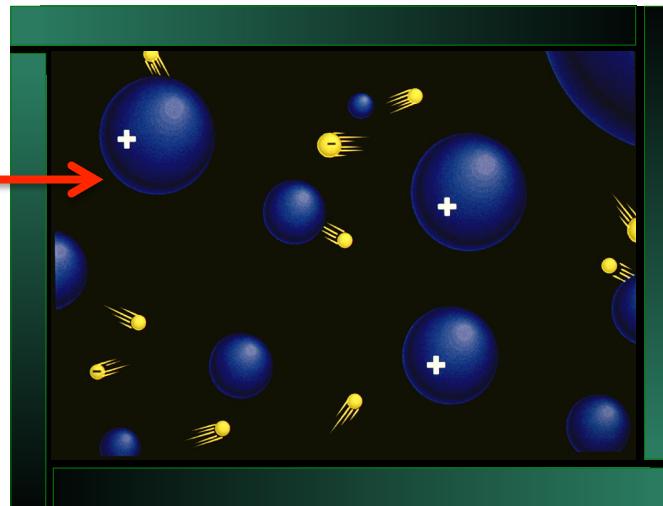
Plasma science is interdisciplinary

- Plasma astrophysics: most of our universe
- Planetary magnetospheres
- Fusion energy
 - Magnetic: tokamak, stellarator, compact toroids: MFE
 - Inertial: laser, Z-pinch, pulsed: IFE
 - Magneto-Inertial: MIF is in between
- High energy density plasmas, extreme states of matter
- Spacecraft thrusters
- Industrial applications: semiconductors
- Radiation generators
- Weapons

Normal atoms are charge neutral



Add some thermal energy
electrons acquire enough energy to
escape from atomic binding forces =>
ions & electrons

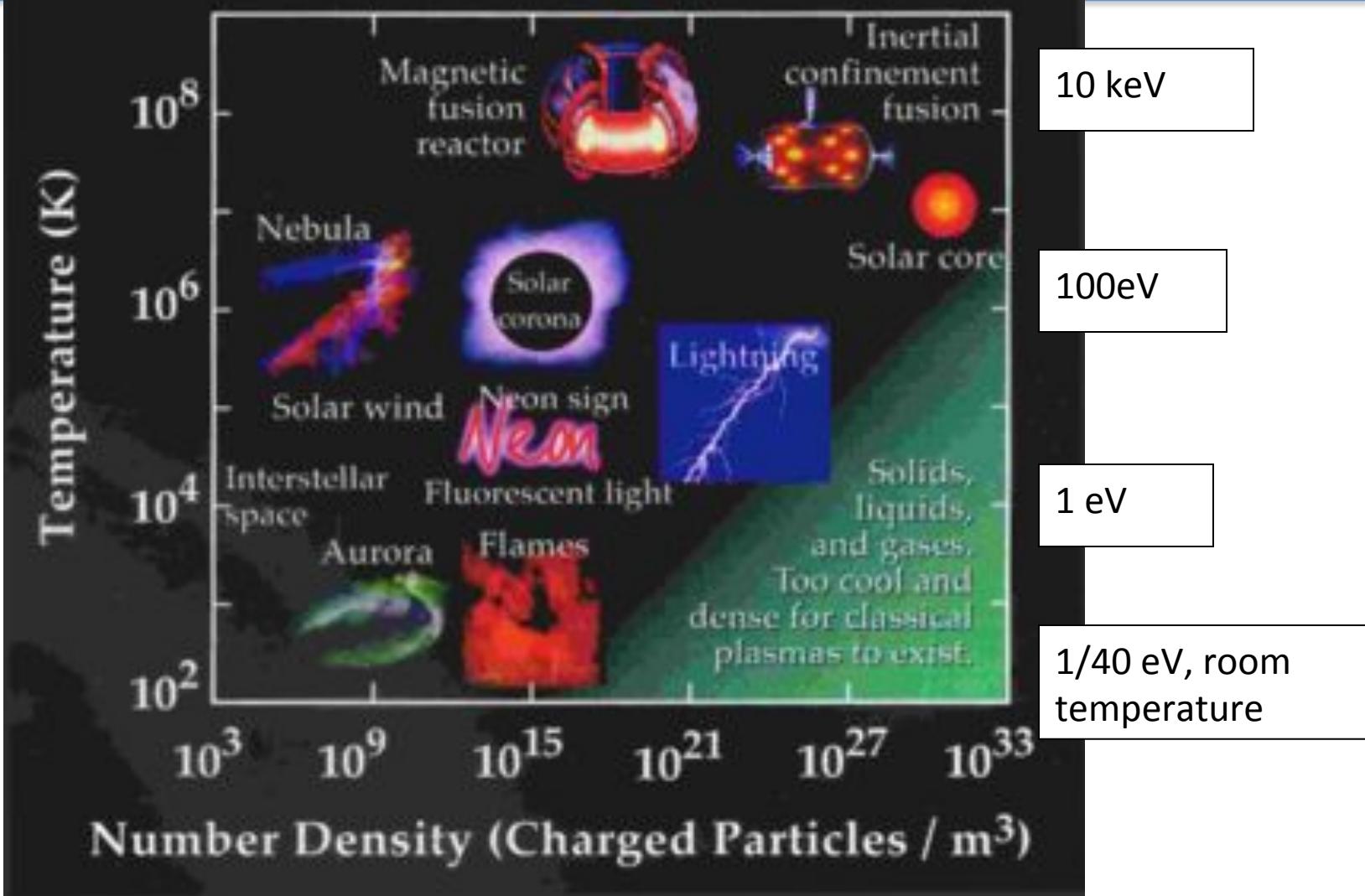


Fusion: Creating a Star on Earth
fusednet.gat.com/images/pdf/Slides01-67.pdf

plasmas differ from everyday materials

- With most materials, nearest neighbor interactions determine properties
- BUT: In a plasma,
 - charge separation generates electric fields
 - Charge flow generates currents and magnetic fields
 - These fields create “action at a distance” - Collective effects
 - A huge range of phenomena
 - Startling complexity
 - Many practical applications
 - Observable 99% of the universe is plasma

Plasmas - The 4th State of Matter



Plasma - πλασμα

- The term plasma was originally coined by Langmuir and Tonks in 1929
 - **πλασμα**
 - In Greek, this means *moldable substance* or jelly
- Today the term “plasma” is used quite generally to describe quasi neutral systems of charged particles
 - Plasma physics is the study of its behavior
- Analogous to quantum mechanics - both wave and particle properties
 - Plasmas behave both as collections of particles as well as a fluid
 - Fluid and electromagnetic waves, flows

Sketch the history

- 1879 Crookes tube - "radiant matter"
- 1920's Langmuir- vacuum tubes
- 1930's Appleton- ionosphere
- 1950's thoughts about nuclear fusion
- 1960's solar wind, stellar interiors
- 1980's ... industrial applications
 - Semiconductor industry
 - Plasma processing of materials
 - Plasma torch

Where do we find plasmas?

- On earth in nature
 - Lightning
 - Aurora
- in everyday life
 - Spark plug, arc welder, gas stove, fluorescent light bulb, ...
- Beyond our earth's atmosphere
 - Magnetosphere pervades our solar system
 - Plasma system formed by the interaction of the earth's magnetic field and the solar wind
- Stars are made of plasma, including our sun
- Most of the universe is made up of plasma
 - Leave dark matter for the cognoscenti

Northern lights - aurora borealis

electron acceleration from $1 R_E$ down polar field lines

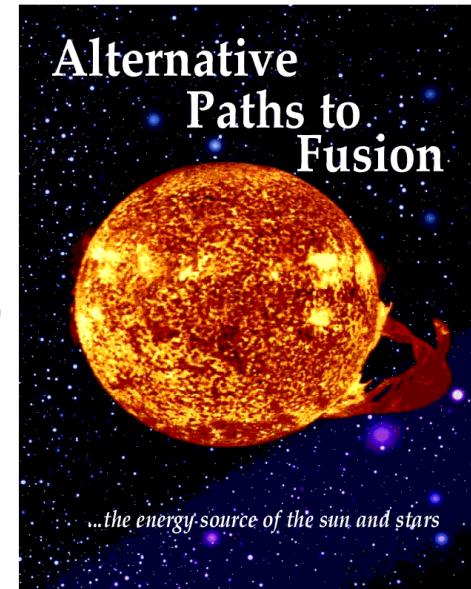


How to make a plasma?

- Heating a container with gas inside is not practical
 - the container would need to be as hot as the plasma, i.e. ionized as well
- Typically in the laboratory a small amount of gas is heated and ionized. Power absorption can occur when you
 - Pass a **current** through it
 - Sprinkle a gas with **fast electrons** to collide with atoms
 - Shine **radio, light waves** through it
 - **Shock** it
- Container must be cooled, or insulated (e.g. with a magnetic field)

What are plasmas used for?

- Gas lasers, visible, X-ray wavelengths
- Plasma processing of materials
 - Plasma assisted chemistry
 - etching & deposition (semi-conductors, sunglasses)
- Lighting
- Next generation of particle accelerators
- Potential energy source from thermonuclear fusion
 - $D^+ + T^+ \Rightarrow \alpha(3.5 \text{ MeV}) + n(14.1 \text{ MeV}), T_i > 10 \text{ keV}$
 - Magnetic confinement, laser inertial confinement, Magneto Inertial Fusion
 - Non carbon fuel cycle
 - “greener” than fission breeder reactors



Plasma processing of materials

- Plasma chemistry
- Surface modification
- Semi conductors
 - Etching
 - Oxidizing
- Plasma spray, torch
- Decontamination
- Gas lasers
- Solid state plasmas
- Fusion
- Space physics



Why fusion plasma science?

- Dual nature of fusion research
 - *Grand Challenge* frontiers of science and technology
 - Plasma physics of most of the baryonic universe
 - Non-fossil energy source ... could power large cities and industries
- LANL missions
 - Energy security
 - Threat reduction: neutrons for active interrogation, interpret satellite data
 - Pushes limits of multi scale computing
 - NWP: high energy density plasmas
- many other applications
 - Astro, space, solar physics
 - Advanced space propulsion

Theoretical framework is diverse

- Start with gas with *charged* particles
 - *Kinetic theory* of gases can be extended, include magnetic fields
 - Energy transfer between waves and particles
- *Many body problem* for inverse square fields
 - *Coulomb* for charged particles q/r^2
 - *Gravity* for stars mMG/r^2
- *Fluid dynamics* with high conductivity
 - *Magneto Hydro Dynamics* - (MHD)
 - *Flows* convect magnetic fields, relaxation, self organization
- Similarity to a solid
 - *Collective* wave modes are described by a *dielectric tensor*
 - Key properties- *polarization, dispersion* resemble solid state physics

3D view of solar wind, bow shock probably typical of planetary magnetospheres



Fluid like flow in the solar wind

Fluid “feels” electromagnetic forces

- *Plasma fluid* includes
 - High electrical conductivity
 - free positive and negative charges \Leftrightarrow electric fields
 - Charge movements \Leftrightarrow currents
 - Currents & magnetic fields must close on themselves
- Plasma *electric and magnetic* fields
 - This leads to a diverse “zoo” of properties
 - Self consistent structure is fascinating
 - Vorticity, particles \Leftrightarrow waves, turbulence, chaos ...
 - Self organization

Maxwell's equations (SI units)

describe electromagnetic fields

Faraday's Law

$$\nabla \times E = - \frac{\partial B}{\partial t}$$

Loop currents and magnetic field

$$\nabla \cdot B = 0$$

Poisson's eqn,
charge density

$$\nabla \cdot \epsilon_0 E = e(n_i - n_e) = \rho$$

Ampere's law

$$\nabla \times B = \mu_0 J + \frac{1}{c^2} \frac{\partial E}{\partial t}$$

Current conservation

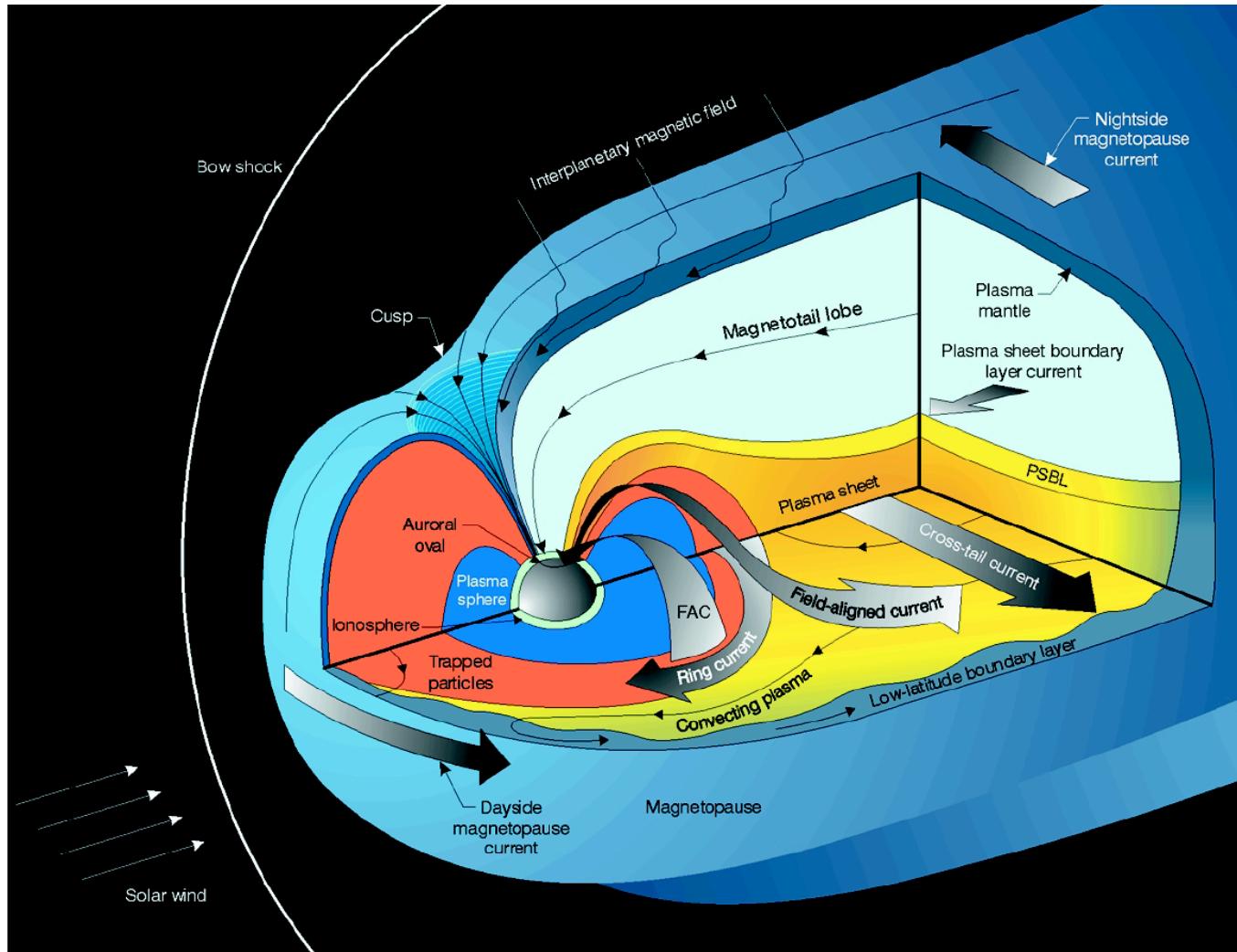
$$\partial(\rho n)/\partial t + \nabla \cdot J = 0$$

Lorentz force equation

$$F = q(E + v \times B)$$

Earth + solar wind + dipole magnetic field

=> convecting field lines, bowshock, magnetotail, plasmoid

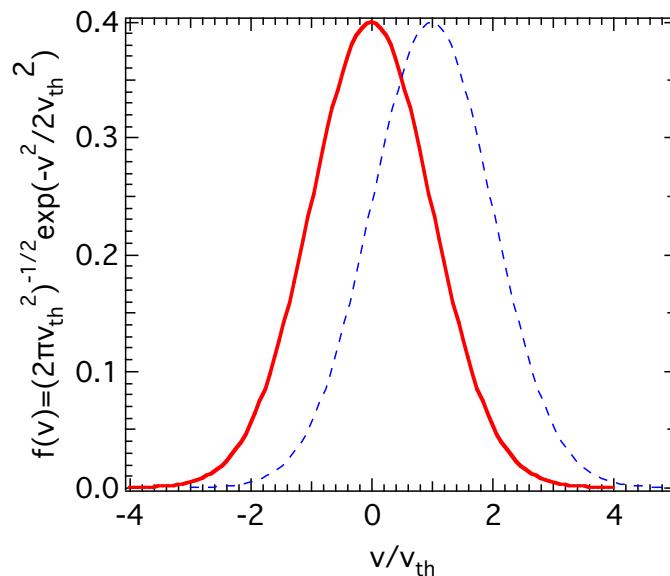


Link particle and fluid pictures

- Velocity distribution function
 - $f_v(\mathbf{x}, t)$
 - Probability of a particle with a chosen velocity
 - Thermal jitter \Rightarrow Maxwellian distribution
 - $f(v) =$
 - $(2\pi v_{th}^2)^{-1/2} \exp(-v^2/2v_{th}^2)$
 - $T = mv_{th}^2$

Averaged fluid quantities

- Density $n/n_0 = \int f(v) dv$
- Thermal velocity $v_{th}^2 = \langle v^2 \rangle = \int v^2 f(v) dv$
- Drift velocity $v_D = \langle v \rangle = \int v f(v) dv$



Charge neutrality & Debye length

- Consider a plasma, that initially has
 - Thermo dynamic equilibrium: **Maxwell-Boltzmann** distribution
 - uniform charge density $n_{i0} \approx n_{e0}$
 - zero electric field
- How does the charge density behave when we attempt to change it?
- Suppose electron density drops from $n_{e0} \Rightarrow (1-\delta)n_{e0}$, $n_i(x) \approx \text{constant}$
- If there were no change in electron density the equation for potential would be
 - $E = -\nabla\phi$
 - $\nabla \cdot \epsilon E = e(n_i - n_e)$ divergence of $D = \epsilon E = \text{free charge density}$
 - $-\epsilon \nabla^2 \phi = e \delta n$

$$-n_e(\phi, v) = n_{e0} \exp[-(e\phi/T + v^2/2v_{th}^2)]$$

Charge neutrality & Debye length

- Maxwellian electron distribution $f(\text{energy})$ in 1 dimension
 - $-\epsilon \frac{d^2\phi}{dx^2} = e \{n_{i0} - n_{e0} \exp[-(e\phi/T + v^2/2v_{th}^2)]\}$ & integrate over velocity
 - $n(x)/n_{e0} = \exp[-(e\phi(x)/T_e)]$
 - expand the ODE in terms of small ϕ/T ,
 - $-\epsilon \frac{d^2\phi}{dx^2} \approx e[n_{i0} - n_{e0}(1 - \phi/T)]$
 - $d^2\phi(x)/dx^2 \approx -e \delta n_{e0} (e\phi(x)/T) / \epsilon$
- Exponentially decaying solutions, *shielded Yukawa potential*
 - $\phi(x) \approx \exp[-x/\lambda_D]$
 - $e\phi(x)/T \approx \exp[-x/\lambda_D]$ normalize this equation to be dimensionless
 - $\lambda_{De} = [\epsilon_0 T / (e^2 n_e)]^{1/2}$ *Debye length* $\lambda_{De}(\text{cm}) = 740 [T_e(\text{eV})/n(\text{cm}^{-3})]^{1/2}$
 -
- *Debye length* λ_D is the characteristic exponential decay *length*
 - Potential and electric fields from a point charge are shielded out by the sea of other charged particles on λ_{De} scales
 - definition of a plasma is that many particles exist in a *Debye sphere*
 - $n \lambda_D^3 \gg 1$
 - Validate statistical assumption of small departures from equilibrium

Quasi neutrality, ambipolar electric fields, sheath, boundary layer problem

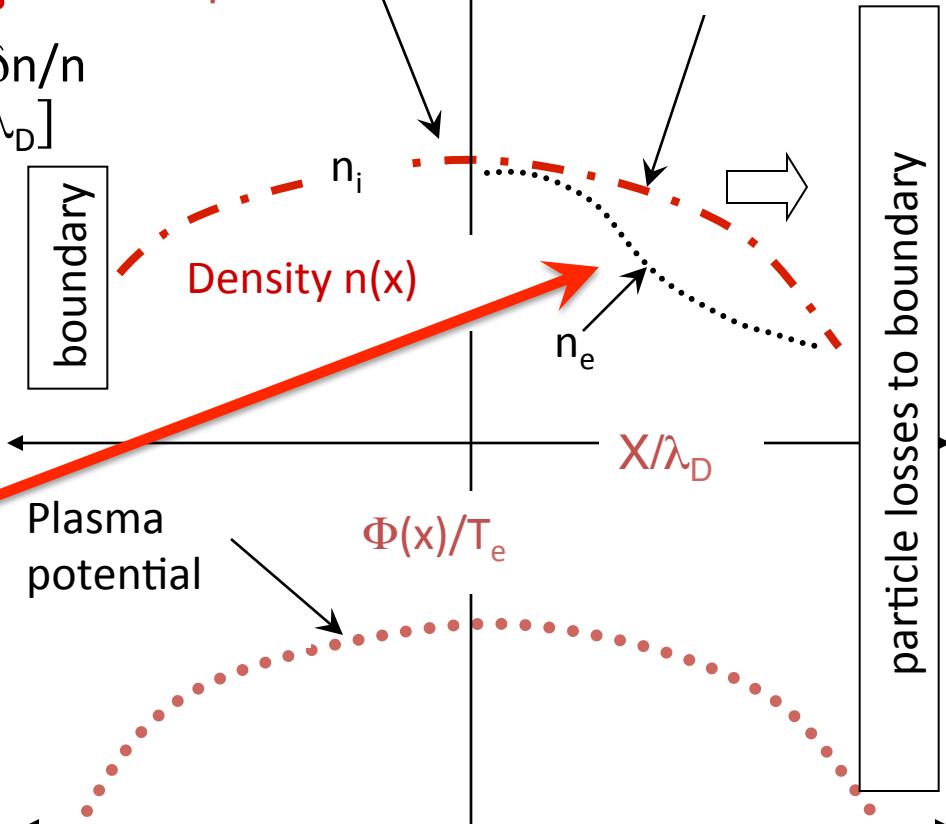
- $-e\phi(x)/T \approx \exp[-x/\lambda_D]$
- $-\Phi/T$ concave down for ion surplus
- $-d^2[e\phi(x)/T]/dx^2 \approx -(x^2/\lambda_D^2)[e\phi/T] \delta n/n$
 $\approx (x^2/\lambda_D^2) \exp[-x/\lambda_D]$
- $\approx \exp[-e\phi/T]$

- scales with density difference between mobile electrons n_e and heavy ions n_i

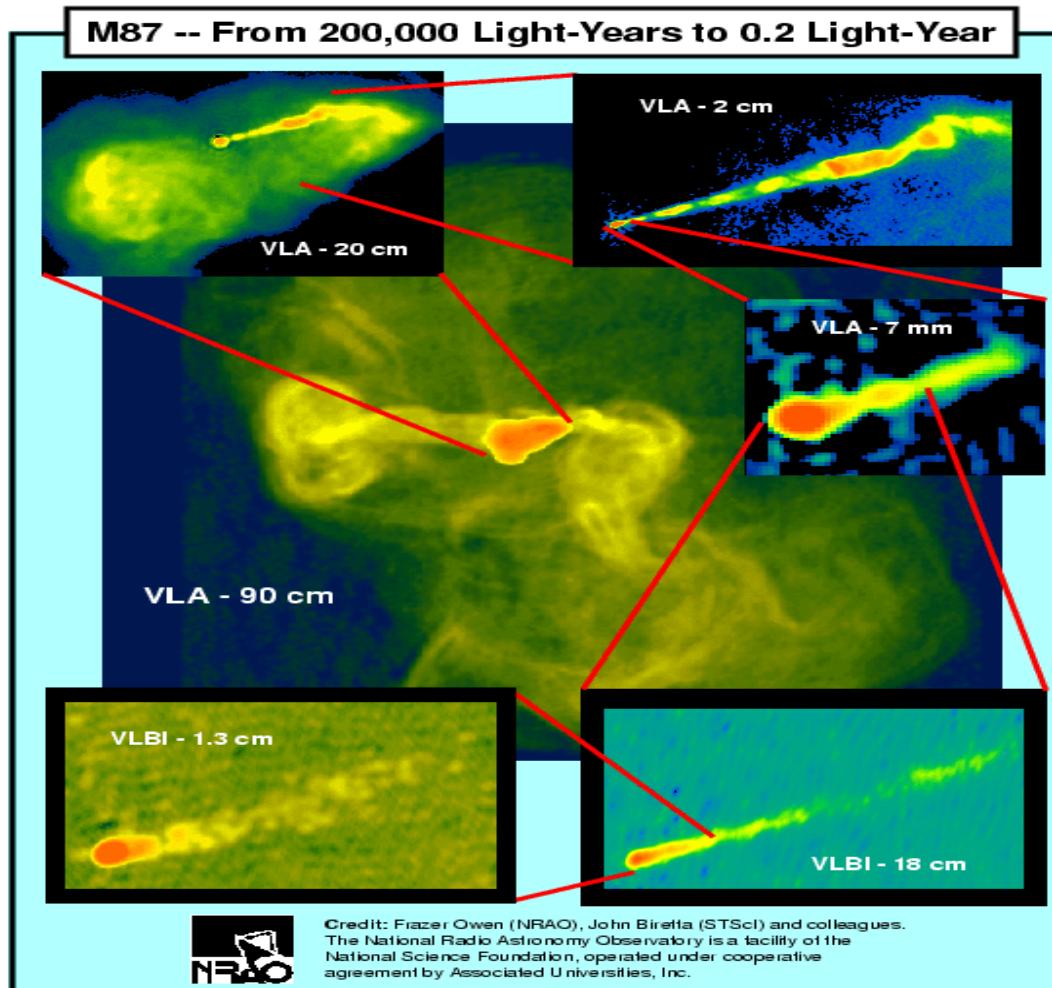
- electrons escape faster than ions but are held back by self consistent electric field
- Scale length is λ_D

Quasineutral in plasma

Ion surplus in sheath



Galactic jets - fluid flow and radiation powered by annihilation of magnetic fields (reconnection)?



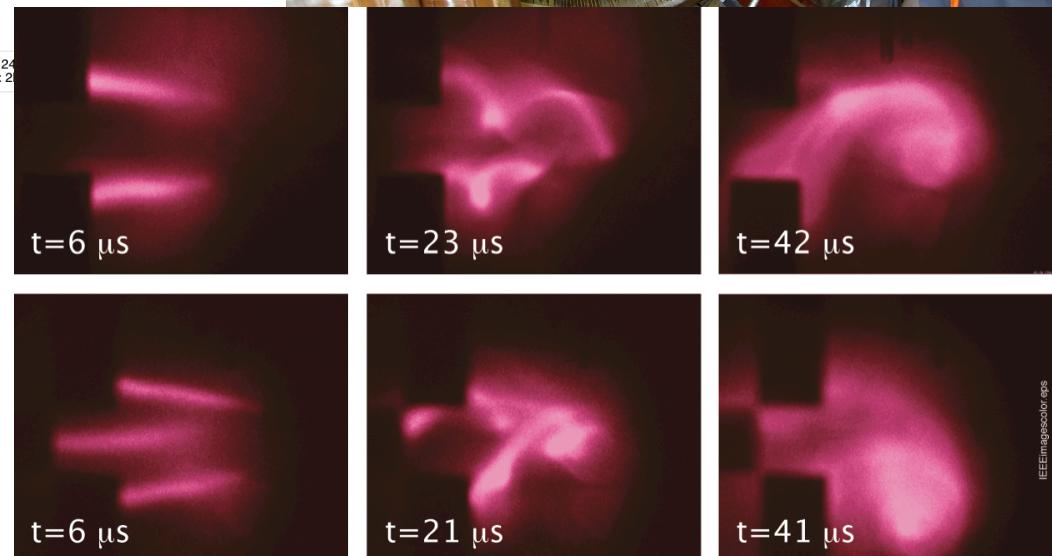
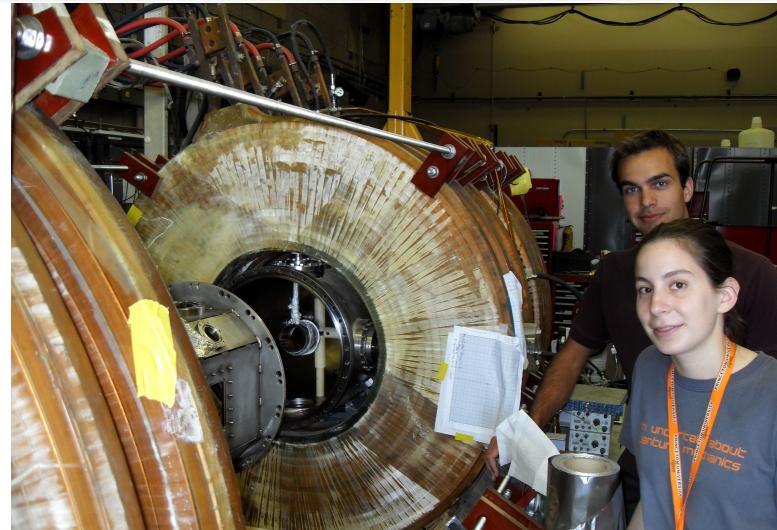
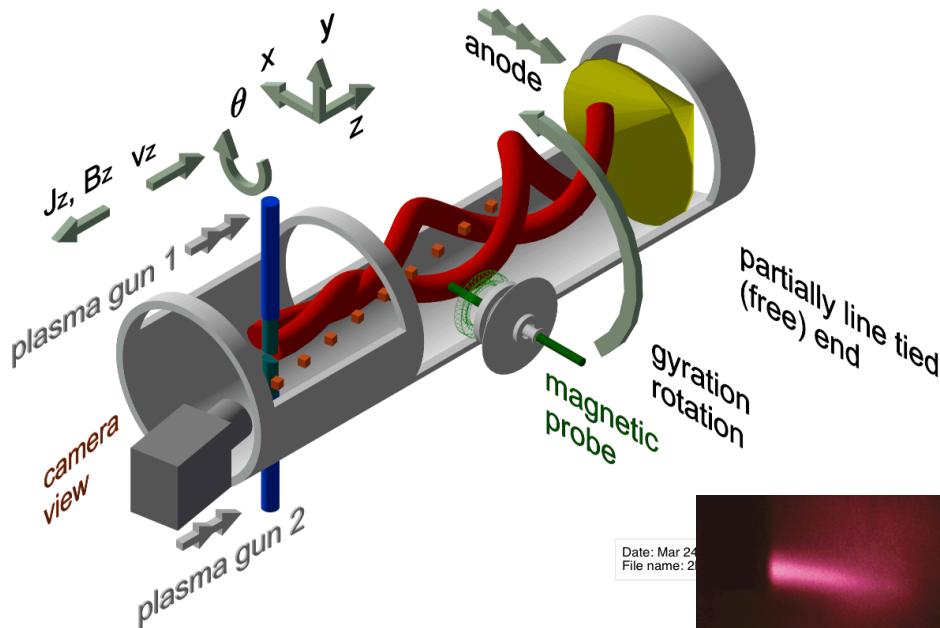
Magneto Hydro Dynamic approximation

- If a magnetized plasma has disturbances that are slow enough and large enough spatial scale
 - Mobile electrons can prevent the buildup of any electric field that moves with the plasma
 - Fields are “stuck” in plasma reference frame - *frozen flux* theorem
 - Higher frequency modes of oscillation and wave propagation do not play a role
 - Behavior simplifies substantially
- **Magneto Hydro Dynamic (MHD) approximation**

MHD force balance

- MHD model presumes a single fluid with mass density
 - $\rho = n_i m_i + n_e m_e \approx n(m_i + m_e) \approx n m_i$
- Charge density
 - $\sigma = (n_i - n_e)e$
- Mass velocity
 - $\mathbf{U} = (n_i m_i \mathbf{u}_i + n_e m_e \mathbf{u}_e) / \rho \approx \mathbf{u}_i + (m_e / m_i) \mathbf{u}_e$
- Current density
 - $\mathbf{J} = e(n_i \mathbf{u}_i - n_e \mathbf{u}_e) \approx ne(\mathbf{u}_i - \mathbf{u}_e)$
- Single fluid equation of motion
 - $\rho du/dt = \{\partial u / \partial t + u \cdot \nabla u\} = \sigma E + j \times B - \nabla p$
- Resistive MHD includes finite resistivity
 - $\mathbf{E} + \mathbf{u} \times \mathbf{B} = \eta \mathbf{J} + \{\mathbf{j} \times \mathbf{B} - \nabla p\} / en$

Current ropes modeled in the laboratory

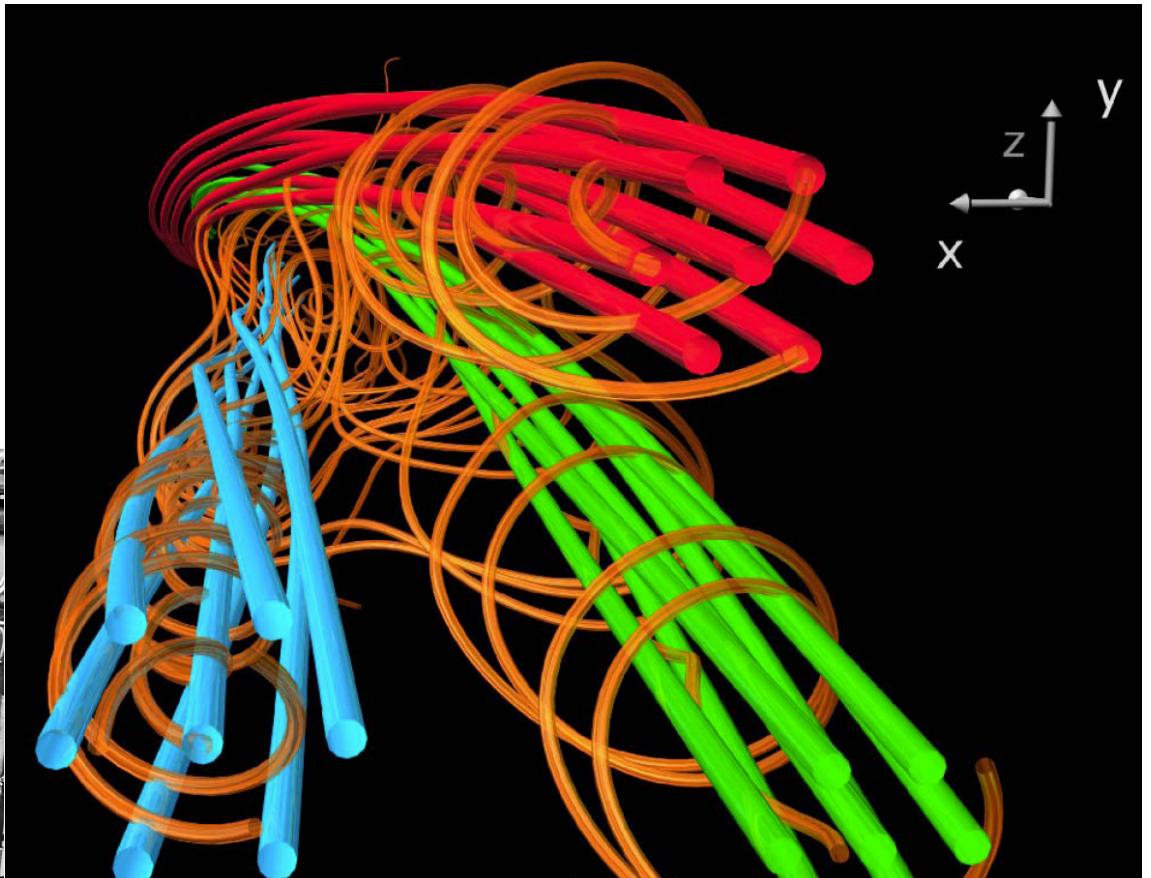
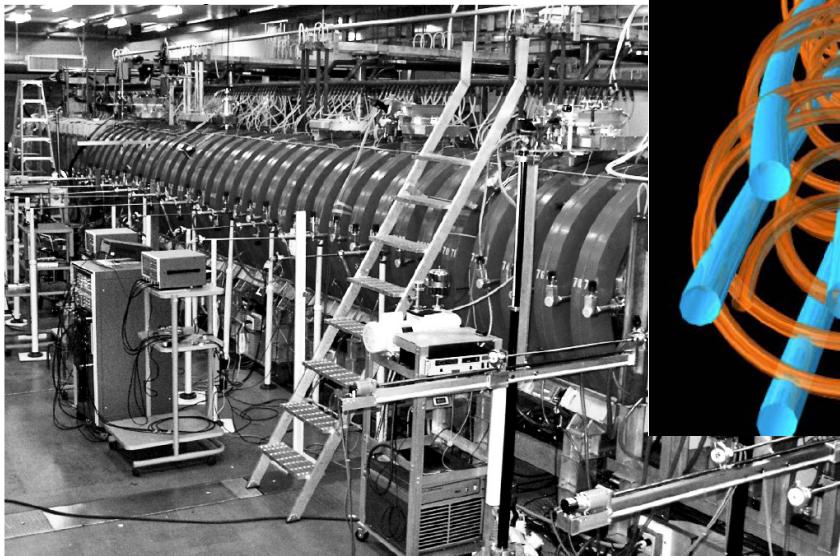


Alfven waves & MHD

- A notable property of MHD plasmas was discovered by Hannes Alfven in 1942
 - Michael Faraday had shown in 1800's that stresses in the magnetic field are equivalent to a pressure transverse to the B field and a tension along the field lines.
 - Alfven showed that certain waves can propagate along the field
 - Analogous to waves on a plucked string “Alfven waves”
 - Sound and Alfven speeds

3 flux ropes in LAPD

Large Plasma Device
UCLA
W. Gekelman



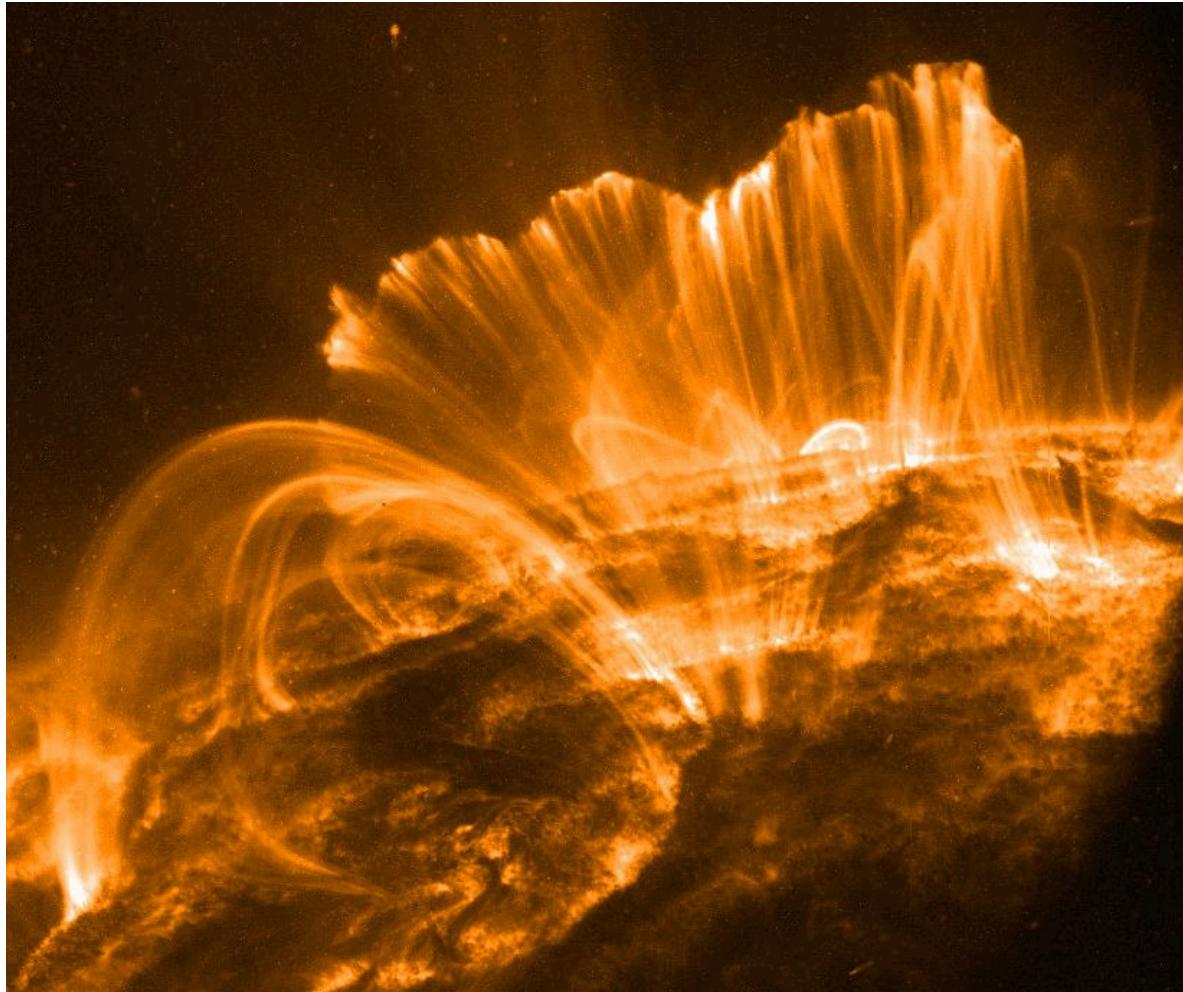
- B lines (RGB)
- J lines (orange)
- Gekelman et al, ApJ2012

Complex systems

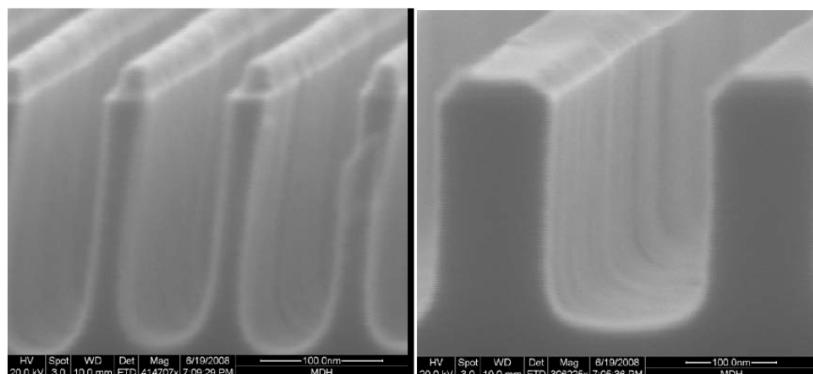
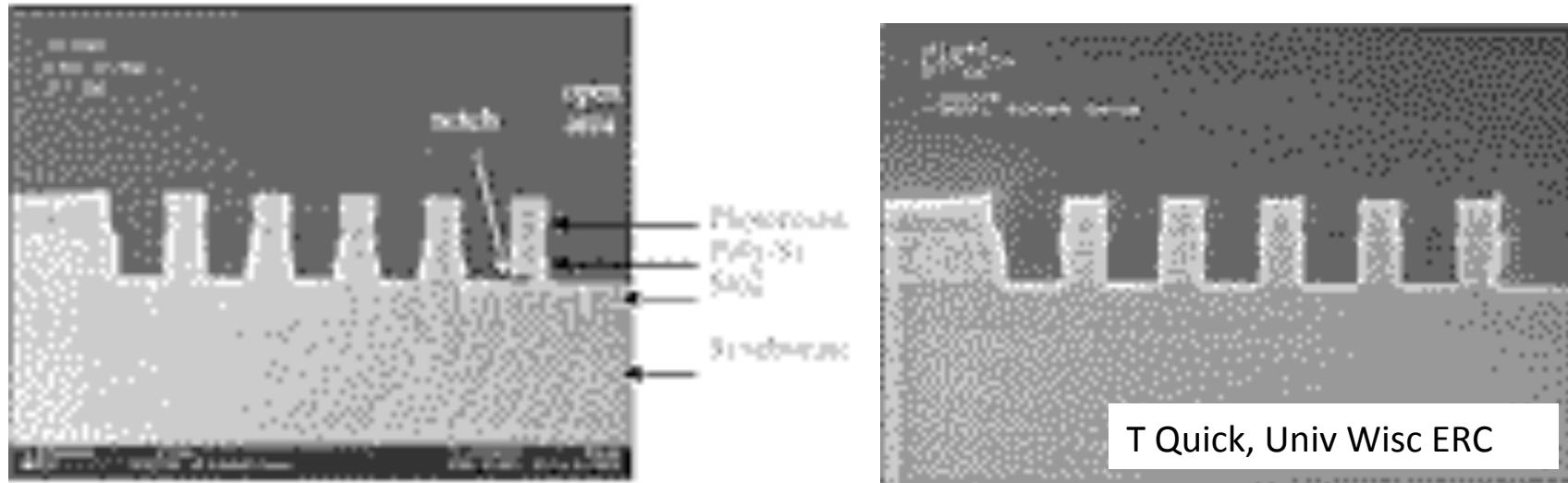
- Plasma systems can exhibit *self organized* behavior of high complexity
- **Self organization** occurs in many arenas
 - Space & astrophysics, biosystems, self assembly of micro and nano components, protein folding
 - *Selective decay* processes, thermodynamics
 - Dissipation of some invariant on small scales (eg energy in eddies, turbulence)
 - Persistence of other quantities on larger spatial scales (e.g. helicity)
- *Chaos* ... a cottage industry

Solar arcades: fluid & magnetic structure

TRACE
spacecraft
image, NASA



Plasma etching of silicon



Henry_ICP ETCHING OF SILICON PhD thesis
Caltech 2010
30nm & 100nm features

Fig II.2 Cross sectional SEM of a pseudo Bosch etch of 30 nm (left) and 100 nm (right) silicon ridges masked using approximately 55 nm of PMMA. This etch was terminated before the onset of mask erosion at 256 nm.

Summary

- Plasmas are pervasive - 99% of observable universe
- Many examples in everyday life, applications, technology, nature
- Fluids with electrical and magnetic forces
- Experimentally and computationally accessible
- Basic physics is still unfolding - an adventure

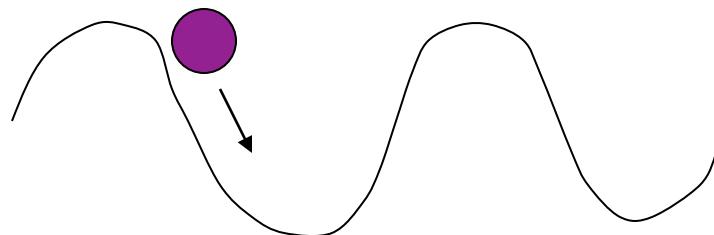
Extra slides

Plasma waves vs free space waves

- charged particles are tightly coupled to electric and magnetic fields in the plasma
- Wave properties depend on the dielectric and magnetized medium
 - Charged particles can move freely along a B field
 - Movement across the B field is inhibited
 - Anisotropic wave propagation and properties

Landau Damping

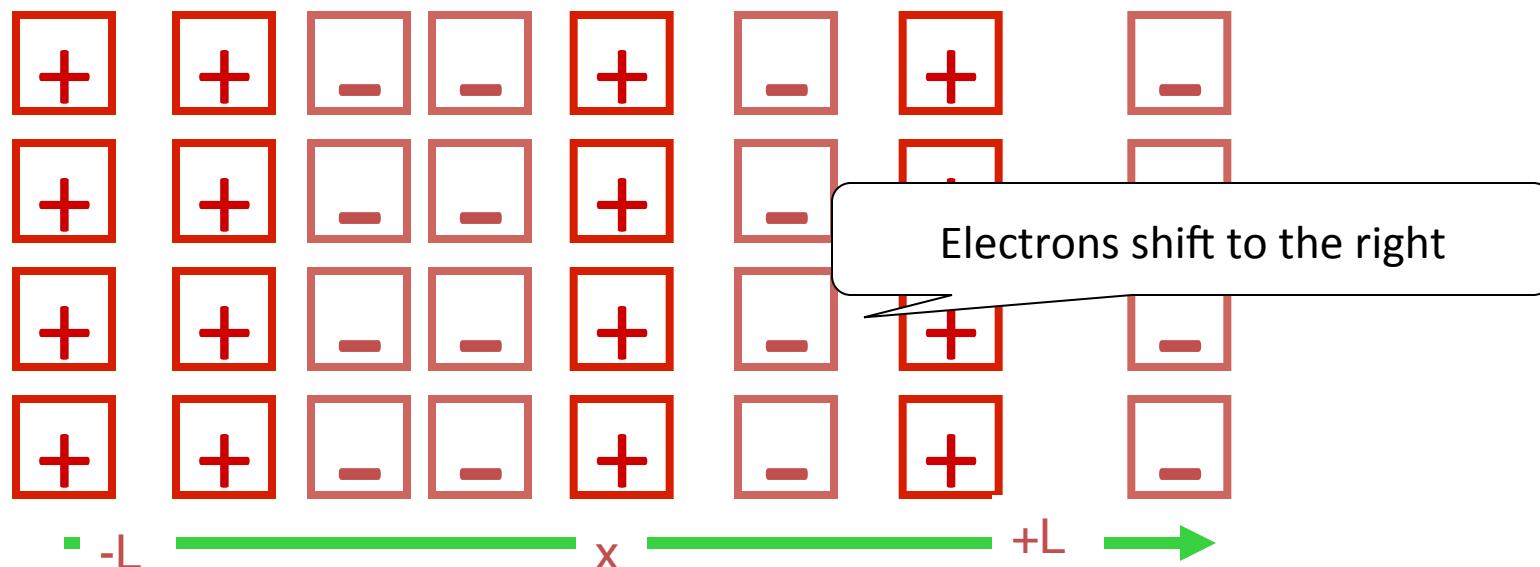
Wave propagation



- Particles “surf” on wave if $v_\phi > v_{\text{particle}}$
- Wave gains energy from particle if $v_\phi < v_{\text{particle}}$

Electron plasma oscillations

- Consider one dimensional motion
- Sheet of electrons centered at x
- Now move e- distribution to right, $x' = x + \xi$
 - Excess positive charge $ne\xi$ per unit area
 - Field points to right $E = ne\varepsilon_0\xi$



- E field points to right, because of charge separation

Electron plasma oscillations

- Equation of motion is $f=ma= m d^2x/dt^2$
- $-eE = m d^2\xi/dt^2$
 - Recall $E = ne\varepsilon_0\xi$ so that
 - $m d^2\xi/dt^2 = -e (ne\varepsilon_0\xi)$
 - $d^2\xi/dt^2 + e^2 n \varepsilon_0 \xi/m = 0$
- The ODE for displacement ξ is then
 - $(d^2/dt^2 + \omega_{pe}^2) \xi = 0$
 - Where $\xi(t) = \xi_0 [\exp(+ i\omega_{pe}t) + \exp(- i\omega_{pe}t)]$
 - $\omega_{pe}^2 = n e^2 \varepsilon_0 / m$ is the electron plasma frequency

Stability

Unstable plasma systems without collisions

- Stability is one of the most important and recurring problems in plasma physics
- Ideal plasma has zero collisions
 - Certain situations may be theoretically conceivable
 - But they wont survive if they are unstable
 - Fusion devices, eg tokamaks, RFP,
 - Buoyancy instability for flux tubes trapped in suns photosphere
 - Two stream instabilities

Stability

Unstable plasma systems due to collisions

- Finite *resistivity* (collisions) enables the resistive decay of currents in the system
- This is normally very slow in astrophysical settings
- However Dungey realized (1953) that resistive diffusion can lead to strong concentrations of current that then speed up the diffusion process
 - Resistive instabilities
 - Tearing modes, reconnection, solar flares

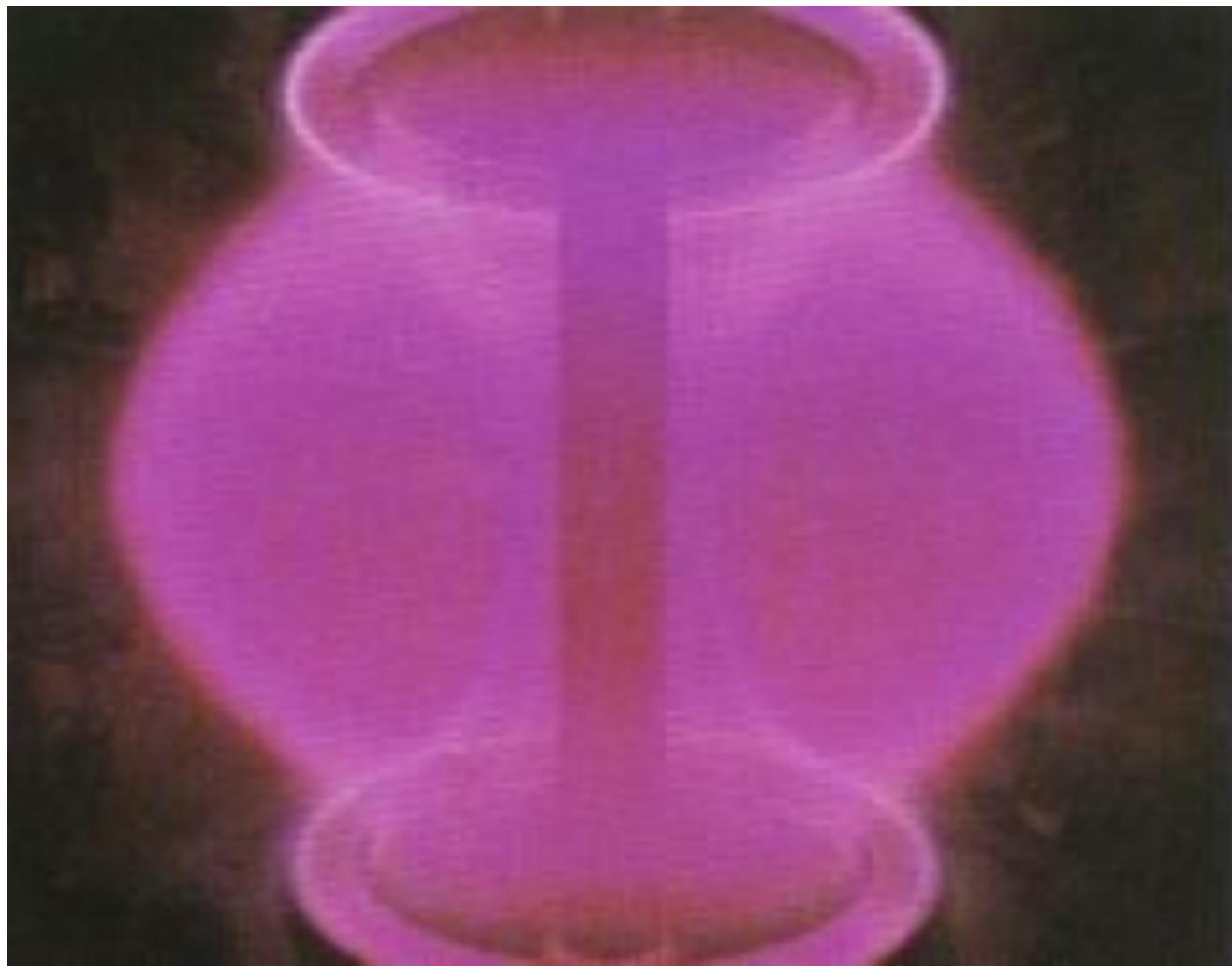
Complex systems

- Plasma systems can exhibit self organized behavior of high complexity
- Self organization occurs in many arenas
 - Space & astrophysics, biosystems, self assembly of micro and nano components, protein folding
 - *Selective decay* processes, thermodynamics
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- *Chaos* ... a cottage industry

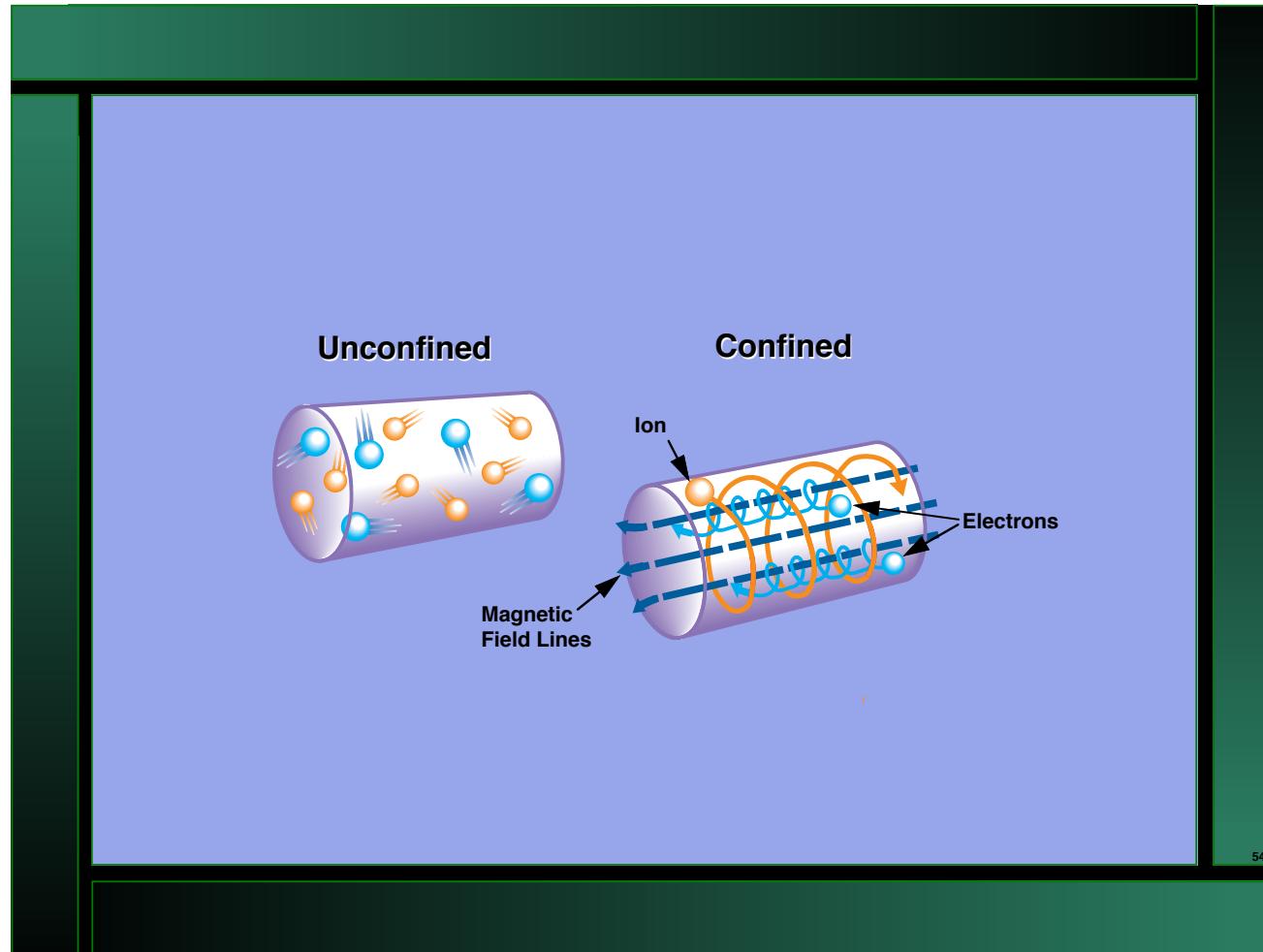
Confinement is not always perfect (or even good)

- Collisions between particles enable diffusion across magnetic field
 - Pitch angle scattering
- Kills trapping in
 - fusion devices
 - Planetary magnetospheres
 - Coronal flux tubes
- Collisions tend to dissipate highly ordered motions in wave propagation

START



Confinement means that particles leisurely linger => interact collectively
magnetic confinement here is one example



Handy formulary

- Debye length
 - $\lambda_D(\text{cm}) = 740 [T_e(\text{eV})/n(\text{cm}^{-3})]^{1/2}$
- plasma frequency
 - $f_{pe}(\text{Hz}) = 9000 n_e^{1/2}(\text{cm}^{-3})$ electrons
 - $f_{pi}(\text{Hz}) = 210 n_e^{1/2}(\text{cm}^{-3})$ ions
- gyro radius
 - $r_{gi}(\text{cm}) = 100 T^{1/2}(\text{eV})/B(\text{Gauss})$ ions
 - $r_{ge}(\text{cm}) = 2.4 T^{1/2}(\text{eV})/B(\text{Gauss})$ electrons
- Thermal speed
 - $v_{e,th}(\text{cm/sec}) = 4.2 \times 10^7 T_e^{1/2}(\text{eV})$
 - $v_{i,th}(\text{cm/sec}) = 10^6 T_i^{1/2}(\text{eV})$

Introduction to Plasma Dynamo, Reconnection and Shocks

T. Intrator

P-24 Plasma Physics

2012 July 03

P-24 Plasma Physics Summer School

Center for Non Linear Studies conference room

Wednesdays 1pm-2pm

Abstract

In our plasma universe, most of what we can observe is composed of ionized gas, or plasma. This plasma is a conducting fluid, which advects magnetic fields when it flows. Magnetic structure occurs from the smallest planetary to the largest cosmic scales. We introduce at a basic level some interesting features of non linear magnetohydrodynamics (MHD). For example, in our plasma universe, dynamo creates magnetic fields from gravitationally driven flow energy in an electrically conducting medium, and conversely magnetic reconnection annihilates magnetic field and accelerates particles. Shocks occur when flows move faster than the local velocity (sonic or Alfvén speed) for the propagation of information. Both reconnection and shocks can accelerate particles, perhaps to gigantic energies, for example as observed with 10^{20} eV cosmic rays.

Outline

- Our magnetic universe
- dynamo
- reconnection
- Shocks
- Summary

Universal factoids

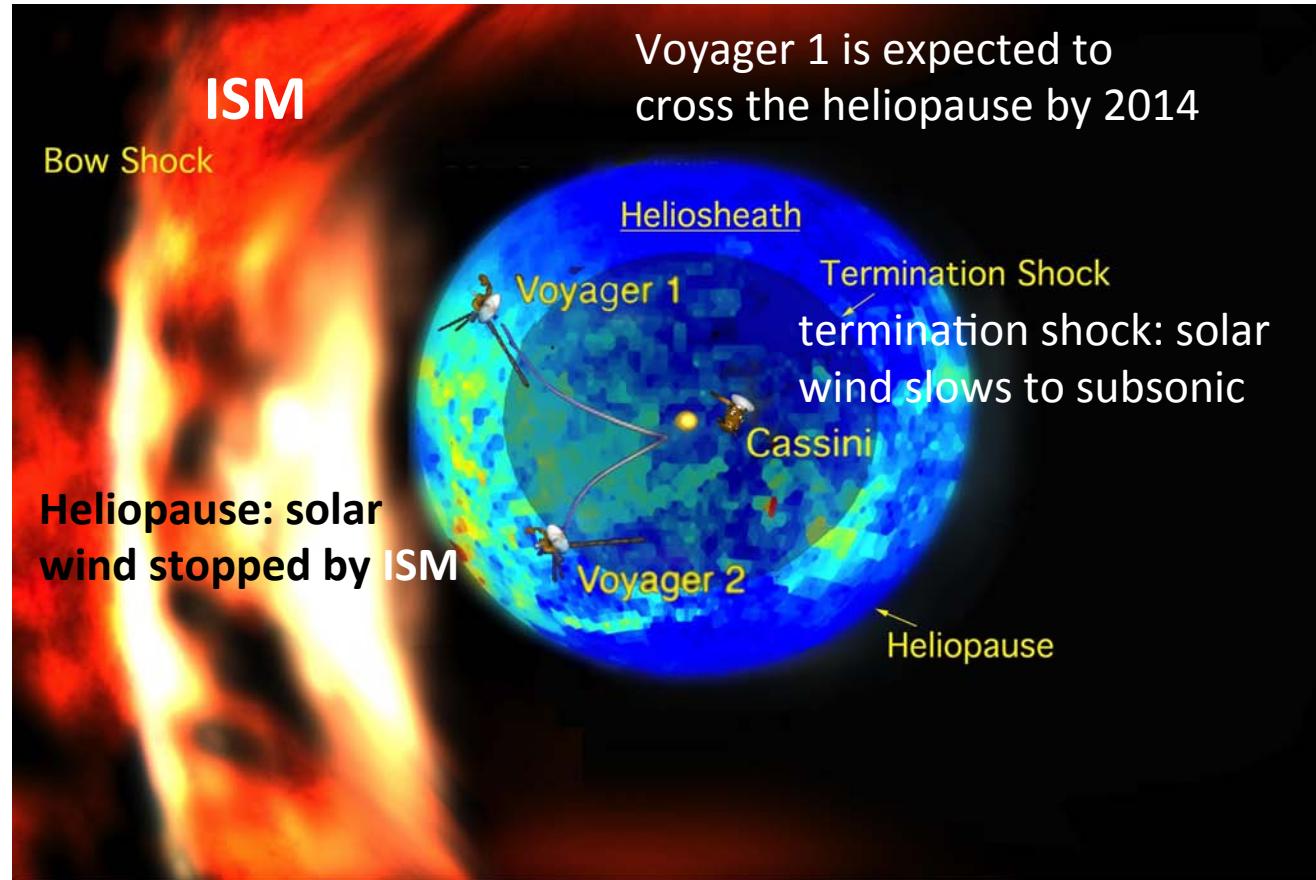
- Radius $\approx 46 \times 10^9$ LY $\approx 46 \times 10^{25}$ m
- Volume $\approx 3.5 \times 10^{80}$ m³
- Mass $\approx 10^{52}$ kg (includes 73% dark energy, 23% dark matter, 4% baryonic matter)
- Mass-energy = $m_{\text{baryon}} c^2 \approx 4 \times 10^{67}$ Joule
- Intracluster B field $\approx 0.1\text{-}10 \mu\text{Gauss}$ (Kronberg, PoP2003)
- Magnetic energy (1 μG) = $B^2/2\mu_0 \times \text{Vol} \approx 2.5\%$

$$\text{Light Year} = 9.46 \times 10^{15} \text{m}, \text{parsec} = 3.26 \text{LY}$$

Solar system confronts interstellar medium

magnetic
bubbles

- Earth
- solar system



http://www.nasa.gov/images/content/462848main_helioshere_946-710.jpg

IBEX data

- **Description English:** In this illustration, the multicolored (blue and green) bubble represents the new measurements of the emission of particles known as energetic neutral atoms. The energetic neutral atoms were streaming in from the thick boundary known as the heliosheath. The heliosheath is the region between the heliosphere, the region of our sun's influence, and the interstellar medium, the matter between stars in our galaxy. Areas in red indicate the hottest, most high-pressure regions and purple the coolest, lowest-pressure regions.
- The yellow circle is our sun. The two Voyager spacecraft, illustrated with lines showing their path, are currently traveling through the heliosheath. In the heliosheath, the solar wind slows down and heats up as it interacts with the interstellar medium. The image also shows Cassini, which is still inside our solar system, orbiting Saturn. The spacecraft sizes are not to scale.
- The dark inner circle represents the volume bounded by the termination shock, formed where supersonic solar wind streaming out from our sun suddenly slows down. The outer circle, known as the heliopause, the outer boundary of the heliosheath, is the place where the interstellar medium and the solar wind are balanced. To the left of this bubble is the curve of the putative bow shock, where the interstellar medium, traveling in the opposite direction against the heliosheath, slows down as it collides with the heliosphere. The bow shock resembles a wave formed in a stream as it flows around a rock.
- **Date** 20 November 2009
- **Source** <http://photojournal.jpl.nasa.gov/catalog/PIA12375>
<http://en.wikipedia.org/wiki/File:PIA12375.jpg>
- **Author** NASA/JPL/JHUAPL

Heliospheric current sheet in 3D

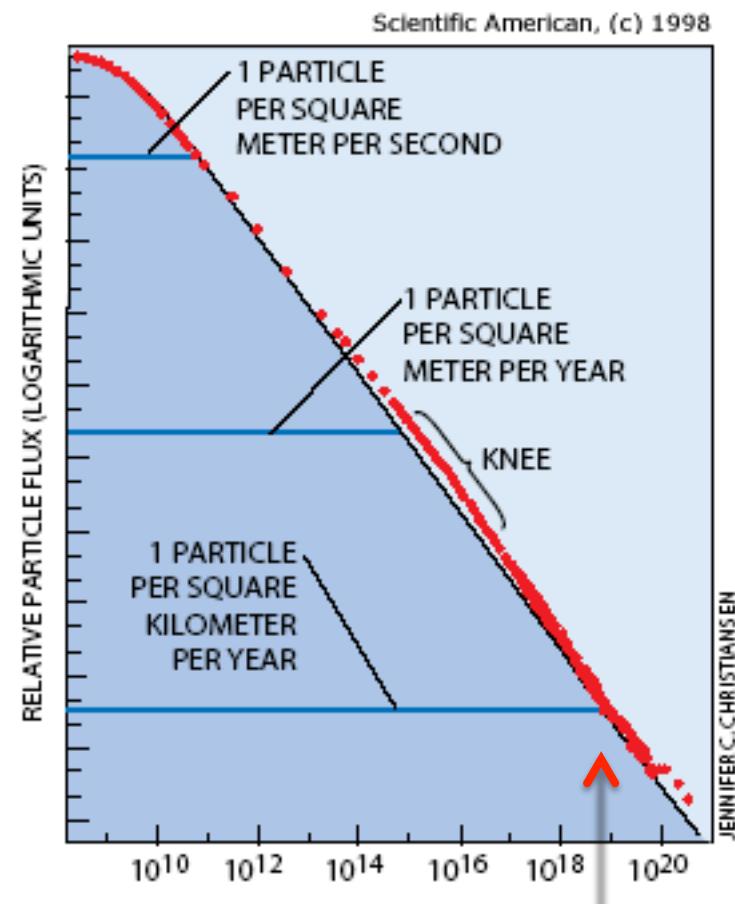


<http://en.wikipedia.org/wiki/Heliosphere>

Magnetic field sourced by rotating sun, heliospheric current sheet out to the orbit of Jupiter - “Ballerina skirt”

High Energy Cosmic Ray Physics

- The flux of cosmic ray nuclei has to a first approximation, a rapidly falling power law in energy, $dN/dE \propto E^{-\alpha}$, with overall index $\alpha \approx 2.8$.
- The spectrum structure (“knee”, “ankle”) cannot be explained
- Unknown but probably magnetic particle acceleration mechanisms to such incredible energies



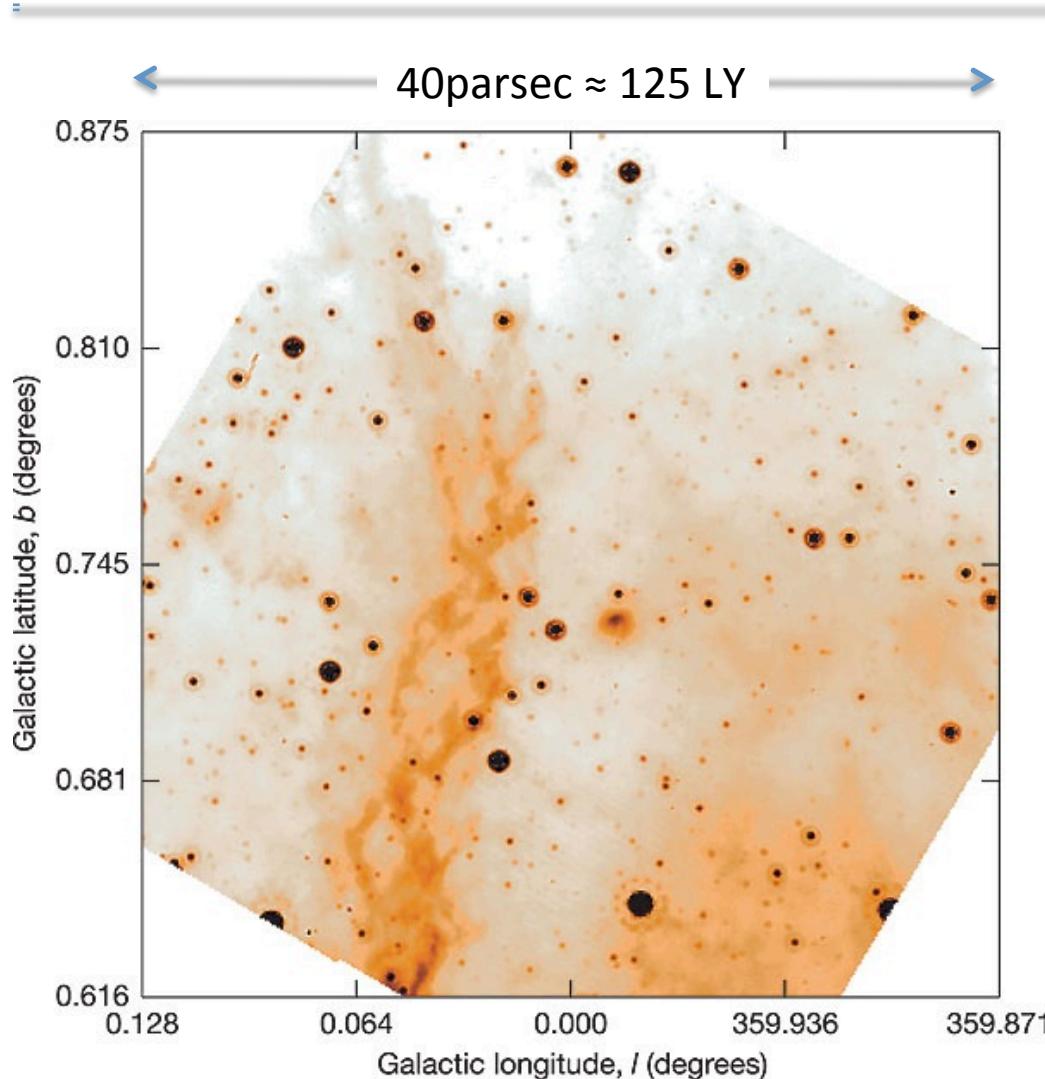
Magneto Hydro Dynamic approximation

- If a magnetized plasma has disturbances that are slow enough and large enough spatial scale
 - Mobile electrons can prevent the buildup of any electric field that moves with the plasma
 - Fields are “stuck” in plasma reference frame - *frozen flux* theorem
 - Higher frequency modes of oscillation and wave propagation do not play a role
 - Behavior simplifies substantially
- **Magneto Hydro Dynamic (MHD) approximation**

Magnetohydrodynamics: MHD

- MHD model presumes a single fluid with mass density
 - $\rho = n_i m_i + n_e m_e \approx n(m_i + m_e) \approx n m_i$
- Charge density
 - $\sigma = (n_i - n_e)e$
- Mass velocity
 - $\mathbf{U} = (n_i m_i \mathbf{u}_i + n_e m_e \mathbf{u}_e) / \rho \approx \mathbf{u}_i + (m_e / m_i) \mathbf{u}_e$
- Current density
 - $\mathbf{J} = e(n_i \mathbf{u}_i - n_e \mathbf{u}_e) \approx ne(\mathbf{u}_i - \mathbf{u}_e)$
- Single fluid equation of motion
 - $\rho du/dt = \{\partial u / \partial t + u \cdot \nabla u\} = \sigma E + j \times B - \nabla p$
- Resistive MHD includes finite resistivity
 - $\mathbf{E} + \mathbf{u} \times \mathbf{B} = \eta \mathbf{J} + \{\mathbf{j} \times \mathbf{B} - \nabla p\} / en$

Magnetic double helix



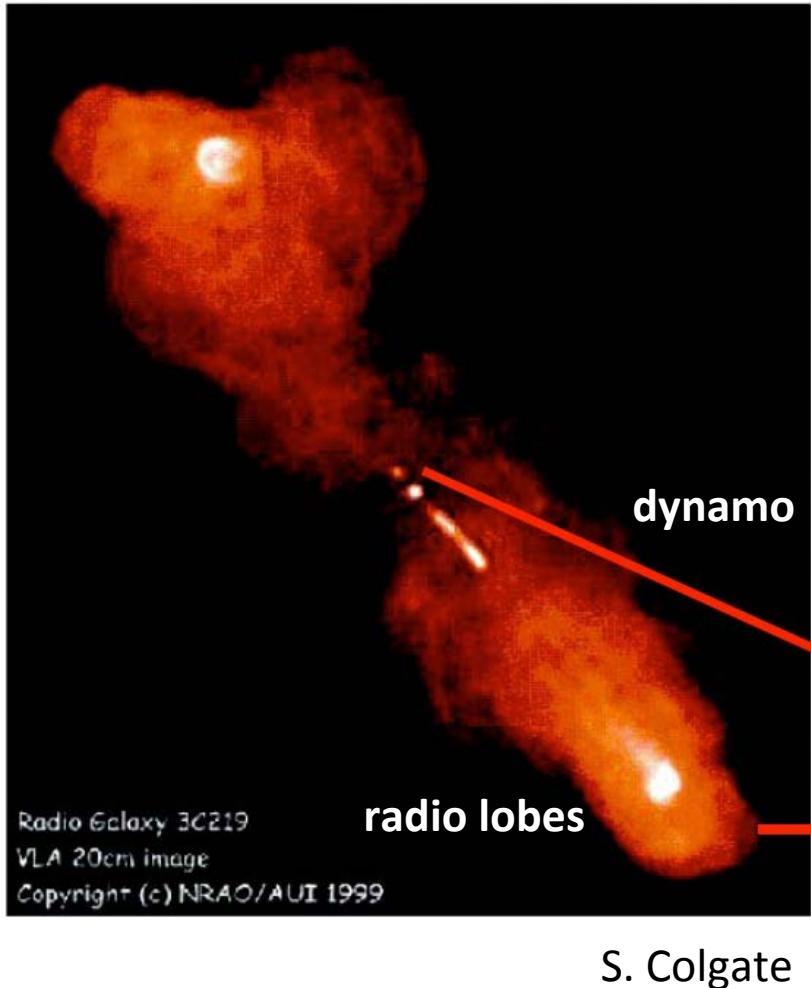
- Double helix nebula
- $\lambda=24\mu\text{m}$, MIPS camera, Spitzer Space Telescope
- Near galactic center (8 kpc away)
 - ★ 6 arcsec resolution
 - ★ 1 arcmin \leftrightarrow 2.5 pc
 - ★ Parsec = 3.24 LY

A magnetic torsional wave near the Galactic Centre traced by a 'double helix' nebula, M Morris, et al, Vol 440 | 16 March 2006 | doi:10.1038/nature04554

Dynamo

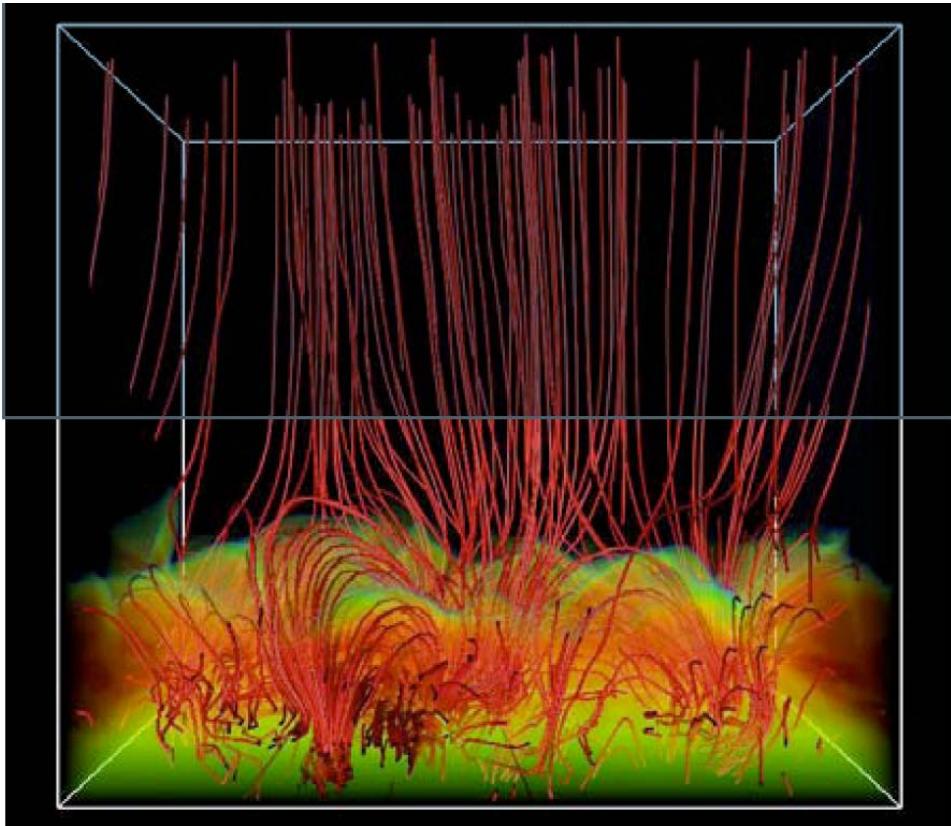
- The creation of magnetic field from flow in a conducting medium
 - Large scale coherent flows
 - Random and turbulent motions
- Twist up field lines that are trapped in flow
- Creation of B field from scratch (no one knows how this happens)

Astrophysical Dynamo: The Origin of Large Scale, Coherent Magnetic Fields



- 3C219 Radio Lobe,
 - $\sim 1\%$ of MBH c^2 in 10^8 years.
- Not clear if it is the random motions + fluid turbulence, or large scale coherent motions
- Massive black hole accretion disk dynamo is small ($\approx 30r_{Gi} \approx 10^{15}$ cm) $\approx 10^{-10}$ of the radio lobe ($\sim 3 \times 10^{24}$ cm)
Frequency 10^{10} Hz
- $L_{lobe} \sim 10^{38}-10^{39}$ Watt

Astrophysical Dynamo: The Origin of Large Scale, Coherent Magnetic Fields

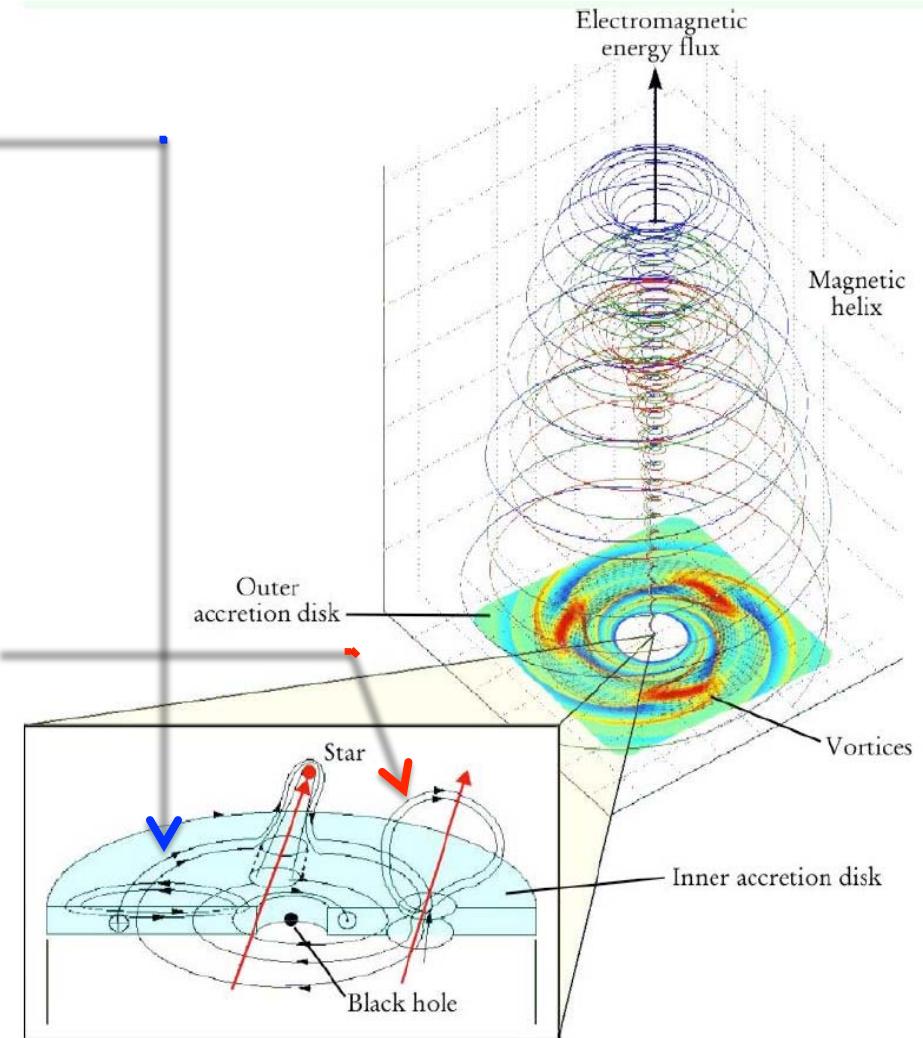


- B fields on the sun
- simulation, $10^4 \times$ granulation scale
- Convective plumes base of convective zone

α - Ω dynamo

- Keplerian sheared galaxy rotation (the Ω part)
 - Balance centripetal with gravitational force
 - $V_\phi^2/r = GM/r^2$
 - $V_\phi(r) = (GM/r)^{1/2}$

Sheared motion winds up magnetic B_z lines (**α shaped**) outside the accretion disk.
⇒ Toroidal B field
⇒ force-free helix
⇒ magnetic flux of the universe??



Accretion disc, black hole rotating, shock emission



http://www.bu.edu/blazars/bllac_files/

Integral Maxwell equations

Maxwell's Equations

$$\oint \vec{E} \cdot d\vec{A} = \frac{Q}{\epsilon_0}$$

$$\oint \vec{B} \cdot d\vec{A} = 0$$

$$\oint \vec{E} \cdot d\vec{l} = -\frac{d\Phi_B}{dt}$$

$$\oint \vec{B} \cdot d\vec{l} = \mu_0 I + \mu_0 \epsilon_0 \frac{d\Phi_E}{dt}$$

Gauss'
Laws

Faraday's
Law

Ampère-
Maxwell
Law

Relates E to charges.
(Coulomb's law)

B is continuous.
No magnetic monopoles.

Changing B
produces E

I or Changing E
produces B

These equations describe all electric & magnetic phenomena
(in the absence of dielectric or magnetic materials).

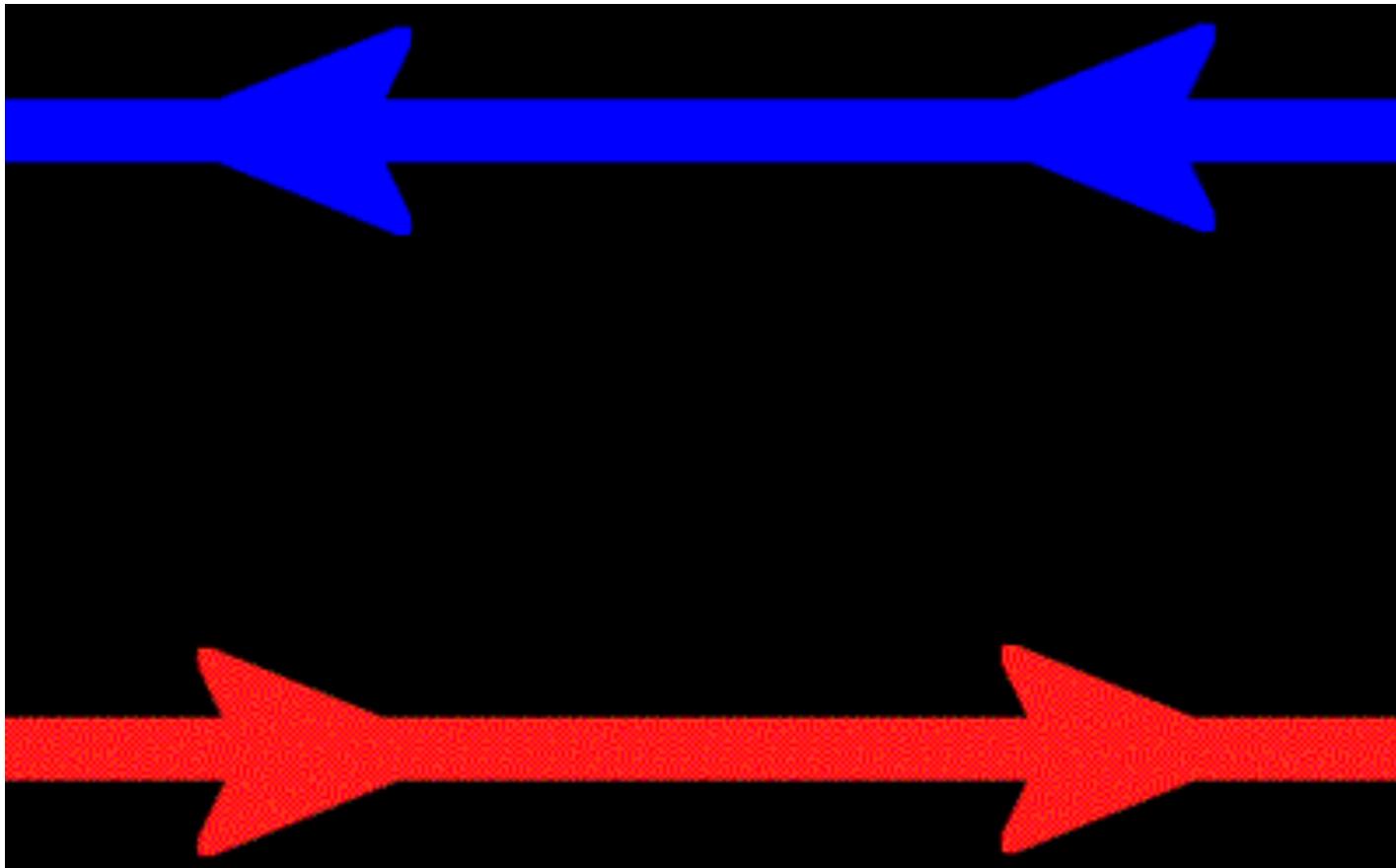
Magnetic field from fluctuations

- Newton's law $F=ma \leftrightarrow$ momentum balance
 - $\partial(\rho v)/\partial t = E + vx B - \nabla p_e + Jx B$
- Ohms law includes collisions (resistivity η)
 - $E + vx B = Jx B/(en) - \nabla p_e/(en) + \eta J$
- Recall from Maxwell equations $\nabla \times E = -\partial B/\partial t$
- Suppose fields have mean + fluctuations
 - $B = B_0 + \delta B$, $E = E_0 + \delta E$, $v = v_0 + \delta v$, ... etc
 - $\nabla \times \langle \delta E \rangle = -\nabla \times \langle \delta v \times \delta B \rangle + \nabla \times \langle \delta J \times \delta B \rangle + \dots$
- $\rightarrow \delta B$ grows from correlated fluctuations

Magnetic reconnection

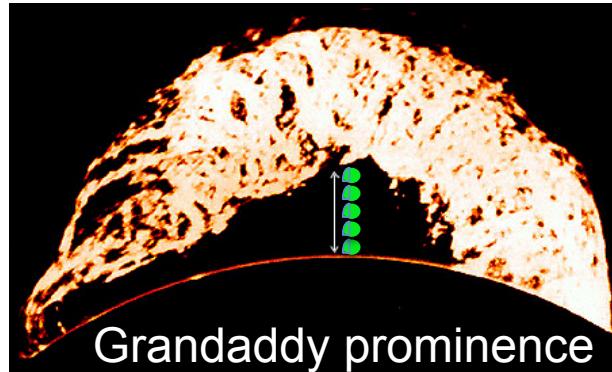
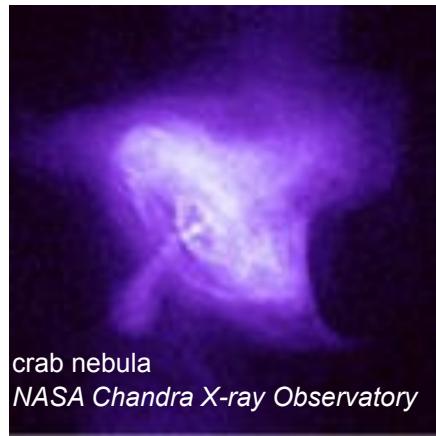
- Magnetic field lines and fluxes are “frozen” into fluid flow ($E + v \times B = 0$)
- Fluxes are advected towards each other by colliding flows
- Oppositely directed magnetic fields smash together and annihilate (beyond ideal MHD)
- Magnetic field annihilation energy energizes particle velocities

Reconnection animation



NASA, CLUSTER team

Filamented Plasma Structure in the Universe

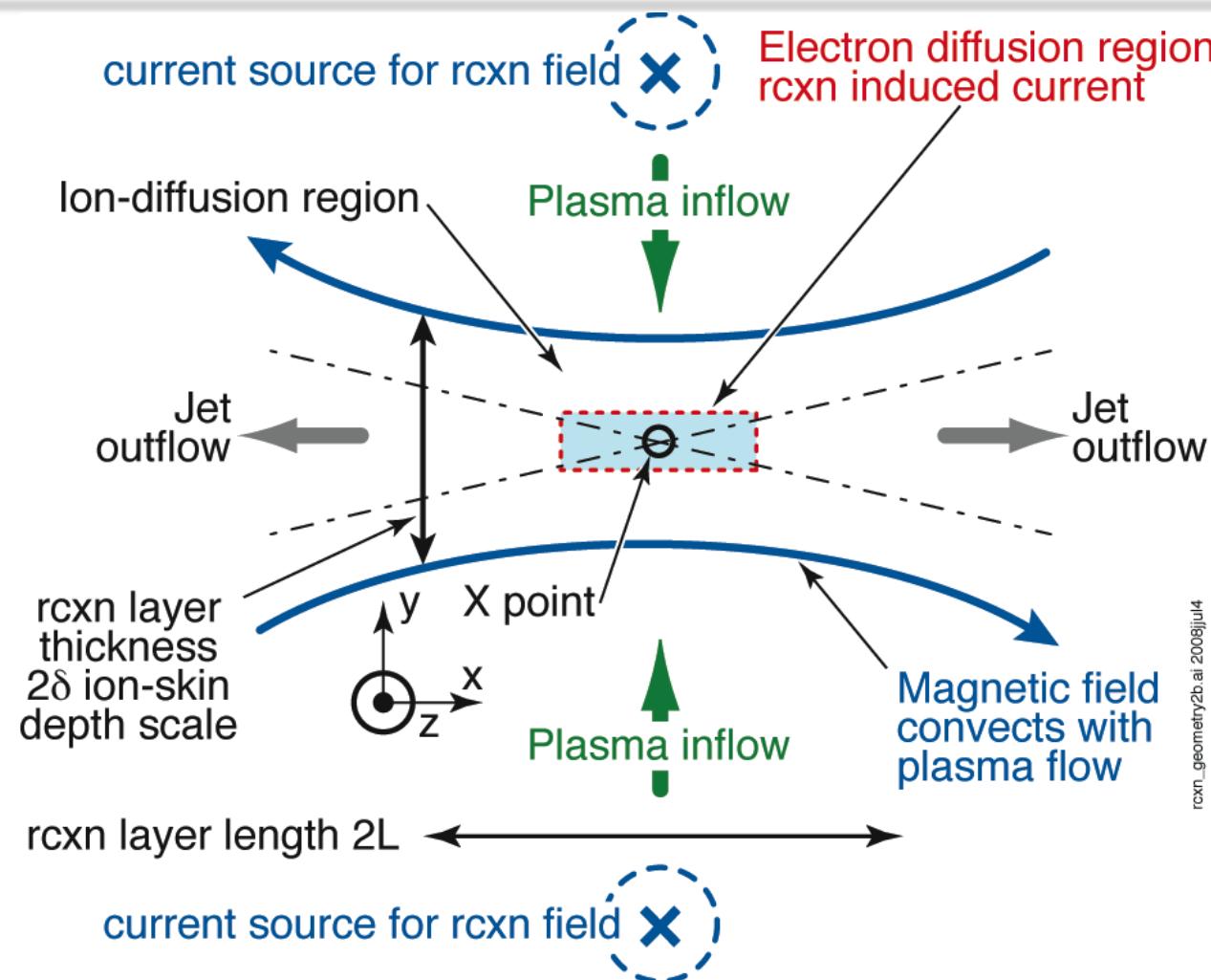


Coronal arches, magnetic structures

Arrow is 64,000km, 5 earths high, earth diameter = 12756km

June 4, 1946 High Altitude Observatory...
<http://solar-center.stanford.edu/compare>

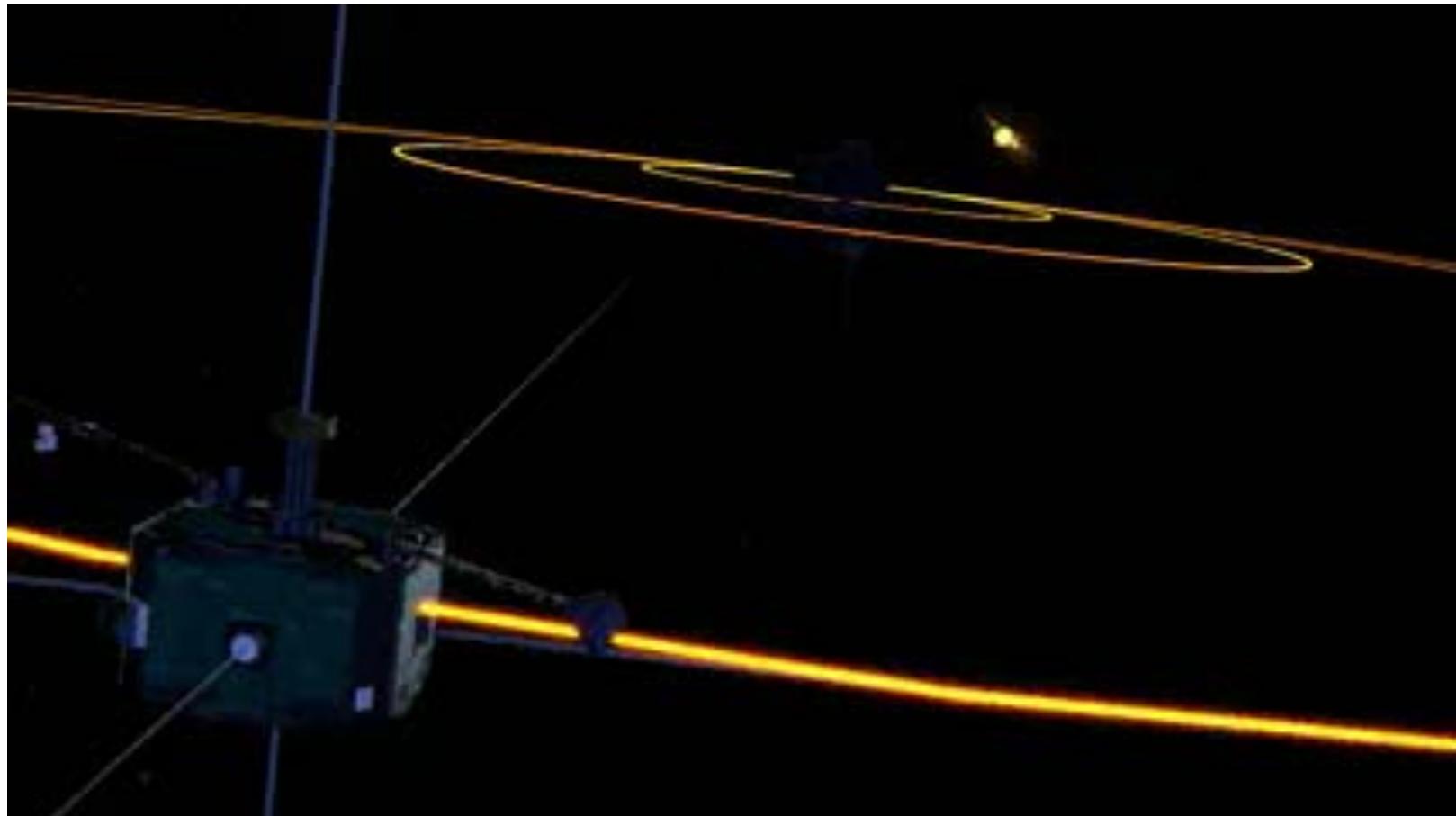
Fields and currents coexist: 3D relaxation



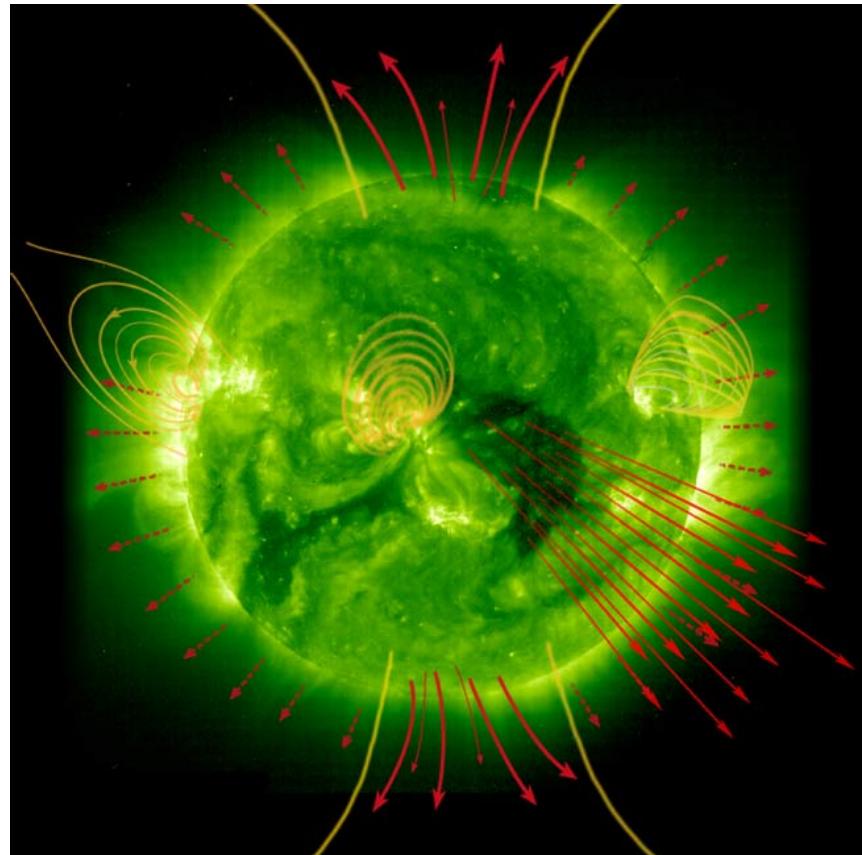
- **RSX experiment:**
 - › Collisional plasmas, $S \sim 10-40$
 - › High guide field, $B_z/B_{\text{rcxn}} = 2-40$

rcxn_geometry2b.ai 2008jul4

THEMIS view: reconnection & aurora



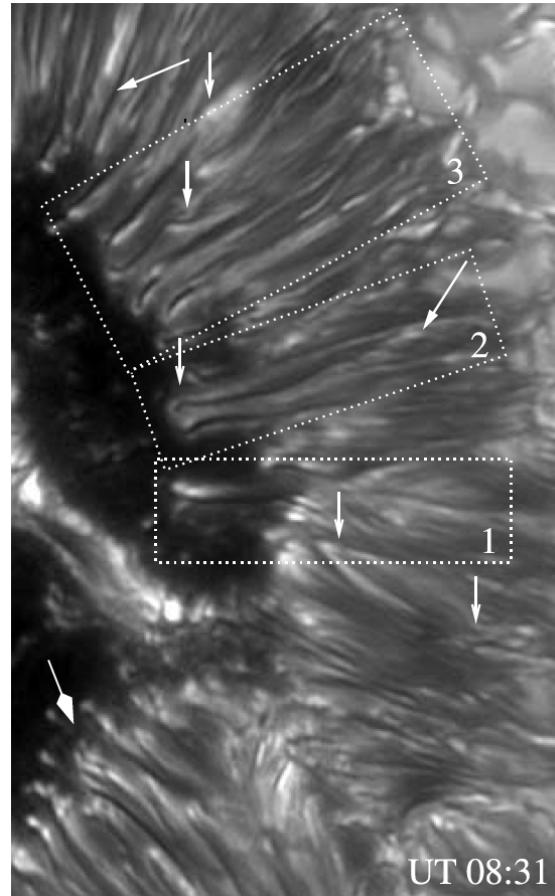
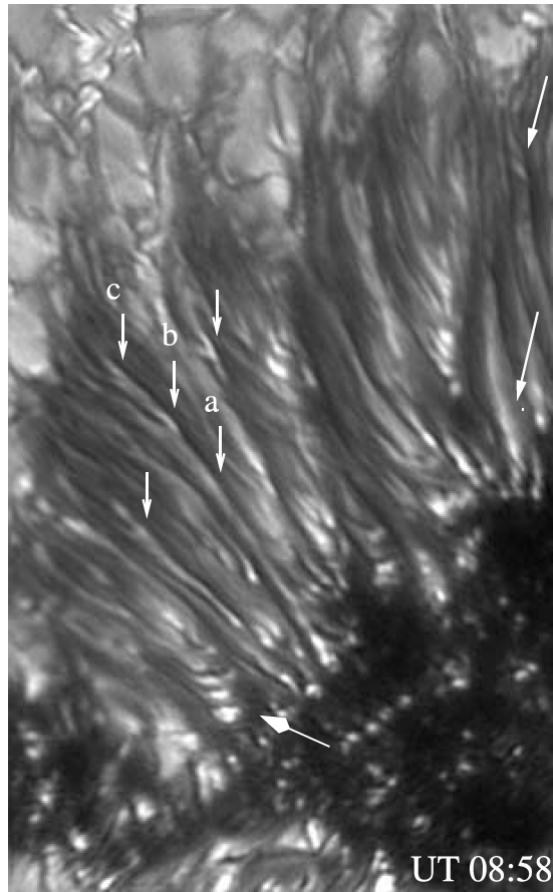
Fast solar wind from coronal holes



NASA STEREO EUV image

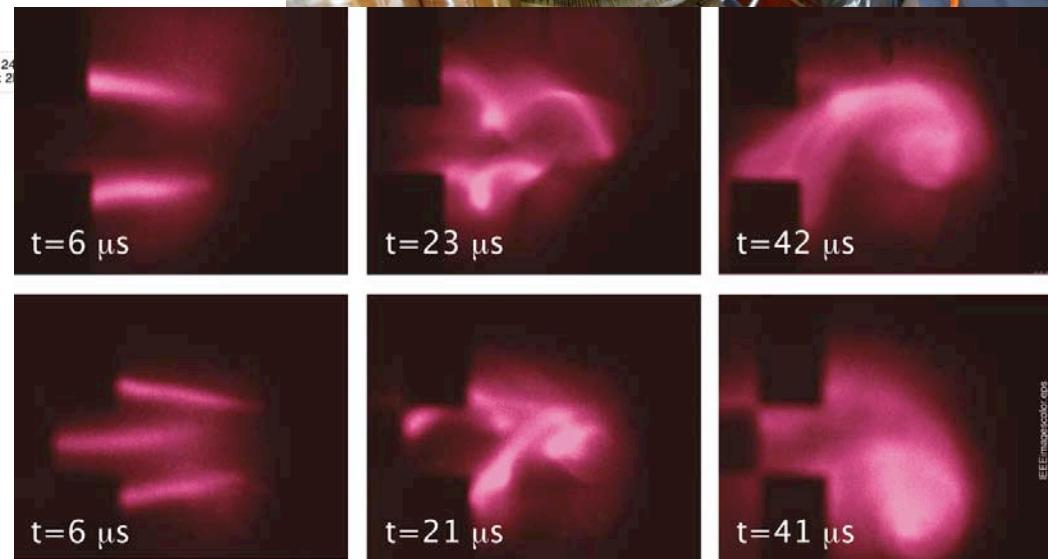
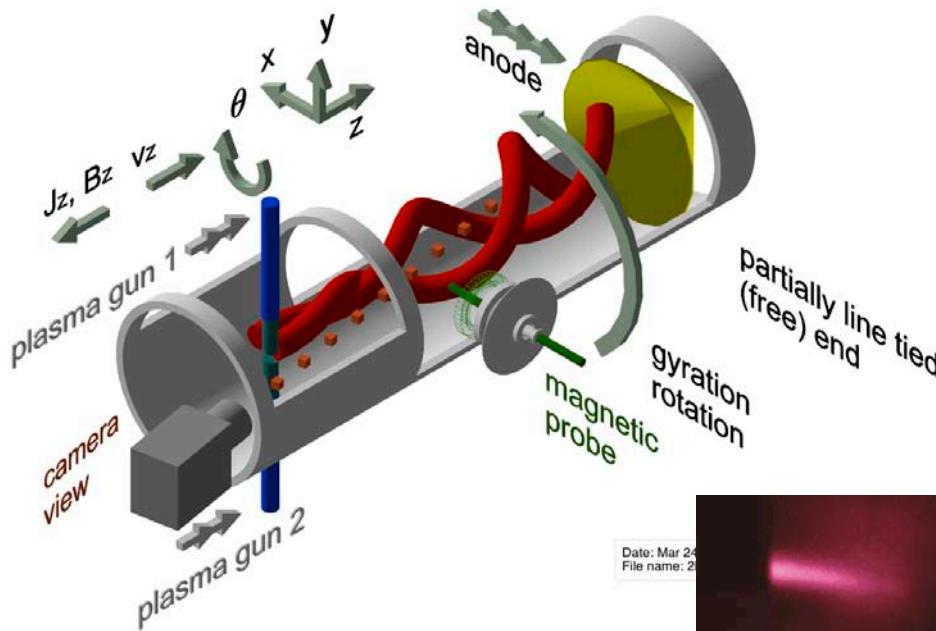
- Red arrows → direction of fast solar wind streams leaving the sun.
- Yellow → magnetic fields
- Fast solar wind anchored at coronal hole, but the other end winds out to Earth, Mars, Jupiter (magnetic clouds)
- Current + field => Flux ropes!

Zoom in on coronal holes: flux ropes

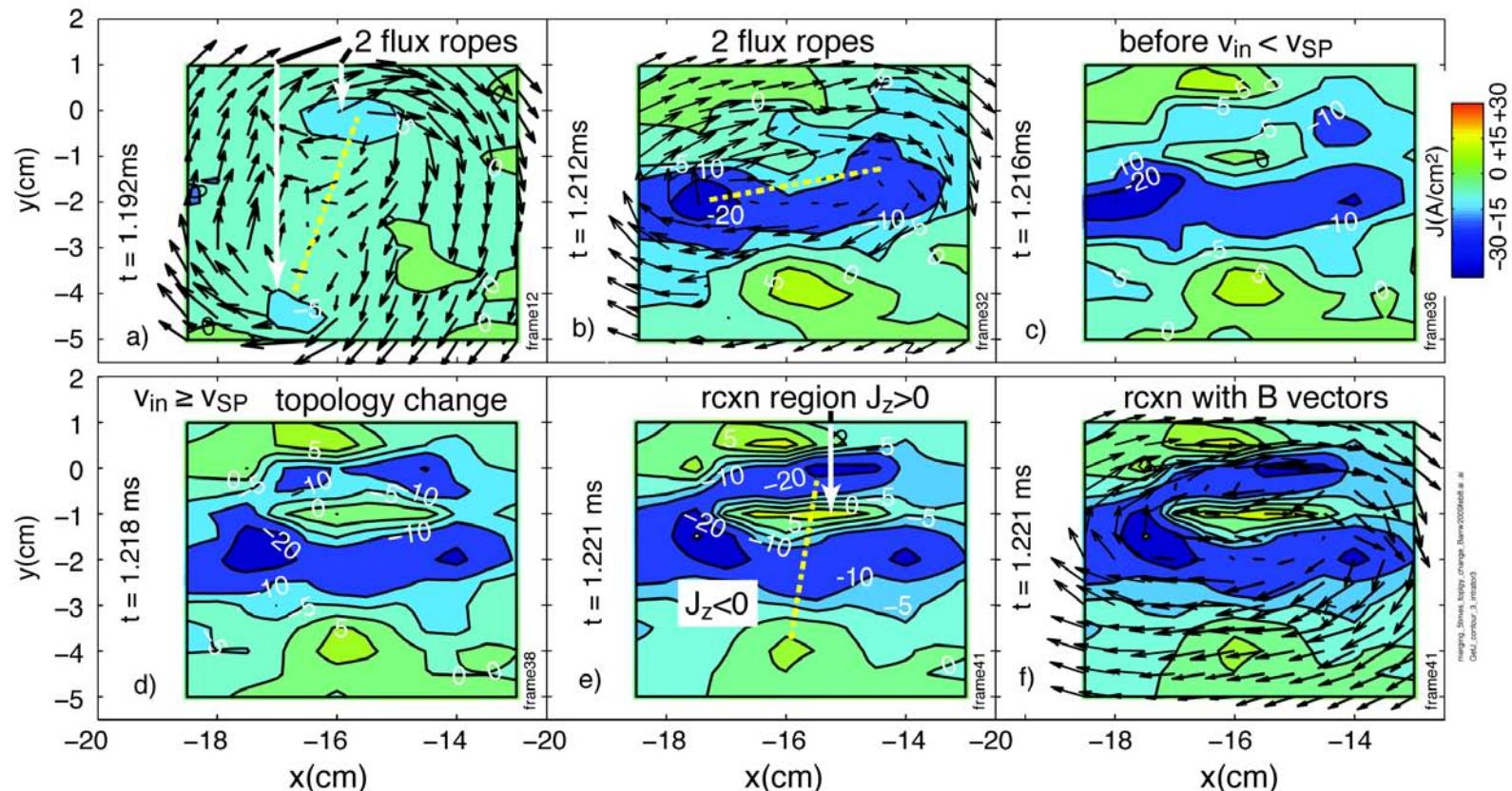


ryutova_fig1a_sunspot_ropes_Apr2008

Current ropes modeled in the laboratory



Reconnection and pileup when $v(\text{inflow}) > v(\text{Sweet Parker})$

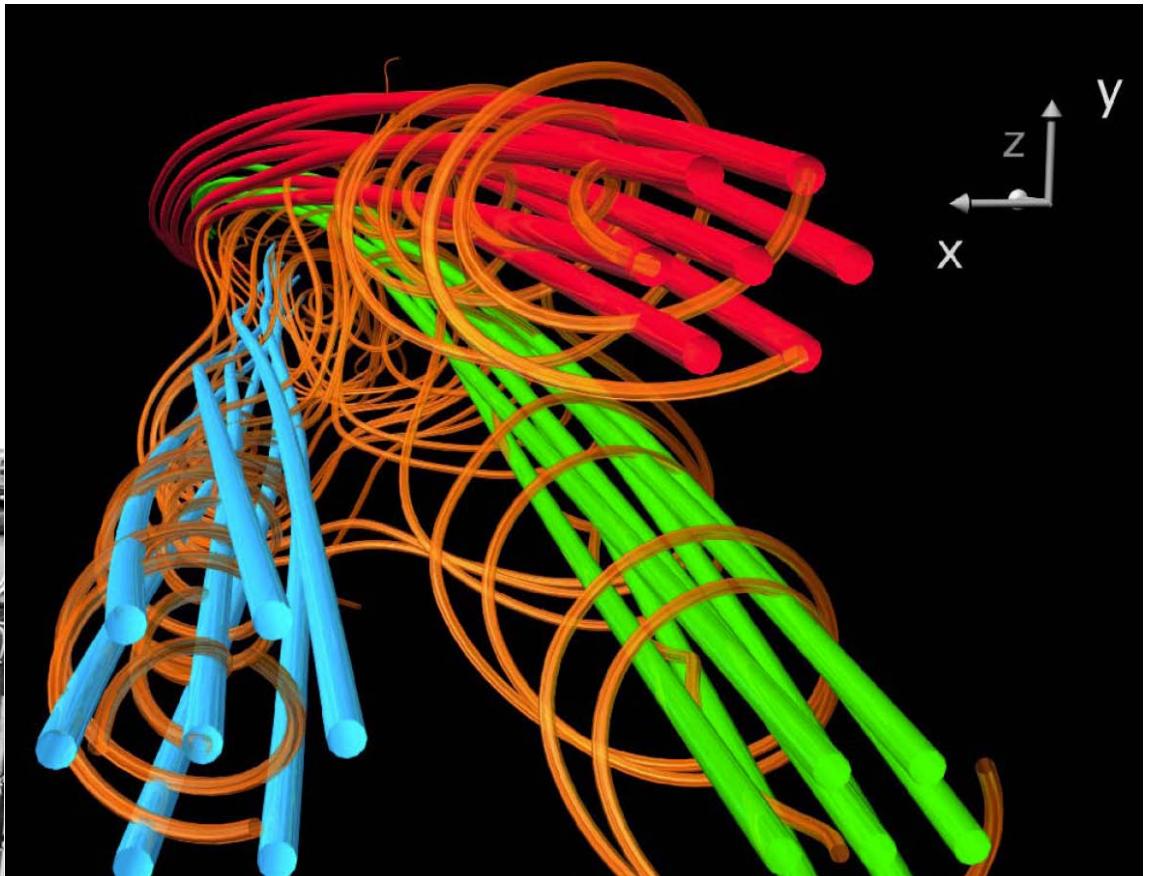
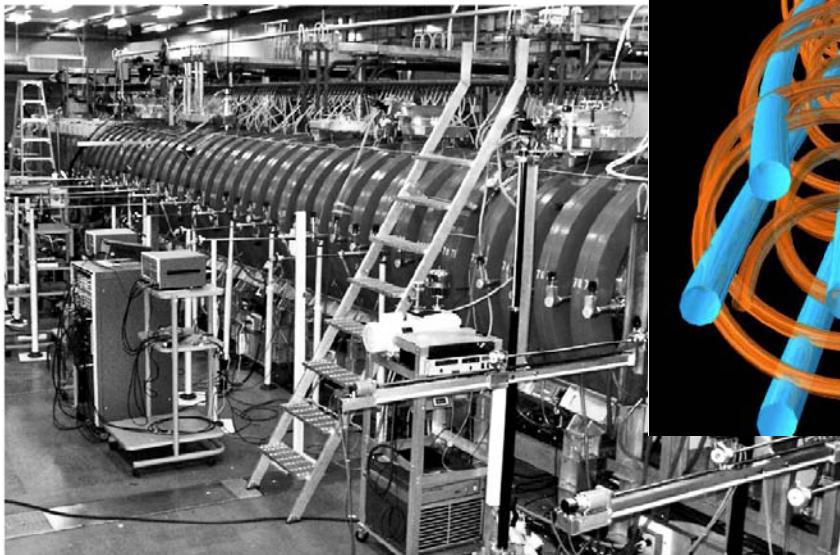


$$\frac{B(\text{guide})}{B(\text{rcxn})} = \frac{B_z}{B_\theta} \approx 10$$

Vector $B(x,y)$ & J_z contours
 $B = 100 \text{ Gauss}$, $V_{\text{bias}} = 120 \text{ volt}$

3 flux ropes in LAPD

Large Plasma Device
UCLA
W. Gekelman



- B lines (RGB)
- J lines (orange)
- Gekelman et al, ApJ2012

3D Reconnection current sheet is intrinsically unstable
to formation of islands, plasmoids, flux ropes

Shocks

- Shock moves faster than the local information speed
- Fluid near the disturbance cannot react before the disturbance arrives
- Jump in fluid properties (density, pressure, temperature, velocity, Mach number)
- decelerates supersonic flow
- Sites of particle acceleration
- Thickness \sim mean free path
- in air: mean free path \sim micron
- On Earth, most shocks are mediated by collisions

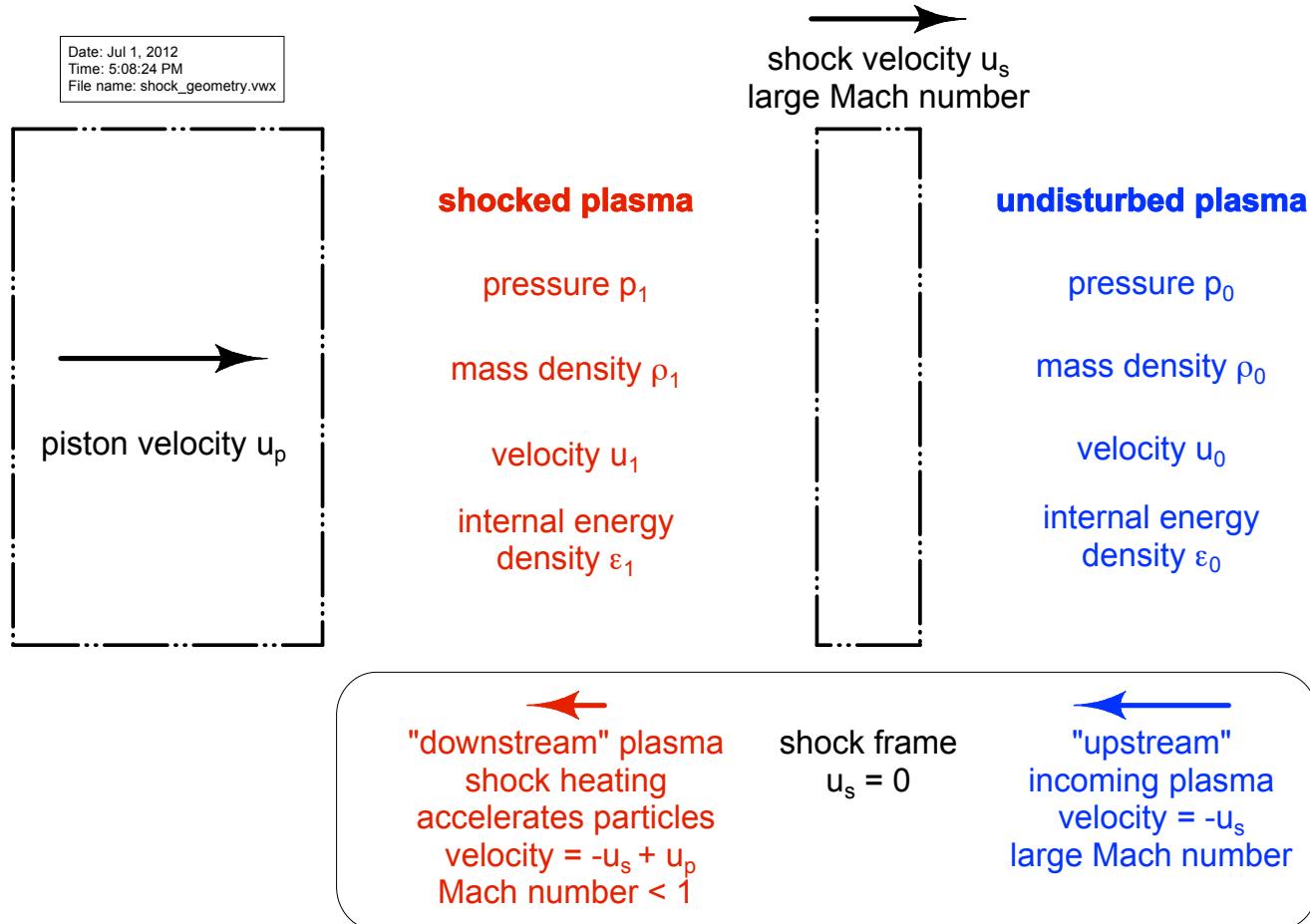
http://www.bg.ic.ac.uk/research/k.parker/wave_intensity_web/pics



A plane moving faster than the speed of sound creates shock waves that form a cone around the plane. This fighter plane is flying at supersonic speed at low altitude over an ocean in humid conditions. The compression caused by the conical shock waves around the plane causes the water vapour to condense forming the cloud over the rear of the plane, thereby making the effect of the shock waves visible. Cloud is moving with the plane.

Shock geometry

Laboratory vs shock reference frame

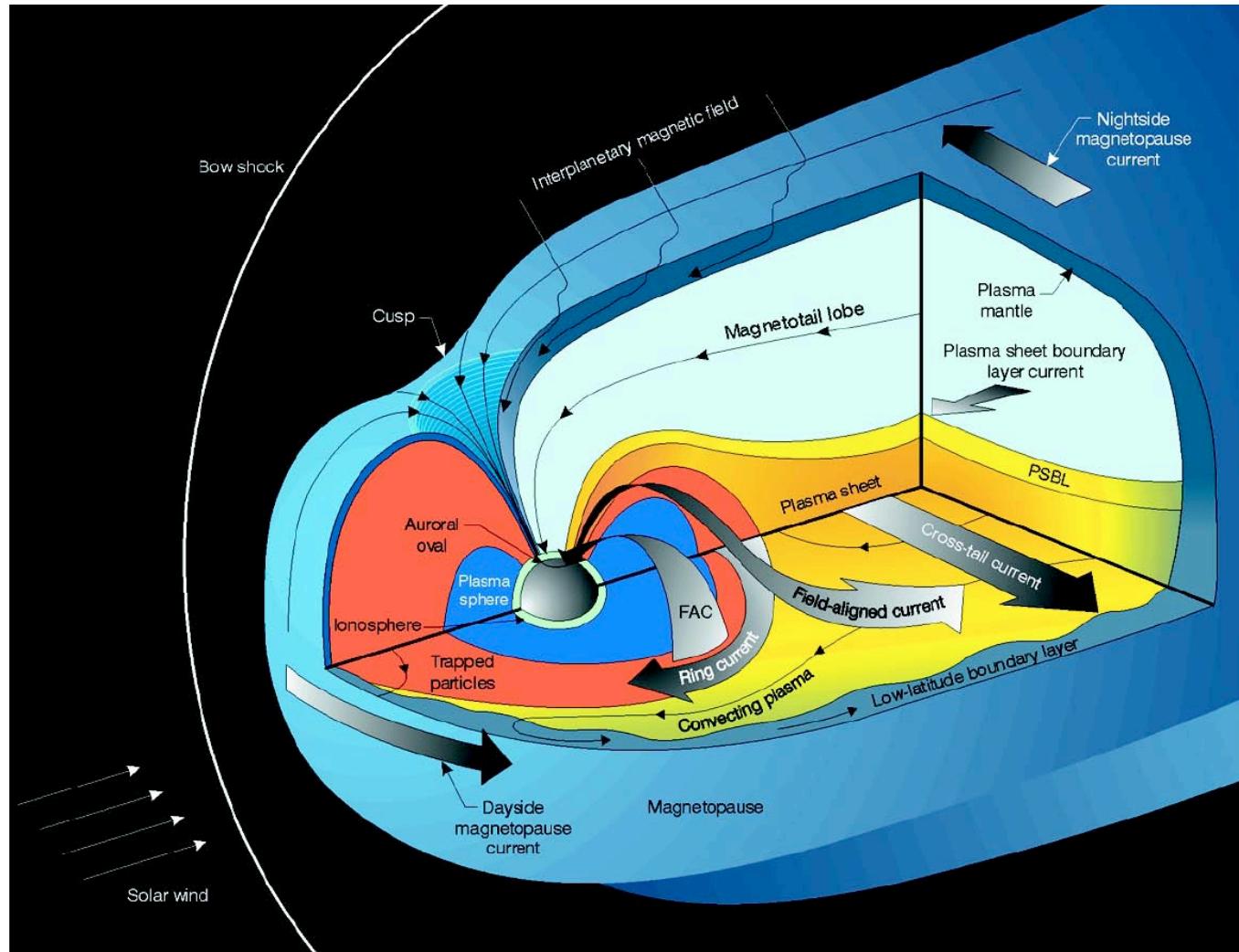


Physics of collisionless shocks

- Shocks are sites of particle acceleration
- Shock: sudden change in density, temperature, pressure that decelerates supersonic flow
- Thickness \sim mean free path
- Astrophysical settings: Mean free path to Coulomb collisions is enormous: 100pc in supernova remnants, \sim Mpc in galaxy clusters
- *Mean free path > scales of interest*
- shocks must be mediated without direct collision, but through interaction with collective fields
- *collisionless shocks*

Earth + solar wind + dipole magnetic field

=> convecting field lines, bowshock, magnetotail, plasmoid



Supernova remnants show shock boundaries

SNR results from the explosion of a star in a supernova, bounded by an expanding shock wave, and consists of ejected material expanding from the explosion, and the interstellar material it sweeps up and shocks along the way

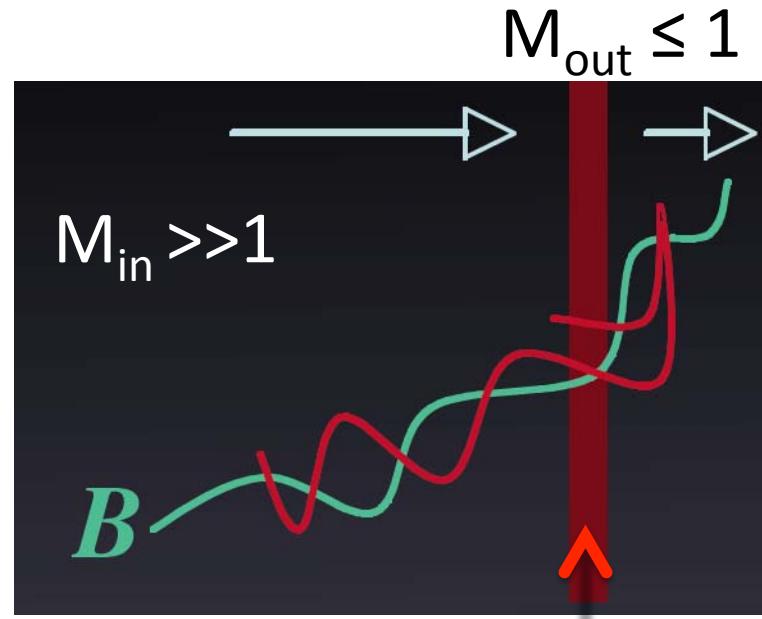
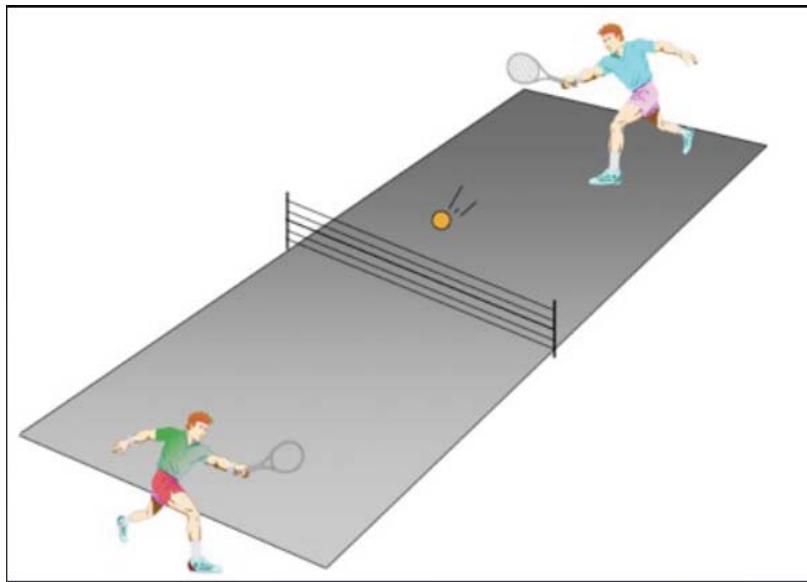
Ejecta velocities $< 10\%$ the speed of light, highly supersonic, M_s number initially > 1000 . Strong shock wave forms ahead of the ejecta, that heats the upstream plasma up to temperatures well above millions of K.



Multiwavelength composite image of the supernova remnant N49 in the [Large Magellanic Cloud](#).

Astrophysical collisionless shocks

- accelerate particles
- amplify magnetic fields (or create them)
- exchange energy between electrons and ions



Particles rattle back and forth

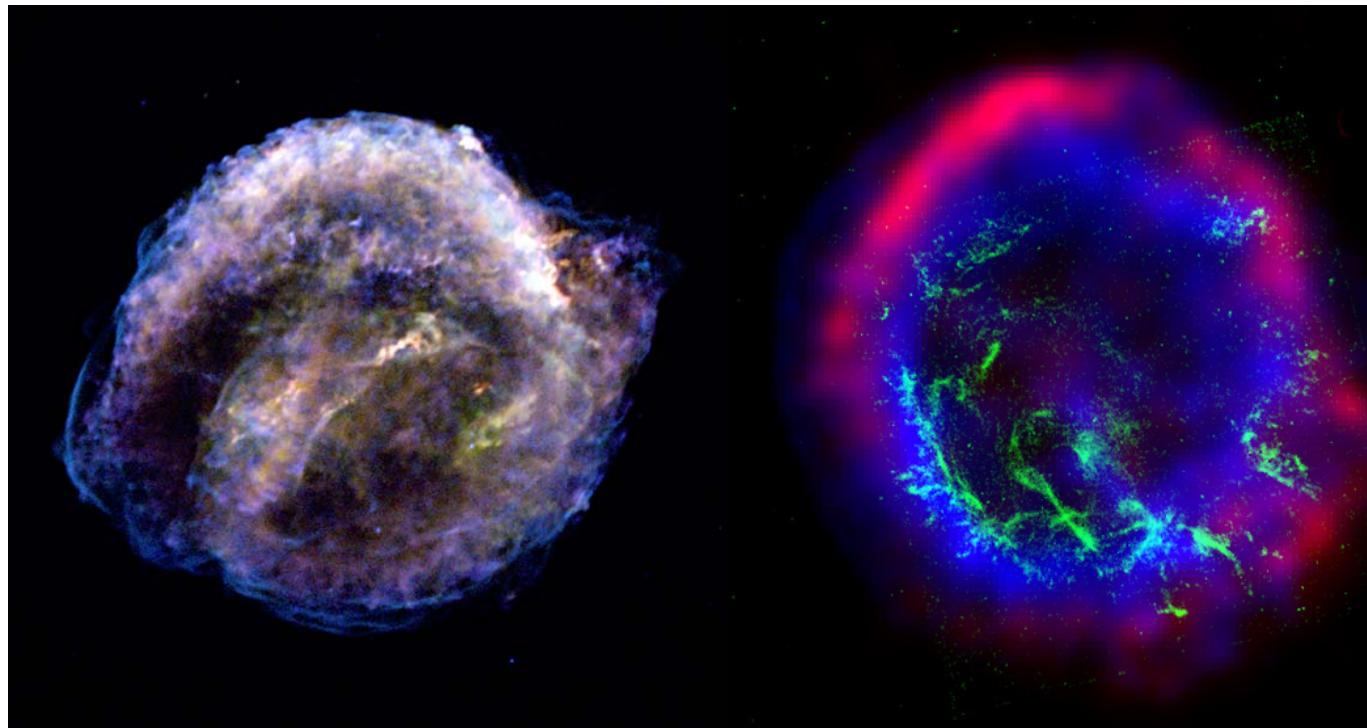
Mach number
 $M_s = v/c_s$
 $M_A = v/v_A$

Shock front

Supernova Remnant (SNR)

Supernova Remnants

Krauss-Varban, p6



Kepler. Blue: highest energy
X-rays \leftrightarrow shock

e0102-723. blue: Chandra X-ray,
million-K gas; red: radio, electrons

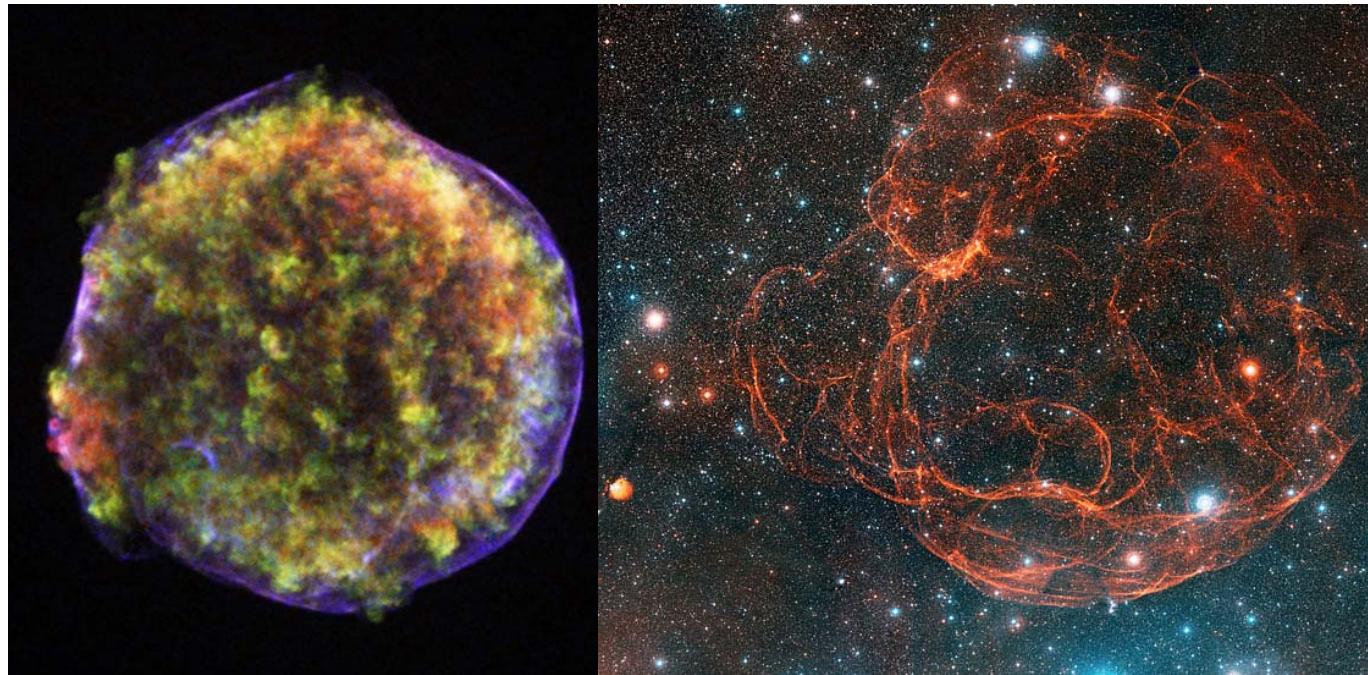
Rankine Hugoniot jump conditions

- Adiabatic gas law
 - $pV^\gamma \Rightarrow p/\rho^\gamma = \text{constant}$, p =pressure, ρ =mass density
- conservation of mass flow (in shock frame)
 - $\rho_0 v_{n0} = \rho_1 v_{n1}$, v =velocity
- conservation of momentum flux
 - $\rho_0 v_{n0}^2 + p_0 = \rho_1 v_{n1}^2 + p_1$
- Jump in energy flux
 - $\epsilon_0 + v_0^2/2 + p_0/\rho_0 = \epsilon_1 + v_1^2/2 + p_1/\rho_1$

Supernova remnants show shock boundaries

Supernova Remnants

Krauss-Varban, p7



Tycho. Green, red: multimillion degree debris; blue: high-energy electrons. Nuclei

Simeis 147. 3° on the sky \leftrightarrow 160 light-years wide @ 3,000 light-years distance

More SNR

- Multiwavelength composite image of the remnant of Tycho's supernova, [SN 1572](#).



Summary

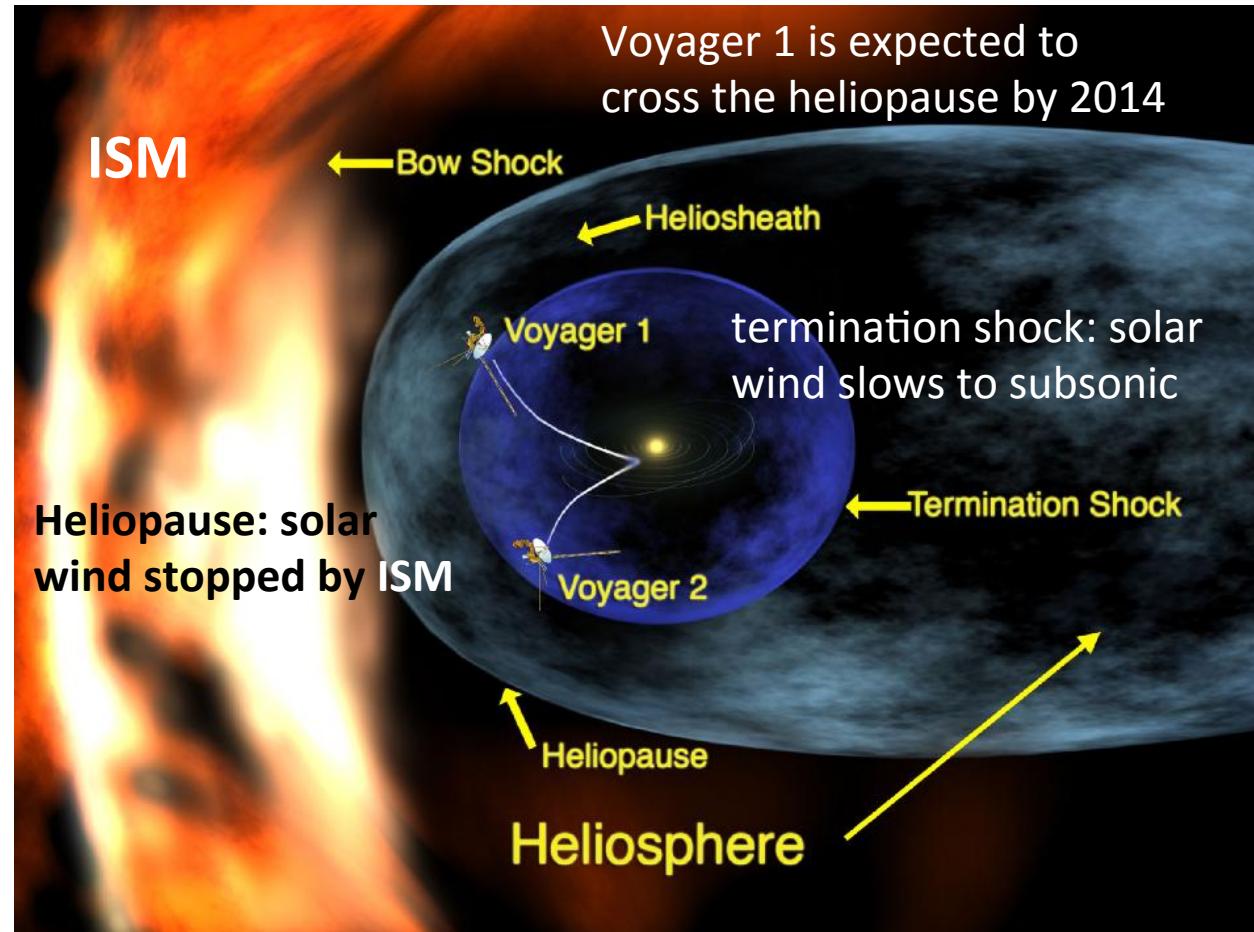
- Magnetic structure occurs from the smallest planetary to the largest cosmic scales.
- Introduce basic description some interesting features of non linear magnetohydrodynamics (MHD).
- dynamo creates magnetic field from flow
- reconnection annihilates magnetic field, accelerates particles.
- Shocks occur when flows move faster than the local velocity (sonic or Alfvén speed) for the propagation of information.
- Reconnection, shocks, and ??? can accelerate particles.

Extra slides

Solar system confronts interstellar medium

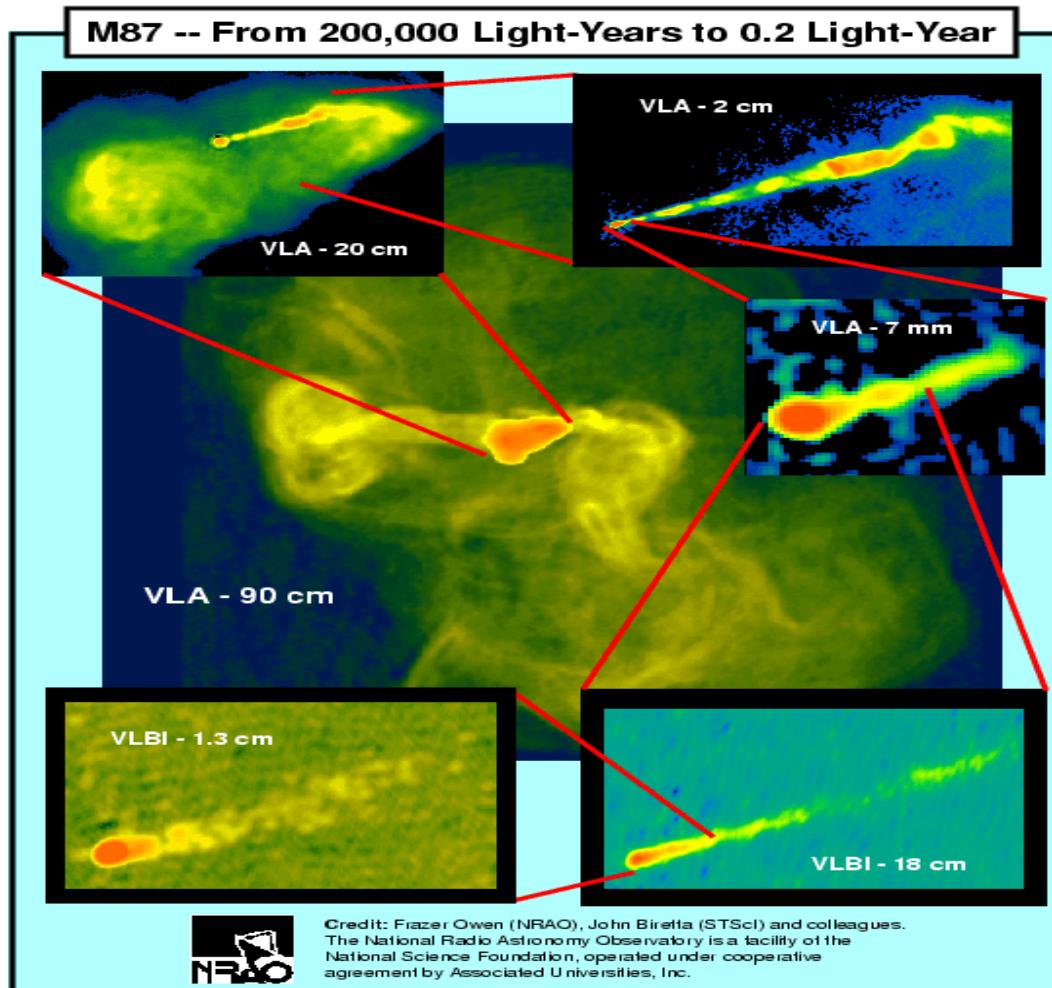
magnetic
bubbles

- Earth
- solar system



http://www.nasa.gov/images/content/462848main_heliosphere_946-710.jpg

Galactic jets - fluid flow and radiation powered by annihilation of magnetic fields (reconnection)?



Maxwell's equations (SI units)

describe electromagnetic fields

Faraday's Law

$$\nabla \times E = - \frac{\partial B}{\partial t}$$

Loop currents and magnetic field

$$\nabla \cdot B = 0$$

Poisson's eqn,
charge density

$$\nabla \cdot \epsilon_0 E = e(n_i - n_e) = \rho$$

Ampere's law

$$\nabla \times B = \mu_0 J + \frac{1}{c^2} \frac{\partial E}{\partial t}$$

Current conservation

$$\partial(\epsilon n)/\partial t + \nabla \cdot J = 0$$

Lorentz force equation

$$F = q(E + v \times B)$$

Derivation of Plasma Fluid Equations

Plasma Physics Summer School

Bruno Bauer
UNR Physics Department

Center for Nonlinear Studies, LANL
June 20, 2012



Abstract

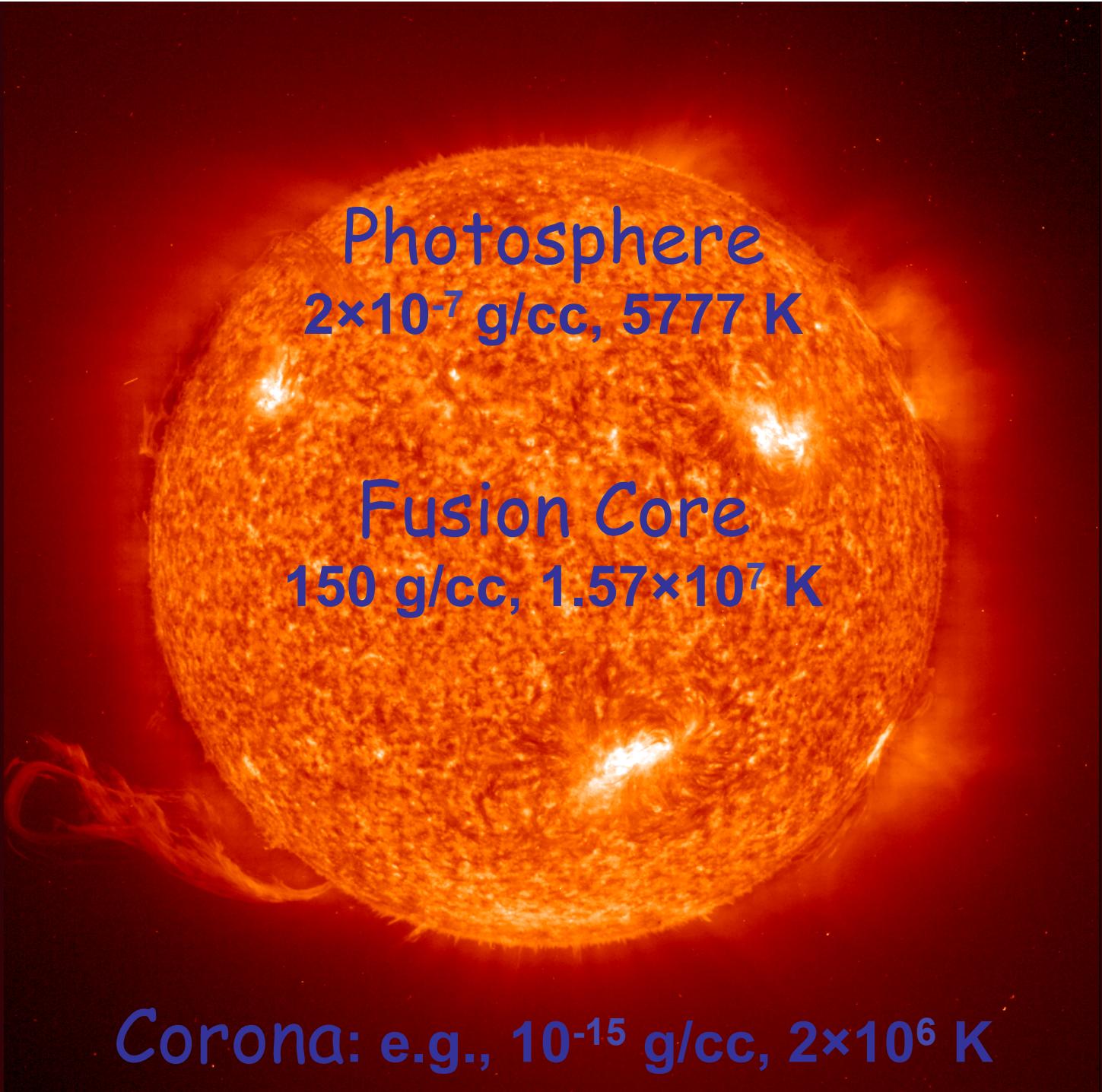


Plasma physics is of great importance for science and technology. All plasma follows a common set of principles, whether it is the tenuous plasma of interstellar space or the ultradense plasma created in inertial confinement fusion experiments; or whether it is the cool, chemical plasma used in the processing of semiconductors or the hot, thermonuclear plasma of stars and fusion devices. This second lecture of the Plasma Physics Summer School continues a broad outline of plasma physics. Starting with particle motion, we build distribution functions and derive kinetic equations. Taking velocity moments of the Boltzmann kinetic equation we reduce the dimensionality of the plasma description but increase the number of partial differential equations. Through suitable approximations, we obtain and close fluid equations, including those of magnetohydrodynamics. At every stage in this cascade of derivations, we should pause to solve our equations and admire a new perspective on the wondrous world of plasma equilibria, waves, and instabilities.

Derivation of Plasma Fluid Eqns

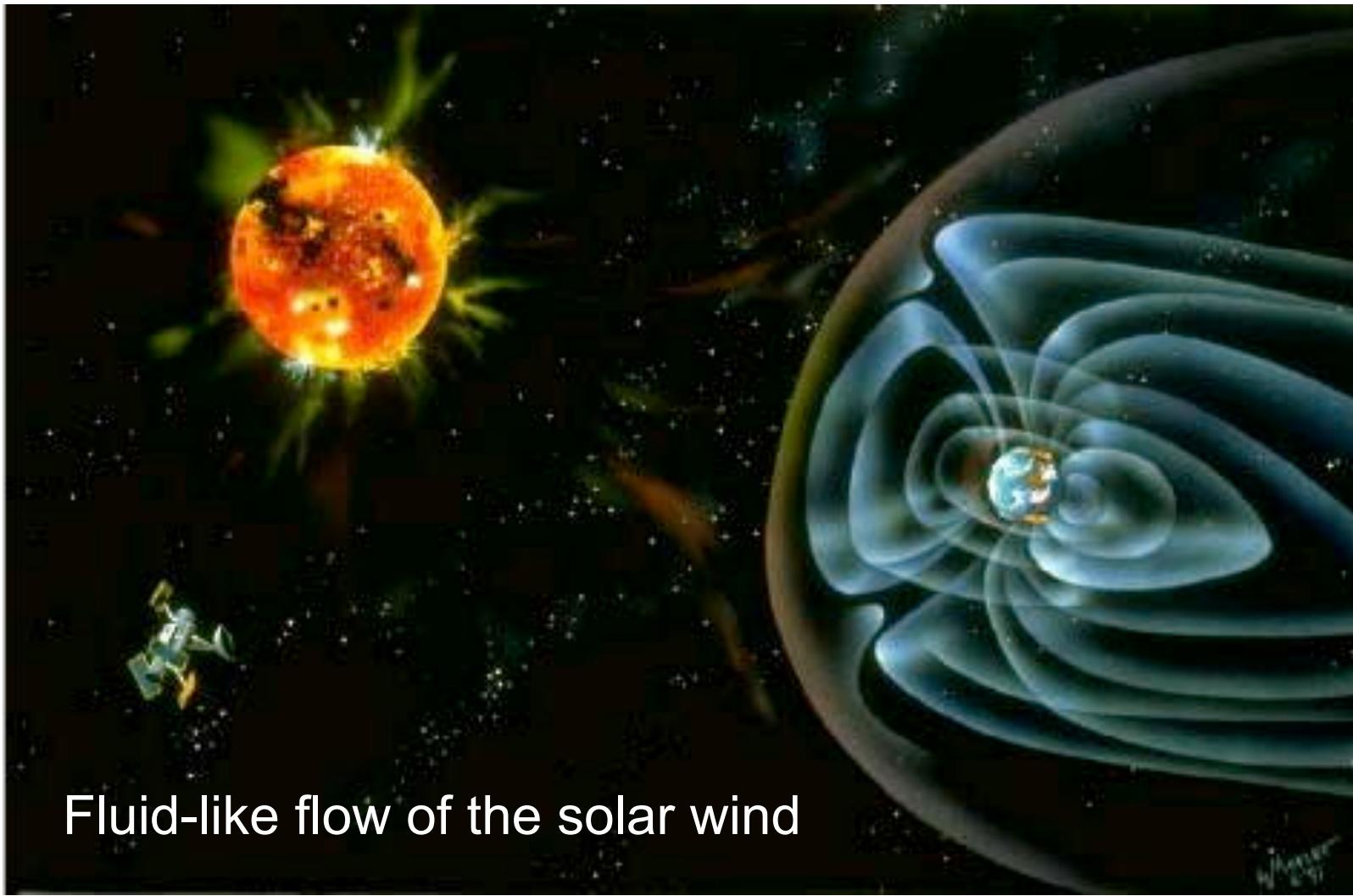


- 1. The challenge of plasma physics
- 2. Kinetic equations
 - Klimontovich, Boltzmann, Vlasov
 - Distribution functions
- 3. Particle motion
- 4. Velocity moments of Boltzmann equation
 - + approximations
 - => fluid equations & magnetohydrodynamics
- 5. Plasma equilibria, waves, instabilities, self-organization



EUV (He-II 304A) Image: ESA & NASA,
SOHO/EIT, umbra.nascom.nasa.gov/
images/eit_19970914_0121_304.jpg

Solar wind drives Earth magnetosphere



Fluid-like flow of the solar wind

Image: Artist's Conception of the Sun-Earth System,
Steve Mercer, Space Science Institute
pwg.gsfc.nasa.gov/istp/news/0005/MercerMural40.jpg

The challenge of plasma physics



- Explain macrostructure from microphysics
- Understand & control large collections of unbound charged particles & photons

Classical plasma physics simplifying assumption:

- ❖ Assume plasma parameters such that particles can be described with classical physics

For $i = 1, 2, 3, K, N$: $\{q_i, m_i, \mathbf{r}_i, \mathbf{v}_i, \mathbf{a}_i\}$

with Lorentz force $\mathbf{a}_i = \frac{q_i}{m_i} (\mathbf{E}_i + \mathbf{v}_i \times \mathbf{B}_i)$:

where spiky fields are determined by the particles via Maxwell's equations

- ❖ This is a complete, but intractable, set of equations

Maxwell's Equations

$$\oint \vec{E} \cdot d\vec{A} = \frac{Q}{\epsilon_0}$$

Gauss' Laws

Relates E to charges.
(Coulomb's law)

$$\oint \vec{B} \cdot d\vec{A} = 0$$

B is continuous.
No magnetic monopoles.

$$\oint \vec{E} \cdot d\vec{l} = -\frac{d\Phi_B}{dt}$$

Faraday's Law

Changing B produces E

$$\oint \vec{B} \cdot d\vec{l} = \mu_0 I + \mu_0 \epsilon_0 \frac{d\Phi_E}{dt}$$

Ampère-Maxwell Law

I or Changing E produces B

These equations describe all electric & magnetic phenomena
(in the absence of dielectric or magnetic materials).

Maxwell's equations for spiky fields



$$\nabla^{\mathbf{r}} \cdot \mathbf{E} = \frac{\rho}{\epsilon_0} \quad \text{where} \quad \rho(r, t) = \sum_{i=1}^N q_i \delta(r - \mathbf{r}_i(t)) - \rho_{\text{ext}}(r, t)$$

$$\nabla^{\mathbf{r}} \times \mathbf{E} = - \frac{\partial \mathbf{B}}{\partial t}$$

$$\nabla^{\mathbf{r}} \cdot \mathbf{B} = 0 \quad j(r, t) = \sum_{i=1}^N q_i v_i(t) \delta(r - \mathbf{r}_i(t)) - j_{\text{ext}}(r, t)$$

$$\nabla^{\mathbf{r}} \times \mathbf{B} = \mu_0 j + \epsilon_0 \frac{\partial \mathbf{E}}{\partial t}$$

**Delta functions: $\int \delta(x) dx = 1$,
 $\delta(0) = \infty$, $\delta(x) = 0$ for $x \neq 0$**

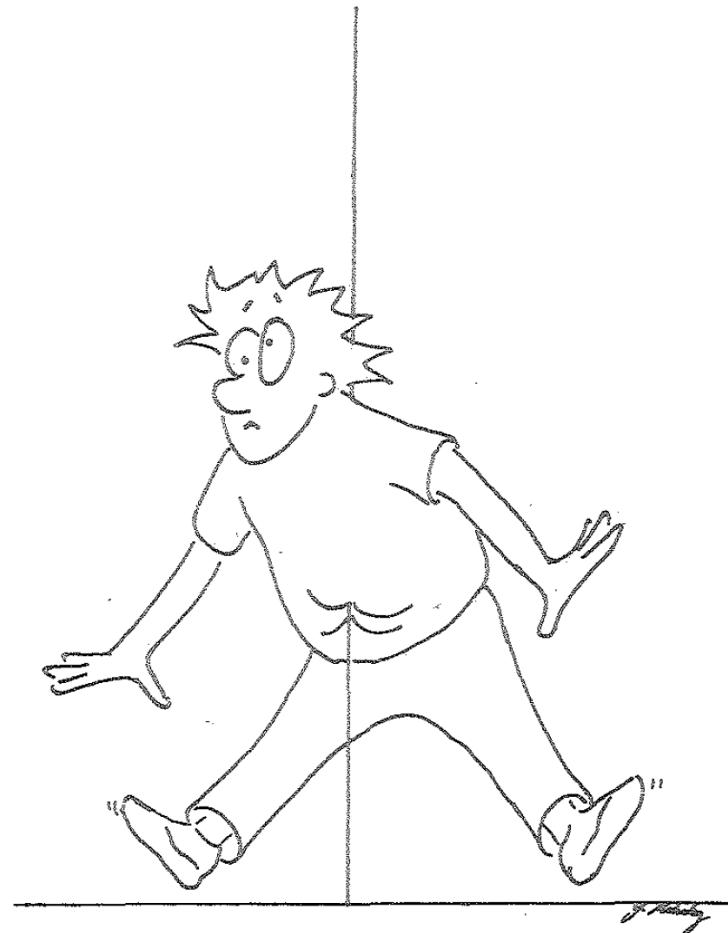


Figure P.1

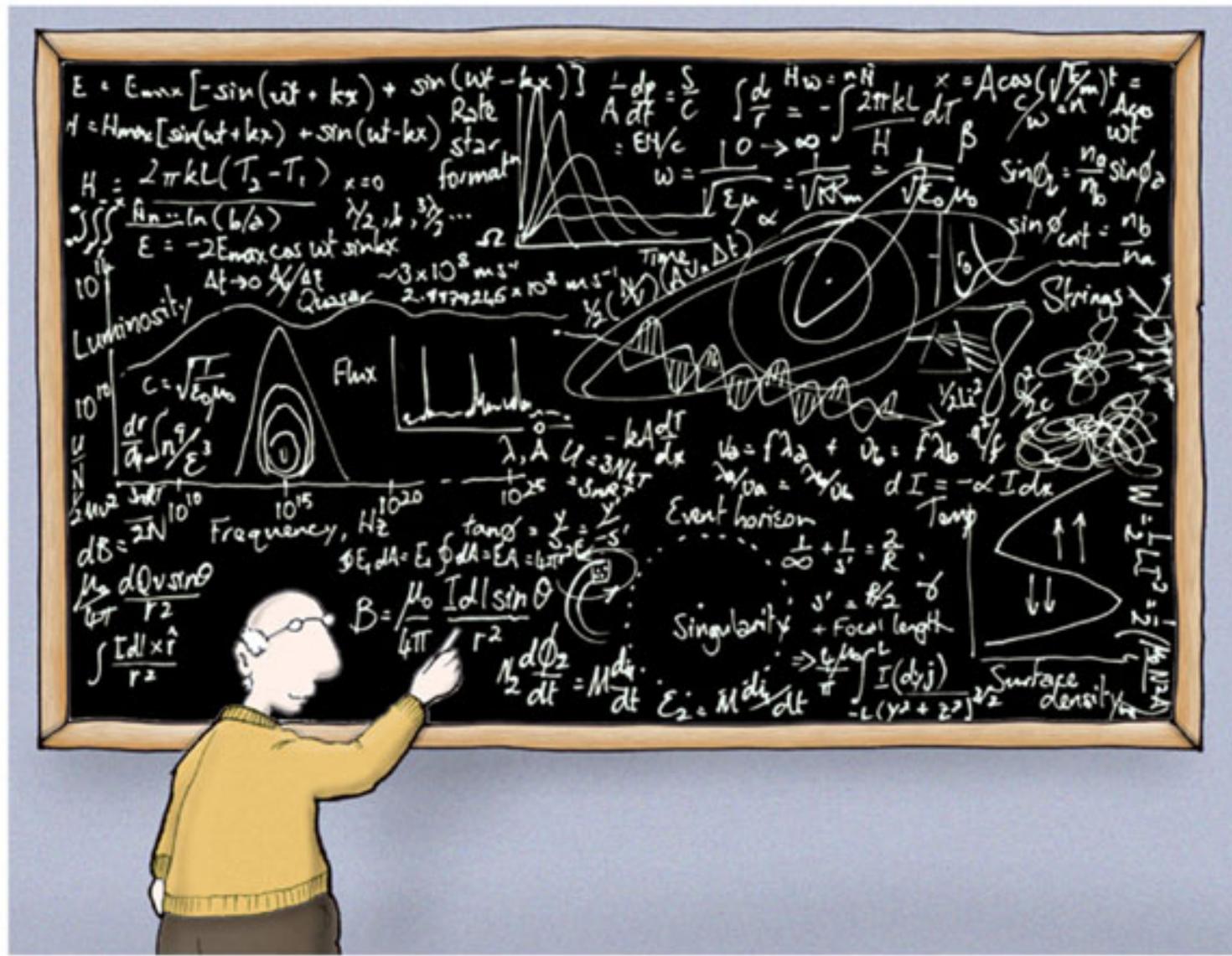
Hapless Physicist Impaled on his own Delta Function
(Demonstrating the Perils of Insufficient Theoretical Rigor)

Cartoon: S.B. Cahn & B.E. Nadgorny,
A Guide to Physics Problems, Part 1,
Plenum Press, NY 1994

Derivation of Plasma Fluid Eqns



- 1. The challenge of plasma physics
- 2. Kinetic equations
 - Klimontovich, Boltzmann, Vlasov
 - Distribution functions
- 3. Particle motion
- 4. Velocity moments of Boltzmann equation
 - + approximations
 - => fluid equations & magnetohydrodynamics
- 5. Plasma equilibria, waves, instabilities, self-organization



Cartoon: Nick D. Kim, strange-matter.net

Astrophysics made simple

Take a statistical approach to tracking the large number of plasma particles



For each species of particle, α ,
define the spiky microscopic phase-space
distribution function of Klimontovich

$$f_{K\alpha}(\mathbf{r}, \mathbf{v}, t) = \sum_{i=1}^{N_\alpha} \delta(\mathbf{r} - \mathbf{r}_i(t)) \delta(\mathbf{v} - \mathbf{v}_i(t))$$

Here N_α = # of particles of species α

Differentiating Klimontovich distribution => Klimontovich equation



$$f_{K\alpha}(r, v, t) = \sum_{i=1}^{N_\alpha} \delta(r - r_i(t)) \delta(v - v_i(t))$$

$$\frac{\partial f_{K\alpha}}{\partial t} = -\frac{dr_i}{dt} \mathbf{g} \nabla_r f_{K\alpha} - \frac{dv_i}{dt} \mathbf{g} \nabla_v f_{K\alpha}$$

Let the delta functions do the localizing to particles:

$$\frac{\partial f_{K\alpha}}{\partial t} = -v \mathbf{g} \nabla_r f_{K\alpha} - a \mathbf{g} \nabla_v f_{K\alpha}$$

Inserting Lorentz force => Klimontovich equation:

$$\frac{\partial f_{K\alpha}}{\partial t} + v \mathbf{g} \nabla_r f_{K\alpha} + \frac{q}{m} \left(\mathbf{E} + \mathbf{v} \times \mathbf{B} \right) \mathbf{g} \nabla_v f_{K\alpha} = 0$$

The **Klimontovich** equation conserves particle number and spikiness



$$f_{K\alpha}(\mathbf{r}, \mathbf{v}, t) = \sum_{i=1}^{N_\alpha} \delta(\mathbf{r} - \mathbf{r}_i(t)) \delta(\mathbf{v} - \mathbf{v}_i(t))$$

$$\frac{\partial f_{K\alpha}}{\partial t} + \mathbf{v} \cdot \mathbf{g} \nabla f_{K\alpha} + \frac{q}{m} \left(\mathbf{E} + \mathbf{v} \times \mathbf{B} \right) \cdot \mathbf{g} \nabla_{\mathbf{v}} f_{K\alpha} = 0$$

Recognizing convective derivative:

$$\frac{df_{K\alpha}}{dt} = 0$$

Ensemble average to obtain smooth functions and the **Boltzmann** equation



$$f_{K\alpha}(\mathbf{r}, \mathbf{v}, t) = \sum_{i=1}^{N_\alpha} \delta(\mathbf{r} - \mathbf{r}_i(t)) \delta(\mathbf{v} - \mathbf{v}_i(t))$$

$$\frac{\partial f_{K\alpha}}{\partial t} + \mathbf{v} \cdot \mathbf{g} \nabla_{\mathbf{r}} f_{K\alpha} + \frac{q}{m} (\mathbf{E} + \mathbf{v} \times \mathbf{B}) \cdot \mathbf{g} \nabla_{\mathbf{v}} f_{K\alpha} = 0$$

$$f_\alpha \equiv \langle f_{K\alpha} \rangle,$$

$$\delta f_\alpha \equiv f_{K\alpha} - f_\alpha,$$

$$\mathbf{E} \equiv \langle \mathbf{E}_K \rangle, \text{ etc.}$$

=> the Boltzmann equation:

$$\frac{df_\alpha}{dt} = \frac{\partial f_\alpha}{\partial t} + \mathbf{v} \cdot \mathbf{g} \nabla_{\mathbf{r}} f_\alpha + \frac{q}{m} (\mathbf{E} + \mathbf{v} \times \mathbf{B}) \cdot \mathbf{g} \nabla_{\mathbf{v}} f_\alpha = \left(\frac{\partial f_\alpha}{\partial t} \right)_c$$

Here the right-hand-side is short hand for a complex collision term.

A distribution function $f(x,y,z,v_x,v_y,v_z)$ is a density in phase space

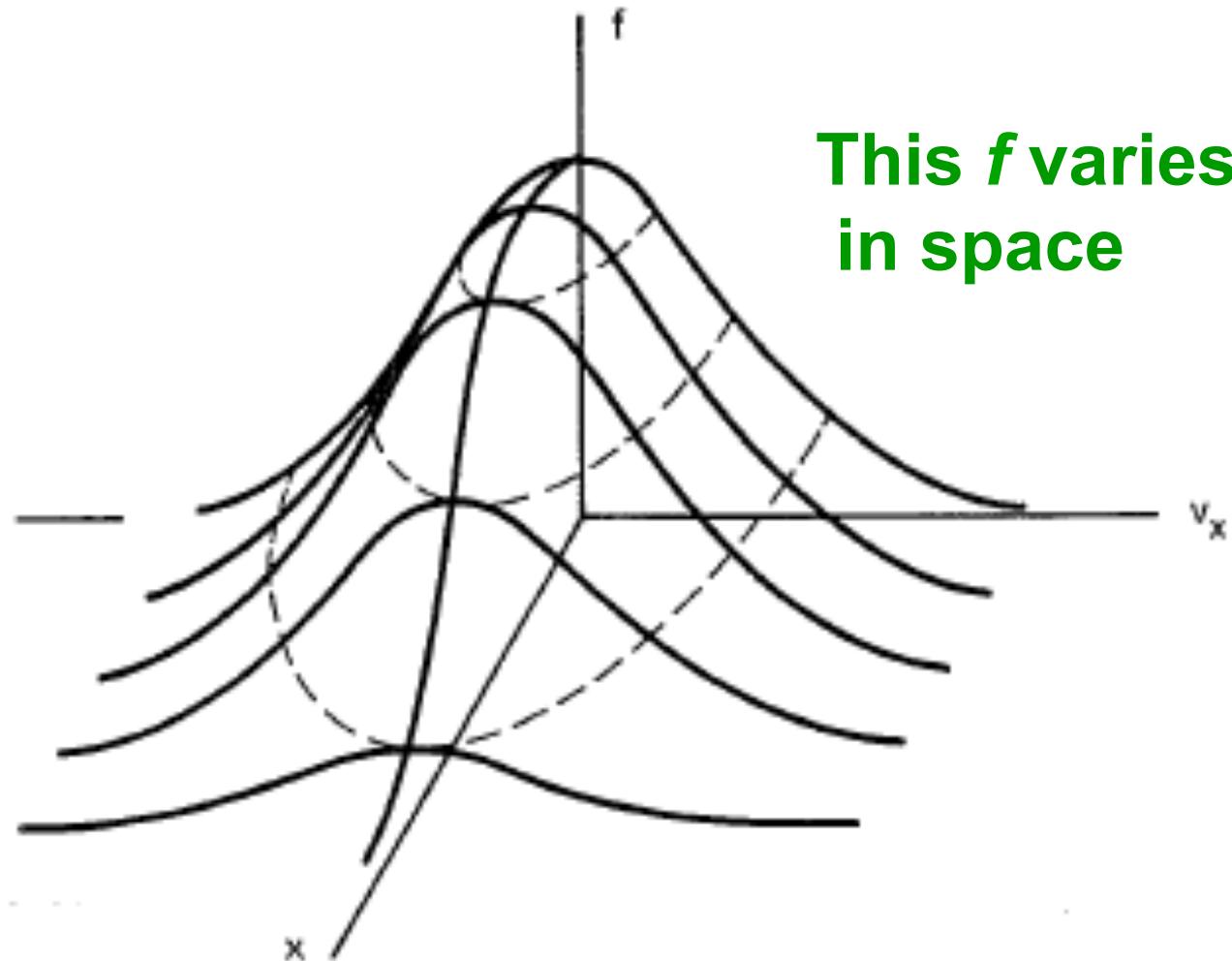


Figure: F.F. Chen, *Introduction to Plasma Physics and Controlled Fusion*, Vol. 1, 2nd ed., Plenum Press, NY, 1984.

Collisions drive the plasma *toward* maximum entropy



$$f_{\alpha}(\mathbf{r}, v) = n_{\alpha} \Big|_{\phi=0} \exp \left[\frac{-q_{\alpha} \phi(r)}{k_{\beta} T_{\alpha}} \right] f_{\text{M}}(v)$$

$$f_{\text{M}}(v) = \frac{1}{a^3 \pi^{3/2}} e^{-v^2/a^2}, \quad a \equiv \sqrt{\frac{2k_{\beta} T_{\alpha}}{m_{\alpha}}}$$

Maxwellian distribution function

Plasma distribution functions are often non-Maxwellian

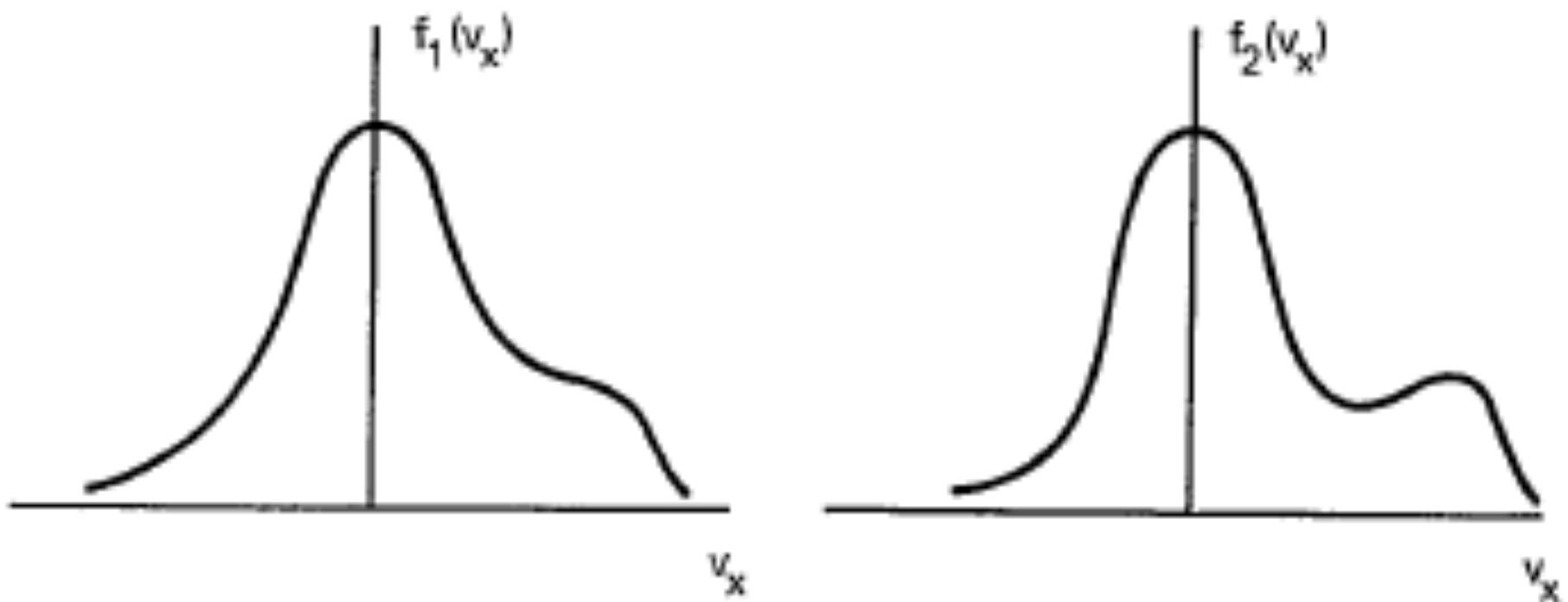


Figure: F.F. Chen, *Introduction to Plasma Physics and Controlled Fusion*, Vol. 1, 2nd ed., Plenum Press, NY, 1984.

Plasma distribution functions are often anisotropic

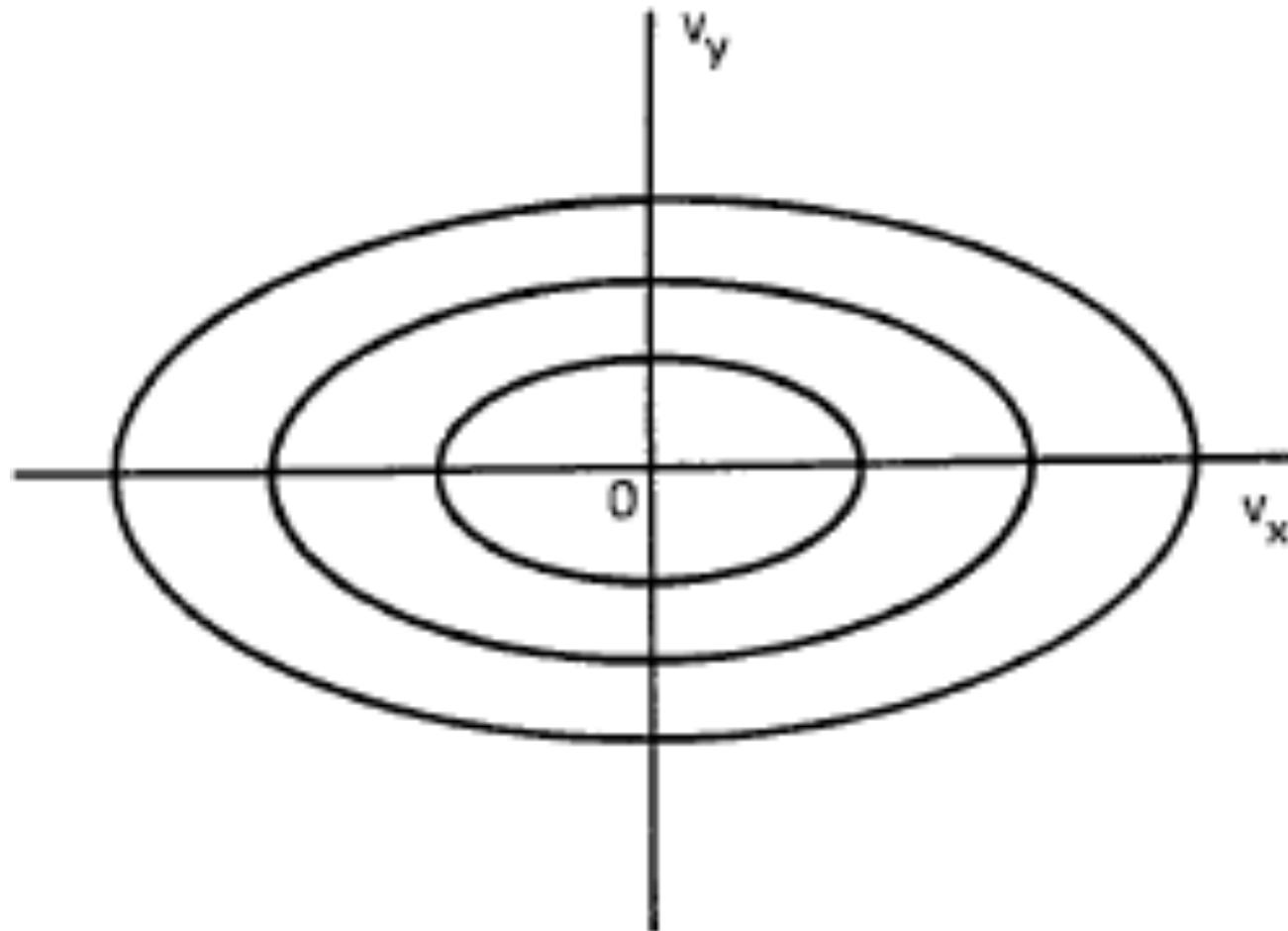


Figure: F.F. Chen, *Introduction to Plasma Physics and Controlled Fusion*, Vol. 1, 2nd ed., Plenum Press, NY, 1984.

Phase-space evolution can be complex and beautiful

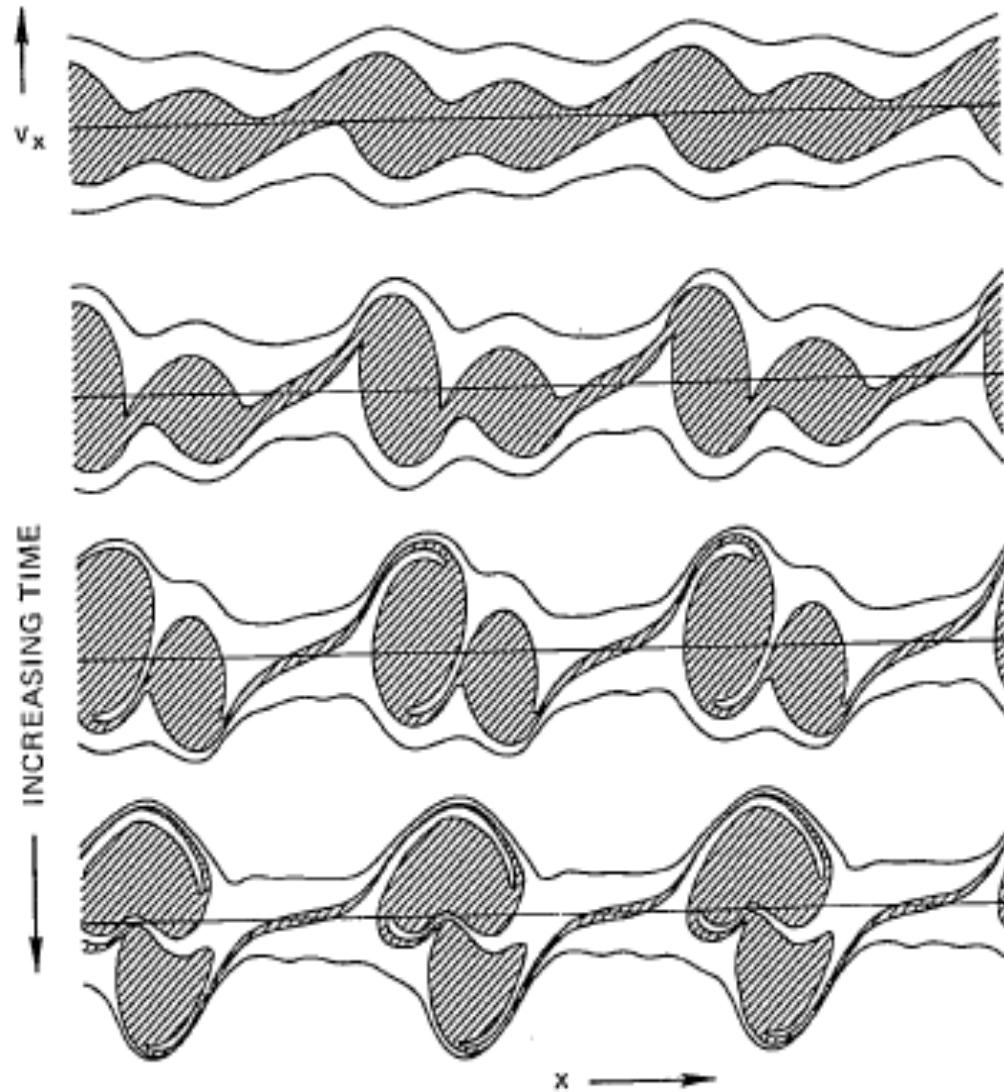


Figure: Electron 2-stream instability, H.L. Berk, C.E. Nielson, and K.V. Roberts, Phys. Fluids 13, 986 (1970). In F.F. Chen, *Introduction to Plasma Physics and Controlled Fusion*, Vol. 1, 2nd ed., Plenum Press, NY, 1984.

To better understand Boltzmann equation, follow particles in phase space & calculate collisions

$$\frac{df_\alpha}{dt} = \frac{\partial f_\alpha}{\partial t} + \mathbf{v} \cdot \mathbf{g} \nabla_{\mathbf{r}} f_\alpha + \frac{q}{m} (\mathbf{E} + \mathbf{v} \times \mathbf{B}) \cdot \mathbf{g} \nabla_{\mathbf{v}} f_\alpha = \left(\frac{\partial f_\alpha}{\partial t} \right)_c$$

One Boltzmann equation per species of particle

- Describes the evolution of the distribution of particles in phase-space

How do particles flow in phase space?

- What is the effect of the collision term (on rhs)?
How would f evolve without collisions?

Neglect collisions => Vlasov equation

$$\frac{df_\alpha}{dt} = \frac{\partial f_\alpha}{\partial t} + \mathbf{v} \cdot \mathbf{g} \nabla_{\mathbf{r}} f_\alpha + \frac{q}{m} (\mathbf{E} + \mathbf{v} \times \mathbf{B}) \cdot \mathbf{g} \nabla_{\mathbf{v}} f_\alpha = 0$$

The Vlasov equation conserves phase-space density: incompressible flow

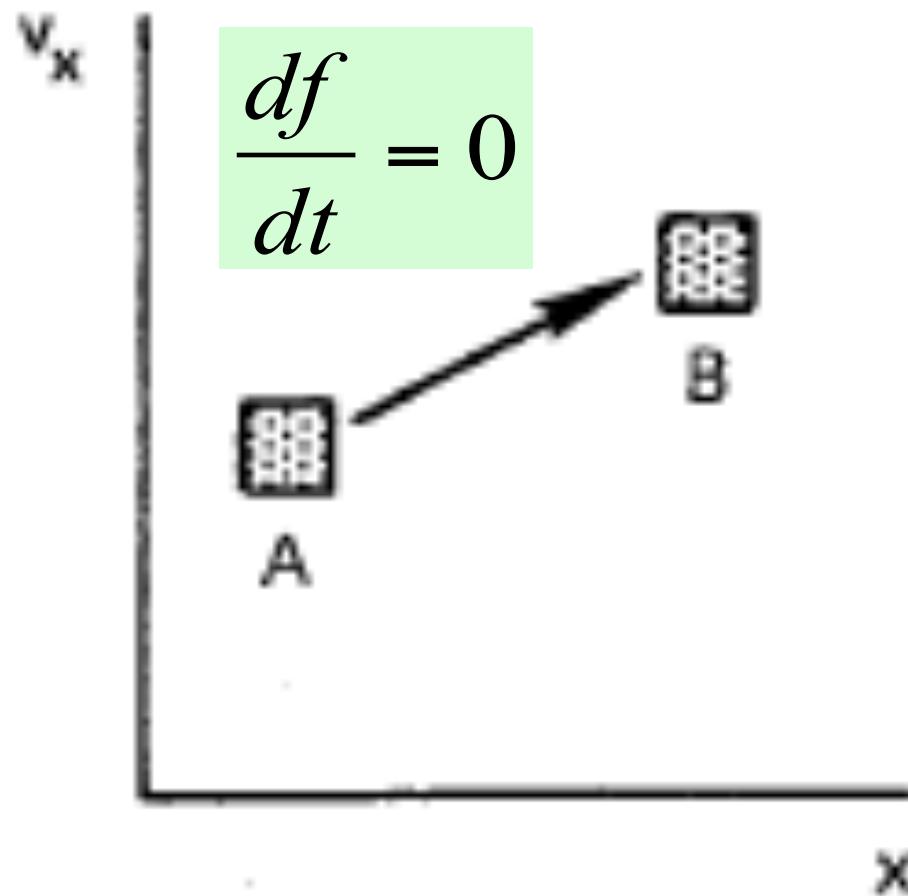


Figure: F.F. Chen, *Introduction to Plasma Physics and Controlled Fusion*, Vol. 1, 2nd ed., Plenum Press, NY, 1984.

Derivation of Plasma Fluid Eqns



- 1. The challenge of plasma physics
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Charged Particles Curve in a Magnetic Field

Magnetic force on a moving charged particle:

$$\begin{aligned}\vec{F} &= q\vec{v} \times \vec{B} \\ |\vec{F}| &= qvB \sin \theta\end{aligned}$$

- A magnetic field can change the **velocity** of a moving charged particle, but not its speed.
- A moving particle's direction will be changed by a magnetic field if it has a **velocity component perpendicular to the field.**

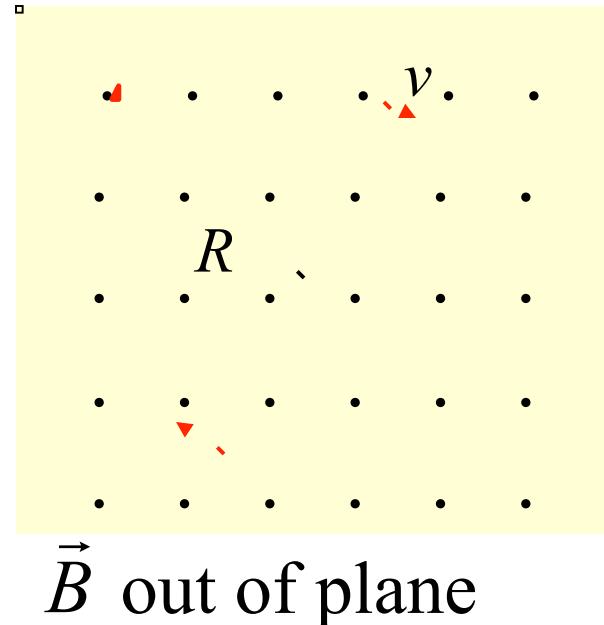
Cyclotron Motion

If a moving charged particle's trajectory is entirely within a uniform transverse magnetic field, its orbit is closed and circular.

$$\frac{mv_{\perp}^2}{R} = F_{\perp} = qv_{\perp}B$$

Orbital Period T :

Orbital Frequency f_c :



$$T = \frac{2\pi R}{v_{\perp}} = \frac{2\pi}{v_{\perp}} \frac{mv_{\perp}}{qB} = \frac{2\pi m}{qB}$$

$$f_c = \frac{1}{T} = \frac{qB}{2\pi m}$$

Cyclotron Frequency

Motion of a charged particle in an arbitrary direction relative to a magnetic field

- If \vec{v} is neither perpendicular nor parallel to \vec{B} , a helical (spiral) motion about the direction of \vec{B} results.
- Such motion of positive ions from the solar wind ionizes the atmosphere and is responsible for the phenomenon of the **Aurora Borealis** (northern lights)

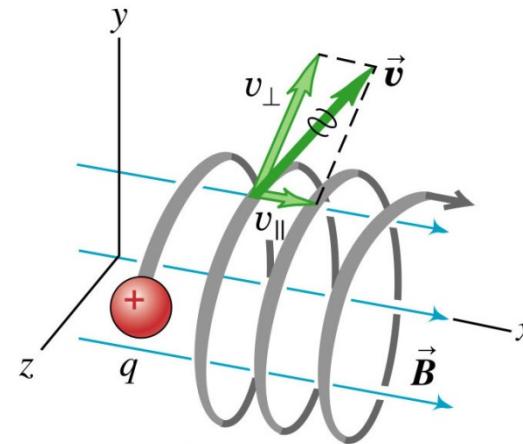


Fig.: H.D. Young and R.A. Freedman, *Sears and Zemansky's University Physics*, 11th ed., Pearson / Addison Wesley, San Francisco, CA, 2004.

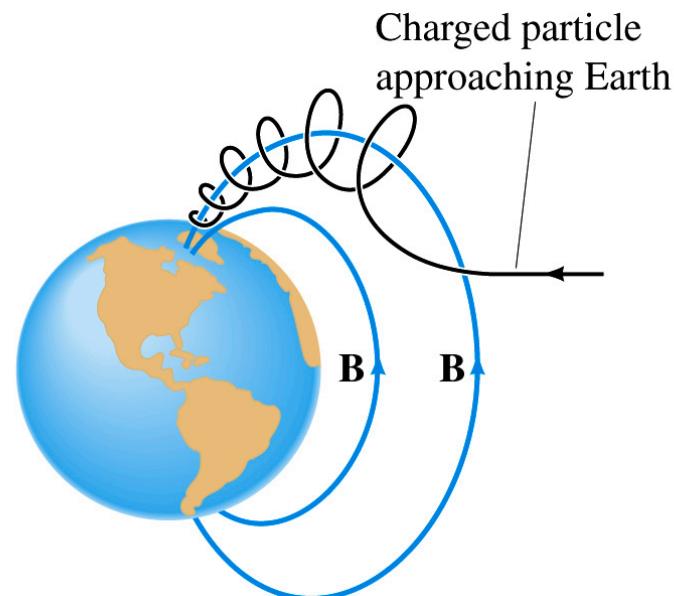


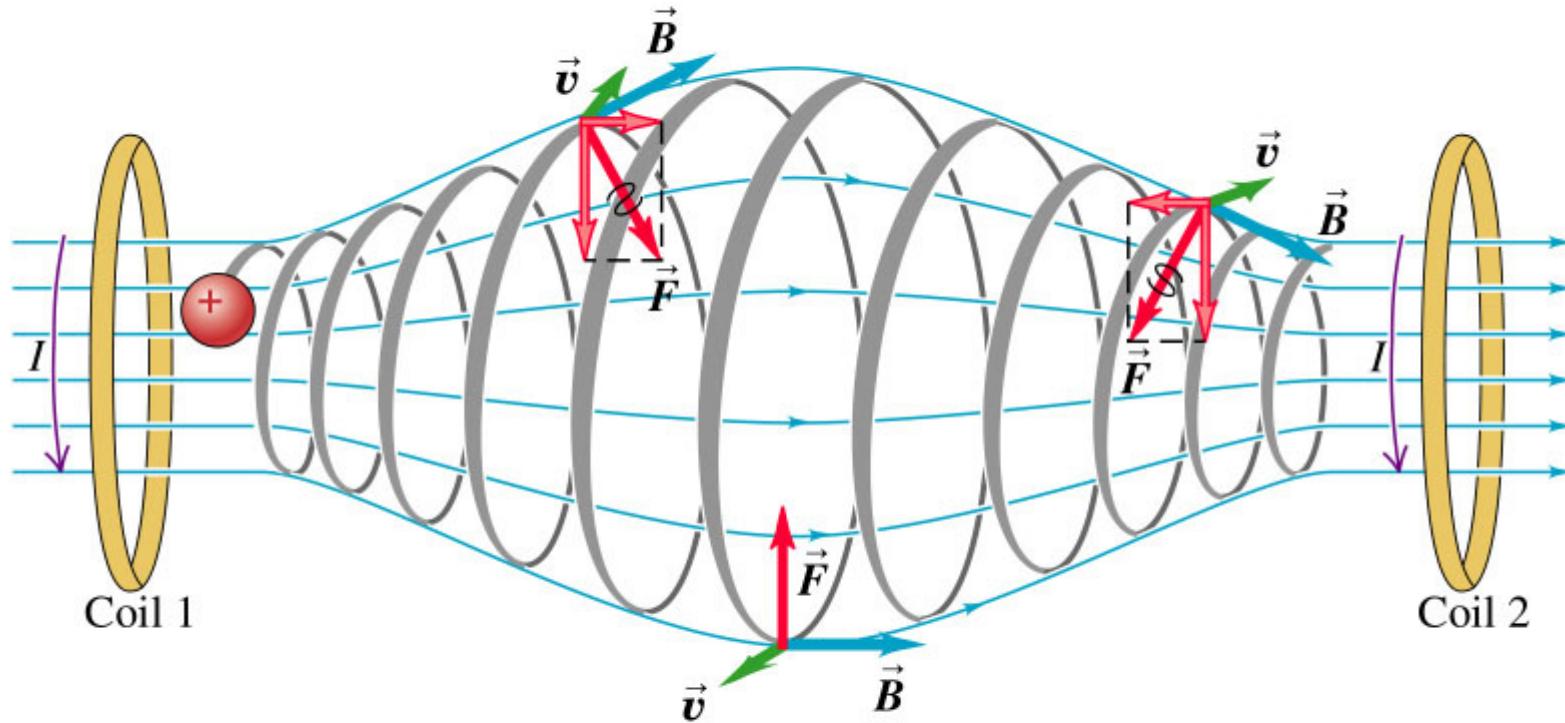
Figure: D.C. Giancoli, *Physics for Scientists & Engineers with Modern Physics*, 3rd ed., Prentice Hall, NJ, 2000.

Solar storms make the news



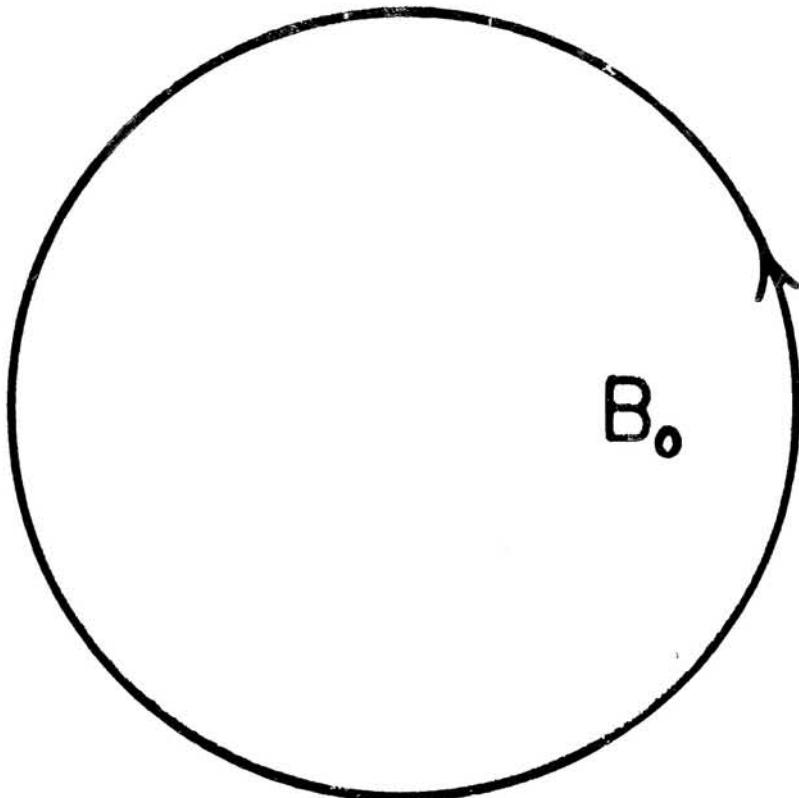
The Daily Show with Jon Stewart
Comedy Central, 2004

A “Magnetic Bottle” for Charged Particles



Magnetic fields are used to confine the charged particles in hot ionized plasmas (e.g., in fusion energy research).

Fig.: H.D. Young and R.A. Freedman, Sears and Zemansky's University Physics, 11th ed., Pearson / Addison Wesley, San Francisco, CA, 2004.



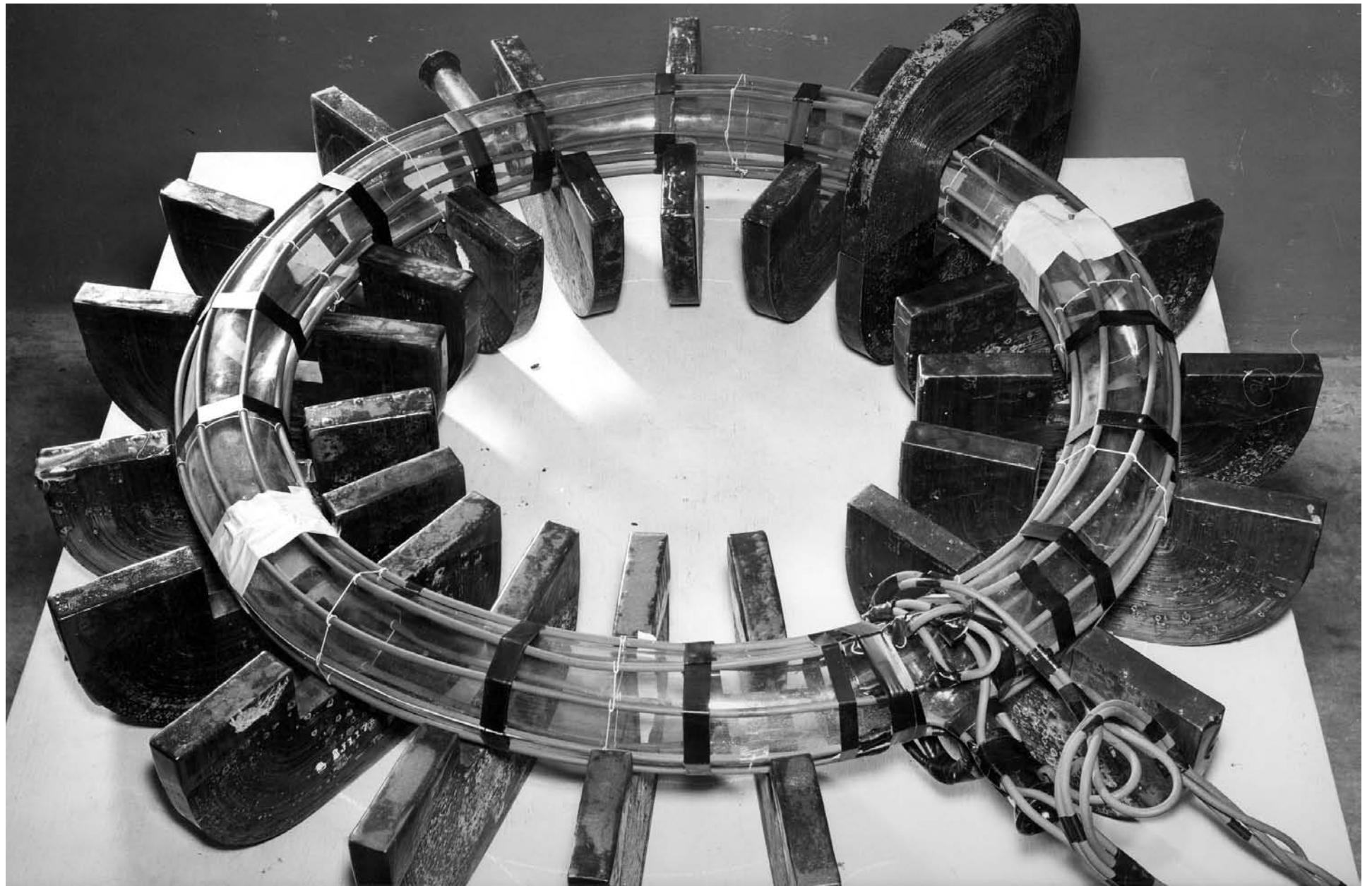
**Magnetic
Confinement
Device**

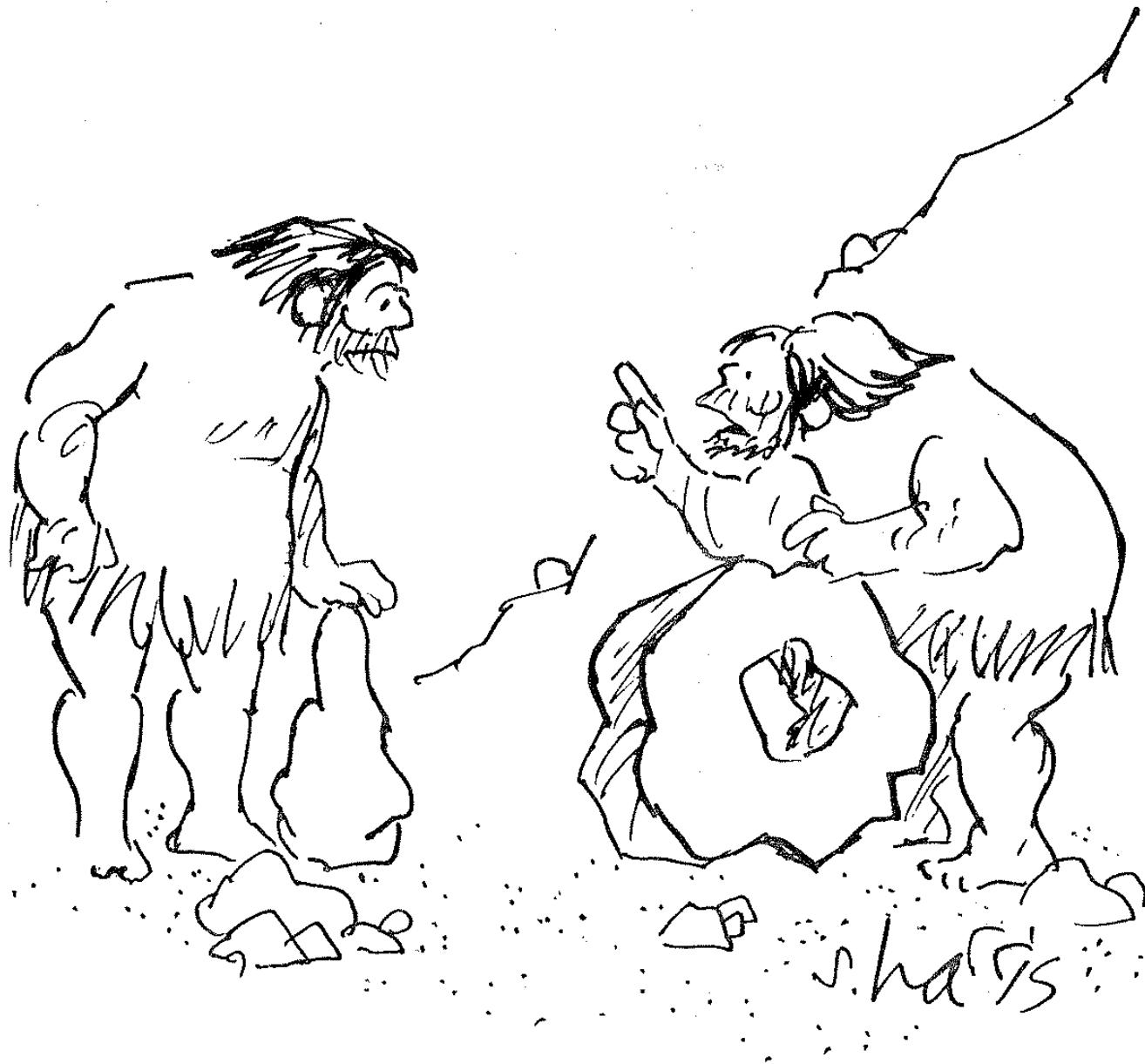
Cartoon:
ZT-H, LANL

ZT-H 4MA Reversed Field Pinch

THEORETICAL PHYSICSTS' DESIGN
(Lowest Order Only)

1953: Perhapsatron, LANL





"IT MAY NOT BE A PERFECT WHEEL, BUT IT'S
A STATE-OF-THE-ART WHEEL."

Cartoon: S. Harris, *Einstein Simplified: Cartoons on Science*,
Rutgers University Press, New Brunswick, NJ, 1989.

Achieving a long $\tau_E \sim 1s$ requires a large (10 m) plasma

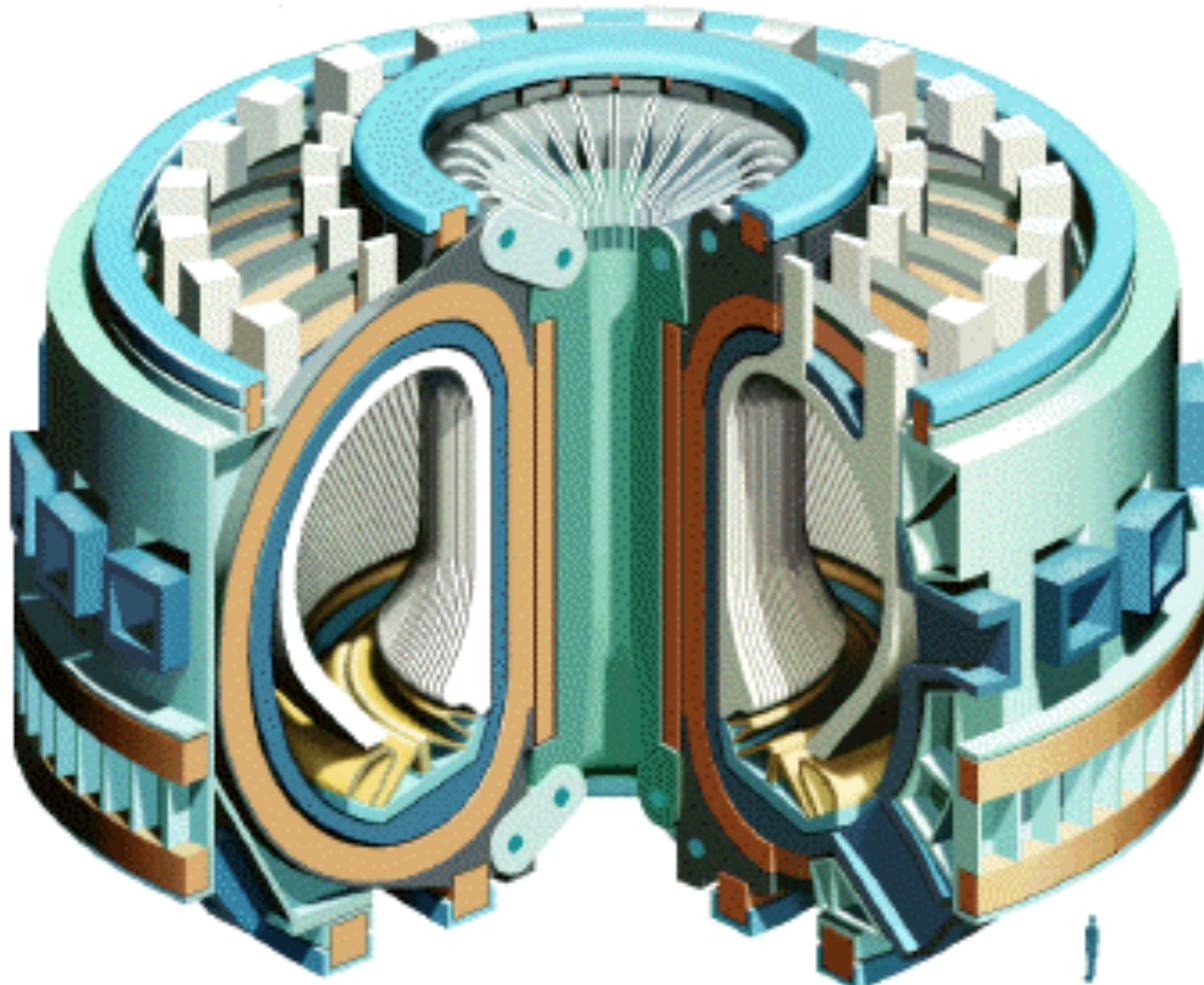


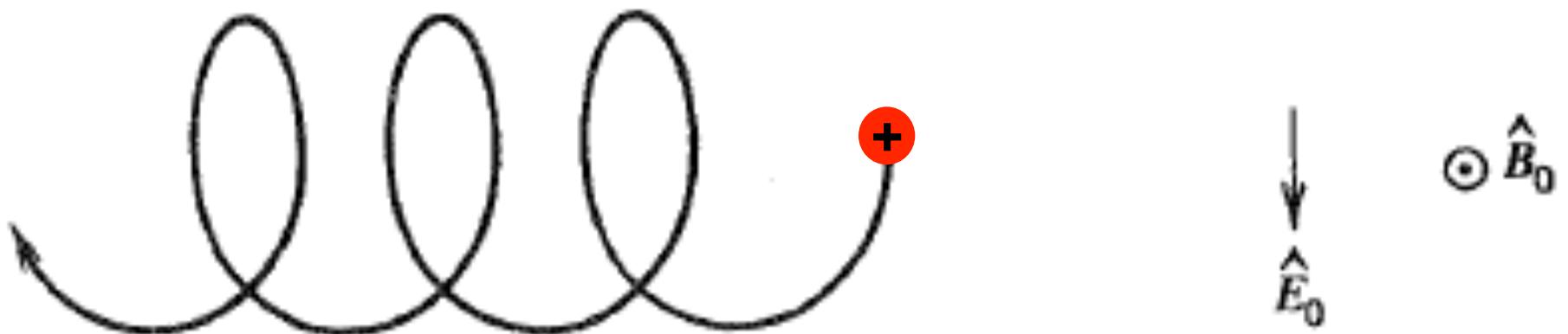
Figure: ITER, www.iter.org

**International
Thermonuclear
Experimental
Reactor (ITER)**

**500 MW
400 seconds
Gain $Q > 10$**

**\$21 billion
(July 2010)**

E perpendicular to B makes a charged particle drift sideways



$$v_E = \frac{\vec{E} \times \vec{B}}{B^2}, \text{ the "} \vec{E} \times \vec{B} \text{" drift}$$

Figure: D.R. Nicholson, *Introduction to Plasma Theory*, Wiley, NY, 1983.

Bonus Quiz



An electron in vacuum is released from rest at a location near the Earth's surface where $E = 0$, $B = 0.5$ G north, and $g = 9.8$ m/s² down. Describe and sketch the motion of the electron.

West

g

East

B

The electron makes a cusp motion, falling then rising, drifting west

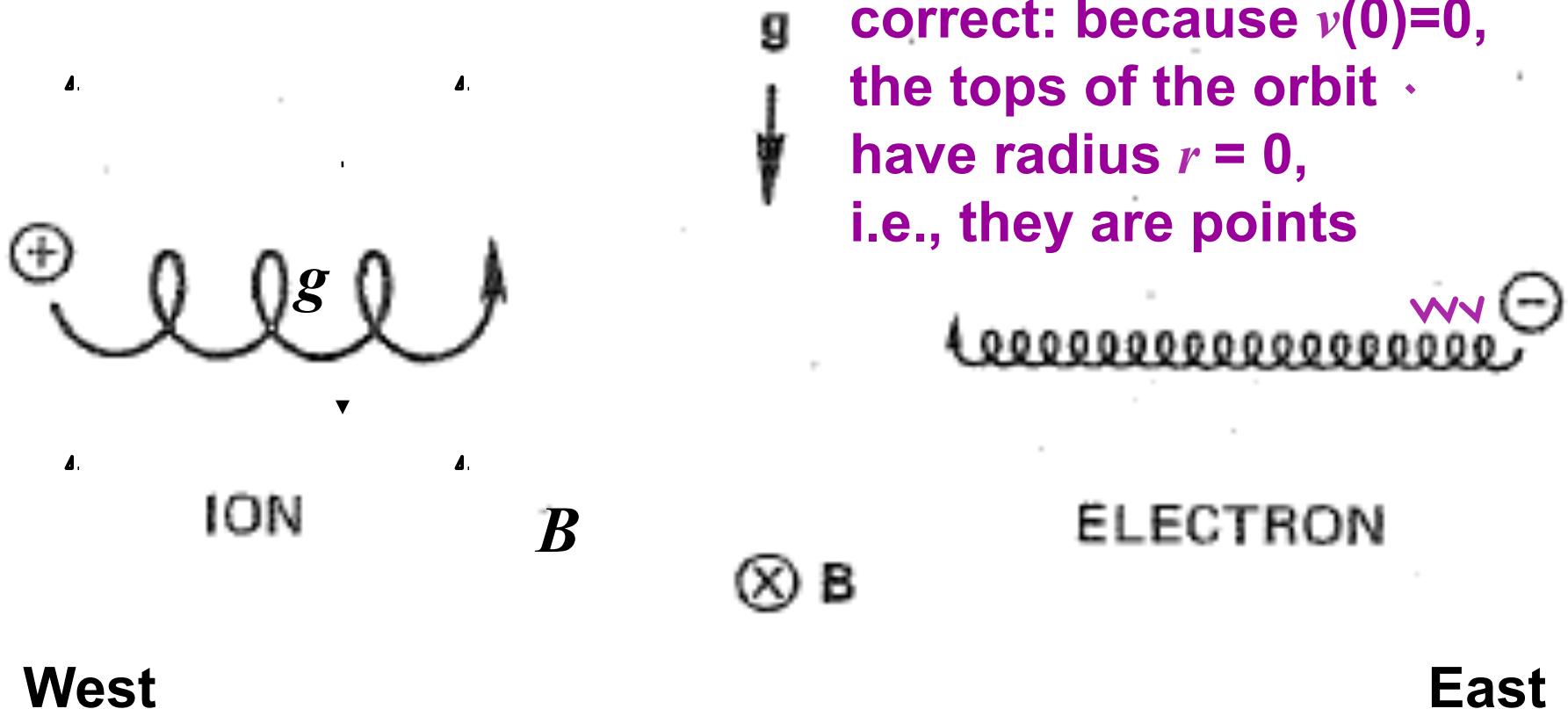


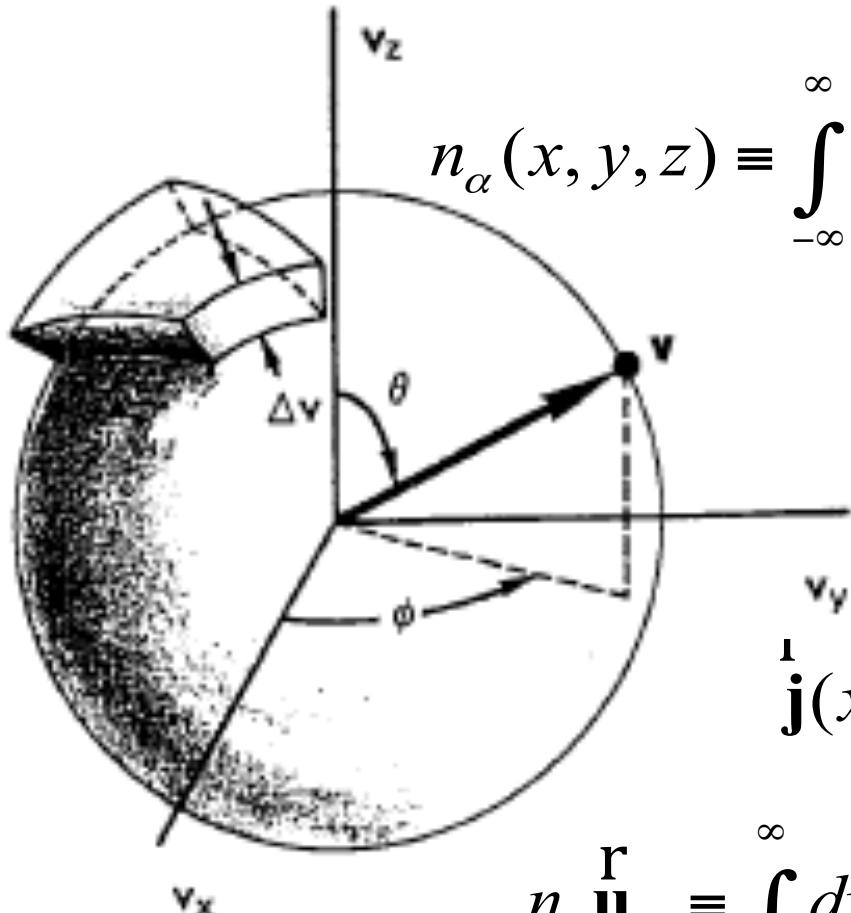
Figure: F.F. Chen, *Introduction to Plasma Physics and Controlled Fusion*, Vol. 1, 2nd ed., Plenum Press, NY, 1984.

Derivation of Plasma Fluid Eqns



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4. Velocity moments of Boltzmann equation
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Integrate $f(x, y, z, v_x, v_y, v_z)$ over 3D velocity space to obtain density & other fluid quantities



$$n_\alpha(x, y, z) \equiv \int_{-\infty}^{\infty} dv_x \int_{-\infty}^{\infty} dv_y \int_{-\infty}^{\infty} dv_z f_\alpha(x, y, z, v_x, v_y, v_z)$$

$$\rho(x, y, z) = \sum_{\alpha} q_{\alpha} n_{\alpha}(x, y, z)$$

$$\mathbf{j}(x, y, z) = \sum_{\alpha} q_{\alpha} n_{\alpha}(x, y, z) \mathbf{u}_{\alpha}^r(x, y, z)$$

$$n_{\alpha} \mathbf{u}_{\alpha}^r \equiv \int_{-\infty}^{\infty} dv_x \int_{-\infty}^{\infty} dv_y \int_{-\infty}^{\infty} dv_z \mathbf{v}^r f_{\alpha}(x, y, z, v_x, v_y, v_z)$$

Figure: F.F. Chen, *Introduction to Plasma Physics and Controlled Fusion*, Vol. 1, 2nd ed., Plenum Press, NY, 1984.

Integrate Boltzmann eqn to obtain fluid eqns that capture the main plasma collective properties

For each species α :

Mass conservation
$$\frac{\partial n_\alpha}{\partial t} + \nabla \cdot (n_\alpha \mathbf{u}_\alpha) = S_\alpha$$

Momentum conservation
$$m_\alpha n_\alpha \frac{d\mathbf{u}_\alpha}{dt} = n_\alpha q_\alpha [\mathbf{E} + \mathbf{u}_\alpha \times \mathbf{B}] - \nabla g \mathbf{P}_\alpha - m_\alpha S_\alpha \mathbf{u}_\alpha + \sum_\beta \mathbf{R}_{\alpha\beta}$$

➤ **Convective derivative:**
$$\frac{d}{dt} = \frac{\partial}{\partial t} + \mathbf{u}_\alpha \cdot \nabla$$

➤ **Resistive drag force density:**
$$\mathbf{R}_{\alpha\beta} = -m_\alpha n_\alpha \nu_{\alpha\beta} (\mathbf{u}_\alpha - \mathbf{u}_\beta) \quad \mathbf{R}_{\beta\alpha}$$

Energy conservation: Heat equation
 → **simplest to use Equation of State**

$$\mathbf{P} = \mathbf{I}p$$

$$p = nT = Cn^\gamma$$

With further approximations, obtain single fluid magnetohydrodynamics (MHD), describing an electrically conducting fluid in the presence of magnetic field



Slow $\tau \gg \frac{1}{v_{\text{collision}}}, \frac{L}{C}$ Big $L \gg \lambda_{\text{mean free path}}, \lambda_{\text{De}}, r_L$

Quasi-neutral $n_e ; n_i Z$ Thermal equilibrium $T_e ; T_i$

Equations:

Mass Continuity $\frac{d\rho}{dt} + \nabla \cdot (\rho \mathbf{u}) = 0$

Momentum Equation $\rho \frac{d\mathbf{u}}{dt} = \mathbf{j} \times \mathbf{B} - \nabla p + \rho \mathbf{g}$

Equation of state $\frac{d}{dt} \left(\frac{p}{\rho^\gamma} \right) = 0, \quad p = \frac{\rho T}{M} (Z + 1)$

Ampere's Law $\nabla \times \mathbf{B} = \mu_0 \mathbf{j} \Rightarrow \nabla \cdot \mathbf{j} = 0$

Faraday Law $\nabla \times \mathbf{E} = - \frac{\partial \mathbf{B}}{\partial t}, \quad \nabla \cdot \mathbf{B} = 0$

Ohm's Law $\mathbf{E} + \mathbf{u} \times \mathbf{B} = \eta \mathbf{j}$

Derivation of Plasma Fluid Eqns



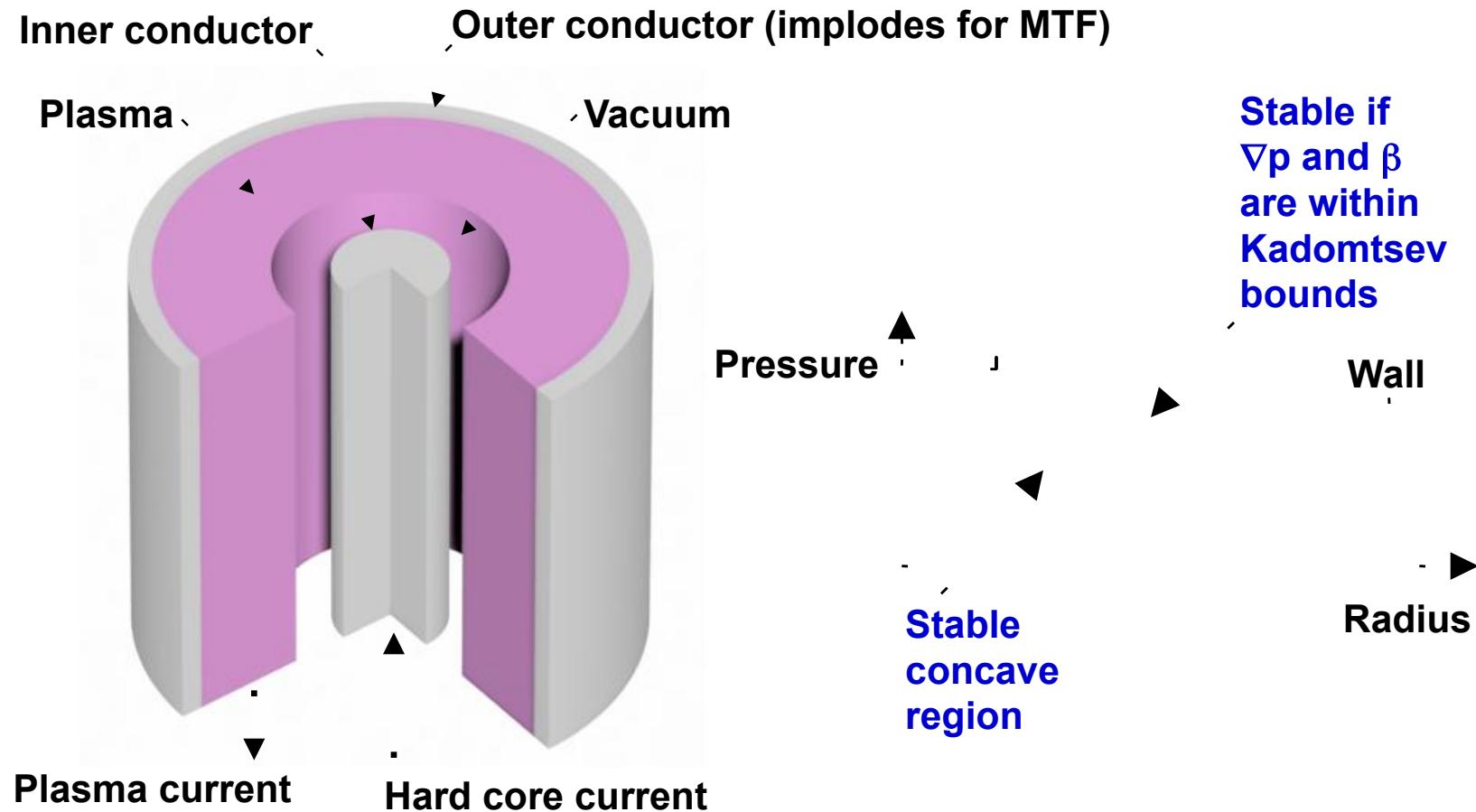
- 1. The challenge of plasma physics
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Plasmas are complex systems

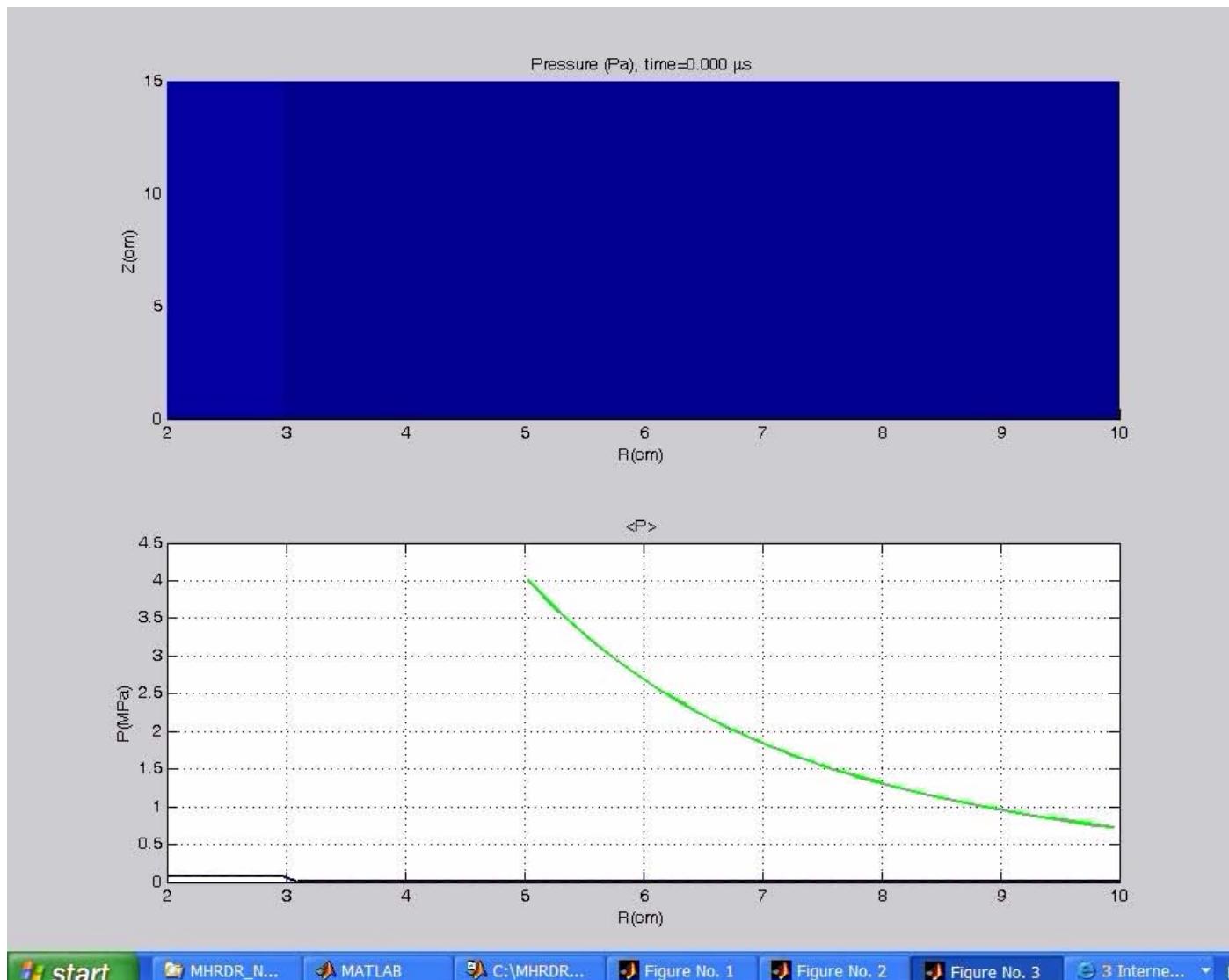


- **Plasmas can exhibit self-organized behavior of high complexity**
- **Self-organization occurs in many areas**
 - ❖ Space & astrophysics, biosystems, micro- and nano- components, protein folding
 - ❖ *Selective decay processes, thermodynamics*
 - Dissipation of some quantity on small scales (e.g., energy in eddies, turbulence)
 - Persistence of other quantities on larger spatial scales (e.g., helicity)

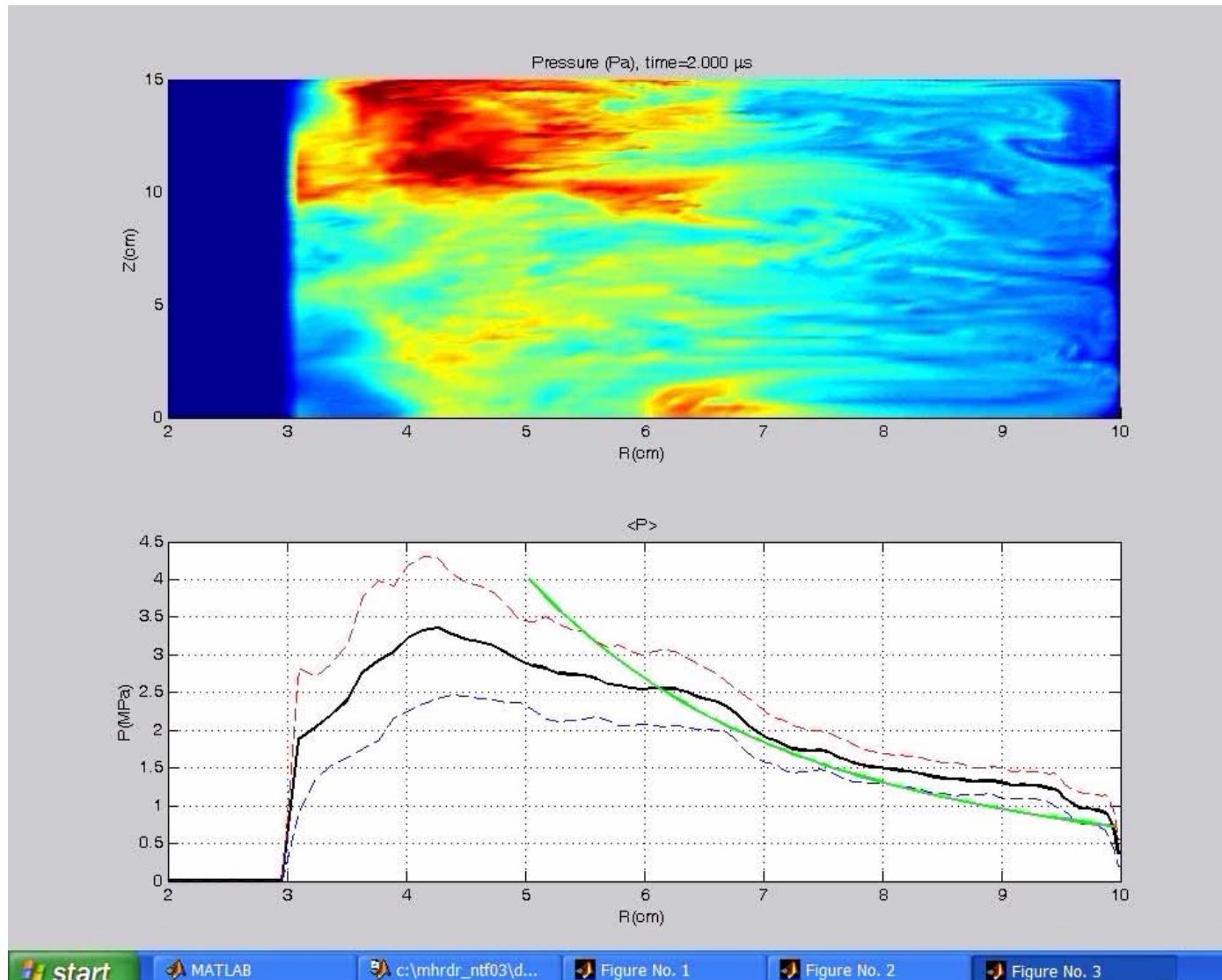
Example of self-organization (numerical simulation): reorganization of plasma pressure into stable profile



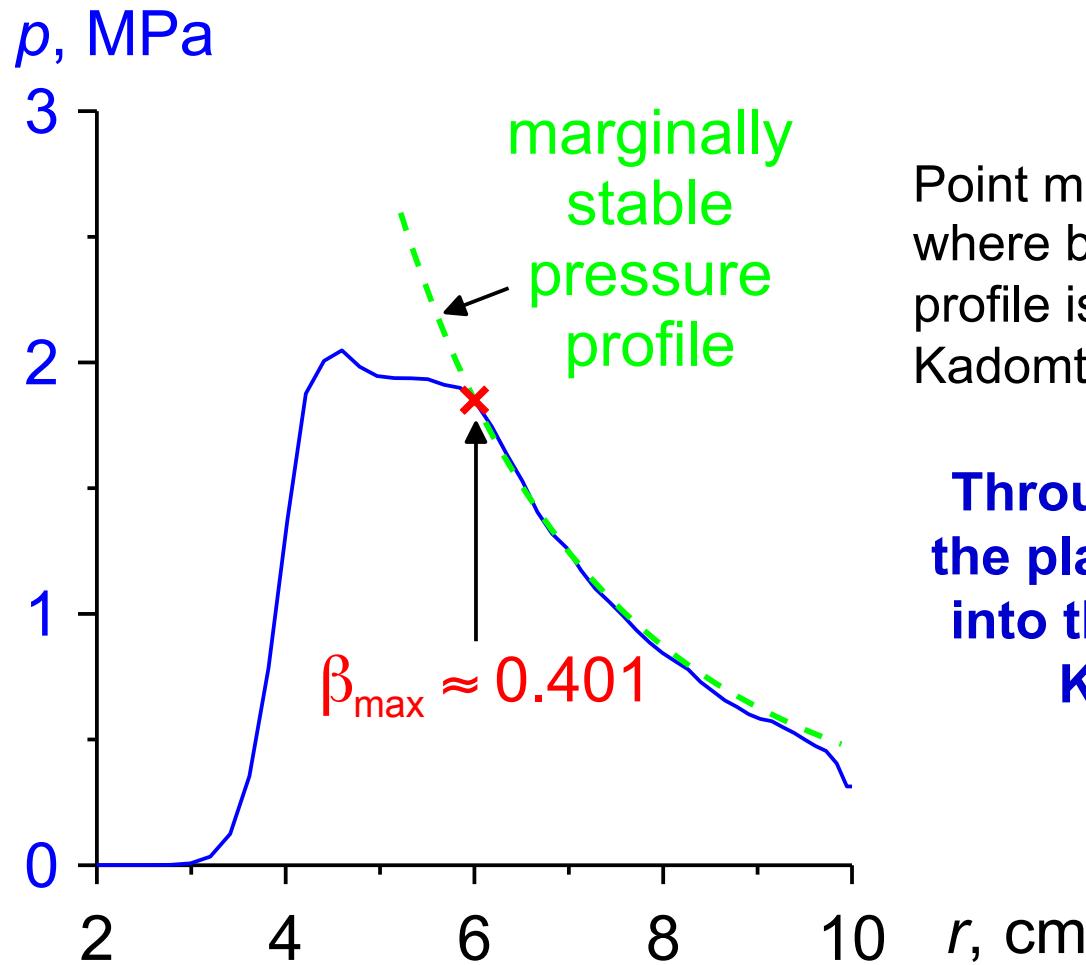
Movie of dynamic formation phase



Movie of equilibration phase



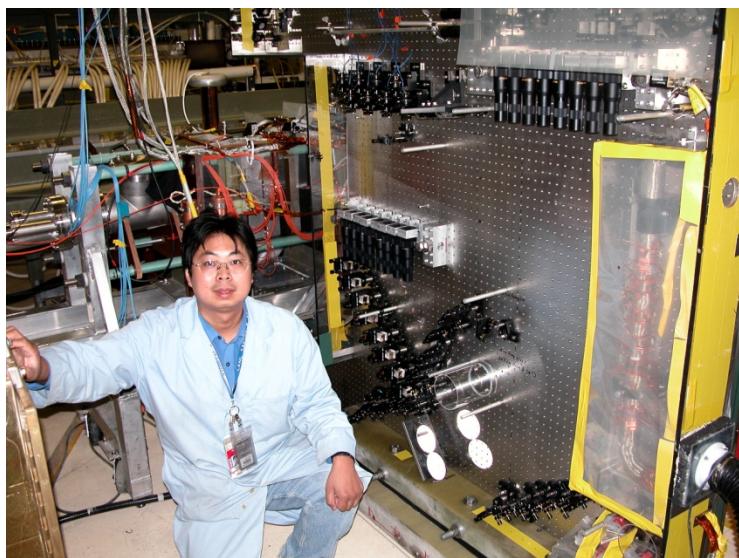
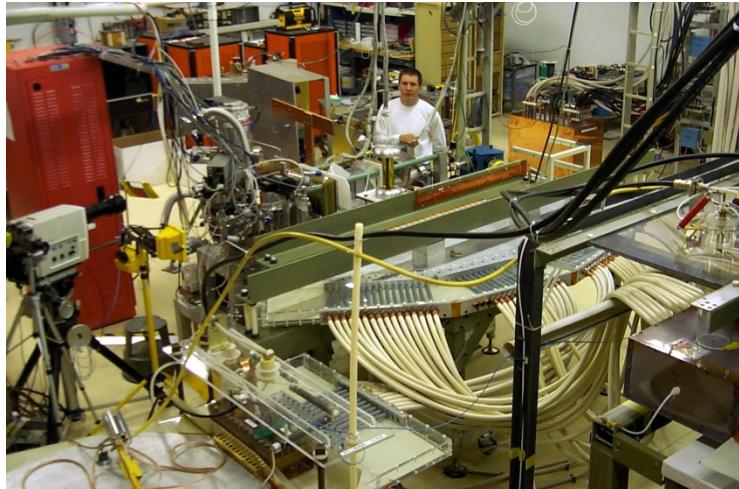
Simulated plasma finds Kadomtsev marginally stable profile



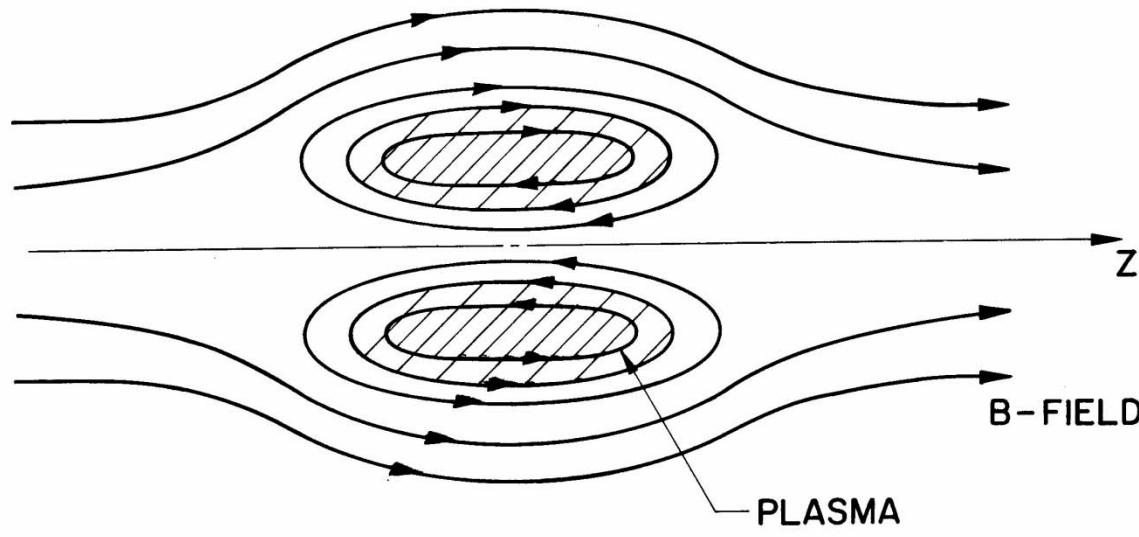
Point marked with “x” is where beta for simulated profile is matched to Kadomtsev beta

Through $m=0$ turbulence, the plasma organizes itself into the marginally stable Kadomtsev state

FRX-L: The Field Reversed Configuration (FRC) Plasma Injector for MTF



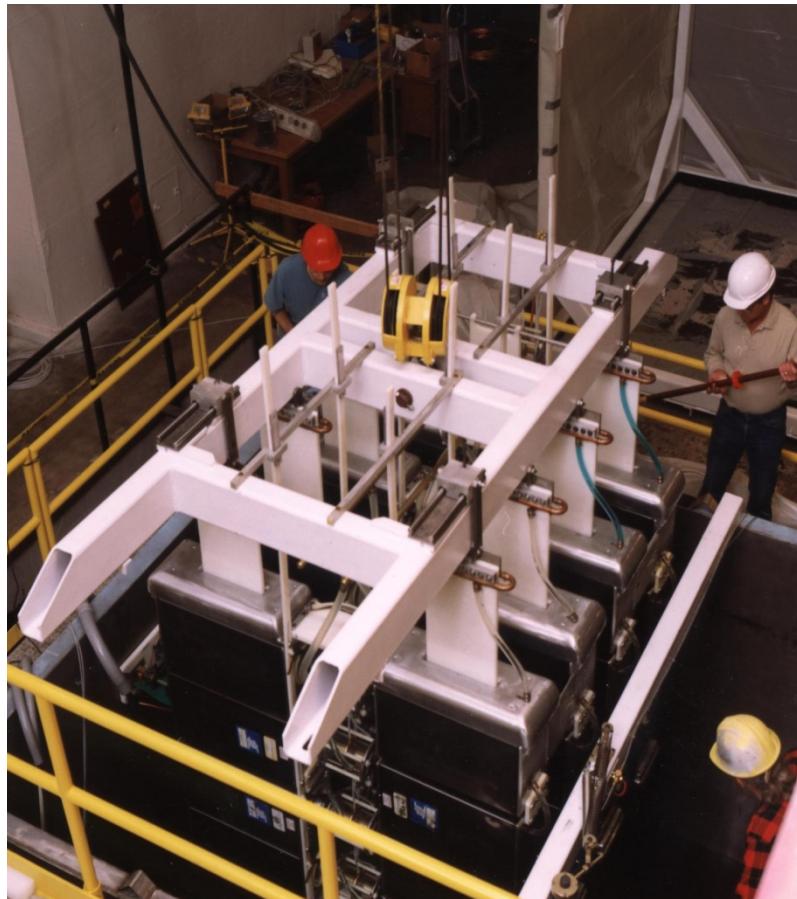
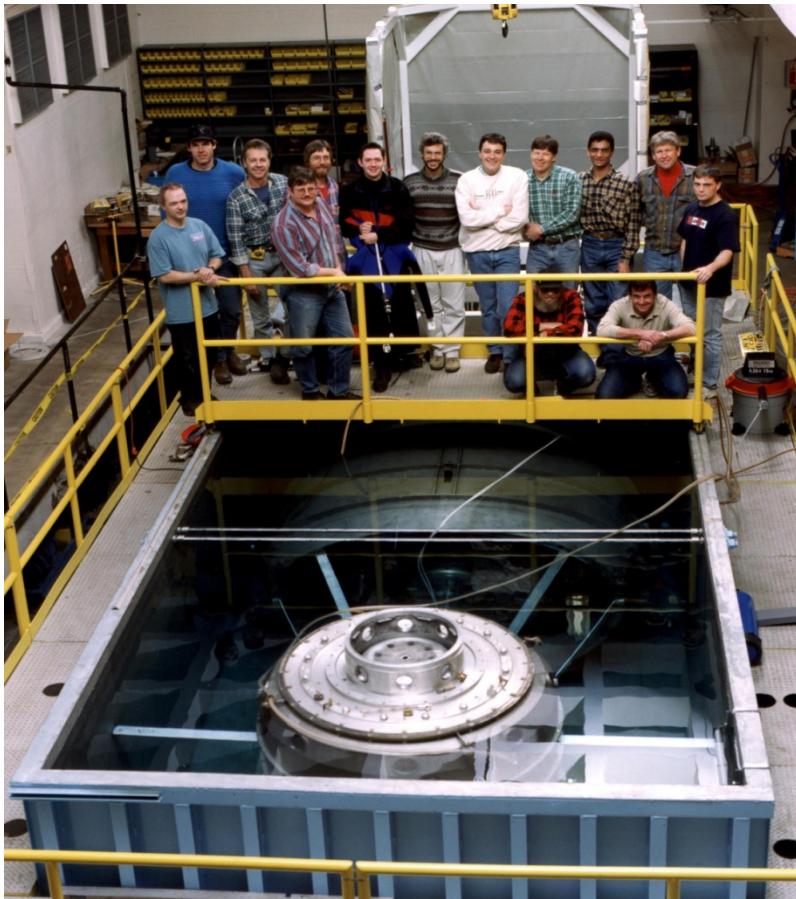
Field Reversed Configuration high- β self-organized plasma



- $\langle \beta \rangle \sim 1$
- compact torus like spheromak
- Can translate into liner

The LANL FRC has parameters orders of magnitude different than previous FRCs.
How will FRC behave under compression?
How will liner interact with FRC?

Experiment on 1-MA/100-ns Zebra (UNR) studies plasma formed by multi-MG field on aluminum



Zebra Megagauss Experiment



Movie: Stephan Fuelling, UNR, Oct 2006

Some good plasma references



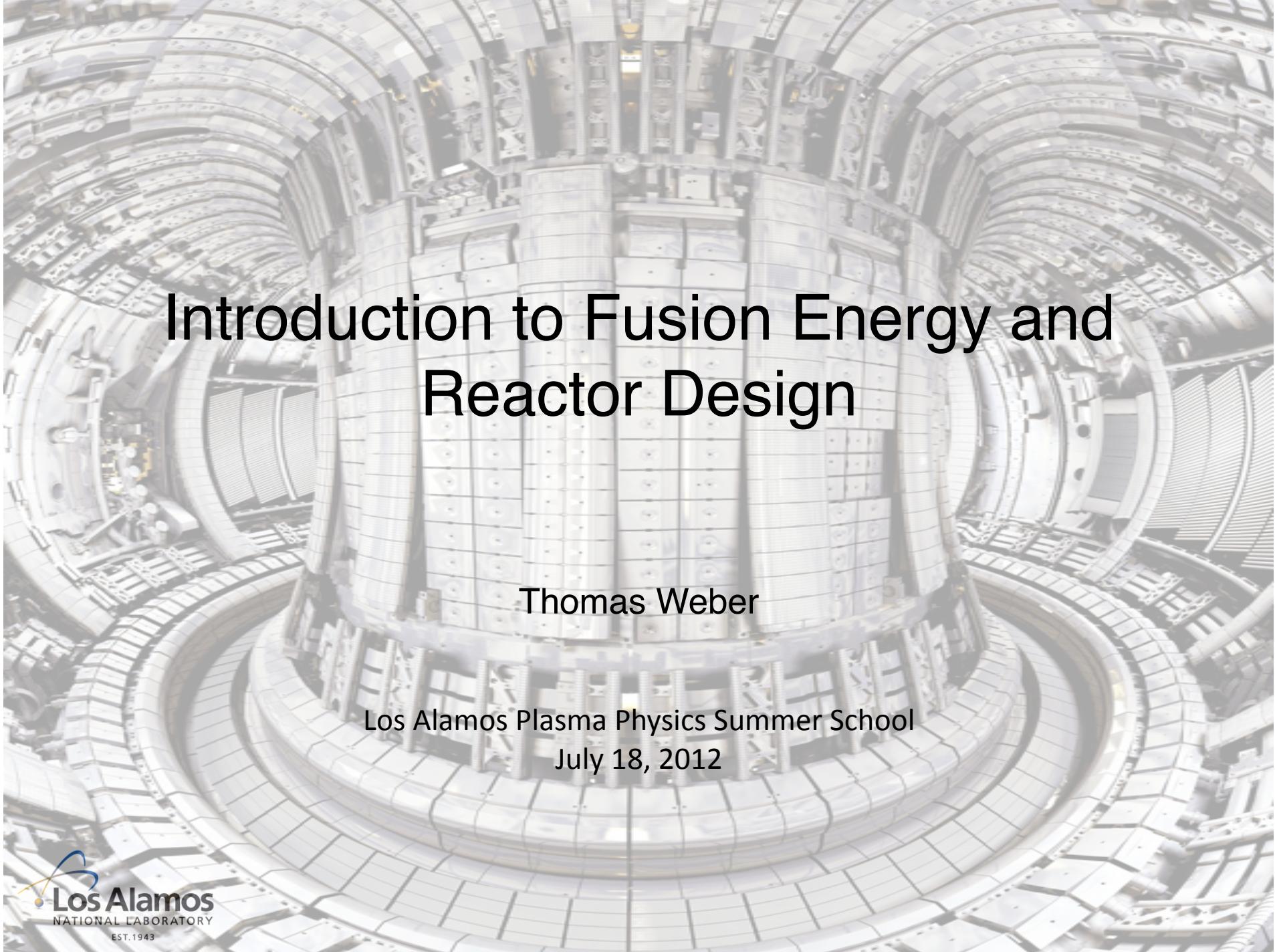
- ✓ P.M. Bellan, *Fundamentals of Plasma Physics*, Cambridge University Press, Cambridge, United Kingdom, 2006.
- ✓ J.A. Bittencourt, *Fundamentals of Plasma Physics*, 3rd ed., Springer Science, New York, NY, 2004.
- ✓ F.F. Chen, *Introduction to Plasma Physics and Controlled Fusion, Vol. 1: Plasma Physics*, 2nd ed., Plenum Press, New York, NY, 1984.
- ✓ R.P. Drake, *High-Energy-Density Physics: Fundamentals, Inertial Fusion and Experimental Astrophysics*, Springer Verlag, New York, NY, 2006.
- ✓ R.J. Goldston and P.H. Rutherford , *Introduction to Plasma Physics*, Institute of Physics Publishing, Philadelphia, PA, 1995.
- ✓ D.R. Nicholson, *Introduction to Plasma Theory*, John Wiley & Sons, New York, NY, 1983.

Thanks!



Photo: University of Nevada, Reno, www.unr.edu

BSB 6/20/12



Introduction to Fusion Energy and Reactor Design

Thomas Weber

Los Alamos Plasma Physics Summer School

July 18, 2012

Exothermic nuclear reactions

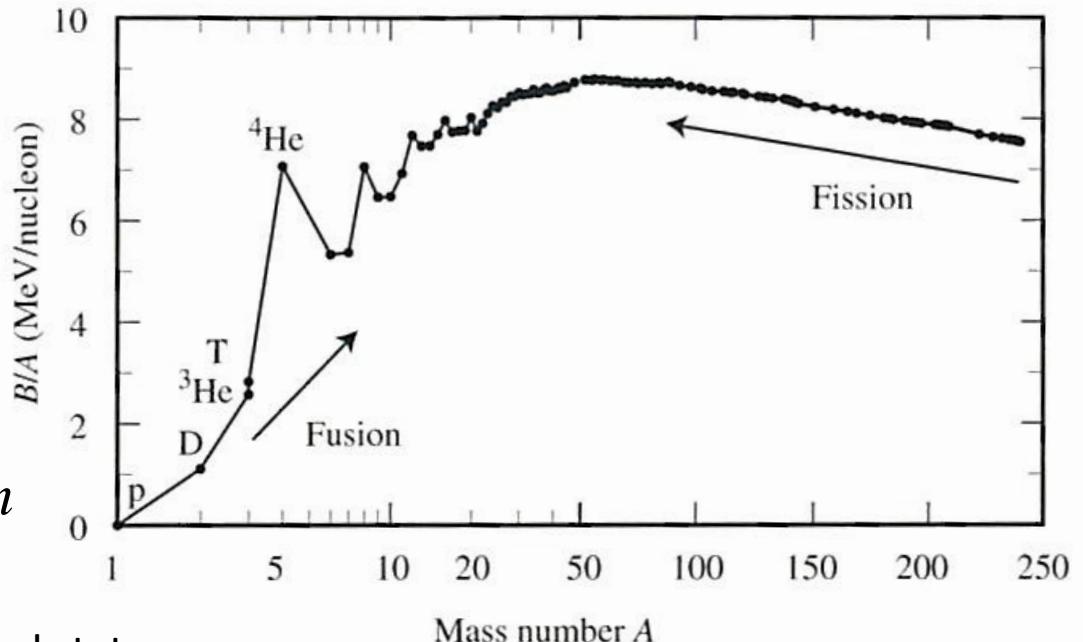
Mass-energy equivalence:

$$E = mc^2$$

Binding energy of an atomic nucleus:

$$B = \Delta mc^2$$

$$\Delta m = Zm_p + (A - Z)m_n - m$$



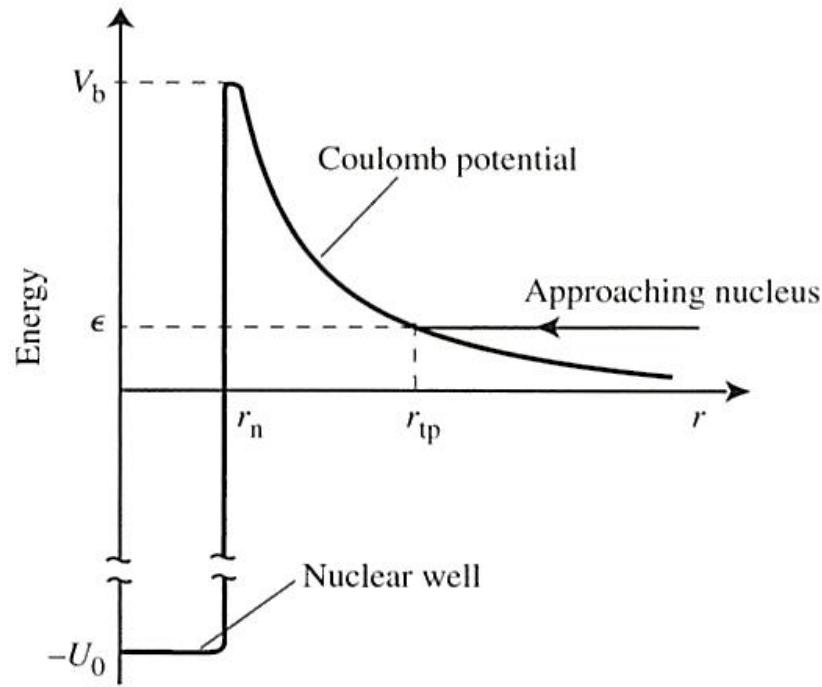
Energy released from initial to final state:

$$Q = \sum_f B_f - \sum_i B_i$$

Audi and Wapstra (1995)

Energy is released through fission or fusion of nuclei toward Iron (A \approx 56, highest binding energy per nucleon).

Fusion



Classical Coulomb potential:

$$V_c(r) = \frac{Z_1 Z_2 e^2}{r}$$

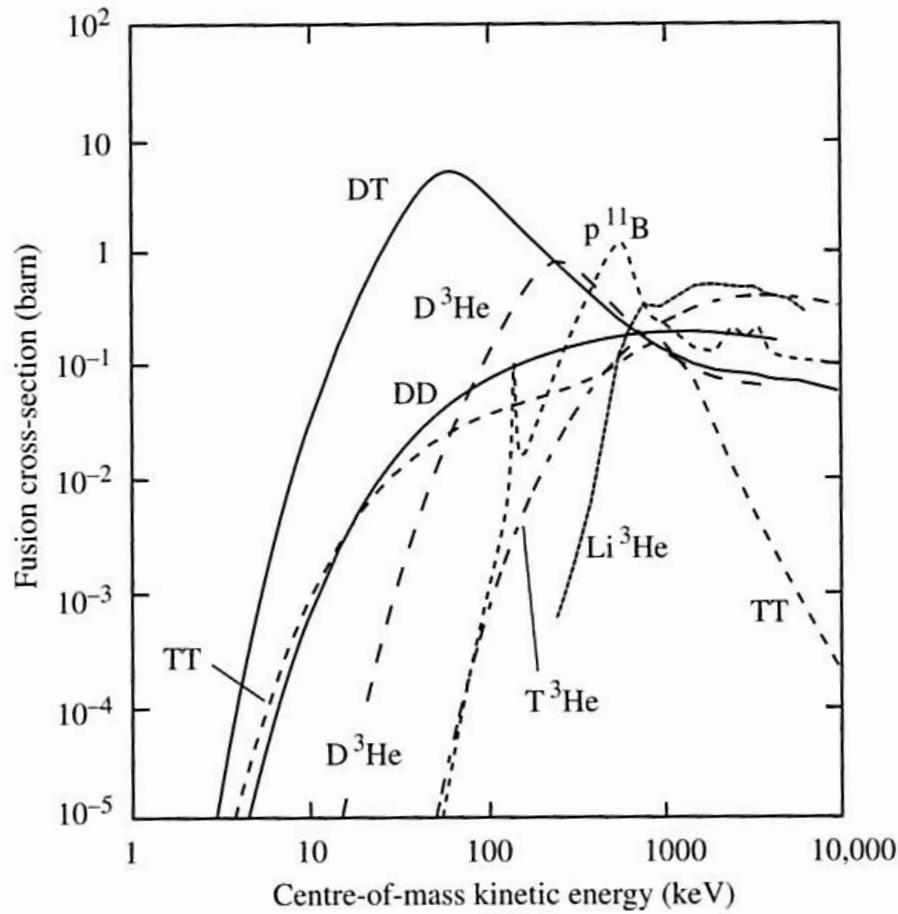
Nuclear radius:

$$r_n \approx 1.44 \times 10^{-13} (A_1^{1/3} + A_2^{1/3}) [cm]$$

V_c is on the order of 1 MeV!!!

S. Atenzi, J. Meyer-ter-vehn, Int. Series of Monographs on Phys. - 125, 2004

Fusion Cross Section



Fortunately, Quantum Mechanics comes to the rescue!

$$\sigma \approx \sigma_{geom.} T_{barrier} R_{prob.}$$

$$\sigma \approx \frac{\exp(-\sqrt{\epsilon_G/\epsilon})}{\epsilon} S(\epsilon)$$

$S(\epsilon)$ is the *astrophysical S factor*.

S usually varies more weakly as function of energy than the Gamow factor.

DT reaction has relatively large $S(0)$ and relatively small Gamow energy.

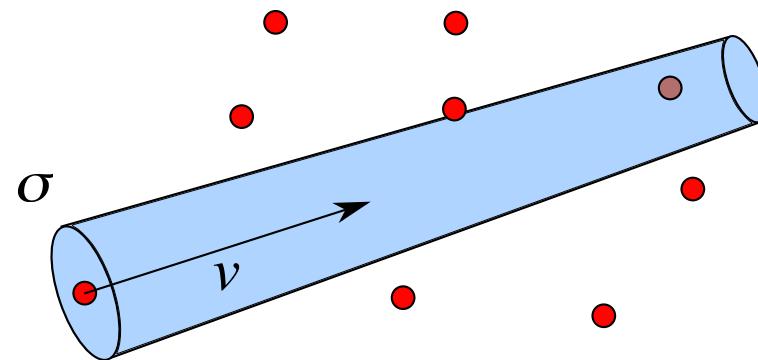
Reactivity

Volume swept out per unit time:

$$v\sigma(v)$$

Inverse time to intersection (reaction rate) of 1 atom traveling at speed v through a target of density n :

$$nv\sigma(v)$$



Reactivity: probability of reaction per unit time per unit density = $v \sigma$

Averaged Reactivity: average over target velocities $\langle \sigma v \rangle = \int_0^{\infty} \sigma(v) f(v) v dv$

Reaction Rate: number of reactions per unit time, per unit volume.

$$R_{12} = \frac{n_1 n_2}{1 + \delta_{12}} \langle \sigma v \rangle = \frac{f_1 f_2}{1 + \delta_{12}} n^2 \langle \sigma v \rangle$$

Maxwell averaged reactivity

Average reactivity using two species:

$$\langle \sigma v \rangle = \iint d\vec{v}_1 d\vec{v}_2 \sigma_{1,2}(v) v f_1(v_1)$$

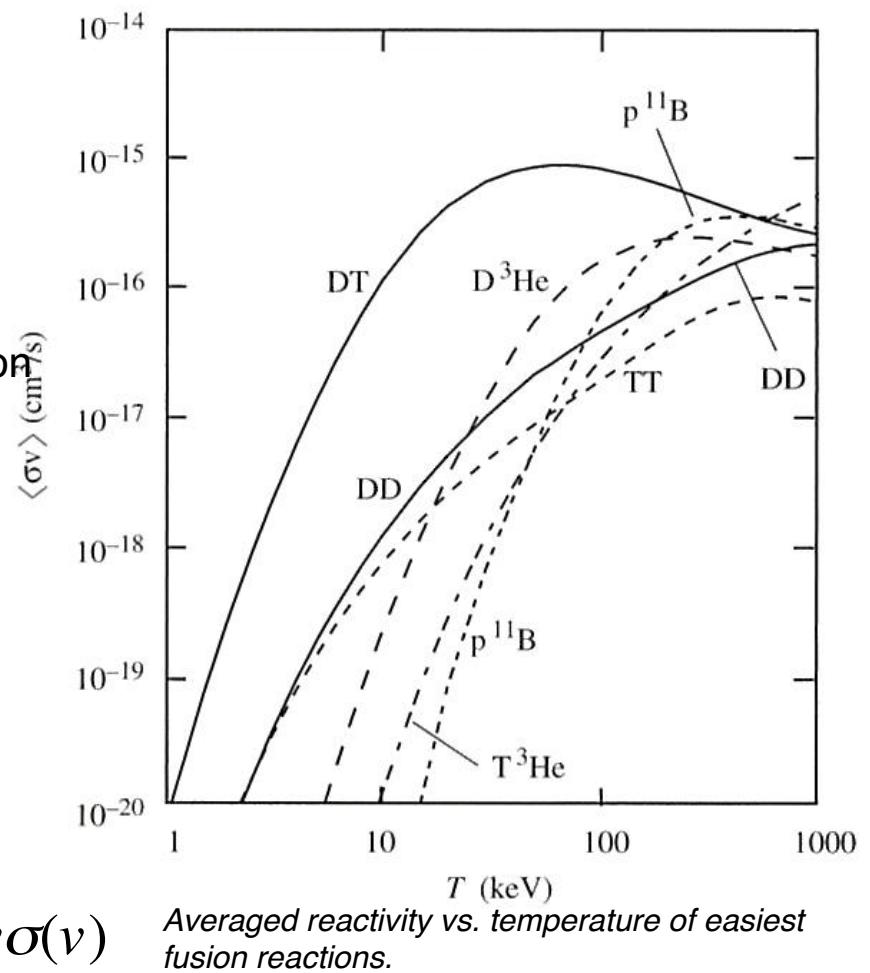
where $v = |\vec{v}_1 - \vec{v}_2|$

Where f_i is the Maxwell-Boltzmann distribution function (thermal equilibrium).

$$f_j(v_j) = \left(\frac{m_j}{2\pi kT} \right)^{3/2} \exp\left(\frac{-m_j v_j^2}{2kT} \right)$$

Substituting in for the distribution function and using center of mass velocity and reduced mass:

$$\langle \sigma v \rangle = \left(\frac{m_r}{2\pi kT} \right)^{3/2} \int d\vec{v} \exp\left(\frac{-m_r \vec{v}^2}{2kT} \right) v \sigma(v)$$



p-p cycle

Dominant cycle in our sun.

First stage of ^2He beta decaying into D is extremely rare. ^2He usually decays into ^2H .

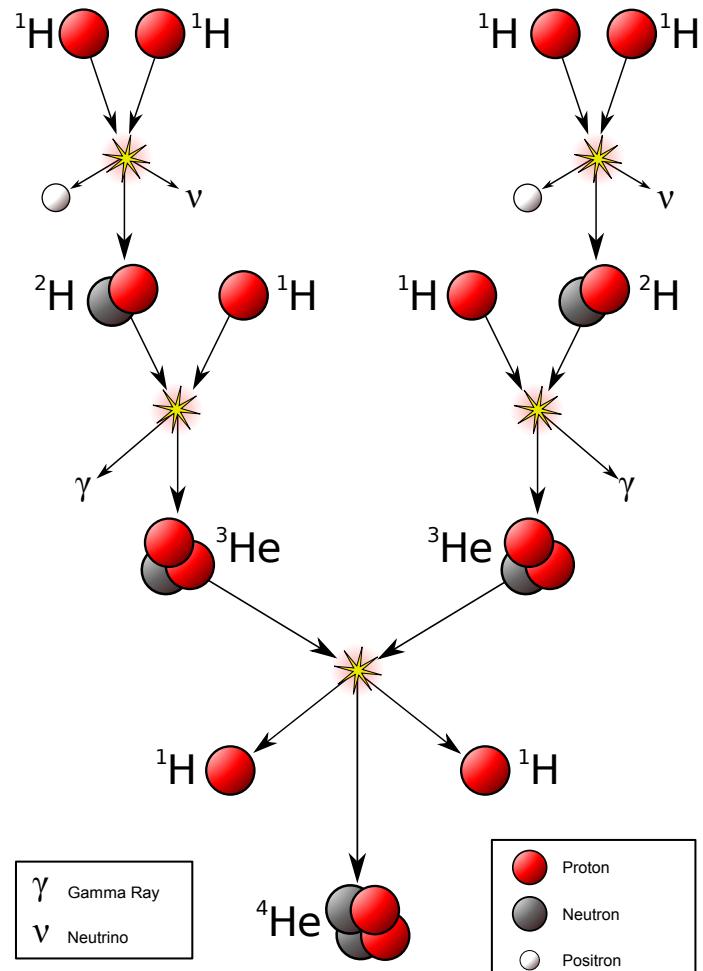


Branches: different outcomes of a reaction.

4 paths from ^3He to ^4He :

- pp I - $^3\text{He} + ^3\text{He} \rightarrow ^4\text{He} + ^2\text{H}$ (86% in sun)
- pp II - $^3\text{He} + ^4\text{He} \rightarrow ^4\text{He} + ^2\text{H}$ (14% in sun)
- pp III - $^3\text{He} + ^4\text{He} \rightarrow ^4\text{He} + ^1\text{H} + \gamma$ (0.11% in sun)
- pp IV - $^3\text{He} + ^1\text{H} \rightarrow ^4\text{He} + ^1\text{H} + \nu$ (very rare in sun)

pp III cycle generates high energy neutrinos and is very important to the solar neutrino problem



p-p cycle showing ppI branch (most probable)

CNO cycle

Catalytic reaction.

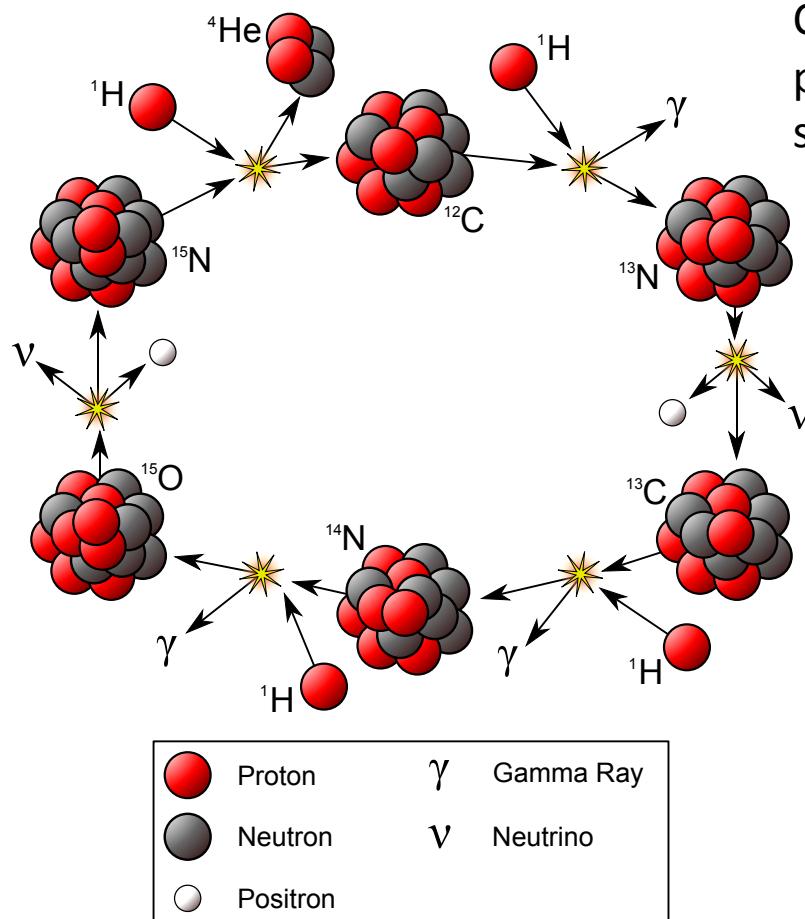
CNO dominates
 $kT > 1.5$ keV
(below this temp.
p-p dominates).

4 cold CNO cycles
(stellar interiors)

- CNO-I
- CNO-II
- CNO-III
- CNO-IV

Concentration of C, N, O
place an upper limit on
stable stellar mass.

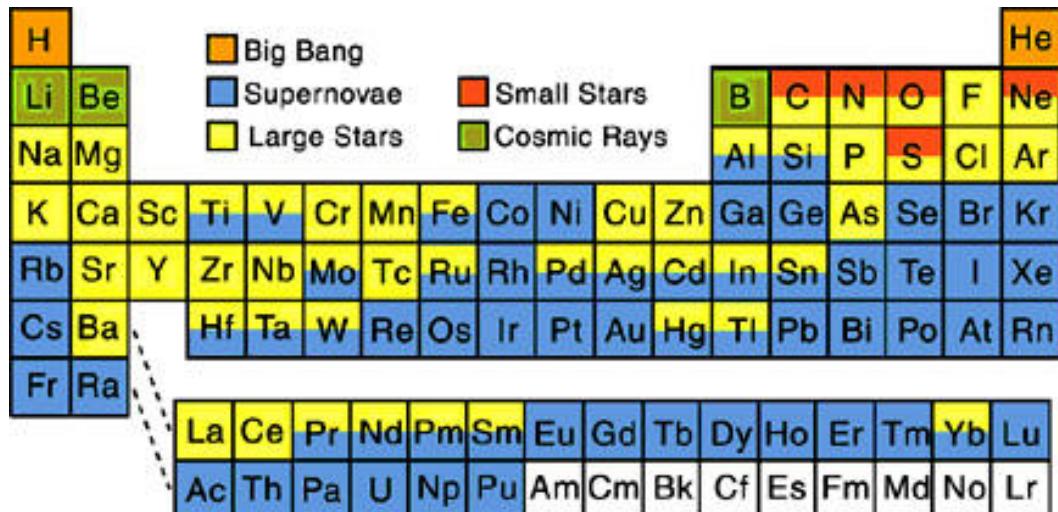
Dominant in stars
 $>1.3x$ mass of sun.



3 hot CNO cycles
(novae, GRB)

- HCNO-I
- HCNO-II
- HCNO-III

Cosmic nucleosynthesis



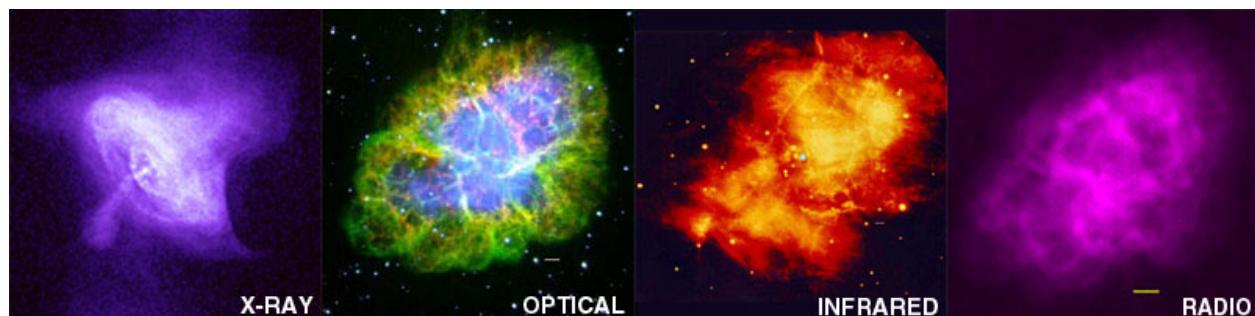
4 major sources:

- big bang
- stellar interiors
- supernovae
- cosmic ray spallation

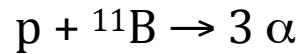
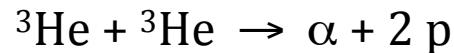
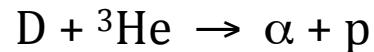
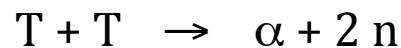
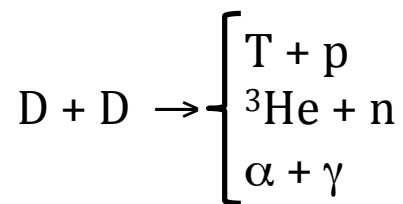
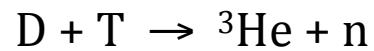
Iron is lowest energy state (highest binding energy).

Higher energy species can be produced in exothermic reactions or in endothermic reaction in high-energy systems.

- supernovae
- cosmic rays
- particle accelerators



Important terrestrial fusion reactions



Rare isotopes

Deuterium: stable isotope of Hydrogen

- mass = 2 amu
- naturally occurs at 0.03%
- can be harvested from ocean water
- constituent of “heavy water”

Helium-3: aka. Tralphium (stable)

- mass = 3 amu.
- naturally occurs at 0.000137% (earth)
- higher abundance on moon (1-50 bbp)
- proposed moon mining once fusion is “solved”

Tritium: radioactive isotope of Hydrogen

- mass = 3 amu
- half-life = 12.32 years.
- extremely rare (essentially man-made)
- very toxic
- Produced in fission, decay, high energy collisions.



Tritium is typically used in watches, gun sights, exit signs, etc. to excite phosphorescent materials that glow in the dark.

Lawson Criteria: considering losses

Conditions required for a fusion system to reach “ignition” (Lawson 1955).

Ignition: plasma self heating from fusion products > loss rate.

Reaction rate from earlier:

$$R_{DT} = n_D n_T \langle \sigma v \rangle = \frac{1}{4} n_e^2 \langle \sigma v \rangle$$

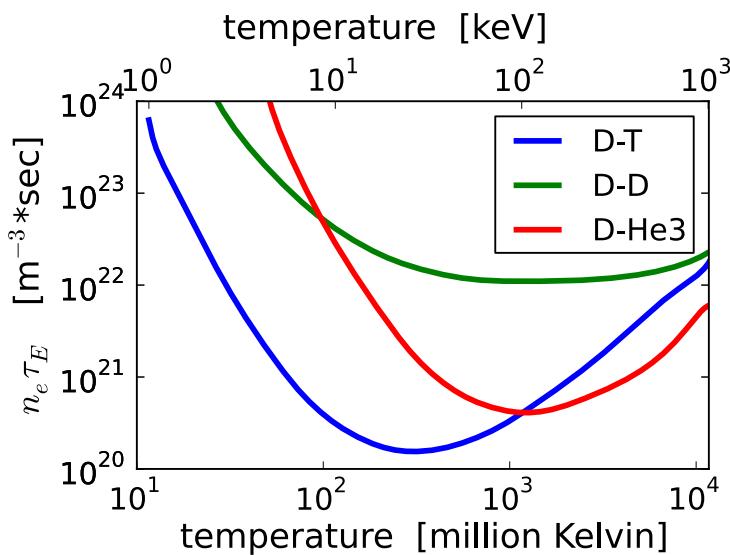
$$R_{DT} E_{ch} \geq P_{loss}$$

Energy confinement time: $\tau_E = \frac{W}{P_{loss}}$
where $W = 3n_e kT$

$$\frac{1}{4} n_e^2 \langle \sigma v \rangle E_{ch} \geq \frac{3n_e kT}{\tau_E}$$

Lawson Criteria: $n_e \tau_E \geq \frac{12kT}{E_{ch} \langle \sigma v \rangle}$

Inserting values



“Triple product” is typically a more useful figure of merit.

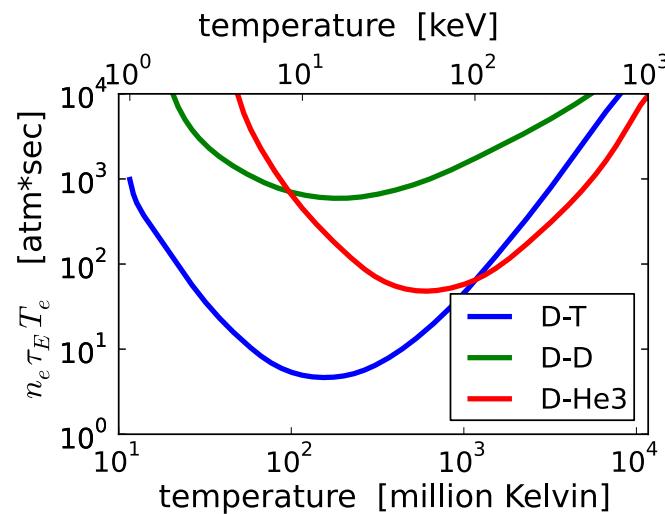
Minimum for DT:

$$n_e \tau_E T \geq 10^{21} [\text{keV}/m^3]$$

Required value of $n_e \tau_E$ depends on temperature.

Minimum for DT:

$$n_e \tau_E \geq 1.5 \times 10^{20} [s/m^3]$$



Energy Gain Factor (Q)

Ratio of fusion energy production to heating energy required.

$$Q = \frac{P_{fusion}}{P_{heating}} = (\eta_{heat} f_{recirc.} \eta_{elect.} (1 - f_{ch}))^{-1}$$

where $P_{heating} = \eta_{heat} f_{recirc.} \eta_{elect.} (1 - f_{ch}) P_{fusion}$

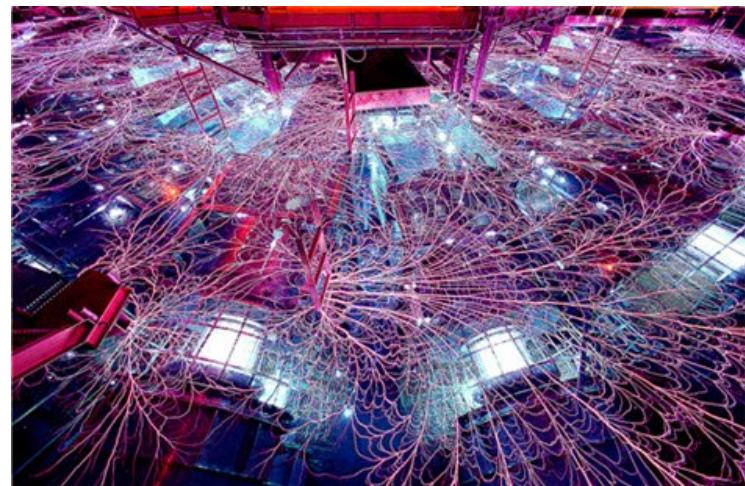
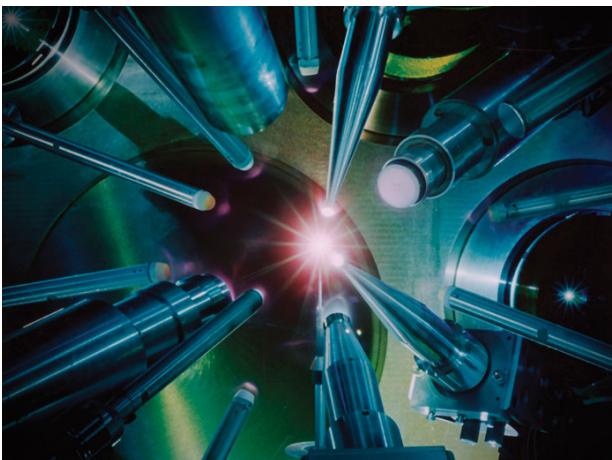
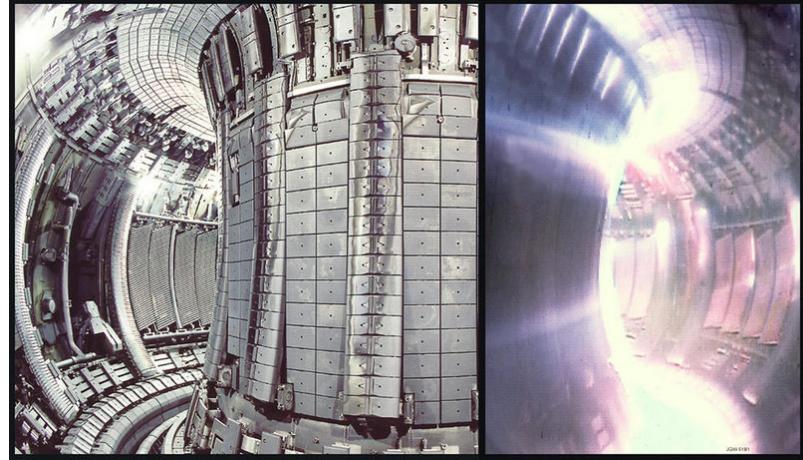
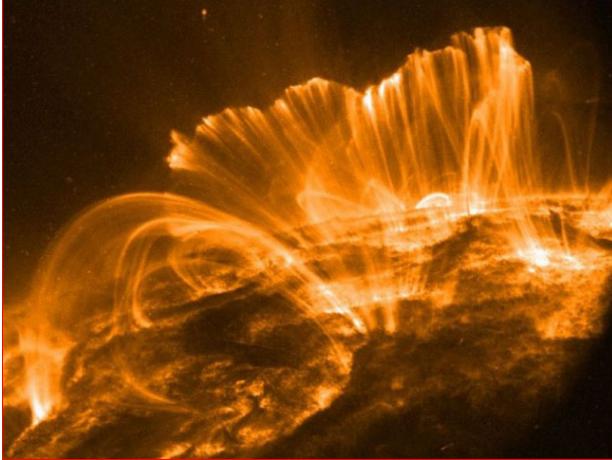
$Q = 1$ referred to as “*break-even*”.

Ignition corresponds to $Q = \infty$

(ignition is not a requirement for a practical, energy producing reactor)

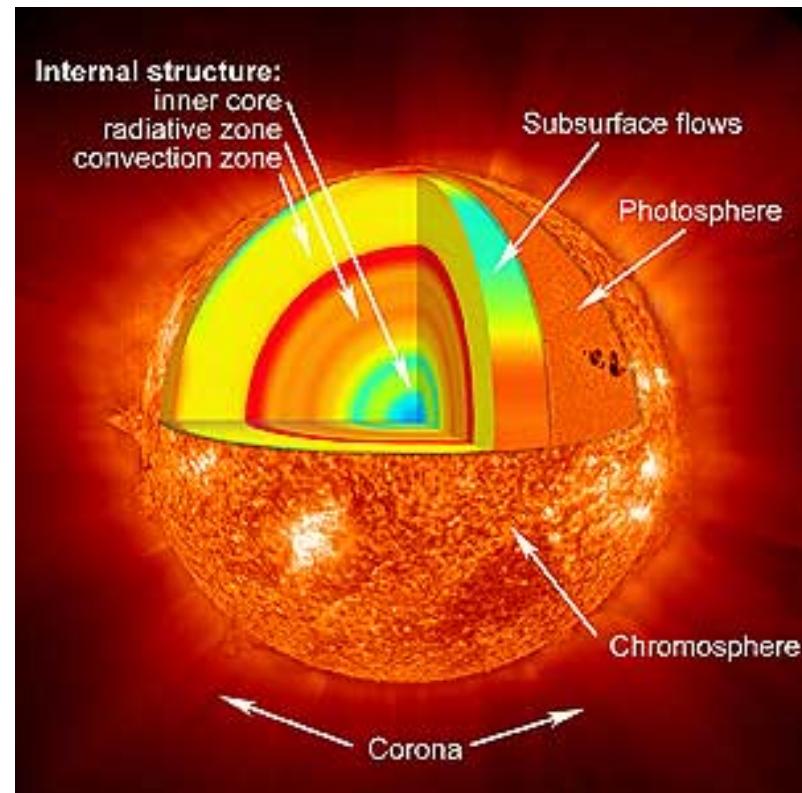
$f_{ch} = 0.2$ for DT
Assuming
 $f_{recirc.} = 0.2$
 $\eta_{heat} = 0.7$
 $\eta_{elect.} = 0.7$

Confinement concepts



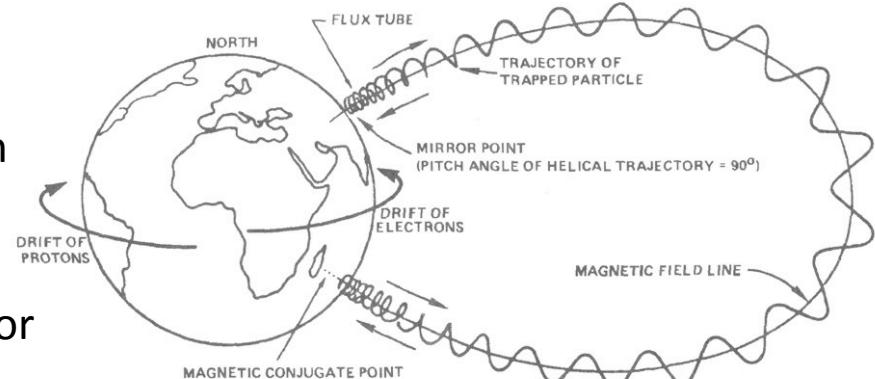
Gravitational confinement

- Exceptional confinement (billions of years)
- Capable of using common isotopes (p-p cycle)
- Naturally occurring (we don't have to do any work!)
- Poor scaling...

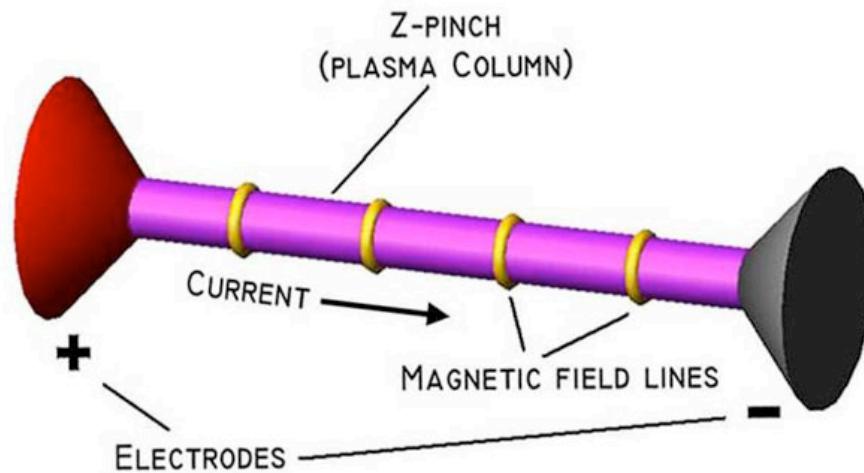


Magnetic confinement Fusion Energy (MFE)

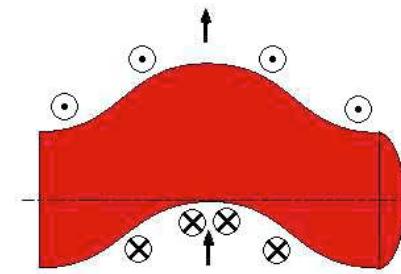
- Use magnetic fields to confine the hot plasma.
- Hopefully will have better scaling than gravitational confinement fusion.
- Typically devices aimed at long-pulse or eventual steady-state operation.
- Pressure ranges from near vacuum to material strength limits (< 1kbar).



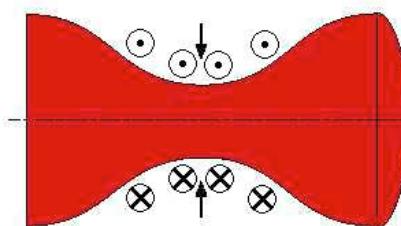
z-pinch



- Axial current, azimuthal magnetic field
- Material electrodes
- Pressure balance
- Notable variations:
 - plasma focus
 - cylindrical liner
 - wire array

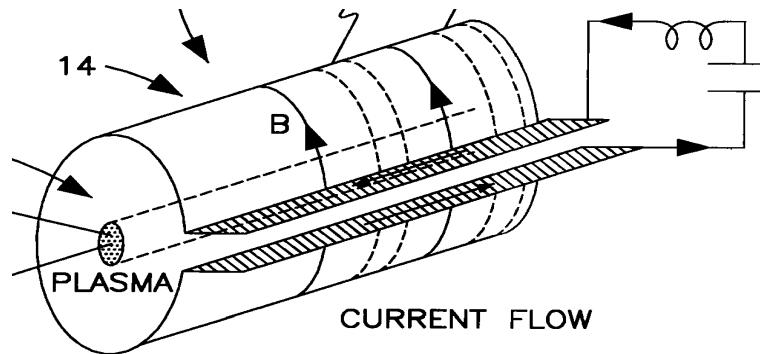


kink instability (m=1)



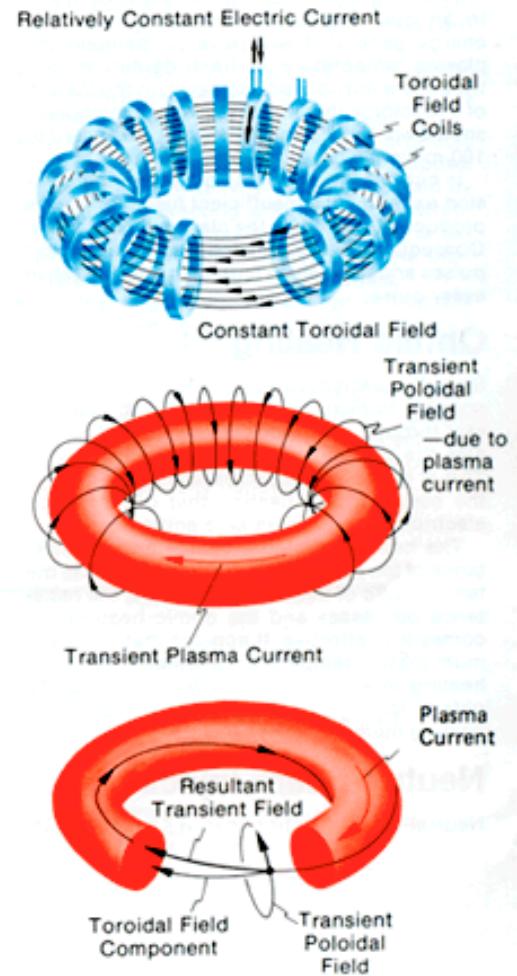
sausage instability (m=0)

θ -pinch

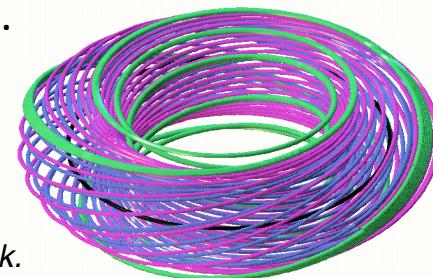


- Azimuthal current, axial magnetic field
- Magnetic coil driven
- Pressure balance
- Notable variations:
 - Screw pinch: combination θ and z pinches
 - another way to crush a can or liner
 - possible way to form compact toroid

Tokamak

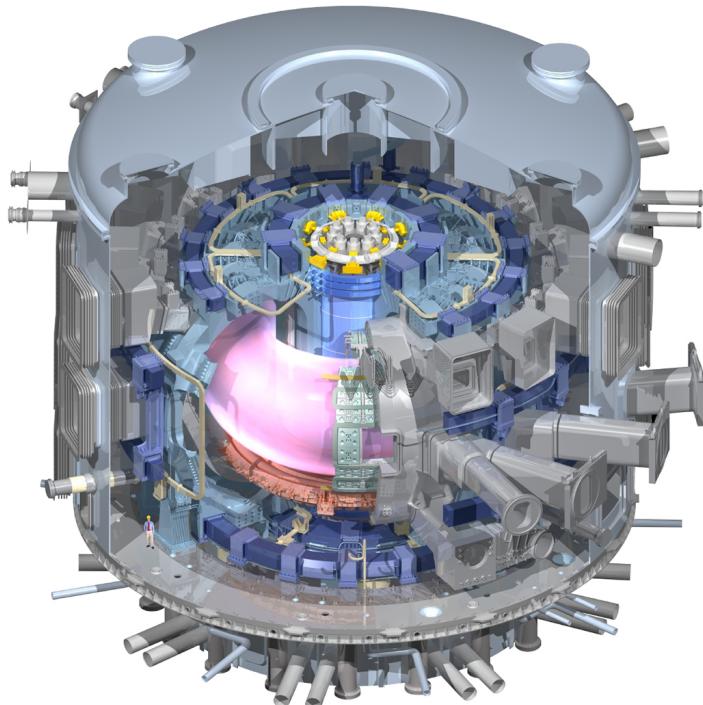


- Russian acronym for “toroidal chamber with magnetic coils”.
- Began in US as “perhapsatron”
- Primarily toroidal (axial) field with smaller poloidal (azimuthal) field.
- Needs current drive (goal of steady-state).
- Needs additional plasma heating.
- Confinement and instabilities.



Composite field in a tokamak.

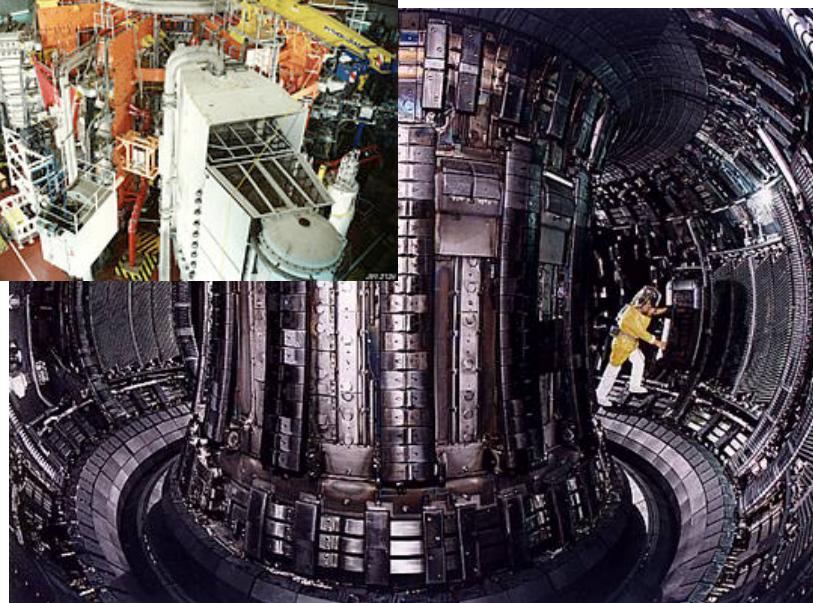
Currently the leading MFE concept.



ITER schematic, Cadarache France

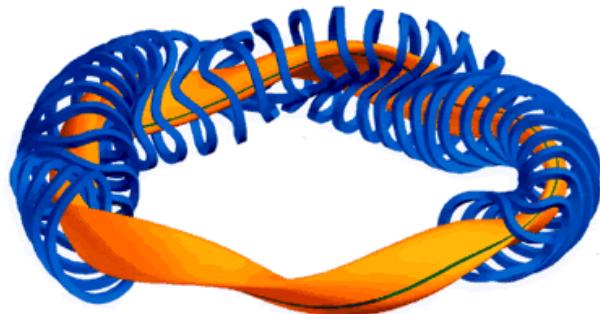
ITER participants: United States, European Union, India, Japan, China, S. Korea, (previously Canada).

- Currently the leading MFE concept.
- Several large tokamaks around the world.



JET (Joint European Torus), Culham, Oxfordshire, UK.

Stellarator

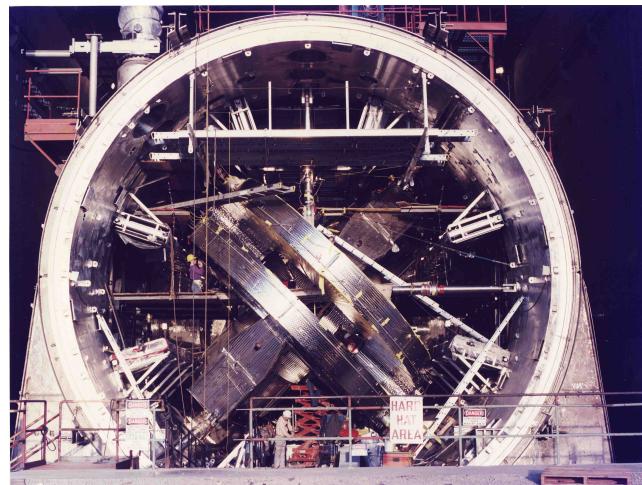
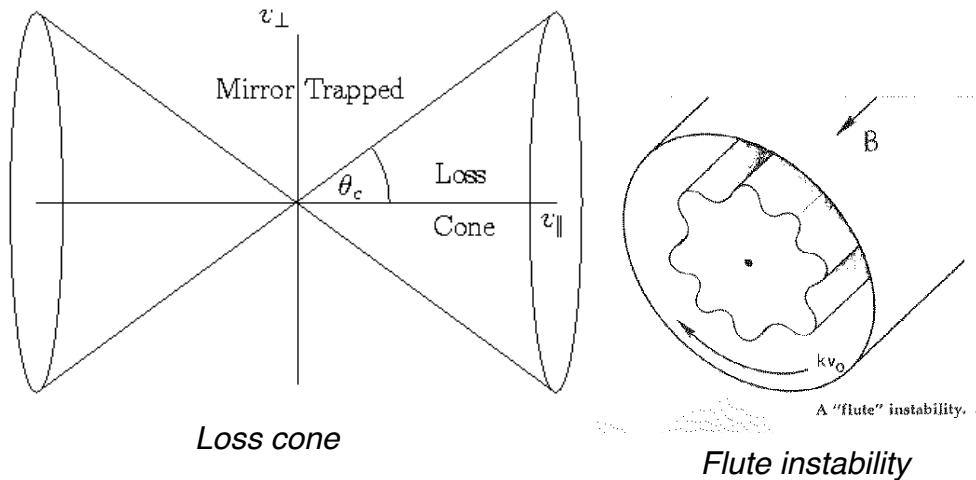
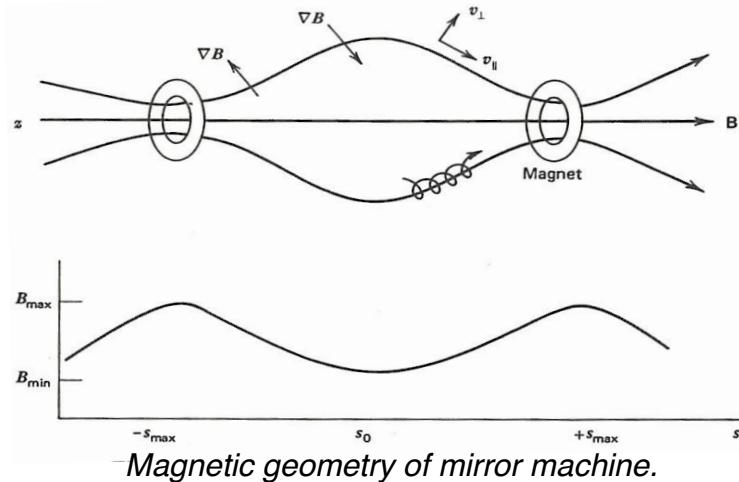


- US initial concept (superseded by Tokamak)
- Helical symmetry
- steady state
- complex magnetic coils
- lower energy density than Tokamak
- Research producing continuously more advanced configurations.



W7X stellarator Greifswald, Germany

Mirror



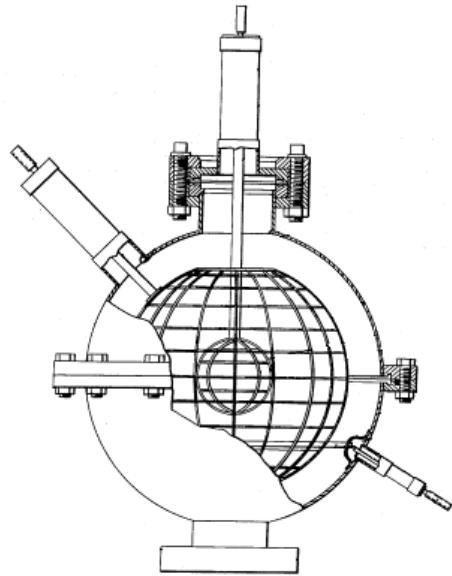
MFTF (mirror fusion test facility, Livermore CA 1977-1986

- Axial confinement via adiabatic invariant.
- Radial confinement due to reduced cross field transport.
- Heating via external mechanisms.
- End losses and instabilities an issue.

Others

- Elmo bumpy torus
- Compact tokamak
- Spherical tokamak
- Compact torous: FRC, spheromak
- Reversed field pinch

Inertial Electrostatic Confinement (IEC)



Hirsch-Meeks fusor From US patent 3,530,497

**Farnsworth-Hirsch fusor
Polywell**
•Space-charge limitation, bremsstrahlung, collision with electrodes removes energy, adds impurities.



- Beam instabilities quickly thermalize non-maxwellian distributions.
- Ions in tail escape potential well before fusing.



Areal density

Lawson criteria expressed in a different form for inertial confinement fusion.

Energy confinement time (τ_E) in MFE systems is difficult to predict.

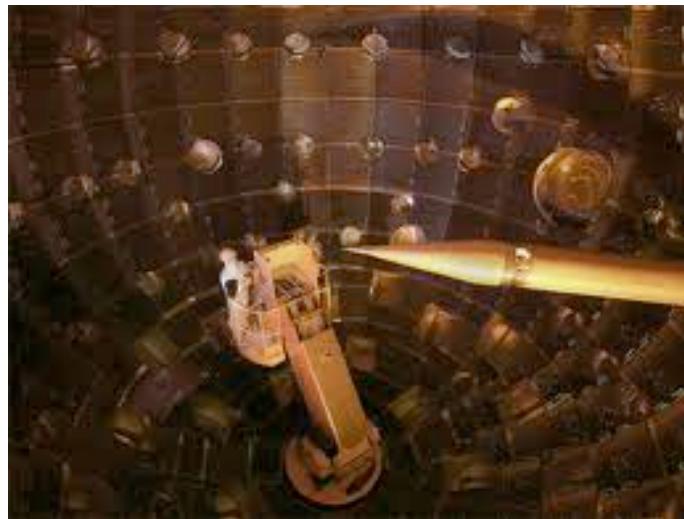
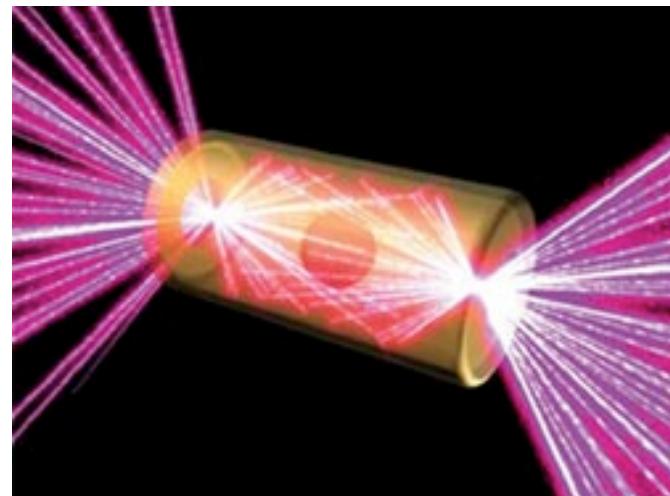
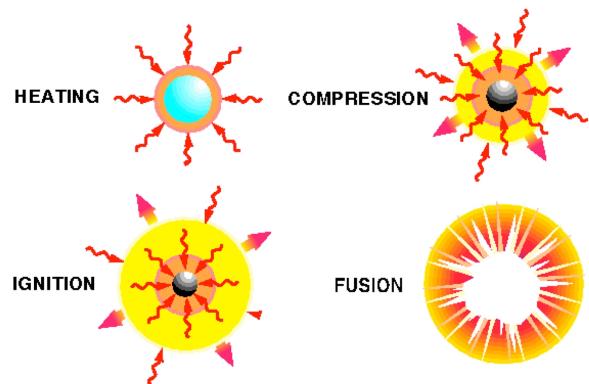
IFE energy confinement time is on the order of the radius over the sound speed

$$\tau_E \approx R / \sqrt{kT/m_i}$$

$$n\tau_E \approx nR / \sqrt{kT/m_i}$$

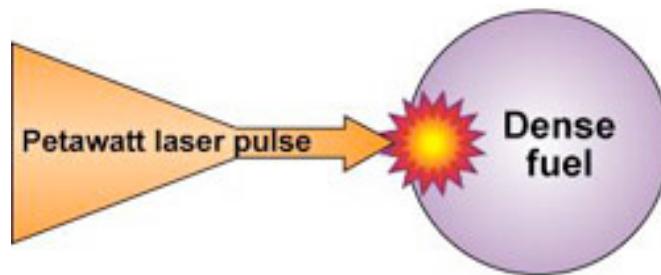
Leads to ρR requirement for inertial confinement fusion schemes.

Inertial Confinement Fusion (ICF)



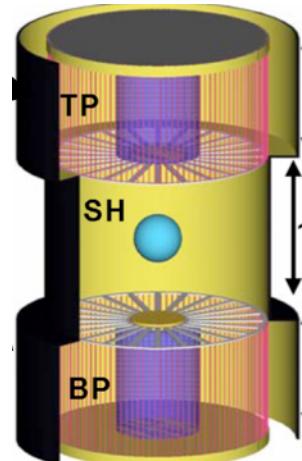
Alternative concepts for ICF

Fast Ignition



Heavy Ion Fusion

Fission-driven



Z-pinch driven
radiation drive



Ivy Mike – First manmade fusion with gain >1

Magneto-inertial fusion (MIF)

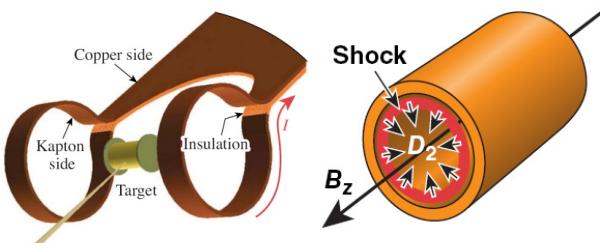
- MIF regime lies between magnetic and inertial confinement fusion approaches.
- 1-100 megabar pressures, multi-megagauss magnetic fields, ns- μ s timescales.
- Compared to ICF:
 - Embedded magnetic field improves particle confinement, reduces energy transport, confines α -particles.
 - This reduces required ρR , implosion speed, and convergence for ignition.
 - Enables use of efficient (and inexpensive) pulsed power.
 - This relaxes the needed gain for break-even (e.g. $\eta G=0.5*10$ for pulsed power vs. $\eta G=0.05*100$ for lasers).

National Academy of Science IFE Review documents available at: http://fire.pppl.gov/icf_nas_review_2010.html
Scientific American, May 26, 2011

Wide range of driver/target combinations.

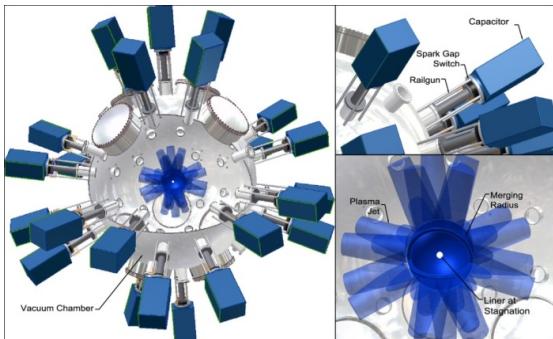
U. Rochester LLE

Magnetic transport reduction in ICF target.



Los Alamos / HyperV

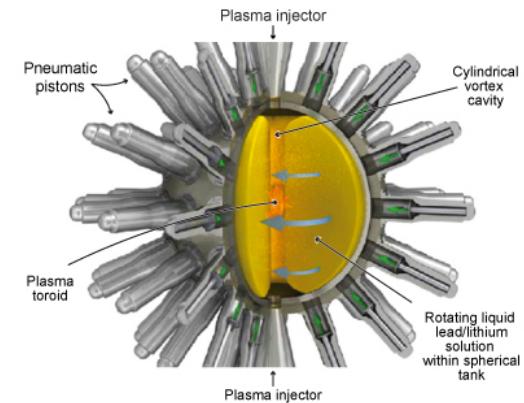
Plasma Liner Experiment (PLX)
Merging plasma jets for remote standoff.



A. G. Lynn, et al, *Rev. Sci. Instr.* 81, 10E115 (2010)

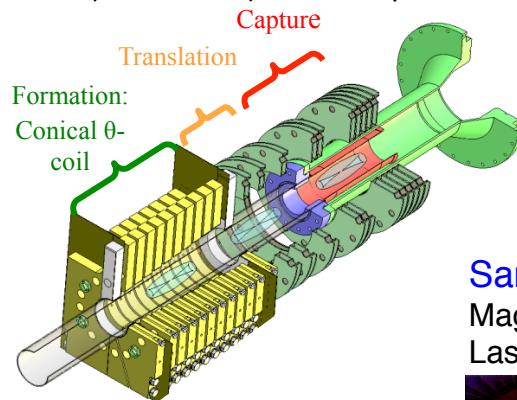
General Fusion

Spheromak merging and pneumatically driven compression with liquid liner/blanket.



Los Alamos / AFRL

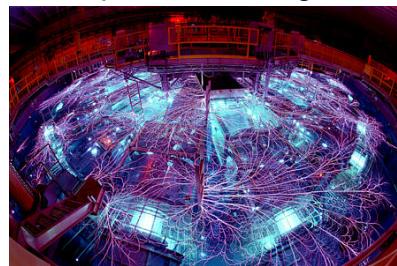
Magnetized Target Fusion (MTF)
FRX-L/FRCHX + Shiva Star
~20 μ s, 0.5 cm/ μ s liner implosion



Taccetti, Intrator, Wurden et al.,
Rev. Sci. Instr. 74, 4314 (2003)

Sandia National Laboratories

Magnetized Liner Inertial Fusion (MagLIF)
Laser preheated magnetized fuel



S. A. Slutz, et al., *Phys. Plasmas* 17, 056303 (2010)

Non-thermonuclear fusion

Muon Catalyzed Fusion

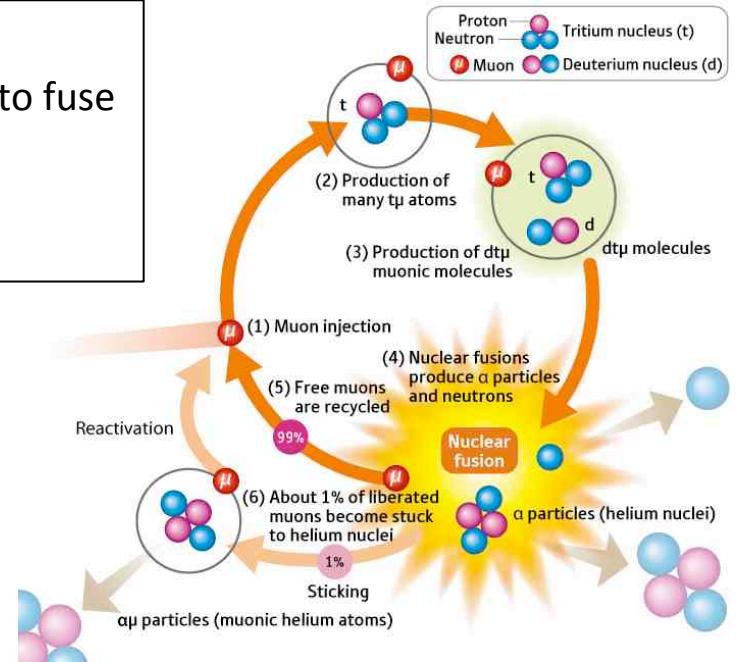
- muon replaces electron, “atoms” come close enough to fuse
- muons catalyze many reactions
- “alpha sticking problem”
- difficult to produce muons

Cold Fusion?

- Pons & Fleischmann 1989
- unknown process
- lack of evidence

Carnot Efficiency:

$$\eta \leq 1 - \frac{T_C}{T_H}$$



Pycnonuclear fusion

- Highly coupled crystalline structure
- Lattice vibrations can exceed thermal energy
- high density, relatively cold
- responsible for energy release in carbon core of white dwarfs

Beam-target

- Inefficiencies make concept unsuitable for energy production.

Other engineering issues

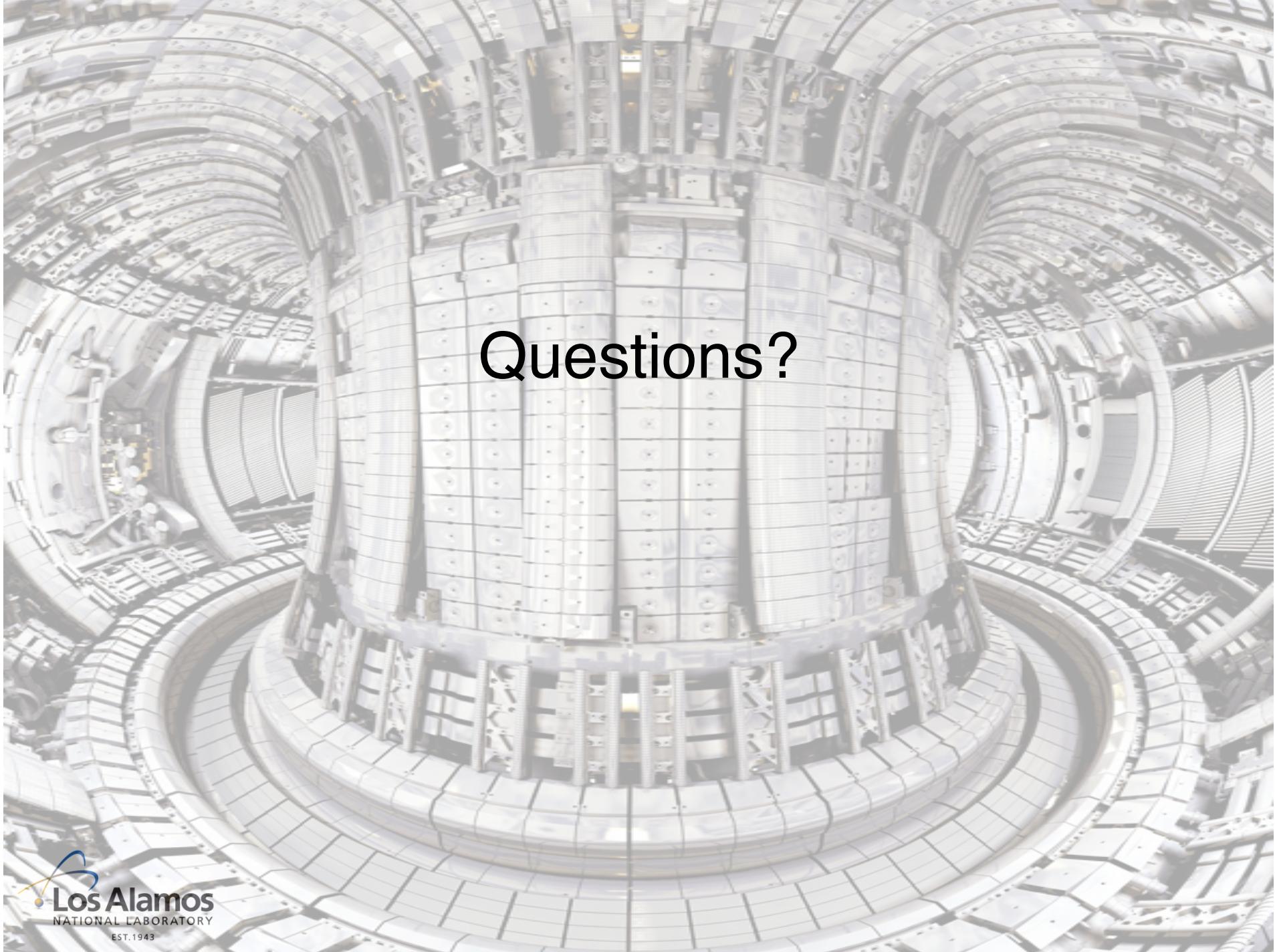
- Energy extraction – “Blanket”
- Tritium handling
- Tritium breeding
- Cooling
- Interaction of radiation with matter
- Superconducting magnets?
- Plasma-wall interactions
- Economic power generation.

Fission-Fusion Hybrid

- No need for $Q > 1$
- Waste transmutation
- Subcritical reactor

Useful References

- “Fusion” by Weston Stacey
- “The Physics of Inertial Fusion” by S. Atzeni and J. Meyer-ter-vehn



Questions?

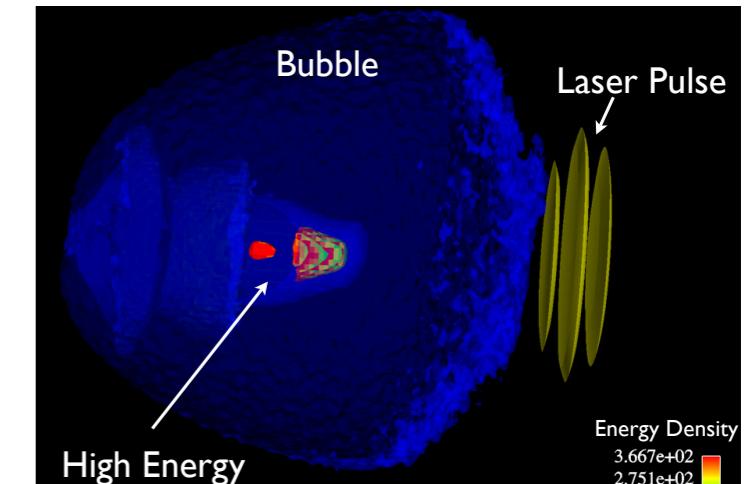
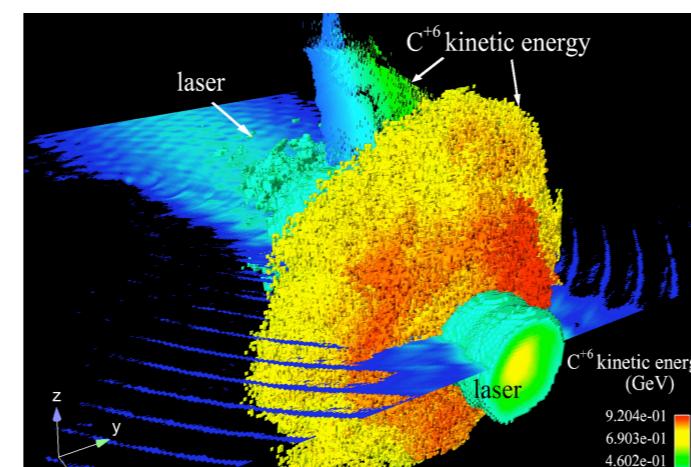
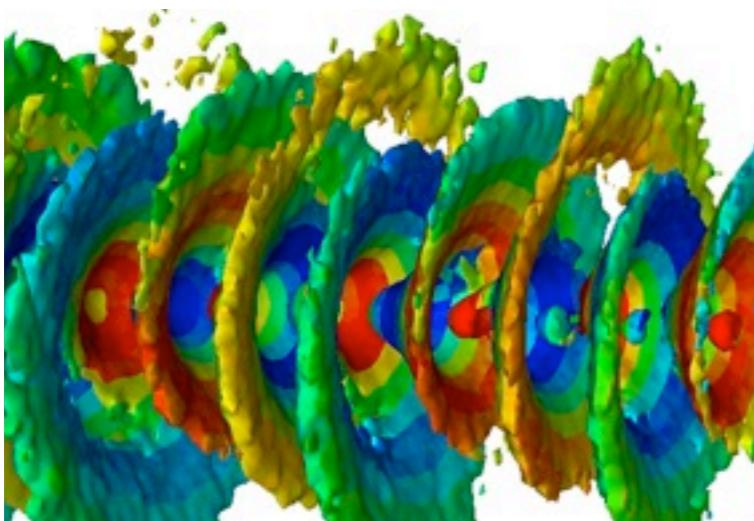
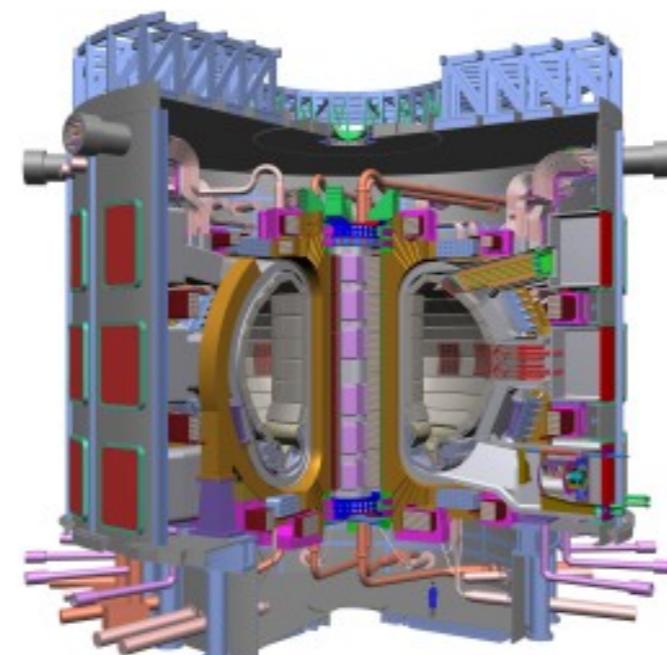
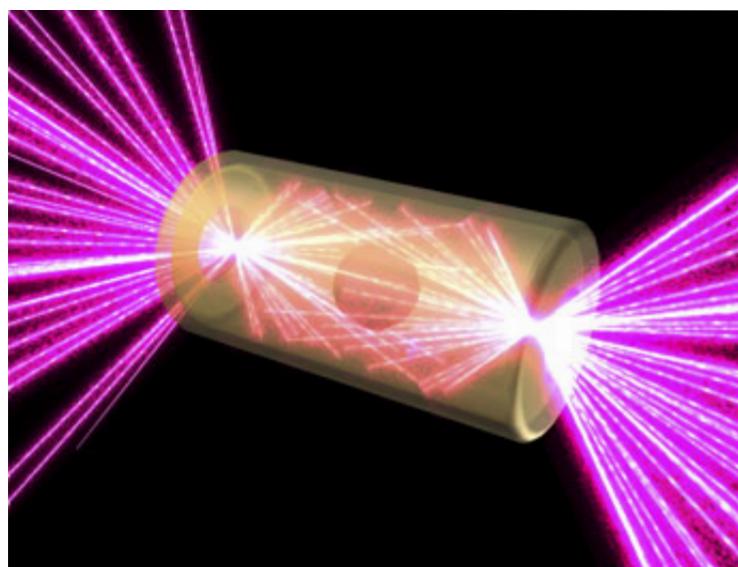
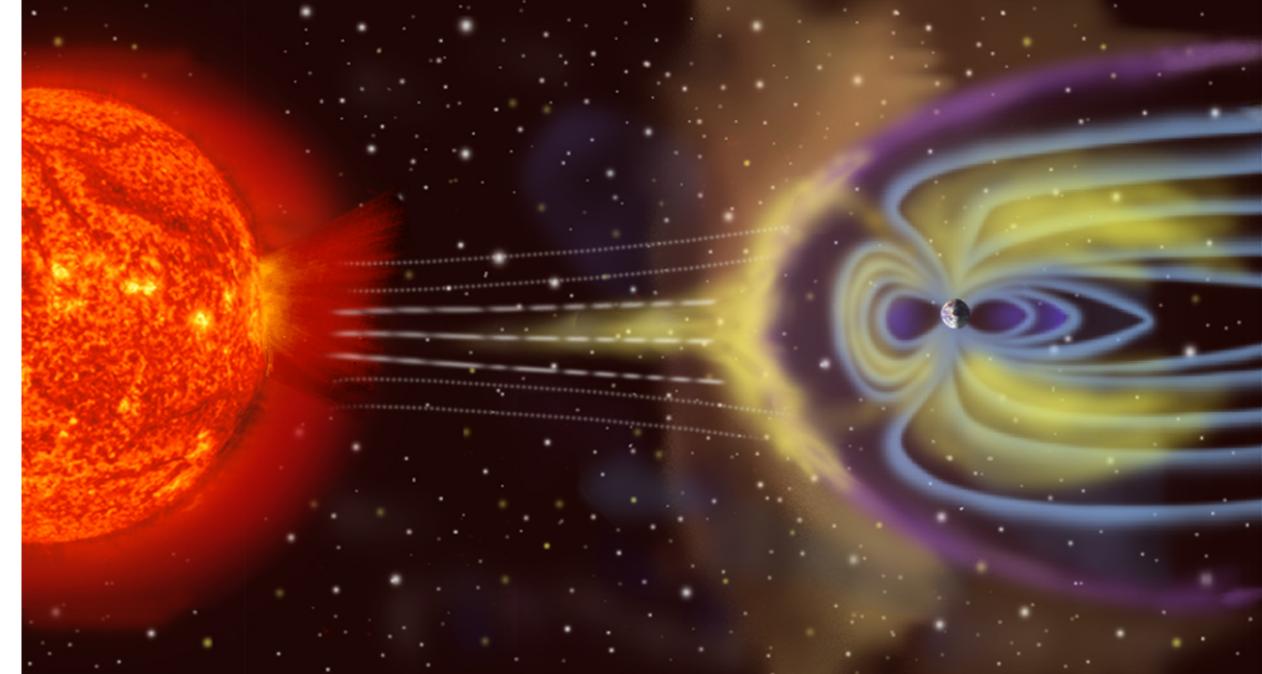
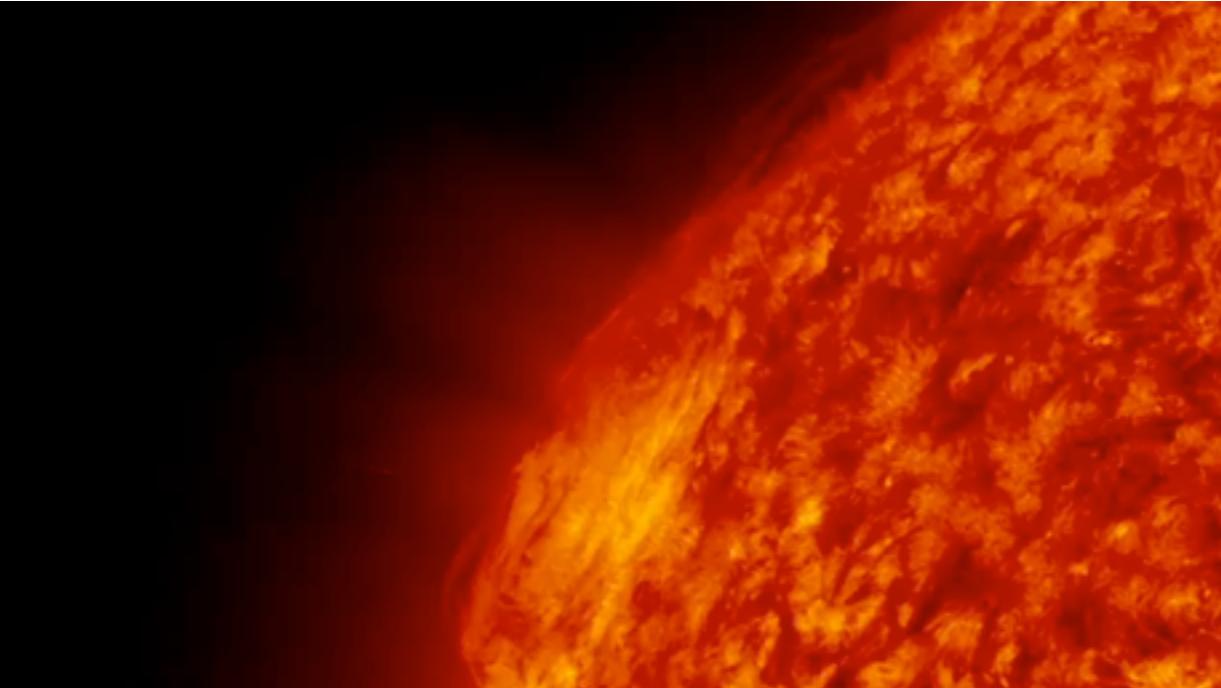
A Gentle Introduction to Plasma Kinetic Simulation

Bill Daughton

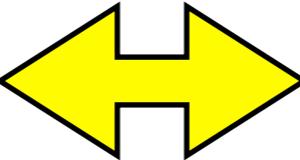
Plasma Theory & Applications, XCP-6

July 11, 2012
CNLS - Student Seminar

Plasma Physics is Rich with Applications



How do we describe these various plasmas?

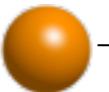
Kinetic  Fluid

Focus of this talk:

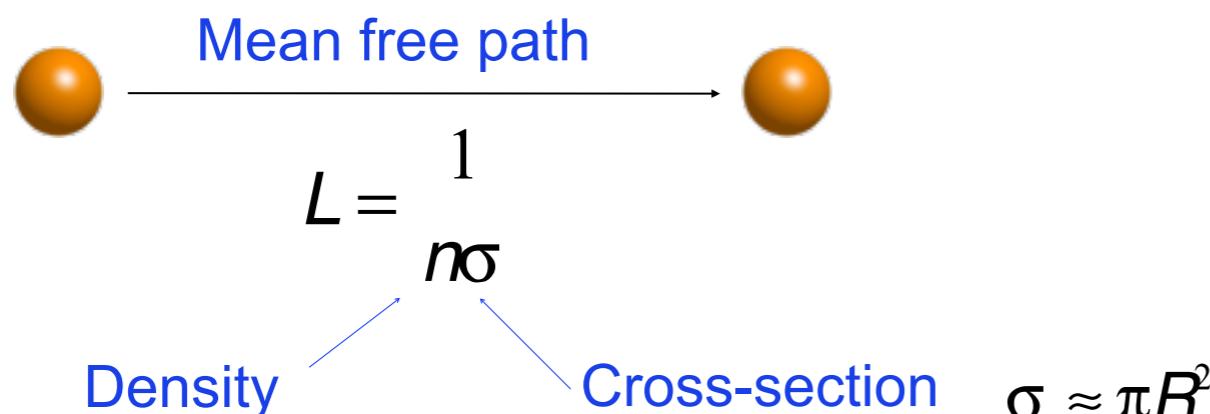
1. Theoretical framework for plasmas
2. Describe kinetic PIC method
3. Give some examples

Start with something familiar and consider air in this room

1. How fast do particles move?

$$V_{therm} = \left(\frac{k_B T}{m} \right)^{1/2}$$


2. How far does it travel before colliding with another atom?


$$L = \frac{1}{n\sigma}$$

Mean free path

Density

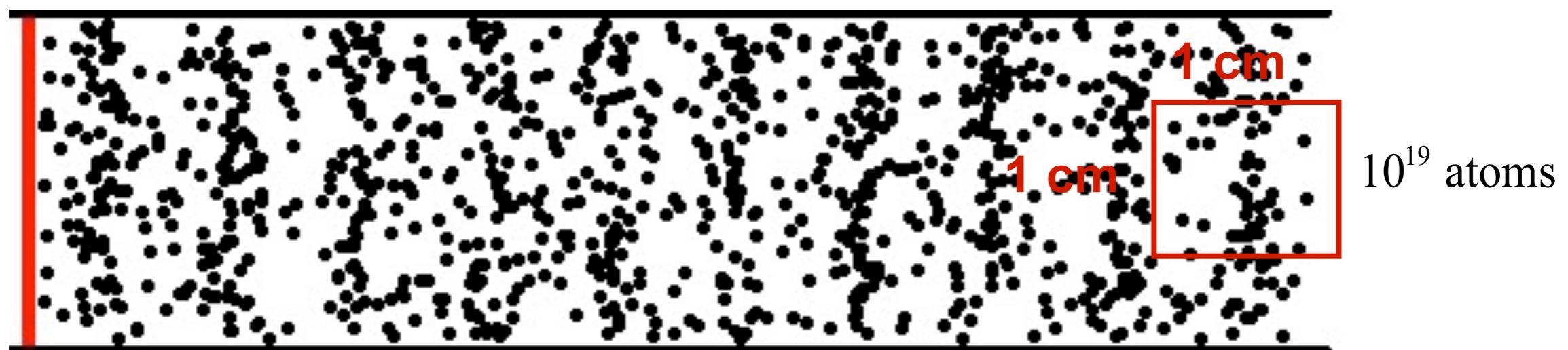
Cross-section

$$\sigma \approx \pi R^2$$

For air in this room:

$$n \approx 2 \times 10^{19} \text{ atoms cm}^{-3}$$

$$L \approx 0.002 \text{ mm}$$



Conditions for sound waves to occur

Mean free path

$$L \ll \lambda$$

Wavelength

$$L \approx 2 \times 10^{-4} \text{ cm} = 0.002 \text{ mm}$$

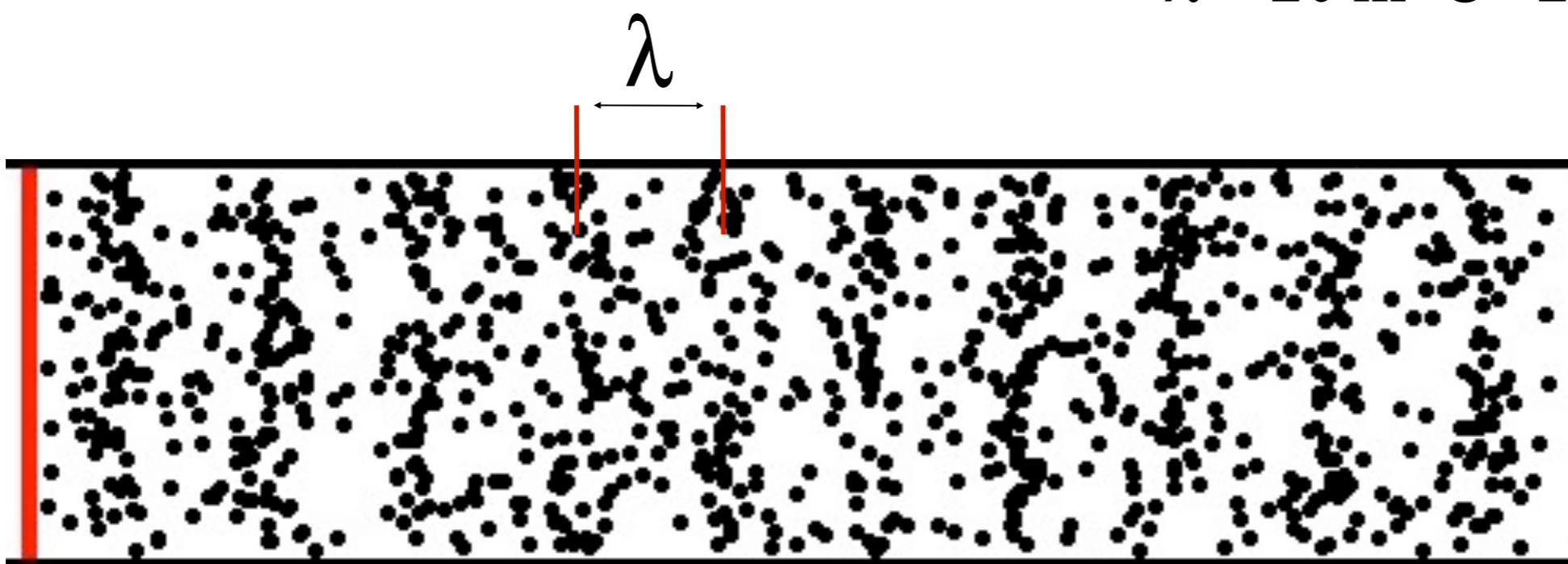
Range of human hearing

$$v \approx 20 \text{ Hz} \text{ } \textcircled{R} \text{ } 20,000 \text{ Hz}$$

$$\lambda \approx 20 \text{ m} \text{ } \textcircled{R} \text{ } 2 \text{ cm}$$

Width of Human hair

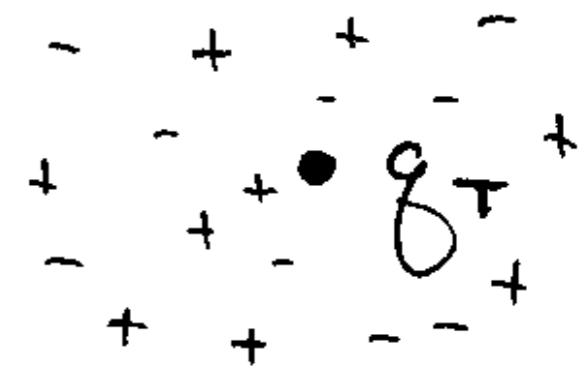
0.1 mm



What equations describe a plasmas?

First, we need to consider how charged particles interact

In a plasma - Coulomb interactions are screened



$$\nabla \cdot \vec{E} = -\nabla^2 \phi = 4\pi e(n_i - n_e) + 4\pi g_T \delta(\vec{r})$$

$$n_e = n_o \exp\left(\frac{e\phi}{T_e}\right) \approx n_o \left[1 + \frac{e\phi}{T_e} + \dots \right]$$

$$n_i = n_o \exp\left(-\frac{e\phi}{T_i}\right) \approx n_o \left[1 - \frac{e\phi}{T_i} + \dots \right]$$

$$\phi = \frac{g_T}{r} \exp\left(-\frac{r}{\lambda_D}\right)$$

$$\frac{1}{\lambda_D^2} = 4\pi e^2 n_o \left(\frac{1}{T_e} + \frac{1}{T_i} \right)$$

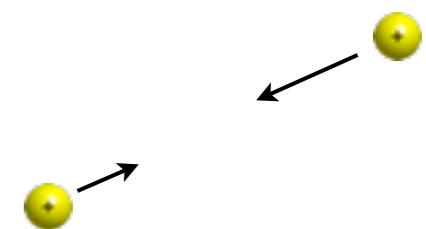
Debye Length

Huge number of particles within Debye length

$$\Lambda \equiv n_o \lambda_D^3 \gg 1$$

Plasma Parameter

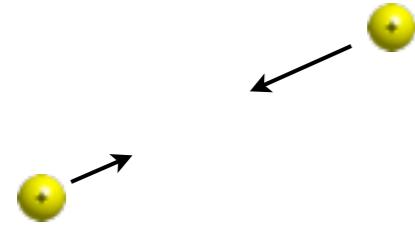
$$\Gamma = \frac{e\phi}{T_e} = \frac{e^2}{r \text{ } kT} \propto \frac{1}{\Lambda_s^{2/3}}$$



$\Gamma \ll 1 \rightarrow$ weakly coupled plasma with many particles in a Debye cube

$\Gamma \gtrsim 1 \rightarrow$ strongly coupled plasma or sometimes called "Coulomb liquid" or "dense plasma"

Collisions in Plasmas?



Rutherford
Cross section

$$\sigma \propto \frac{1}{v^4}$$

Collision
Frequency

$$\nu = \langle \sigma v \rangle \propto \frac{n}{T^{3/2}}$$

Thermal Velocity
 $C_e = (2T/m)^{1/2}$

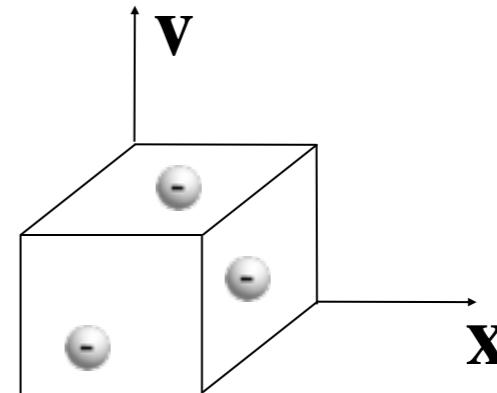
Application	n_e (cm $^{-3}$)	T (eV)	λ_{De} (cm)	$n_e \lambda_{De}^3$	ω_{pe}	ν_e	C_e (cm/sec)
Earth's Magnetotail	1	800	2.1×10^4	9.3×10^{12}	5.6×10^4	3.9×10^{-9}	1.2×10^9
Solar Corona	10^7	100	2.3	1.3×10^8	1.8×10^8	0.60	4.2×10^8
Tokamak	10^{14}	10^4	7.4×10^{-3}	4.1×10^7	5.6×10^{11}	5×10^3	4.2×10^9
Laser Plasma	10^{20}	500	1.7×10^{-6}	460	5.6×10^{14}	1.9×10^{11}	9.4×10^8

What equations describe a plasma?

$$\frac{\partial f_s}{\partial t} + \mathbf{v} \bullet \frac{\partial f_s}{\partial \mathbf{x}} + \frac{q_s}{m_s} \left(\mathbf{E} + \frac{\mathbf{v} \times \mathbf{B}}{c} \right) \bullet \frac{\partial f_s}{\partial \mathbf{v}} = 0$$

← **Vlasov**
 $\epsilon = 0$

$f_s(\mathbf{x}, \mathbf{v}, t)$ ————— Number of particles
————— Unit volume of phase space



Small Parameter ————— $\epsilon = \frac{1}{n\lambda_D^3} \sim 10^{-6} \rightarrow 10^{-12}$

Maxwell's Equations

$$\rho = \sum_s q_s \int f_s d\mathbf{v}$$



$$\mathbf{J} = \sum_s q_s \int \mathbf{v} f_s d\mathbf{v}$$

$$\nabla \bullet \mathbf{B} = 0$$

$$\nabla \times \mathbf{B} = \frac{4\pi}{c} \mathbf{J} + \frac{1}{c} \frac{\partial \mathbf{E}}{\partial t}$$

$$\nabla \bullet \mathbf{E} = 4\pi\rho$$

$$\nabla \times \mathbf{E} = -\frac{1}{c} \frac{\partial \mathbf{B}}{\partial t}$$

Vlasov-Maxwell Theory

Vlasov

$$\frac{\partial f_s}{\partial t} + \mathbf{v} \bullet \frac{\partial f_s}{\partial \mathbf{x}} + \frac{q_s}{m_s} \left(\mathbf{E} + \frac{\mathbf{v} \times \mathbf{B}}{c} \right) \bullet \frac{\partial f_s}{\partial \mathbf{v}} = 0$$

Maxwell

$$\nabla \bullet \mathbf{B} = 0$$

$$\nabla \bullet \mathbf{E} = 4\pi\rho$$

$$\nabla \times \mathbf{B} = \frac{4\pi}{c} \mathbf{J} + \frac{1}{c} \frac{\partial \mathbf{E}}{\partial t}$$

$$\nabla \times \mathbf{E} = -\frac{1}{c} \frac{\partial \mathbf{B}}{\partial t}$$

- **Coupled by first 2 moments**
- **Complete description of collisionless plasma**
- **Very difficult to solve - 6D phase space!**
- **Fluid description is much easier**

$$\rho = \sum_s q_s \int f_s d\mathbf{v} \quad \mathbf{J} = \sum_s q_s \int \mathbf{v} f_s d\mathbf{v}$$

Fluid Description of Plasma

Density $\longrightarrow n_s = \int f_s d\mathbf{v}$

Velocity $\longrightarrow \mathbf{U}_s = \int \mathbf{v} f_s d\mathbf{v}$

Pressure $\longrightarrow \mathbf{P}_s = m_s \int (\mathbf{v} - \mathbf{U}_s)(\mathbf{v} - \mathbf{U}_s) f_s d\mathbf{v}$

Take velocity space moments of the Vlasov Equation:

Mass conservation $\longrightarrow \frac{\partial n_s}{\partial t} + \nabla \bullet (n_s \mathbf{U}_s) = 0$

Momentum conservation $\longrightarrow m_s n_s \frac{d\mathbf{U}_s}{dt} = -\nabla \bullet \mathbf{P}_s + q_s n_s \left(\mathbf{E} + \frac{\mathbf{U}_s \times \mathbf{B}}{c} \right)$

Closure Problem - Each equation contains higher order moment!

MHD Model

$$\frac{\partial \rho}{\partial t} + \nabla \bullet (\rho \mathbf{U}) = 0$$

→

Mass conservation

$$\rho \frac{d\mathbf{U}}{dt} = -\nabla \mathbf{P} + \frac{\mathbf{J} \times \mathbf{B}}{c}$$

→

Momentum conservation

$$\mathbf{E} + \frac{\mathbf{U} \times \mathbf{B}}{c} = \eta \mathbf{J}$$

→

Ohm's Law

$$\frac{d}{dt} \left(\frac{P}{\rho^\gamma} \right) = 0$$

→

Adiabatic equation of state

$$\nabla \times \mathbf{B} = \frac{4\pi}{c} \mathbf{J}$$

→

Ampere's Law

$$\nabla \times \mathbf{E} = -\frac{1}{c} \frac{\partial \mathbf{B}}{\partial t}$$

→

Faraday's Law

$$\nabla \bullet \mathbf{B} = 0$$

Ideal MHD

→

$\eta = 0$

→

$$\mathbf{U}_\perp = c \frac{\mathbf{E} \times \mathbf{B}}{B^2}$$

What problems need kinetic treatment?

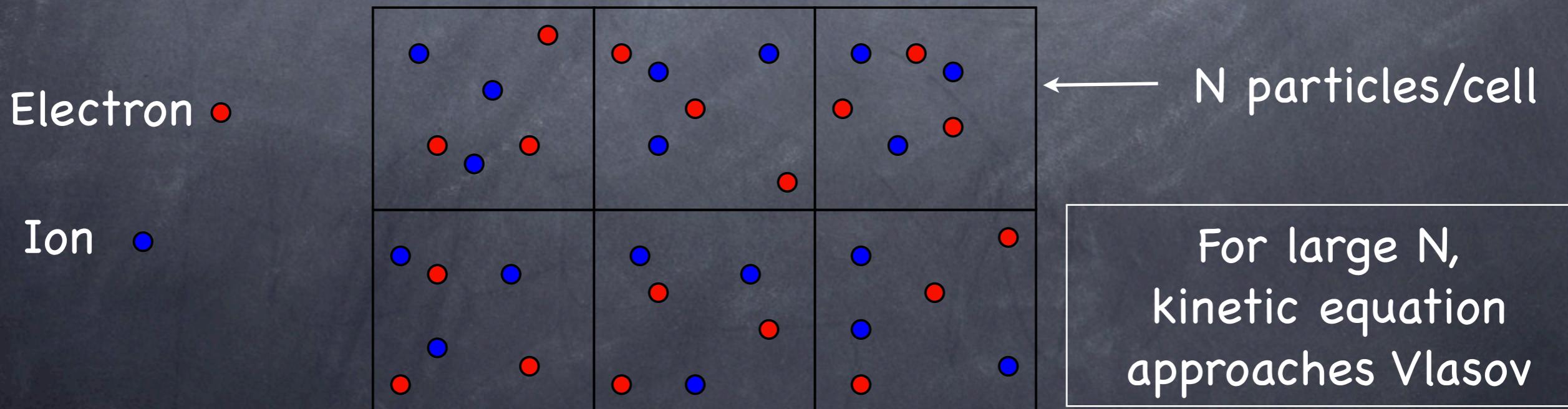
1. kinetic scales are important?
2. Resonant interactions ?
3. Don't have a reasonable fluid closure?
4. Need to predict particle acceleration?

How to solve kinetic equation?

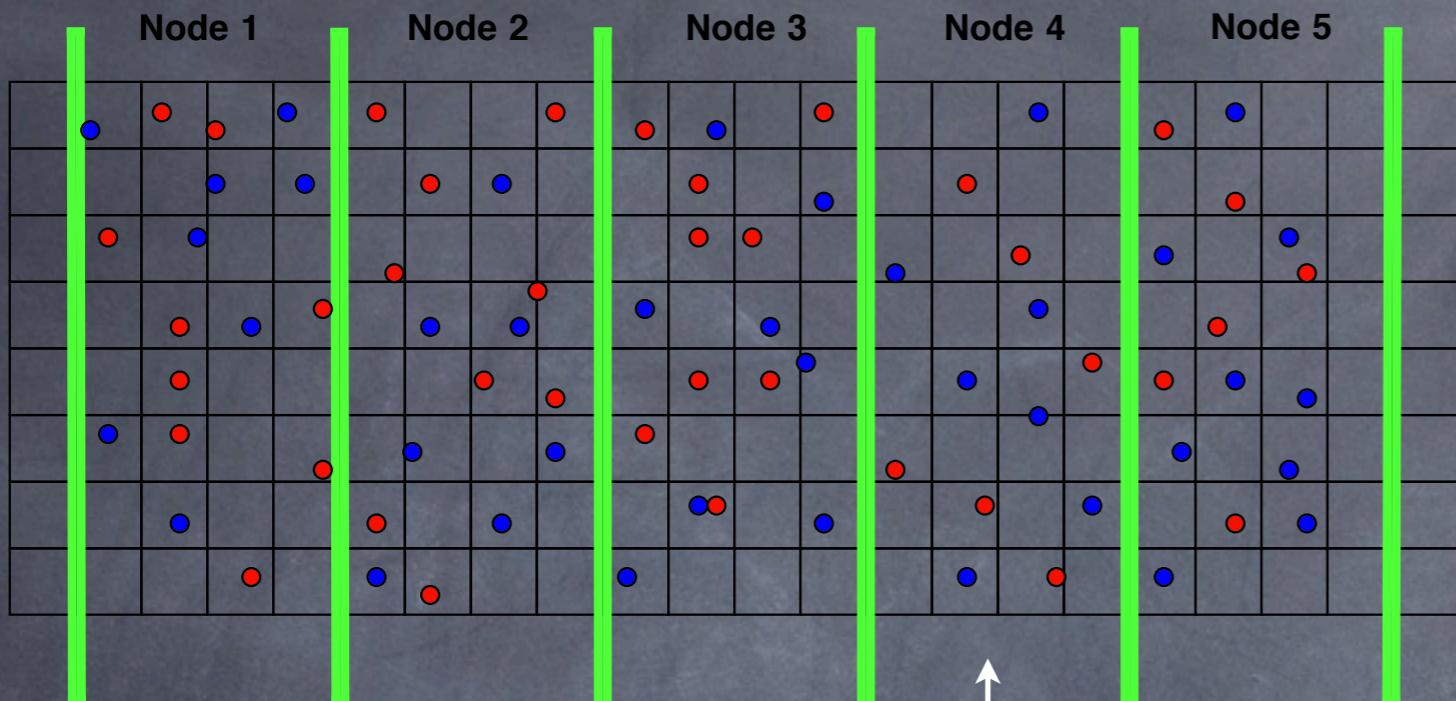
1. Directly discretize kinetic equation
2. Monte-Carlo approach - i.e. Particle-in-cell

PIC = Particle-in-cell

- Introduce “super-particles” – Lagrangian tracers
- Create spatial grid (cells)
- Interpolate position and velocity of particle onto grid $\rho \vec{J}$
- Compute resulting E and B fields
- Push particles using these self-consistent fields
- Evolution of this system obeys a kinetic equation



Easy to make PIC algorithm parallel

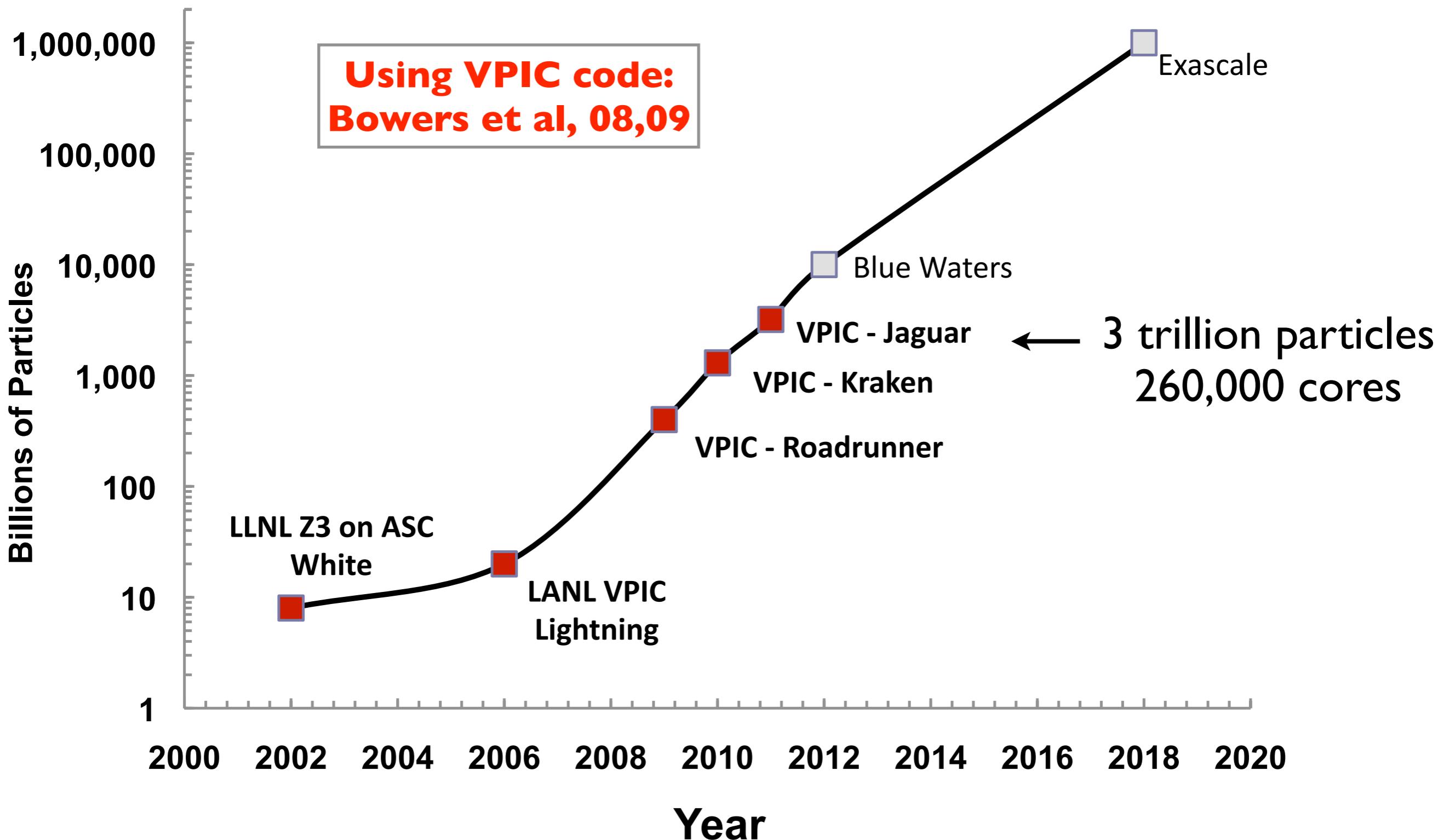


33 - SMP Nodes
2 Opteron CPU's in each
5 GB RAM - 165 GB Total
4 TB Raid Storage
Gigabit Ethernet



64 processor
Linux Cluster
in 209 VAN

Exponential increase in computing is permitting ever larger kinetic simulations



Even so, we usually can't match all experimental parameters

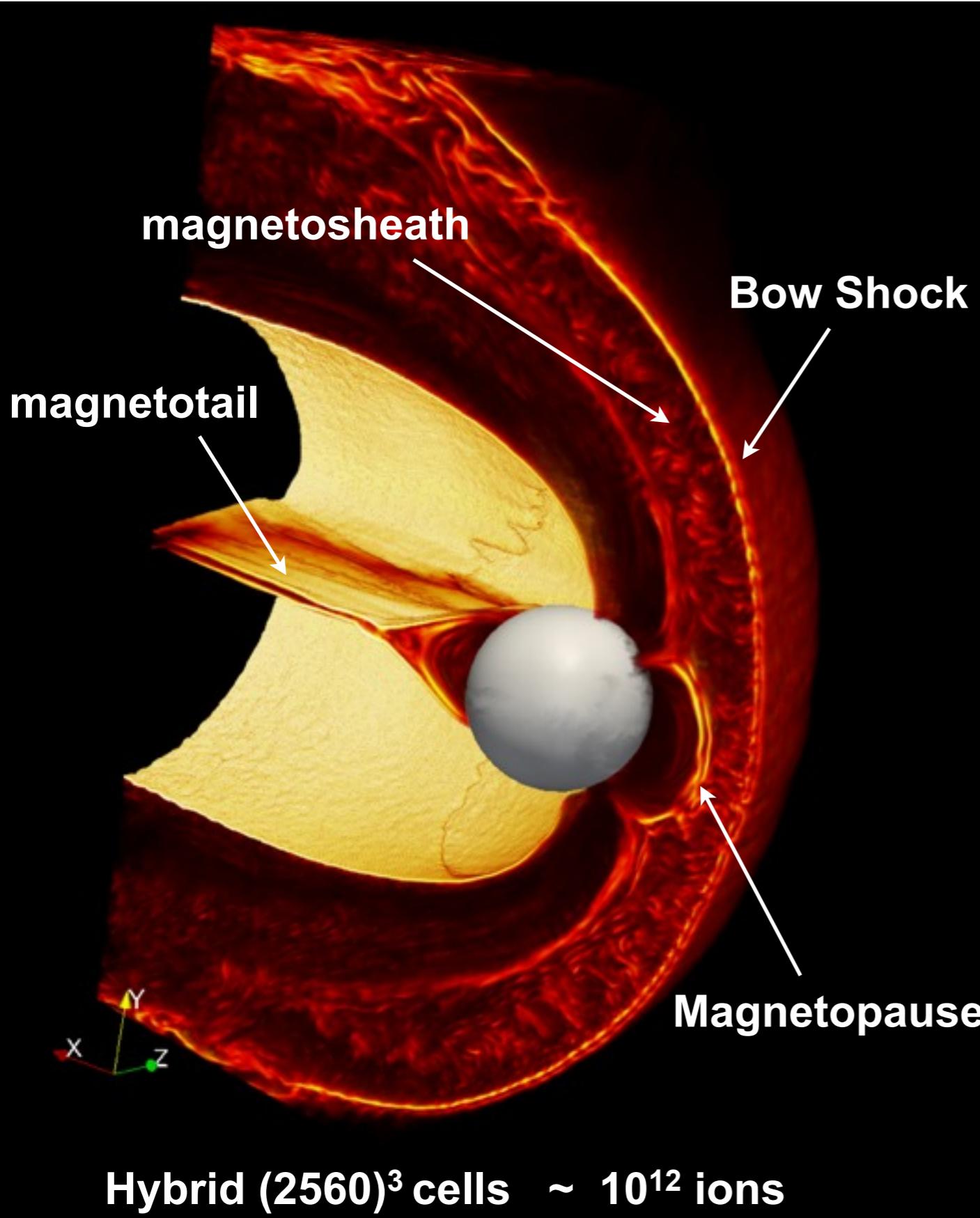
Depending on the problem - we often choose artificial values for

1. Ion to electron mass ratio
2. Plasma temperature
3. Other dimensionless ratios

Sample Problem #1

Magnetic Reconnection

At the petascale - global magnetospheric simulations are now feasible with hybrid codes



Hybrid offers good description of:

- Collisionless shocks
- Ion kinetic & FLR effects
- Temperature anisotropy instabilities
- Ion-scale boundary layers

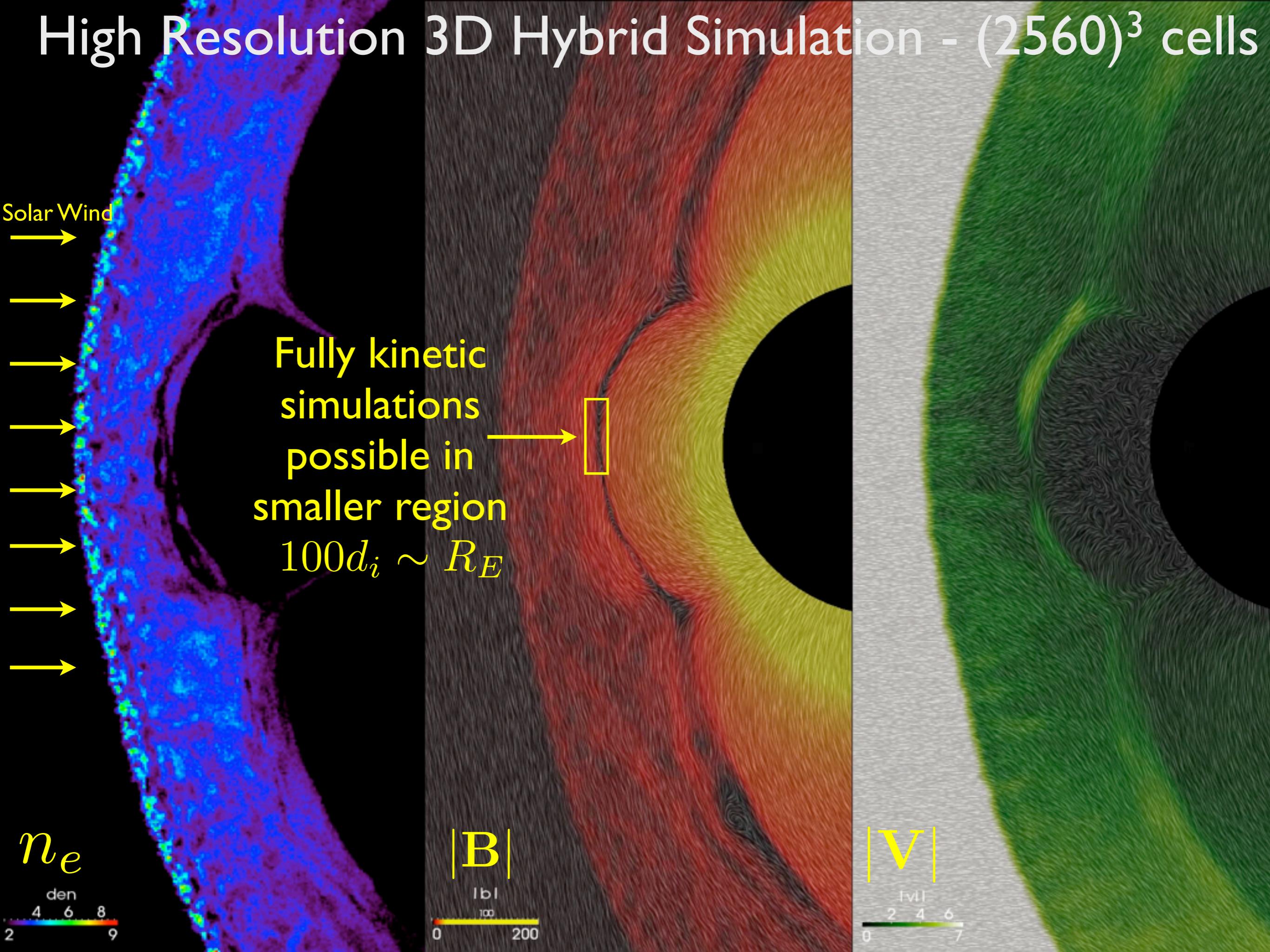
Electrons are massless fluid - missing collisionless physics to break frozen-in constraint

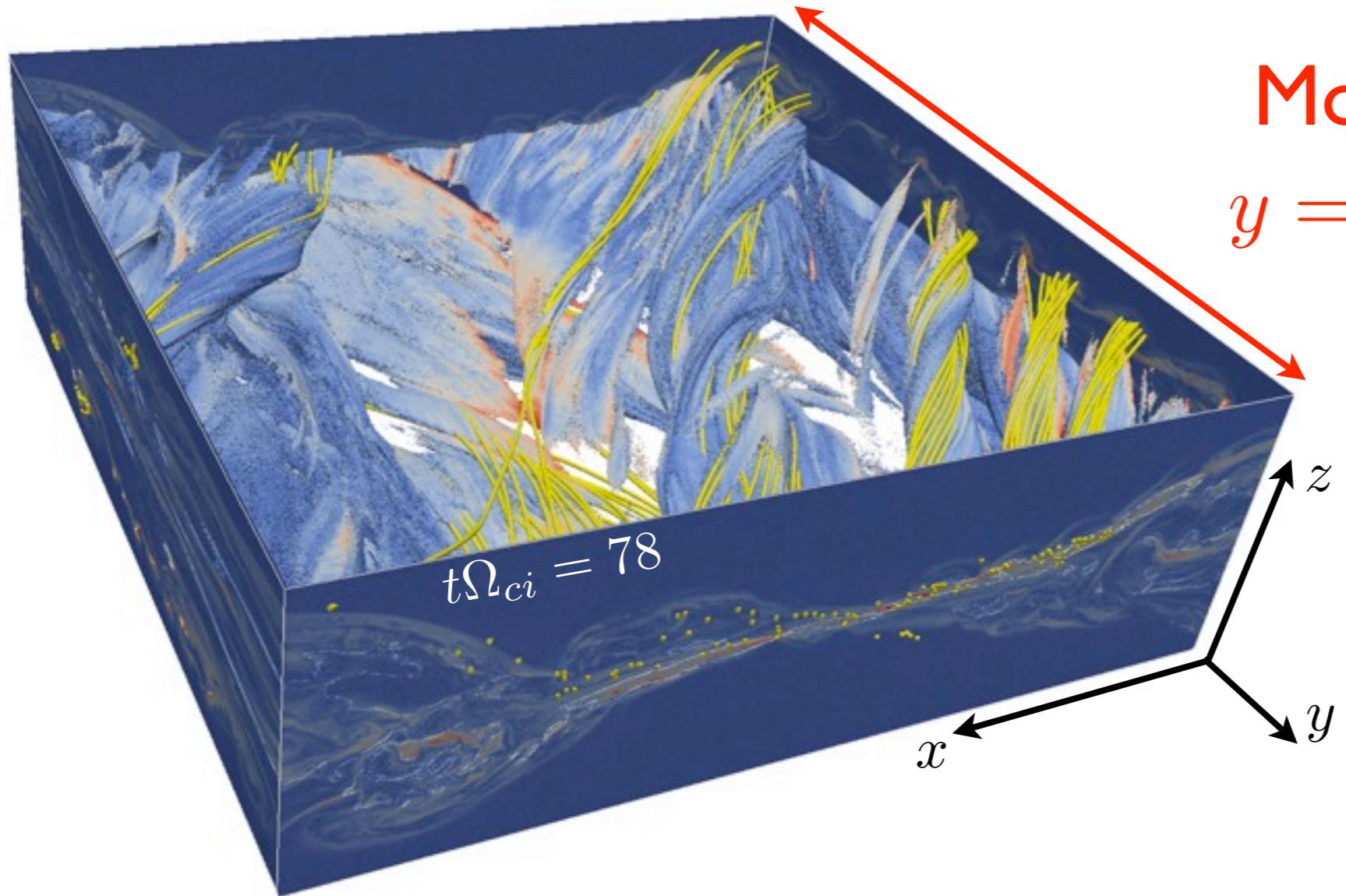
Influence of electron physics is the main science goal of NASA's upcoming MMS mission

MMS will launch in 2014 - orbits will be optimized for the magnetopause during first 1.5 years of operation

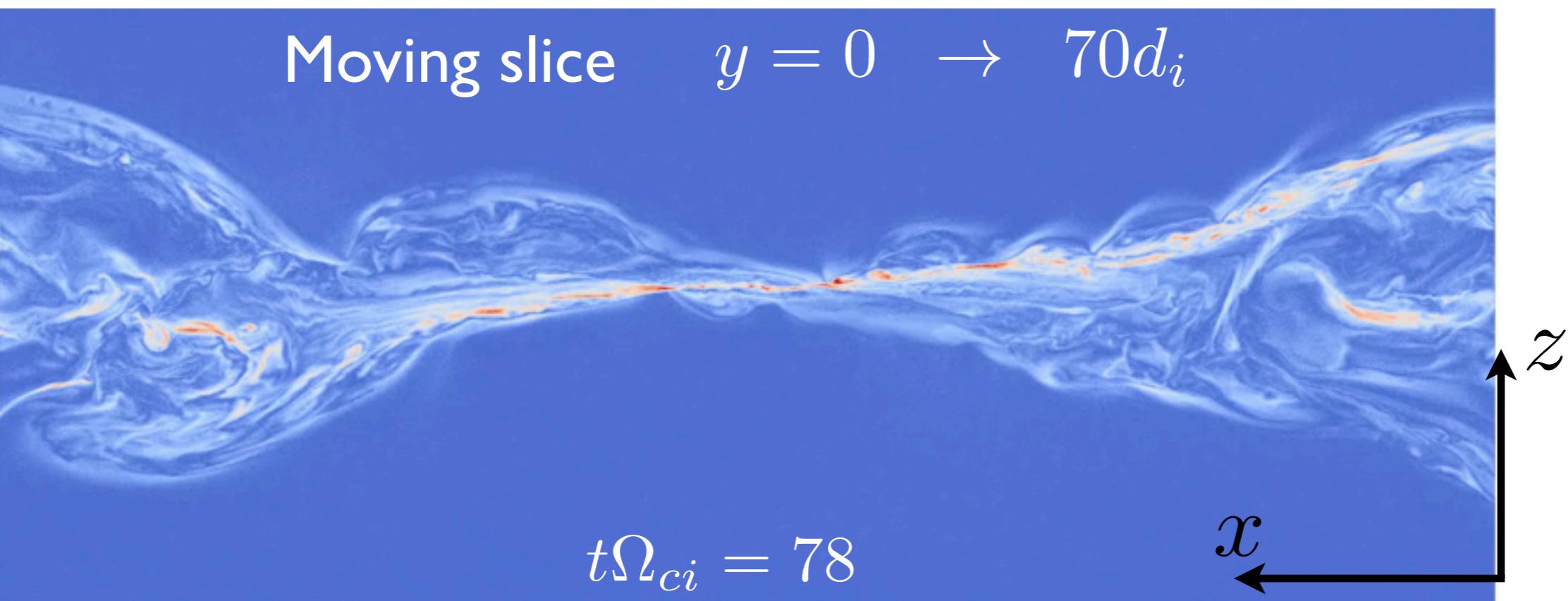
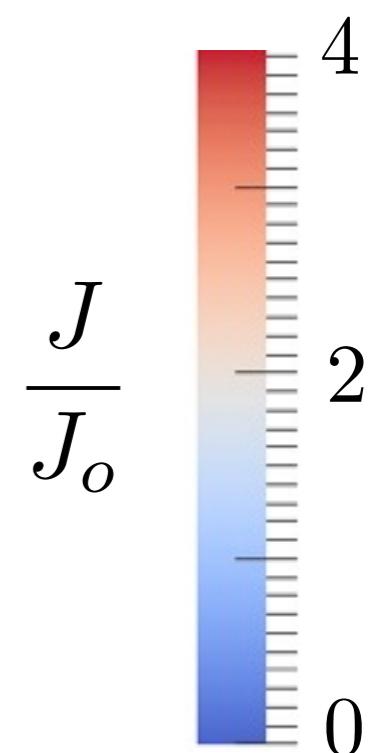
See - Moore, Burch, Daughton, et al, 2012

High Resolution 3D Hybrid Simulation - $(2560)^3$ cells





Move 2D slice
 $y = 0 \rightarrow 70d_i$

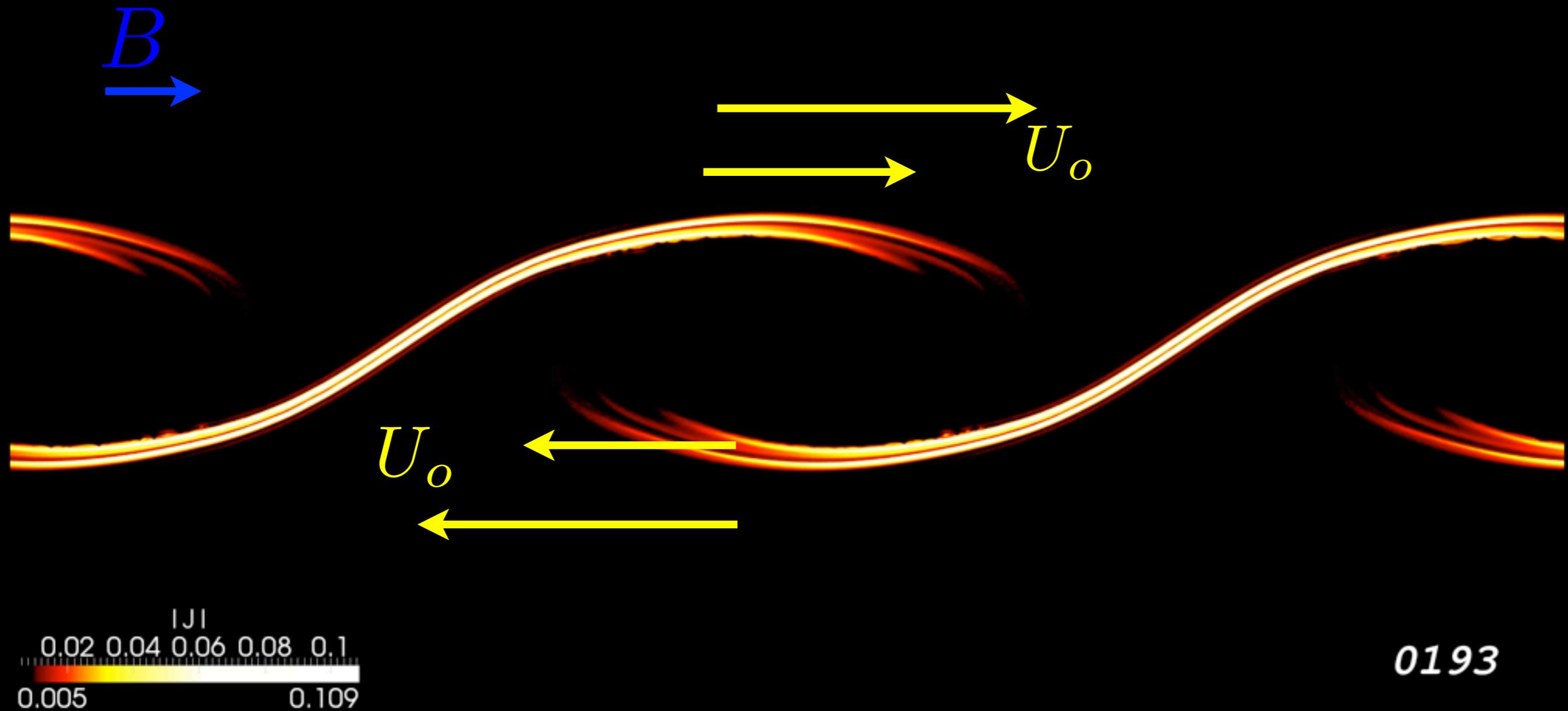


$t\Omega_{ci} = 78$

Sample Problem #2

Flow Shear Turbulence

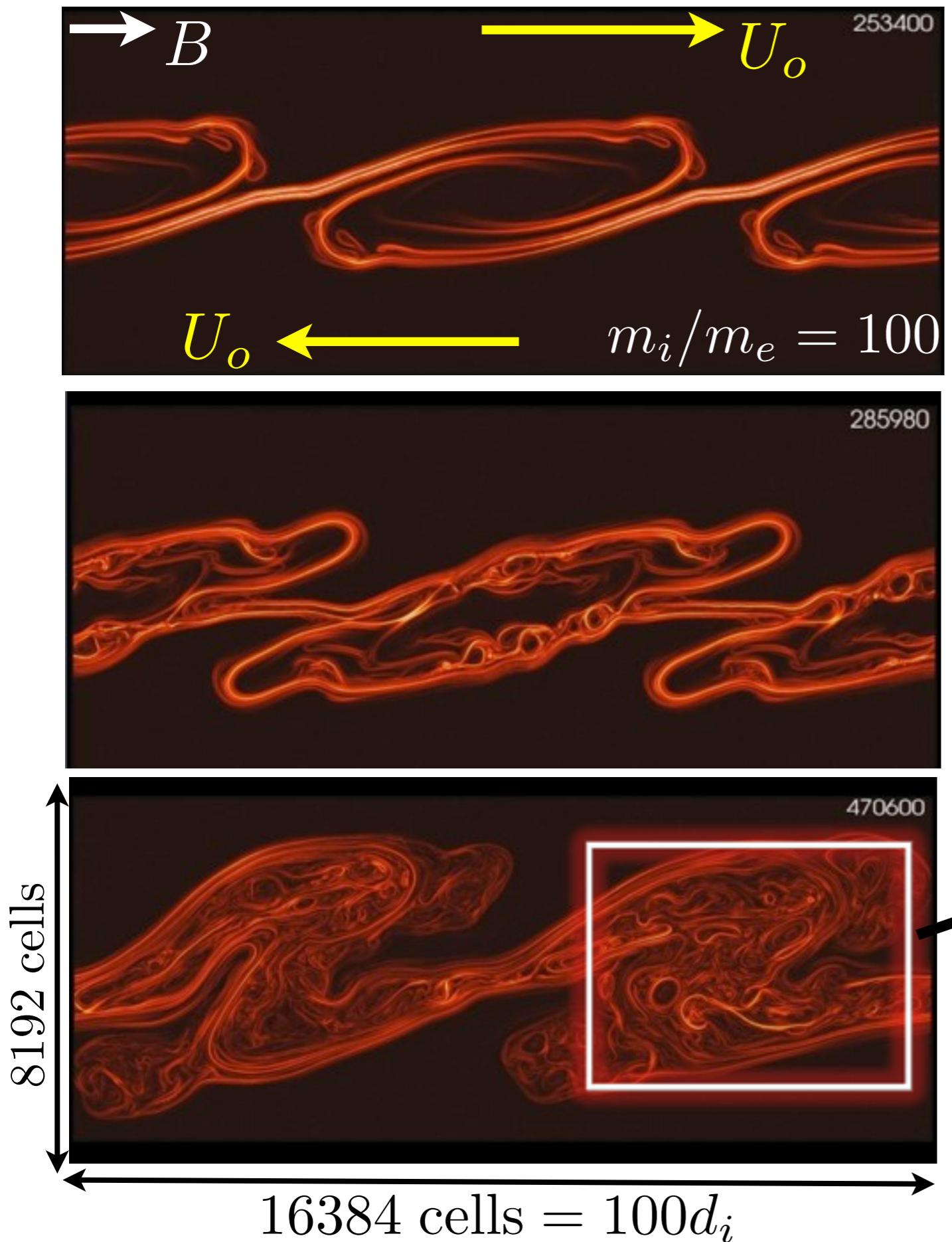
MHD scale vortices generate current sheets, flux ropes, reconnection & turbulence



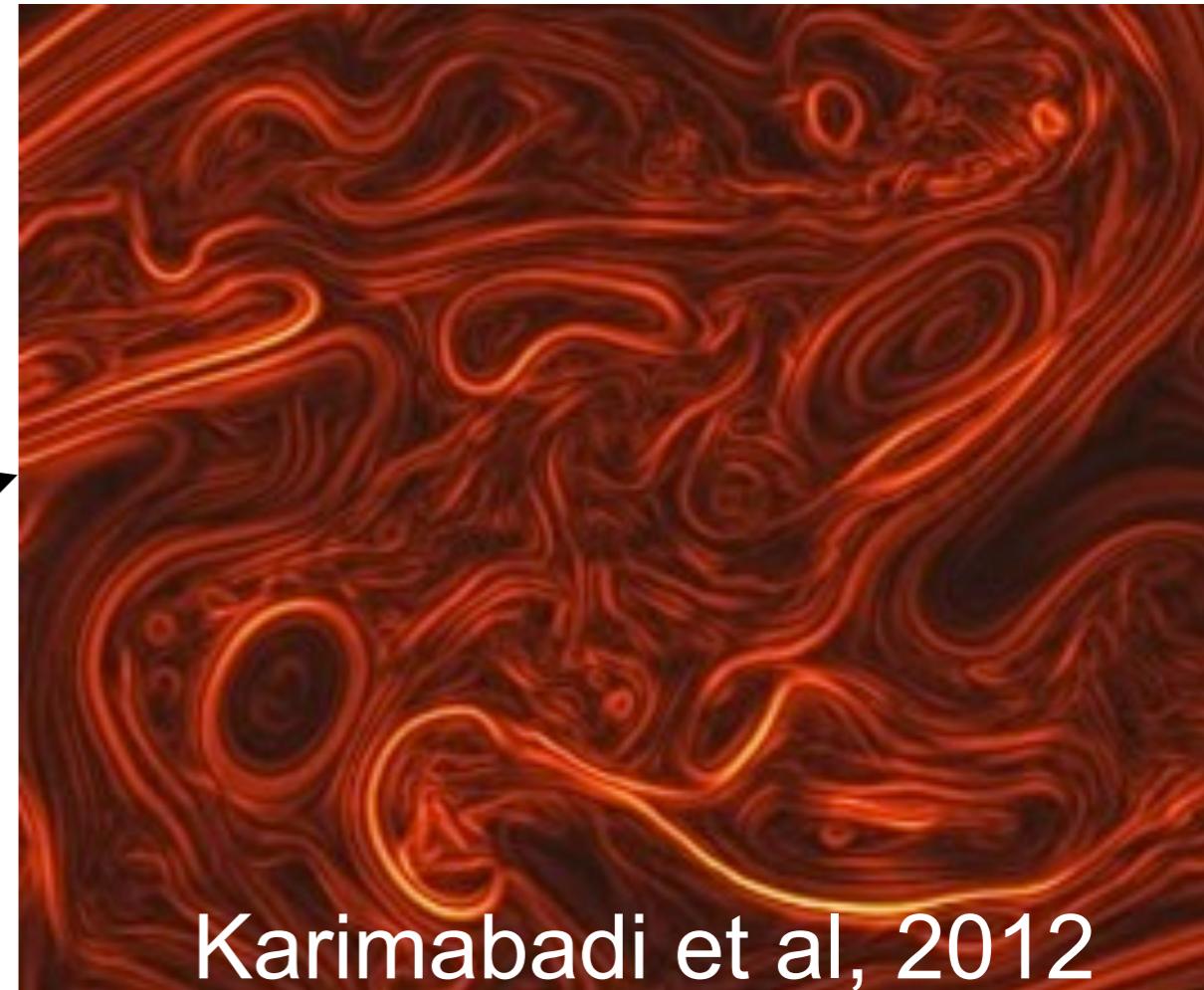
$$U_o > V_A \rightarrow KH$$

$$m_i/m_e = 100$$

KH turbulence drives reconnection



- Vortex scale $\sim 50d_i$
- Kinetic scale layers
- Secondary tearing & KH
- Power law spectra
- Electron heating dominant
- In-plane B is crucial

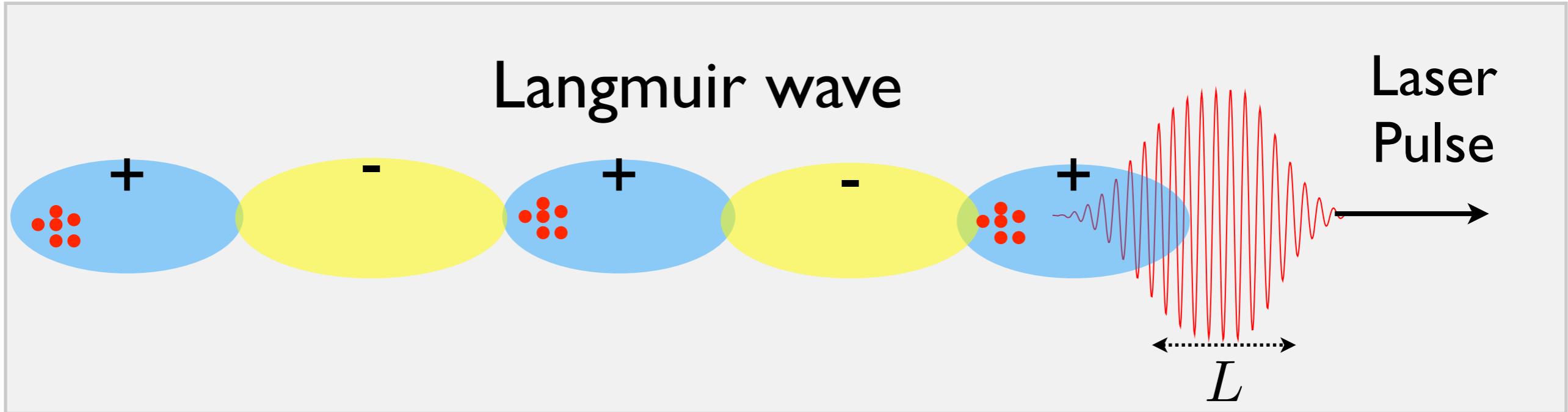


Sample Problem #3

Laser Wakefield

Accelerators

What is Laser Wakefield Acceleration ?



- Plasmas permit huge accelerating fields (0.1 - 10) TV/m
- Old idea - *Tajima & Dawson, 1979* - but rapid progress in recent years from experiments, theory & simulations
- Particularly efficient in the **bubble** or **blowout** regime

Two Requirements:

$$L < \lambda_p = \frac{2\pi c}{\omega_{pe}}$$

$$a_o \gg 1$$

Pukhov & Meyer-Ter-Vehn, 2002
Mangels et al, Nature, 2004
Geddes et al, Nature, 2004
Faure et al, Nature 2004

Example Simulation

Dimensionless
Amplitude

$$a_o = \frac{eA_y}{m_e c^2} = 4$$

Laser
Intensity

$$I = \frac{m_e^2 c^3}{8\pi e^2} (\omega_o a_o)^2$$

For 800nm laser:

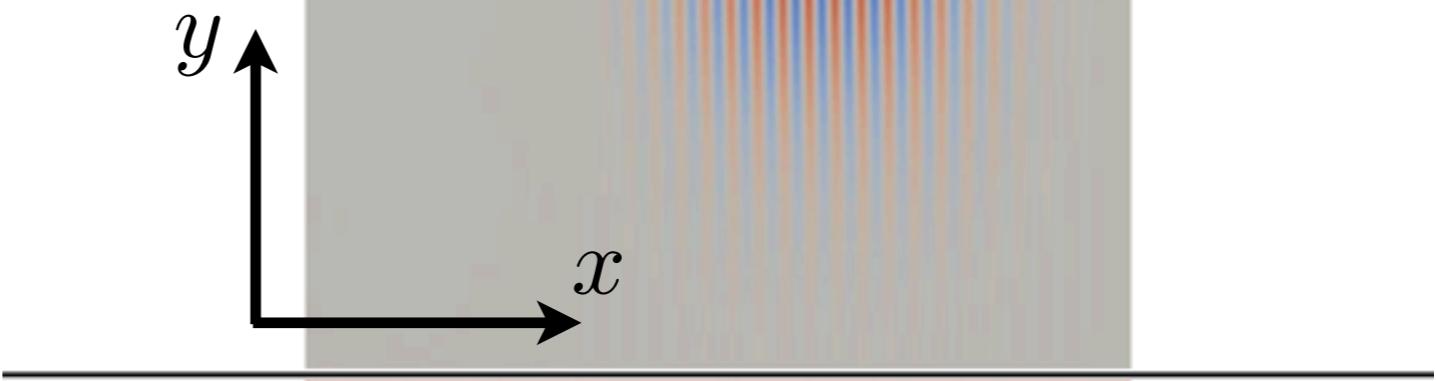
$$I \approx 2.2 \times 10^{18} a_o^2 \text{ W/cm}^2$$

Simulation parameters

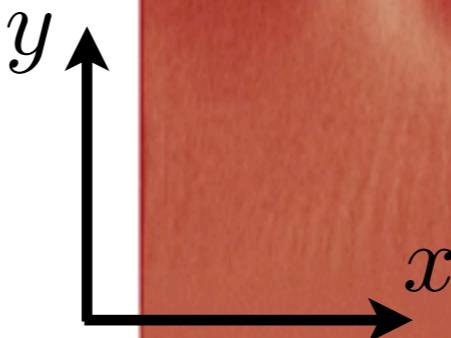
$640 \times 512 \times 512$ cells

8 particles/cell

E_y Laser

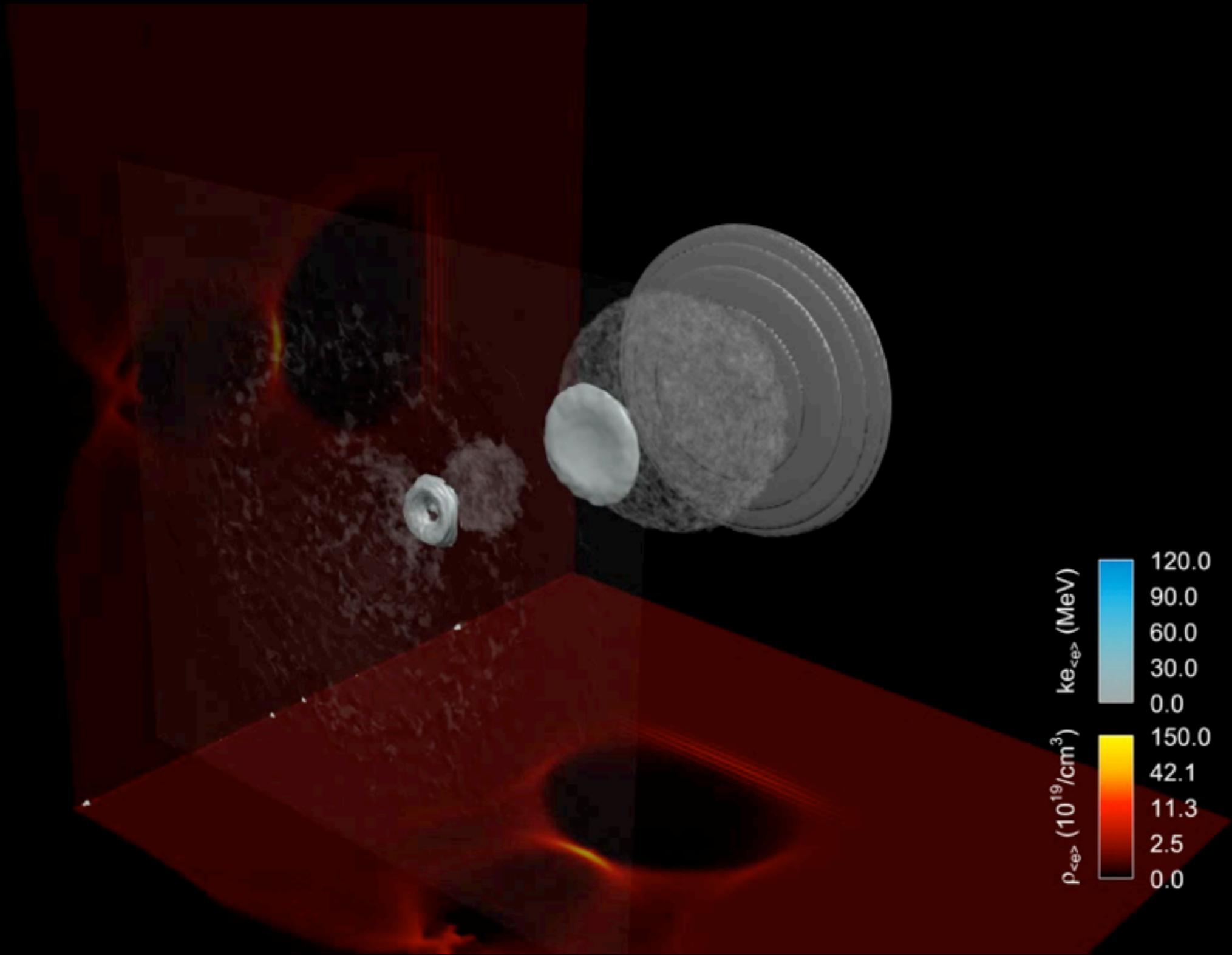


n_e



Wakefield acceleration - in the Blowout Regime

5.4 ps



Sample Problem #4

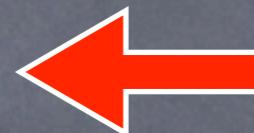
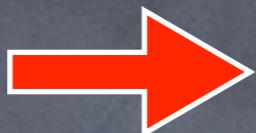
Parametric Instabilities

relevant to NIF

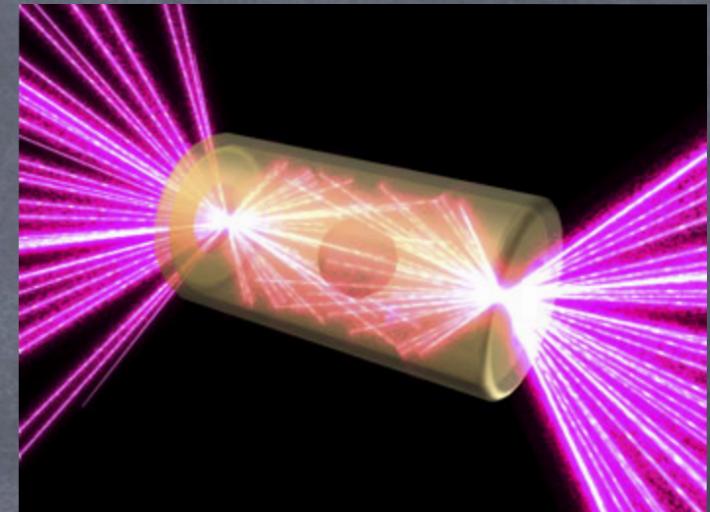
Many recent papers from Lin Yin - XCP-6

What is Inertial Confinement Fusion?

Driver

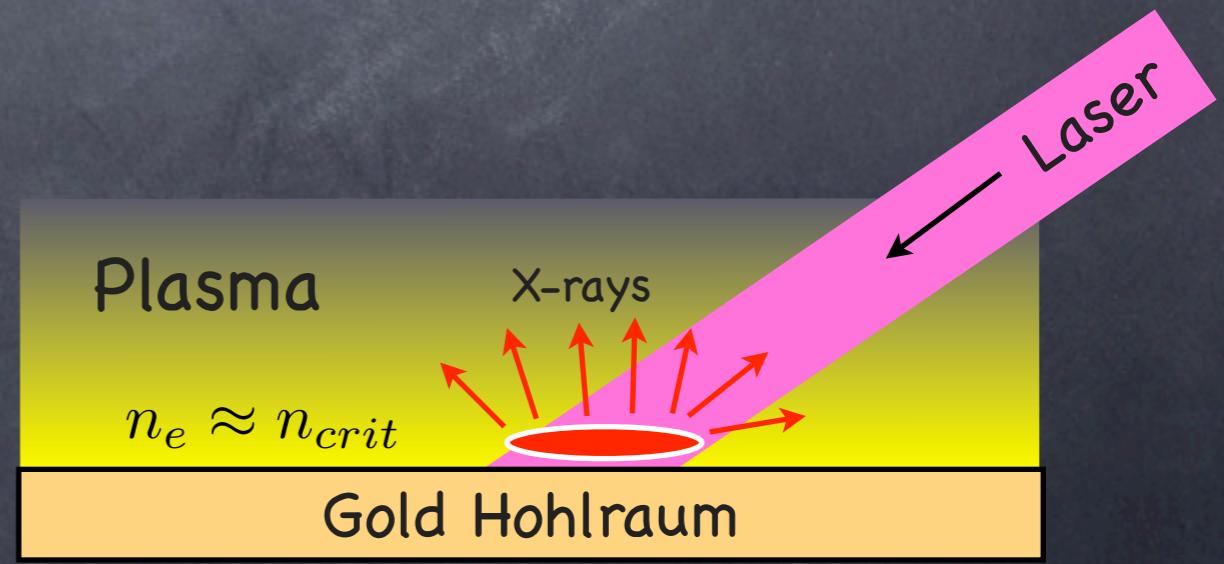
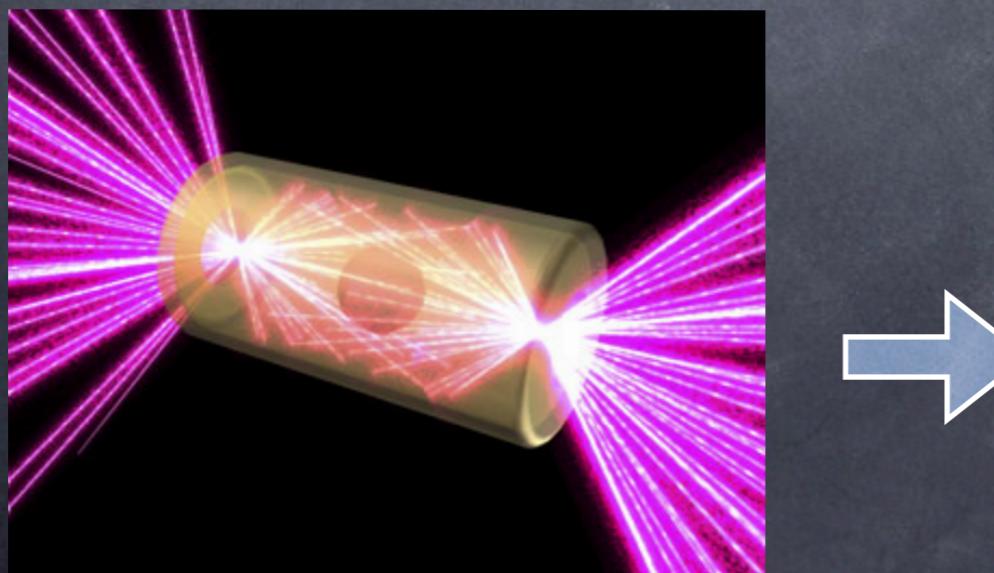


Driver

- Not really “confinement” at all
- Laser or ion beam to implode target
- Direct drive vs Indirect Drive → A 3D rendering of a cylindrical target capsule. Numerous pinkish-red laser beams are shown originating from the sides and front, converging to implode the central yellow fuel pellet.
- Goal is to ignite DT fuel and burn significant fraction before capsule blows apart → **High Gain**
- ICF Ignition Experiments are being constructed in both U.S. and France

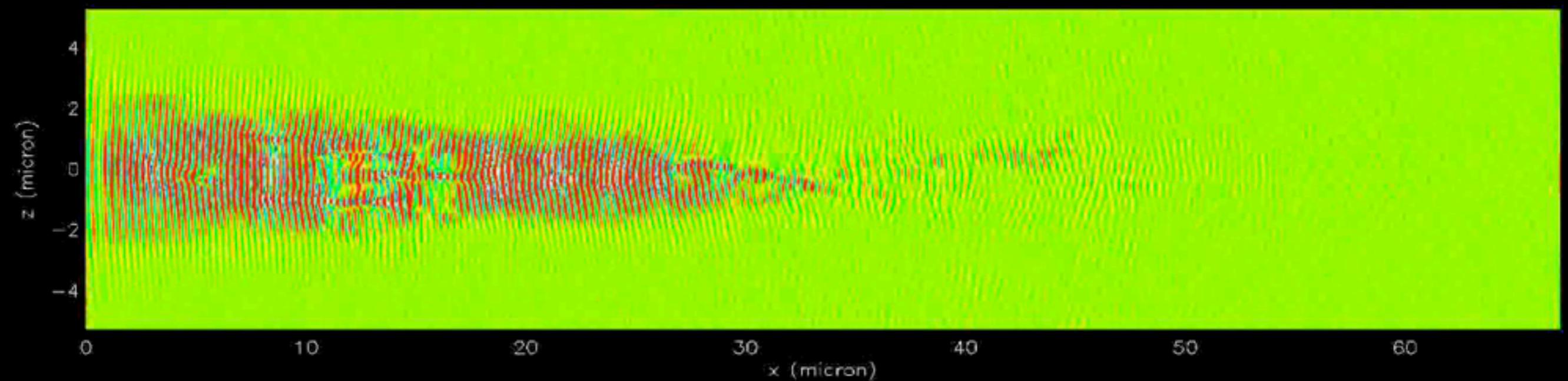
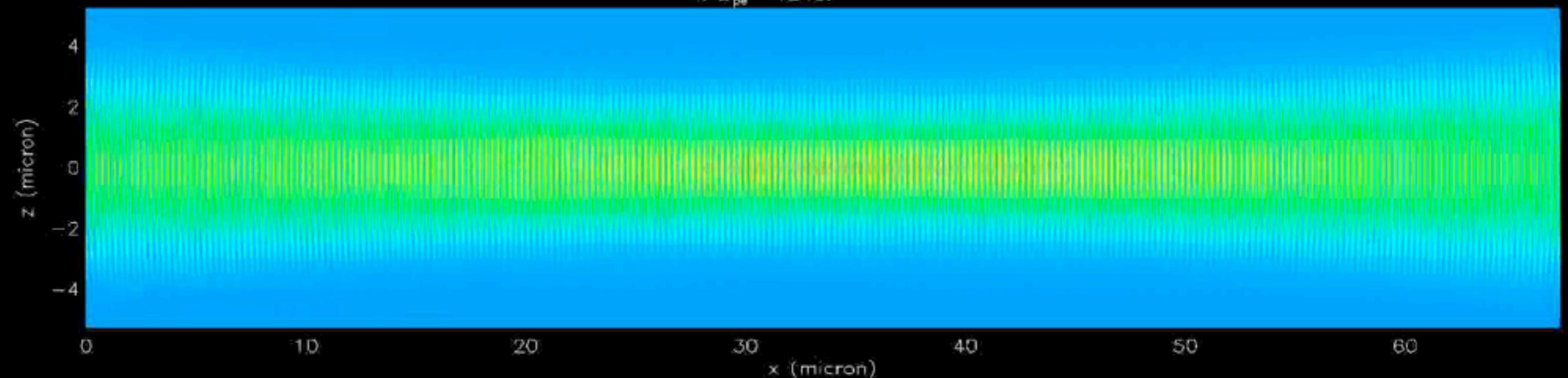
Significant Challenges Remain

- Factor of 40 larger in energy than NOVA
- Can it be built and operated?
- Fabrication of capsules, laser glass, etc.
- Can we deliver the energy where we want and when we want? – shock timing
- **Laser Driven Parametric Instabilities**



Poynting Flux of Laser

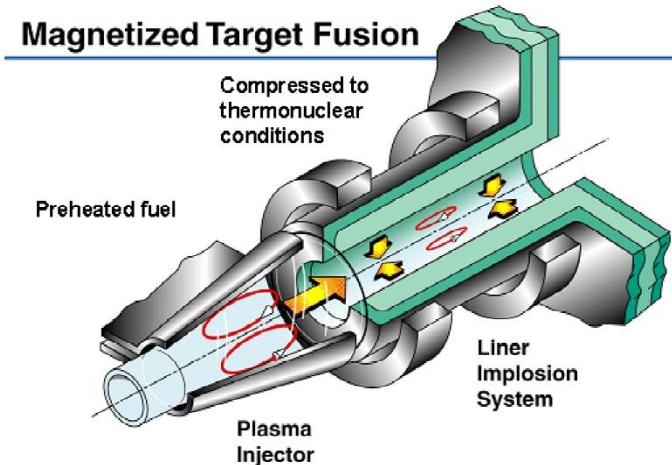
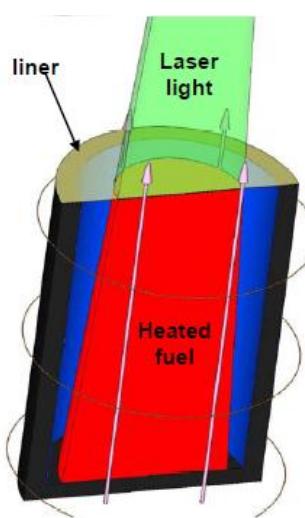
$t * \omega_{pe} = 1240.$



Longitudinal - Electrostatic Field

Summary

- Particle-in-cell simulations are conceptually simple, but very powerful method for simulating kinetic plasmas
- Method scales very well on new petascale computers and this is permitting a much wider range of 2D and 3D studies
- Good reference books on PIC simulations:
 1. Birdsall & Langdon - Plasma Physics vis Computer Simulation
 2. Hockney & Eastwood - Computer Simulation using Particles



Magneto-Inertial Fusion: Basic Principles

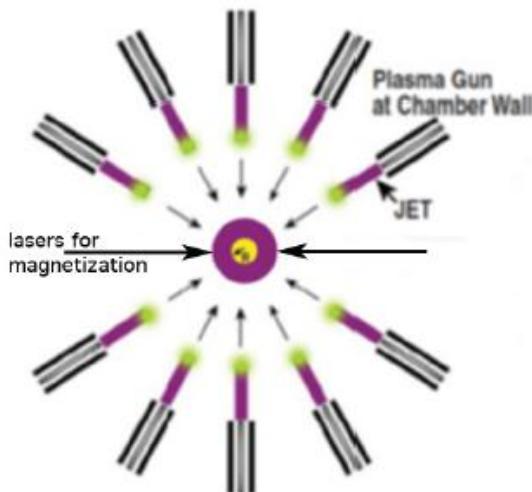
Thomas J. Awe

Sandia National Laboratories

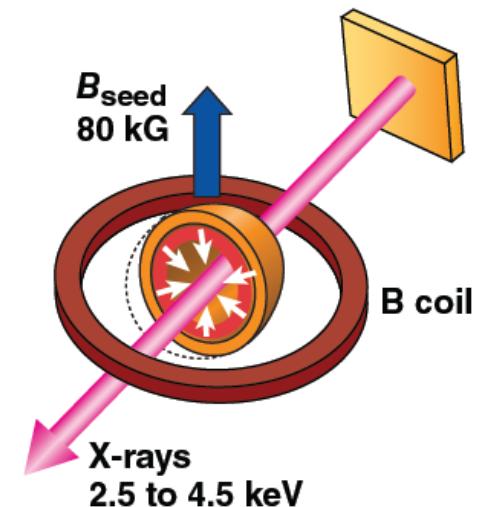
Presented to the LANL-P24 Plasma Physics Summer School

August 8, 2012

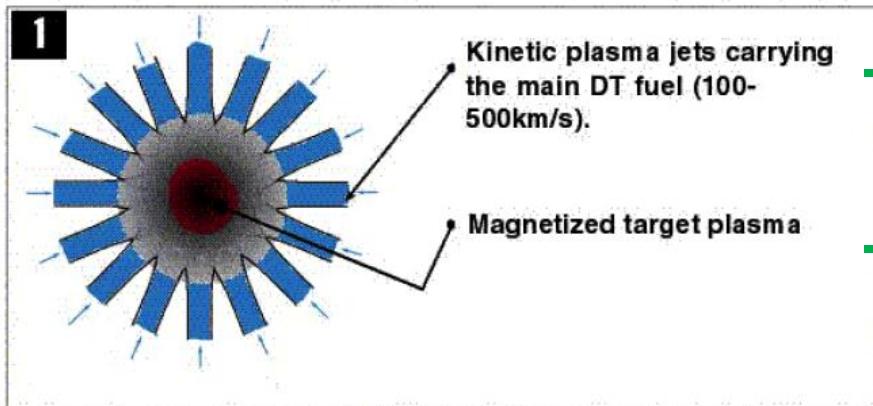
SAND Number: 2012-6567 P



Sandia National Laboratories

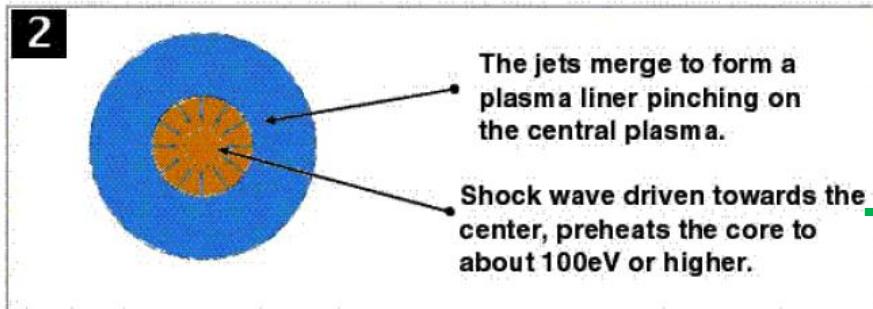


Magneto-inertial fusion: Inertially confined magnetized fuel

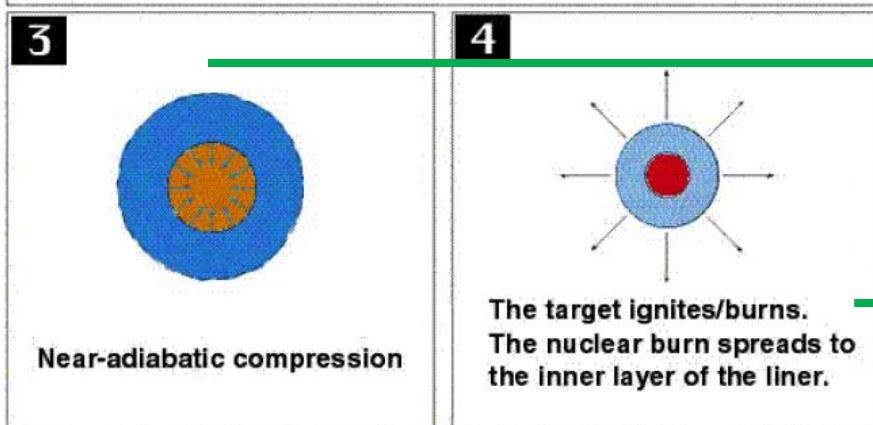


Many “pusher” concepts exist

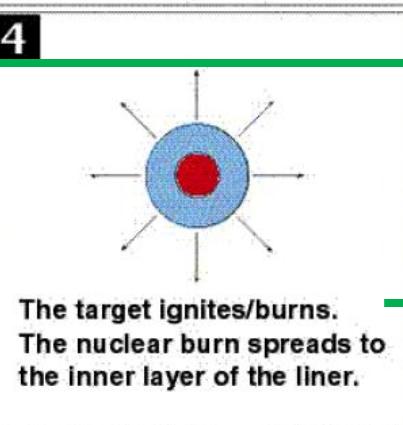
Target is magnetized before compression; wide range of densities, field topologies, etc.



Other systems aim towards isentropic compression; preheat can be delivered to the target in many ways



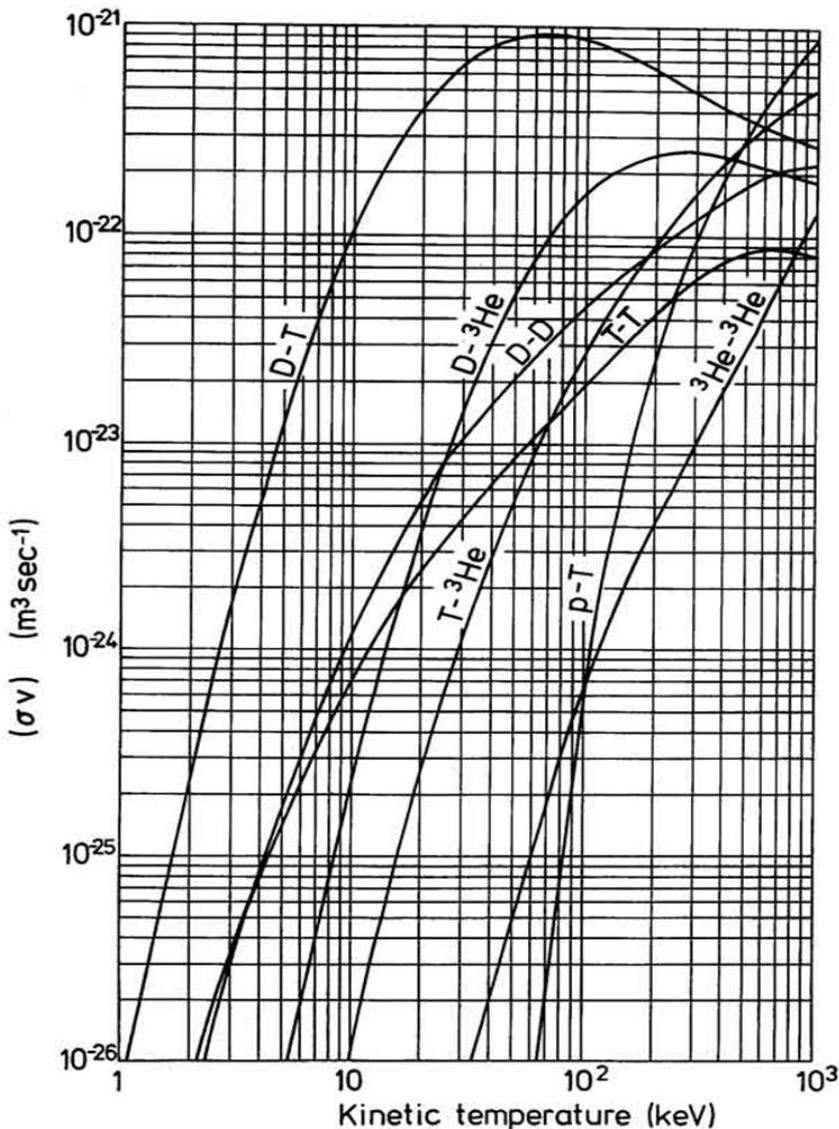
Nearly adiabatic compression possible even for slow implosions due to magneto-thermal insulation



High gain systems can include a cold fuel layer which surrounds the burning fuel

From Y.C.F. Thio et al., Magnetized Target Fusion in a Spheroidal Geometry with Standoff Drivers (1999)

The DT reactivity is 100 times larger than any other reaction for temperatures from 10 to 20 keV



σ is the reaction cross section [m²]

→ Depends on species and temperature

$\langle\sigma v\rangle$ is the averaged reactivity [m³/s]

→ Averaged over velocity distribution

→ Depends on species and temperature

→ Low Z nuclei are favorable due to reduced coulomb repulsion and increased probability of QM tunneling

→ $\langle\sigma v\rangle_{DT} \propto T^2$ for $T \in [8 \text{ to } 25 \text{ keV}]$

Reaction rate [1/ m³s] :

$$R_{DT} = n_D n_T \langle\sigma v\rangle$$

→ Density dependent

Multiply reaction rate by the energy delivered to the fuel per reaction (some fraction of alpha energy) to determine self heating power

Image from: <http://www.kayelaby.npl.co.uk>

The “ideal ignition temperature” is that temperature where internal heating exceeds losses

Internal heating



→ Requires high areal density (ρR)

→ **Inclusion of a magnetic field enables delivery of a larger fraction of the alpha energy to the fuel**

Loss Mechanisms

Radiation loss → Dominated by (free-free) Bremsstrahlung for fully ionized plasma (what is opacity?)

Heat conduction (electron or ion) → To surrounding cool fuel, or cool material pusher

→ Heat conduction losses reduced if stagnation time is kept short

→ Requires high density → high implosion velocity → expensive driver

→ **OR, heat conduction can be suppressed by inclusion of a strong magnetic field**



Sandia National Laboratories

Classical loss from radiation and thermal conduction can be evaluated in a straightforward way

$$Q_{loss} = C_{RAD} n_i^2 T^{1/2} - \nabla \cdot (K \nabla T)$$

Both radiation loss and fusion rates depend on n^2 , thus temperature alone dictates the energy balance. The fusion rate exceeds the radiation rate for $T > 3$ keV

$$Q_{TC} \approx -\frac{1}{V} \int \nabla \cdot (K \nabla T) dV = -\frac{1}{V} \oint_s K \nabla T \cdot d\bar{S} \approx -\frac{S}{V} K \nabla T \approx \frac{KT}{\gamma \alpha a^2} \quad (11)$$

where $V = \epsilon a^3$, $\frac{V}{S} = \gamma a$, $\nabla T \approx -\frac{T}{\alpha a}$, a is a characteristic dimension, V the plasma volume, S the plasma surface area, ϵ and γ are geometric quantities (for example, for spheres, $\epsilon = 4\pi/3$ and $\gamma = 1/3$), and α is a temperature-gradient scale factor that, as described later, depends on the form of K .

From Section III of Lindemuth & Siemon,
Am. J. Phys., Vol 77, No. 5, May 2009

Magnetized fuel: Reduced cross-field thermal conductivity

- Electrons gyrate around field lines: thermal conductivity is reduced perpendicular to field lines
- Hall parameter: $\chi_e = \omega_{ce} \tau_{ei}$ indicates the degree of magneto-thermal insulation
- **Thermal insulation when $\chi_e = \omega_{ce} \tau_{ei} > 1 \rightarrow \tau_{ei} > 1/\omega_{ce} \rightarrow \tau_{ei} > \tau_{ce}$**
 - implies time between collisions exceeds time for full gyration, so electrons stay “frozen” to field line
- Electron thermal conductivity written in terms of the Hall parameter is:

$$K_{ce} = \frac{3.16nkT}{m_e} \tau_e \left[\frac{1 + 0.39\chi_e^2}{1 + 3.9\chi_e^2 + 0.26\chi_e^4} \right]$$

$$\frac{Q}{L} = C_1 T^{7/2} \left[\frac{1 + 0.39C_2^2 T^3 (B/\rho)^2}{1 + 3.9C_2^2 T^3 (B/\rho)^2 + 0.26C_2^4 T^6 (B/\rho)^4} \right] \quad [W/cm]$$

So, for large B/ρ ... $\frac{Q}{L} \propto T^{1/2} \left(\frac{\rho}{B} \right)^2$ And for $B=0$... $\frac{Q}{L} \propto T^{7/2}$

See Section II of Slutz et al., Phys. Plasmas, 17, 056303 (2010)

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From Section III of Lindemuth & Siemon,
Am. J. Phys., Vol 77, No. 5, May 2009

Considering losses in simple geometric terms allows estimates of the required fuel volume for energy gain

$$Q_{TC} \approx \frac{KT}{\gamma\alpha a^2} \quad \longrightarrow \quad a^2 = \frac{KT}{\gamma\alpha} \frac{1}{\phi Q_{DT} - Q_{RAD}} \quad \text{where} \quad Q_{loss} = \frac{dE_{loss}}{dt} = \phi Q_{FUS}$$

Once the minimum size required to operate at or below a specified value of ϕ for a specified density-temperature (and magnetic field) is determined, important physical parameters such as the minimum fuel mass M and the minimum plasma thermal energy E_{PLAS} can be determined:

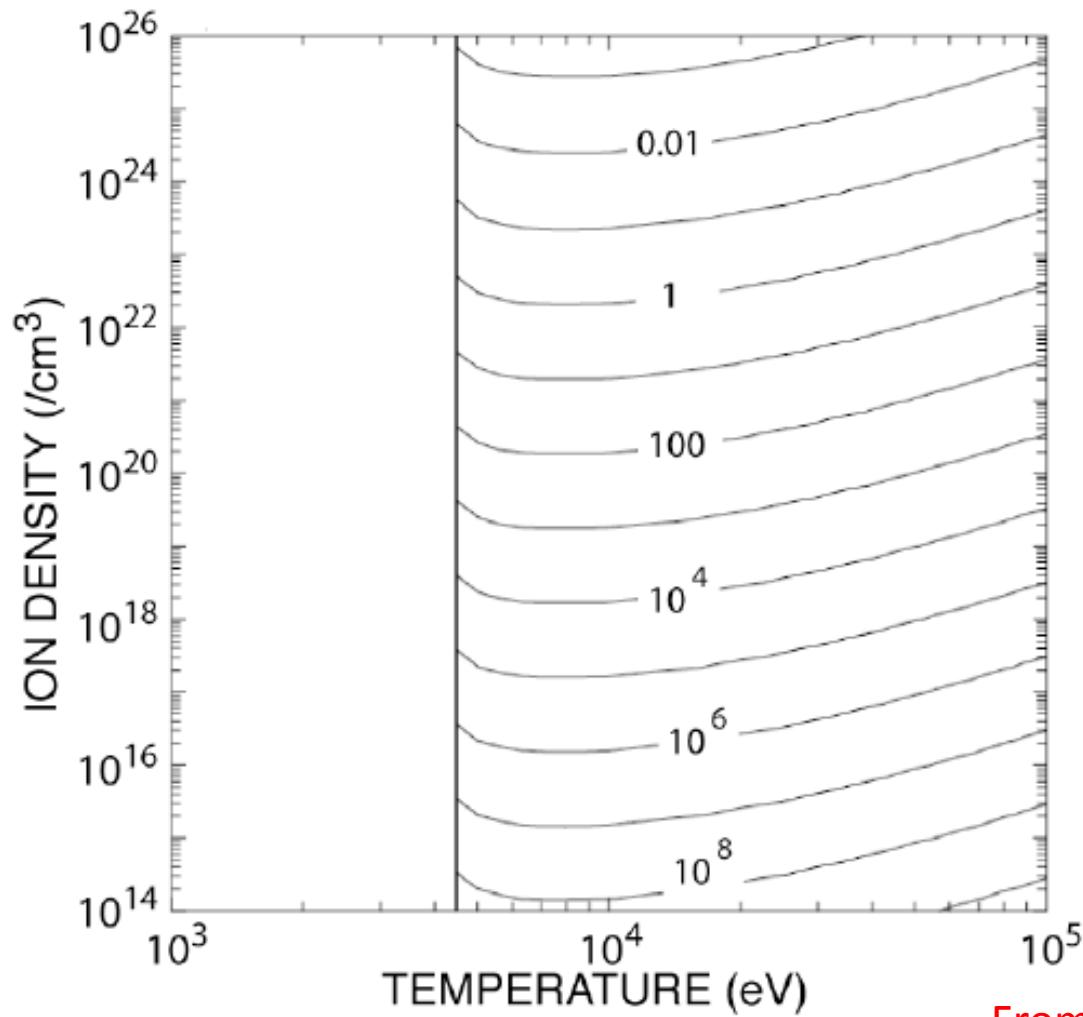
$$M = n_i(m_i + m_e)\epsilon a^3, \quad E_{PLAS} = 3n_i T \epsilon a^3. \quad (13)$$

The minimum required heating rate to sustain the plasma, and the corresponding heating intensity, can also be determined:

$$P_{HEAT} = Q_{TC} + Q_{RAD}, \quad I_{HEAT} = \frac{P_{HEAT}}{S}. \quad (14)$$

From Section IV of Lindemuth & Siemon,
Am. J. Phys., Vol 77, No. 5, May 2009

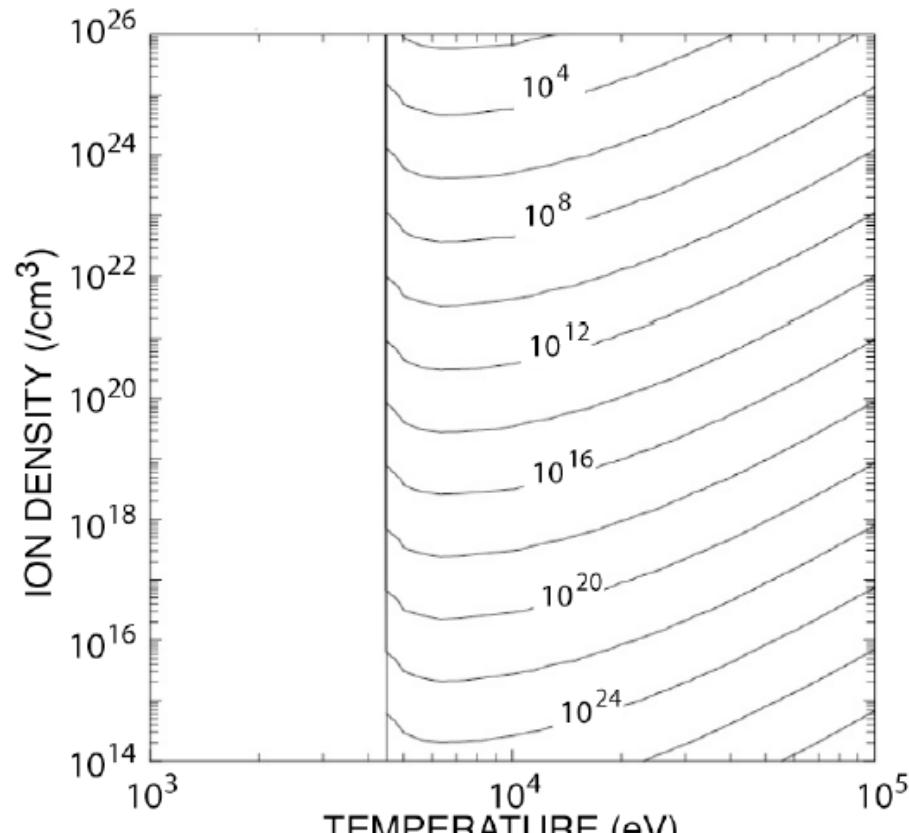
The minimum system size is excessively large unless the density is very large



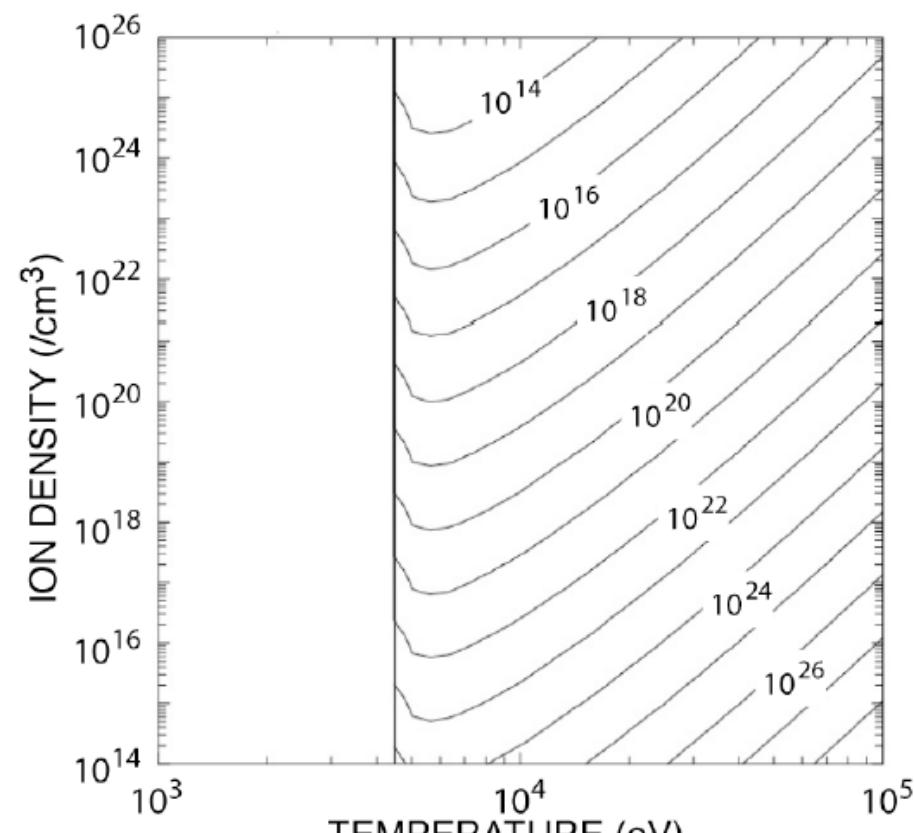
The minimum system size (cm) for unmagnetized fuel (spherical geometry) operating at $\phi=0.2$.

From Section V of Lindemuth & Siemon,
Am. J. Phys., Vol 77, No. 5, May 2009

Extreme density is also required to avoid impossibly high minimum energy and power



(a)



(b)

Figure 2. (a) The minimum energy (J), and (b) the minimum power (W) for unmagnetized fuel (spherical geometry) operating at $\phi \leq 0.2$.

From Section V of Lindemuth & Siemon,
Am. J. Phys., Vol 77, No. 5, May 2009

For un-magnetized fuels, only extreme fuel configurations are interesting for energy production

High areal density, $\rho R \sim 3 \text{ g/cm}^2$ is required so that:

- Alphas deposit their energy in the fuel (self heating)
- The fractional burn up is high

See Atzeni & Meyer-Ter-Vehn, Ch2

BUT...

The fuel mass must be kept rather small (<10 mg) since, for obvious practical reasons, the energy released per fusion event must be kept to a few GJ

AND...

$$\text{Mass, } M = \rho V = \rho (4/3)\pi R^3 = (4/3)\pi (\rho R)^3 / \rho^2$$

SO...

For fixed/minimum ρR (for reasons above), to accommodate the small mass requirement, ρ must be extremely large:

$$\rho^2 = (4/3)\pi (\rho R)^3 / M \rightarrow \rho \sim 336 \text{ g/cm}^3$$

This is ~1500 times the density of cryogenic DT!!!



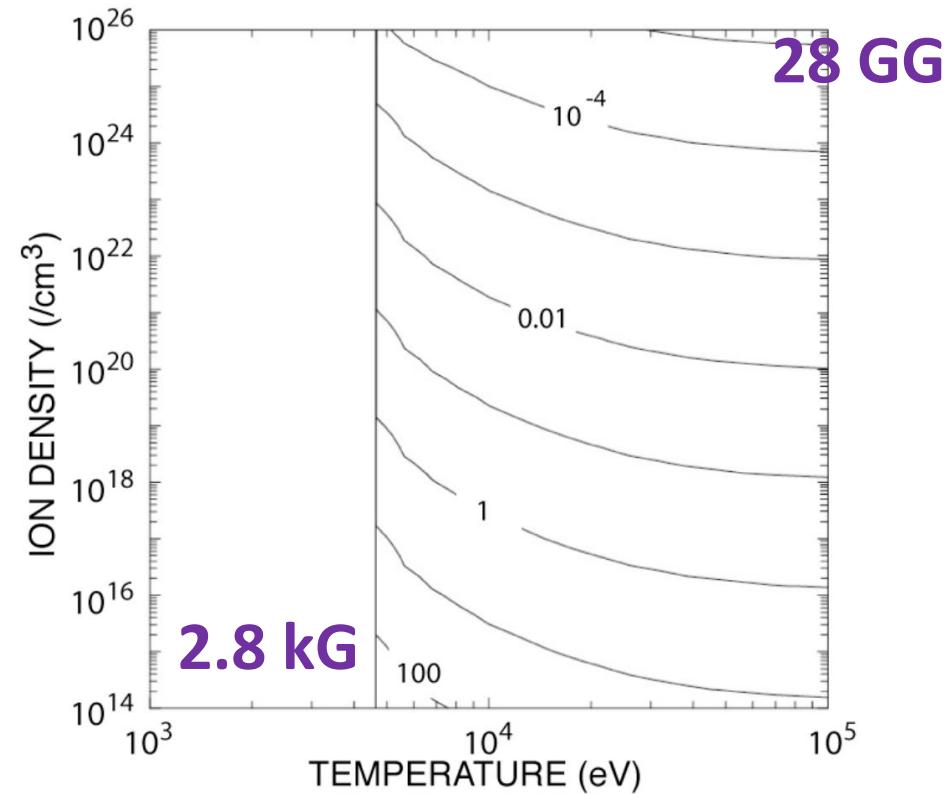
Inclusion of a strong magnetic field reduces the system size, energy and power requirements

Cross field thermal conductivity is reduced by a factor proportional to B^{-2}

In the following plots, β (ratio of plasma pressure to magnetic pressure) is held equal to 1, so the field strength changes as a function of n, T as:

$$B = 2.8 \times 10^{-4} (n_i T_{keV} / \beta)^{1/2}$$

The minimum system size (cm) for **magnetized** fuel (spherical geometry) operating at $\phi < 0.2$.



From Section VI of Lindemuth & Siemon,
Am. J. Phys., Vol 77, No. 5, May 2009



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Inclusion of a strong magnetic field reduces the system size, energy and power requirements

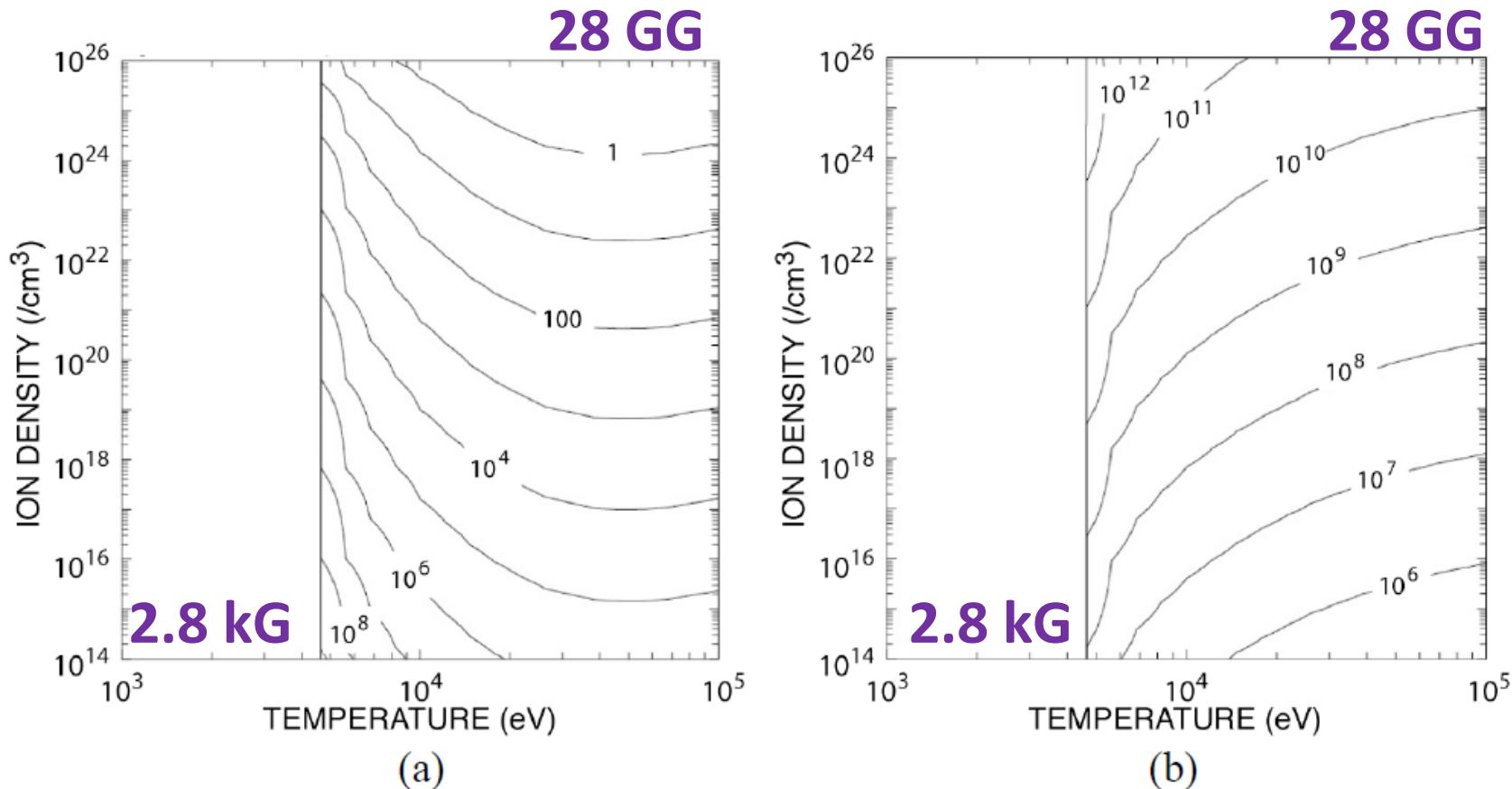


Figure 4. (a) The minimum energy (J), and (b) the minimum power (W) for magnetized fuel (toroidal geometry, $\beta = 1$) operating at $\phi \leq 0.2$.

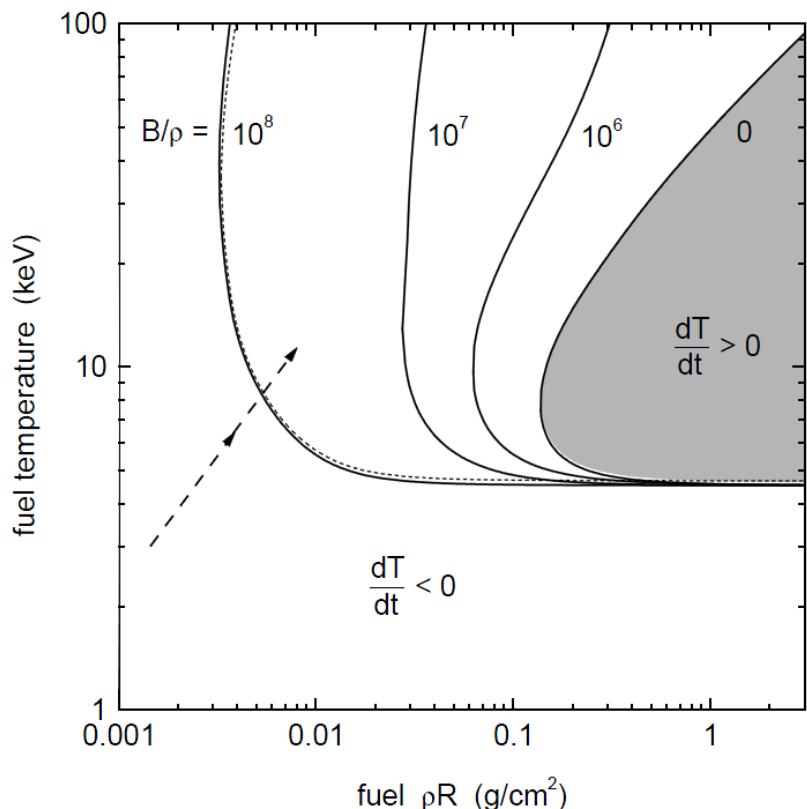
From Section VI of Lindemuth & Siemon,
Am. J. Phys., Vol 77, No. 5, May 2009

Introduction of a strong magnetic field into the fuel opens up the ignition parameter space

Ignition conditions for magnetized target fusion in cylindrical geometry

M.M. Basko^{a*}, A.J. Kemp^b, J. Meyer-ter-Vehn^b

Nuclear Fusion, Vol. 40, No. 1 (2000)



Recall, for large B/ρ :

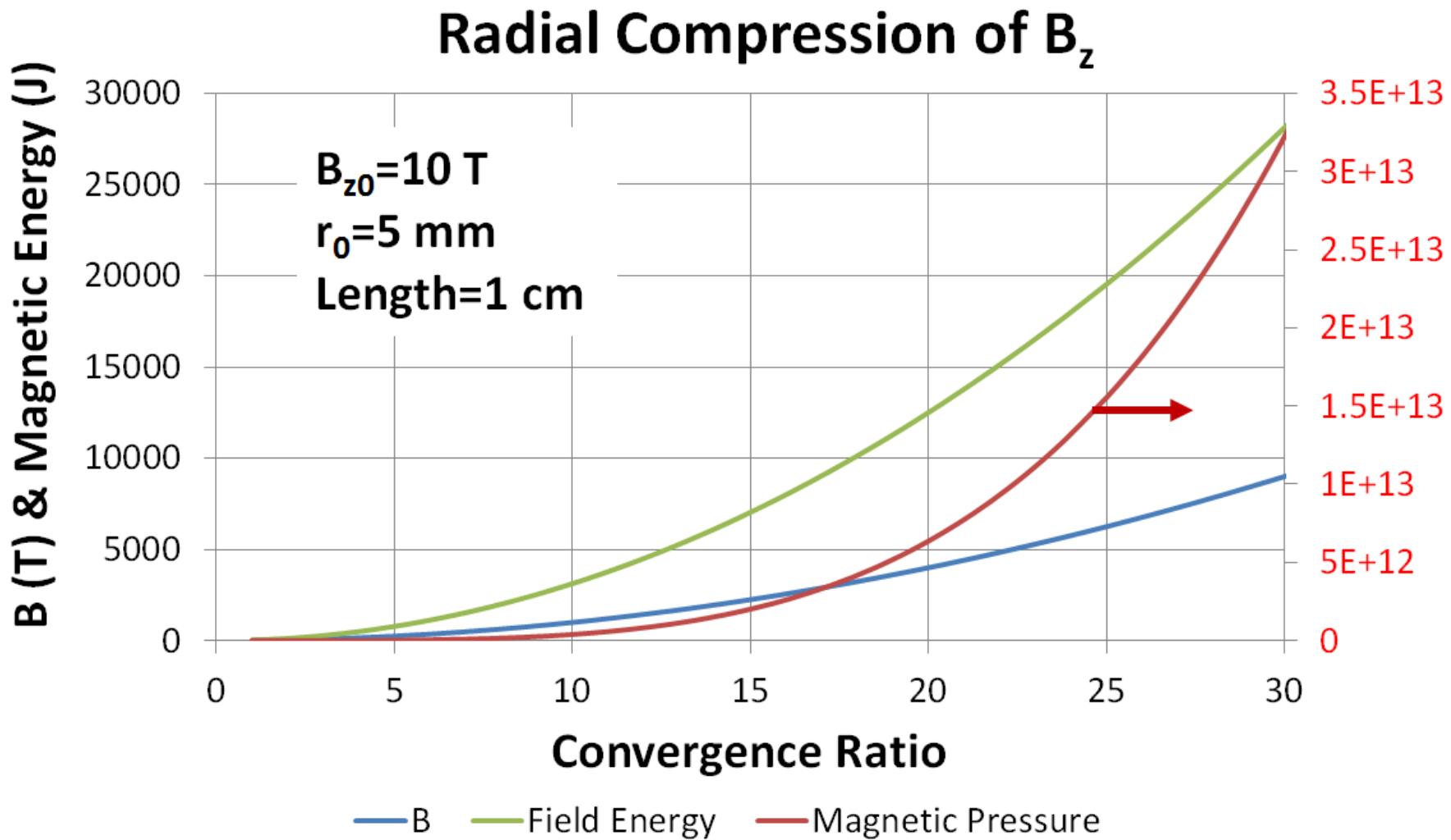
$$\frac{Q}{L} \propto T^{1/2} \left(\frac{\rho}{B} \right)^2$$

Figure 3. Lindl-Widner diagram for magnetized DT cylinders at stagnation. Solid curves show a series of ignition boundaries in the $\rho R, T$ plane calculated for four fixed values of the parameter B/ρ , given near each curve in units of G cm³/g. The shaded area is the pure ICF ignition domain at $B = 0$. The dotted curve illustrates the effect of synchrotron radiation losses at $\rho = 1$ g/cm³, $B = 10^8$ G. Dashed arrows indicate how the fuel states advance towards the ignition boundary in the process of a quasi-adiabatic implosion.



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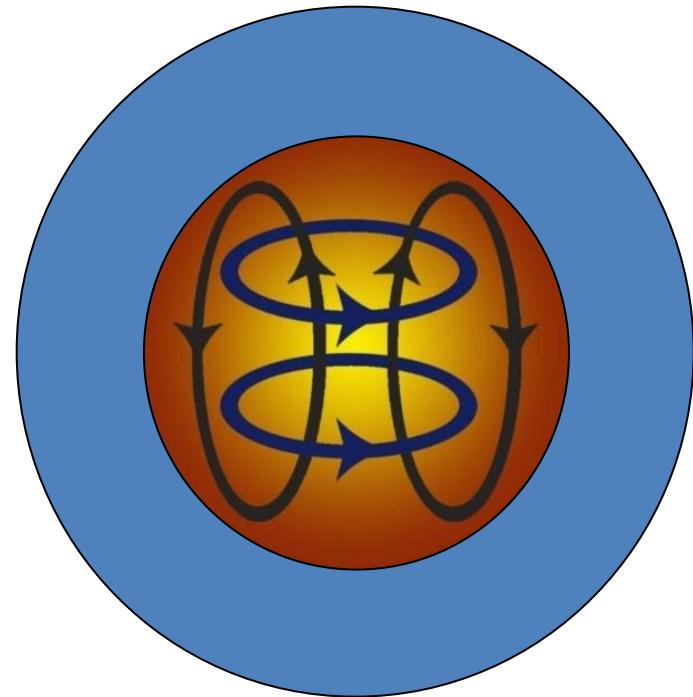
Embedded magnetic fields can be compressed to very high values with little effect on implosion dynamics



***Magnetic pressure for an $I=25 \text{ MA}$ driver
on a 300 micron radius liner is 10^{14} Pa

The evolution of the liner inner surface is a major unknown physics issue for MIF

- **How & when does liner inner surface change state?**
- **How well does liner confine magnetic flux?**
- **How big does RT grow during liner deceleration?**
- **How much high-Z mixing with DT fuel?**
- **How accurate is numerical modeling?**



A variety of magneto-inertial fusion efforts leverage the apparent advantages of magnetized fuel

MIFEDS → laser (direct drive) ICF with magnetized target

PLX → Plasma jets form spherical liner to compress magnetized target

FRCHX → FRC formed in theta coils—translated into and compressed by large imploding metallic liner

MagLIF → Fast (100 ns) metallic liner compresses a preheated and dense fuel with an axial magnetic field

Other MIF related concepts:

MAGO

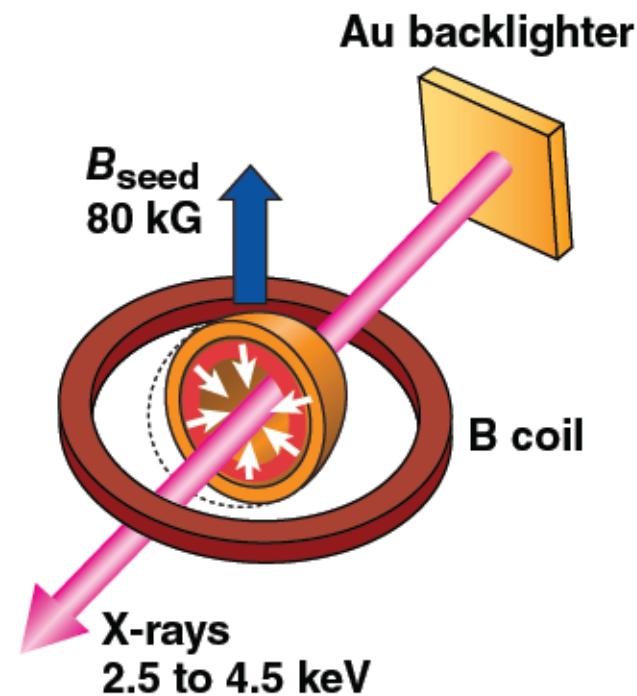
LINUS

General Fusion

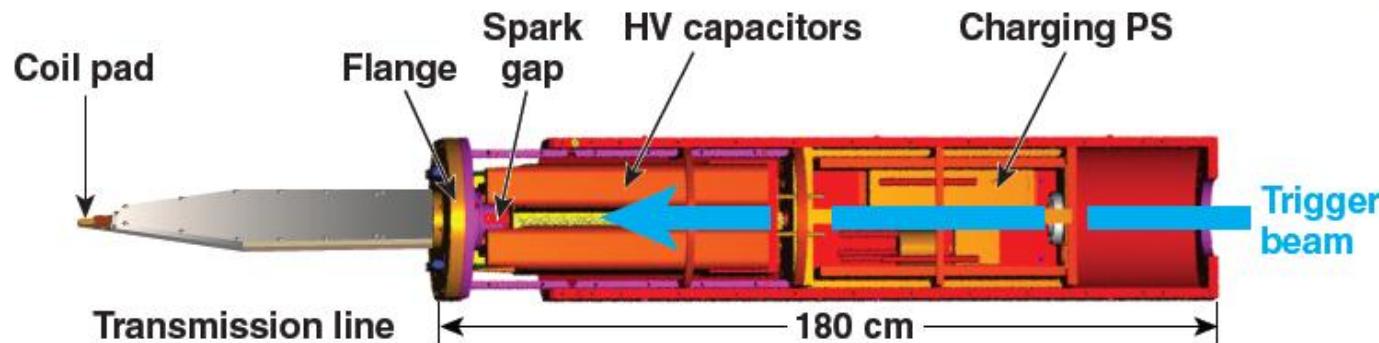
Single-coil B field was used for fusion-enhancement measurements in spherical geometry



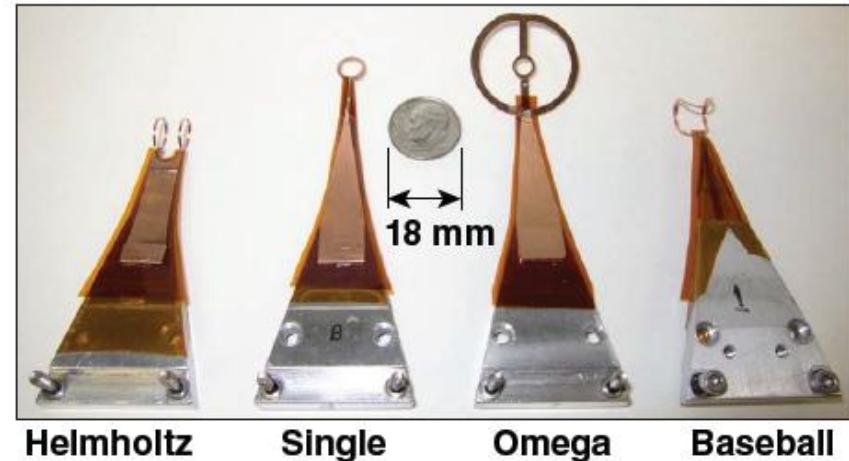
- Single-coil provides stronger seed fields (80 kG), less interference with laser beam
- 40 beams (18 kJ/1 ns) were used for compression
- Implosion uniformity is diagnosed using x-ray BL radiography
- nTOF diagnostic was used for T_i and neutron-yield measurements



The seed B field is created by a compact, self-contained magnetic field generator

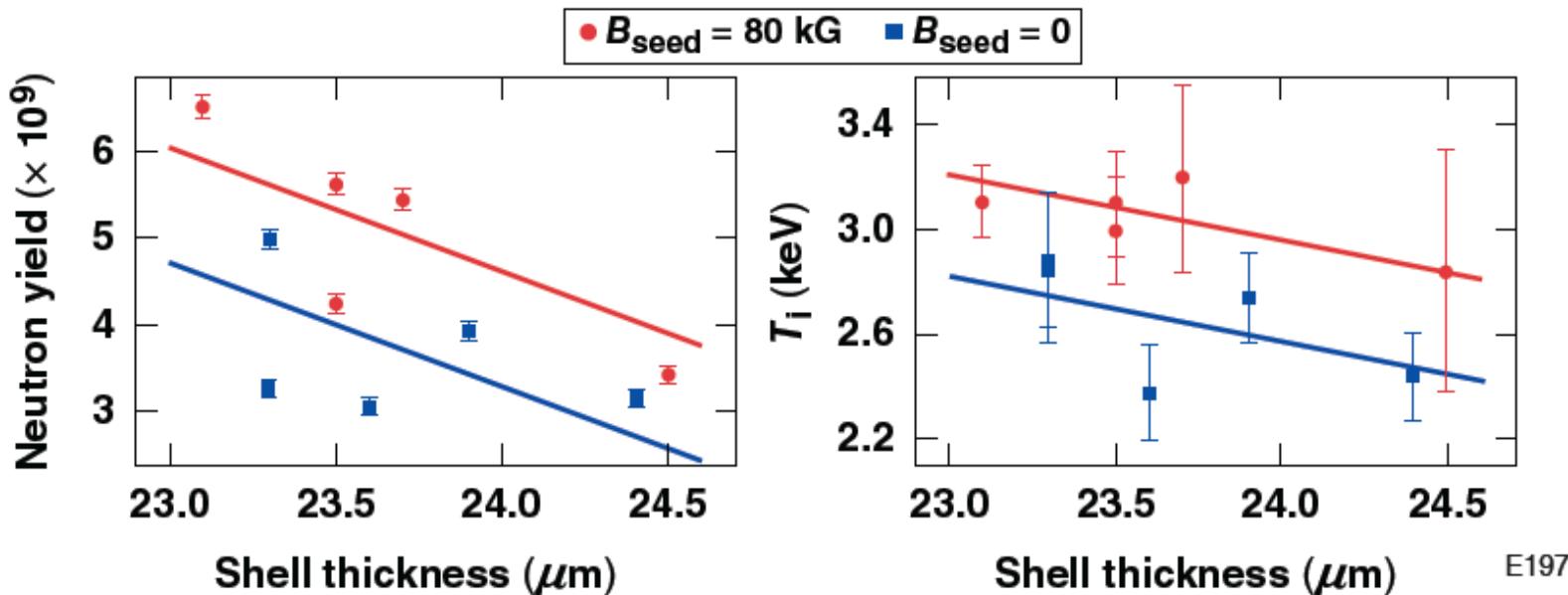


- MIFEDS—Magnetized Inertial Fusion Energy Delivery System
- Various coils were tested
- Seed fields up to 150 kG can be obtained (depends on the coil size and geometry)



O. V. Gotchev et al., Rev. Sci. Instrum. 80, 043504 (2009).

We observe a $(30 \pm 12)\%$ neutron-yield enhancement and $(15 \pm 4)\%$ ion-temperature increase for magnetized targets

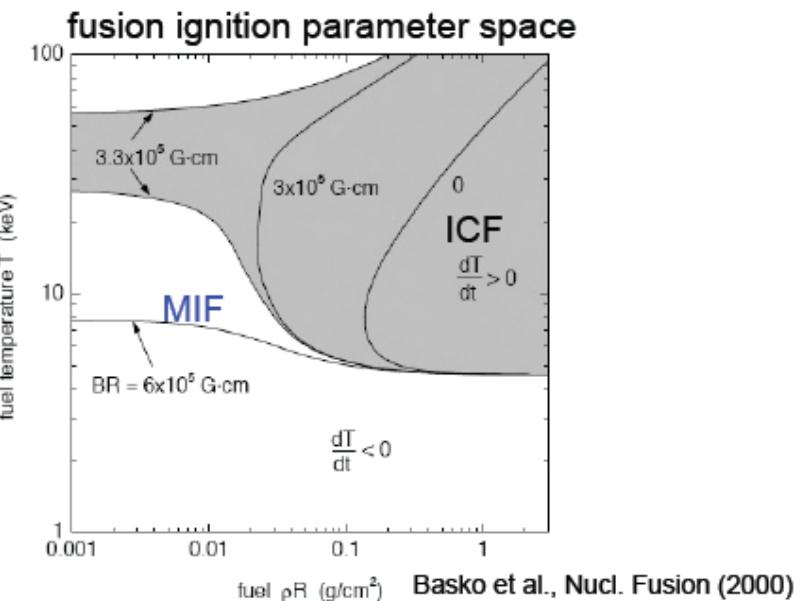
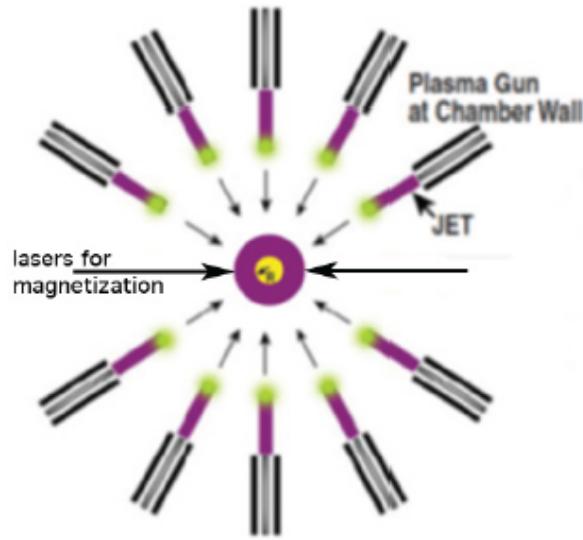


E19785

- Diagnostic—nTOF (neutron time-of flight)
- Fusion performance scales with shell thickness

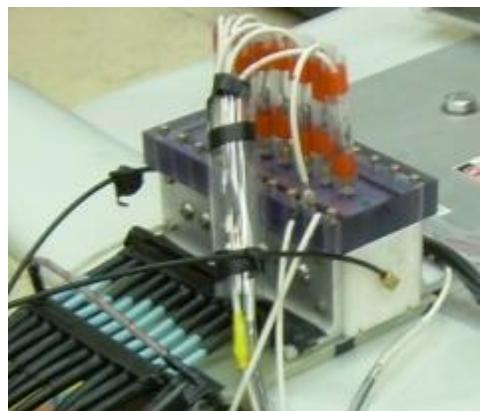
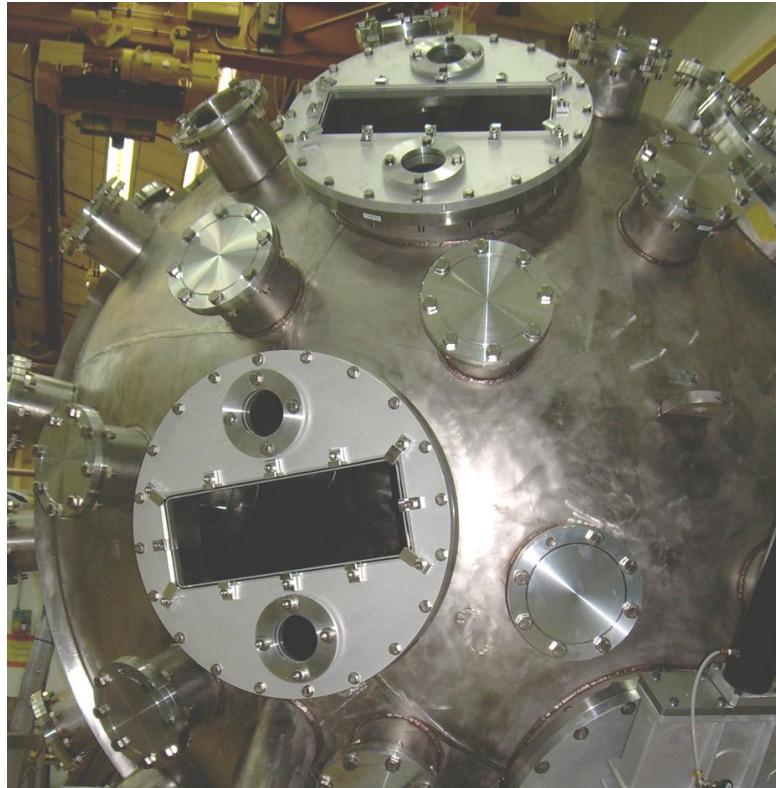
Linear regression fit reveals clear enhancement of magnetized hot-spot performance.

Motivation: Convergent plasma jets could be an attractive approach to inertial fusion energy (IFE) using magnetic fields



- Composite DT (yellow) and high-Z (purple) jets are imploded to $\rho r_{DT} \sim 0.01 \text{ g/cm}^2$
- DT is magnetized via lasers just before peak compression
- Implosion speed $\sim 100 \text{ km/s}$
- Dwell time $\sim 1 \mu\text{s}$
- Batch burn with $\sim 10\%$ fuel burn-up
- Peak pressures $\sim 50 \text{ Mbar}$

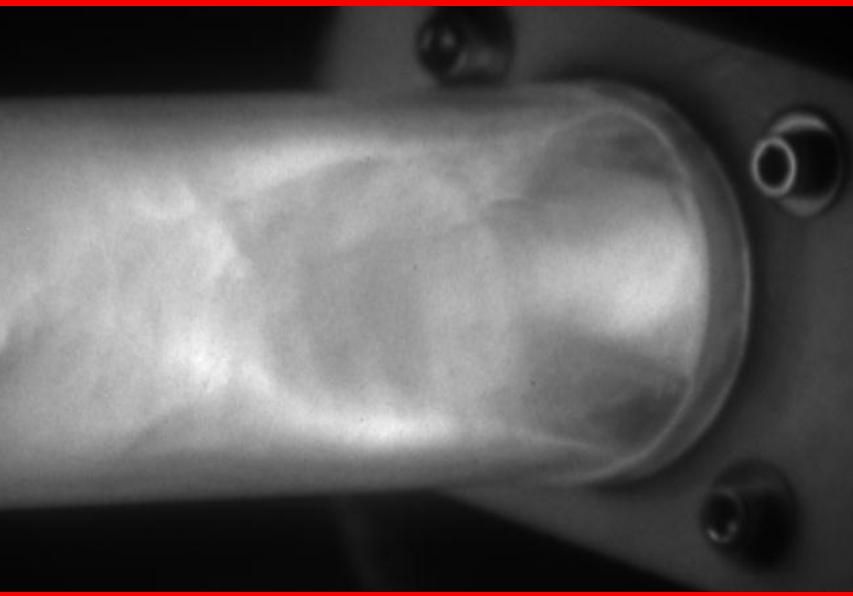
First plasma 9/13/11: Single-jet experiments are underway



Complex structure exists as the jet exits the gun bore and propagates down the nozzle

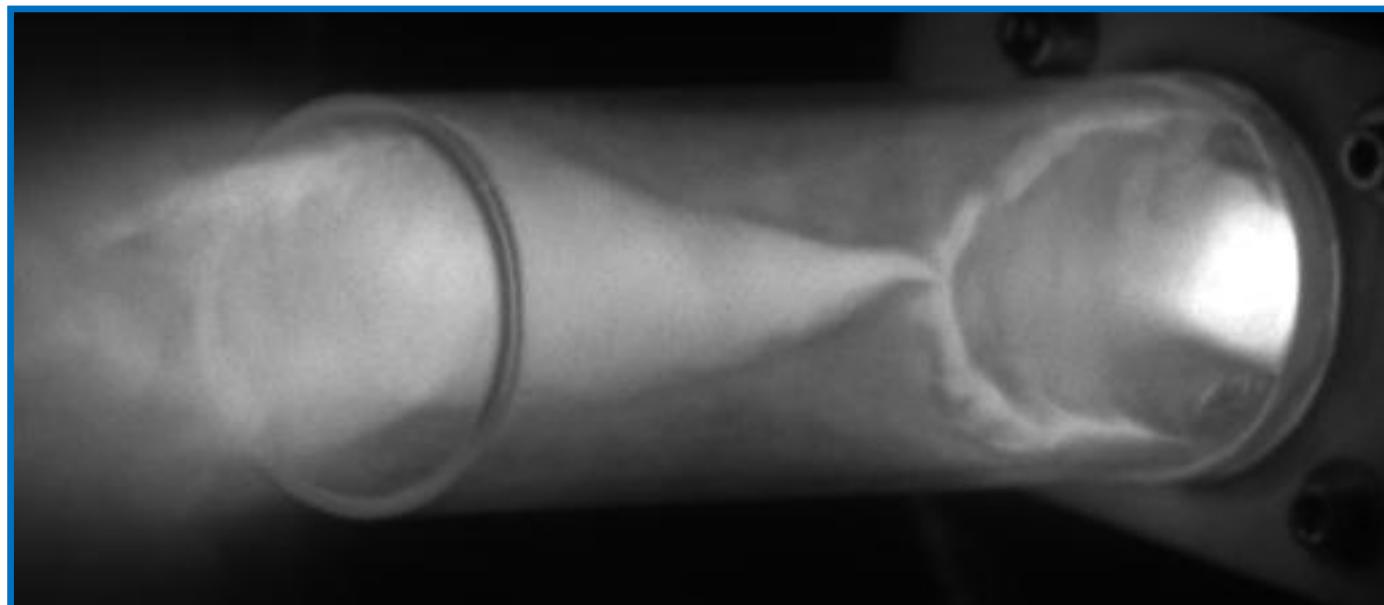
Shot 76

- $\Delta t_{\text{switch}} = 28.6 \mu\text{s}$
- 3 ns exposure

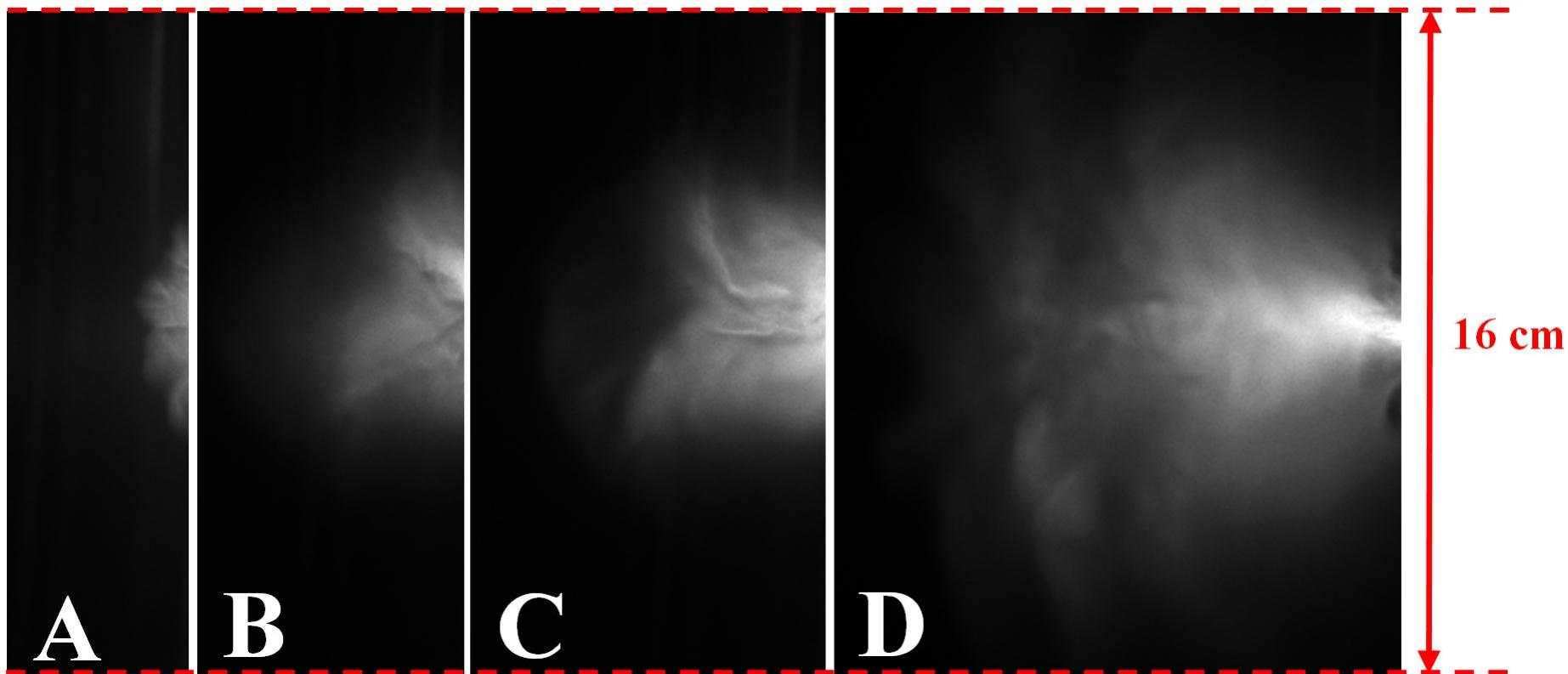


Shot 72

- $\Delta t_{\text{switch}} = 38.7 \mu\text{s}$
- 3 ns exposure

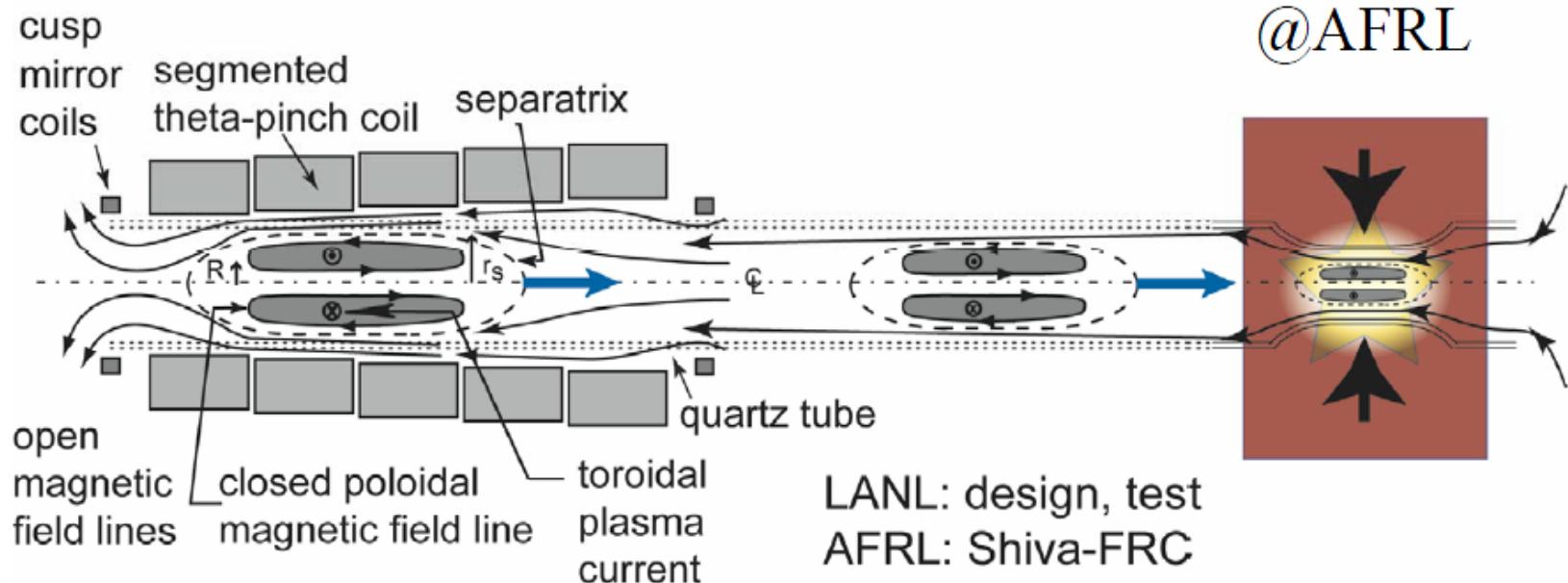


Fine structure in plasma jet persists long after leaving the nozzle → Can MHD explain complex plasma dynamics?



- A:** Shot 65 (shutter A), 29 μ s after switch closure, $v_{jet} \sim 11$ km/s
- B:** Shot 57 (shutter A), 29 μ s after switch closure, $v_{jet} \sim 19$ km/s
- C:** Shot 59 (shutter A), 28.7 μ s after switch closure, $v_{jet} \sim 21$ km/s
- D:** Shot 65 (shutter A), 39 μ s after switch closure, $v_{jet} \sim 11$ km/s

Formation: LANL Translation Compression



- Pulsed, high pressure approach to fusion
- Inertial + magnetic confinement
- Multi-keV fusion grade plasma

LANL's FRX-L Field Reversed Configuration (FRC) for target plasma development

Project/Concept

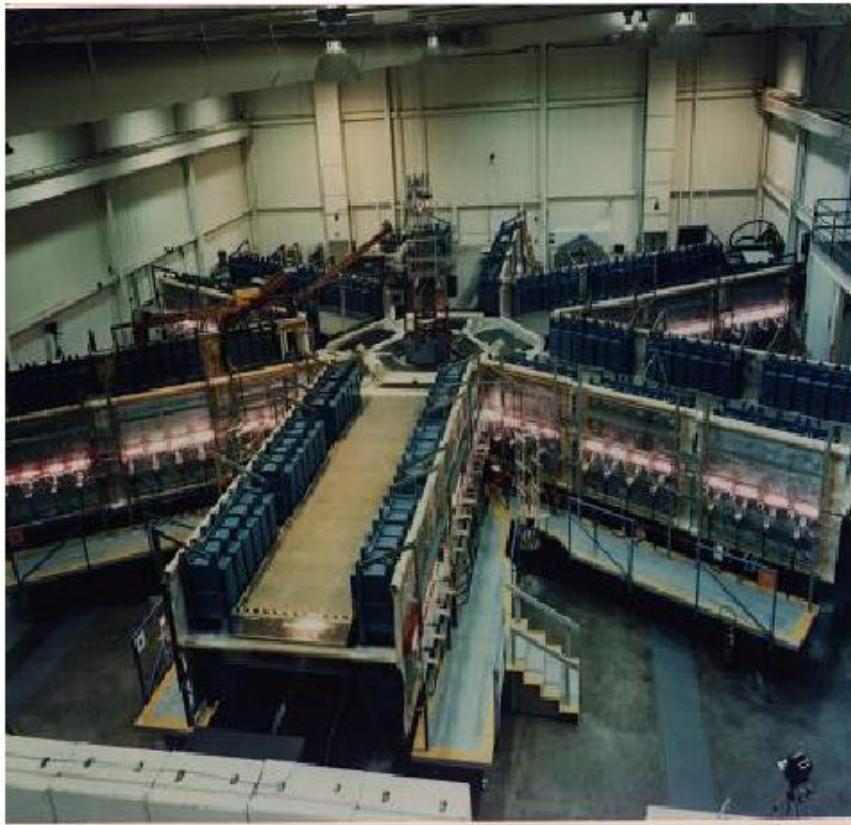
Description: Magnetized Target Fusion. Develop a suitable plasma injector using a high density FRC

GOAL: To make the first physics demonstration of MTF by imploding a field reversed configuration plasma with a metal liner



- The FRX-L experiment and team

Shiva Star Facility at AFRL



Parameters for magnetic pressure implosions of cylindrical or spherical metal shells (solid liners)

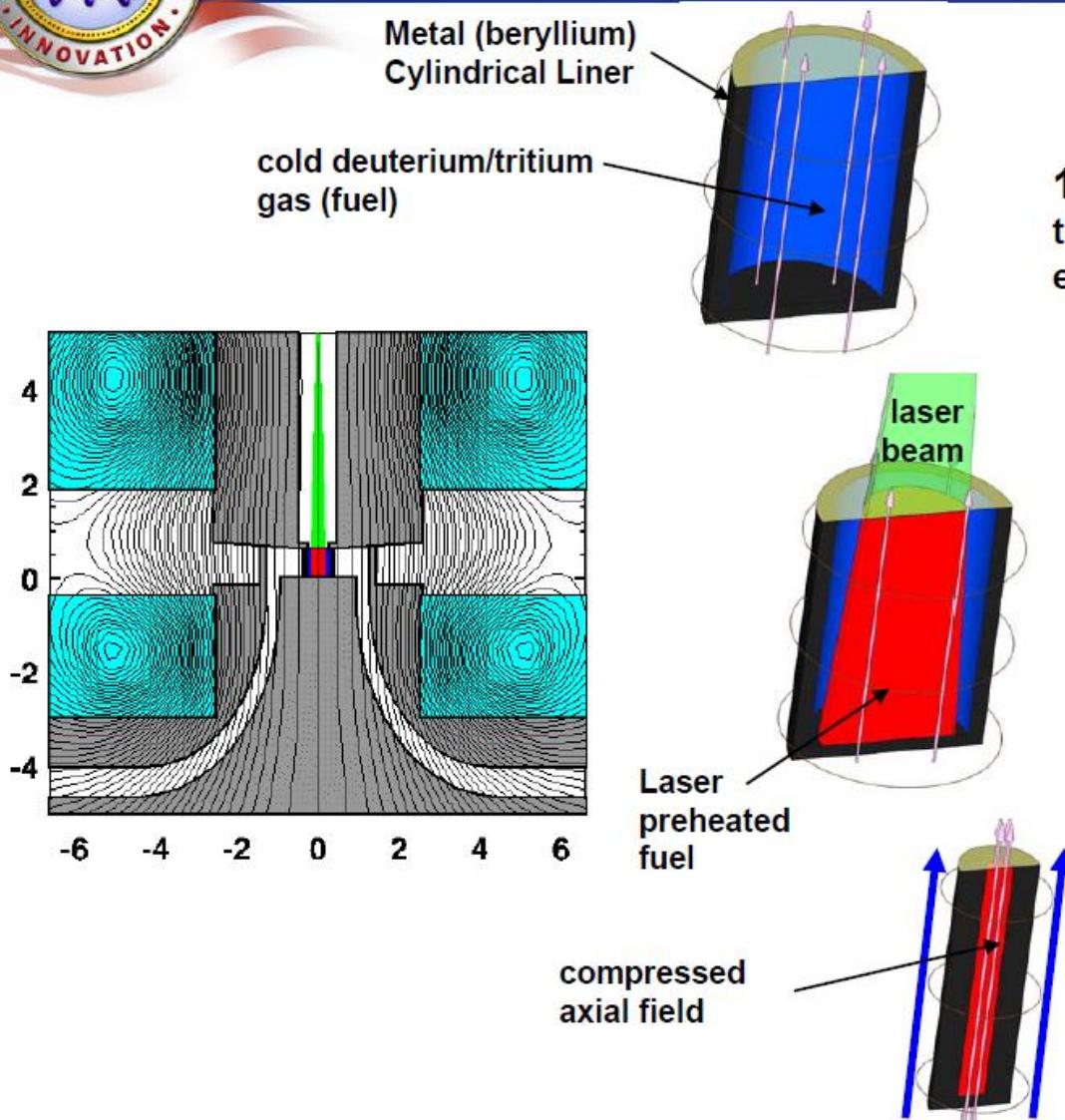
- 80 to 90 kV, 1300 uF, 25 to 45 nH
- 11 to 16 Megamp, ~10 μ sec risetime discharge implodes 10 cm diameter, 1 mm thick, 4 to 30 cm long Al liner in 15 to 24 μ sec
- e.g., 4.4 MJ energy storage gives 1.5 MJ in liner KE

Shiva Star Capacitor Bank (up to 9 Megajoules, 3 μ sec) used for implosion - compression experiments



The MagLIF concept is a 3 step process*

* S.A. Slutz et al. *Physics of Plasmas* 17, 056303 (2010)



1. An axial magnetic field is applied to inhibit thermal conduction and enhance alpha particle deposition

2. Z Beamlet preheats the fuel

3. The Z accelerator efficiently drives a z-pinch implosion

For adiabatic compression, preheat reduces the require convergence ratio

For cylindrical system

$$V(t) = V_0 \left[\frac{r(t)}{r_0} \right]^2$$

$$V_f = V_0 \left[\frac{r_f}{r_0} \right]^2 = V_0 C_R^{-2}$$

Now, for adiabatic compression: $PV^\gamma = \text{constant}$ assume 5/3

$$P_0 V_0 = N k T_0$$

$$P_f V_f = N k T_f$$

so,

$$\frac{T_f}{T_0} = \frac{P_f V_f}{P_0 V_0} = \frac{P_f V_f^{5/3} \cdot V_f^{-2/3}}{P_0 V_0^{5/3} \cdot V_0^{-2/3}} = \frac{V_0^{2/3}}{V_f^{2/3}}$$

and, $\left(\frac{V_0}{V_f} \right)^{2/3} = \left[\left(\frac{r_0}{r_f} \right)^2 \right]^{2/3} = \left[\frac{r_0}{r_f} \right]^{4/3}$

so, $\frac{T_f}{T_0} = \left[\frac{r_0}{r_f} \right]^{4/3} \Leftrightarrow C_R = \left[\frac{T_f}{T_0} \right]^{3/4}$

And, $T_f \geq T_{\text{ignition}} \sim 4.3 \text{ keV}$

T₀ must be 100's of eV or C_R will be unobtainable

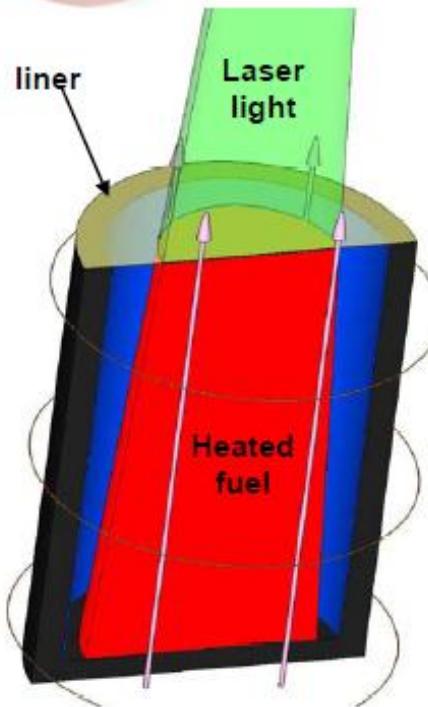


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The Z-Beamlet laser could preheat the fuel for experiments on Z

Conditions for heating of DT gas



The critical density for green light is 17 mg/cc in DT
...initial gas density is 2-3 mg/cc implies absorption by
inverse bremsstrahlung

The gas can be held in place by a thin plastic foil
...a $1\ \mu$ window will have less areal density than the gas

The total laser energy is modest

$$E_{laser} = \pi r^2 L \rho C_V \theta \quad C_V \approx 1.2 \times 10^8 \text{ J/g/keV}$$

$$E_{laser} \approx 3.4 \left(\frac{r}{0.3 \text{ cm}} \right)^2 \left(\frac{L}{1 \text{ cm}} \right) \left(\frac{\rho_0}{1 \text{ mg/cc}} \right) \left(\frac{\theta}{0.1 \text{ keV}} \right) \text{ kJ}$$

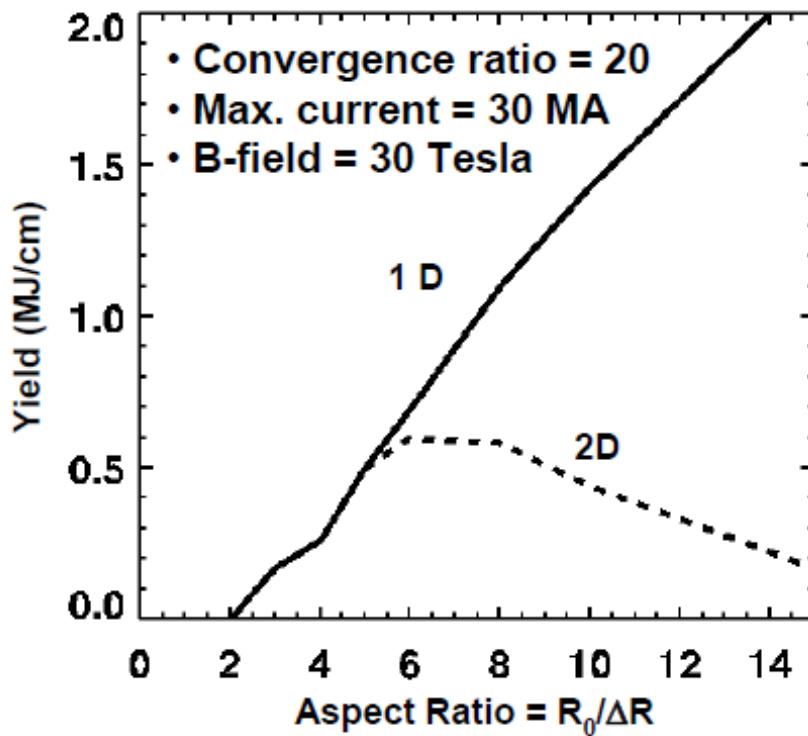


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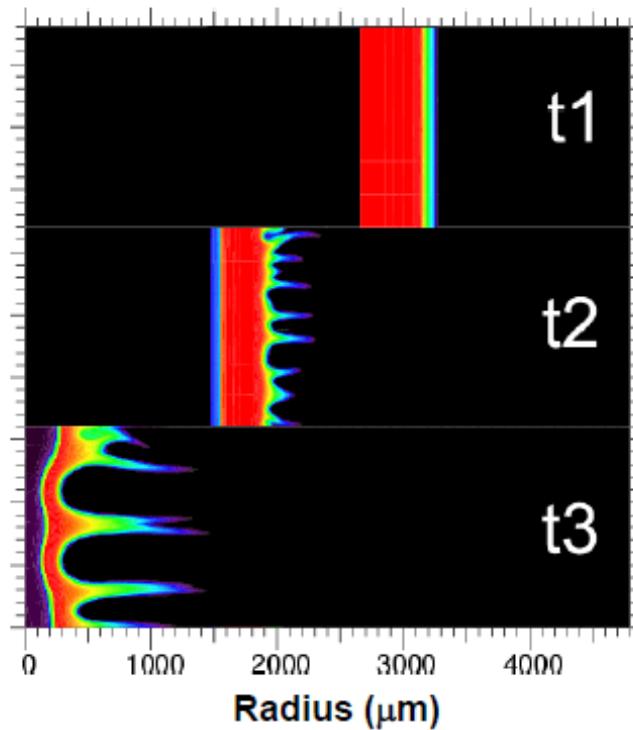
From S.A. Slutz, 2012 MagLIF Workshop



The MRT instability determines performance of MagLIF and other implosion systems



The Magneto-Rayleigh-Taylor instability degrades the yield as the aspect ratio is increased due to decreased liner pr



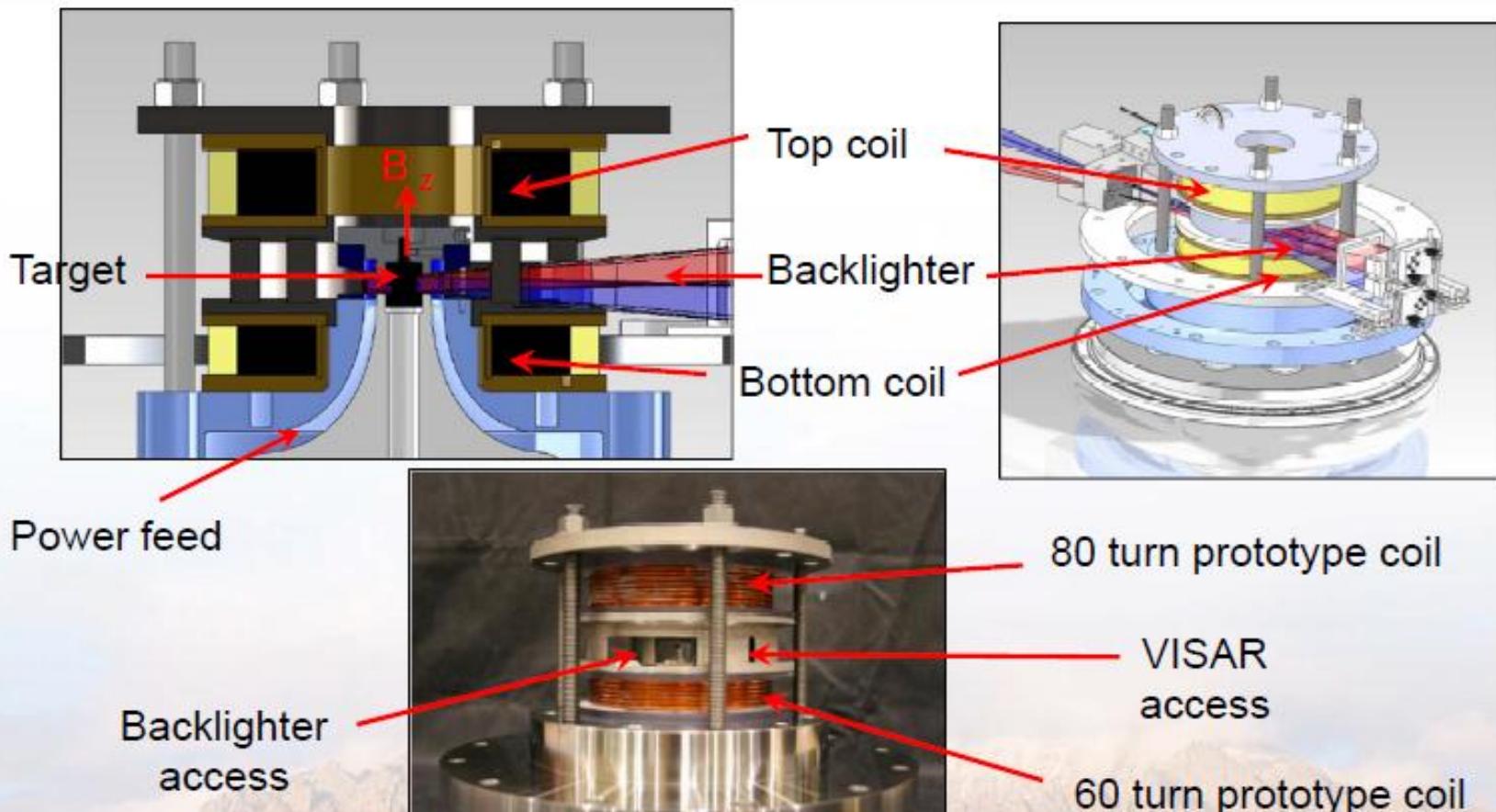
2D Lasnex Be liner simulations AR=6
• 60 nm surface roughness
• resolved wavelengths 200-1600 μm
• wavelengths of 300-600 μm near stagnation



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We have designed, fabricated, and tested a prototype 10-T MagLIF magnetic coil system

10 T MagLIF design with access for 2 frame backlighter



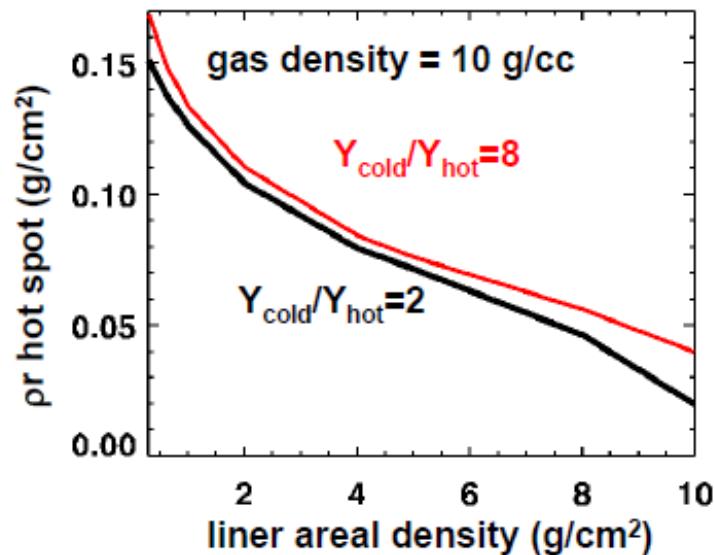
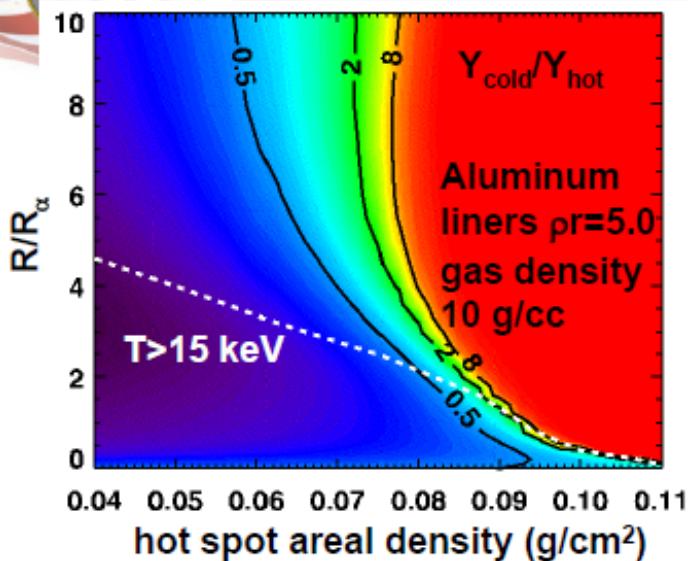
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From Dean Rovang, 2012 MagLIF Workshop

SAND2012-0884C



There is an optimal magnetic field strength for radial propagation



- The inhibition of thermal conduction and alpha transport lowers the hot spot areal density required for ignition
- Too large a B-field inhibits propagation into the cold fuel
- Minimum hot spot areal density is a weak function of gas density, but is significantly affected by the areal density of the liner
- More confinement time allows slower burn propagation!

From S.A. Slutz, 2012 MagLIF Workshop

References

I.R. Lindemuth and R. E. Siemon, Am. J. Phys. **77**, 407 2009.

M.M. Basko et al., Nuclear Fusion, **40**, 59, 2000.

M. Hohenberger et al., Phys. Plasmas, **19**, 056306 (2012)

S.A. Slutz et al., Phys. Plasmas, **17**, 056303, 2010.

S.A. Slutz and R.A. Vesey, PRL, **108**, 025003, 2012.

<http://www.sandia.gov/pulsedpower/maglifpres/Agenda.html>

S.C. Hsu et al., IEEE Trans. Plasma Sci., **40**, No. 5, 1287, 2012.

T.P. Intrator et al., J. Fusion Energy, **27**, 57, 2008

S. Atzeni and J. Meryer-Ter-Vehn, “The Physics of Inertial Fusion,” Oxford Science Publications, 2004.

Electrical Discharge in Gases and LANL's Low-Temperature Plasma Research

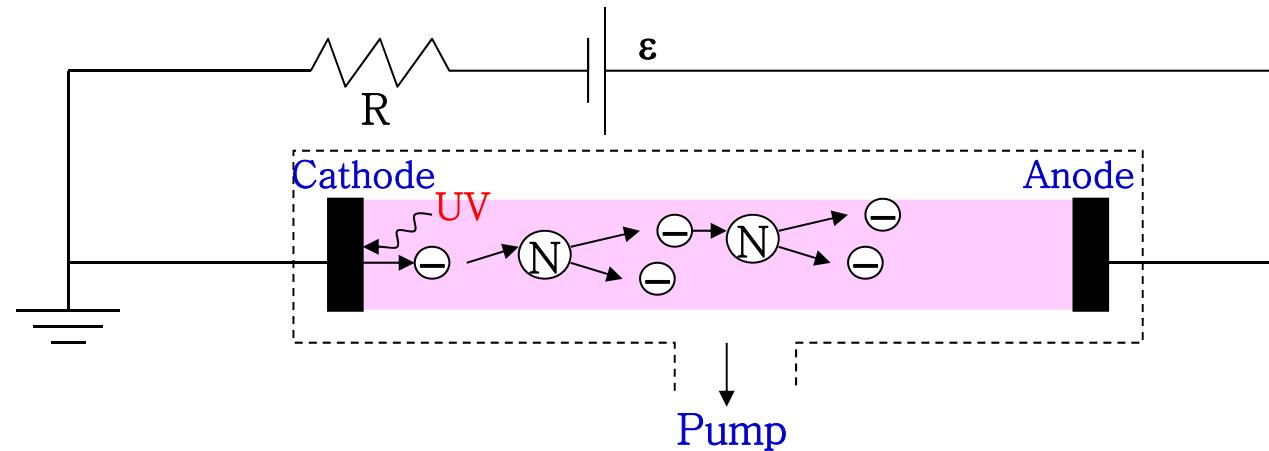
Yongho Kim, P-24

Aug. 15, 2012

Outline

- **Early work by Townsend and Paschen**
 - Electron avalanche (α -mode)
 - Breakdown condition (γ -mode)
 - Breakdown voltage
- **Low-temperature plasma properties**
 - Sheath potential, V_s
 - Plasma temperature
 - Dynamics of plasma parameters
- **LANL's atmospheric-pressure, non-thermal plasma projects**
 - Atmospheric pressure plasma jet
 - Dielectric barrier discharge

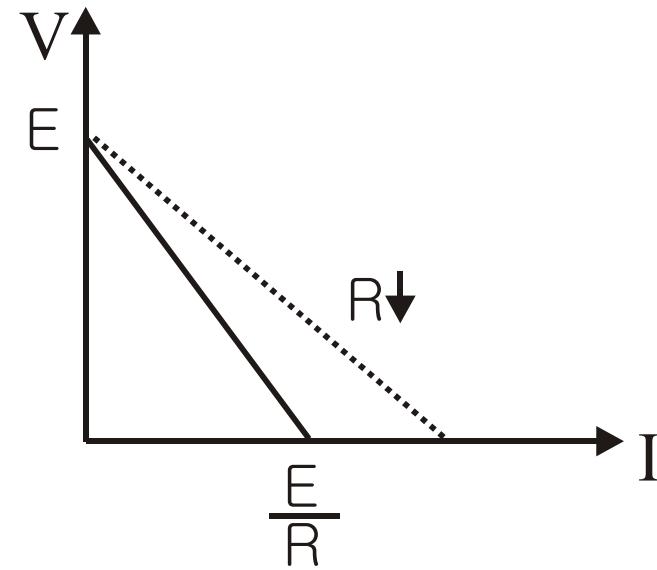
DC low-pressure discharge: the early work by Townsend and Paschen



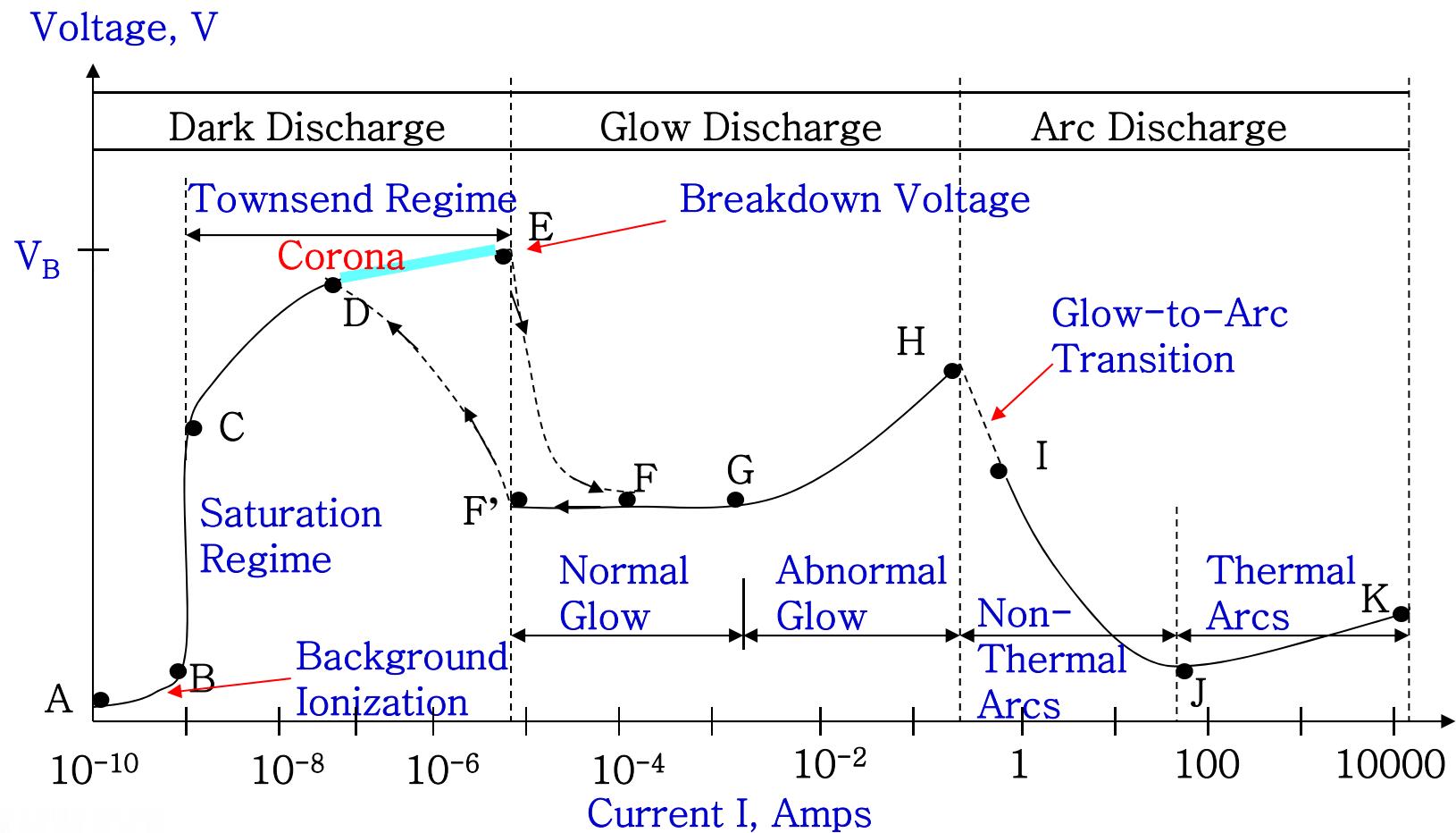
- Electric Circuit and Load Line

$$\varepsilon = IR + V$$

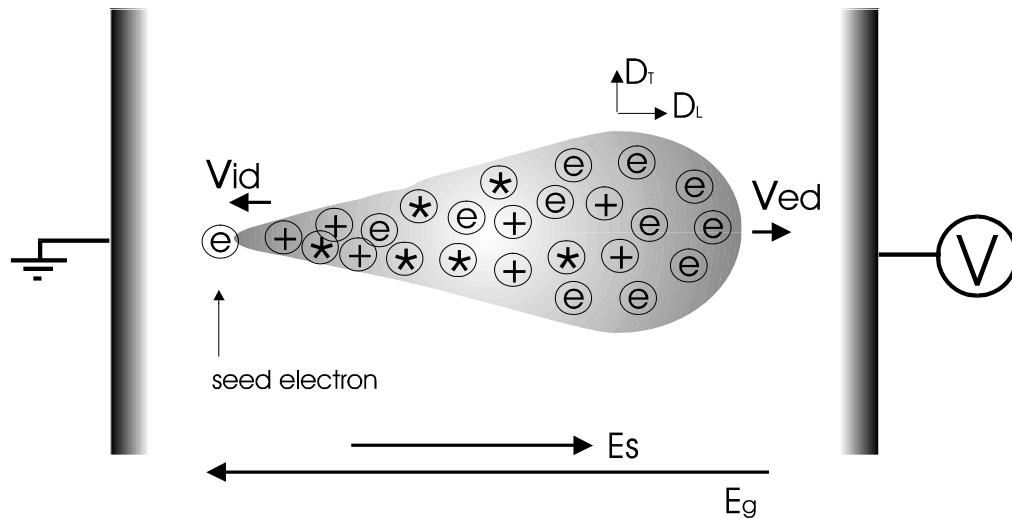
$$\rightarrow V = -RI + \varepsilon$$



I-V characteristics of DC discharge



Drift and diffusion of electron in electric field



$$v_d = \mu E = \left(\frac{q}{m \nu}\right) E = \left(\frac{q}{m}\right) \left(\frac{\langle v \rangle}{\sigma N}\right) E \propto f(E / p)$$

$$D = \frac{kT}{m \nu} = \left(\frac{kT}{m}\right) \left(\frac{\langle v \rangle}{\sigma N}\right) \propto f(T / p) \propto f(E / p)$$

Electron collisions in electric field

	electronic reaction	atomic reaction	photon reaction
excitation	$e + A_2 \rightarrow A_2^* + e$		$h\nu + A_2 \rightarrow A_2^*$
de-excitation	$e + A_2^* \rightarrow A_2 + e$		$A_2^* \rightarrow A_2 + h\nu$
dissociation	$e + A_2 \rightarrow 2A + e$	$M^* + A_2 \rightarrow 2A + M$ (Penning dissociation)	
ionization	$e + A_2 \rightarrow A_2^+ + 2e$	$M^* + A_2 \rightarrow A_2^+ + M + e$ (Penning ionization)	$h\nu + A_2 \rightarrow A_2^+ + e$ (photo ionization)
attachment	$e + A_2 \rightarrow A_2^-$		
recombination	$e + A_2^+ \rightarrow A_2$ $2e + A_2^+ \rightarrow A_2 + e$	$A^- + B^+ \rightarrow AB$	$A_2^+ + e \rightarrow A_2 + h\nu$ (radiative recombination)

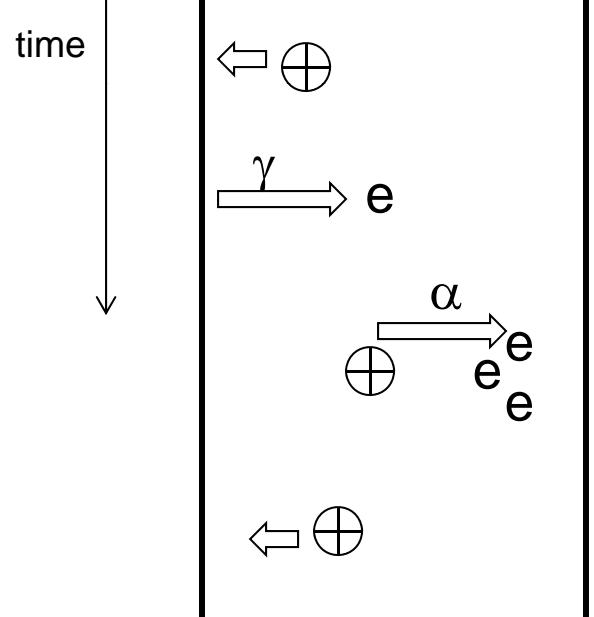
Electron avalanche and Townsend coefficient (α -mode)

- Electron avalanche = rapid electron density growth by electron collisions
- Eqn. of continuity: $\frac{\partial n}{\partial t} + \vec{u} \cdot \nabla n = nn_a \langle \sigma_i v \rangle$
- 1-D, steady state case: $\frac{dn}{dx} = \left(\frac{n_a \langle \sigma_i v \rangle}{u} \right) n = \alpha n$
 $\therefore n(x) = n_o \exp(\alpha x)$
- Townsend coefficient (ionization probability per unit length):

$$\alpha = n_a \langle \sigma_i v \rangle / u = (Ap) \exp(-Bp / E)$$

Townsend breakdown condition

- Breakdown = onset of self-sustaining discharge produced by secondary emission from cathode by ion bombardments



$$\gamma \equiv \frac{\text{\# of electrons emitted}}{\text{\# of incident ions or photons}}$$

$$\gamma \left(\frac{n - n_o}{n_o} \right) \geq 1$$

$$\gamma \left(\frac{n}{n_o} - 1 \right) \geq 1$$

$$\gamma [\exp(\alpha d) - 1] \geq 1$$

$$\therefore \alpha d \geq \ln(1 + \gamma^{-1})$$

Breakdown voltage and Paschen curve (γ -mode)

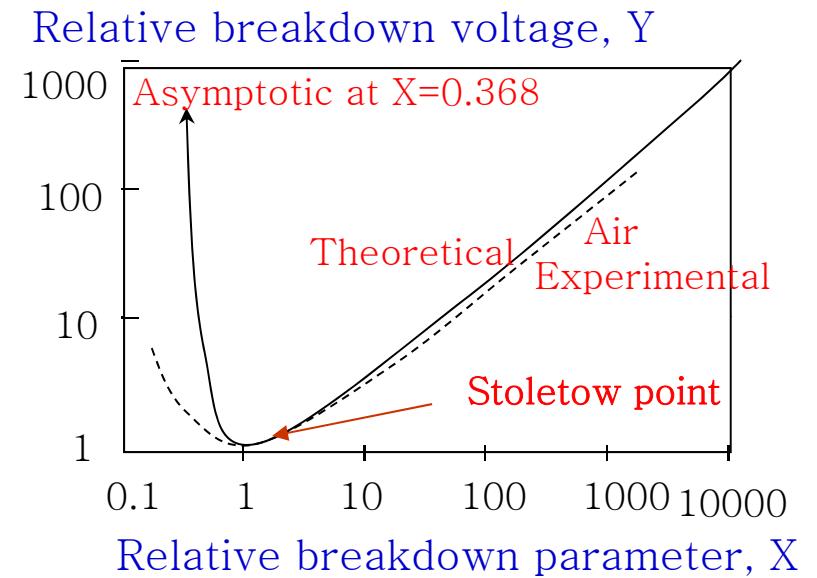
$$\alpha \equiv \frac{Nk_{\alpha}}{v_d} = Ap \exp\left(-\frac{Bp}{E}\right)$$

$$\text{from } \alpha d \geq \ln(1 + \gamma^{-1})$$

$$Apd \exp\left(-\frac{Bp}{E}\right) \geq \ln(1 + \gamma^{-1})$$

$$Apd \exp\left(-\frac{Bpd}{V}\right) \geq \ln(1 + \gamma^{-1})$$

$$\therefore V \geq \frac{Bpd}{\ln\left(\frac{Apd}{\ln(1 + \gamma^{-1})}\right)}$$

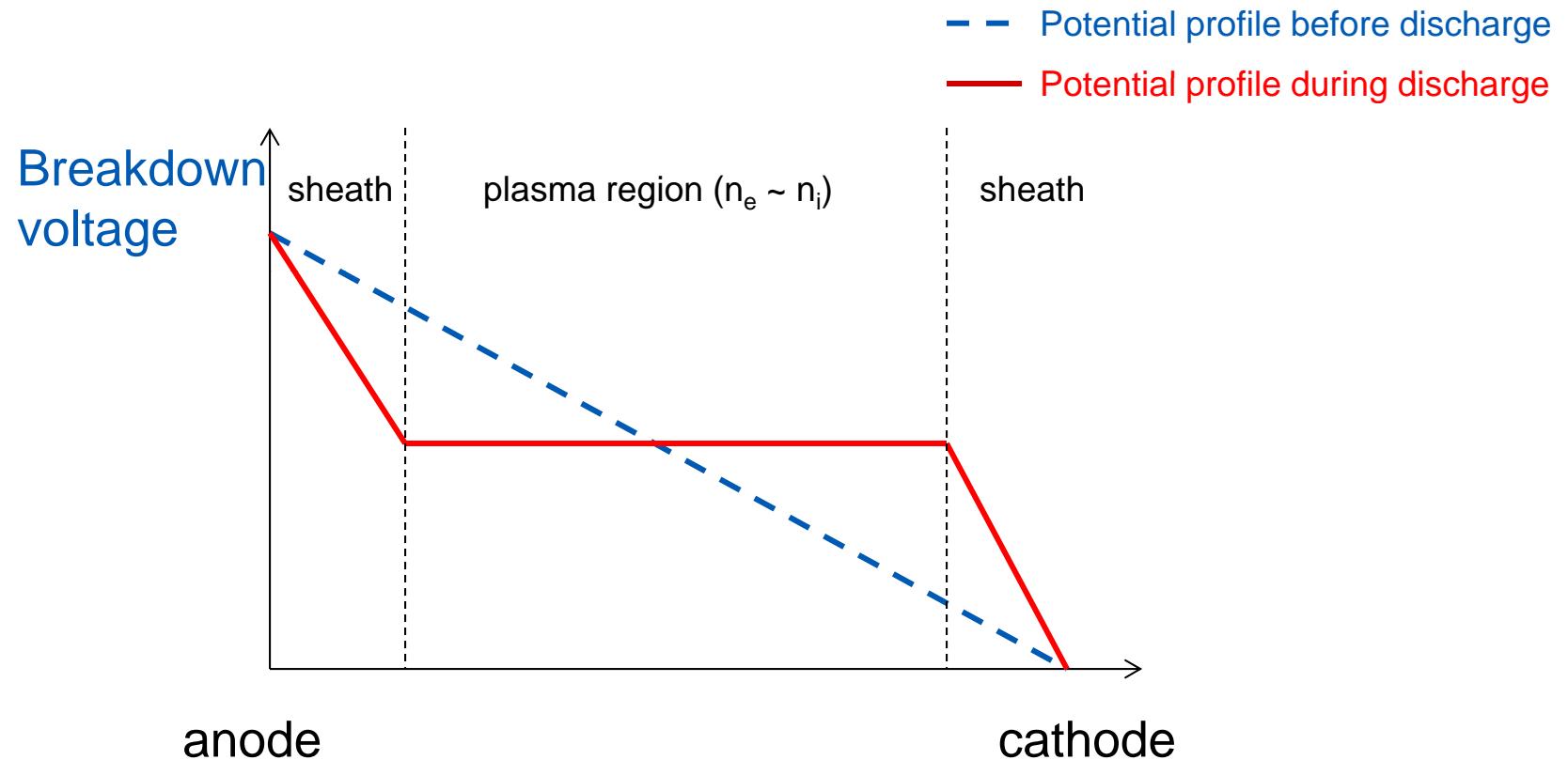


Gas	Cathode	$V_{B,\min}$ (V)	$(pd)_{\min}$ (mTorr m)	Reference
Air		360	5.7	Brown (1966)
H_2	Pt	295	12.5	Cobine (1958)
He	Fe	150	25	Cobine (1958)
N_2	Fe	275	7.5	Cobine (1958)
O_2	Fe	450	7.0	Cobine (1958)

Outline

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How about potential profile inside DC discharge tube



How to know plasma sheath potential (V_s)?

- **Ion Flux:** The ion flux to a solid object is determined by the Bohm velocity (or sound speed) of the ion,

$$\Gamma_i = u_B n_i = \sqrt{\frac{kT_e}{m_i}} n_i$$

- **Electron Flux:** Only the most energetic electrons can overcome the sheath potential,

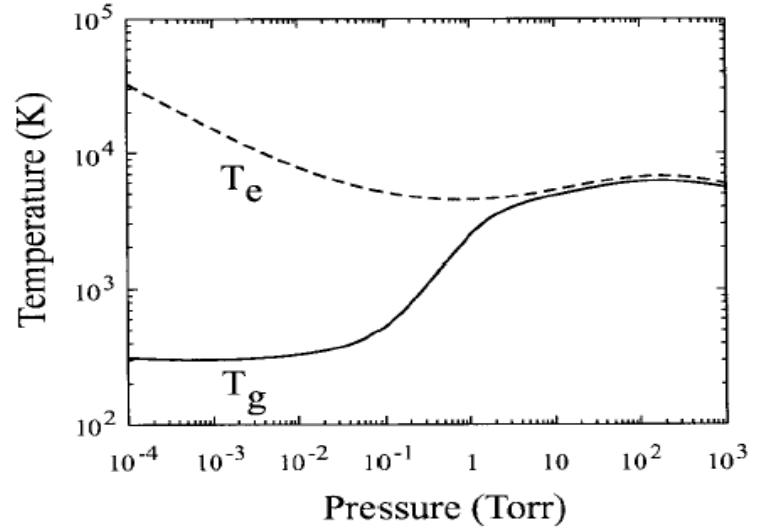
$$\bullet \quad \Gamma_e = \frac{1}{4} \underbrace{n_e \langle v_e \rangle}_{\substack{\uparrow \\ \text{flux to surface}}} \underbrace{\exp(qV_s/kT_e)}_{\substack{\text{Boltzmann factor}}}$$

- **V_s is determined by ion flux = electron flux,** $V_s = -T_e \ln\left(\frac{m_i}{2m_e}\right) \sim -5T_e$

After breakdown, plasma temperature is determined

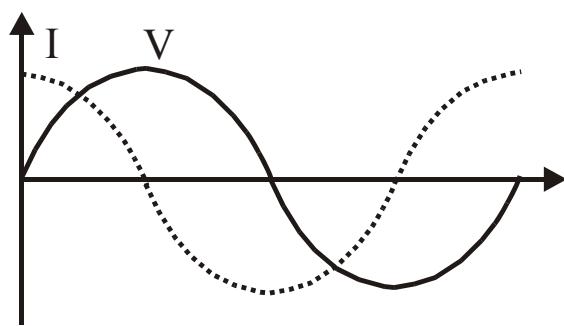
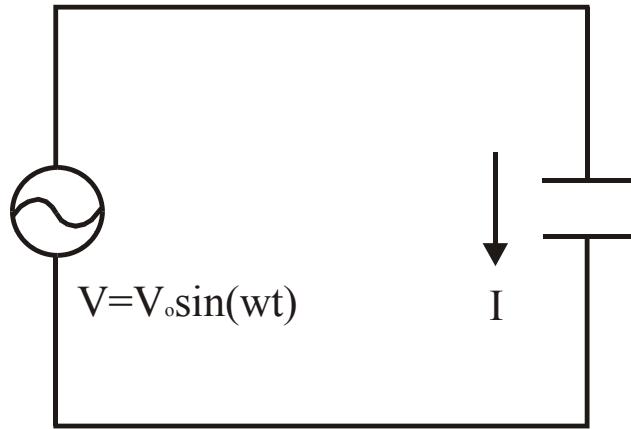
- kinetic energy exchange = energy gain by field

$$\begin{aligned}\frac{3}{2}k(T_e - T_h) \frac{2m_e}{m_h} &= eE v_d t_e \\ &= eE \left(\frac{e}{m\nu} E\right) \left(\frac{\lambda_e}{\nu_e}\right) \\ \Rightarrow \frac{T_e - T_h}{T_e} &= \frac{\pi m_h}{24 m_e} \frac{(\lambda_e e E)^2}{(k T_e)^2} \propto f(E / p)\end{aligned}$$



In reality, RF discharge replaces DC discharge to minimize electrode contamination and other issues

Capacitively-coupled rf discharge (before breakdown)

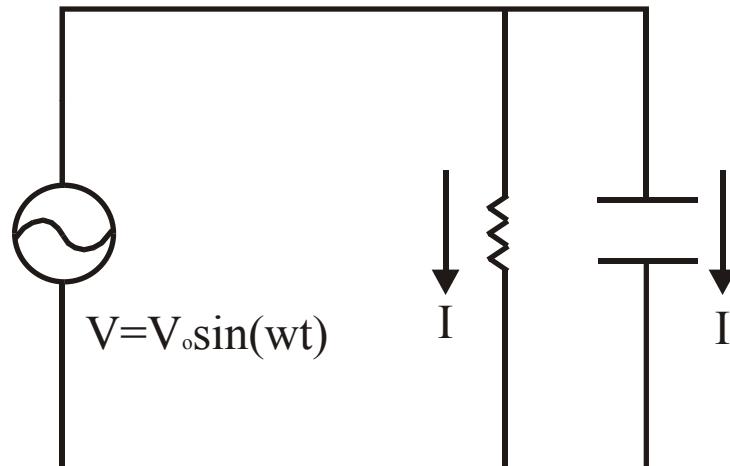


$$I = \frac{dQ}{dt} = \frac{d}{dt}(C_g V) = C_g w V_0 \cos(wt)$$

$$= C_g w V_0 \sin(wt + \frac{\pi}{2}) = jw C_g V$$

$$Z_c = \frac{V}{I} = \frac{V}{jw C_g V} = -j \frac{1}{w C_g}$$

After breakdown, impedance of rf discharge is changed by plasma resistance



$$Z_c = -j \frac{1}{\omega C_p}$$

$$Z_R = R_p$$

$$\therefore Z_p = \frac{1}{\frac{1}{Z_c} + \frac{1}{Z_R}} = \frac{1}{j\omega C_p + \frac{1}{R_p}}$$

$$= \frac{R_p}{1 + \omega^2 C_p^2 R_p^2} (1 - j\omega C_p R_p)$$

Dynamics of rf discharge operation is that plasma properties are varied as a function of electron density

Plasma capacitance

$$C_p = \epsilon_p \frac{A_g}{d_g} = \epsilon_o (1 - \sigma_p) \frac{A_g}{d_g}$$

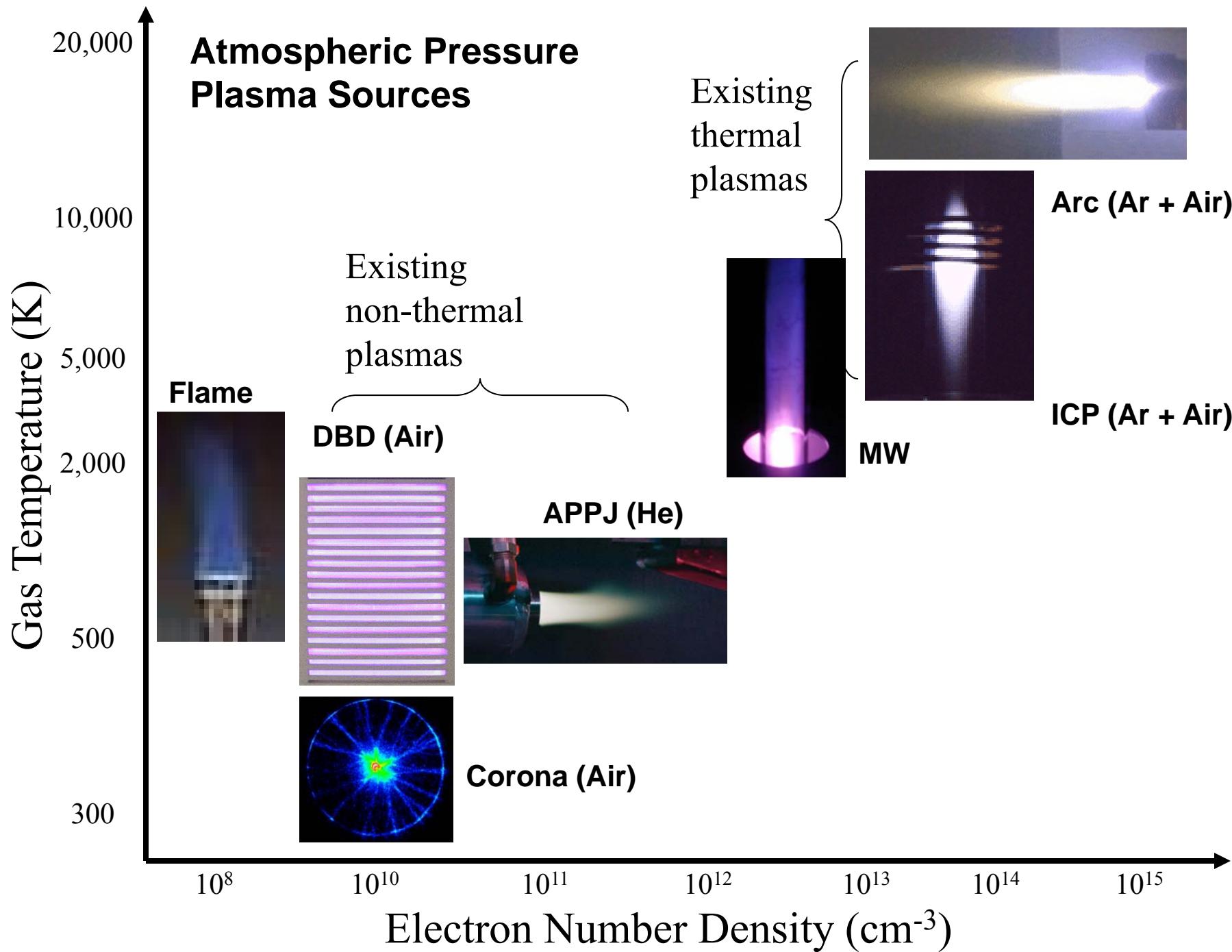
$$\sigma_p = \frac{w_p^2}{w^2 + v_m^2} \quad , \quad wp = \sqrt{\frac{ne^2}{m\epsilon_o}} \quad , \quad v_m = N\sigma(v)v$$

Plasma resistance

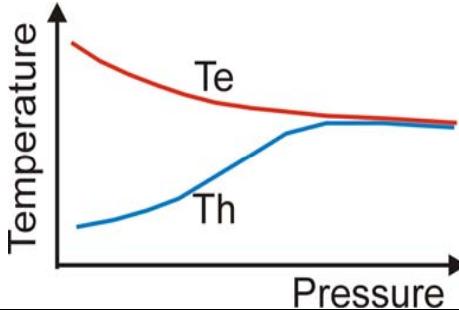
$$R_p = \frac{d_g}{\sigma_p A_g}$$

Outline

- **Early work by Townsend and Paschen**
 - Electron avalanche (α -mode)
 - Breakdown condition (γ -mode)
 - Breakdown voltage
- **Low-temperature plasma properties**
 - Sheath potential, V_s
 - Plasma temperature
 - Dynamics of plasma parameters
- **LANL's atmospheric-pressure, non-thermal plasma projects**
 - Atmospheric pressure plasma jet
 - Dielectric barrier discharge

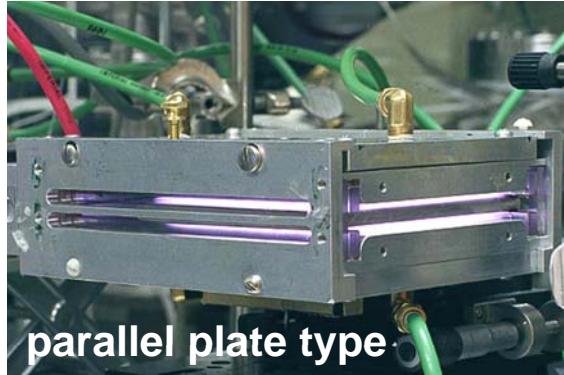


Existing Atmospheric Pressure Plasmas

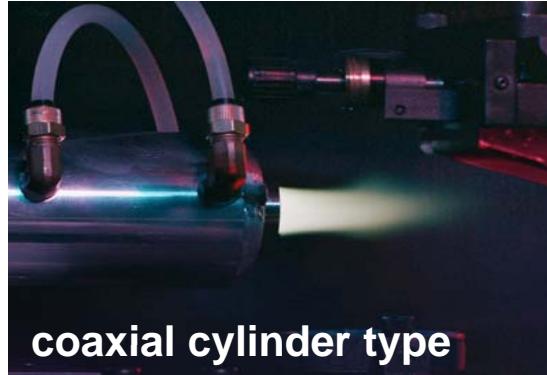
			
Non-Thermal Plasma			
Thermal Plasma	Transient	Stationary	
Electron Temperature (eV)	~ 1	~ 10	~ 2
Gas Temperature (K)	5000 ~ 15000	~ 300	~ 300
Electron Density (cm ⁻³)	10 ¹⁶ ~ 10 ¹⁹	10 ¹⁰ ~ 10 ¹²	10 ¹¹ ~ 10 ¹²
Sources	DC Arc Torch RF-ICP Microwave	PCD DBD	APPJ MHCD OAUGDP

DBD: Dielectric Barrier Discharge, APPJ: Atmospheric Pressure Plasma Jet

Atmospheric Pressure Plasma Jet (APPJ)



parallel plate type

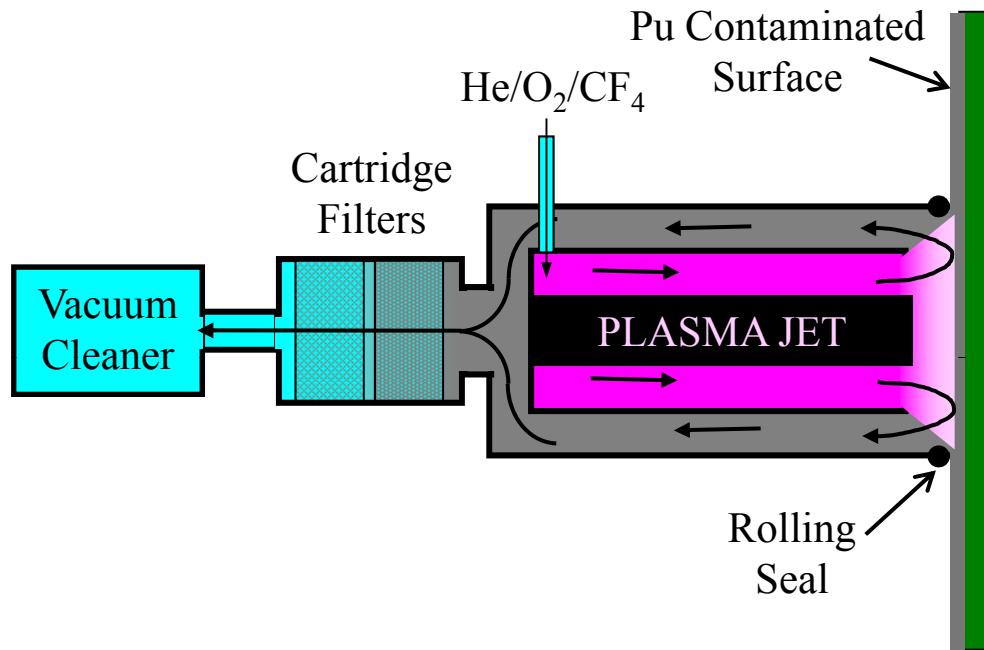


coaxial cylinder type



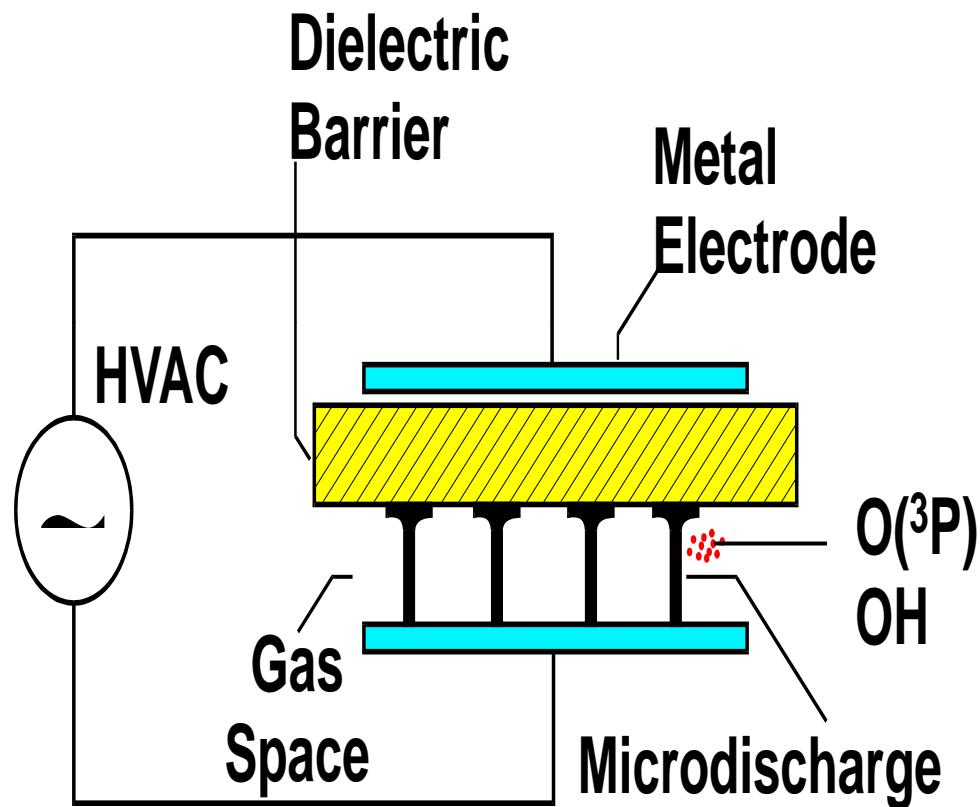
- **Capacitively coupled rf discharge** operated at atmospheric pressure
 - free of arcing (streamers) without dielectric barrier
 - rf α -mode operation at 13.56 MHz
 - helium based ($\sim 96\%$) + additive gas ($\sim 4\%$ O₂, CF₄)
 - narrow gap (1~3 mm) operation
- **Volumetric non-equilibrium** plasma
 - oscillating steady state discharge with $N_e \sim 10^{11} \text{ cm}^{-3}$
 - T_e (1 ~ 2 eV) $\gg T_{\text{gas}}$ (50 ~ 300 °C)
 - abundant radicals/metastables in plasma and effluent

APPJ is designed for Decontamination of Actinides (Pu and U)



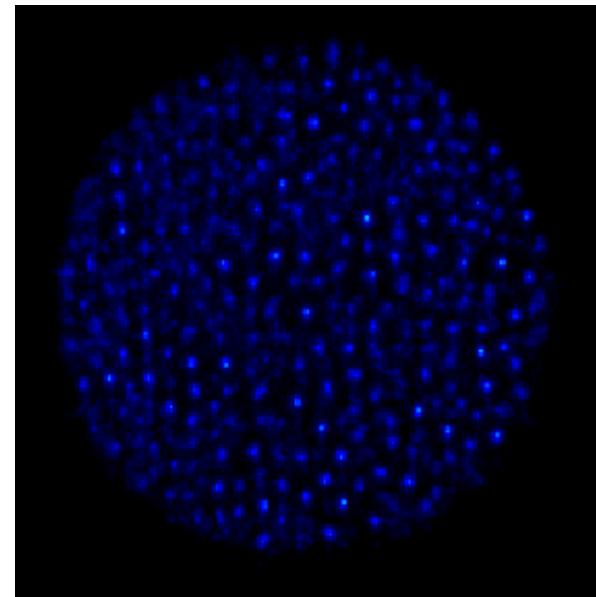
- (1) In plasma, energetic electrons dissociate CF₄ forming F atoms
- (2) **Atomic Fluorine may etch** contamination: $\text{Pu(s)} + 6\text{F(g)} \Rightarrow \text{PuF}_6\text{(g)}$
- (3) **Volatile byproducts (e.g., PuF₆)** can be captured in adsorbent filters
- (4) We can re-cycle Pu and reduce Contaminated Volume

Dielectric Barrier Discharge (DBD)



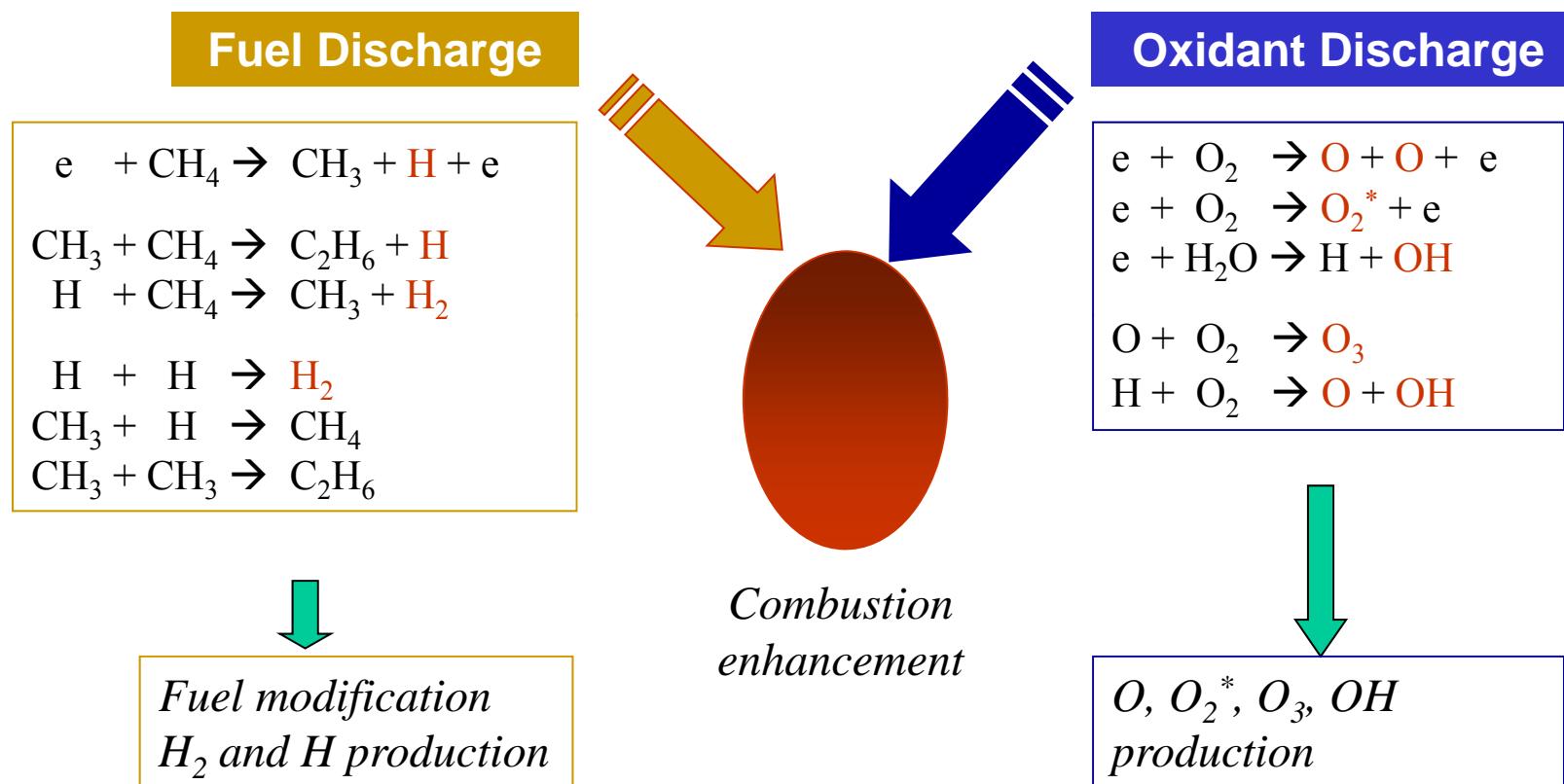
Schematic Drawing by Louis Rosocha

Top view



ICCD image of microdischarge channels taken by Yongho Kim in 2002

Can Plasma improve Combustion Efficiency?



- plasma ignition inside combustion chamber
- plasma injection into combustion chamber
- plasma treatment of fuel