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**ForCent Model Development and Testing using the
Enriched Background Isotope Study Experiment**

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Abstract

The ForCent forest ecosystem model was developed by making major revisions to the DayCent model including: 1) adding a humus organic pool, 2) incorporating a detailed root growth model, and 3) including plant phenological growth patterns. Observed plant production and soil respiration data from 1993-2000 were used to demonstrate that the ForCent model could accurately simulate ecosystem carbon dynamics for the Oak Ridge National Laboratory deciduous forest. A comparison of ForCent vs. observed soil pool ^{14}C -signature ($\Delta^{14}\text{C}$) data from the Enriched Background Isotope Study ^{14}C experiment (1999-2006) shows that the model correctly simulates the temporal dynamics of the ^{14}C label as it moved from the surface litter and roots into the mineral soil organic matter pools. ForCent model validation was performed by comparing the observed Enriched Background Isotope Study experimental data with simulated live and dead root biomass $\Delta^{14}\text{C}$ data, and with soil respiration $\Delta^{14}\text{C}$ (mineral soil, humus layer, leaf litter layer, and total soil respiration) data. Results show that the model correctly simulates the impact of the Enriched Background Isotope Study ^{14}C experimental treatments on soil respiration $\Delta^{14}\text{C}$ values for the different soil organic matter pools. Model results suggest that a two-pool root growth model correctly represents root carbon dynamics and inputs to the soil. The model fitting process and sensitivity analysis exposed uncertainty in our estimates of the fraction of mineral soil in the slow and passive pools, dissolved organic carbon flux out of the litter layer into the mineral soil, and mixing of the humus layer into the mineral soil layer.

Index Terms: 0400 Biogeosciences; 0428 Carbon cycling (4806); 0439 Ecosystems, structure and dynamics (4815); 0466 Modeling (1952); 0486 Soils/pedology (1865)

Key Words: Modeling, Soil carbon, Soil $\Delta^{14}\text{C}$, Litter decomposition, Century, ForCent

1. Introduction

Decomposition of root and leaf litter is a critical process for releasing soil nutrients for plant growth and for providing substrate for the formation of soil organic matter. This process is included in all of the major ecosystem models [see Century: *Parton et al.*, 1987; Biome-BGC: *Running and Coughlan*, 1988; DNDC: *Li et al.*, 1994; Roth-C: *Coleman and Jenkinson*, 1996]. Root and leaf litter substrate is incorporated into soil organic matter pools with rapid, intermediate, and slow turnover times. The conceptual development of these pools was based on studies of the impact of root and leaf litter decay on soil organic matter levels and nutrient dynamics [*Meentemeyer*, 1978; *Melillo et al.*, 1982; *Hobbie*, 1996; *Parton et al.*, 2007a].

The most common technique for studying these decomposition dynamics is to use litter bags [reviewed in *Wieder and Lang*, 1982; *Parton et al.*, 2007a]. Most litter bag studies have been run for relatively short time periods [three years or less: *Shanks and Olson*, 1961; *Lousier and Parkinson*, 1976; *McClaugherty et al.*, 1985; *Aerts et al.*, 2003]; however, a few studies were run for a longer period time (five or more years: *Trofymow et al.*, 2002; *Parton et al.*, 2007a). Results from the long-term studies suggest that 5-20% of the initial litter plant biomass is stabilized into the slow turnover soil organic matter pool. The recent global litter decay study by *Parton et al.*, (2007a) showed photodegradation can greatly enhance surface litter decay rates for dry grassland ecosystems; however, photodegradation does not seem to be an important process for humid grasslands and forest ecosystems. The major limitation of litter bag techniques, however, is that

they do not directly evaluate the subsequent fate of nutrients and organic matter released from litter bags [see *Dornbush et al.*, 2002].

A number of studies using isotopic tracers (^{13}C and ^{14}C) have been conducted to address gaps in the scientific knowledge of the relationships between litter decomposition and the formation of soil organic matter [*Jenkinson*, 1971; *Wang et al.*, 1996]. Since the isotopic signature of soil organic matter is similar to the vegetation system under which it was formed, a difference in plant vs. soil $\Delta^{13}\text{C}$ suggests a relatively recent change in plant cover. Isotopic approaches have been used to track changes in ecotone boundaries [*Steuter et al.*, 1990; *McClaran and McPherson*, 1995], detect land use conversion from tropical C_3 -dominated forests to C_4 -dominated cropping systems [*Osher et al.*, 2003]. Estimates of the minimum age of a soil organic matter pool or the mean residence time of the organic material are possible using ^{14}C -dating [*Paul et al.*, 1997], and may be used to track changes in slow and passive soil organic matter. Both ^{13}C - and ^{14}C -signatures ($\Delta^{13}\text{C}$ and $\Delta^{14}\text{C}$, respectively) are used to track litter decomposition and soil organic matter formation and stabilization [*Follett et al.*, 2007]. The results from these studies support the three-pool soil organic matter structure common in ecosystem soil C cycle models.

This paper describes the use of the Enriched Background Isotope Study $\Delta^{14}\text{C}$ [*Hanson et al.*, 2005] litter and root experiments to calibrate, develop, and test a mechanistically improved forest version of the DayCent model (ForCent). The main objective of this paper is to determine how well the extensive Enriched Background Isotope Study $\Delta^{14}\text{C}$ data sets can be used to determine the turnover rates of the different soil organic matter pools using a process-based ecosystem model. We utilized the classic modeling approach by using part of the observed data to develop the new model and then selected a segment of the observed data to perform a true model

validation. A detailed description of the new ForCent model, the procedure used to calibrate the model, limitations of the ForCent model, and a comparison of the model results with the observed data sets are also presented. In addition, we included a sensitivity analysis of the model to the assumed atmospheric $\Delta^{14}\text{C}$ values and the fraction of mineral soil carbon in slow and passive fractions.

The ForCent model described here is better poised to address outstanding issues in the terrestrial carbon cycle, including: (1) the partitioning of soil carbon turnover between autotrophic and heterotrophic sources, (2) the partitioning of heterotrophic respiration sources between above-ground litter decomposition and below-ground root detritus decomposition, and (3) the clarification of pathways leading from leaf and root detritus to long-term stabilization of soil organic matter. By incorporating a new understanding of important forest carbon cycling pools and processes, ForCent is better prepared to address questions such as the influence of climatic change on the longevity of new carbon additions to soils and the fate of long-lived storage pools through time.

2. Methods

2.1. The Enriched Background Isotope Study

The Enriched Background Isotope Study project [Trumbore et al., 2002; Hanson et al., 2005; Swanston et al., 2005] started in the fall of 2000 on the U.S. Department of Energy's National Environmental Research Park near Oak Ridge, Tennessee. The Enriched Background Isotope Study plots are located on ridge-top and up-slope positions which are dominated by oak forests that range in age from 65 to 150 years. Available aerial photographs show that the Enriched Background Isotope Study sites are located on the east branch of the watershed which had a

closed canopy forest cover in 1935. The exact date for a prior clear-cut disturbance for the Enriched Background Isotope Study plots is not exactly known; however, the state of the forest in 1935 suggests that forest regrowth started after a 1900 clear cut. The experimental sites included two soil types and two levels of ^{14}C exposure in 1999. Reciprocal transplants of enriched vs. near-background litter were established on sites that had large (western site) and minimal (east site) exposure to enhanced atmospheric levels of ^{14}C in 1999. Enriched ^{14}C leaf litter was collected from the western site during the fall of 2000, while background ^{14}C litter was collected from the eastern site during the same time period. Near background and enriched ^{14}C leaf litter were added to the plots in May 2001, with continued additions of elevated and ambient leaf litter (during winter months) for the next two years. Plots in the replicated experimental design included those with: 1) ^{14}C enriched soil carbon, root litter, and leaf litter; 2) ^{14}C enriched roots, soil carbon, and near background leaf litter; 3) near-background roots, soil carbon, and elevated ^{14}C leaf litter; and 4) near background leaf litter, roots, and soil carbon. The ^{14}C content of surface litter, humus, mineral soil layers, and soil respiration rates were measured from 2001 to 2005. As of 2004, natural background ^{14}C leaf litter was allowed to fall into the treatment plots.

Atmospheric ^{14}C levels elevated during the aboveground testing of nuclear weapons have been used as a tracer for the interpretation of biological carbon pathways for many years; however, that tracer is now returning to pre-bomb levels limiting the sensitivity of such observations [Swanston *et al.*, 2005]. The local and unexpected enrichment of background ^{14}C on the Oak Ridge Reservation provided a unique opportunity to address soil carbon cycling at annual and even sub-annual time scales allowing for the direct testing of soil carbon cycle

mechanisms in forests at previously unresolved time intervals [Trumbore et al., 2002; Froberg et al., 2007].

2.2. DayCent Model Description

The DayCent model [Kelly et al., 2000; DelGrosso et al., 2001a, 2001b; Parton et al., 2001] is the daily version of the Century model [Parton et al., 1987] developed to simulate daily trace gas fluxes (CO₂, N₂O, NO_x, CH₄, N₂) from ecosystems. The objective was to develop a model capable of simulating full greenhouse gas fluxes and net ecosystem exchange of carbon for agricultural systems, grasslands, savanna, and forest systems. The model has been used extensively to simulate the ecosystem dynamics of grasslands and forest and cropping systems in the U.S. [Kelly et al., 2000; DelGrosso et al., 2001a; DelGrosso et al., 2005]. DelGrosso et al. [2005] recently used the DayCent model to simulate the impact of agricultural management practices on soil carbon levels, trace gas fluxes, and crop yields for agricultural systems in the U.S. at site, regional, and national levels. The DayCent model has also been used to simulate the impact of nitrogen deposition, changing CO₂ levels, and future climatic changes [Pepper et al., 2005; Parton et al., 2007b; Luo et al., 2008] on grassland and forest systems. The model simulates soil nutrients (N and P) and carbon dynamics, trace gas fluxes (N₂O, NO_x, N₂, and CH₄), plant production and nutrient uptake, and soil water and temperature dynamics (Figure 1). The DayCent model uses a daily time step to simulate trace gas fluxes and soil nutrient and carbon dynamics, one half hour time for the soil water flow, and daily time step for the plant production submodel.

The plant production submodel simulates the growth of forests, grasslands, and savanna systems. Important processes represented in the plant growth submodel include plant death, plant

phenology, uptake of soil nutrients, and growth of different plant parts. The factors controlling plant growth are daily solar radiation, soil water and temperature, live leaf area, and soil nutrient uptake by plants. A detailed description of the plant growth submodel is presented by *Kelly et al.* [2000] and *DelGrosso et al.* [2001a]. The plant growth model simulates dynamic allocation of carbon to the different plant parts as a function of water and nutrient stress. This paper presents a detailed description of the most recent changes to the forest plant growth submodel.

The soil temperature and water submodels simulate daily soil temperature and water content for the soil layers represented in the model. The soil temperature model is described by *Eitzinger et al.* [2000], while *Parton et al.* [1998] present a detailed description of the soil water model. The soil water model simulates saturated and unsaturated water flow, surface runoff, and deep drainage below the plant rooting zone. Darcy water flow equations are used to simulate water flow between soil layers using a one-half hour time step. Anaerobic conditions resulting from snow melt into frozen soil layers are represented in the model. Soil temperatures are simulated for each 5 cm depth increment using an analytical solution to the soil heat flow equations. The soil temperature and water models have been tested extensively [*Frolking et al.*, 1998; *Eitzinger et al.*, 2000; *DelGrosso et al.*, 2001a].

2.3. ForCent model changes

The major changes to the ForCent model include: 1) adding a surface litter slow organic matter pool (humus layer); 2) altering the surface litter decay submodel; 3) adding the *Parton et al.* [1978] root growth model; 4) adding a plant stored carbohydrate pool, and 5) including the impact of phenology on seasonal plant growth patterns. The ForCent model divided the slow pool into a surface slow pool (humus) and a mineral soil slow pool (see Figure 2). The need for

this change was emphasized by *Kelly et al.* [1997]. As part of this change, we added a flow that simulates the physical mixing of the humus layer into the soil mineral slow pool. The surface litter layer corresponds to the sum of the Century surface litter pools (structural and metabolic pools) and the surface microbial biomass pool.

The *Riley et al.* [2009-Radix 1.0] and *Parton et al.* [1978] root growth models assume that live fine roots are composed of roots with fast and slow turnover rates. The roots with fast turnover rates are called juvenile roots, and roots with slow turnover rates are called mature roots. *Riley et al.* [2009] suggest that juvenile roots have turnover times < 1.0 year, while mature roots have turnover times > 10.0 years. The ForCent model has incorporated a revised version of the *Parton et al.* [1978] root growth model (Figure 3). The main structural change for the ForCent root model was to combine the juvenile and non-suberized roots into juvenile roots, and then refer to the suberized roots as mature roots. The major process included in the *Parton et al.* [1978] model includes maintenance respiration, growth of new roots, aging of juvenile roots, and root death. Root maintenance respiration and root death are calculated as a function of soil water content of the wettest layer and soil temperature, while aging of roots is a function of soil temperature. The impacts of soil water and temperature on these processes are represented using the *Parton et al.* [1978] model, while the maximum rates for root aging and root death were parameterized based on the live root biomass data from the Enriched Background Isotope Study [*Joslin et al.* 2006].

The revised model uses the original Century equations [*Parton et al.*, 1987] to control litter decay for the soil pools (structural and metabolic dead roots, soil microbial biomass, and slow and passive soil organic matter) within the mineral soil layer. Surface litter decay rates are now a

function of time since rainfall, average soil surface temperature, and soil water content of the 0-4 cm soil layer using equation 1:

$$D_i = K_i * B_i * R * F(T_s) * F(w) \quad (1)$$

where D_i is the decomposition rate ($\text{g C m}^{-2} \text{ d}^{-1}$) of i th soil pool ($i = 1, 2, 3$, and 4 for the surface metabolic, structural, microbial and humus pools), K_i is the maximum decay rate (d^{-1}) for the i th surface litter pool, B_i is the carbon level (g C m^{-2}) in the i th surface litter pool, R is the rainfall event multiplier (set equal to 1.0 for no precipitation days and 3.0 for days when precipitation is $> 10.0 \text{ mm}$), $F(T_s)$ is the impact of temperature on decomposition (Figure 4a), and $F(w)$ is the effect of soil water on litter decay (Figure 4b). The same temperature and water functions are used to simulate decay rates for the soil mineral pools (R is not used for the mineral soil pools). Continuous soil respiration data from the Oak Ridge National Laboratory [Hanson et al., 2005] show that soil respiration rates from the surface litter increase rapidly following rainfall events and then decrease as the soil litter dries out (generally within 24 to 36 hours).

The ForCent model includes a stored carbohydrate pool and currently assumes that gross photosynthesis is equal to two times the net plant growth rates [Waring et al., 1998; DeLucia et al., 2007; Litton et al., 2007]. It predicts potential net plant growth rates as a function of air temperature, water stress, and light interception, and then reduces these rates if nutrients are not available. Stored carbohydrate is used to support growth of new leaves in the spring, with 50% of new leaf growth coming from this pool. Carbon in the stored carbohydrate pool is the source for growth and maintenance respiration. The model assumes that growth respiration is equal to 23.3% of the total growth of the different plant parts [Hanson et al., 2003a], while maintenance respiration rates are calculated using a model developed by Ryan et al. [1995] for live leaves, branches, coarse roots, and stems. The Ryan respiration model assumes that each plant part has a

specific respiration rate and uses an exponential function to represent the effect of temperature on maintenance respiration ($Q_{10} = 2.0$). The ForCent model assumes that maintenance respiration rates are decreased if the carbohydrate pool is less than two times the maximum leaf carbon level. We also assume that the stored carbohydrate pool will not exceed five times the maximum leaf carbon level (carbon inputs to the stored carbohydrate are set equal to zero if the maximum level is exceeded). The assumption regarding the maximum level for stored carbohydrate pools is based on the concept that photosynthesis rates decrease if plant carbohydrate levels are too high, while low levels of stored carbohydrates reduce maintenance respiration.

The ForCent model includes a dynamic carbon allocation scheme which assumes that fine root growth has first priority, followed by live leaves and wood growth. The plant growth model calculates the maximum plant growth rate as a function of air temperature, intercepted solar radiation, and water stress [Parton *et al.*, 2001]. The model calculates the fraction of plant production going to fine root growth (Fr) as a function of the water and nutrient stress using equation 2:

$$Fr = \text{maximum} (F (Ws), F (Ns)) \quad (2)$$

where $F (Ws)$ is the impact of water stress on Fr (increases linearly from 0.05 to 0.18 as water stress increases from the minimum value to the maximum value) and $F (Ns)$ is the impact of nutrient stress on Fr (increases linearly from 0.05 to 0.18 as nutrient stress increases from the minimum value to the maximum value). The ratio of available nitrogen to plant nitrogen demand is used as the index for nutrient stress (ratio equal to one is associated with minimum nutrient stress), while the water stress term comes from the plant growth model. Fine root growth occurs during the time periods when net plant production is positive and during the first month of spring leaf out using the stored carbohydrate pool.

Live leaf growth receives the remaining carbon and nutrients available for plant growth until the maximum live leaf area is attained. Maximum leaf area is specified for each plant type as a function of the aboveground wood biomass using an allometric function. Wood growth occurs after maximum leaf area is attained using the remaining available carbon and nutrients for wood growth. The model specifies the fraction of carbon promoting wood growth in various plant parts (20%, 65%, and 15% respectively for fine branches, large wood and coarse roots). Wood growth is assumed to occur during the first four months following spring leaf out. The plant phenology rules are based on Oak Ridge site data showing that maximum leaf area is attained a month after spring leaf out starts and that new wood growth starts after maximum leaf area is attained, but before the end of July. Initiation of spring leaf out starts after the weekly running average air temperature exceeds 10° C and leaf senescence occurs after the weekly running average air temperature drops below 7° C.

2.4. The Enriched Background Isotope Study Computer Runs

The ForCent model was set up to simulate the Enriched Background Isotope Study experiments by running the model to equilibrium conditions using a 1900-year computer simulation that used observed daily weather data (1900-2005) and soil texture data as inputs to the model. In 1900, the forest was clear cut and then started to re-grow. The ecosystem dynamics from 1900 to the present were simulated using the observed weather data from that time period. The Enriched Background Isotope Study model experiments for the east and west sites were started in 1995. The atmospheric $\Delta^{14}\text{C}$ levels taken from 1950 to 2005 (Figure 4c) show that they started to increase in the mid 1950s, peaked in the late 1960s, and have decreased since then. Locally, elevated atmospheric $\Delta^{14}\text{C}$ levels started to increase in 1995 for the west Enriched

Background Isotope Study site, but did not start to increase until 1999 for the east site. These atmospheric $\Delta^{14}\text{C}$ values were assumed to be 0.0 before 1950. After 1995, the atmospheric $\Delta^{14}\text{C}$ values for the east and west sites were assumed to be equal to the observed yearly average of new wood cellulose $\Delta^{14}\text{C}$ values.

The Enriched Background Isotope Study experiments were set up using four different model runs where low and high $\Delta^{14}\text{C}$ labeled leaves were added to both the east and west sites. We simulated the exclusion of ambient senescing leaves in the fall of 2000, 2001, and 2002 at all of the sites, and then simulated their replacement by the addition of fixed masses of ambient and high $\Delta^{14}\text{C}$ labeled leaves in May of 2001, and January of 2002 and 2003. The west site had enriched $\Delta^{14}\text{C}$ roots and soil C because of the elevated atmospheric $\Delta^{14}\text{C}$ levels, while the east site had background $\Delta^{14}\text{C}$ roots and soil C levels because of lower atmospheric $\Delta^{14}\text{C}$ levels (Figure 4c).

2.5. ForCent Model Calibration

The data sets used to calibrate the parameters of the ForCent model include the observed plant production data (by biomass pool) at the Oak Ridge National Laboratory from 1993 to 2000 [Hanson et al., 2003a], soil respiration data from 1993 to 2000 [Hanson et al., 2003b], and the observed Enriched Background Isotope Study soil carbon $\Delta^{14}\text{C}$ data from 2000 to 2005 for the surface litter, humus, and mineral soil layers (0-30 cm depth). We used a two-step process to calibrate the ForCent model. The first step was to use the observed plant production and biomass data from the Oak Ridge site to determine parameters in the plant production submodel. Most of the plant production submodel parameters were estimated based on direct observations from this site. The observed plant production and ecosystem carbon levels of the major plant parts

[Hanson et al., 2003b] were used to determine the maximum live leaf area, turnover rates, and allocation of carbon to the live fine root, branch, leaf, large wood, and coarse root pools. The maximum maintenance respiration rates are 3.4 y^{-1} and 3.1 y^{-1} for juvenile and mature roots, and were adjusted to match the total soil respiration rates observed at the Oak Ridge National Laboratory site [Hanson et al., 2003b]. The key assumption used for adjusting maintenance respiration rates is that modeled heterotrophic respiration rates have greater certainty compared to root maintenance respiration values. Maximum root death rates are 9.6 y^{-1} and 2.2 y^{-1} for juvenile roots and mature roots are parameterized so that total fine root biomass matched the Joslin et al. [2006] data set. This data set was also used to derive the fraction of carbon allocated to root growth in the mineral soil and humus layers (95% and 5% respectively), the maximum fraction of juvenile roots transferred to mature roots (1.5 y^{-1}), and the fraction of new root growth allocated to juvenile roots (95%) and mature roots (5%). Growth respiration rate is assumed to be 23.3% for all of the live plant parts [Hanson et al., 2003b]. The relative difference among the maximum maintenance respiration rates for live leaves, fine branches, large wood, and coarse roots was based on data from Ryan et al. [1996] showing that live leaves have the highest respiration rates and that wood respiration rates are more than one order of magnitude lower than live leaf respiration rates. Ecosystem nitrogen inputs were adjusted so that the observed mean annual production matched the observed data.

The second step in the model calibration process was to use the observed time series (1972-2004) of $\Delta^{14}\text{C}$ data for the mineral soil and humus layers to determine the mixing rate of humus material into the mineral soil layer, the maximum decay rates for the humus layer, and the soil mineral slow pool and passive soil organic matter pools. The model fitting process showed that the site specific best fit to mineral soil $\Delta^{14}\text{C}$ was to have 40% of the mineral soil organic matter

in the slow pool for the west site and 55% for the east site. We used maximum turnover rates for the slow and passive soil organic matter so that 47% of the total soil organic matter was slow material in order to best fit the combined east and west mineral soil $\Delta^{14}\text{C}$ data. The maximum turnover rate of the humus, mineral slow pools, and soil passive pools, and mixing of the humus slow pool into the mineral soil layer, was estimated by finding parameters that resulted in the best fit (minimum root mean square error) to the observed soil and litter layer $\Delta^{14}\text{C}$ data. There is more uncertainty in these parameters since the observed soil $\Delta^{14}\text{C}$ data didn't include direct measures of the turnover rates for the different soil organic matter pools.

Appendix 1 presents a list of the parameter values, including the definitions of the model parameters which were adjusted to best fit observed data from the Oak Ridge site. Numerous documents containing the information needed to reproduce the model results shown here, such as the version of the ForCent model used in this paper, the computer code, user manuals, definitions of all of the model parameters, guides on how to use the model, and weather data sets used to run the model, can be downloaded from the following web site:

(<http://www.nrel.colostate.edu/projects/daycent/downloads.html>).

3.0. Results

3.1. ForCent Model Verification

From 1993 to 2000, model results compare favorably with observed mean plant production (Table 1). Both the model and the data show that leaf production does not vary substantially between years, while there are considerable year-to-year changes in fine root and wood plant production. The absolute mean error of annual leaf and total plant production are less than 10% of the mean annual production (6% and 9%), while absolute mean error for wood production and

fine root production are less than 20% of mean annual production (17% and 15%). Year-to-year variability in live leaf, wood, and total production are reasonably well simulated with r^2 values greater than 0.50 ($r^2 = 0.61, 0.60, \text{ and } 0.53$); however, yearly changes in fine root production are not as well simulated with r^2 for fine roots less than 0.40. A comparison of the current ForCent simulated annual plant production with results from earlier versions of the DayCent model show that the ForCent model does a better job of simulating year-to-year changes in annual plant production (earlier DayCent model had an $r^2 = 0.15$ and absolute mean error of $181 \text{ gm C m}^{-2} \text{ y}^{-1}$ for total plant production vs. $r^2 = 0.53$ and absolute mean error of 55.0 ForCent).

Hanson et al. [2003b] developed a data-based soil respiration model for predicting daily soil respiration at the Oak Ridge National Laboratory site. The mean and range of the annual soil respiration from 1993 to 2000 for the Hanson and ForCent models (Table 1) are quite similar, the r^2 for the ForCent and Hanson model comparison for annual soil respiration is quite high ($r^2 = 0.77$), and the absolute mean error between the two models is less than 6% of the mean annual soil respiration rate. A comparison of the Hanson and ForCent simulated daily soil respiration results from the 1993 to 2000 shows that the results are quite similar for six years (r^2 range from 0.62 to 0.84), while during two of those years (1998 and 1999), the comparisons are less favorable ($r^2 < 0.50$). Periods when the models do not agree occur when the ForCent model simulates lower soil respiration rates because of lower than normal juvenile root biomass and root production. Unfortunately, the limited observed daily soil respiration during those two years doesn't allow us to determine which model is more accurate. A comparison of the ForCent model predictions of daily soil respiration with the previous forest DayCent model underestimated soil respiration on days with precipitation which resulted in a 50% to 100% underestimate of soil respiration when observed respiration is $> 4 \text{ g C m}^{-2} \text{ d}^{-1}$.

Patterns for $\Delta^{14}\text{C}$ of the surface litter, humus, and the 0-30 cm mineral layers for the enriched and near-background litter addition treatments (Figures 5 and 6; Table 2) show a general agreement between the model results and observed data. The model and data show that the $\Delta^{14}\text{C}$ content of the surface litter layer (Figure 5) is higher for the west site compared to the east site, and that the near-background litter treatment has lower $\Delta^{14}\text{C}$ content compared to the enriched treatment. The overall fit of the model to the observed data is similar for both the east and west sites, and the mean absolute error (Table 2) ranges from 27 gm C m^{-2} for the east site low treatment to 52 gm C m^{-2} for the west site low treatment. The higher surface litter $\Delta^{14}\text{C}$ content of the west site compared to the east site reflects the higher atmospheric $\Delta^{14}\text{C}$ content of the west site (Figure 4a).

A comparison of observed and simulated $\Delta^{14}\text{C}$ of the humus layer (Figure 5c,d) shows increased $\Delta^{14}\text{C}$ levels for enriched litter additions. The ForCent simulations for the west site capture the $\Delta^{14}\text{C}$ increase of the humus layer beginning in 1999, following the large atmospheric $\Delta^{14}\text{C}$ exposures (see Figure 4a). This contrasts with the simulated humus $\Delta^{14}\text{C}$ levels in the east site which decrease until 2000, and then stabilize around 190‰ for ambient plots with near-background litter additions. The model results compare well, yet the results from the east site are more consistent with fewer discrepancies. The absolute mean errors are much lower for the high and low treatments for the east site (21 and 8 gm C m^{-2}) compared to the west site (53 and 85 gm C m^{-2}). This pattern of better fit of the model to observed data from the east site is true for both the humus layer and the surface litter layer. The major discrepancy for the humus layer is an underestimate of the west site $\Delta^{14}\text{C}$ value for 2001. It was impossible to adjust the maximum turnover rate of the humus layer to fit both the 2001 point and the observations from 2002 to 2005.

A comparison of the observed and simulated soil mineral $\Delta^{14}\text{C}$ (0-30 cm soil depth) values from 1950 to 2005 (Figure 6a) for the low east site shows that the soil $\Delta^{14}\text{C}$ values peaked from 1975 to 1985, and then started to decrease. The major discrepancy is the model underestimate of the soil $\Delta^{14}\text{C}$ in 1973 which is likely a result from the fact that the observed 1973 $\Delta^{14}\text{C}$ value is for the 0-15 cm depth (simulated 0-30 cm depth soil includes older soil that has not been impacted by the recent increases in atmospheric $\Delta^{14}\text{C}$ bomb carbon). Model results for the east site show a continuing pattern of decreasing $\Delta^{14}\text{C}$ values for both litter addition treatments from 1995 to 2005 (Figure 6b). This contrasts with the west site results after 1999 where increases in soil $\Delta^{14}\text{C}$ are observed and simulated (Figure 6b). The observed data is consistent with simulations showing higher soil $\Delta^{14}\text{C}$ values for the west site compared to the east site, and higher values of $\Delta^{14}\text{C}$ by 2005 for the enriched litter treatment. The observed vs. simulated mean absolute error for the mineral soil $\Delta^{14}\text{C}$ values in the high and low treatments in the west site is lower (10 and 8 gm C m^{-2}) compared to the east site (12 and 14 gm C m^{-2}). The biggest model discrepancy is an underestimate of the east site low treatment humus $\Delta^{14}\text{C}$. The standard deviation for the observed mineral soil $\Delta^{14}\text{C}$ data is quite high for both sites.

3.2. Model Validation Comparisons

The Enriched Background Isotope Study soil respiration $\Delta^{14}\text{C}$ data for the mineral soil, humus, and surface litter layers, and for total soil respiration, along with the dead and live root $\Delta^{14}\text{C}$ data, were not used in the model calibration process, and as a result, could be used to validate model predictions. A comparison of the observed vs. simulated $\Delta^{14}\text{C}$ values for the live and dead roots for the east and west sites (Figure 7) generally agree with higher $\Delta^{14}\text{C}$ values for the dead and live roots in the west site, and a pattern of decreasing $\Delta^{14}\text{C}$ values from 1999 until

2004 for both sites. The elevated $\Delta^{14}\text{C}$ values after 2004 are due to increased atmospheric $\Delta^{14}\text{C}$ for both the east and west sites (higher increases in the west site). A comparison of the simulated live juvenile root $\Delta^{14}\text{C}$ values with the new root growth screen data (Figure 7c - root biomass that grows in screens inserted into the soil) shows that the model correctly predicts the observed decreases in $\Delta^{14}\text{C}$ values following the 1999 atmospheric labeling events; higher $\Delta^{14}\text{C}$ values for the west site compared to the east site, and the observed increase in $\Delta^{14}\text{C}$ values in 2004 and 2005. The major discrepancy is an overestimate of live (total root biomass and juvenile roots) and dead root $\Delta^{14}\text{C}$ values by the model for the west site from 2001 to 2004.

Simulated total soil respiration and $\Delta^{14}\text{C}$ values for the west site during August 2003 (Figure 8) show large day-to-day changes in total soil respiration and spikes in the $\Delta^{14}\text{C}$ values for the high ^{14}C litter treatments associated with rainfall events. These results are consistent with the data from *Cisneros-Dozal et al.* [2007] showing a 50-100% increase in soil respiration $\Delta^{14}\text{C}$ values following rainfall events for the high treatments and minimal changes in $\Delta^{14}\text{C}$ of soil respiration following rainfall events for the low treatments. Increases in the $\Delta^{14}\text{C}$ of soil respiration following rainfall events for the high treatments are caused by rainfall-induced increased decomposition of the highly labeled surface litter layer (surface litter and humus layers).

A comparison of the observed vs. simulated $\Delta^{14}\text{C}$ soil respiration values for all of the soil pools and different treatments (Figure 9) shows that the model performed well, representing the observed data set ($r^2 = 0.75$). Simulated mean mineral soil respiration $\Delta^{14}\text{C}$ values (Figure 9a) follow a pattern of higher $\Delta^{14}\text{C}$ levels in the east site; however, the model tends to underestimate the observed increase in $\Delta^{14}\text{C}$ levels for the high vs. low treatments at both the east and west sites. Our results suggest that the model underestimated the amount of labeled aboveground litter

dissolved organic carbon transported to the mineral soil layer, and lost as soil respiration from the mineral soil layer. Simulated and observed 2001 soil respiration $\Delta^{14}\text{C}$ values for the surface litter and humus layers (Figure 9b) follow the general pattern of higher values for the west site, and an increase in $\Delta^{14}\text{C}$ levels with the high treatment. The model tends to underestimate the humus layer $\Delta^{14}\text{C}$ content and also appears to be underestimating the amount of the elevated $\Delta^{14}\text{C}$ material that is transferred to the humus layer for the west site.

3.3. Sensitivity Analysis

The model tuning process revealed that results are sensitive to the assumed values of the atmospheric $\Delta^{14}\text{C}$ values on the east and west sites, and also to the fraction of the mineral soil organic matter in the passive pool (Figure 1). We assumed that the atmospheric $\Delta^{14}\text{C}$ values for the east and west sites were equal to the observed $\Delta^{14}\text{C}$ values of new wood cellulose. Observed atmospheric $\Delta^{14}\text{C}$ values for the east and west sites were measured from 2001 to 2005, showing variability both within the year and among different years. The impact of changing the atmospheric $\Delta^{14}\text{C}$ values by $\pm 30\%$ after 1995 on simulated mineral soil $\Delta^{14}\text{C}$ values (Figure 10a,b) shows that the model best fit the west site observed soil $\Delta^{14}\text{C}$ values for the high and low treatments with a 30% increase in atmospheric $\Delta^{14}\text{C}$ levels. Similar results are also found for the simulated $\Delta^{14}\text{C}$ values for the humus layer (data not presented) in the high and low treatment model runs for the west site, thus suggesting that the mean atmospheric $\Delta^{14}\text{C}$ values were underestimated by the cellulose $\Delta^{14}\text{C}$ values in the west site. Unfortunately, model results for the simulated live and dead roots in the east site don't agree with these results since elevating the atmospheric $\Delta^{14}\text{C}$ values by 30% increased the simulated overestimate of the live and dead root

$\Delta^{14}\text{C}$ values (data not shown). Results for the east site (Figure 10c,d) show that the best model results for the mineral soil $\Delta^{14}\text{C}$ values occur with a 30% reduction in atmospheric $\Delta^{14}\text{C}$ values.

The best fit estimate of the fraction of mineral soil organic matter in the passive fraction for combined east and west sites was 47%. We kept the total mineral soil organic matter fixed and altered the decay rates of slow and passive pools in order to set up computer model runs with 40% and 55% passive soil organic matter. Results for the east site (Figure 11a,b) showed that the best fit to the observed mineral soil $\Delta^{14}\text{C}$ data was obtained with 55% passive soil organic matter. The improved fit to the observed data was clearest for the east site low treatment where the model overestimated the observed soil $\Delta^{14}\text{C}$ values. Opposite results were observed for the west site where the 40% passive run was best fit to the observed high and low mineral soil $\Delta^{14}\text{C}$ values.

4. Discussion

We demonstrate here that the ForCent model can successfully simulate carbon dynamics of deciduous forest systems. Model results were compared with observed plant production data, carbon in the soil and plant pools, and $\Delta^{14}\text{C}$ dynamics for plants and soils during the Enriched Background Isotope Study experiment. Data from the Oak Ridge National Laboratory site was used to make major changes to the ForCent model and calibrate some of the parameters. The major improvements in the model include adding a detailed root growth model, a dynamic plant carbon allocation scheme, a surface humus layer, plant phenology in the plant production submodel, including a rainfall pulse response to the surface litter decay, and adding a plant stored carbohydrate pool. A comparison of the earlier DayCent model results show that the new ForCent model greatly improved the ability of the model to simulate year to year changes in

forest plant production (total plant production r^2 increased from 0.19 to 0.52). The process of adding plant phenology and seasonal patterns in wood growth (wood growth ceases at the end of July) resulted in a decrease in the simulated inter-annual variability of plant production, consistent with the observed inter-annual production data [Hanson *et al.*, 2003a]. Stopping wood growth at the end of July results in plant storage of soil nutrients from August to October, and then utilized during the next growing season.

One of the major improvements in the ForCent model was to include the precipitation pulse event multiplier for the surface litter decay based on the Hanson *et al.* [2003a] data-based soil respiration model. Comparison of the Hanson- and ForCent-simulated daily soil respiration rates, taken from 1993 to 2000 for the Oak Ridge National Laboratory site, showed close agreement with the observed data for both models ($r^2 = 0.61$ and 0.64). Comparison of the daily simulated soil respiration for the Hanson and ForCent models for six of the eight years was quite good (r^2 ranging from 0.72 to 0.84). The ForCent model predicted lower soil respiration compared to the Hanson model during two of the years when ForCent predicted lower than average live root biomass and root production. Lower total soil respiration simulated by ForCent during these time periods resulted from reduced autotrophic respiration (maintenance plus growth) from the live roots. Root dynamics in the ForCent model are quite dynamic and respond to year-to-year differences in plant production and water stress, while the Hanson model assumed root growth patterns were less dynamic. It is not clear which model is correct since we did not have sufficient observed data during the time periods when the major ForCent and Hanson model differences were observed.

The ForCent model correctly simulated higher $\Delta^{14}\text{C}$ levels for the surface litter and mineral soil pools in the west site, higher $\Delta^{14}\text{C}$ levels for the high labeled litter treatment, and also the

incorporation of highly labeled leaf litter into the humus layer. Simulated results for the west site showed lower $\Delta^{14}\text{C}$ levels for mineral soil and humus layers compared to the observed data and suggest that the atmospheric $\Delta^{14}\text{C}$ levels for the west site might be underestimated. A sensitivity analysis suggests that increasing the assumed atmospheric $\Delta^{14}\text{C}$ levels from 1995 to 2005 results in an improved fit of the model results for the mineral soil and humus layer $\Delta^{14}\text{C}$ levels for the west site. The results from the observed and simulated west site root $\Delta^{14}\text{C}$ data suggest that west site atmospheric $\Delta^{14}\text{C}$ should be decreased and thus are inconsistent with mineral soil $\Delta^{14}\text{C}$ data. Results from the east site show that decreasing the atmospheric $\Delta^{14}\text{C}$ level results in a better fit to the observed mineral soil $\Delta^{14}\text{C}$ levels.

The model fitting process and sensitivity analysis revealed that it is possible to correctly simulate the observed temporal changes in the mineral soil $\Delta^{14}\text{C}$ values during the last 50 years using different assumptions about the fraction of the total mineral soil carbon in the passive soil organic matter pool (40-55%). We chose to fit the model using 47% since the best fit for the passive fractionation for the west site was 55% and was 40% for the east site. The new estimates of the turnover rates for slow and passive soil organic matter are different from the original Century model estimates [Parton *et al.*, 1987], with the decay rate for passive soil organic matter decreased by 50% and the slow decay rate increased by 100% compared to the original values. Falloon *et al.* [1998] fit the RothC model to a similar data set at the Rothamsted site in England and assumed that the passive fraction (inert fraction in RothC) was only 10% of the soil organic matter pool. Petersen *et al.* [2005a, 2005b] used the CN-SIM model to simulate the changes in the mineral soil $\Delta^{14}\text{C}$ values during the last 50 years at three sites in Europe, and found that equally good fits to the observed data were between 10% and 50% of mineral soil organic matter in the inert fraction. A comparison of the results from the RothC, CN-SIM, and ForCent models

shows that the peak mineral soil $\Delta^{14}\text{C}$ values occurred from 1975 to 1985 and had a similar temporal pattern during the last 50 years (increasing after 1957 and then decreasing after 1985). The results from the three different models show that there is considerable uncertainty in our estimates of the fraction of total mineral soil C in passive soil organic matter and in the decay rates of the slow and passive (or inert) pools.

Observed differences between the high and low treatment mineral soil $\Delta^{14}\text{C}$ values show a slight increase for the high treatments. This increase is difficult to measure because of the large amount of carbon in the 0-15 cm soil layer, and suggests that our estimate of the mixing rate of humus material into the mineral soil layer is not well bounded. We are starting a new multi-site ^{14}C surface litter layer experiment which will allow us to better quantify this flux since we will be measuring the 0-5 cm mineral soil layer instead of the 0-15 cm layer used in the Enriched Background Isotope Study experiment. Future re-sampling of the $\Delta^{14}\text{C}$ values of the humus and mineral soil layers from the Enriched Background Isotope Study experimental plots will also provide data to better quantify the mixing rate of humus material into the mineral soil.

We used the observed Enriched Background Isotope Study $\Delta^{14}\text{C}$ data for live and dead fine root and soil respiration, surface litter, and layers to validate ForCent simulations (data not used for model calibration) of the movement of ^{14}C into the soil pools. ForCent correctly simulated the observed higher $\Delta^{14}\text{C}$ values for live and dead roots in the west site and also the general pattern of decreasing $\Delta^{14}\text{C}$ values following the 1999 exposure to elevated ^{14}C atmospheric levels. However, the model did tend to overestimate the $\Delta^{14}\text{C}$ values for live roots in the west site. The sensitivity analysis showed that increasing the atmospheric $\Delta^{14}\text{C}$ values in the west site improved the fit of the model to the humus and mineral soil $\Delta^{14}\text{C}$ values. This increase caused

the model to exaggerate the existing overestimate of the live and dead root $\Delta^{14}\text{C}$ values for the west site.

A comparison of the observed vs. simulated soil respiration $\Delta^{14}\text{C}$ values shows that the model correctly simulated the major Enriched Background Isotope Study treatment differences and the movement of $\Delta^{14}\text{C}$ labeled leaf and root carbon into soil organic matter pools (observed vs. simulated $r^2 = 0.75$ overall). The model tended to underestimate the observed increase in mineral soil respiration $\Delta^{14}\text{C}$ values for the high labeled litter treatments. This could result from an underestimate of the amount of labile surface litter material leaching out of the surface litter layer into the mineral soil layer and then quickly lost due to microbial respiration. Dissolved organic carbon flux measurements for the Enriched Background Isotope Study experiment [Fröberg *et al.*, 2007; Fröberg *et al.*, 2009] show that a substantial amount of dissolved organic carbon is leached out of the surface litter layer (surface litter, humus layers) into the mineral soil layer and quickly lost as soil respiration. These data from Fröberg *et al.* [2009] are currently being used to develop a new dissolved organic carbon leaching submodel in ForCent.

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Table 1. Comparison of observed and simulated plant production for leaves, fine roots, total wood production, total production, and soil respiration from 1993-2000 at the Oak Ridge site [Hanson *et al.*, 2003a, 2003b]. The table also contains the maximum and minimum annual flux values during the time period, the mean absolute error and r^2 values for the model vs. observed data comparison.

Annual Plant Production	Simulated mean (g C/m² y⁻¹)	Observed mean (g C/m² y⁻¹)	Mean absolute error* (g C m⁻² y⁻¹)	Model vs. observed data (r²)
Leaf	246.0 (230 – 249)	240.0 (233 – 258)	14.0	0.61
Wood (branch + Large wood + Coarse roots)	267.0 (99 – 374)	264.0 (200 – 348)	43.0	0.60
Fine roots	116.0 (67 – 136)	113.0 (89 – 153)	17.0	0.38
Total production	629.0 (413 – 753)	616.0 (529 – 747)	55.0	0.53
Soil respiration	916.0 (809 – 1024)	941.0 (808 – 976)	52.0	0.77**

* Mean absolute error $\frac{\sum_{t=1}^N \text{abs}(S_i - O_i)}{N}$ where O_i is the observed value, S_i is the simulated value and N is the number of observations.

** Data from 1998 and 1999 were excluded because of uncertainty about which model was correct (see discussion in text)

Table 2: Comparison of the observed and simulated mean $\Delta^{14}\text{C}$ for surface litter, humus, and mineral soil for the east and west sites and high and low treatments. The mean absolute error for the surface litter, humus, and mineral soil layer is also presented.

		Observed Mean	Simulated Mean	Mean Absolute
		$\Delta^{14}\text{C}$ (‰)	$\Delta^{14}\text{C}$ (‰)	Error*
Surface Litter				
East	High	503.0	478.0	52.0
	Low	211.0	211.0	27.0
West	High	646.0	628.0	42.0
	Low	358.0	361.0	48.0
Humus				
East	High	266.0	200.0	21.0
	Low	200.0	201.0	8.0
West	High	405.0	351.0	53.0
	Low	357.0	272.0	85.0
Carbon				
Soil East	High	119.0	125.0	12.0
	Low	108.0	122.0	14.0
Soil West	High	146.0	142.0	10.0
	Low	143.0	139.0	8.0

*Mean absolute error = $\frac{\sum_{i=1}^N \text{abs}(O_i - S_i)}{N}$ where O_i is the observed value, S_i is the simulated value, and N is the number of observations.

1 **Figure Captions**

2 Figure 1. Flow diagram and components of the ForCent forest growth model. The ForCent
3 model simulates $\Delta^{14}\text{C}$ and $\Delta^{13}\text{C}$ content for all of the carbon state variables and flows in the
4 model (e.g., soil respiration).

5 Figure 2. Revised flow diagram for the surface organic and mineral soil layers in the ForCent
6 model.

7 Figure 3. Fine root growth submodel used in the ForCent model. This model is based on the
8 model developed by *Parton et al.* [1978].

9 Figure 4. (a) Impact of soil relative water content [F (W)] on decomposition of ForCent soil
10 pools; (b) the effect of soil temperature [F (T)] on the decomposition of soil pools; and (c)
11 observed atmospheric $\Delta^{14}\text{C}$ levels from 1950 to 2005 for the east and west EBIS experimental
12 sites. Atmospheric $\Delta^{14}\text{C}$ values for the east and west sites from 1995 to 2006 are based on
13 observed average wood cellulose values for these sites.

14 Figure 5. Simulated vs. observed $\Delta^{14}\text{C}$ content of the surface litter layer for the (a) east, and (b)
15 west sites. Simulated vs. observed $\Delta^{14}\text{C}$ content of the humus layer for the (c) east, and (d) west
16 EBIS sites. Data is presented for both the low and high litter treatments in addition to the
17 standard deviation of the observed data.

18 Figure 6. Simulated vs. observed mineral soil layer $\Delta^{14}\text{C}$ content for the (a) low east site from
19 1950 to 2005; (b) low and high east site mineral soil $\Delta^{14}\text{C}$ values from 1995 to 2005; and (c)
20 west site (high and low treatments) mineral soil $\Delta^{14}\text{C}$ values from 1995 to 2005. Data from the Δ

^{14}C levels prior to 2001 came from the Walker branch site, and standard deviation of the observed data is plotted.

Figure 7. Simulated vs. observed $\Delta^{14}\text{C}$ levels for the (a) dead roots in the east and west sites; (b) live roots in the east and west sites; and (c) a comparison of simulated $\Delta^{14}\text{C}$ values for live juvenile roots with the observed root screen new root growth $\Delta^{14}\text{C}$ data for the east and west sites (plus the standard deviation of the observed data).

Figure 8. (a) Simulated total soil respiration from August 2003 in response to rainfall events; and (b) observed vs. simulated $\Delta^{14}\text{C}$ of total soil respiration for the high and low treatments at the east site [Cisneros-Dozal *et al.*, 2007].

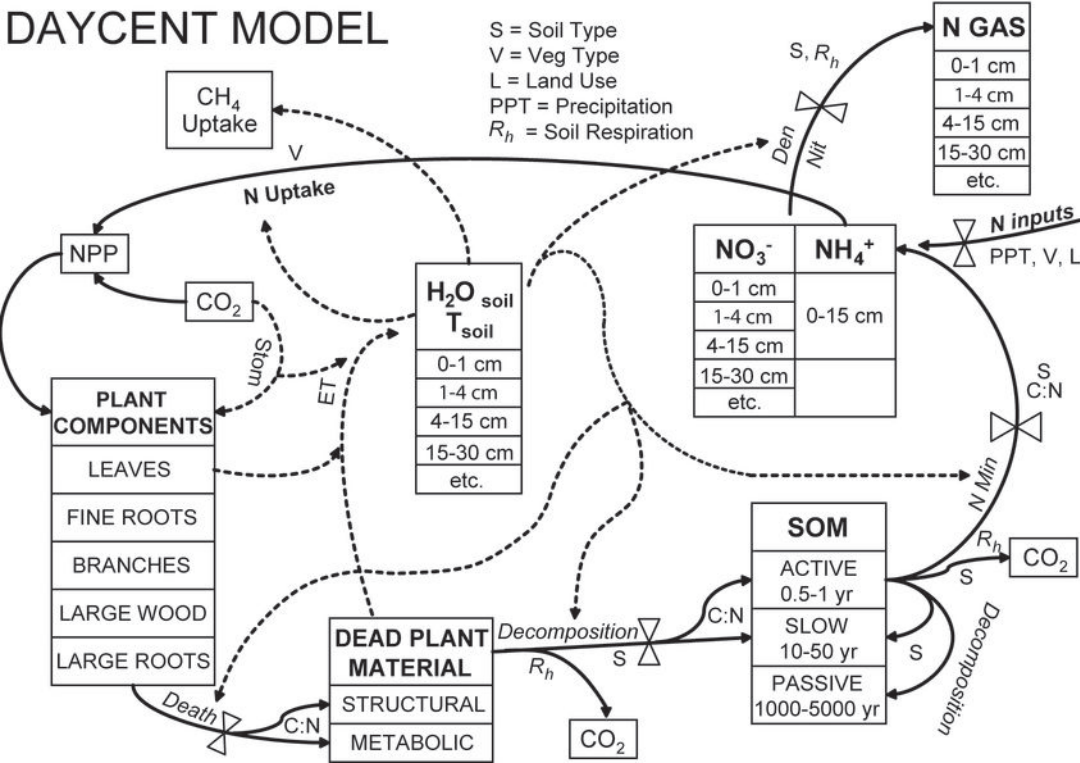
Figure 9. Comparison of observed and simulated average $\Delta^{14}\text{C}$ values for total soil respiration, litter respiration (surface litter plus humus layers), and mineral soil respiration from 2002 to 2004 for (a) east and west site high and low treatments; and (b) average humus and surface litter $\Delta^{14}\text{C}$ respiration from the east and west site high and low treatments for 2001.

Figure 10. Comparison of observed and simulated mineral soil $\Delta^{14}\text{C}$ values for the control runs, and $\pm 30\%$ atmospheric $\Delta^{14}\text{C}$ runs for (a) the east high treatment; (b) east low treatment; (c) west high treatment; and (d) west low treatment. Control atmospheric $\Delta^{14}\text{C}$ values for the east and west sites from 1995 to 2006 were assumed to be equal to the average new cellulose wood growth $\Delta^{14}\text{C}$ values in the east and west sites.

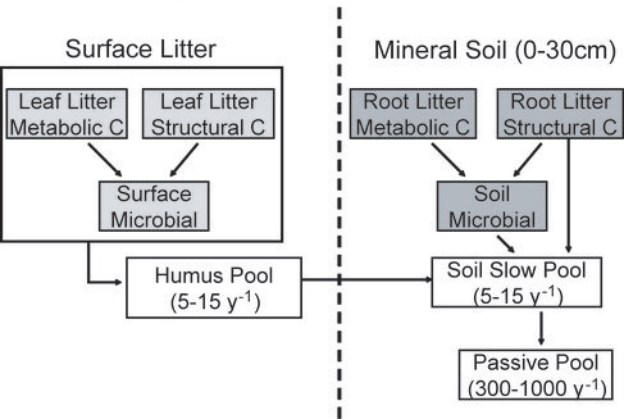
Figure 11. Comparison of the observed and simulated mineral soil $\Delta^{14}\text{C}$ values for the 47% passive SOM pool run, 40% passive SOM pool run, and 57% passive SOM pool run for the (a) east high treatment; (b) east low treatment; (c) west high treatment; and (d) west low treatment.

DAYCENT MODEL

S = Soil Type
 V = Veg Type
 L = Land Use
 PPT = Precipitation
 R_h = Soil Respiration

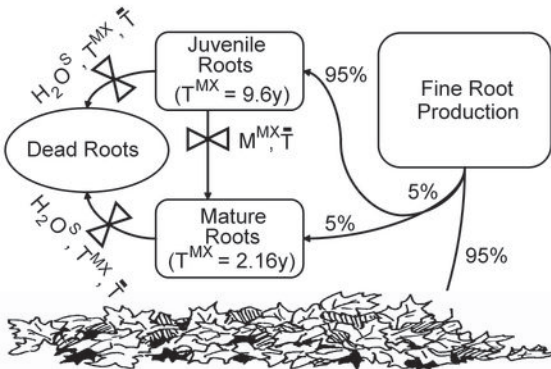


EBIS *ForCent* C Pools

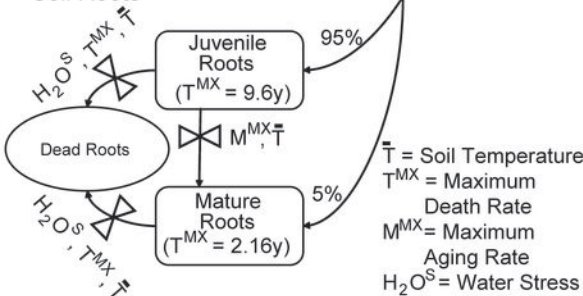


Fine Root Model

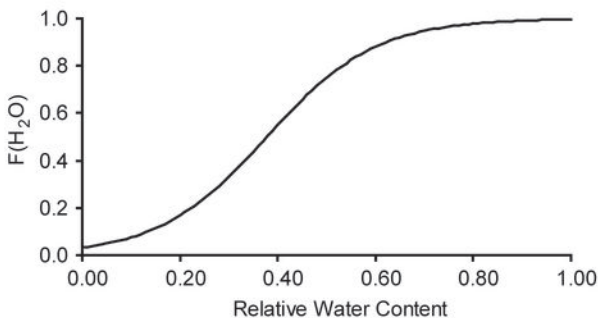
Surface Roots



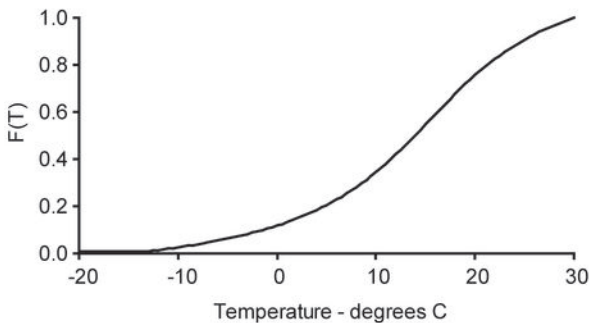
Soil Roots



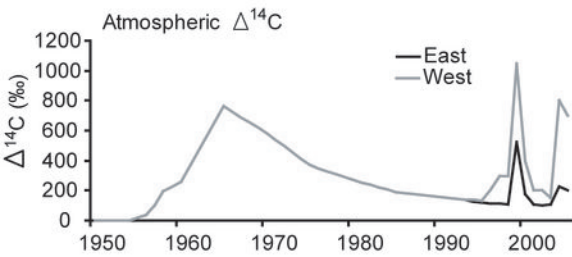
a.

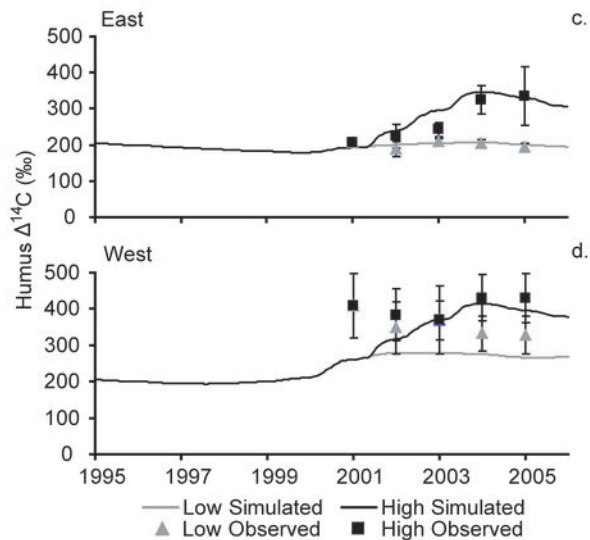
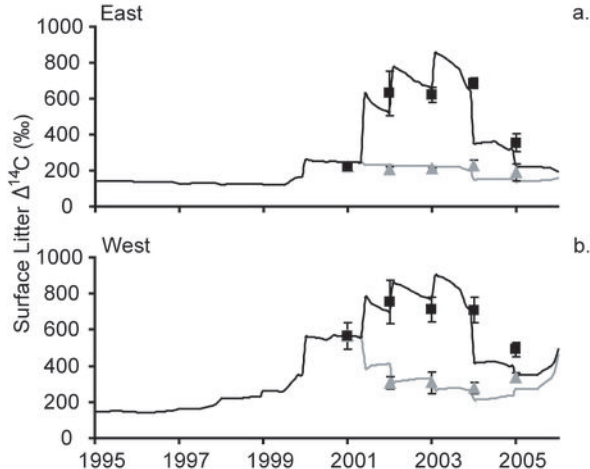


b.



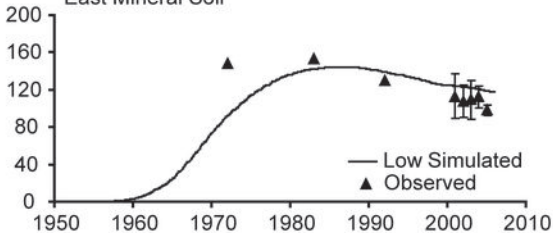
c.





East Mineral Soil

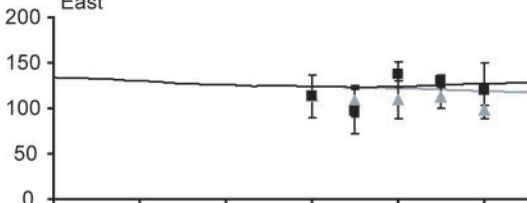
a.



Mineral Soil $\Delta^{14}\text{C}$ (‰)

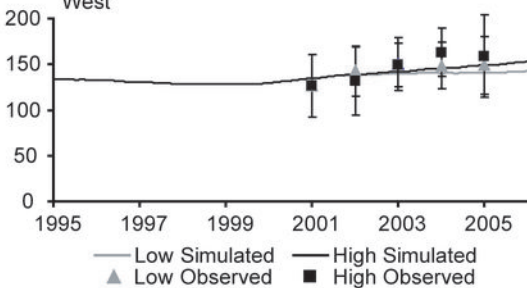
East

b.



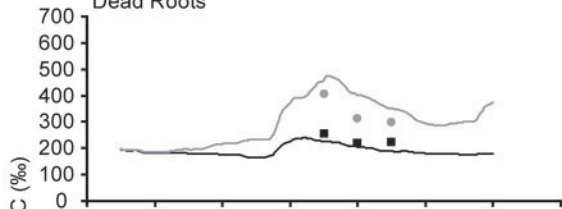
West

c.



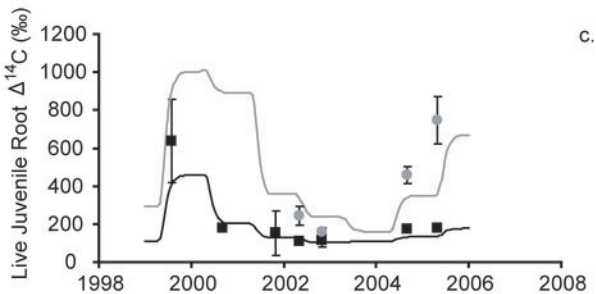
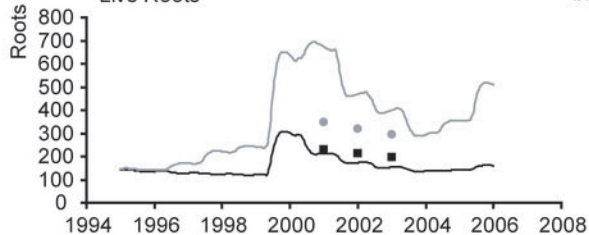
Dead Roots

a.



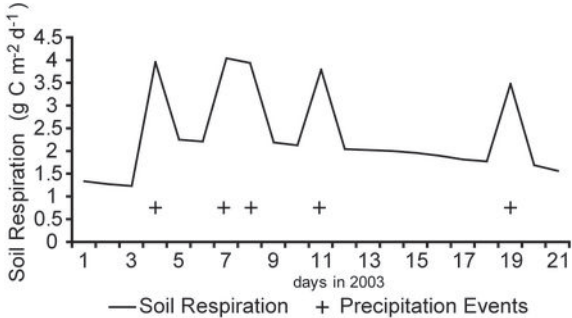
Live Roots

b.

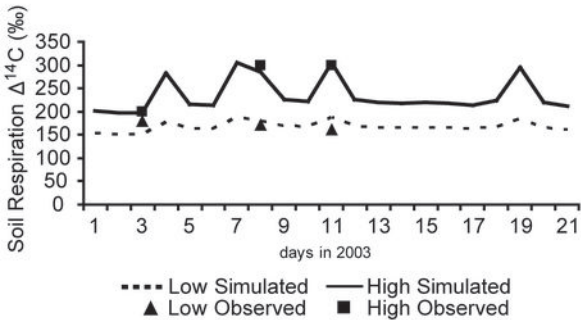


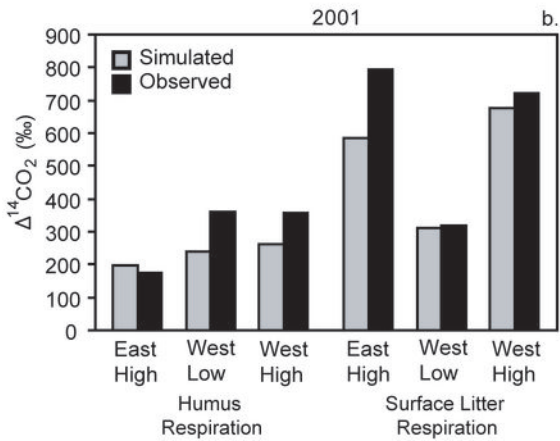
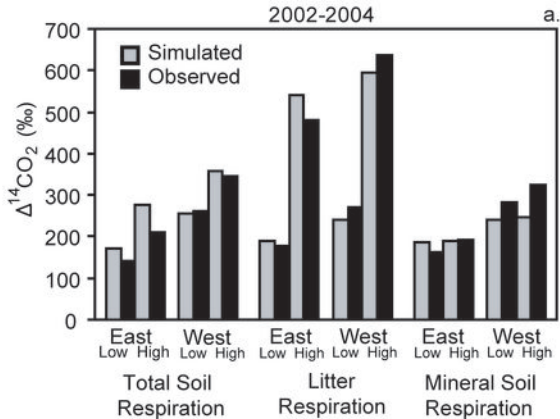
— East Simulated — West Simulated
■ East Observed ● West Observed

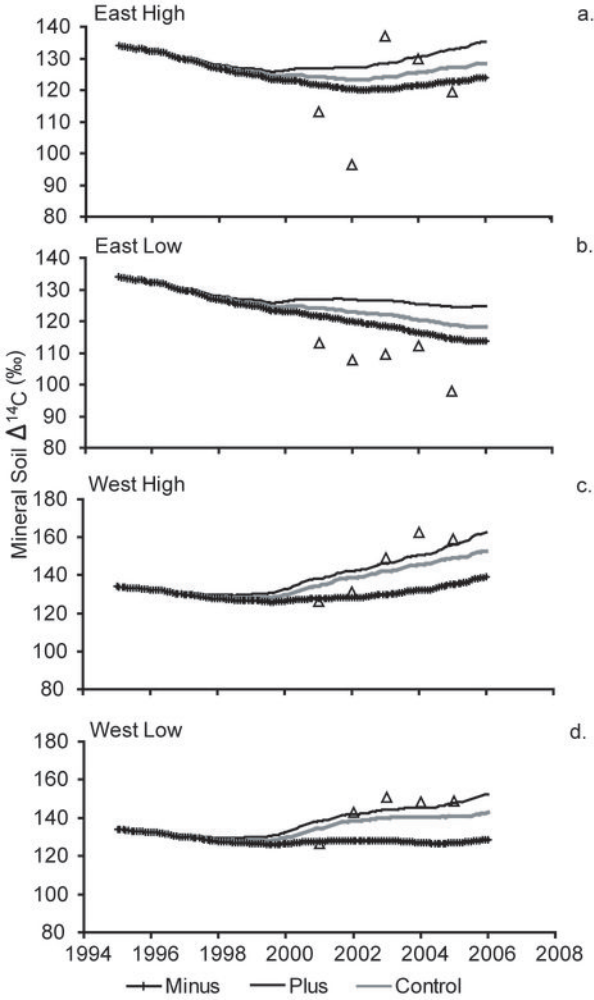
a.

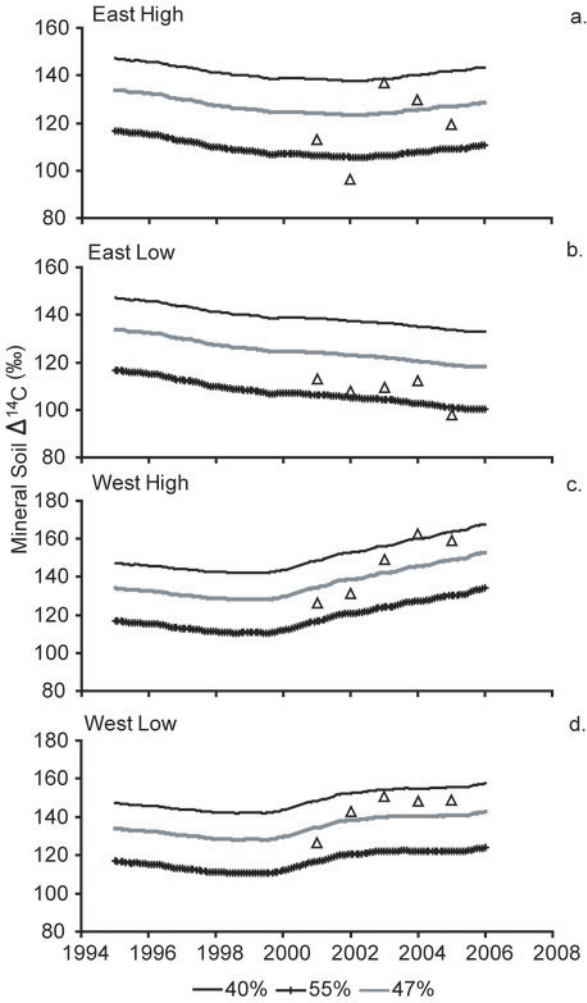


b.









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