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Excitation of Banded Whistler Waves in the Magnetosphere

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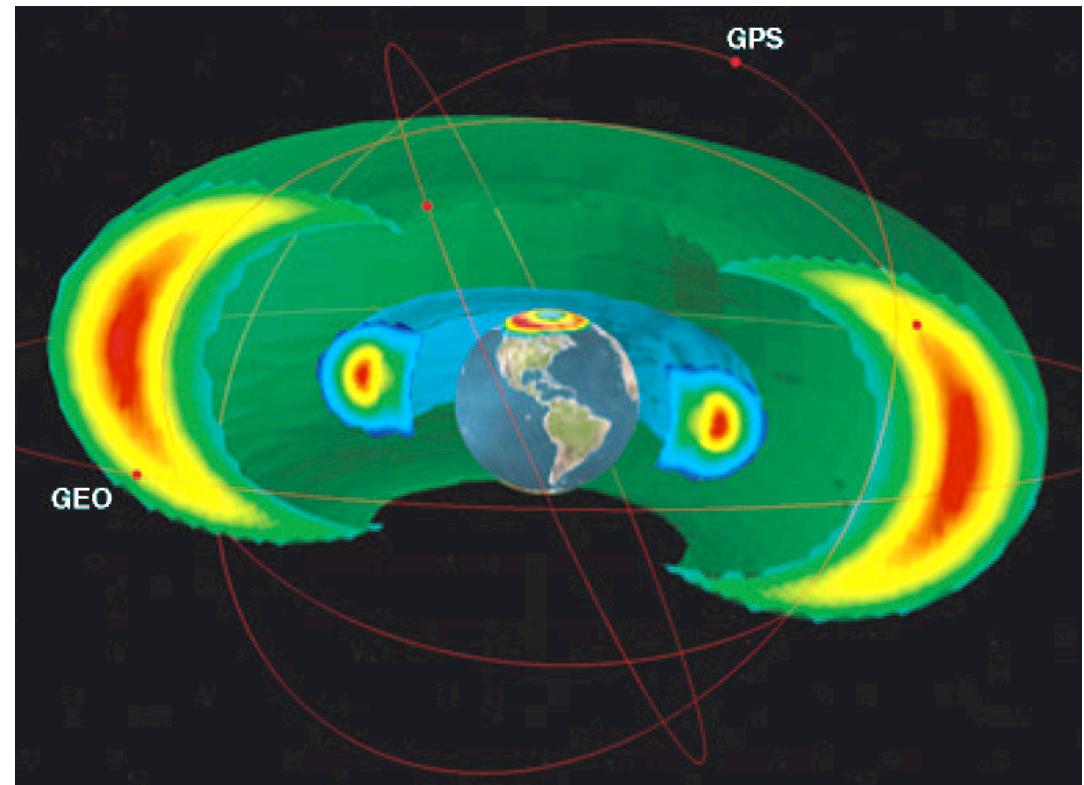
**DTRA Technical Meeting
19 July 2012**

Outline

- Introduction
- Whistler Anisotropy Instability
- Excitation of Banded Whistler Waves
- Summary
- Future Work

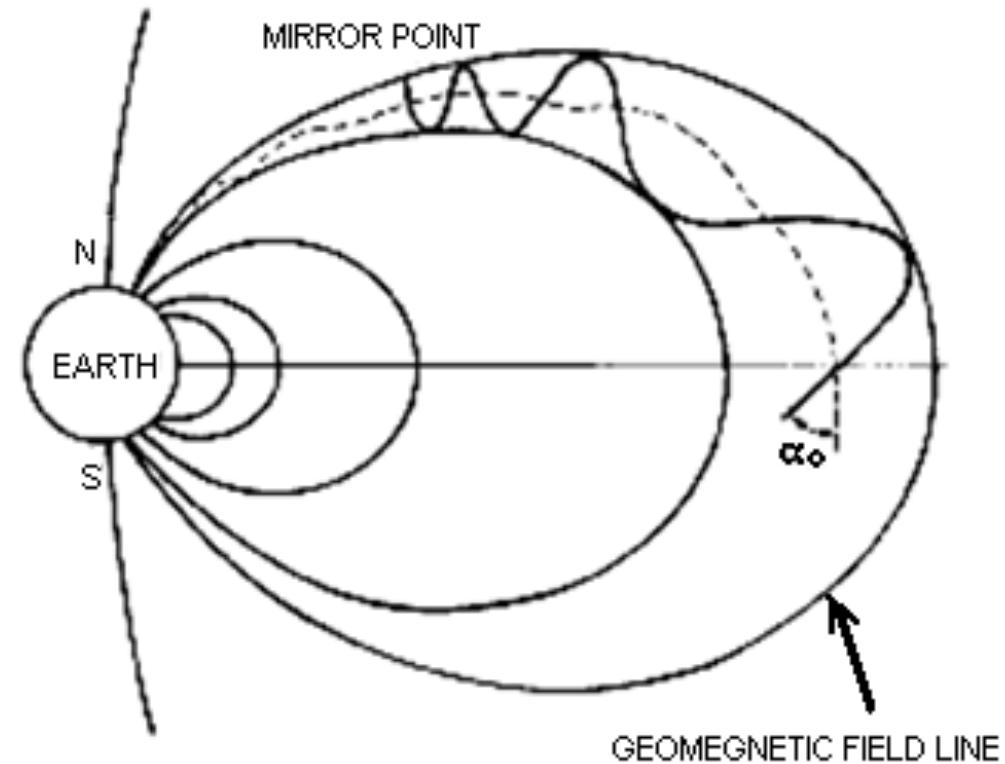
Introduction: Van Allen Radiation Belts

- Historic discovery in 1958 by the Explorer I mission under Dr. James Van Allen
- Torus of energetic charged particles trapped by the geomagnetic field around the Earth
- Two distinct belts: energetic electrons forming the outer belt and a combination of protons and electrons creating the inner belt
- Relativistic electrons ($>\sim 500$ keV) are a serious threat to the operation of spacecraft



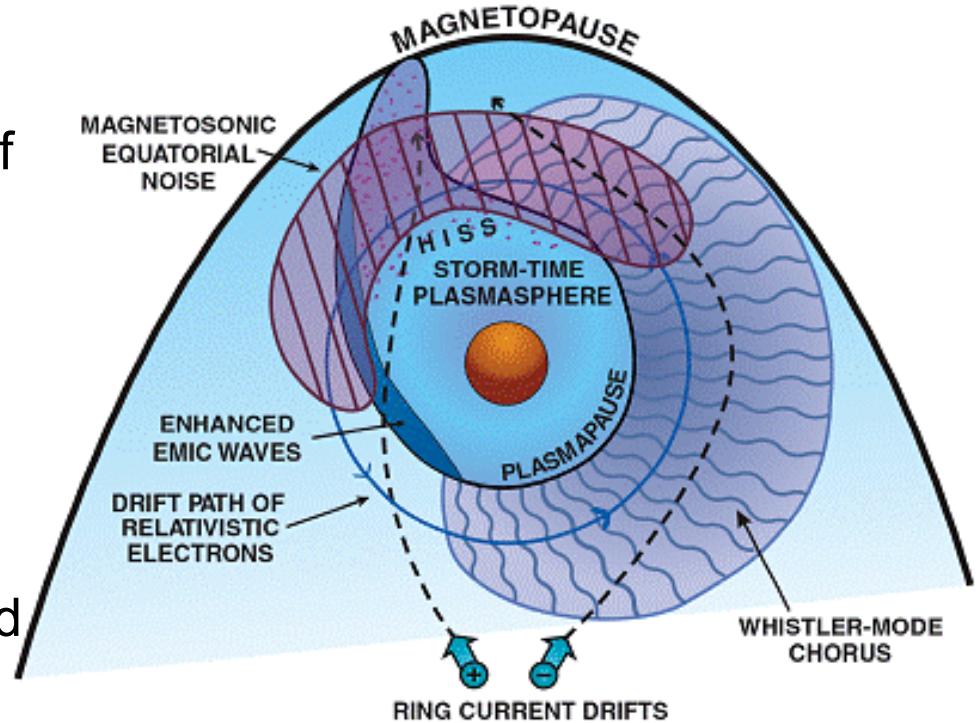
Introduction: Radiation Belt Dynamics

- Particle pitch angle α :
 $p_{||}/p = \cos(\alpha)$
- Particles with equatorial pitch angles $\alpha_E > \alpha_L$ are trapped due to magnetic mirroring
- Particles with $\alpha_E < \alpha_L$ encounter the atmosphere before mirroring, and consequently get lost
- α_L defines the size of “loss cone”, which decreases with distance from the Earth



Introduction: Radiation Belt Dynamics

- The intensity and the structure of the trapped relativistic electron belts are controlled by a balance of acceleration, transport, and loss processes
- Relativistic electrons can be injected from the plasma sheet by geomagnetic storms
- Wave particle Interactions can scatter electrons into loss cone and remove them from radiation belts
- Many waves can scatter relativistic electrons, e.g., EMIC waves, **whistler waves**, and magnetosonic waves



- Schematic illustration of the wave distribution in the inner magnetosphere [Thorne, 2010]

Introduction: Overview of Waves

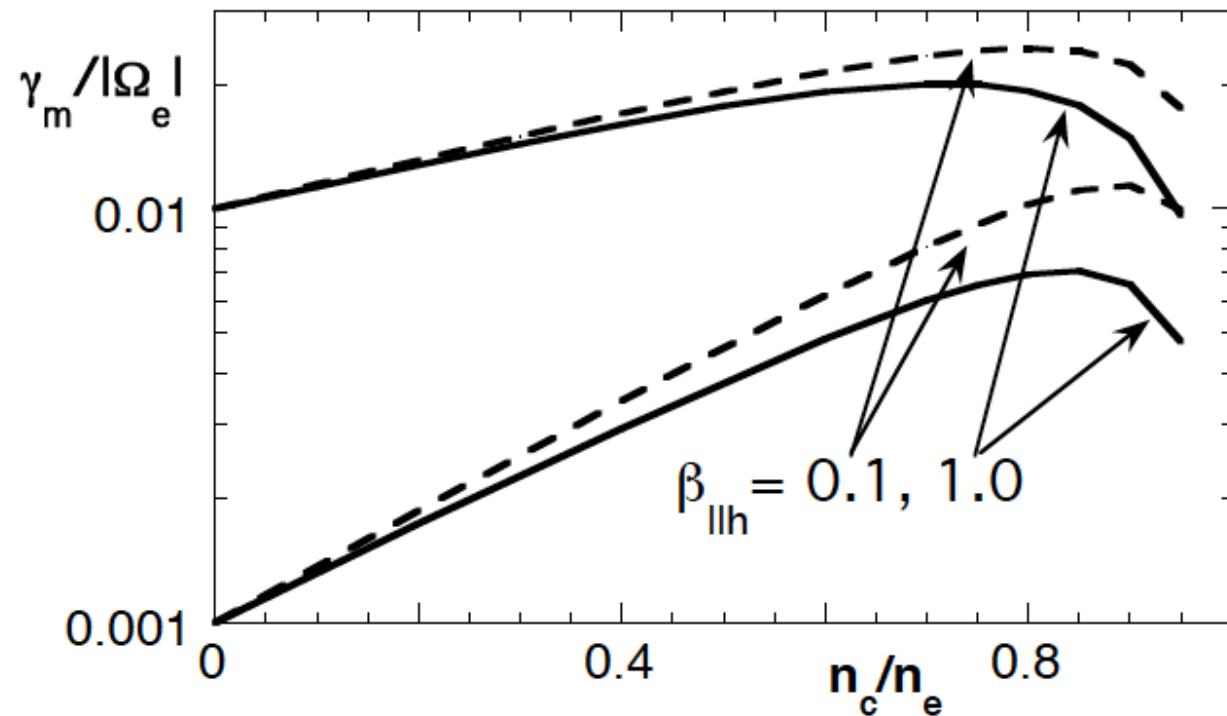
Wave	EMIC Waves	Whistler Waves	Magnetosonic Waves
Free Energy	$T_{i\perp} > T_{i\parallel}$	$T_{e\perp} > T_{e\parallel}$	$\partial f_i(v_\perp)/\partial v_\perp > 0$
Properties	$\omega < \Omega_p$, $k\lambda_i < 1$, nearly parallel propagation	$\Omega_{lh} < \omega < \Omega_e$, $k\lambda_e < 1$, parallel as well as oblique propagation	$\omega \approx n\Omega_p$, $k\rho_i > 1$, nearly perpendicular propagation
Fast Electron Scattering	Mainly in pitch angle	Both in pitch angle and energy	Both in pitch angle and energy
Simulation Model	Hybrid	Particle-in-cell	Particle-in-cell

Whistlers: Linear Kinetic Theory

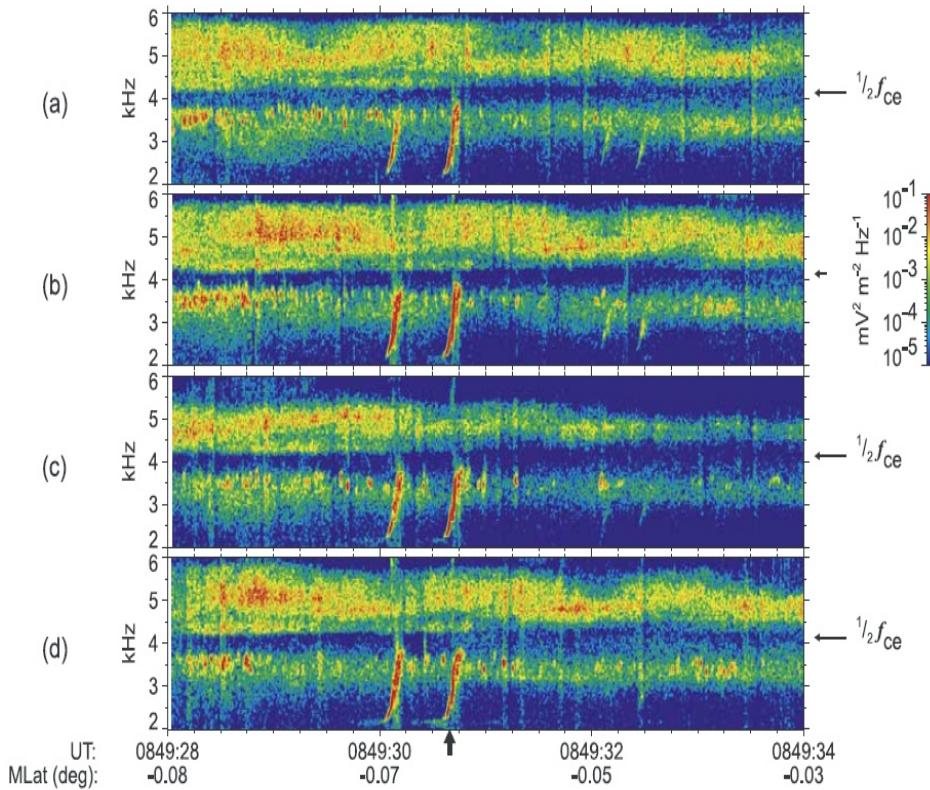
- Whistler anisotropy instability due to $T_{\perp e}/T_{\parallel e} > 1$ is a likely source of enhanced “chorus” frequently observed in outer magnetosphere.
- Kinetic linear theory predicts instability grows at:
 - + $\Omega_{\text{lh}} < \omega < \Omega_e$.
 - + $kc/\omega_{pe} < 1$.
 - + Maximum growth at propagation parallel to \underline{B}_e .
- For a bi-Maxwellian electron velocity distribution, maximum growth rate:
 - + Increases with increasing $T_{\perp e}/T_{\parallel e}$.
 - + Increases with increasing $\beta_{\parallel e}$.
- For more realistic electron distributions, instability response gets more complicated.

Whistler Anisotropy Instability: Cold Electrons Maximize Growth

- In plasmasphere, electron distribution usually consists of at least two components:
 - + Hot, anisotropic, tenuous.
 - + Cold, dense.
- Increasing cold electron density leads to growth rate maximum:



Banded Whistlers



- Whistler observation at $L=4.4$ on 18 April 2002 by the Cluster spacecraft [Santolík *et al.*, 2003]

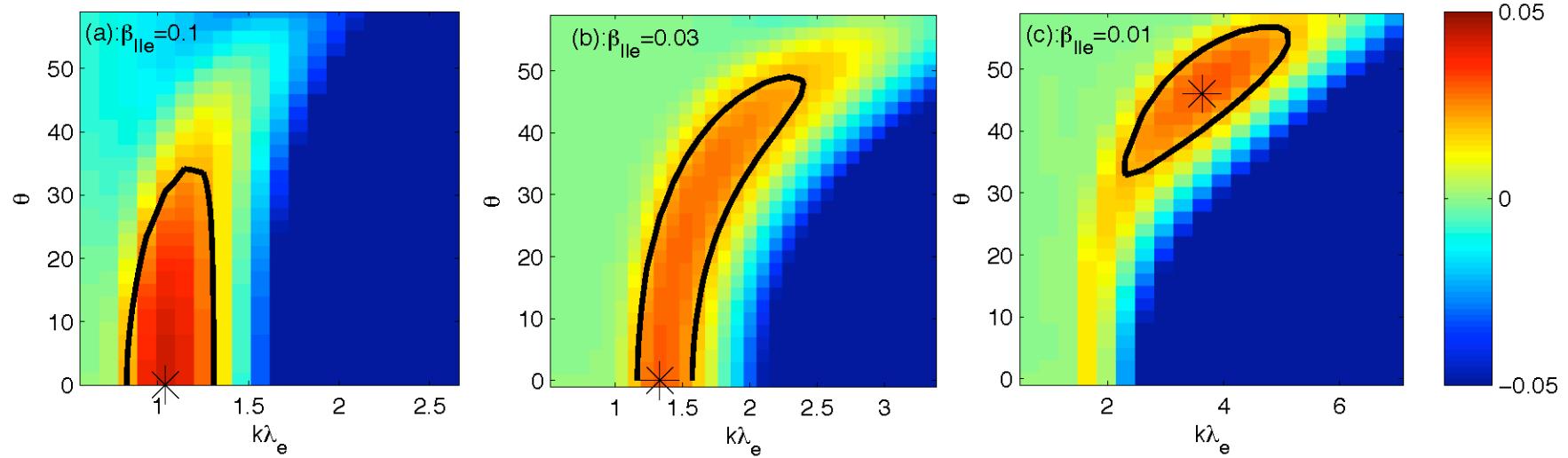
- Banded spectra with a gap near $0.5\Omega_e$
- Composed of discrete narrowband wave elements with rising or falling tones (the classical “chorus”) often accompanied by banded incoherent whistler waves
- Excited near the geomagnetic equator
- No general agreement on wave normal angle observations, but lower-band waves tend to be field-aligned near the equator and upper-band waves seem to be highly oblique at all latitudes [Haque *et al.*, 2010]
- Lower-band waves are stronger on average than upper-band waves [Meredith *et al.*, 2001]

Banded Whistler Excitation

- We focus on the excitation of the banded incoherent whistler waves, while the discrete chorus elements can arise from these waves through nonlinear wave growth involving the inhomogeneity of geomagnetic field [Omura *et al.*, 2008]
- Lower-band waves are generated by **whistler anisotropy instability** driven by anisotropic electrons between a few and tens of keV [Kennel and Petschek, 1966]
- Upper-band waves and the banded structure?
 - + Landau damping [Tsurutani and Smith, 1974]
 - + Propagation effects [Maeda *et al.*, 1976]
 - + Different modes (whistler + ordinary) when $\omega_e < \Omega_e$ [Curtis, 1978]
 - + Upper-band waves are quasi-electrostatic and generated through an instability driven by anisotropic electrons of tens eV [Hashimoto and Kimura, 1981, Hayakawa *et al.*, 1984]
 - + Lower-band and upper-band waves trapped in ducts of enhanced and depleted cold plasma densities, respectively [Bell *et al.*, 2009]
 - + Nonlinear damping of a slightly oblique whistler wave propagating along the inhomogeneous geomagnetic field [Omura *et al.*, 2009]

Whistler Anisotropy Instability: Linear Theory

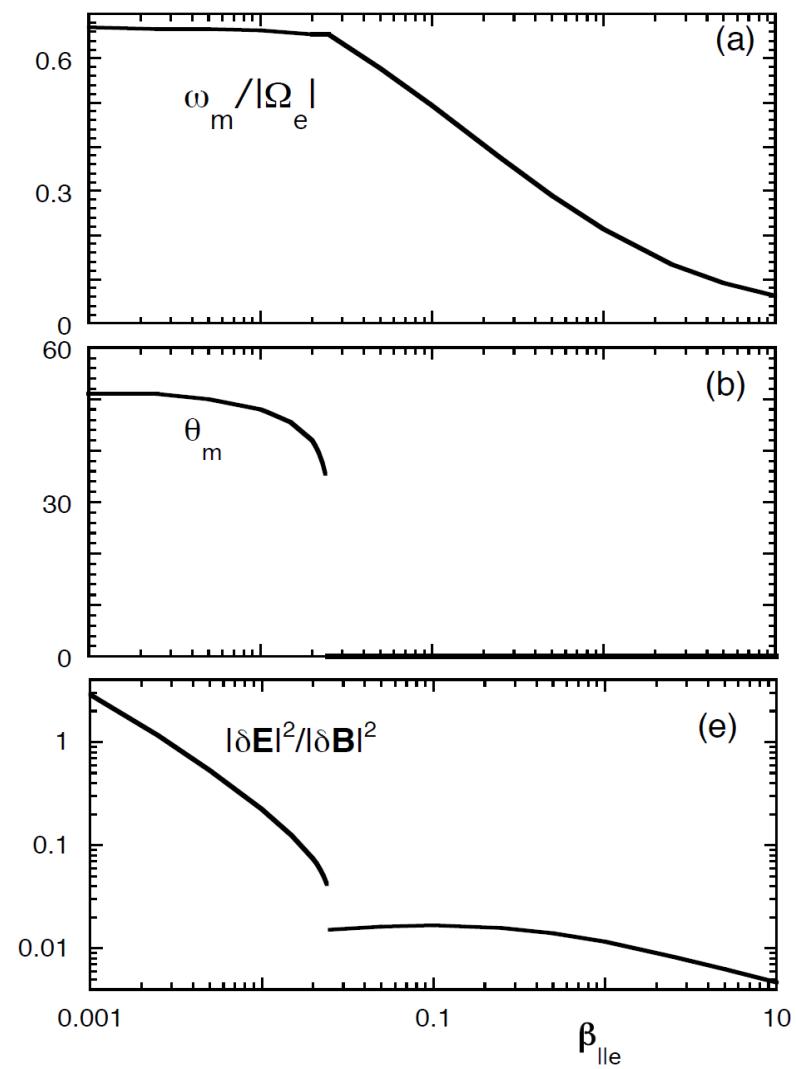
- Driven by anisotropic electrons with $T_{\perp}/T_{\parallel} > 1$
- Lead to whistler fluctuations over $\Omega_{\text{lh}} < \omega_r < \Omega_e$
- Properties of excited waves have a $\beta_{\parallel e}$ dependence when $\omega_e/\Omega_e > 1$:
$$(\beta_{\parallel e} = n_e T_{\parallel e} / (B_0^2 / 2\mu_0) = (2T_{\parallel e} / m_e c^2)(\omega_e / \Omega_e)^2)$$



- The most unstable mode changes from parallel propagation to oblique propagation as $\beta_{\parallel e}$ decreases

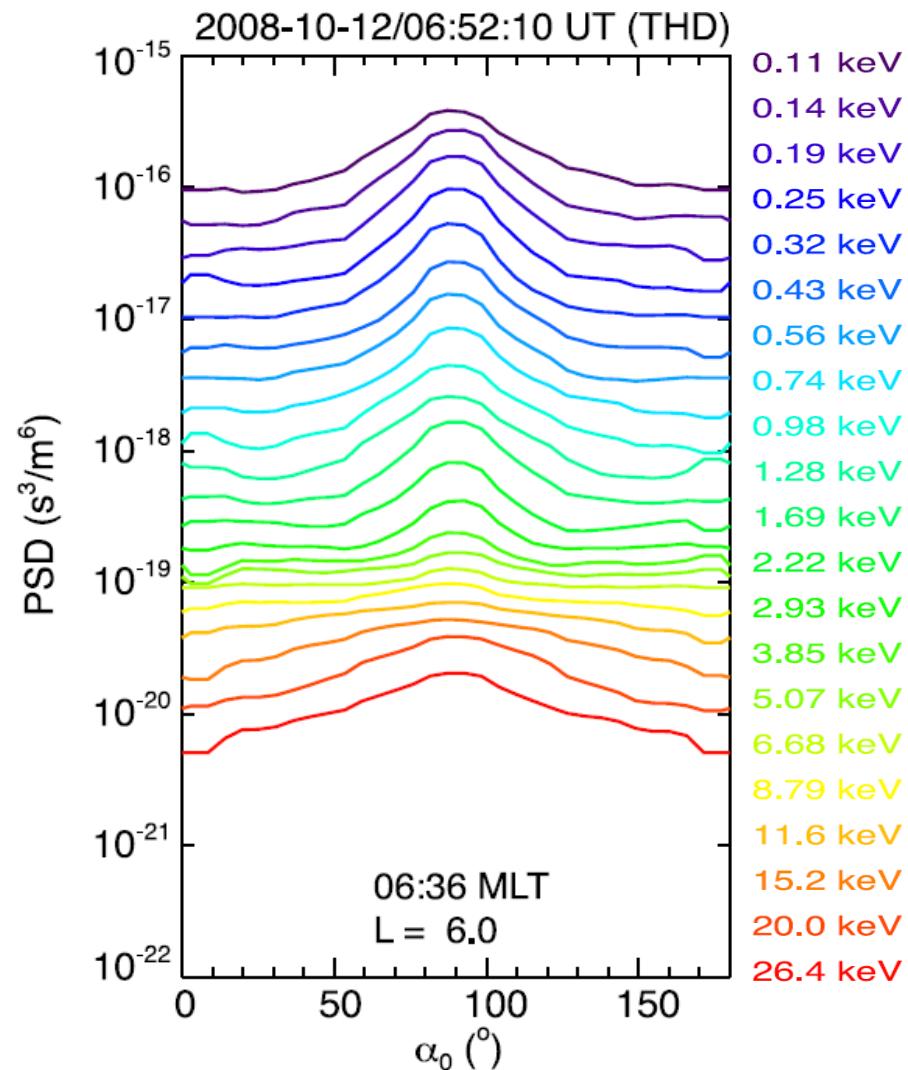
Whistler Anisotropy Instability: Linear Theory

- Two instability regimes:
 - γ_m at parallel propagation and the excited waves are substantially electromagnetic when $\beta_{\parallel e} > \sim 0.025$
 - γ_m at oblique propagation and the excited waves are quasi-electrostatic when $\beta_{\parallel e} < \sim 0.025$
 - The frequency also shifts from below to above $0.5\Omega_e$
- Banded whistler waves can be excited when two bi-Maxwellian electron components with $T_{\perp}/T_{\parallel} > 1$ at different T_{\parallel} are present



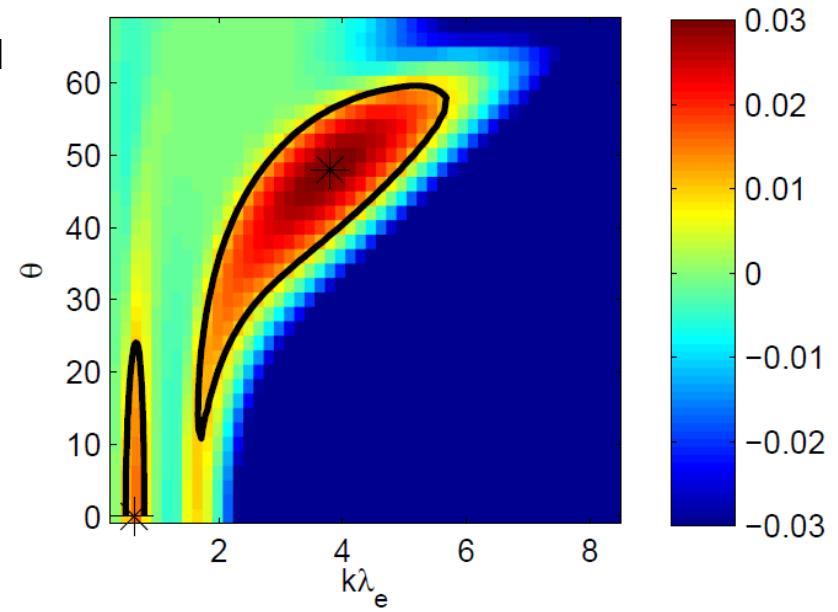
Banded Whistler Excitation: Observations

- *Santolík et al. [2010]* : two anisotropic electron components at different $T_{||}$ can excite the waves in two bands simultaneously
- *Li et al. [2010]* : a statistical survey of the equatorial electron distributions (THEMIS) responsible for chorus excitation



Banded Whistler Excitation: Linear Theory

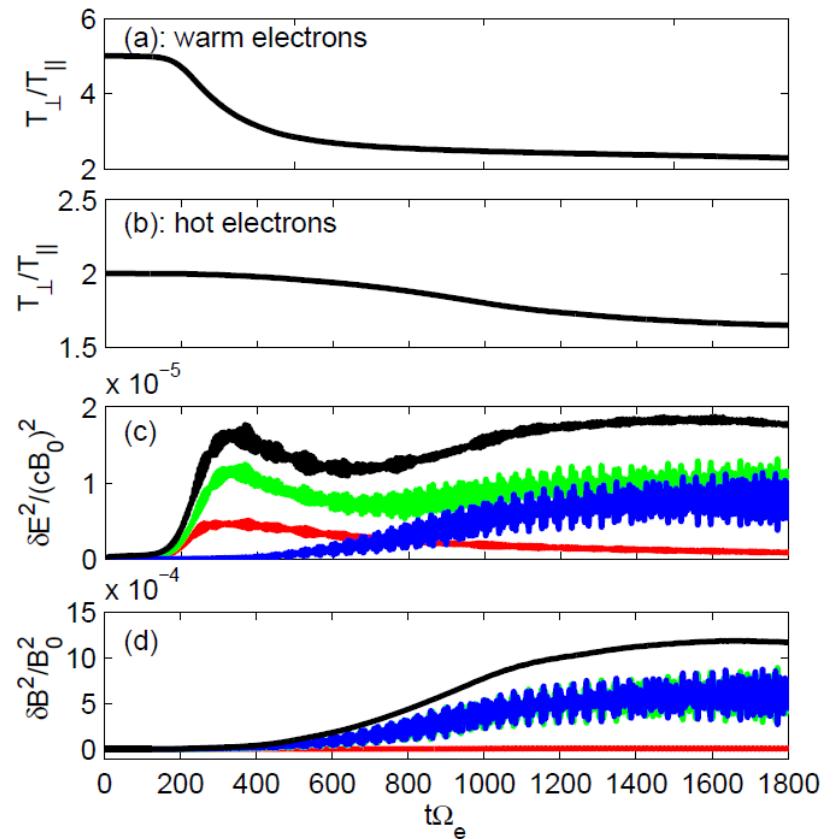
- Electrons: 90% of warm electrons with $T_{\perp}/T_{\parallel} = 5$ at $T_{\parallel} = 160$ eV and 10% of hot electrons with $T_{\perp}/T_{\parallel} = 2$ at $T_{\parallel} = 16$ keV
- $\omega_e/\Omega_e = 4$ ($1 < \omega_e/\Omega_e < 5$ in regions associated with strong chorus [Li et al., 2010])
 - + $\beta_{\parallel w} = 0.01$ and $\beta_{\parallel h} = 1$ if $\beta_{\parallel j} = \frac{n_0}{m_e c^2} T_{\parallel j} / (B_0^2 / 2\mu_0) = (2T_{\parallel j} / m_e c^2)(\omega_e/\Omega_e)^2$
- Hot electrons drive lower-band waves:
 - + $\omega_m/\Omega_e = 0.29$, $\gamma_m/\Omega_e = 0.016$ at $k\lambda_e = 0.63$ and $\theta = 0^\circ$
 - + Substantially electromagnetic : $|\delta E_{\parallel}| \ll |\delta E_{\perp\perp}| \leq |\delta E_{\perp}|$ and $|\delta B_{\parallel}| \ll |\delta B_{\perp}| \leq |\delta B_{\perp\perp}|$
- Warm electrons excite upper-band waves:
 - + $\omega_m/\Omega_e = 0.69$, $\gamma_m/\Omega_e = 0.030$ at $k\lambda_e = 3.8$ and $\theta = 48^\circ$
 - + Quasi-electrostatic : $|\delta E_{\perp\perp}| \ll |\delta E_{\parallel}| < |\delta E_{\perp}|$ and $|\delta B_{\parallel}| \sim |\delta B_{\perp}| < |\delta B_{\perp\perp}|$



- Instability growth rate as a function of $k\lambda_e$ and θ (wave normal angle). The black contour lines are the contour of $\gamma/\Omega_e = 0.01$ [Liu et al., 2011]

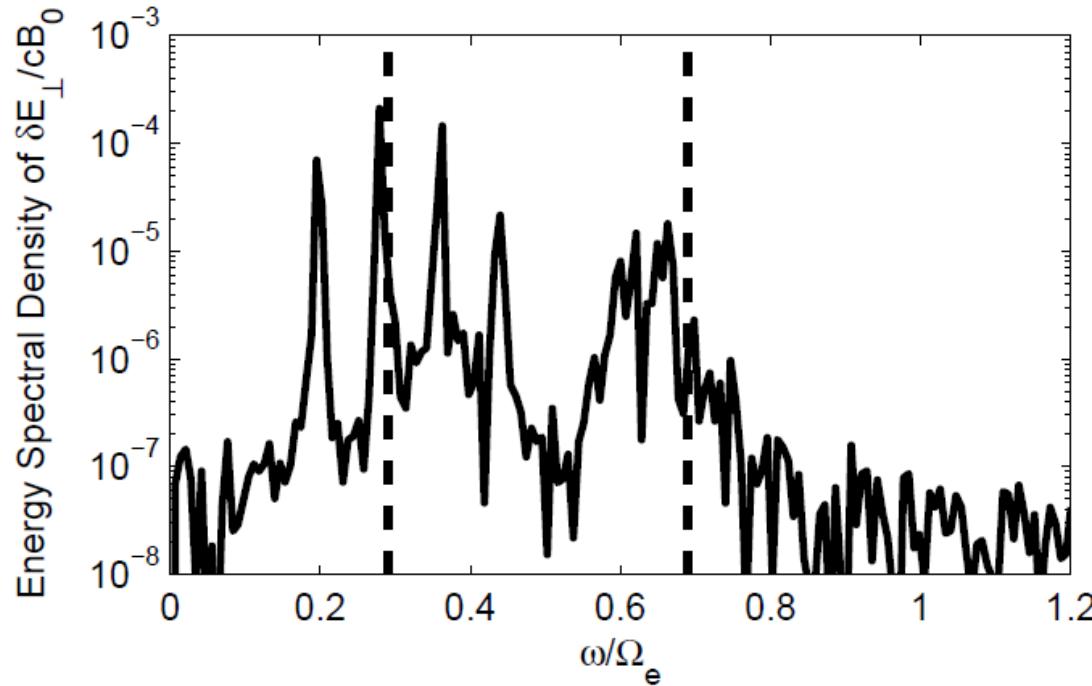
Banded Whistler Excitation: PIC Simulation

- 2D electromagnetic PIC simulation:
 - + $L_x = L_y = 51.4\lambda_e$, $N_x = N_y = 256$, $\Delta t \Omega_e = 0.018$
 - + 9600 simulation particles per cell for each of the two electron components
 - + \mathbf{B}_0 is along x: x-||, y- \perp , z- $\perp\perp$
- The energy increase of δE around $t\Omega_e = 200$ corresponds to the instability development driven by the warm electrons. The enhanced fluctuations are in the upper band and quasi-electrostatic
- The slight decrease of energy in δE after $t\Omega_e = 300$ is due to Landau damping of the quasi-electrostatic fluctuations
- The energy increase after $t\Omega_e = 600$ corresponds to the instability growth driven by the hot electrons. The enhanced fluctuations are in the lower band and predominantly electromagnetic



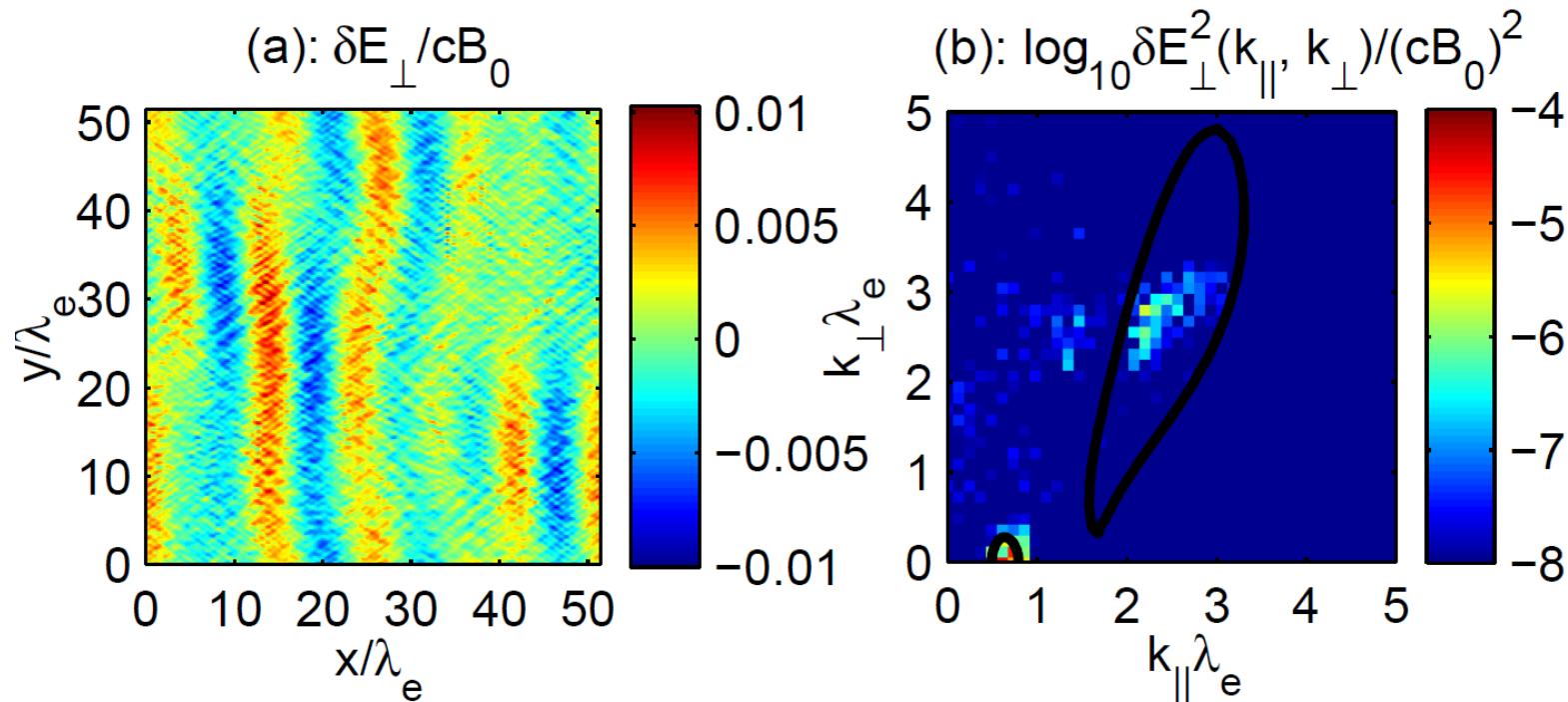
- Time evolution of T_{\perp}/T_{\parallel} of the warm (a) and hot (b) electrons, as well as wave energies in different electric (c) and magnetic (d) field components (red-||, green- \perp , blue- $\perp\perp$, black-total) [Liu et al., 2011]

Banded Whistler Excitation: PIC Simulation



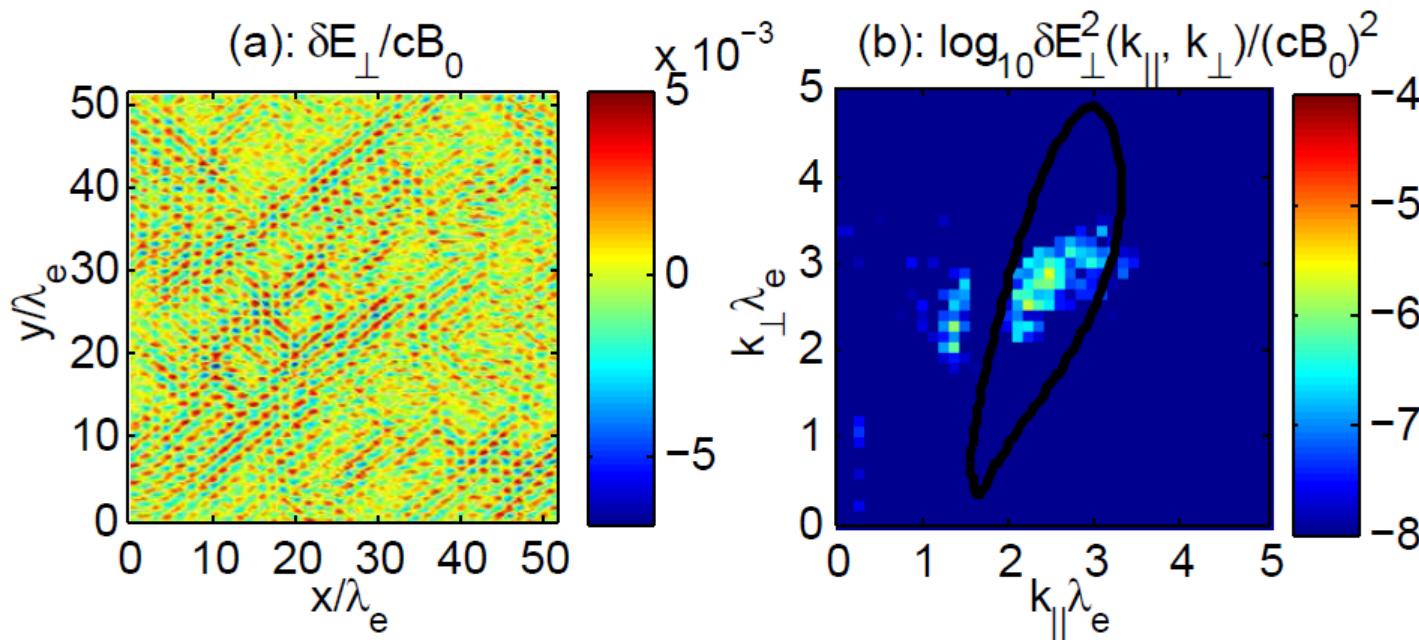
- Energy spectral density of δE_{\perp} at $x=y=25.7\lambda_e$ from $t\Omega_e=900$ to 1800. The two vertical dashed lines mark the locations of the most unstable modes predicted by linear kinetic theory [Liu et al., 2011]
- The four discrete spikes around the lower-band spectral peak are due to the limited size of the simulation domain, which allows only waves of $k=2n\pi/L$, $n=0, 1, 2, \dots$

Banded Whistler Excitation: PIC Simulation



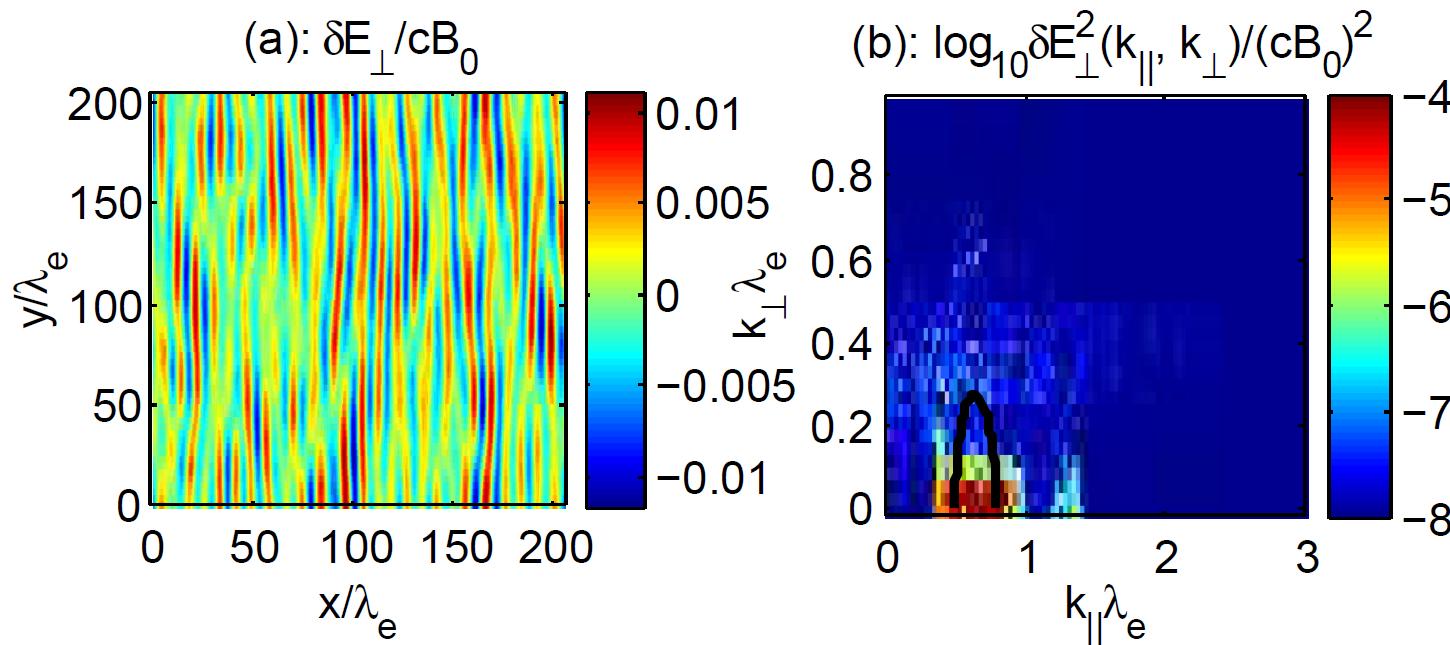
- The wave component δE_{\perp} at $t\Omega_e = 1800$: (a) The contour plot ; (b) The wave number power spectrum. The black contour lines represent the contour of $\gamma/\Omega_e = 0.01$ given by linear kinetic dispersion theory [Liu et al., 2011]
- The superposition of short-wavelength obliquely-propagating waves on top of long-wavelength field-aligned waves
- The weak enhancement of waves around $k_{\parallel}\lambda_e = 1.5$ and $k_{\perp}\lambda_e = 2.5$ suggests that the nonlinear wave-wave coupling mechanism in Schriver et al. [2010] operates weakly

Nonlinear Wave-wave Coupling



- The wave component δE_{\perp} at $t\Omega_e = 1800$ from a two-dimensional PIC simulation with **only the warm electrons being anisotropic**: (a) The contour plot ; (b) The wave number power spectrum. The black contour lines represent the contour of $\gamma/\Omega_e = 0.01$ given by linear kinetic dispersion theory
- The weak enhancement of waves around $k_{\parallel}\lambda_e = 1.5$ and $k_{\perp}\lambda_e = 2.5$ suggests that the nonlinear wave-wave coupling mechanism in *Schröder et al. [2010]* operates: **Obliquely-propagating lower-band waves are excited through nonlinear wave-wave coupling**

Nonlinear Wave-wave Coupling

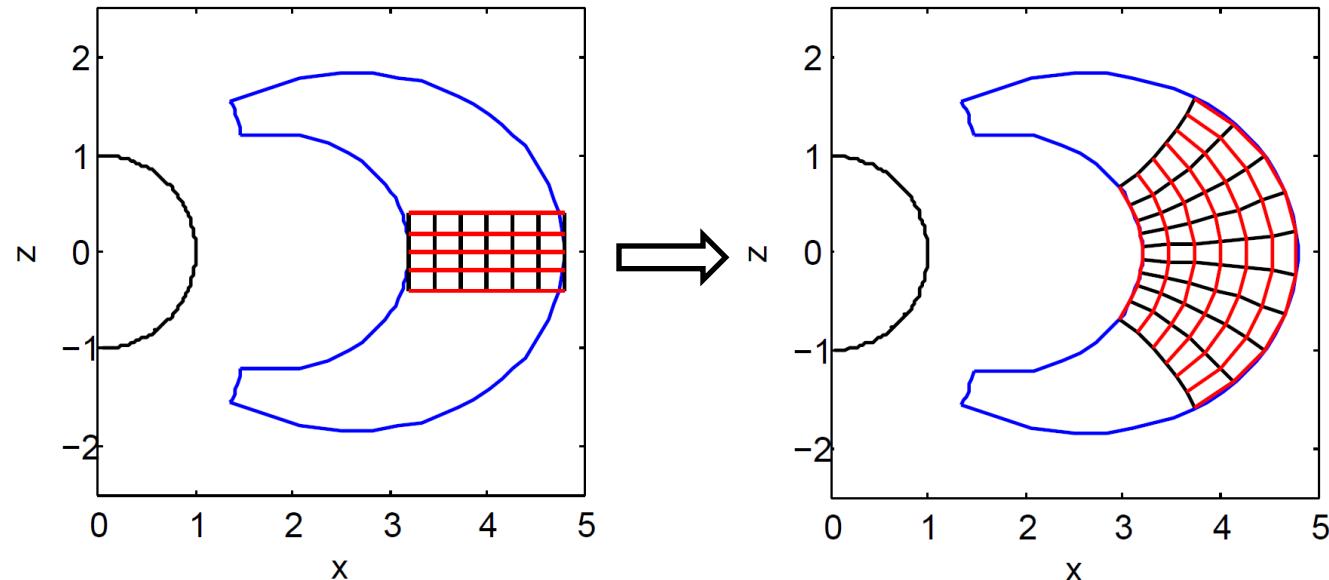


- The wave component δE_{\perp} at $t\Omega_e = 1800$ from a two-dimensional PIC simulation with **only the hot electrons being anisotropic**: (a) The contour plot ; (b) The wave number power spectrum. The black contour lines represent the contour of $\gamma/\Omega_e = 0.01$ given by linear kinetic dispersion theory
- The weak enhancement of waves around $k_{\parallel}\lambda_e = 1.3$ and $k_{\perp}\lambda_e = 0$ reveals another wave-wave coupling mechanism: **Parallel-propagating upper-band waves are excited through nonlinear wave-wave coupling**

Summary

- Banded whistler waves can be generated by the whistler anisotropy instability driven by two bi-Maxwellian electron components with $T_{\perp}/T_{\parallel} > 1$ at different T_{\parallel}
- For typical magnetospheric condition of $1 < \omega_e/\Omega_e < 5$ in regions associated with strong chorus, upper-band waves can be excited by anisotropic electrons below ~ 1 keV, while lower-band waves are excited by anisotropic electrons above ~ 10 keV
- Lower-band waves are generally field-aligned and substantially electromagnetic, while upper-band waves propagate obliquely and have quasi-electrostatic fluctuating electric fields
- The quasi-electrostatic feature of upper-band waves suggests that they may be more easily identified in electric field observations than in magnetic field observations.
- Upper-band waves are liable to Landau damping and the saturation level of upper-band waves is lower than lower-band waves, consistent with observations that lower-band waves are stronger than upper-band waves on average
- The oblique propagation, the lower saturation level, and the more severe Landau damping together would make upper-band waves more tightly confined to the geomagnetic equator ($|\lambda_m| < \sim 10^\circ$) than lower-band waves

Future Work: Banded Chorus Simulation



- The present simulations use Cartesian coordinates and represent only the vicinity of the geomagnetic equator
- New simulations using curvilinear orthogonal coordinates are underway:
 - + The effects of inhomogeneous \mathbf{B}_0 on wave generation and wave propagation will be investigated
 - + Test particle computations would subsequently produce bounce-averaged diffusion coefficients

Future Work: RBSP Mission

- Date of launch: August 15, 2012
- Two craft make identical measurements in the radiation belts through both space and time
- Instruments onboard:
 - + Energetic Particle, Composition, and Thermal Plasma Suite (ECT)
PI: H. Spence, University of New Hampshire
 - + Electric and Magnetic Field Instrument Suite and Integrated Science (EMFISIS)
PI: C. Kletzing, University of Iowa, Iowa City
 - + Electric Field and Waves Suite (EFW)
PI: J. Wygant, University of Minnesota, Minneapolis
 - + Radiation Belt Storm Probes Ion Composition Experiment (RBSPICE)
PI: L. Lanzerotti, New Jersey Institute of Technology
 - + Relativistic Proton Spectrometer (RPS)
PI: National Reconnaissance Office

