

DEVELOPMENT OF A FIELD WORTHY SENSOR SYSTEM TO MONITOR
GASEOUS NITROGEN TRANSFER FROM AGRICULTURAL CROPLAND

Phase I

Final Report

November 1992

Work Performed Under Contract No. FC02-89ID12905

For
U.S. Department of Energy
Office of Industrial Technologies
Washington, D.C.

By
Georgia Tech Research Institute
Atlanta, Georgia

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**Prepared for the
U.S. Department of Energy
Under DOE Idaho Field Office
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Office of Industrial Technologies
Washington, D.C.**

**Prepared by
Georgia Tech Research Institute**

EXECUTIVE SUMMARY

Nitrogen (N) fertilizer accounts for 25 to 33% of the energy requirements in modern crop agriculture in the world today (Lemon and Van Houtte 1980). Energy input for the manufacture of these N fertilizers is in the range of 460×10^{12} Btu per year (Davis and Boudin 1977; Boswell et al. 1985). Unfortunately, for some N sources up to 70% of this energy in the form of NH_3 can be lost through improper application techniques and poor N management strategies.

Because it is a gas at atmospheric pressure and temperatures greater than 13°F (-33.5°C), anhydrous NH_3 may be lost to the atmosphere during and after placement due to soil conditions and the depth and spacing of placement. Inadequate soil conditions, improper injector settings, and erroneous injection rates enhance this loss. Moreover, urea and urea-ammonium nitrate solution (UAN) are becoming the principal N sources for broadcast as well as placement application in agriculture. These sources are often not incorporated into the soil and may be less efficient sources of N fertilizers because of N loss via gaseous NH_3 . Measurement of this volatile N is difficult, especially under field conditions. However, a precise and convenient method of measuring gaseous NH_3 near and above the soil surface is prerequisite to the development and evaluation of alternative fertilizer management strategies and application techniques which can reduce the potential for significant loss.

Recent advances in integrated-optic (IO) based sensing offers the potential of measuring low levels of NH_3 loss from a cropping system in the range of 100 ppb. The integrated design of an IO system allows for a more durable device that can be mass produced at low cost. Utilization of this sensor technology may be a feasible approach but must be tested under practical conditions to assess accuracy and reliability.

Under Phase I of this project, two integrated-optic (IO) devices were designed and tested: an absorption device using an oxazine dye as a waveguide coating and an interferometric device using an anilinium salt as a waveguide coating. The following results have been determined:

- ▶ An absorption device using oxazine 720 perchlorate as a waveguide coating was found to have a lower sensitivity level of 10 ppm and exhibited a very rapid response (seconds) to exposure to NH_3 in air concentrations. The device also exhibited a high level of sensitivity to changing humidity concentrations.
- ▶ An interferometric device using dodecylanilinium chloride as a waveguide coating was found to have a lower sensitivity level of 10 ppm and had a rapid response (seconds); however, the correlation between sensor response (phase shift) and NH_3 concentration was more linear than the absorption device. The interferometric device also exhibited a sensitivity to changing humidity levels, however, with a less pronounced effect than that exhibited by the absorption device.
- ▶ More emphasis on sensor design and fabrication should raise the sensitivity of a sensor at least an order of magnitude and reduce or null the effects of varying humidity in air.

- ▶ With the apparent capacity of either sensor to measure in the low ppm range NH_3 concentrations and the strong potential of increased sensitivity with improved fabrication and chemical deposition techniques, sensor applications could vary from a tractor mounted (mobil) device to an in-situ field device.

BACKGROUND

Agricultural Nitrogen Applications

The application of N fertilizer to a cropping system accounts for up to one-third of the overall energy requirement of that system. Nitrogen application will vary with soil type, weather conditions, type of crop, and crop cycle. The form in which the N is applied varies from broadcast granular fertilizers such as urea and ammonium nitrate to sprayed low-pressure or non-pressure liquids to injected anhydrous NH_3 .

Anhydrous NH_3 , a high-pressure liquid, contains 82% N and is considered the least expensive and most widely used source of N in the United States. Of the fertilizer N used in the United States, 90% is in the form of NH_3 or NH_4^+ -producing compounds and approximately 65% of this is anhydrous (Englestad *et al.* 1985). A water-soluble gas at normal temperatures and atmospheric pressure, anhydrous NH_3 must be stored and handled as a liquid under pressure in special cylinders. Anhydrous NH_3 has a boiling point of 13°F and can be liquified under slight pressure. The gage vapor pressure of liquified NH_3 is approximately 125 psi at 75°F and 250 psi at 115°F (860 kPa at 24°C and 1720 kPa at 46°C) (Kepner *et al.* 1978). As illustrated in Figure 1, anhydrous NH_3 is injected as a liquid by a pump into a furrow opened by a knife/injector device. Since the liquid immediately vaporizes as it leaves the injector, a press wheel or coulter is sometimes used to close the furrow behind the injector to reduce losses.

Aqua NH_3 is a solution of NH_3 in water with the fertilizer grade containing 1 part NH_3 to 3 parts water (20.5% N). A saturated solution of NH_4OH contains 40% N. Both are considered low-pressure liquids that must be kept in pressurized containers that seldom exceed 25 psi (170 kPa). These low pressure solutions are applied using custom applicator spray rigs (Figure 2) or smaller rigs on tractors. Both high- and low-pressure liquids are applied through sprinkler and furrow irrigation although it is generally not recommended due to the high volatilization losses (up to 60% for sprinkler irrigation and 84% for furrow, Englestad *et al.* 1985).

Granular N fertilizers are typically broadcast over the soil surface which may or may not be turned to cover the fertilizer. Other methods include banding the fertilizer away from the seed in a subsurface application. In either case, bulk fertilizer applicators similar to the low-pressure liquid applicator in Figure 2 are used to cover large acreage, typically on a contract basis. Tractor mounted spreader units are used on smaller operations.

Nitrogen Losses

The process by which N is lost from the soil surface after application as NH_3 gas is termed NH_3 volatilization. Both low and high-pressure liquids are susceptible to vaporization losses if they are not injected deep enough or properly covered or are over-applied. Anhydrous

NH₃, aqua NH₃, and other low-pressure liquids should be released in narrow furrows at a depth of at least 4 - 6 in (10 - 15 cm) and covered immediately to prevent the escape of NH₃ (Kepner *et al.* 1978). A loose, friable soil with adequate moisture and free of crop residue is important for good sealing and for adsorption of NH₃ on the soil particles. Since NH₃ escaping from the soil after injection is spread vertically by turbulent diffusion and convected horizontally by the wind (Denmead *et al.* 1977), both windspeed and temperature will have an effect on the rate of loss. Most importantly, anhydrous NH₃ volatilization losses are a function of application rate: if tractor speed or the rate of flow exceed the capability of the soil to retain it or the plant to use it, losses will increase.

As illustrated in Figure 3, NH₃ volatilization may occur when ammoniacal or ammonium-forming fertilizer is applied to the soil surface or when crop residues of high N content are returned to the soil surface without incorporation. These are common management practices with sod crops and in no-tillage crop production.

Losses under field conditions generally are in the range of 10 to 50% of the applied N, but they can be as great as 60 to 70%. Since N fertilizer application rates are usually in the range of 90 to 270 lb acre⁻¹ (100 to 300 kg ha⁻¹), NH₃ volatilization represents a substantial N loss from the soil-plant system. Nitrogen losses through NH₃ volatilization will vary with crop, soil conditions, and weather. Harper *et al.* (1983) reported NH₃ concentrations of up to 2.5 ppm (1800 µg m⁻³) after urea application on a grazed sub-tropical cattle pasture system representing annual NH₃ losses of 24 percent of the applied urea N. Similarly, NH₃ losses of approximately 21% of the N fertilizer applied were detected from no-till wheat fertilized with ammonium nitrate (Harper *et al.* 1987). Hargrove *et al.* (1987) noted NH₃-N losses of up to 72% on a mulched soil surface treated with urea N.

Factors in the soil that influence the volatilization of NH₃ include soil pH, soil hydrogen ion (H⁺) buffering capacity and cation-exchange capacity, and urease activity. When ammonium salts or ammonium-forming fertilizers are added to soil, ammonium ions (NH₄⁺) and NH₃ are in equilibrium in the soil solution according to reaction (1). The activity of H⁺ ions,



or the pH, largely determines the ratio of NH₃ to NH₄⁺. At pH 9.3, the ratio is about 1:1. At pH values greater than 7.0, significant NH₃ volatilization can occur, but as long as pH remains less than 7.0, NH₃ losses are generally insignificant.

Soil pH is subject to rapid change following fertilizer applications, resulting from microbial activity and other chemical factors. A soil property more important than initial soil pH in determining NH₃ loss potential is the capacity of the soil to resist changes in pH, or its H⁺ buffering capacity. The amount of buffering between the initial soil pH and a pH of about 7.5 is more directly related to the expected NH₃ loss than to the initial soil pH. Soil cation-exchange capacity is also important because it allows NH₄⁺ ions to be removed from soil solution, thereby reducing the total amount of NH₃ subject to volatilization. Soils with high pH but also a high cation-exchange capacity actually lose only a small amount of NH₃.

Urease is the enzyme which converts urea to NH_3 and CO_2 . It is produced by both higher plants and microorganisms and occurs in soil both in living organisms and as free enzyme external to living organisms. Abundant urease and rapid urea hydrolysis results in an increased potential for NH_3 loss, since this generally results in increased pH and greatly increased concentrations of NH_4^+ ions. The following factors have been found to influence urease activity: (1) Soil organic matter content: as organic carbon increases, urease activity also increases. (2) Soil pH: the optimum soil pH for urease activity is between 7 and 9, with reduced activity at very acid (< 5.0) and very alkaline (> 9.0) pH values. (3) Soil temperature: generally, urease activity increases as temperature increases over the range of 50 to 149°F (10 to 65°C) and decreases above 149°F (65°C). (4) Soil water content: urease activity generally increases with increasing moisture content up to field capacity; at soil water potentials less than -15 atm (-5×10^5 pascal), urease activity is considerably reduced; a negative effect at moisture contents above field capacity has also been reported. (5) Urea concentration: an increase in urease hydrolysis rates usually accompanies high rates of urea application.

Although urease activity varies considerably from soil to soil and under various climatic regimes, urease concentration seldom limits NH_3 volatilization under field conditions. Generally, environmental factors are more important than urease concentration in determining total NH_3 losses under field conditions. Environmental influences on the loss of NH_3 include temperature, soil water content, and air exchange. Under controlled conditions, NH_3 losses increase with increasing temperature over the range of 41 to 113°F (5 to 45°C). This is due to temperature effects on chemical and biological reactions, especially on urease activity. However, the influence of temperature on NH_3 loss under field conditions is quite complex and is also closely related to soil water content.

The influence of soil water content has been most difficult for scientists to quantify in a consistent manner under dynamic field conditions, especially where the soil water content fluctuates widely on a diurnal basis because of the combined effects of dew formation and evaporation. However, from research conducted under field conditions, several relationships have emerged. (1) For maximum NH_3 loss rates, the soil water content must be at or near field capacity at the time of fertilizer application. If the soil is dry, dissolution of dry fertilizer materials is very slow, and thus the biological and chemical reactions necessary for NH_3 volatilization are very slow or do not occur at all. (2) On a diurnal basis, maximum NH_3 loss rates occur when the soil surface is drying. As the soil dries, the concentration of NH_4^+ and NH_3 in the soil solution increases, resulting in NH_3 being lost to the atmosphere to maintain equilibrium. (3) If the soil surface dries but is not rewetted by dew or light rainfall, NH_3 loss is reduced because of insufficient moisture for the necessary biological and chemical reactions. (4) If rainfall is sufficient to move the N source into the soil profile, NH_3 volatilization ceases. The exact amount of rainfall necessary to ensure no NH_3 loss depends on soil type, but for most soil types is 0.4 to 0.8 in (1 to 2 cm).

Considering all of these relationships, the influence of soil water content can be summarized as follows: On a diurnal basis, high NH_3 loss rates are generally associated with periods of rapid soil drying as long as the soil is not too dry initially, but high total NH_3 losses are associated with an initially moist surface soil followed by several days with no or small rainfall events.

Air exchange at the soil surface is also an important factor that influences the $\text{NH}_4^+/\text{NH}_3$ equilibrium and has been overlooked by many researchers. In laboratory systems, it was found that relative NH_3 losses were maximum when the air exchange rate was at least 10 chamber volumes per minute (the air above the soil was completely replaced ten times per minute). Field measurement techniques that utilize enclosures generally do not allow normal air exchange and thus do not mimic field conditions effectively.

Current Techniques for Monitoring

The four techniques for field measurement of NH_3 volatilization are forced-draft techniques (Hargrove *et al.* 1987); micrometeorological techniques (Denmead *et al.* 1977 and Harper *et al.* 1987); recovery of ^{15}N , a stable, naturally occurring N isotope (Hargrove *et al.* 1987); and plant response. The forced-draft and micrometeorological techniques involve direct measurement of volatilized NH_3 , while the ^{15}N recovery and plant response methods are indirect methods.

The two direct methods utilize wet chemistry analyses to provide NH_3 concentrations. These techniques are tedious and time consuming and cannot provide "real-time" monitoring of NH_3 losses from a crop. Furthermore, the techniques also do not avail themselves as a practical, farm-use tool for the management of N. Therefore, the development of improved N management and application strategies is hindered by the lack of responsive monitoring techniques.

Electronic Sensor Technologies

Technologies that are commercially available for continuously monitoring atmospheric NH_3 levels include metal oxide semiconductor (MOS), electrochemical, and photoionization. MOS based sensors work on the principal of ionoadsorption whereby oxidation reduction type reactors at the sensor surface has the result of altering the gross conductive properties of the material (Clifford and Tuma 1982). The effect can be measured as a change in resistance. While they have been used for monitoring NH_3 levels below 100 ppm (70 mg m^{-3}), MOS sensors are temperature sensitive and are not very species specific. These sensors can be mass produced at a cost of approximately \$500; however, performance at levels below 10 ppm (7 mg m^{-3}) is very suspect.

Electrochemical NH_3 sensors, while more species specific through the use of special electrolytes and gas-permeable membranes, are subject to interference from temperature and humidity changes (Ross and Daley 1986; Ross 1987). Moreover, sensor response and sensitivity is not sufficient to monitor NH_3 levels below 1 ppm (0.7 mg m^{-3}). These sensors, in their current form, are not in the form for mass production and currently cost in the range of \$1,200 to \$2,500.

Photoionization based sensors involve the process of exposing trace molecules to an ultraviolet light source which are in turn collected and measured for a proportional concentration reading. Interference from atmospheric UV could be a problem. These sensors typically cost over \$10,000.

Integrated-Optic Chemical Sensors

Optical sensors have recently been demonstrated as capable of measuring soil organic matter levels rapidly and accurately. In this case, the sensor transponder was mounted to a

tractor tool bar and inserted into the soil as the tractor moved through a field (Gaultney et al. 1988).

Integrated-optics (IO) is a relatively new optical waveguide technology developed in the early 1970s with much of the early emphasis devoted to communication aspects and signal processing applications (Verber 1984 and Alferness 1984). It relies on planar waveguide structures rather than the more familiar cylindrical optical fiber configuration. The planar waveguide consists of a thin layer of slightly higher refractive index material formed or deposited on the surface of a planar substrate. Direct access to the waveguide surface provides distinct advantages as thin film elements may be incorporated into or on the waveguide surface to create two dimensional optical systems capable of performing all the functions of standard bulk optical systems. More importantly, access to the waveguide surface provides the basis for innovative sensor configurations relying on the interaction of a guided optical beam with a cover or surrounding medium.

PROJECT GOALS AND OBJECTIVES

The goal of this project is to develop a field-worthy sensor system to monitor gaseous NH_3 -N transfer from agricultural cropland. Monitoring these losses would promote several avenues of NH_3 conservation including minimizing application losses due to poor equipment settings and improving application strategies through a better understanding of the relationship between fertilizer application and NH_3 volatilization. In both cases, an improvement in application efficiency and N utilization efficiency would reduce the amount of fertilizer applied resulting in significant cost and energy savings. Also, these goals could contribute to improved N management as related to environmental pollution concerns.

The objectives of this study are divided into three separate phases:

Phase I: Laboratory and Bench-Scale Research

- Design and test an integrated-optic sensor for monitoring low levels of NH_3 under simulated field conditions.
- Determine the relationship between sensor output and simulator N losses.
- Develop alternative sensor uses, i.e. N_2O or other gaseous N forms, dependent on adaptability for NH_3 monitoring.

Phase II: Prototype Development

- Develop the IO sensor system to a level suitable for field testing.
- Improve bonding between chemically sensitive cover medium and waveguide.
- Incorporate optical components into single integrated device.

Phase III: Field Research

- Conduct field tests of the sensor system to determine the accuracy and reliability of sensor system under field conditions.
- Use the sensor system to evaluate alternative management strategies for improving fertilizer N use efficiency.
- Evaluate the sensor for potential use by commercial anhydrous NH_3 applicators to monitor NH_3 losses during application.

TECHNICAL DISCUSSION

Integrated Optic Waveguide Sensor

The chemical sensor configuration utilized in this program relied on a planar optical waveguide structure operating in an interferometric and an absorption mode. The integrated optic (IO) interferometric configuration functions by sensing small refractive index changes resulting from the interaction of the species to be detected with a thin chemically selective film attached to the waveguide surface. The inherent thermal and mechanical stability of the integrated design results in high detection sensitivity ($\Delta n = \leq 10^{-6}$) corresponding to concentration levels in the ppm to ppb range. The absorption mode relies on the absorption of optical energy from the guided wave by a thin chemically selective film applied to the waveguide surface. Because the operation of the absorption device is relatively straight forward, the following discussions will concentrate on the interferometric based sensor.

The IO waveguide interferometric configuration most applicable to chemical sensing is the Mach-Zehnder arrangement. Both single mode and multimode Mach-Zehnder waveguide versions (Hartman 1990) are available with the latter best suited for real applications due to ease of input/output coupling and manufacturing. The single mode interferometer is essentially a laboratory device due to critical alignment issues. Technical discussions will emphasize the single mode Mach-Zehnder configuration due to relative ease of understanding the basic IO interferometer operation. In practice, however, both the multimode and single mode interferometer operating principles are identical.

An IO Mach-Zehnder interferometer in a chemical sensor configuration is shown in Figure 4. One arm of the interferometer (signal arm) is coated with a thin film designed to be reactive with the desired species to be detected. The remaining arm is uncoated or alternatively coated with a protective layer or other materials that might be used for purposes of nulling interferant signals. The evanescent field of the guided wave in the signal arm (Figure 5) penetrates the waveguide/surface film interface, forming an exponentially decaying field in the surface film. As a result, refractive index variations within the surface film alters the phase of the guided beam through the evanescent interaction. The resulting phase shift is easily detected and monitored by interfering the signal arm guided wave with the reference signal guided wave and observing the fringe shift. In the case of the absorption device, guided wave energy is lost within the thin surface film due to absorption.

Analysis and Modeling

For analysis of the interferometric configuration, a dispersion relationship can be developed relating IO waveguide parameters n_f (film index), n_c (cover film index), n_s (substrate index) and W (waveguide film thickness) (Tien 1971; Kogelnik and Weber 1974). A convenient model for describing the interaction is based on the zig-zag ray model for the reflection of a optical ray between the two waveguide surfaces. The dispersion relationship can also be derived from an electromagnetic boundary value problem where a guided wave is confined by two dielectric boundaries. For ease of understanding and because both approaches yield the same result, only the ray model will be considered.

The dispersion relationship is defined by the "transverse resonance condition" which requires the sum of all phase shifts perpendicular to the direction of propagation in the waveguide to be a multiple of 2π ($m2\pi$ where $m = 0, 1, 2, \dots$) for one zig-zag period as shown in Figure 5 (Kogelnik and Weber 1974). Thus the total phase shift associated with the transverse motion between the two boundaries must be an integer multiple of 2π for each full cycle. For one transverse passage through the waveguide, a phase shift of $kn_f W \cos \theta$ occurs. One full period however requires two transverse passages. Additionally, phase shifts of $-2\theta_c$ and $-2\theta_s$ occur due to total internal reflection at the cover and substrate boundaries of the waveguide (Hartman 1990). Thus the transverse resonance condition results in the following relationship for TE modes:

$$2kn_f W \cos \theta - 2\theta_c - 2\theta_s - m(2\pi) \quad (2)$$

$$\text{where } \theta_c = \tan^{-1} \left[\frac{(n_f^2 \sin^2 \theta - n_c^2)^{1/2}}{n_f \cos \theta} \right] \quad (3)$$

$$\text{and } \theta_s = \tan^{-1} \left[\frac{(n_f^2 \sin^2 \theta - n_s^2)^{1/2}}{n_f \cos \theta} \right] \quad (4)$$

Inserting these equations into the transverse resonance equation produces the following equation:

$$\begin{aligned} 2kn_f W \cos \theta - 2 \tan^{-1} \left[\frac{(n_f^2 \sin^2 \theta - n_c^2)^{1/2}}{n_f \cos \theta} \right] \\ - 2 \tan^{-1} \left[\frac{(n_f^2 \sin^2 \theta - n_s^2)^{1/2}}{n_f \cos \theta} \right] - 2m\pi \end{aligned} \quad (5)$$

m refers to the propagating mode number and, because the waveguide is thin and the index differences are small, waveguiding occurs only at discrete values of θ . These discrete angular values define each waveguide mode. Note for a single mode waveguide $m = 0$ and waveguiding occurs at only particular value of θ . Furthermore, for a guided optical wave propagating in the z direction, its complex electric field is

$$E(x,y,z) = E_m(x,y) \exp(-jB_m z) \quad (6)$$

B_m represents the propagation constant for the guided beam and is defined by the following equation:

$$B_m = kn_f \sin\theta = kN_{eff} \quad (7)$$

$$\text{where} \quad k = 2\pi/\lambda \quad (8)$$

$$\text{and} \quad N_{eff} = n_f \sin\theta \quad (9)$$

N_{eff} is referred to as the "effective mode index" for the guided beams and represents an effective refractive index for the particular guided optical mode. Its value is also dependent on θ . Examining equation (1), if n_f , n_c and W are held constant while n_c is varied (i.e. a refractive index change due to a chemical interaction), then only θ can be varied to satisfy the equation. Thus using equations (1) and (8), the sensitivity of the guided beam to changes in the cover film index can be determined and more importantly, the waveguide may be designed to maximize sensitivity to the cover film.

Interferometer Sensitivity

The previous analysis only describes the phase shift induced in the guided beam by changes that occur in the cover medium. Detection of that phase shift is accomplished using the interferometric techniques where phase shift is translated into an intensity change or fringe shift. To analytically evaluate the sensitivity of the waveguide interferometer requires the use of the dispersion relationship and the standard interferometer equations. First a minimum detectable intensity change of the interferometer output is established and then the corresponding effective mode index change (ΔN_{eff}) is calculated using the interferometer. Through the dispersion relationship, the corresponding cover index change is then determined for a particular waveguide system.

The output of the waveguide interferometer is described by the following equation;

$$I = \frac{I_0}{2} \left[1 + \cos \left[\frac{\pi}{2} + \frac{2\pi}{\lambda} L(\Delta N_{eff}) \right] \right] \quad (10)$$

where I_0 = peak intensity

λ = free space wavelength of guided light,

L = interferometer signal arm length,

N_{eff} = effective index of the zero order mode,

and I = the output intensity of the interferometer.

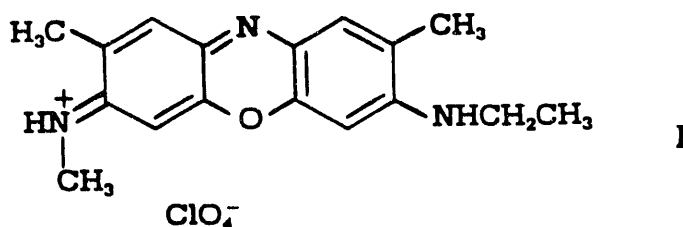
With passive detection schemes, intensity changes of $0.1 I_0$ are easily detected at the half power point of a sinusoidal intensity curve. For an interferometer with a two centimeter pathlength, the latter results in a minimum detectable phase shift of 0.064π radians or a ΔN_{eff} of 1×10^{-6} . This corresponds to a change in the cover index, Δn_c , 5×10^{-6} for a waveguide with $W = 7 \mu\text{m}$, $n_f = 1.580000$, $n_s = 1.457000$ and $n_c = 1.550000$. Thus, the interferometric system is extremely sensitive to small changes in the cover medium. Active detection schemes offer even greater sensitivity potential.

Chemically Selective Coatings

Two approaches were taken to develop an integrated optic planar waveguide chemical sensor to detect NH_3 gas. One relied on a colorimetric change of a pH indicator causing a measured change in absorption (absorption sensor). This change applied to the absorption of red light in the presence of a pH greater than 7.0. The coating is absorptive in that it will absorb or desorb NH_3 in equilibrium with the surrounding environment. The other used the index of refraction change from a chemical interaction to effect an interferogram (interferometric sensor).

Design - Absorption Sensor

The absorption sensor borrowed from the work of Guiliani *et al.* (1983). The sensor developed consisted of a fiber optic coated with a $1 \mu\text{m}$ layer of oxazine 720 perchlorate (I). This compound absorbs red light in the acid form but not in the basic form. Since NH_3 is a strong base, it was postulated that this device could be used to detect NH_3 as no red light would be absorbed in its presence. This transmission change is near a maximum at the 632.8 laser line of the HeNe laser, however, Guiliani used a LED centered at 560 nm where this change is not as pronounced. Guiliani could detect NH_3 down to 10 ppm, however, the time constants for detection and reversibility were slow (minutes). Detection and reversibility were apparently limited by diffusion of the NH_3 in and out of the sensing layer. With a $10,000 \text{ \AA}$ thick layer these times are obviously slow. The other problem encountered by Guiliani was that the proton transfer was humidity sensitive. Therefore, the data were taken under constant humidity.



The first set of experiments were to take the oxazine dye Guiliani used and determine if there were any benefit from using a planar waveguide configuration. Analysis indicated that since most of the evanescent field lies very close to the waveguide surface, a much thinner sensing layer could be used on a planar waveguide and thereby improve response time.

The waveguides used consisted of a BK-7 glass substrate in which silver ions are exchanged with sodium ions to provide the refractive index change necessary for waveguiding. To accomplish this, the BK-7 substrates were placed in a molten salt bath consisting of 0.25 molar % AgNO_3 in NaNO_3 at (617°F) 325°C for 20 minutes and then annealed for 20 minutes at 932°F (500°C). The guides typically had one or two possible modes of propagation. Indexes of refraction were measured for the various waveguide modes and ranged from 1.521 - 1.523 (BK-7 is 1.515). The sensing coating geometry was defined on the waveguide by masking one half of the waveguide. A dilute solution of oxazine 720 perchlorate in methanol was applied to these waveguides. After a few drops were applied, the waveguide was spun at several hundred rpms for 5 seconds to distribute an even coating.

The first coated waveguide used a $4 \times 10^{-4}\text{M}$ solution of the oxazine. The waveguide had a slight blue tint after spinning. The light from a HeNe laser was split by a beamsplitter and the parallel beams were coupled into the waveguide with a prism (Figure 6). One beam passed under the oxazine coating, the adjacent beam provided a reference passing through an uncoated section of the waveguide. Response to NH_3 was determined qualitatively using a wash bottle containing a saturated ammonium hydroxide solution. The NH_3 used came from the saturated head space above this solution. The first coated waveguide responded instantaneously with application of NH_3 and remained fairly constant as long as the stimulus was provided. Recovery began immediately upon removal of the NH_3 . The response was characterized by an increase in transmission of the guided wave (reduced absorption) in the presence of NH_3 . The slow response after removal is due to: 1) lack of a mechanism to remove the NH_3 molecule and 2) diffusion through the film. Therefore, the return to baseline was slower than the rise time (Figure 7) and response times were improved with a thinner coating layer. The optimum concentrations of the coating solution was found to be $4 \times 10^{-5}\text{M}$ or 17 $\mu\text{g/ml}$ of the oxazine. When this solution was spun onto the waveguide, no visible color was seen. Several techniques were employed to measure the thickness of the coating applied to the waveguide. However, no instrument measurement was capable of characterizing the thickness of the coating layer. The only indication there was anything on the waveguide was its sensitivity to NH_3 .

To further enhance the oxazine based detector, a lower index waveguide was tried. Lowering the index allows the evanescent field to interact more efficiently with the sensitive coating. The lower index waveguides were prepared from Schott BGG 21 glass which had been ion exchanged with cesium nitrate at 788°F (420 °C) for 30 minutes. This provided a waveguide with two modes with indices of 1.475 and 1.481. Coating these low index waveguides with the $4 \times 10^{-5}\text{M}$ oxazine solution provide a fast response and enhanced sensitivity (Figure 8). One problem observed with the oxazine was its response to water vapor. When moist air was used in place of NH_3 , an increase, though much smaller than NH_3 , in transmission was measured.

When dry air was blown over the layer, the transmission decreased. Visually the dry air caused an increase in scattering by the oxazine crystals on the surface. Oxazine being a large planar molecule with little internal degrees of freedom would have a tendency to form microcrystallites. The humid air, in theory, helps dissolve and randomize the individual molecules. Ammonia in a dry stream produced an effect equal and opposite from that of moist NH_3 , presumably due to a drying effect. Obviously the change in humidity at the extremes has an effect on response. Though these problems with the oxazine were not overcome, this work did provide data used in designing the interferometric sensing moiety.

Dynamic Testing - Absorption Sensor

For quantitative results, the absorption sensor was subjected to a series of dynamic tests. Dynamic testing was performed by subjecting the exposed surface of a test waveguide to an airstream created by the gas mixing system illustrated in Figure 9. Airstream relative humidity and NH_3 concentration were the only two variables evaluated during these tests. Airstream temperature and flow were maintained fairly constant at 75°F (24°C) and 1 lpm, respectively, for the duration of these tests.

Dynamic testing for the different sensor configurations and coatings was conducted after the aforementioned qualitative testing yielded encouraging results. The testing method involved an incremental increase in NH_3 exposure beginning in the low ppm range to determine the lower range of sensitivity of a particular device. Each test series was performed by stabilizing airstream humidity, flow, and NH_3 concentration at a set level and allowing the air system time to stabilize (roughly 20 minutes). The sensor waveguide was then exposed to the airstream by gently waving a tube carrying the airstream 1 - 1.5 in (3 - 4 cm) over the waveguide surface. Each exposure was repeated 3-5 times during a test run.

The qualitative results of these dynamic tests for the absorptive sensor using an oxazine 720 perchlorate coating are summarized in Table 1. It was determined early (Test 1-12) that the oxazine device exhibited a somewhat linear response from exposure to varying levels of NH_3 in the dynamic airstream. Moreover, the sensor indicated a humidity effect, a condition somewhat expected from the qualitative tests and previous research (Guiliani *et al.* 1983). This effect highlighted the need to maintain airstream humidity near room ambient humidity to remove this parameter as a variable while conducting NH_3 exposure tests.

As shown in the graph in Figure 10, a waveguide coated with 10^{-5} M oxazine 720 perchlorate exhibited a somewhat linear relationship over an exposure range of 0 to 155 ppm NH_3 in air. A linear regression of the sensor response (% transmission) to NH_3 concentration in air revealed a regression coefficient of 0.7371. In the course of this series of test exposures, the relationship between sensor output variation and a shift in airstream relative humidity became more apparent.

During the next successful series of tests (Test 75-81), airstream humidity was maintained near room humidity to lessen these humidity effects. As illustrated in Figure 11, the response of the sensor to changes in airstream NH_3 concentration was very stable and proportional to the increase in NH_3 . A linear regression of the two variables (sensor output and NH_3 concentration) yielded a regression coefficient of 0.6875. Visually, however, the sensor exhibited a very linear response with the exception of one average test point at 25 ppm. This regression yielded that an increase of 1 ppm NH_3 in air would result in an increase in sensor output of $2.14 \times 10^{-3} \mu\text{W}$.

In Test 82-89, the relative humidity of a clean airstream (no NH_3) was varied between 43 and 73% while the room relative humidity was maintained at 63%. The results illustrated

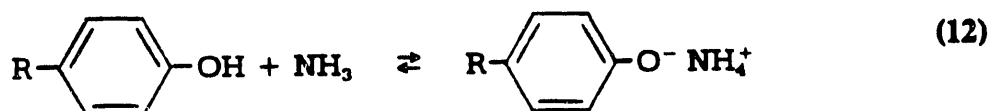
in Figure 12 indicate a strong linear relationship between airstream relative humidity and sensor output ($R^2=0.9791$). Sensor output changed $-4.99 \times 10^{-2} \mu W$ per relative humidity percentage point shift up from the room relative humidity standard. When compared to the response of this same sensor to changes in NH_3 concentration in air, a positive shift of one relative humidity percentage point would have the same impact as a negative shift of 23 ppm of NH_3 in air.

Design - Interferometric Sensor

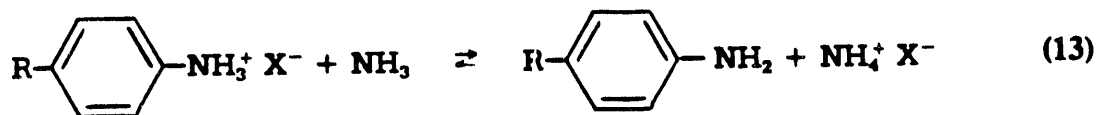
In the interferometric configuration, detection does not require a color change but a change in refractive index. This change is caused by a phase shift between light modes as previously discussed. Chemical reactions produce changes in the refractive index. This allows a wide array of possible sensing compounds owing to the many variations organic chemistry can provide. This number is slightly limited if the reaction is to be reversible. With NH_3 being a fairly strong base, the most obvious reaction to employ as a sensor would be proton transfer. The choice of compounds would depend on the pK_a 's. The K_a is the equilibrium constant for the proton transfer between water and the protonated base in the reaction,



and the $pK_a = -\log K_a$. The larger the K_a , the stronger the base and the weaker its conjugate acid and vice versa. NH_3 has a pK_a of 9.25 (Weast 1985) which means the proton source would have to come from the conjugate acid of a base with the same or lower pK_a . This led to the testing of two classes of compounds: phenols which have similar pK_a 's and aniline- H^+ salts which have much lower pK_a 's. The acid could not be too strong otherwise the reversibility would be jeopardized. The reaction of phenols with NH_3 is:



and the reaction of aniline salts is:



Two additional factors in the choice of test materials were considered. First, in order to suppress the light scattering effects seen in the oxazine with humidity change, large hydrocarbon chains were added to the phenyl ring to increase the internal degrees of freedom thereby lessening tendency of the molecule to crystallize. Second, which was a consequence of the first,

increasing the molecule weight decreased the volatility of the free base so the sensing moiety did not evaporate with time and use. These factors resulted in the selection of the longer carbon chain molecules for the coating materials.

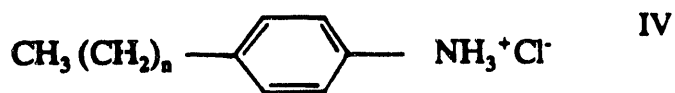
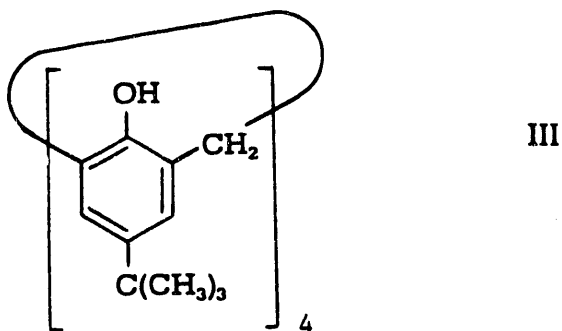
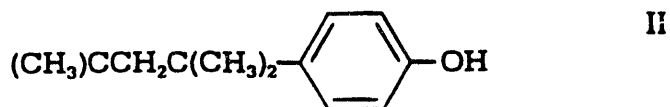
Coated waveguides were prepared using the same procedure used for oxazine. The optical setup, however, is different as illustrated in Figure 13. In the interferometer, the two adjacent beams are combined by a lens after passing through the waveguide. The overlap region containing the interference pattern is magnified and projected by a second lens on to a detector with a narrow slit. The slit is arranged parallel to the interference lines and the magnification is adjusted so that the slit width is only a small fraction (1/10) of an actual fringe period. If a chemical reaction occurs in the coated path, then the phase of the sensing beam is either retarded or advanced. This will in turn shift the interference pattern. The resulting fringe shift is reflected as an intensity change either positive or negative depending on the phase change.

The two phenols tried, 4-tert octylphenol (II) and 4-tert butylcalix(4)arene (III) have pKa similar to NH_3 . These showed no response. This made it apparent that in order to produce a response the pKa has to be much lower than that of NH_3 . The conjugate acids of aniline were the next set of compounds tested. Aniline has a pKa of 4.6 (Weast 1985) making it a 40,000 times weaker base than NH_3 and should, as the conjugate acid, provide enough acid strength to transfer the proton to NH_3 . It may seem as though the transfer would not be reversible because of the disparity in base strengths but evaporation of the NH_3 drives the equilibrium to completion. This gives back the aniline salt after the NH_3 stimulus is removed.

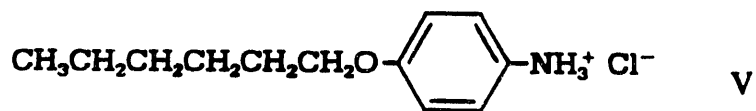
A series of 4-substituted anilinium salts were prepared from the 4-substituted anilines and anhydrous hydrogen chloride in diethyl ether. The precipitated salts were recrystallized from either methanol/toluene or chloroform/toluene. A long alkyl group at the 4-position should provided the floppy group needed to inhibit crystallization. The compounds made were the 4-n-hexyl- (IV-a), 4-n-octyl- (IV-b), 4-n-dodecyl- (IV-c), 4-n-tetradecyl- (IV-d) and 4-n-hexadecylanilinium (IV-e) chlorides (all having about the same pKa). In addition, the 4-n-hexyloxylanilinium anilinium chloride (V) was prepared, yielding a compound with 10 times less acid strength than the other anilines due to the electron donating character of the ether linkage. These compounds were dissolved in ethanol, spin applied, to either BK-7 or BGG 21 waveguides, setup as an interferometer and tested for NH_3 . Four things become apparent from the testing: 1) the BGG 21 low index waveguides provided higher sensitivity as was found for the oxazine case, 2) as the length of the alkyl chain increases the amount of scattering decreases, 3) the concentration of the compounds applied did not have to be higher than a few milligrams per ml, and 4) a weaker base/stronger conjugate acid could increase sensitivity many fold.

Though initial screenings were done on BK-7 waveguides, all subsequent work was done on the lower index guides because of its higher sensitivity and longer interaction length (2 x BK-7). The increased sensitivity is due primarily to the increased overlap of the evanescent field of the guided wave with the surface film. Though scattering decreased in the humidity cycling from hexyl to longer chains, no substantial improvement resulted past dodecylanilinium chloride. Because increasing the alkyl "baggage" provided no apparent benefits, these results suggested concentrating the investigations on the dodecylanilinium salt.

Solutions of 4-n-dodecylanilinium chloride were applied to the waveguide to find an optimum coating thickness over a range of concentrations. Starting with 4.6 mg/ml ($1.6 \times 10^{-2}\text{M}$) solution, this film yielded an obvious white appearance to the waveguide and had very little sensitivity. Decreasing concentration to 0.96 mg/ml gave a waveguide with no appearance of a film but did show sensitivity to both moisture and anhydrous NH_3 and in the same direction



a) $n=5$ b) $n=7$ c) $n=11$ d) $n=13$ e) $n=15$



(as opposed to the oxazine case). Sensitivity increased with the 0.19 mg/ml (190 $\mu\text{g}/\text{ml}$) solution and 0.038 mg/ml (38 $\mu\text{g}/\text{ml}$) solutions. With the 7.6 $\mu\text{g}/\text{ml}$ ($2.6 \times 10^{-5}\text{M}$) solution sensitivity was a little less than the 38 $\mu\text{g}/\text{ml}$ solution. If one calculates the amount of material put down using a 7.6 mg/ml solution by assuming a liberally high thickness of the solution on the surface after spinning of 1 μm , upon drying one achieves a layer consisting of only a fraction of a monolayer. Apparently the material is concentrating on the surface through some self-assembly or adhesion even before spinning and is impeded only by the amount of materials in concentration at the start and the amount of contact time. The quantitative measurements employed a dodecylanilinium chloride solution in the 40 $\mu\text{g}/\text{ml}$ range.

Throughout these tests, not only was the response to NH_3 (wet and dry) checked but, also, the response to methylamine was monitored. Methylamine has a pK_a of 10.6 (Weast 1985) making it 20 times more basic than NH_3 . In all cases (oxazine and anilinium salts), the sensors response to methylamine was an order of magnitude greater than with NH_3 (Figure 14). This points out that if a weaker base, i.e. stronger conjugate acid, was used instead of the 4-n-dodecylanilinium chloride, the response to NH_3 could be greatly improved. However, location and synthesis of this type of material was beyond the time constraints of this project and more readily available materials, such as the dodecylanilinium chloride, were used. A 40,000/1 basic strength difference would appear to be more than necessary to effect efficient proton transfer but the methylamine data coupled with the lack of response from the 4-n-hexyloxylanilinium chloride (1/8,000 NH_3) indicates that increasing this ratio can only improve sensitivity.

Finally, one problem repeatedly occurred. Within a few hours to several days, the sensing layer would loose some or all of its sensitivity. Two possible causes were suspected. One, during the proton exchange back and forth between the NH_3 and the aniline some of the HCl is lost. Like NH_3 , HCl is a gas so possibly the HCl could be lost slowly as a molecular species. This would lead to the sensing layer consisting of aniline as the free base being incapable of responding to NH_3 . The other cause of the problem may come from the cleaning of the glass waveguides. Typically, the waveguide was cleaned with Microclean®. Microclean® contains a strong base, triethanolamine, which could either open up basic sites on the glass or remain as residual base on the surface, both tying up the HCl . This latter cause was countered by washing the waveguide with sulfuric acid. This left an acidic surface before the coating and improved the lifetime of the sensor. However, the sensor sensitivity would still eventually decrease. To circumvent the possible loss of HCl , a different acid salt, in which the free acid has no vapor pressure, was used. A di(4-n-dodecylanilinium) sulfate was prepared from 4-n-dodecylaniline and sulfuric acid in diethyl ether. The sulfate salt was purified by recrystallization from methanol. This salt was not very soluble in methanol or ethanol. Enough, however, was soluble to spin coat the waveguide. The combination of the acid wash and the sulfate salt improved long term stability.

Dynamic Testing - Interferometric Sensor

Dynamic tests were also performed on an interferometric sensor system using various waveguide preparations. The methodology used in performing these tests were identical to those used in testing the absorption sensors. The summary of the notes from these tests are provided in Table 2. Early dynamic tests (Test 13-55) yielded mixed results. Sensor stability and sensitivity seemed to vary with waveguide preparation (dodecylanilinium chloride). During this series of tests, the sensor seemed at times to be responsive to exposure to moderate levels (40 ppm) of NH_3 in air; however, no specific pattern could be detected. Furthermore, the sensor typically exhibited a continuous drift making detection of a signal shift difficult.

After acid washing of the waveguide was suggested, a series of tests were conducted (Test 90-96). The sensor seemed to be responsive to exposure to NH_3 although the response to changes in concentration were non-linear. Moreover, the sensor seemed to also be sensitive to shifts in relative humidity. Problems with maintaining room environmental conditions led to cancellation of the test series.

Additional tests were conducted a few days later with a similar coating and the acid rinse waveguide preparation (Test 98-109). As illustrated by the average response (phase shift, π radians) plot in Figure 15, the sensor exhibited a somewhat linear response ($R^2=0.7288$) to

changes in NH_3 concentration up to 24 ppm. The sensor may have been more sensitive to lower concentrations of NH_3 ; however, signal noise prevented this level of detection. The regression yielded that an increase of 1 ppm NH_3 in air would result in an increase in phase shift of $2.06 \times 10^{-3} \pi$ radians. It was noted in these tests that a phase shift was detected when the waveguide was exposed to an airstream with zero NH_3 (Figure 15). This experimentally induced error was likely due to the effects of air moving across the surface of the waveguide and changing the moisture equilibrium in the thin film.

A series of tests (Test 102-107) were conducted to determine the sensitivity of the sensor to changes in airstream relative humidity. As illustrated in Figure 16, the sensor yielded a fairly linear response ($R^2=0.9745$) to changes in relative humidity between 54 and 88% relative to room humidity (71%). The regression also yielded a phase shift of $4.04 \times 10^{-3} \pi$ radians per relative humidity percentage unit. Compared to the response of this same sensor to changes in NH_3 concentration in air, a positive shift of one relative humidity percentage point would have the same impact as a positive shift roughly 2 ppm of NH_3 in air. The impact indicates the possible need to nullify the effects of shifting humidity levels around the sensor; however, the humidity impact is an order of magnitude less than that experienced with the absorption sensor using oxazine 720 perchlorate. Moreover, the phase shift related to a positive change in humidity was in the same direction as the phase change due to an increase in NH_3 .

While a somewhat linear response to NH_3 concentrations was shown by the dodecylanilinium chloride, sensor stability over short periods of time was very suspect. To offset this problem, a waveguide was coated with dodecylanilinium sulfate in an attempt to better stabilize the sensor. A series of tests were conducted (Test 110-117 and Test 118-126) on this sensor as summarized in Table 2. The tests indicated that, contrary to previous static testing, this sensor had even less stability than the previous setup and was more sensitive to extraneous parameters (ie. air movement).

NH_3 exposure tests were repeated for a sensor with a waveguide coated with dodecylanilinium chloride (Test 128-133 and Test 134-137) as summarized in Table 3. A linear regression of the average response data indicated a fairly high level of linearity for both tests as illustrated in Figures 17 and 18 ($R^2=0.9624$ and 0.9745 , respectively). The regression also yielded a phase shift of 1.74 to $1.97 \times 10^{-4} \pi$ radians per ppm of NH_3 in air, an order of magnitude less sensitive than indicated by previous tests (Test 98-109). Another set of tests (Test 138-148) yielded an even lower sensitivity with a regression coefficient of $2.70 \times 10^{-5} \pi$ radians per ppm NH_3 (Figure 19). The linearity of the device, however, was still high ($R^2=0.9852$).

Discussion

To improve the performance of the waveguide NH_3 sensor, sensitivity and durability should be further explored. Both the absorption and interferometric configurations could be improved by looking into these two considerations. To improve sensitivity the pK_a of the sensing base needs to be lowered to increase the proton transfer. Locating and synthesizing this material could take some time. Oxazine's pK_a is similar to that of aniline's. To lower the pK_a of oxazine is no simple task but there are other pH indicators which could be used with lower pK_a but this may require a change in laser frequency since the coloromatic change may not be at the HeNe laser line. To change the pK_a of the aniline is a bit easier. Various derivative of 4-n-alkylaniline can be synthesized which have lower pK_a 's, for example, the 3-chloro and 2-

chloro derivatives with pKa's of 3.5 and 2.7 (Weast 1985), respectively, could give 10 to 100 fold increase in sensitivity if the response remains linear with pKa. With this kind of increase in NH_3 sensitivity, the problems associated with water vapor would be inconsequential.

We have already shown that to increase durability one needs to start with a nonbasic substrate and also that the nature of the counterion is important. The counterion can also play a role in desensitizing the humidity effects on the layer by whether the ion is hygroscopic or not. The more water that can be associated with the layer the less sensitive to humidity change the layer should be. For field use, one would want a sensing layer that could also put up with the rigors of the outdoors. There are three ways to accomplish this: the sensing moiety could be dissolved in a polymer matrix, the sensing group could be placed in the backbone of the polymer or the sensing compound could be Langmuir-Blodgett monolayered (and possibly polymerized after that). The Langmuir-Blodgett approach would also provide a clue as to the thickness of these sensing layers which has eluded measurement so far. This ability to caliper the layer is possible because the LB films are of precise molecular dimension. These layers can be assembled systematically with a dimensional increase of between 10-20 Å per layer. A correlation between the number of monolayers and the sensitivity to NH_3 will help truly understand the working of this sensor.

From an instrumentation viewpoint, increased detection sensitivity would be possible by utilizing a fully integrated interferometric configuration. As previously noted, the laboratory waveguide test device utilized a separate beam splitter and combiner. By integrating these two elements, interferometer noise and drift would be reduced approximately an order of magnitude. This would provide an additional increase in sensitivity by about a factor 10 over the already sensitive laboratory device due to reduced noise and drift.

Summary

Progress has been made in identifying waveguide coating materials for use on both an absorption and interferometric device. A thin-film oxazine dye has been demonstrated to indicate ammonia levels in air in the 10 - 100 ppm range. However, as indicated in previous research with oxazine dyes as an indicator, the device is very humidity dependant. It is believed that this humidity effect is predictable and can be minimized by changing the waveguide design.

An aniline salt coating on an interferometric device has also been demonstrated as being sensitive to ammonia levels in air in the 10 - 100 ppm range; however, two problems have arisen that require further study. First, the device as configured on an isolated optical table with multiple optical components is very sensitive to noise. Therefore, the signal from the device has been subject to drift. Second, the device apparently is somewhat humidity dependent. Both problems should be remedied with the construction of an integrated device incorporating a Mach-Zehnder configuration to optically cancel any humidity effects.

With both devices, it is believed that sensitivity and range will improve with the deposition of the waveguide coatings with a molecular bonding technique such as Langmuir-Blodgett.

APPLICATION OF TECHNOLOGY

Sensor Application Concepts

As indicated in the previous section, there is a strong potential to develop a field worthy IO sensor capable of measuring NH_3 in parts per million and possibly parts per billion. The function of such a sensor could potentially be to:

- Improve the application efficiency of anhydrous NH_3 and low-pressure liquids by monitoring losses during application and taking corrective measures to reduce them, and
- Enhance the utilization of N fertilizers by a crop by monitoring losses over time and applying fertilizer relative to those losses and other crop/soil information.

Application of this technology will depend primarily on the lower detection limit of a sensor, sensor cost, and the value of the information from the sensor. For example, if a sensor has a lower detection limit of only 1 ppm, it would not be capable of monitoring NH_3 fluxes from soils during the days after fertilizer application. It would, however, be useful as a monitor of immediate soil losses following the injection of anhydrous NH_3 . Conversely, if a sensor did have a lower detection limit of 1 ppb it could be used in-situ to monitor soil NH_3 fluxes. However, should the cost of this type of sensor be too high (ie. \$1,000), it would not be economically viable to place these sensors in the field within any practical density (ie. one sensor per 10 acres). Lastly, the sensor must provide information that has value, simply providing data is not enough to justify its existence. For example, monitoring the NH_3 losses from the soil immediately after anhydrous injection would not be of any value unless the operator or system controller could make an adjustment in either the rate of application or the depth of application. Moreover, monitoring low level soil/crop NH_3 fluxes over a period of time is relatively meaningless unless the data can be used by a crop scientist or specialist in conjunction with other data input to make fertilizer management decisions that improve efficiency and/or productivity.

With these arguments in mind, the following application concepts have been developed:

Mobil (post-application). A sensor with a minimum detectable limit of 1-10 ppm would be mounted on a tool bar pulled behind an anhydrous applicator (Figure 20). The sensor would provide real-time data on applicator and soil NH_3 losses which could be corrected by direct control of the anhydrous flow, tractor speed, and injector settings (ie. depth). At a minimum, sensor data could serve to advise the operator to wait for better soil and weather conditions in which to apply. A potential limit to this application would include the variability in the rate of NH_3 evolution due to soil and weather conditions.

Mobil (pre-application). A sensor with a minimum detectable limit of 1-10 ppb would be mounted with a soil ripping device to sense the evolution of NH_3 released as the tool breaks the soil surface, a "scratch and sniff" approach. The sensor might be mounted just above the soil surface or might be encased in a small head space that will dynamically capture soil gases as they are released during the plowing of the surface (Figure 21). If the NH_3 release can be tied back to N demand within the cropping system, the information from the sensor could be used as a control input to a fertilizer

applicator system immediately following the sensor. A limit to this application is that the correlation of NH_3 release from a disturbed soil and N demand in that soil has not been developed and would be very difficult to develop.

In-Situ. Sensors with a minimum detectible limit of 1-10 ppb would be placed at different levels ranging from slightly imbedded in the soil to a few feet above the soil surface to monitor NH_3 fluxes from a crop/soil system (Figure 22). The information could be used with other environmental and analytical data to determine an optimum fertilizer strategy based on dosing the crop with the right amount of fertilizer at the right time. Limits to this application include the need to establish a database or history for the particular crop and soil system with which to compare to make these management decisions.

Assessment of Energy, Economic, and Environmental Factors

The viability of the continued development of the NH_3 sensor involve both energy and economic factors. The energy factors are of importance to the U. S. Department of Energy since the objective for funding the project is to reduce the amount of energy used for production of N based fertilizers. The economic factors are of importance to the farmer, extension agent, co-operative, or other customer for the sensor since the unit must be economically viable or it will not be purchased. However, these two factors are directly related because a reduction in the amount of fertilizer used in agriculture will save the energy required for production and the cost of fertilizer to the farmer.

From an environmental perspective, conservation of N based fertilizers translates into less available material that could leach into groundwater as a nitrate or runoff into surface waters as an NH_4^+ . Moreover, the sensor could be used in monitoring and controlling the land application of high N wastes and wastewaters providing additional protection to surface and groundwaters.

Cost of Fertilizer and Production Energy

The computation of the energy for production and cost of N-based fertilizer is presented in Table 3. Chemical & Engineering News (1991) provided the data on the production of N-based fertilizer in the United States in calendar year 1990, and these data are shown in the total production column in Table 3. The 23,372 thousand tons accounts for 83.1% of the N products produced. The balance accounts for miscellaneous N solutions which was not included in the analysis because data for energy for production and selling price could not be identified. Therefore, the estimates for energy and purchase price savings will be conservative.

The data indicate that 60.6% of the total N-based fertilizer produced in 1990 was anhydrous NH_3 confirming earlier data (65%) by Englestad *et al.* (1985). The remaining 39.4% of the production account for ammonium nitrate, ammonium sulfate, and urea which are solid fertilizers.

The Chemical Marketing Reporter (1991) provided the current data on selling price of the five types of fertilizers being considered in this analysis. As previously discussed, the reference did not contain information on N solutions. The cost for anhydrous NH_3 on a per ton basis is the highest of the five fertilizers evaluated (\$145/ton). Since the unit cost and the production of anhydrous NH_3 are the highest the total selling price is also the highest. The analysis indicates that the total selling cost of the five fertilizers analyzed is 3.19 billion dollars.

Boswell *et al.* (1985) determined the energy for production of the five fertilizers being analyzed and the data are presented in Table 3. The production and energy for production were used to compute the total cost for production. These data are presented in both trillion Btu and Quads (quadrillion or 10^{15} Btu) in Table 3. The analysis indicates that 0.779 Quads are used in the production of the five fertilizers.

EIA (1991) reported a total of 20.534 Quads were consumed by the industrial sector in 1988. The Chemical and Allied Products Industry (Standard Industrial Classification (SIC) Code 28) consumed 4.36 Quads in 1988. The analysis presented in Table 3 indicates that the energy for production of the five fertilizers accounted for approximately 3.8% of the national consumption and 17.9% of the chemical industry consumption. Therefore, reduction in the use of N-based fertilizer could have a significant impact on energy consumption.

Sensor Cost

The cost estimate for the integrated optics sensor are presented in Table 4. The costs for these components are well known since these same items were used during the Phase I research. However, the cost for labor is only a rough estimate which could increase or decrease depending on whether the system can be mass produced or must be built primarily by hand. The profit is also only a rough estimate since the eventual market penetration will determine this value.

Economic Evaluation of a Mobile Sensor

The evaluation of energy savings and economics for a mobile sensor is presented in Table 5. The energy savings are based on an estimated 10% reduction in the amount of anhydrous NH_3 used by a system using a sensor system. Since this sensor has not been field tested, the 10% value is only an estimate and will be verified during Phase III field testing of this project. A search of existing literature did not identify a usable value for the losses during application and immediately after application due to soil conditions. The 10% value appeared to be a reasonable estimate for preliminary analyses.

The total anhydrous NH_3 fertilizer production, selling price, and production energy savings were taken from Table 4. Assuming a 10% reduction, the production savings for anhydrous NH_3 would be 0.21 billion dollars. The energy savings would be 0.057 QUADS.

The simple payback analysis for the purchase of a sensor is also presented in Table 5. The average size of a farm in the United States in 1988 was 440 acres (Peseck *et al.* 1989). As previously discussed, fertilizer application rates vary from 90 to 270 pounds of nitrogen per acre. Assuming the average application rate of 180 pounds of N per acre and the N content of anhydrous NH_3 of 82.4%, the 440 acre farm would purchase and apply 96,171 pounds (48.09 tons) of N each year. A 10% reduction in the amount of fertilizer purchased and applied would save 4.81 tons per year. At a cost of \$145 per ton of anhydrous NH_3 , the annual savings would be \$697. The complete cost of the mobile sensor is estimated at \$500 including the \$150 sensor and \$350 for sensor data readout meter, cabling, and other equipment. The analysis shows that the sensor would payback in 0.72 years representing a 139.4% return on investment.

The savings could be considerably higher in other scenarios. For example, if the farm is 1,000 acres rather than 440 acres, the sensor cost would remain the same and the annual savings would be \$1,585 resulting in a 0.32 year payback. The farmer who owns a 440 acre farm may not own his own applicator. Instead he may hire a company to apply fertilizer to his land. The company could apply anhydrous fertilizer to many thousands of acres per year using the same applicator and sensor. The total savings in this case would be considerably higher.

There are several other scenarios that could be used to compute the economics of the mobile sensor. However, the analysis presented in Table 5 for the average farm size indicates that the mobile sensor is economically viable in a typical situation.

Economic Evaluation of an In-Situ Sensor

The evaluation of energy savings and economics for the in-situ sensor is presented in Table 6. As previously discussed, N losses in the form of NH_3 have been measured from 10 to 70% of the total solid N-based fertilizer originally applied to the soil. Therefore, a 10% savings attributed to using an in-situ sensor is a conservative estimate. The total solid N-based fertilizer production, selling price, and production energy savings were taken from Table 3. Assuming a 10% reduction, the production savings for granular N-based fertilizer alone would be 0.11 billion dollars. The energy savings would be 0.021 QUADS.

The simple payback analysis for the purchase of a sensor is also presented in Table 6. The analysis again assumes the use of the in-situ sensor on the average 440 acre farm and the application rate of 180 pounds of N per acre. Ammonium nitrate was selected for the solid N-based fertilizer in the analysis. Ammonium nitrate is 35% N and therefore 226,286 pounds (113.14 tons) would be purchased and applied each year. A 10% savings would save \$1,426 per year in fertilizer purchase costs.

The in-situ sensor system is different from the mobile system in that multiple sensors are required. Using an estimate of 1 sensor for 20 acres and a cost of \$5,000 for the data collection system, the total sensor system cost would be \$8,300. The system would have a simple payback of 5.82 years. While the economics of this application are not as favorable as the mobile sensor system, the economics are still viable.

The installation of the sensor at a larger farm would result in considerably better economics. For example the installation of the in-situ system at a 1,000 acre farm would require only the purchase of more sensors at a cost of \$150 each. The \$5,000 data acquisition system could still be used. In this situation, the annual savings in purchase of fertilizer would be \$3,240 resulting in a payback of 3.86 years.

Summary of Economic and Energy Savings

These economics data are preliminary at this time. However, these do indicate the sensor is viable from both an energy and cost savings analysis. Therefore, continuation of the sensor development is warranted. The data generated during subsequent phases will provide the additional information needed to refine the energy and economic analyses.

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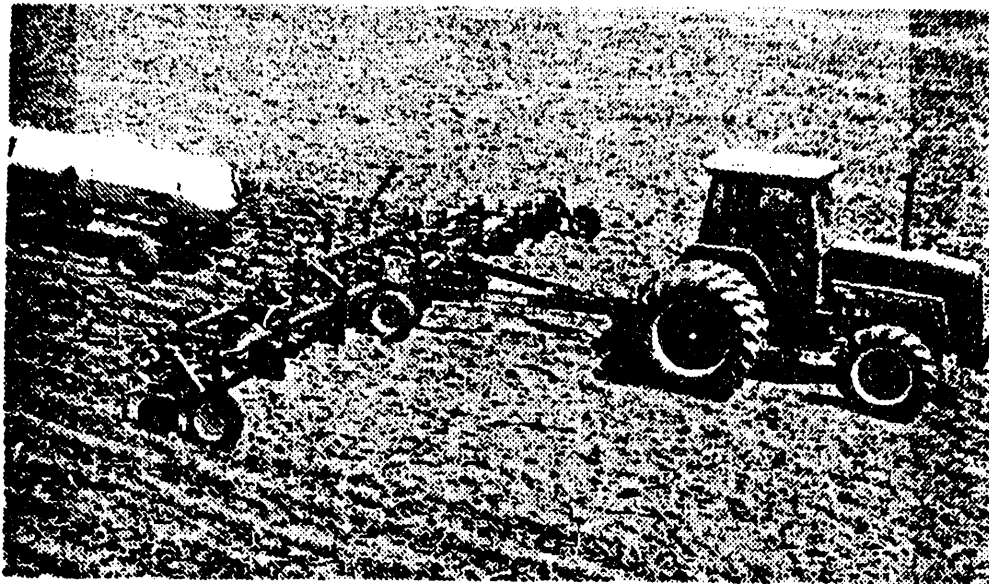


Figure 1. Anhydrous Ammonia Application During Field Operations

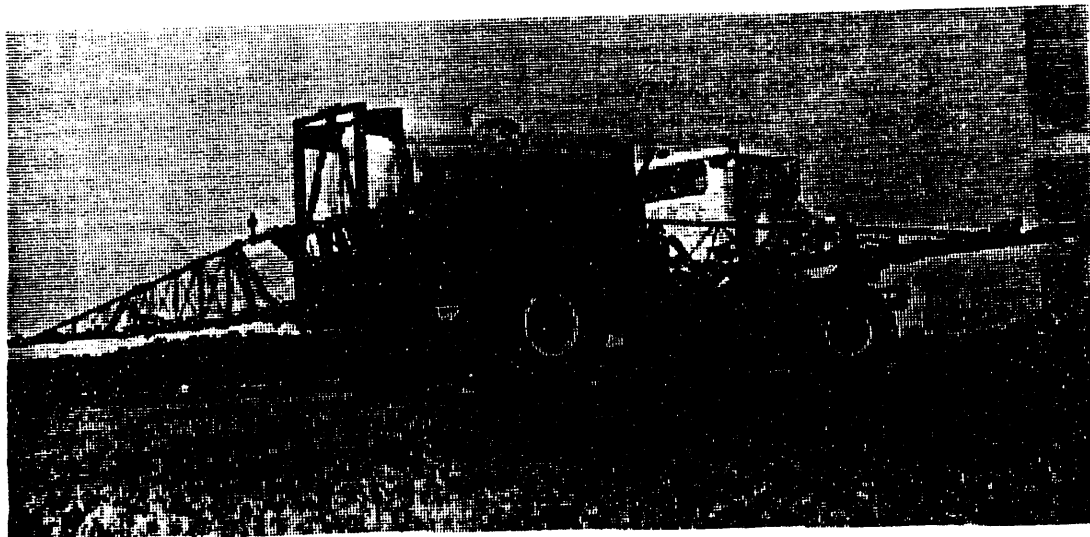
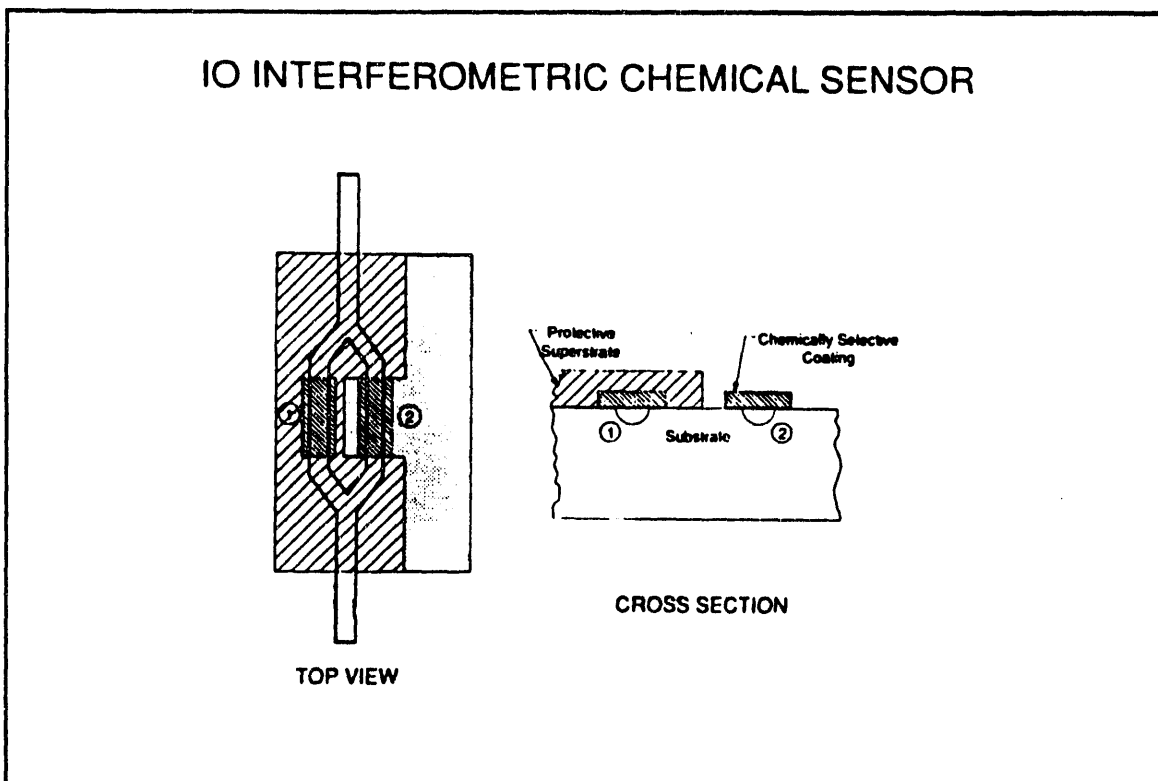
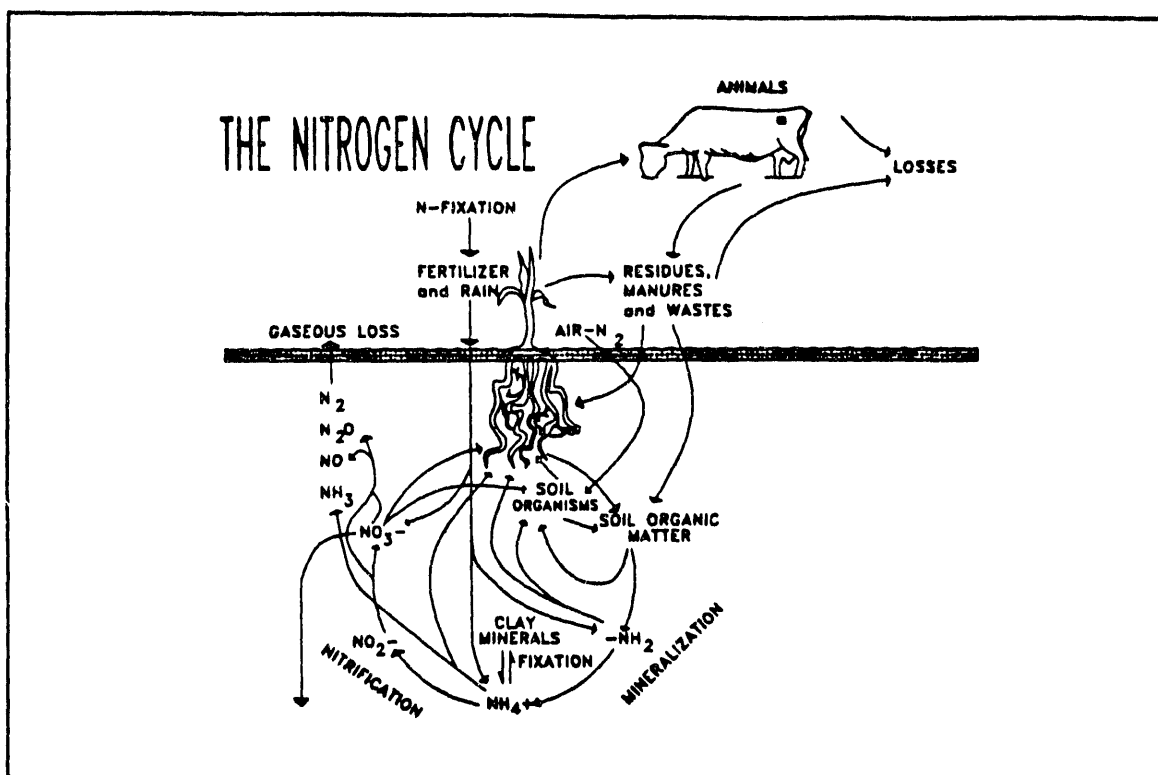


Figure 2. Liquid Fertilizer Applicator Rig



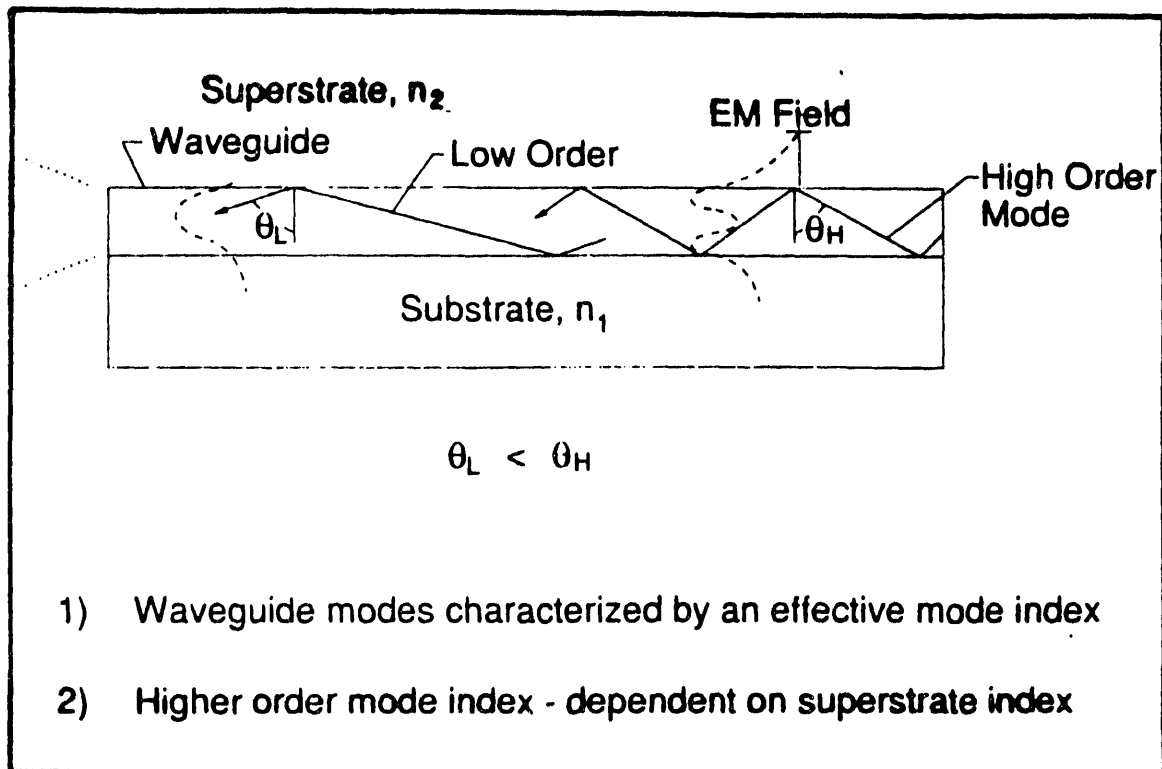


Figure 5. Zig-Zag Ray Model for Guided Beam Propagation in an Optical Waveguide

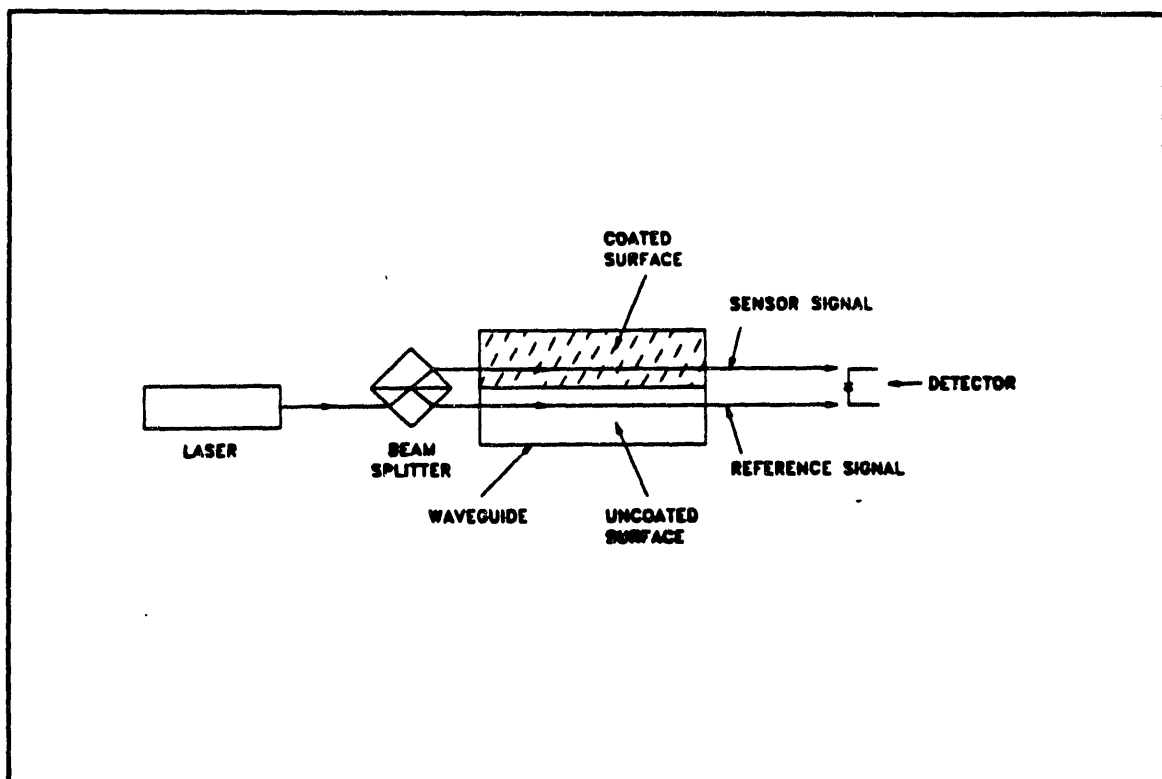


Figure 6. Experimental Setup for Absorption Device

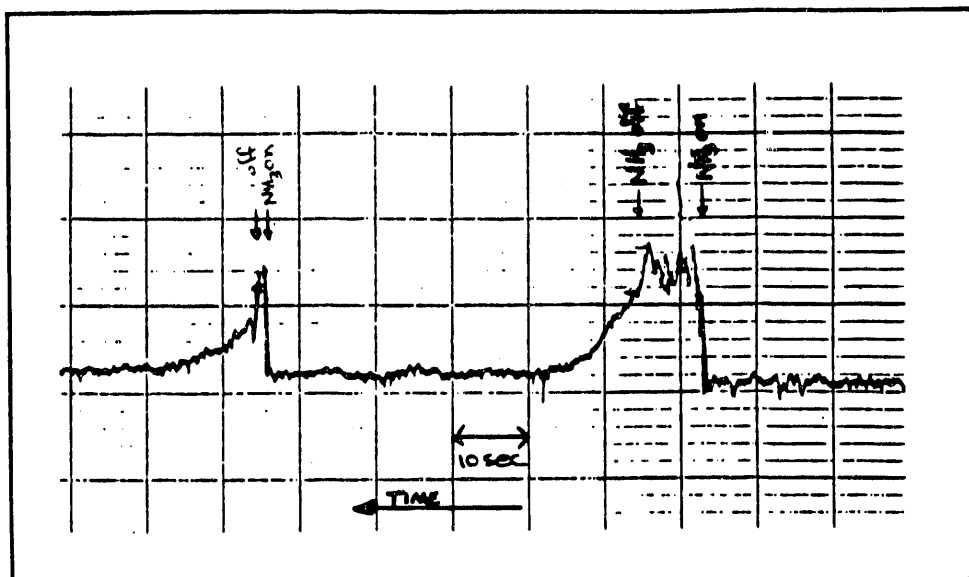


Figure 7. Response of Absorption Device to Ammonia

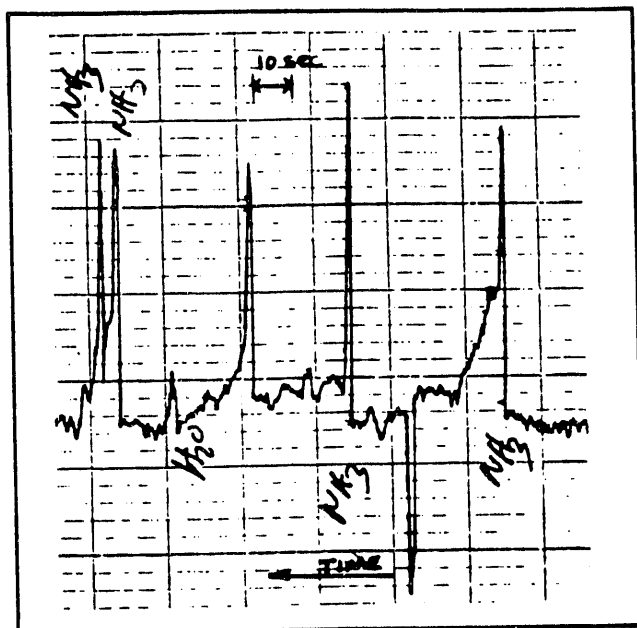


Figure 8. Response of Absorption Device to Ammonia and Humidified Air

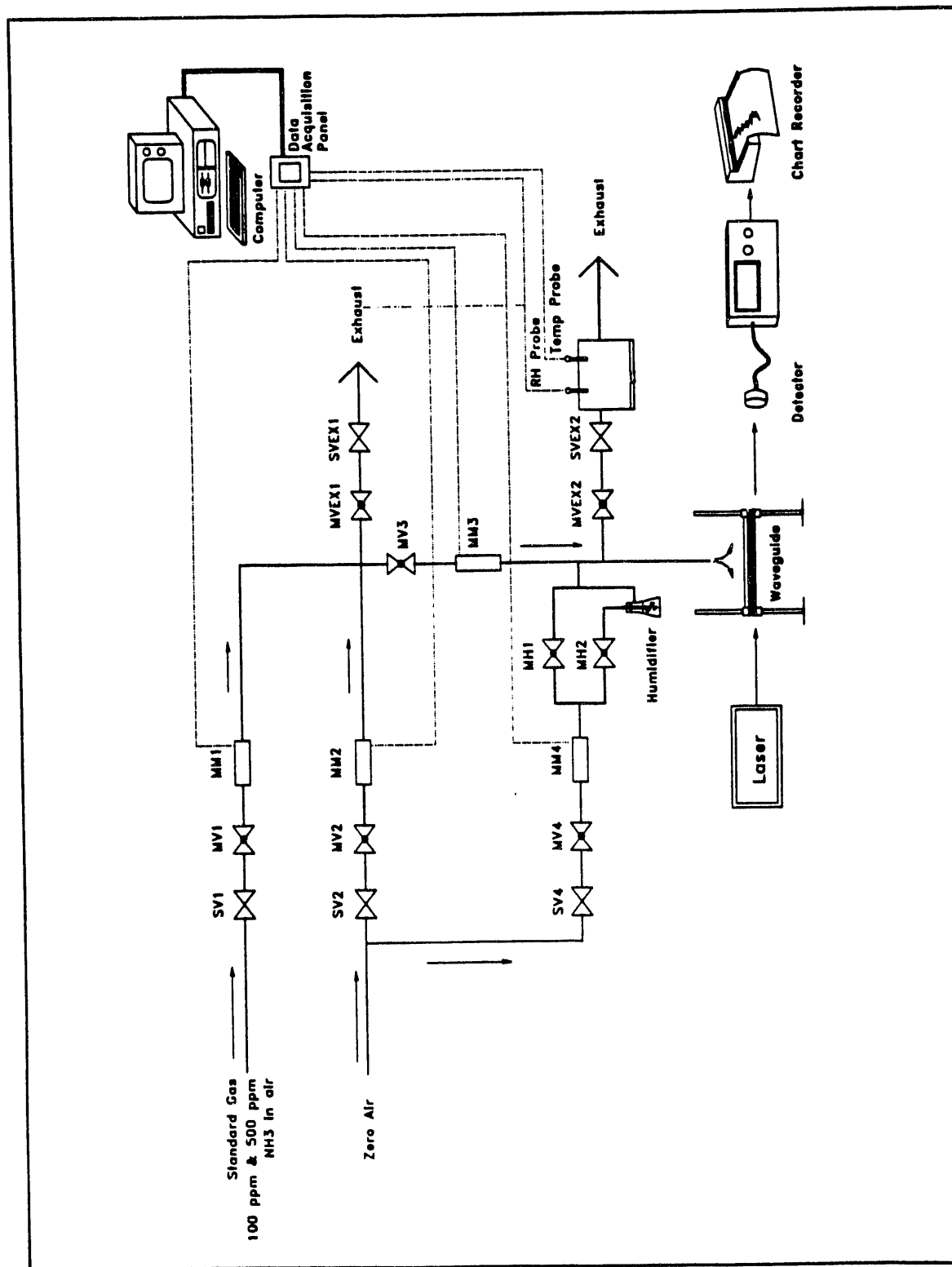


Figure 9. Flow Diagram of Dynamic Gas Mixing System

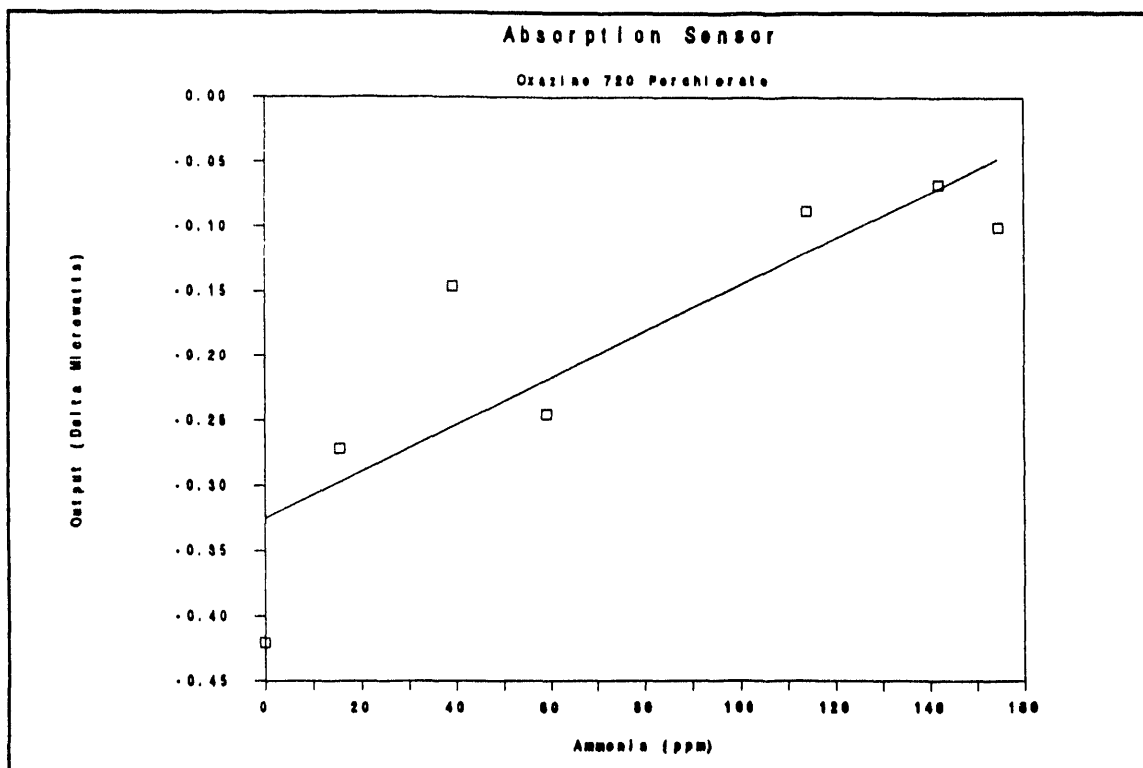


Figure 10. Average Response of Absorption Sensor to Varying Ammonia Concentration (Test 56-72)

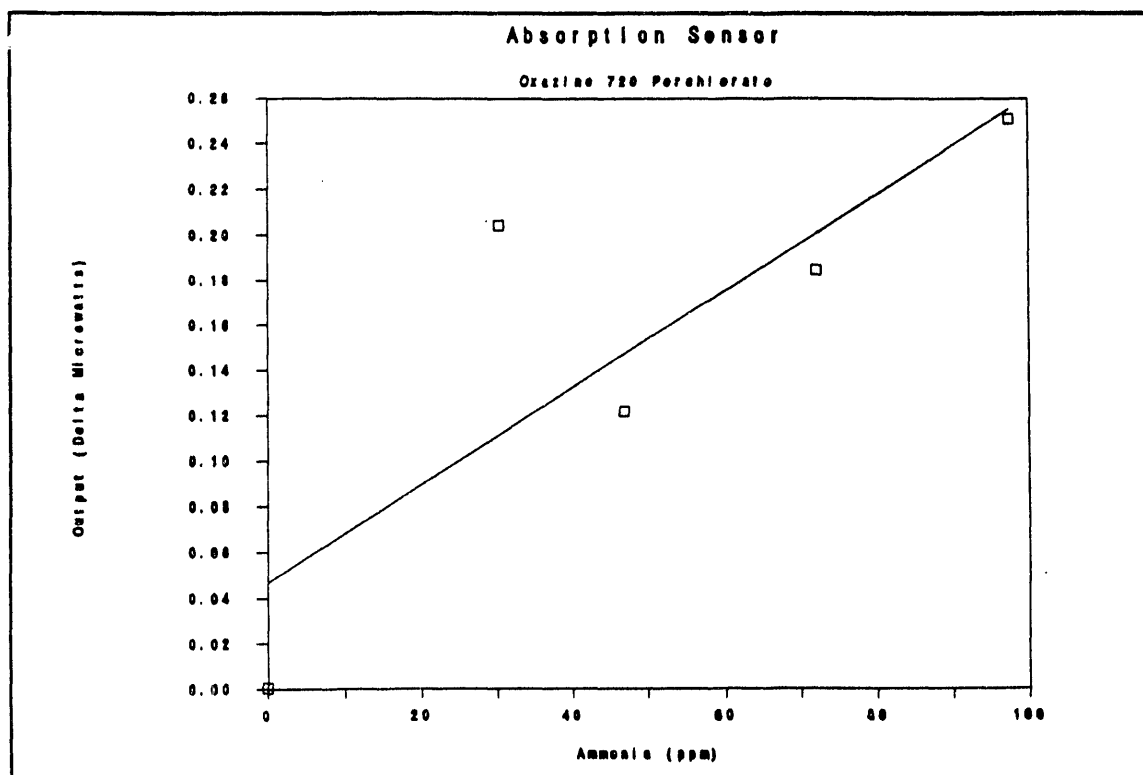


Figure 11. Average Response of Absorption Sensor to Varying Ammonia Concentration (Test 75-81)

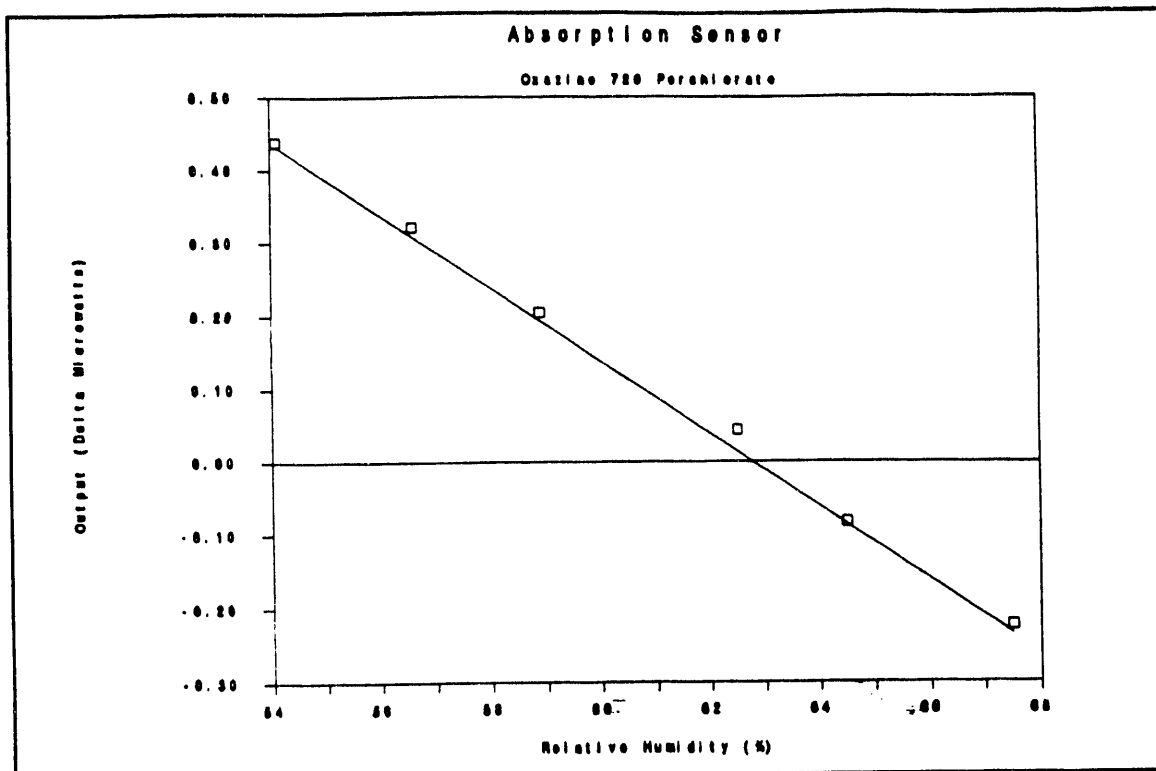


Figure 12. Average Response of Absorption Sensor to Varying Relative Humidity (Test 82-89)

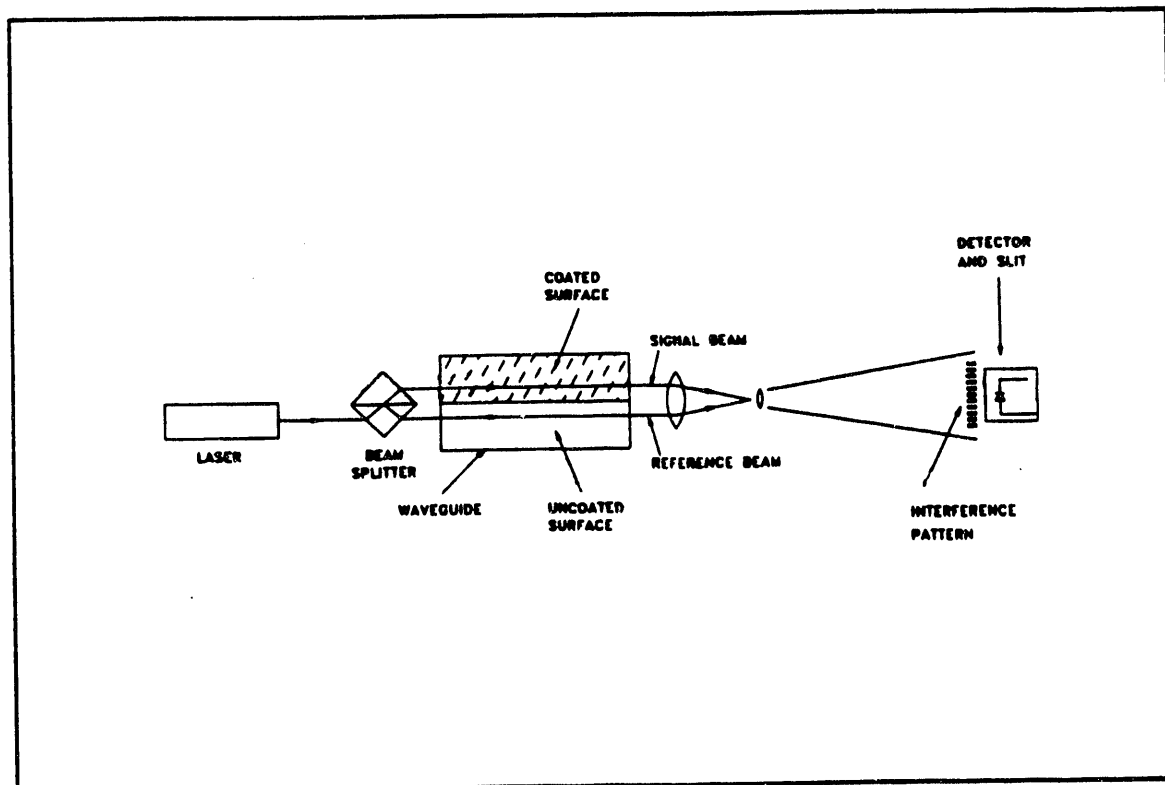


Figure 13. Experimental Setup for Interferometric Sensor

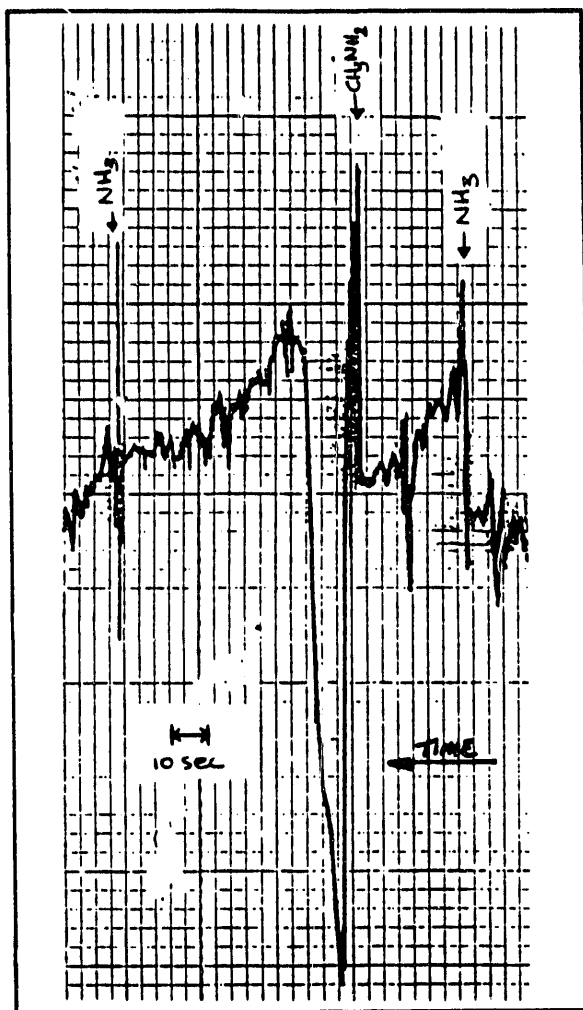


Figure 14. Response of Interferometric Sensor to Methylamine and Ammonia

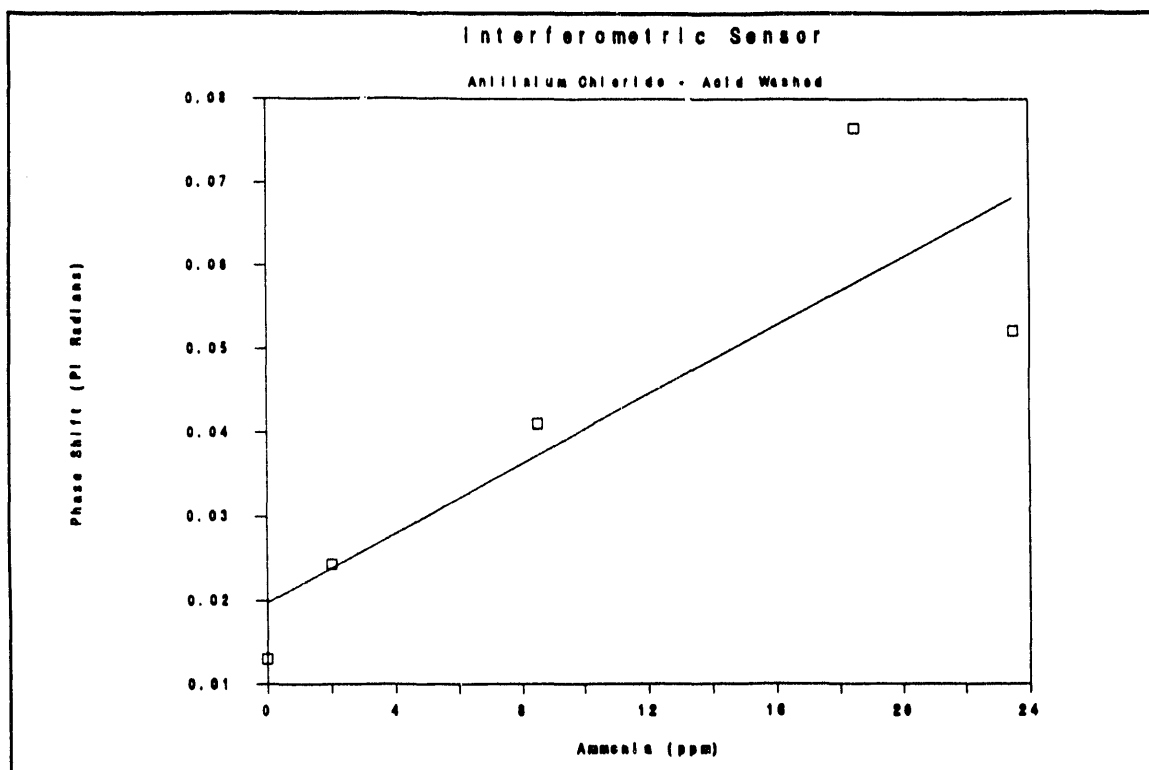


Figure 15. Average Response of Interferometric Sensor to Varying Ammonia Concentration (Test 98-101, 108, 109)

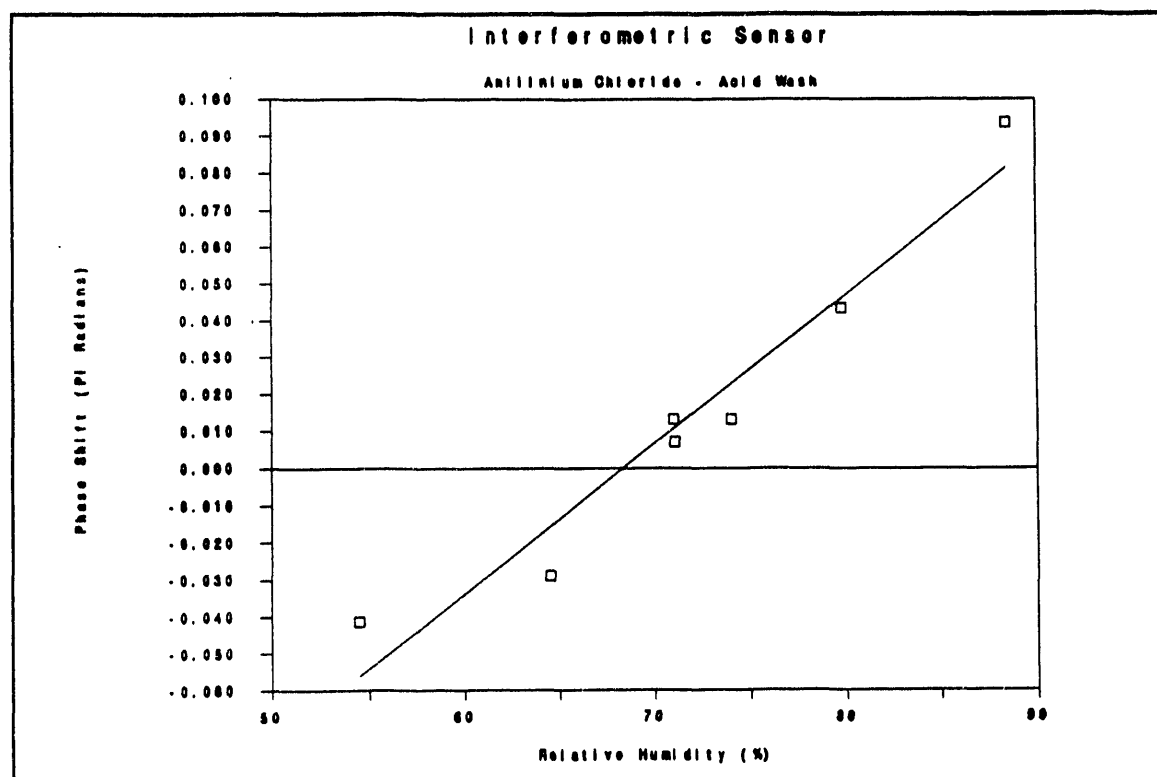


Figure 16. Average Response of Interferometric Sensor to Varying Relative Humidity (Test 102-107)

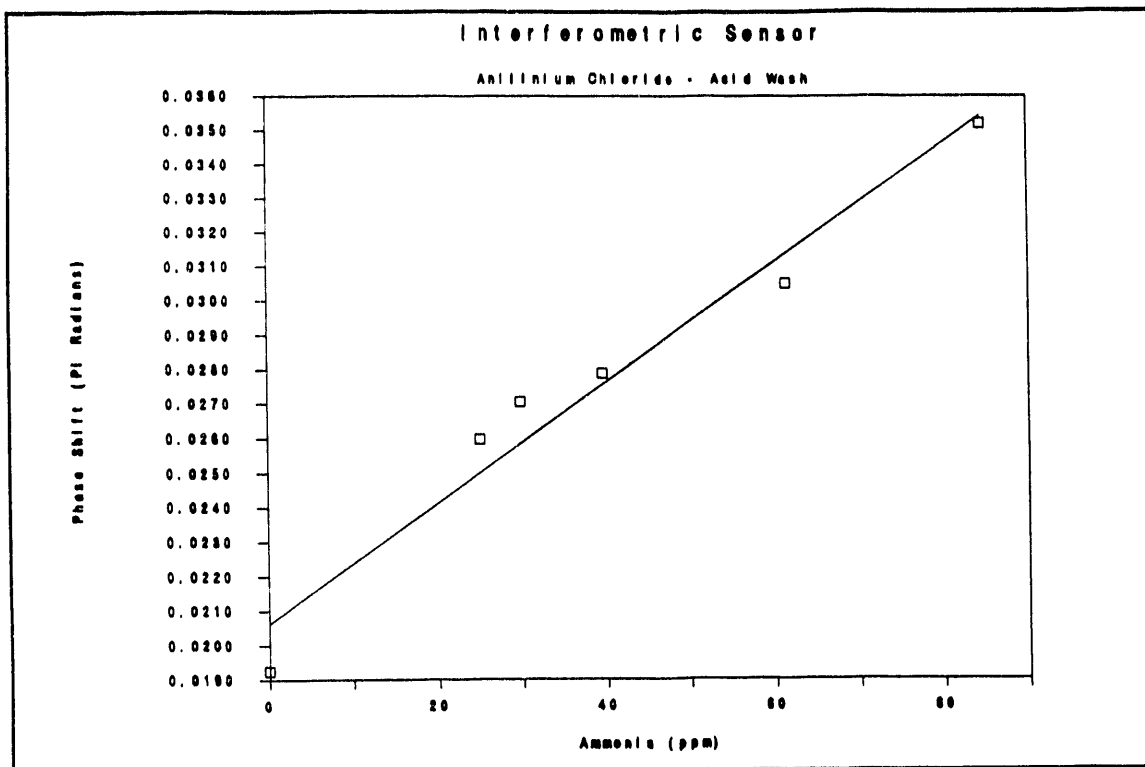


Figure 17. Average Response of Interferometric Sensor to Varying Ammonia Concentration (Test 128-133)

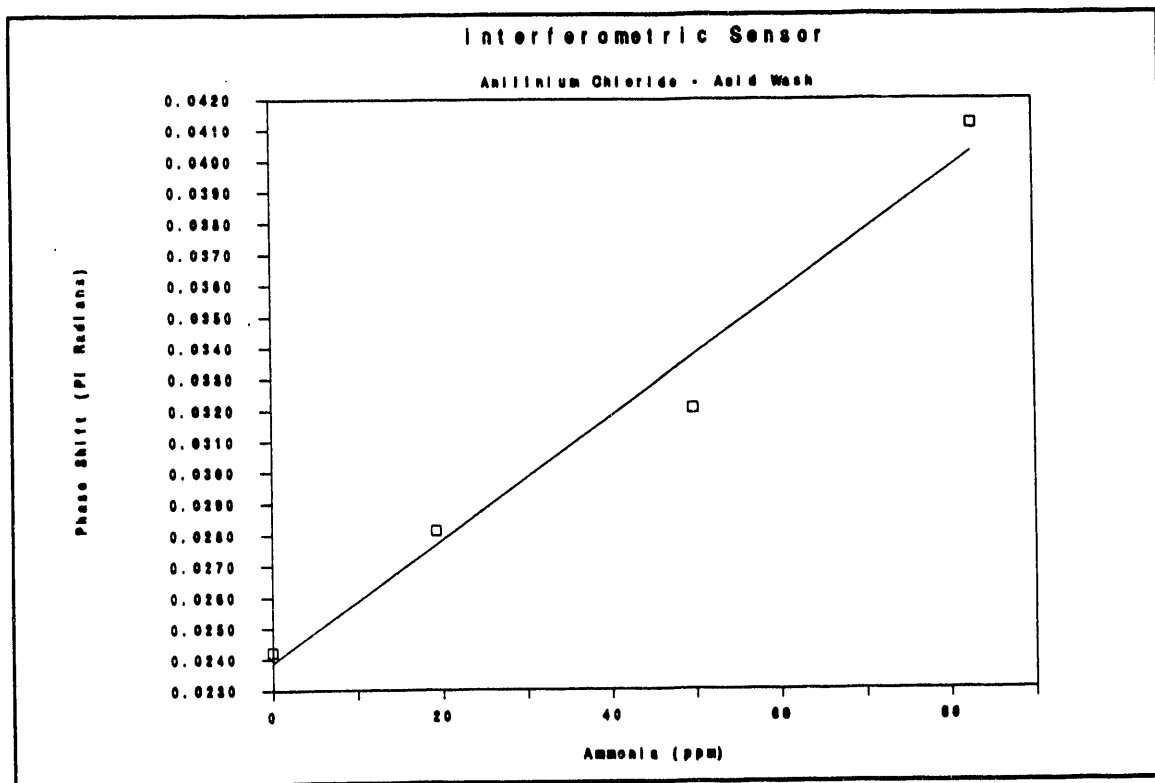


Figure 18. Average Response of Interferometric Sensor to Varying Ammonia Concentration (Test 134-137)

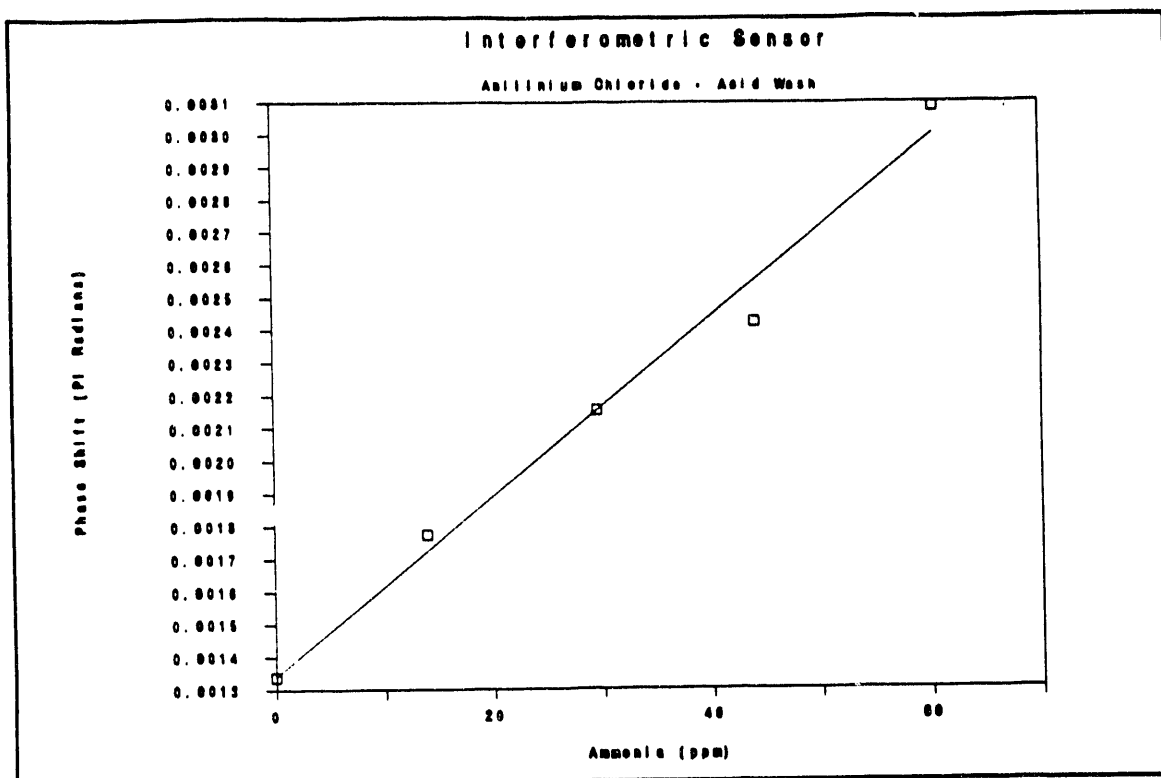


Figure 19. Average Response of Interferometric Sensor to Varying Ammonia Concentration (Test 138-148)

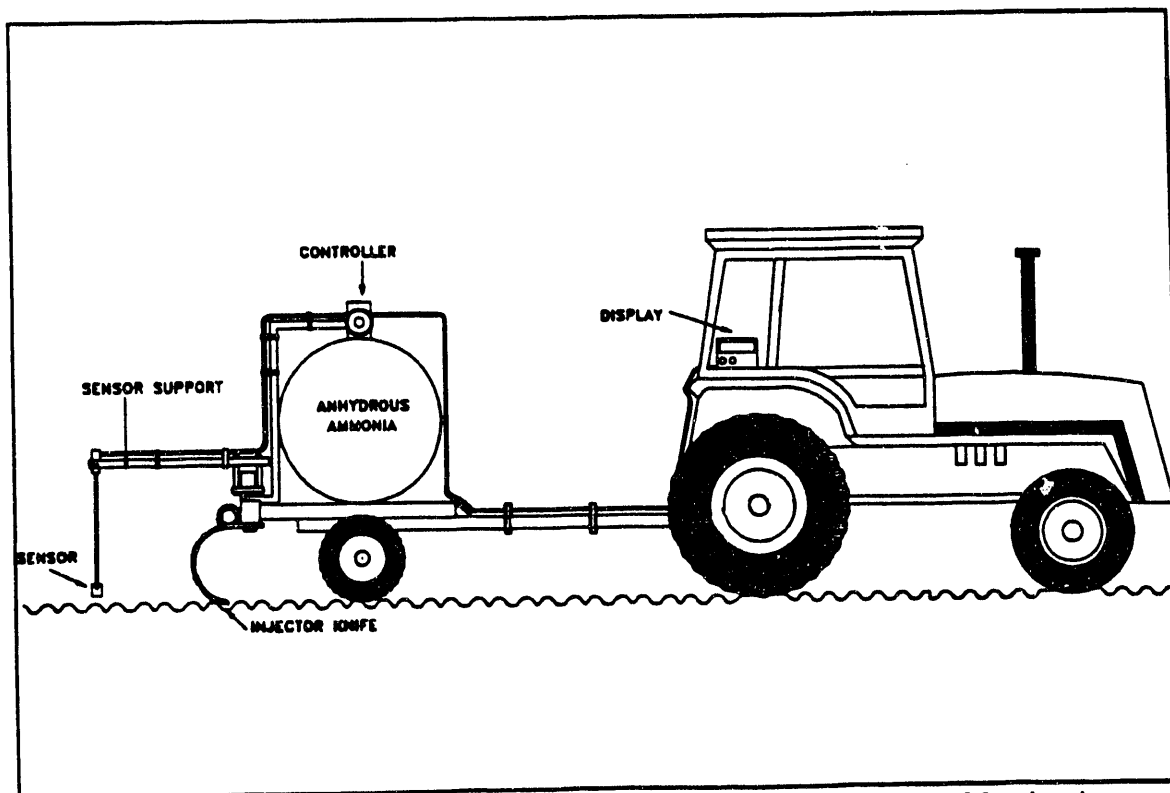


Figure 20. Conceptual Drawing of Post-Application Ammonia Monitoring System

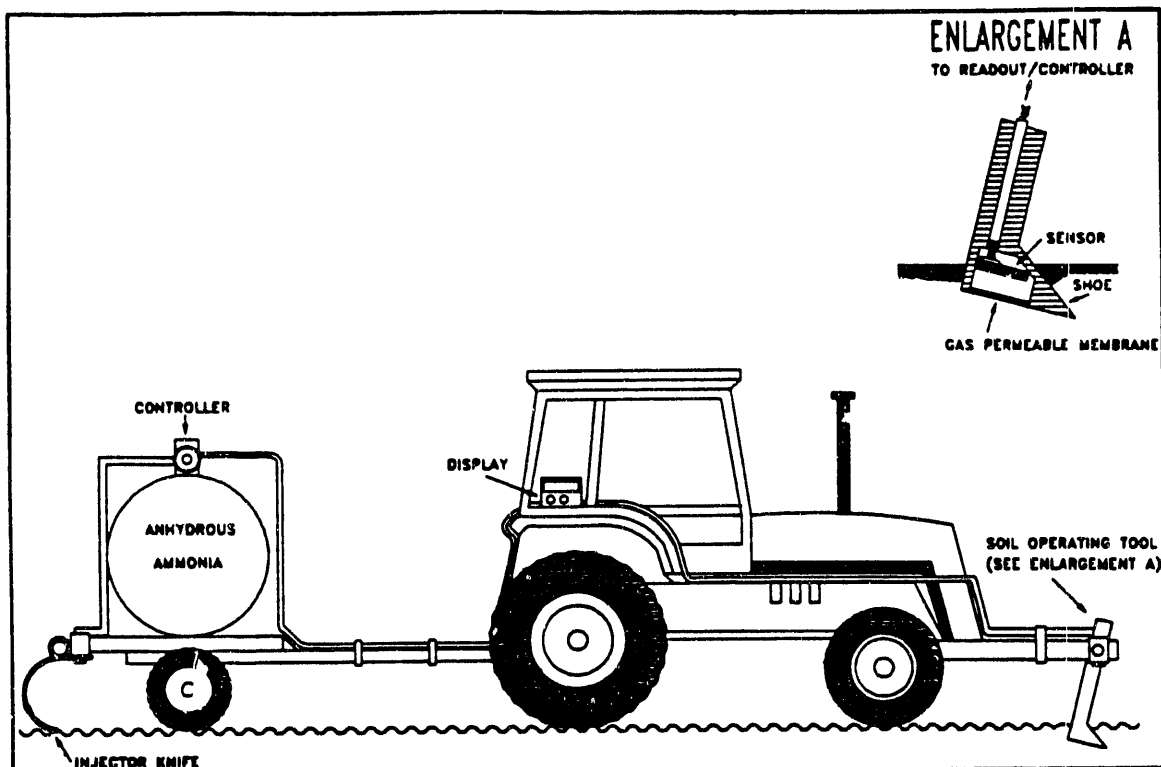


Figure 21. Conceptual Drawing of Pre-Application Ammonia Monitoring System

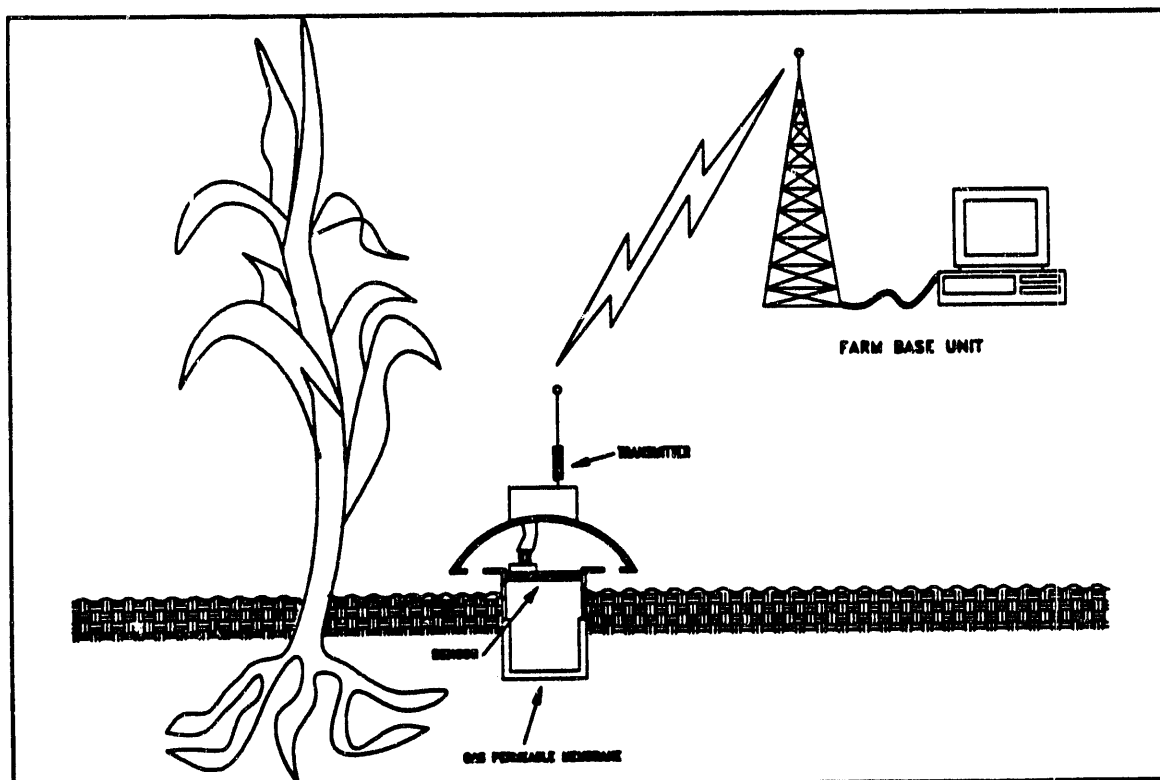


Figure 22. Conceptual Drawing of In-Situ Ammonia Monitoring System

Table 1. Dynamic Test Notes: Absorption Sensor

Date	Waveguide Preparation	Test Number	Observations
2/28/91	4x10 ⁻⁵ M oxazine 720 perchlorate fresh coating	Test 1-12	Noted output change relative to concentration of ammonia in airstream. Also noted changes relative to humidity of airstream. (there are some data that could be plotted)
3/1/91	4x10 ⁻⁵ M oxazine 720 perchlorate 24 hr coating	not assigned	little or no response to ammonia exposure of 80 ppm in air; test halted
4/10/91	10 ⁻⁵ M oxazine 720 perchlorate fresh coating	Test 56-72	very clear and stable signal; rapid exposure response (10 secs to reach 99%) and rapid decay response (10 seconds to reach 99%); noted output change relative to end of waveguide where airstream is directed; output apparently proportional to concentration of ammonia in airstream from 0 - 155 ppm; also noted distinct output change relative to humidity changes
4/11/91	10 ⁻⁵ M oxazine 720 perchlorate 24 hour coating	Test 73-74	unstable; poor response to ammonia exposure of 140 ppm in air; test halted
4/12/91	10 ⁻⁵ M oxazine 720 perchlorate fresh coating	Test 75-81	maintained airstream humidity at room humidity to minimize any humidity effect as detected in previous test; noted output change proportional to concentration in airstream from 0 - 98 ppm
4/12/91	10 ⁻⁵ M oxazine 720 perchlorate fresh coating	Test 82-89	noted similar relationship with humidity as airstream relative humidity was varied from 43 to 73 % while room relative humidity was maintained at 63 %

Table 2. Dynamic Test Notes: Interferometric Sensor

Date	Waveguide Preparation	Test Number	Observations
3/1/91	dodecylanilinium chloride 38 µg/ml fresh coating	Test 13-19	mixed results; indications of humidity and ammonia concentration effects but no distinct pattern; tried both higher and lower order modes
3/4/91	dodecylanilinium chloride 190 µg/ml fresh coating	Test 20-23	very unstable; sensitive to everything including room air and movement
3/6/91	dodecylanilinium chloride 38 µg/ml fresh coating	Test 24-25	again unstable and subject to continued drift
3/8/91	dodecylanilinium chloride 43 µg/ml 48 hour coating	Test 26-27	very unstable; sensitive to movement
4/2/91	dodecylanilinium chloride 43 µg/ml fresh coating	Test 28-34	unresponsive to exposure to ammonia levels up to 40 ppm in air
4/3/91	dodecylanilinium chloride 43 µg/ml fresh coating	Test 35-45	unresponsive to exposure to ammonia levels up to 40 ppm in air
4/4/91	dodecylanilinium chloride 43 µg/ml 24 hour coating	Test 46-48	unresponsive to exposure to ammonia levels up to 40 ppm in air; also unresponsive to air from head space of ammonium hydroxide
4/9/91	dodecylanilinium chloride 58 µg/ml fresh coating	Test 49-55	noted output shift when moving airstream from one end of waveguide to other; generally unresponsive
5/2/91	dodecylanilinium chloride 58 µg/ml acid rinsed, fresh coating	Test 90-96	responsive to exposure to ammonia levels up to 87 ppm in air; considerable drift; non-linear response to change in exposure; problem with maintaining room relative humidity constant, test cancelled
5/10/91	dodecylanilinium chloride 43 µg/ml acid rinsed, 24 hour coating	Test 98-101, 108, 109	stable output; responsive to exposure to ammonia levels up to 24 ppm ammonia in air; rapid exposure and decay response (3 sec and 60 sec, respectively to reach 90% of final output)

Date	Waveguide Preparation	Test Number	Observations
5/10/91	dodecylanilinium chloride 43 µg/ml acid rinsed, 24 hour coating	Test 102-107	stable output; found to be responsive to shifts in airstream relative humidity (54% to 88%) relative to constant room humidity (71%)
5/24/91	dodecylanilinium sulfate 43 µg/ml fresh coating	Test 110-117	somewhat unstable; did not return to zero at times; sensitive to movement; slower exposure response than previous test (15 sec. vs. 3 sec.); seems to be sensitive to any air movement regardless of humidity or ammonia concentration
6/6/91	dodecylanilinium sulfate 43 µg/ml fresh coating	Test 118-126	somewhat unstable; again slow response (exposure 24 sec. and decay 60 sec.); again seems to be sensitive to any form of air movement regardless of ammonia or humidity concentration
8/2/91	dodecylanilinium chloride 43 µg/ml acid wash, fresh coating	Test 128-133	stable output; responsive to exposure to ammonia levels up to 85 ppm ammonia in air; rapid exposure and decay response (15 sec and 30-45 sec, respectively to reach 90% of final output)
8/2/91	dodecylanilinium chloride 43 µg/ml acid wash, fresh coating	Test 134-137	again stable output; responsive to exposure to ammonia levels up to 83 ppm in air; rapid exposure and decay response (15 sec and 30-45 sec, respectively to reach 90% of final output)
8/7/91	dodecylanilinium chloride 43 µg/ml acid wash, fresh coating	Test 138-148	very noisy and unstable; yet able to discern response proportional to ammonia concentrations up to 72 ppm in air

TABLE 3. 1990 FERTILIZER ENERGY AND COST DATA

Fertilizer	Total Production (thousand tons)	Percent of Total	Selling Price (\$/ton)	Selling Price (billion \$)	Energy for Production (million Btu/ton)	Total Energy for Production (trillion Btu)	Total Energy for Production (quads)
Anhydrous Ammonia	14,167	60.6%	\$145	2.05	40.34	571.50	0.572
Ammonium Nitrate	2,579	11.0%	\$126	0.32	20.99	54.13	0.054
Ammonium Sulfate	2,395	10.2%	\$98	0.23	10.84	25.96	0.026
Urea	4,231	18.1%	\$135	0.57	30.10	127.37	0.127
Total	23,372			3.19		778.96	0.779

TABLE 4. INTEGRATED OPTICS SENSOR
COST ESTIMATE

ITEM	COST
Diode Laser	\$25
Waveguide Substrate	5
Detector Electronics	10
Fiber/GRIN Lens	10
Packaging Materials	10
Fabrication Labor	60
Profit	30
Total	\$150

TABLE 5. EVALUATION OF ENERGY SAVINGS AND ECONOMICS FOR MOBILE SENSOR		
Estimated Fertilizer Savings	10.0%	
Total Anhydrous Ammonia Production	14,167	thousand tons
Total Anhydrous Ammonia Selling Price	2.05	billion dollars
Total Anhydrous Ammonia Production Purchase Savings	0.21	billion dollars
Total Anhydrous Ammonia Production Energy	0.572	QUADS
Total Anhydrous Ammonia Production Energy Savings	0.057	QUADS
Average Farm Size in United States	440	Acres
Average Annual Fertilizer Application Rate	180	pounds of nitrogen per acre
Nitrogen Content of Anhydrous Ammonia	82.4 %	
Average Annual Anhydrous Ammonia Application Rate	219	pounds per acre
Total Fertilizer Applied	96,171	pounds
Total Fertilizer Applied	48.09	tons
Total Fertilizer Savings	4.81	tons
Total Fertilizer Savings	\$697	per year
Cost of Sensor	\$500	per unit
Simple Payback	0.72	years
Return on Investment	139.4%	

TABLE 6. EVALUATION OF ENERGY SAVINGS AND ECONOMICS FOR IN-SITU SENSOR

Estimated Fertilizer Savings	10.0%	
Total Solid Fertilizer Production	9,205	thousand tons
Total Solid N Fertilizer Selling Price	1.13	billion dollars
Total Solid N Fertilizer Production Purchase Savings	0.11	billion dollars
Total Solid N Fertilizer Production Energy	0.207	QUADS
Total Solid N Fertilizer Production Energy Savings	0.021	QUADS
Average Farm Size in United States	440	Acres
Average Annual Fertilizer Application Rate	180	pounds of nitrogen per acre
Nitrogen Content of Ammonium Nitrate	35.0%	
Average Annual Ammonium Nitrate Fertilizer Application Rate	514	pounds per acre
Total Fertilizer Applied	226,286	pounds
Total Fertilizer Applied	113.14	tons
Total Fertilizer Savings	11.31	tons
Total Fertilizer Savings	\$1,426	per year
Cost of Sensor	8300	per unit
Simple Payback	5.82	years
Return on Investment	17.2%	

END

**DATE
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2/12/93

