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# Equilibrium Charge State Distributions of Medium Energy Atomic Projectiles Transiting Thin Carbon Foils

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## ABSTRACT

We have investigated the exit charge state distributions of 1-30 keV H, He, C, N, O, Ne, and Ar ions that transit thin carbon foils. In this velocity regime which is less than the Bohr velocity, the dominant charge states are neutrals and singly positive ions. Therefore, the charge state distributions are dependent primarily on electron loss by neutrals with an associated electron loss cross section  $\sigma_l$  and electron capture by singly ionized species with an associated electron capture cross section  $\sigma_c$ . Using empirical charge state distributions, the ratio  $\sigma_l/\sigma_c$  is shown to have a quadratic dependence on the projectile velocity and is fit to the equation  $A(E_p - E_p^0)/m$  where  $E_p$  is the exit projectile energy,  $m$  is its mass, and  $A$  and  $E_p^0$  are constants. A pronounced shell effect is observed: the constant  $A$  is dependent on the principle quantum number of the projectile, and  $E_p^0$  depends on the number of projectile valence electrons.

## 1. INTRODUCTION

Electron capture and loss by projectiles with a velocity less than the Bohr velocity  $v_0$  is of considerable interest as a fundamental atomic interaction phenomena that can be applied to energy loss of atomic projectiles transiting solids [1,2] and beam-foil experiments. A considerable amount of work has been performed on charge state distributions and charge exchange cross sections for energetic ( $v \gg v_0$ ) projectiles transmitted through gaseous and solid targets [3,4] and slow ( $v \ll v_0$ ) projectiles backscattered from solid surfaces [5-7]. A salient reason for investigating charge exchange in these velocity regimes is the theoretical simplicity. For projectile velocities significantly greater than the velocity of orbiting electrons, the interaction between the projectile and each encountered target atom can be treated independently and the electrons are assumed to be stationary. Alternately, the interaction of low velocity projectiles with target atoms can be treated adiabatically.

Between the adiabatic and stationary treatments lies a relatively uninvestigated realm of velocities near the Fermi velocity of the target electrons where theoretical treatment of charge exchange is complicated. In this paper, we present equilibrium charge state distributions for a variety of 1-30 keV ions incident on  $0.5 \mu\text{g}/\text{cm}^2$  carbon foils.

In the velocity regime used in this study, the fraction of the incident beam that exits the foil in the charge state  $+2$  was unmeasurable ( $< 0.1\%$ ) except for a trace fraction ( $< 1\%$ ) in N and He. Therefore, we ignore the possibility of  $q = +2$  exit charge states. Furthermore, if we exclude the possibility of double electron loss or capture, then the fraction  $f^+$  of the beam in the  $q = +1$  state is a function of the populations of the  $q = 0$  and  $q = +1$  states only and is independent of the population of the  $q = -1$  charge state. The variation of the fraction of the beam in charge state  $q = +1$  with penetration distance  $x$  into the foil can be described as

$$\frac{1}{n} \frac{df^+(x)}{dx} = \sigma_l f^0(x) - \sigma_c f^+(x) \quad (1)$$

where  $n$  is the atomic density of the foil,  $f^0$  is the fraction of neutrals,  $\sigma_l$  is the electron loss cross section for the transition  $q = 0$  to  $q = +1$ , and  $\sigma_c$  is the electron capture cross section for the transition  $q = +1$  to  $q = 0$ . However, the simplicity of this equation is exaggerated since  $f^+$  and  $f^0$  each include a huge number of excitation levels. In solids these excited states play a significant role in charge exchange: excitation lifetimes that are longer than the time between collisions and multiple projectile excitations result in a higher mean charge of the exiting beam [4].

Charge state equilibrium is rapidly obtained; in fact, studies have shown that equilibrium occurs within a penetration distance of 3 Å [3,7]. If the foil thickness is greater than the penetration distance to reach charge equilibrium, then  $df^+(x)/dx = 0$  at the exit surface of the foil. Therefore, for charge state equilibrium eq. 1 simplifies to

$$\frac{f^+}{f^0} = \frac{\sigma_I}{\sigma_e} \quad (2)$$

Equation 2 provides direct insight into fundamental physical constants governing charge exchange using empirical charge state distribution results.

For hydrogen, a possible concern with this approach is the prevention of bound electron states in a metal due to electron screening of the proton [8,9] and invalidates eq. 1. However, if we assume carbon is graphite, then the conduction electron concentration is four orders of magnitude less than a metal and screening is negligible [10]. In addition to theoretical arguments that question the validity of this type of screening [11,12], empirical evidence of neutralization within the solid has been observed for H and other projectiles backscattered from metals [13,14]. Consequently, in this study we assume the existence of neutral projectiles with bound electron states in the foil.

## 2. EXPERIMENTAL APPARATUS

Fig. 1 depicts the experimental apparatus used to obtain the exit charge state distributions and is similar to the apparatus used by Burgi *et al.* [15]. Positive ions were generated using a duoplasmatron ion source, accelerated to an energy of 1-30 keV, and magnetically mass analyzed. The ions were incident on a 1 mm round aperture located directly in front of the foil. Located 30 mm behind the foil was a 0.35x25 mm slit. Projectiles that passed through the slit were charge-separated using electrostatic deflection plates. The separated charge state distributions were detected and imaged using an imaging microchannel plate (IMCP) detector and position computer. Charge state fractions were derived by summing all counts in each charge state distribution and dividing by the total number of counts in all distributions. The pressure of the target chamber was typically  $3 \times 10^{-8}$  torr.

The detection efficiency is dependent on the incident species and energy. Since only one incident ion species and energy were examined at a time and the exit charge state is independent of the energy loss of the projectile in the foil, charge state distributions could be directly compared. Typically, the counting rate of the detector was 2-3 kHz and the total collection time was five minutes.

Carbon foils with a nominal thickness of  $0.5 \mu\text{g}/\text{cm}^2$  were obtained from Arizona Carbon Foil and were mounted on 333 line-per-inch nickel grid. The foil surfaces likely are composed of various chemisorbed species due to their prolonged exposure to air, so the charge state distributions derived using these foils are characteristic of "practical" foils whose surfaces have been contaminated by chemisorbed species [10]. The foil thickness is approximately  $44 \text{ \AA}$  based on comparison of the scattering halfwidth of hydrogen with the Meyer theory of small-angle scattering [16]. Since the foil thickness is much greater than the penetration depth characteristic of charge state equilibrium, the exit beam is in charge state equilibration.

Ultra-thin foils used in this study can have pinholes that allow the incident ion beam to pass without charge modification [17]. This results in a localized high-flux region on the IMCP detector in the charge state distribution equal in charge to the incident ion beam. Since this high-flux region is readily observed using the imaging capabilities of the IMCP detector, it can be avoided during subsequent data analysis.

### 3. RESULTS

Fig. 2 depicts the equilibrium fraction of an incident beam that exits the foil in charge state  $q = +1$  as a function of the incident energy  $E_0$  for incident ion species of (a) H and He and (b) C, N, O, Ne, and Ar. Only positive and neutral exit species were observed from He, N, Ne, and Ar, so the neutral fraction is simply  $1 - f^+$  where  $f^+$  is the positive ion fraction. Negative ions were observed in the exit charge state distributions for the electronegative species H, C, and O. Since we assume multiple electron capture or loss is negligible for the derivation of eq. 2, we focus only on the positive and neutral charge state fractions. Except for hydrogen, the projectile velocities spanned by the data are all below the Bohr velocity which corresponds to an energy of  $25 \text{ keV}/\text{amu}$ .

The final charge state distribution of the beam of projectiles is established near the exit surface of the foil at the exit velocity of the projectiles. Due to energy loss in the foil, the exit energy  $E_1$  is less than the incident energy  $E_0$  and therefore was calculated using the following method. The stopping powers of projectiles transiting carbon were obtained using the TRIM90 code [18]. To derive the energy loss of projectiles in the foil, the stopping power was integrated over the  $44 \text{ \AA}$  foil thickness. Since the stopping power is slow varying over the energy range investigated in this study, the energy loss increases linearly with increasing incident energy  $E_0$ . Based on linear least squares fit of the energy loss to  $E_0$  for a  $44 \text{ \AA}$  carbon foil, an expression for the exit energy  $E_1$  as a function of  $E_0$  was derived and is listed in Table 1.

Fig. 5 depicts the ratio  $\sigma_i/\sigma_c$  (which equals  $I^+/I^0$  according to eq. 2) as a function of  $E_i/m$  where  $m$  is the projectile mass in amu. The data clearly shows a linear dependence of  $\sigma_i/\sigma_c$  on  $E_i/m$  or, equivalently, the square of the projectile exit velocity,  $v_i$ . A linear least-squares fit to the equation

$$\frac{\sigma_i}{\sigma_c} = \frac{A(E_F - E_T)}{m} \quad (3)$$

was performed on the data, and the results are tabulated in Table 2. The factor  $A$  reflects the magnitude of the enhancement of  $\sigma_i$  relative to  $\sigma_c$  due to the exit velocity.

The threshold energy  $E_T$  is the extrapolated minimum energy required for the existence of positive exit charge states. Qualitatively,  $E_T$  describes the energy-independent enhancement of  $\sigma_i$  relative to  $\sigma_c$  and should depend on the projectile and foil properties. Since one foil was used throughout the entire experiment, variations in  $E_T$  can be attributed to different projectile properties. For a positive  $E_T$ , positive ions exiting the foil will be observed only if  $E_i$  is greater than  $E_T$ . A negative value of  $E_T$  infers that positive ions will be observed exiting the foil at any energy.

The derived values for  $A$  and  $E_T$  exhibit a striking correlation with the projectile shell structure. The constant  $A$  can be separated into three distinct groups that correspond closely to the principle quantum number  $n$  of the projectile: for  $n = 1$  (H and He),  $A \approx 0.021$ ; for  $n = 2$  (C, N, O, and Ne)  $A \approx 0.085$ ; and for  $n = 3$  (Ar),  $A = 0.4$ . Apparently, electron loss is facilitated by increased electron screening associated with larger quantum numbers.

A more subtle effect is apparent within a principle quantum level:  $A$  decreases (i.e.,  $\sigma_i$  is enhanced relative to  $\sigma_c$ ) with increasing atomic number. The exception is carbon, which involves symmetrical resonance reactions with carbon atoms in the foil that may lead to enhanced electron capture.

Within a principle quantum number, a more negative value of  $E_T$  indicates an enhancement of electron loss relative to electron capture. This effect is clearly observed in both Fig. 3 and Table 2 which show that the  $\sigma_i/\sigma_c$  enhancement is larger for projectiles with fewer valence electrons. A general anti-correlation between  $E_T$  and the ionization potential is noted, although this would predict a larger value of  $E_T$  for oxygen relative to nitrogen which is not observed in the data.

At higher energies, the velocity dependence of  $\sigma_i/\sigma_c$  sharply increases. The relationship between  $I^+/I^0$  and  $\sigma_i/\sigma_c$  described in eq. 2 has been previously performed using hydrogen at energies greater than 20 keV on a variety of foils [19,20]. Their results show that  $\sigma_i/\sigma_c$  varies with the exit velocity as approximately  $v_i^2$  at 25 keV to  $v_i^9$  at 400 keV. These values are consistent with theoretical predictions by Bohr [1].

In Fig. 3, the charge state distributions for H and He differ by a factor of 2. Previous results of backscattered H and He that penetrate the solid show nearly identical charge state distributions at

velocities higher [14] and lower [13] than those used in this study. This apparently results for screening of He in metal targets resulting in at least one continuously bound electron so that He behaves identically to H. The large variation between H and He observed in this study show that  $\text{He}^+$  cannot be treated as a proton. If we ignore the possibility of  $\text{He}^{+2}$  in the foil (based on the minute fraction ( $< 1\%$ ) of  $\text{He}^{+2}$  observed in the exit charge state distribution), then He always then has at least one bound electron while in the foil. Therefore, the variation in  $\sigma_l/\sigma_c$  between H and He must result from multiple excitations of He during charge exchange interactions.

## SUMMARY

Based on the exit charge state distributions of various atomic projectiles over an energy range of 1-30 keV, the ratio of the electron loss to capture cross sections show a quadratic dependence on the exit projectile velocity. The variation of this ratio with energy is dependent on the projectile principle quantum number. Velocity-dependent enhancement of  $\sigma_l$  relative to  $\sigma_c$  due to projectile shell effects is observed for both larger principle quantum numbers and, for a given quantum number, a smaller atomic number. Velocity-independent enhancement of  $\sigma_l$  relative to  $\sigma_c$  is observed for projectiles with fewer outer-shell electrons.

Based on the small fraction of exiting  $\text{He}^{+2}$  observed in this study, He likely carries at least one bound electron in the foil. Therefore, the difference in  $\sigma_l/\sigma_c$  between H and He projectiles suggests that multiple excited states are involved in He charge exchange interactions and He cannot be treated as a proton.

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## FIGURE CAPTIONS

Fig. 1. Experimental apparatus.

Fig. 2. The fraction of atomic beams exiting as ions with charge state  $q = +1$  is depicted as a function of incident ion energy  $E_0$ .

Fig. 3. The fraction  $f^+/I^0$ , which equals  $\sigma_f/\sigma_c$  according to equation 2, is plotted as a function of the calculated exit energy of the projectiles for (a) H and He and (b) C, N, O, and Ar. The data clearly shows a linear dependence of  $\sigma_f/\sigma_c$  on the square of the projectile's exit velocity.

Table 1. Final projectile energy  $E_F$  calculated using tabulated stopping powers [TRIM90].

Projectile	$E_F = c_1 E_0 - c_2, 1 \text{ keV} < E_0 < 30 \text{ keV}$	
	$c_1$	$c_2$
H	0.991	0.333
He	0.984	0.310
C	0.990	1.461
N	0.988	1.756
O	0.989	1.974
Ne	0.992	2.403
Ar	0.957	4.018

Table 2. Least Squares Fit Parameters for  $\sigma/\sigma_c = A(E_T - E_T)/m$ .

Element	n	A	$E_T(\text{keV})$
H	1	0.0264	-1.77
He	1	0.0169	5.25
C	2	0.0864	-7.61
N	2	0.0917	-5.13
O	2	0.0840	2.32
Ne	2	0.0745	4.32
Ar	3	0.423	6.39

Figure 1.

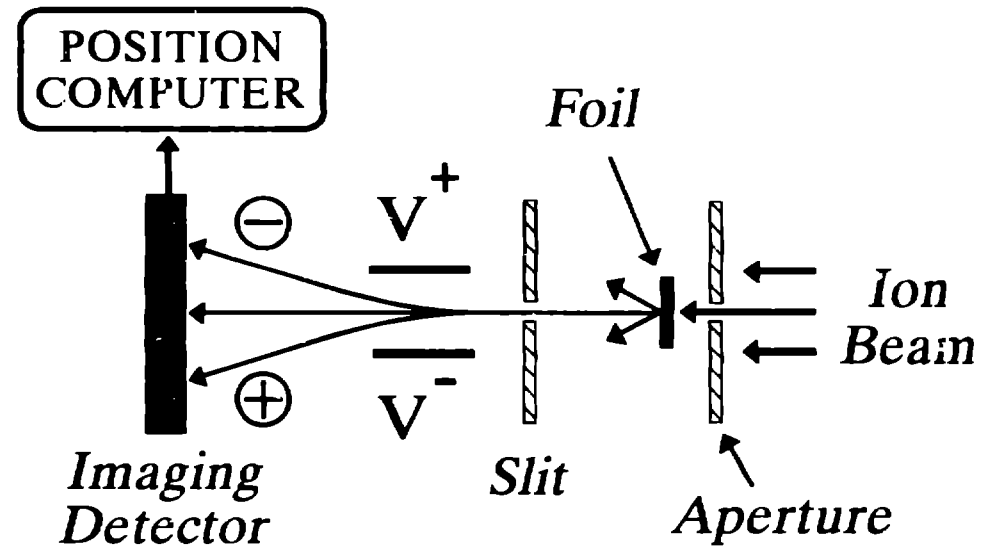


Figure 2.

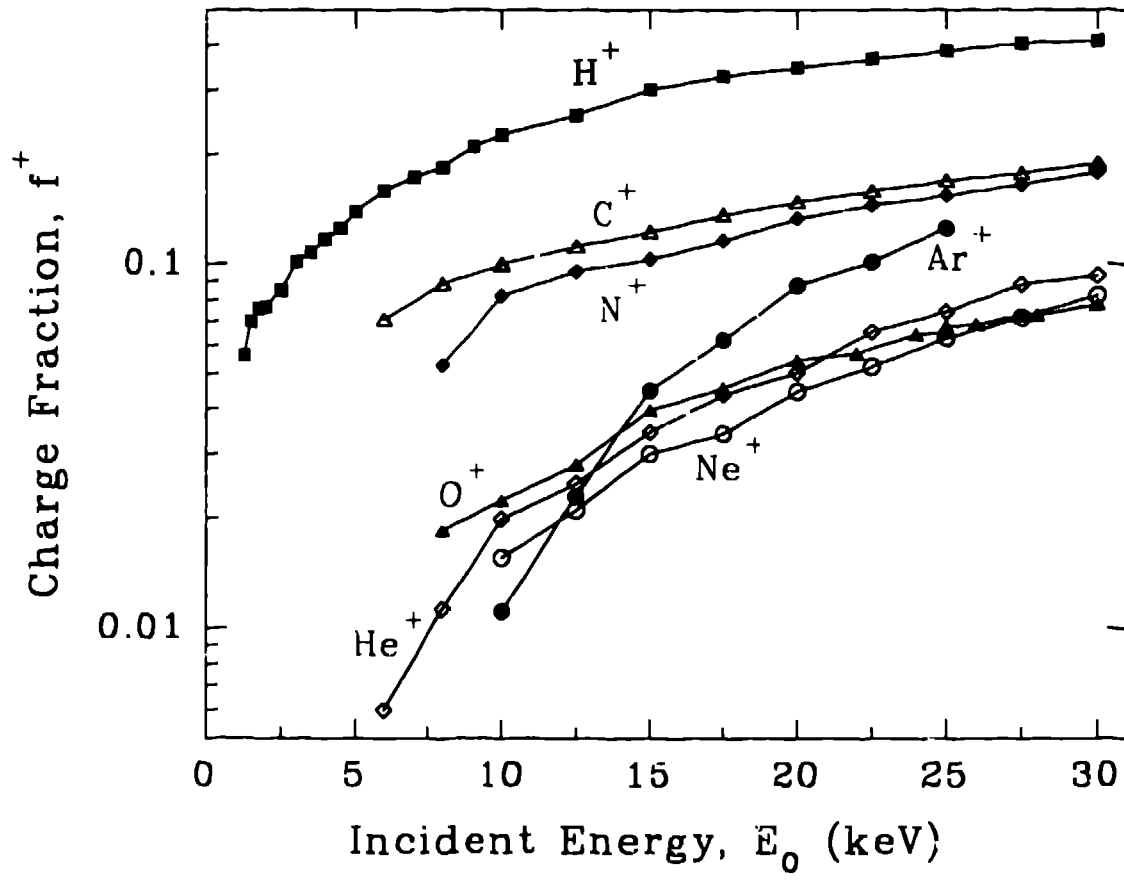


FIGURE 3.

