

GA-A20783

**ALTERNATE APPROACHES TO VERIFYING THE
STRUCTURAL ADEQUACY OF THE DEFENSE
HIGH LEVEL WASTE SHIPPING CASK**

by
A. Zimmer and M. Koploy

Prepared under
Contract DE-AC04-80SF10791
for the Department of Energy

DECEMBER 1991



GENERAL ATOMICS

Discontinued

DISCLAIMER

This report was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor any of their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise, does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof.

GA-A--20783

DE92 007004

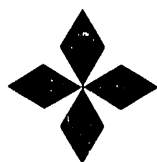
1992

ALTERNATE APPROACHES TO VERIFYING THE STRUCTURAL ADEQUACY OF THE DEFENSE HIGH LEVEL WASTE SHIPPING CASK

by
A. Zimmer and M. Koploy

Prepared under
Contract DE-AC04-80SF10791
for the Department of Energy

DECEMBER 1991



GENERAL ATOMICS

MASTER

FB

DISCLAIMER

This report was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor any of their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise, does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof.

GA-A20783

**ALTERNATE APPROACHES TO VERIFYING THE
STRUCTURAL ADEQUACY OF THE DEFENSE
HIGH LEVEL WASTE SHIPPING CASK**

by
A. Zimmer and M. Koploy

Prepared under
Contract DE-AC04-80SF10791
for the Department of Energy

GENERAL ATOMICS PROJECT 3324
DECEMBER 1991



ACKNOWLEDGMENT

General Atomics (GA) wishes to individually acknowledge the Sandia National Laboratories (SNL) personnel responsible for supporting the defense high level waste (DHLW) cask program. SNL provided technical oversight to the Department of Energy (DOE) (Ken Gollither, Project Manager) and was responsible for the fabrication and testing of the DHLW half-scale model, impact limiter model testing, and other engineering tests. SNL, under their base technology program, developed the notched impact limiter that was incorporated into the DHLW cask.

Baynes, E.
Bronowski, D. R.
Glass, R. E.
Madsen, M. M.
Stenberg, D. R.
Uncapher, W. L.

CONTENTS

1.	INTRODUCTION	1-1
1.1.	Background	1-1
1.2.	DHLW Cask Description	1-1
1.3.	Approach to Structural Design Verification	1-5
1.4.	Summary of Analyses and Tests	1-7
2.	INELASTIC ANALYSIS	2-1
2.1.	Structural Criteria	2-1
2.1.1.	Description	2-1
2.1.2.	Comparison of GA and ASME Code Inelastic Allowables	2-3
2.2.	Analytical Methods	2-6
2.2.1.	General Methodology	2-6
2.2.2.	Two-Dimensional HONDOII Finite Element Analysis	2-7
2.2.3.	Three-Dimensional DYNA3D Finite Element Analysis	2-9
2.3.	Cask 9-m (30-ft) Drop Analyses	2-10
2.3.1.	Nine-Meter (30-ft) Bottom End Flat Drop Analysis	2-10
2.3.2.	Nine-Meter (30-ft) Closure End Drop Analysis	2-23
2.3.3.	Nine-Meter (30-ft) Center of Gravity Over Closure Corner Drop Analysis	2-37
2.3.4.	Nine-Meter (30-ft) Center of Gravity Over Bottom Corner Drop Analysis	2-48
3.	ELASTIC ANALYSIS	3-1
3.1.	Structural Criteria	3-1
3.2.	Analytical Methods	3-1
3.2.1.	Theory of Modeling	3-5
3.2.2.	Verification	3-10
3.3.	Cask Analysis	3-12
3.3.1.	Impact Limiter Load-Deflection Curve Tests	3-16
3.3.2.	Thirty-Foot Free Drop Elastic Analysis	3-69

4.	HALF-SCALE MODEL TEST DATA	4-1
4.1.	Model Description	4-1
4.2.	Test Sequence and Procedure	4-5
4.3.	Testing and Results	4-28
4.3.1.	Scaling Laws	4-28
4.3.2.	Information Gathered from Tests	4-29
4.3.3.	Test Results	4-33
5.	COMPARISON OF TEST RESULTS WITH ANALYTICAL RESULTS	5-1
5.1.	Accelerations and Duration	5-1
5.2.	Strains	5-3
5.3.	Displacements	5-8
5.4.	Material Properties	5-13
6.	REFERENCES	6-1

FIGURES

1-1.	DHLW cask cutaway	1-3
1-2.	Section through DHLW truck cask	1-4
2-1.	Allowable strain energy	2-4
2-2.	DHLW two-dimensional bottom end drop, finite element model	2-12
2-3.	DHLW two-dimensional bottom end drop, bottom end of model shown	2-13
2-4.	Deformation of bottom end of cask during a 9-m (30-ft) bottom end drop 4.8 ms after initial impact	2-17
2-5.	Nine-meter (30-ft) bottom end drop analysis, kinetic energy versus time	2-18
2-6.	Critical sections for DHLW bottom end 9-m (30-ft) drop . .	2-19
2-7.	DHLW 9-m (30-ft) bottom end drop primary membrane stress time history at the cask body bottom plate edge under the shield liner	2-21
2-8.	DHLW 9-m (30-ft) bottom end drop time history of stresses in element with highest local membrane plus bending stresses	2-22
2-9.	Components of DHLW shipping cask 9-m (30-ft) closure end analytical model	2-25
2-10.	Complete model used in 9-m (30-ft) closure end drop analysis	2-26

FIGURES (Continued)

2-11.	Primary membrane stress for cask neck critical Section 4	2-30
2-12.	DHLW two-dimensional closure end drop model at 1 ms	2-31
2-13.	DHLW two-dimensional closure end drop model at 4 ms	2-32
2-14.	DHLW two-dimensional closure end drop model at 6 ms	2-33
2-15.	DHLW two-dimensional closure end drop model at 9 ms	2-34
2-16.	Blow up of closure end showing critical sections chosen during 9-m (30-ft) closure end drop analysis	2-35
2-17.	Closure end 9-m (30-ft) drop analysis finite element model showing locations of critical sections	2-36
2-18.	Finite element model (cut by x-y plane at $y = 0$) for CG over closure corner 9-m (30-ft) drop analysis	2-40
2-19.	CG over closure corner 9-m (30-ft) drop analysis, total kinetic energy for half the cask versus time	2-45
2-20.	Deformed shape plot of the top of the model during a CG over closure corner 9-m (30-ft) drop	2-46
2-21.	Critical sections for CG over closure corner drop analysis	2-47
2-22.	Closure corner 9-m (30-ft) drop analysis. Primary mem- brane stress for most critical section in cask flange . . .	2-50
2-23.	Closure corner 9-m (30-ft) drop analysis. Individual effective stresses for critical Section 1 located in top of cask flange at point of impact	2-51
2-24.	Undeformed finite element model used in three-dimensional analysis of cask during a CG over bottom corner 9-m (30-ft) drop	2-53
2-25.	Exploded view of cask model used in CG over bottom corner 9-m (30-ft) drop analysis	2-54
2-26.	Bottom corner 9-m (30-ft) drop analysis total kinetic energy for half the cask versus time	2-57
2-27.	Exploded view of cask body showing area enlarged for contour plots	2-58
2-28.	Effective stress contour plots of the inside of the cask body (part 1)	2-59
2-29.	Effective stress contour plots of the inside of the cask body (part 2)	2-60
2-30.	Location of critical sections in cask body part 1 in CG over bottom corner 9-m (30-ft) analysis	2-61

FIGURES (Continued)

2-31.	Location of critical sections in cask body part 2 in CG over bottom corner 9-m (30-ft) drop analysis	2-62
2-32.	Bottom corner 9-m (30-ft) drop analysis primary membrane stress of critical Section 11	2-65
2-33.	Bottom corner 9-m (30-ft) drop analysis for individual effective stresses for critical Section 11	2-66
3-1.	Model of DHLW cask using lumped mass and beam representation	3-4
3-2.	Different types of section properties input accepted by GACAP	3-9
3-3.	GACAP provides both the flexible body and rigid body dynamic solutions	3-11
3-4.	GACAP model used for verification with DYNA3D	3-13
3-5.	Comparison of GACAP and DYNA3D nodal displacement and beam moment results	3-14
3-6.	1/6-scale notched and lower end impact limiter test article	3-17
3-7.	1/6-scale notched and lower end impact limiter test article	3-18
3-8.	1/3-scale circumferential honeycomb test article and fixture	3-19
3-9.	1/3-scale circumferential honeycomb test article and fixture	3-20
3-10.	Notched impact limiter, 45 deg test	3-22
3-11.	Lower end impact limiter, 15 deg test	3-23
3-12.	Notched impact limiter test articles after tests	3-24
3-13.	Lower end impact limiter test articles after tests	3-25
3-14.	Circumferential impact limiter showing 0 deg crush footprint and ready for 12 deg test	3-26
3-15.	1/6-scale notched impact limiter, load-deflection curve at 0 deg	3-27
3-16.	1/6-scale notched impact limiter, load-deflection curve at 73.4 deg	3-28
3-17.	1/6-scale notched impact limiter, load-deflection curve at 60 deg	3-29
3-18.	1/6-scale notched impact limiter, load-deflection curve at 45 deg	3-30

FIGURES (Continued)

3-19.	1/6-scale notched impact limiter, load-deflection curve at 30 deg	3-31
3-20.	1/6-scale notched impact limiter, load-deflection curve at 15 deg	3-32
3-21.	1/6-scale lower end impact limiter, load-deflection curve at 90 deg	3-33
3-22.	1/6-scale lower end impact limiter, load-deflection curve at 75.7 deg	3-34
3-23.	1/6-scale lower end impact limiter, load-deflection curve at 60 deg	3-35
3-24.	1/6-scale lower end impact limiter, load-deflection curve at 45 deg	3-36
3-25.	1/6-scale lower end impact limiter, load-deflection curve at 30 deg	3-37
3-26.	1/6-scale lower end impact limiter, load-deflection curve at 15 deg	3-38
3-27.	1/3-scale circumferential impact limiter, load-deflection curve at 0 deg	3-39
3-28.	1/3-scale circumferential impact limiter, load-deflection curve at 12 deg	3-40
3-29.	1/3-scale circumferential impact limiter, load-deflection curve at 24 deg	3-41
3-30.	Notched impact limiter load-deflection curve (full-scale), 90 deg orientation	3-44
3-31.	Notched impact limiter load-deflection curve (full-scale), 73.4 deg orientation	3-45
3-32.	Notched impact limiter load-deflection curve (full-scale), 60 deg orientation	3-46
3-33.	Notched impact limiter load-deflection curve (full-scale), 45 deg orientation	3-47
3-34.	Notched impact limiter load-deflection curve (full-scale), 30 deg orientation	3-48
3-35.	Notched impact limiter load-deflection curve (full-scale), 15 deg orientation	3-49
3-36.	Lower end impact limiter load-deflection curve (full- scale), 90 deg orientation	3-50
3-37.	Lower end impact limiter load-deflection curve (full- scale), 75.7 deg orientation	3-51

FIGURES (Continued)

3-38.	Lower end impact limiter load-deflection curve (full-scale), 60 deg orientation	3-52
3-39.	Lower end impact limiter load-deflection curve (full-scale), 45 deg orientation	3-53
3-40.	Lower end impact limiter load-deflection curve (full-scale), 30 deg orientation	3-54
3-41.	Lower end impact limiter load-deflection curve (full-scale), 15 deg orientation	3-55
3-42.	Upper circumferential impact limiter, load-deflection curve (full-scale), 0 deg side drop orientation	3-57
3-43.	Upper circumferential impact limiter, load-deflection curve (full-scale), 12 deg side drop orientation	3-58
3-44.	Upper circumferential impact limiter, load-deflection curve (full-scale), 24 deg side drop orientation	3-59
3-45.	Upper circumferential impact limiter, load-deflection curve (full-scale) from ILMOD, 0 deg side drop orientation	3-60
3-46.	Upper circumferential impact limiter, load-deflection curve (full-scale) from ILMOD, 12 deg side drop orientation	3-61
3-47.	Upper circumferential impact limiter, load-deflection curve (full-scale) from ILMOD, 24 deg side drop orientation	3-62
3-48.	Lower circumferential impact limiter (small diameter), load-deflection curve (full-scale) from ILMOD, 0 deg side drop orientation	3-63
3-49.	Lower circumferential impact limiter (small diameter), load-deflection curve (full-scale) from ILMOD, 12 deg side drop orientation	3-64
3-50.	Lower circumferential impact limiter (small diameter), load-deflection curve (full-scale) from ILMOD, 24 deg side drop orientation	3-65
3-51.	Lower circumferential impact limiter (large diameter), load-deflection curve (full-scale) from ILMOD, 0 deg side drop orientation	3-66
3-52.	Lower circumferential impact limiter (large diameter), load-deflection curve (full-scale) from ILMOD, 12 deg side drop orientation	3-67

FIGURES (Continued)

3-53.	Lower circumferential impact limiter (large diameter), load-deflection curve (full-scale) from ILMOD, 24 deg side drop orientation	3-68
3-54.	DHLW cask GACA1 model with mass nodal point loading	3-70
4-1.	Half-scale test model	4-2
4-2.	DHLW cask half-scale model components used during testing	4-3
4-3.	Bottom end drop test setup	4-9
4-4.	Damage to lower end impact limiter	4-10
4-5.	Preliminary rigging in preparation for closure-end drop test	4-11
4-6.	Cask on top of target after 9-m (30-ft) closure-end drop test	4-12
4-7a.	Notched impact limiter and closure before closure end 9-m (30-ft) drop test	4-13
4-7b.	Notched impact limiter and closure after closure end 9-m (30-ft) drop test	4-14
4-8.	Cask and puncture pin after gas sample/leakage test port puncture drop	4-15
4-9.	Damage to thermal barrier after gas sample/leakage test port puncture drop	4-16
4-10.	Condition of closure (port area) after gas sample/leakage test port puncture drop	4-17
4-11.	Test canisters	4-18
4-12.	Cask being raised into position before first side drop test	4-19
4-13.	Cask after first side drop test	4-20
4-14.	Honeycomb from lower circumferential impact limiter after first side drop test	4-21
4-15.	Test setup before center puncture drop	4-22
4-16.	Bottom end of cask after complete test sequence	4-23
4-17.	Damage to upper circumferential impact limiter after second side drop test	4-24
4-18.	Cask after complete test sequence	4-25
4-19.	Shield sleeve after complete test sequence	4-26
4-20.	Interior of cask body after complete test sequence	4-27
4-21.	Schematic of test instrumentation	4-35

FIGURES (Continued)

4-22.	Average of peak strains from strain gauges at each level recorded during bottom end drop test	4-42
4-23.	Inner surface cask body strains during CG over bottom corner 9-m (30-ft) drop	4-44
4-24.	Outer surface cask body strains during CG over bottom corner 9-m (30-ft) drop	4-45
4-25.	First side drop test circumferential impact limiter deformation	4-54
4-26.	Circumferential impact limiter deformation after second side drop test	4-55
5-1.	Half-scale model CG over bottom corner 9-m (30-ft) drop acceleration	5-4
5-2.	Elastic-plastic bottom end 9-m (30-ft) drop acceleration .	5-5
5-3.	Comparison of strain results in cask body during bottom end drop	5-7
5-4.	CG over bottom corner strain analytical results	5-9
5-5.	Comparison of deformations during bottom end drop	5-12
5-6.	Comparison of material test results with analytical properties used	5-14

TABLES

1-1.	Comparison of inelastic and elastic analyses and half-scale test results	1-8
2-1.	Containment boundary allowable stresses for elastic-plastic analysis	2-2
2-2.	Summary of maximum stresses at critical sections in containment boundary due to bottom end 9-m (30-ft) drop . .	2-20
2-3.	Summary of maximum stresses at critical sections in containment boundary due to closure end 9-m (30-ft) drop	2-38
2-4.	Closure bolts material properties used on CG over closure corner 9-m (30-ft) drop analysis	2-44
2-5.	Summary of maximum stresses at critical sections in containment boundary due to CG over closure corner 9-m (30-ft) drop	2-49
2-6.	Summary of maximum stresses at critical sections in containment boundary due to CG over bottom corner 9-m (30-ft) drop	2-64

TABLES (Continued)

3-1.	Containment boundary allowable stresses for elastic analysis	3-2
3-2.	Containment boundary bolt stress allowables	3-3
3-3.	Comparison of results between SCANS and GACAP	3-15
3-4.	Moment and stress components for maximum primary stress intensity 1-ft closure end orientation drop - DHLW cask - maximum crush strength	3-73
3-5.	Moment and stress components for maximum primary stress intensity 30-ft closure end orientation drop - DHLW cask - maximum crush strength	3-74
3-6.	Moment and stress components for maximum primary stress intensity 30-ft closure end orientation drop - DHLW cask - minimum crush strength	3-75
3-7.	Moment and stress components for maximum primary stress intensity 30-ft closure end orientation drop - DHLW cask - maximum crush strength lower circumferential impact limiter with large diameter	3-76
3-8.	Moment and stress components for maximum primary stress intensity 1-ft bottom end orientation drop - DHLW cask - maximum crush strength	3-77
3-9.	Moment and stress components for maximum primary stress intensity 30-ft bottom end orientation drop - DHLW cask - maximum crush strength	3-78
3-10.	Moment and stress components for maximum primary stress intensity 30-ft bottom end orientation drop - DHLW cask - maximum crush strength lower circumferential impact limiter with large diameter	3-79
3-11.	Moment and stress components for maximum primary stress intensity 30-ft bottom end orientation drop - DHLW cask - minimum crush strength	3-80
4-1.	Test sequence	4-6
4-2.	Instrumentation for 30-ft drop and puncture tests of the half-scale DHLW cask	4-31
4-3.	Test results - accelerometer data	4-38
4-4.	Test results - accelerometer and impact duration data	4-39
4-5.	Strains and strain-offsets measured during second side drop test	4-46
5-1.	Comparison of inelastic and elastic analyses and half-scale test results	5-2

1. INTRODUCTION

1.1. BACKGROUND

In the early 1980s, the U.S. Department of Energy/Defense Programs (DOE/DP) initiated a project to develop a safe and efficient transportation system for defense high level waste (DHLW). A long-standing objective of the DHLW transportation project is to develop a truck cask that represents the leading edge of cask technology as well as one that fully complies with all applicable DOE, Nuclear Regulatory Commission (NRC), and Department of Transportation (DOT) regulations. General Atomics (GA) designed the DHLW Truck Shipping Cask using state-of-the-art analytical techniques verified by model testing performed by Sandia National Laboratories (SNL). The analytical techniques include two approaches, inelastic analysis and elastic analysis. This topical report presents the results of the two analytical approaches and the model testing results. The purpose of this work is to show that there are two viable analytical alternatives to verify the structural adequacy of a Type B package and to obtain an NRC license. In addition, this data will help to support the future acceptance by the NRC of inelastic analysis as a tool in packaging design and licensing.

1.2. DHLW CASK DESCRIPTION

The DHLW shipping system meets the legal truck weight limit of 36,288 Kg (80,000 lb). It consists of a cylindrically-shaped cask that is tied to a dedicated semitrailer and pulled by a standard tractor. The cask holds one canister of solidified DHLW produced by the Defense Waste Processing Facility (DWPF) at the Savannah River Plant (SRP) in South Carolina and later produced by Hanford, INEL, and West Valley.

The canister contains sludge and supernate in a borosilicate glass matrix.

The cask, shown in Figs. 1-1 and 1-2, is 97.8 to 168.9 cm (38.5 to 66.5 in.) in diameter and 410.8 cm (161.75 in.) in length. The cask outer body is Type 304 stainless steel and has an inner gamma shield liner of depleted uranium contained by inner and outer shells of stainless steel. The 7.5- to 10-cm (3- to 4-in.) thick stainless steel walls of the cask body ensure the structural integrity of the cask and provide some radiation shielding. The cask body, in conjunction with the outer closure and double elastomer O-ring seals, forms the primary containment boundary. The cask has four external integral impact limiters that protect the cask during free drops. All are nonremovable which reduces handling and operating time. During impacts on the bottom of the cask, the lower end impact limiter, a 5.08-cm (2-in.) thick by 12.7-cm (5-in.) long ring that is integral with the cask body acts as an energy absorber. The notched impact limiter, a stainless steel notched ring, provides the primary protection to the closure area. The notched impact limiter is 26.7 cm (10.5 in.) long with circumferential notches on the inside diameter of the 5.08-cm (2-in.) thick wall and is welded to the top of the cask body. The upper and lower circumferential aluminum honeycomb impact limiters protect the cask from side impacts. These impact limiters are bonded to the cask outer diameter surface and are enclosed with 304 stainless steel face sheets. The honeycomb has a crush strength of 6500 psi.

Depleted uranium (DU) in the form of a removable shield liner provides radiation shielding. The shield liner wall is 4.22 cm (1.66 in.) of depleted uranium encased in a 3.0 cm (1.17 in.) inner and a 1.88 cm (0.74 in.) outer 304 stainless steel shell. Since the liner is removable, the cask has the versatility to be used for other waste forms by adding different amounts of shielding. A segmented shear ring that extends into a circumferential groove machined in the inside wall of the cask body restrains it from axial movement, transferring all dynamic loading directly to the cask body instead of the closure.

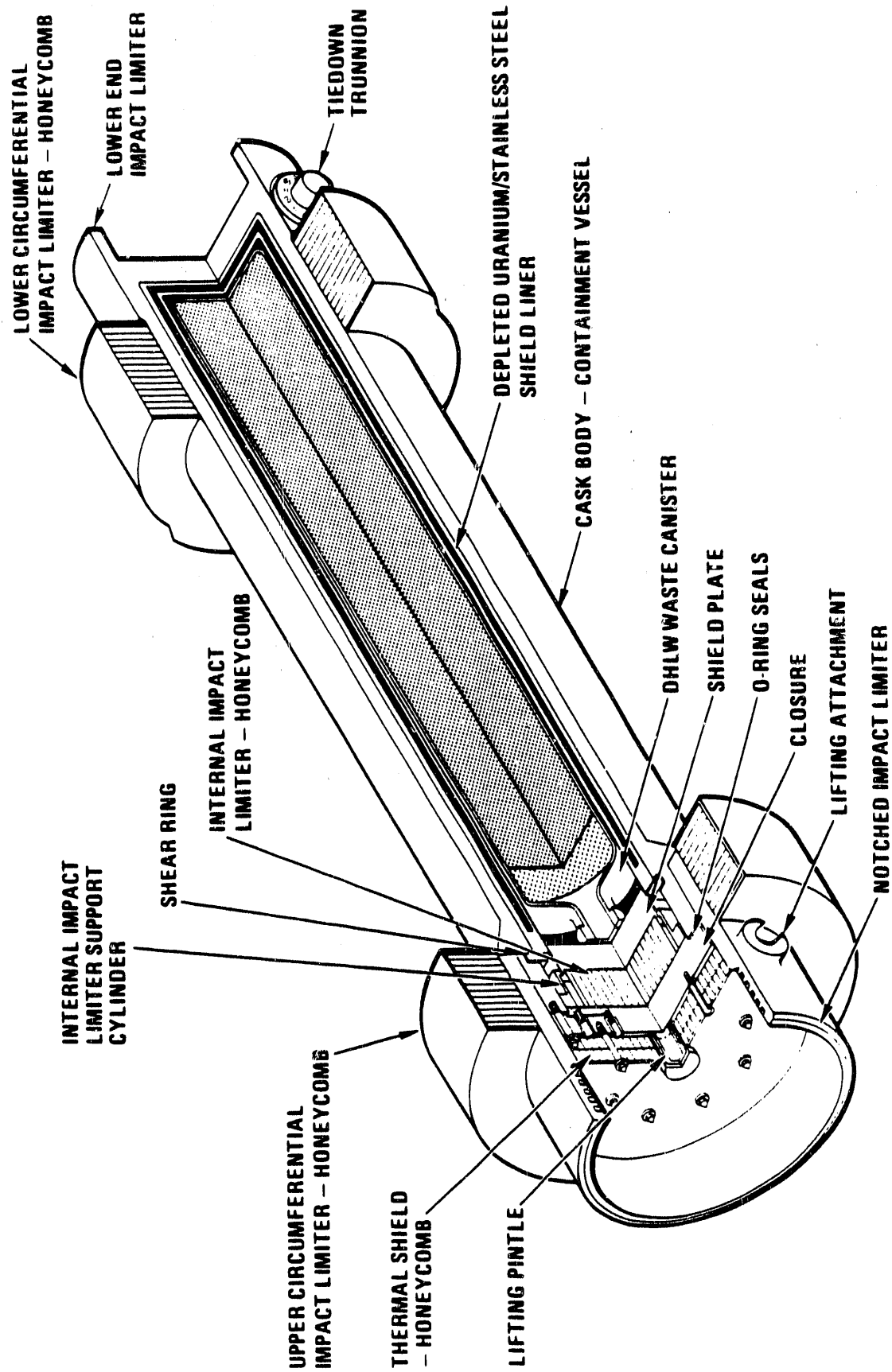


Fig. 1-1. DHLW cask cutaway



Fig. 1-2. Section through DHLW truck cask

The closure assembly, which is part of the containment boundary, consists of a 12.07-cm (4.75-in.) thick stainless steel plate that includes a double O-ring seal, a leak test port, and a gas sample port. It is secured to the cask body by 24 captured Inconel 718 bolts. An internal aluminum honeycomb impact limiter assembly bolted to the inner face of the closure limits the load transmitted to the closure and closure bolts during a 9-m (30-ft) free drop onto an unyielding surface.

The stainless steel honeycomb thermal barrier protects the elastomer O-ring seals in the closure from excessive temperatures during the hypothetical accident condition thermal event. The thermal barrier is bolted to the outside of the closure.

1.3. APPROACH TO STRUCTURAL DESIGN VERIFICATION

The DHLW design presents the opportunity to use an analytical approach that differs from traditional cask designs with "soft" impact limiters such as foam or wood. Designers use simplified methods to analyze the impact loading on these traditional casks. They base the impact limiter loading on the load-deflection curves which are calculated using tests or known material properties. The casks are designed so that the impact limiters absorb all the free drop kinetic energy and the cask containment boundary remains within the USNRC Regulatory Guide 7.6 elastic allowables. This approach requires the use of soft impact limiters which deliver low g-levels. The thick-walled DHLW cask and impact limiter designs are unique and offer a new approach to packaging design and analysis. The notched stainless steel impact limiter at the closure end of the cask, the stainless steel ring impact limiters at the bottom of the cask, and the honeycomb circumferential impact limiters at the top and bottom of the cask absorb energy through plastic deformation or crushing. The notched and honeycomb impact limiters limit the decelerations during a free drop so that the containment boundary stresses remain within elastic allowables in the walls and closure end. However, the ruggedness of the cask allows for a much more

rigid lower end ring impact limiter. It develops relatively high decelerations during the free drops which result in localized stresses at the lower end of the cask, far removed from the primary seals, that exceed elastic stresses. The stresses in the cask away from the seal area were compared against structural criteria developed from the ASME Code Section III, Division 1, Appendix F. The seal area was required to remain below plasticity so that the leakage criterion could be met. Half-scale model testing confirmed the acceptability of this design approach. Even though high g-levels were produced during the bottom end drops, there were no significant deformations of the containment boundary and even multiple drops in the bottom end did not compromise the integrity of the cask. Since the post-elastic behavior of the impact limiters is much more complex than the traditional "soft" impact limiters, GA utilized inelastic analysis as well as elastic analysis and half-scale testing to validate the design. GA used inelastic analyses to develop deformations, decelerations, and stresses in the cask for the 1- and 30-ft drop loading as reported in Ref. 1-1 (references are listed in Section 6). The inelastic analysis modeled the post-elastic behavior of the lower end and notched impact limiters as well as the cask body.

GA also performed a more traditional elastic analysis using impact limiter load-deflection curves based on scale model tests performed at SNL. GA used the GA Cask Analysis Program (GACAP), an elastic two-dimensional, lumped-mass, single axis beam representation of the cask, to analyze free drops at different heights and angles (Ref. 1-2). The stresses in the cask were compared against the USNRC Regulatory Guide 7.6 elastic allowables.

GA planned a half-scale model testing program and SNL procured the model and performed the tests. Tests were performed for the 9-m (30-ft) free drop and the 1-m (40-in.) puncture tests. The tests confirmed that the DHLW cask meets the safety requirements of Title 10 of the Code of Federal Regulations Part 71 (10CFR71).

During the half-scale test program the DHLW cask model was severely tested by performing multiple 9-m (30-ft) drops without replacing the lower end impact limiter. The same lower end impact limiter was tested during a bottom end drop, a CG over bottom corner drop and a 10 deg slapdown with the lower end circumferential impact limiter removed. There was no damage to the containment boundary. This demonstrates that the lower end impact limiter and containment boundary are robust, have large margins of safety, and can withstand extra-regulatory events.

1.4. SUMMARY OF ANALYSES AND TESTS

Sections 2, 3, and 4 describe in detail the inelastic and elastic analyses and the half-scale model tests, respectively. The results of the analyses and tests show that the cask meets 10CFR71 accident condition requirements. The inelastic analyses show that the stresses in the cask meet the inelastic stress criteria outlined in Section 2.1.1 of this report. In addition, the elastic analyses show that the elastically computed stresses meet the USNRC Regulatory Guide 7.6 elastic stress criteria except for a local area at the bottom of the cask far removed from the seal area. The half-scale test results confirmed the safety of the cask for the 9-m (30-ft) free drop and puncture drop. Selected results are compared to confirm that both analytical methods conservatively verify the structural adequacy of the design.

Table 1-1 summarizes comparisons of several parameters between the inelastic and elastic analyses and the half-scale model test results. Following is a description of the comparisons for each parameter.

G Levels

The results show good comparison between the accelerations obtained by test and elastic and inelastic analyses. The cask body accelerations are summarized in Table 1-1. The differences were expected and

TABLE 1-1
COMPARISON OF INELASTIC AND ELASTIC ANALYSES AND HALF-SCALE TEST RESULTS

	10 Deg Slapdown Drop								
		CG		10 Deg Slapdown Drop					
		Closure End Drop	Bottom End Drop	CG Closure Corner Drop	CG Bottom Corner Drop	With LCIL(a)		No LCIL(b)	
						LCIL(c)	UCIL(d)	LEIL(e)	UCIL(d)
<u>Inelastic Analysis</u>									
g's	334 peak 220 rigid	773 peak 530 rigid	(f)	283 peak 215 rigid	NA	NA	NA	NA	
Impact limiter crush (in.)	2.6	1.0	8.7	3.7	NA	NA	NA	NA	
Impact duration (ms)	10	4.8	22.3	11.0	NA	NA	NA	NA	
<u>Elastic Analysis</u>									
g's	Maximum I.L.(g)	210	484	116	208	236	231	433	
	strength							253	
	Minimum I.L.(h)	189	381	118	189	235	168	(f)	
	strength							(f)	
Impact limiter crush (in.)	Maximum I.L.(g)	2.2	1.0	6.9	3.0	4.3	4.0	2.7	
	strength							4.9	
	Minimum I.L.(h)	2.5	1.2	7.4	3.5	5.2	5.6	(f)	
	strength							(f)	
Impact duration (ms)	Maximum I.L.(g)	9.3	5.7	22.2	12.2	13.9	11.4	9.6	
	strength							12.6	
	Minimum I.L.(h)	10.2	6.1	23.5	13.6	16.0	15.0	(f)	
	strength							(f)	
<u>Half-Scale Tests(i)</u>									
g's	275 peak 195 rigid	530 peak 440 rigid	(j)	251 peak 200 rigid	285 peak 190 rigid	315 peak 200 rigid	440 peak 400 rigid	300 peak 230 rigid	
Impact limiter crush (in.)	1.8	5.3	(j)	2.6	4.6	3.8	2.3	4.9	
Impact duration (ms)	10.1	5.0	(j)	11.1	17.4	13	8	12	

(a)Half-scale model test first side drop.

(b)Half-scale model test second side drop.

(c)LCIL (lower circumferential impact limiter).

(d)UCIL (upper circumferential impact limiter).

(e)LEIL (lower end impact limiter).

(f)Not calculated.

(g)Maximum crush strength of impact limiter material.

(h)Minimum crush strength of impact limiter material.

(i)Data scaled to full-scale.

(j)Not tested.

explained as follows: the inelastic analysis and test acceleration information were filtered at the same full-scale frequency (1000 Hz), but still contain high frequency accelerations in addition to the rigid body acceleration, which produce temporary peaks. These temporary peaks are caused by ringing, chattering, and wave propagation. The elastic analysis g levels are rigid body accelerations and therefore should be and are less than the peak inelastic analysis and half-scale test g levels. In order to do a more valid comparison, we estimated the rigid body accelerations for the test and inelastic analyses by drawing a line through the rigid body portion of the curves as shown in Section 5. Table 1-1 shows that the rigid body test and inelastic analysis g's compare well with the GACAP rigid body g's. The inelastic analysis g levels are higher than the test g levels because the analysis does not include internal damping and friction that occur in the test.

Impact Duration

The definition of the impact duration reported in Table 1-1 is slightly different for each type of analysis and test. Test and inelastic analysis impact durations were determined by measuring the width of the acceleration pulse. GACAP analysis impact durations were measured at the point of zero force on the impact limiter. In spite of the differences in the time definition, the times correlate closely. This indicates that both the analyses and the test model have similar dynamic behavior.

Impact Limiter Crush Deflection

Comparisons in Table 1-1 show that the elastic and inelastic analytical deformations are consistently larger than the measurements on all tests. Most of the deformation occurred in the area of the impact limiters. The cask body deformations were minimal, and there was negligible deformation on the closure seal area as had been predicted by

analysis. It was expected that the analysis would produce higher deformation than the test because the modeling of the contents was very conservative and there was no friction between interfaces and no material damping modeled in the analyses. These analytical assumptions are conservative because the energy of the drop cannot be absorbed by any other mechanism except displacement.

Summary of Results

Comparison of the half-scale test results with the inelastic and elastic analyses results shows that there is good agreement on the alternate verification methods. The results show that the analytical approaches are conservative and that both inelastic and elastic analyses can be used to analyze a cask during the 9-m (30-ft) drop hypothetical accident events. Results also show that the inelastic structural criteria based on the ASME Code can be used for the containment boundary away from the sealing surfaces and results in a safe cask.

The exhaustive analyses and tests performed on the DHLW cask with its compact integral impact limiters show that the design is rugged and safe. Multiple tests without replacing impact limiters demonstrate that the cask has a substantial margin of safety. As described in Section 2, the thick containment boundary wall offers added safety, since the austenitic stainless steel can absorb large amounts of energy when subjected to extra-regulatory events.

2. INELASTIC ANALYSIS

2.1. STRUCTURAL CRITERIA

2.1.1. Description

The criteria for inelastic analysis are based on Appendix F, Subparagraph F-1341.2 of the American Society of Mechanical Engineers Boiler and Pressure Vessel Code (ASME Code), Section III, Division 1, Rules for Construction of Nuclear Power Plant Components. These criteria prevent ductile rupturing, tearing, and shearing. The stress allowables shown in Table 2-1 were reduced from those permitted by the ASME Code using the method developed by Cooper for the Nuclear Power Division of the Electric Power Research Institute to prevent the possibility of two-dimensional plastic instability (Ref. 2-i). The ASME criteria were modified by requiring that the stresses in the seal area remain below yield. This criterion ensures that the cask leakage criterion is met.

The inelastic criteria were designed to be applied to large deformation, true-stress, true-strain, inelastic analysis. Since true-stresses are produced when using finite element analyses, rather than engineering stresses, the stress allowables were transformed as required by Subparagraph F-1322.2 (b) of Appendix F of the ASME Code.

The results of these calculations, as described in Section 2.1.2, show the stress allowables to be lower than ASME values by 9.9%, 8.3%, and 1.5% on membrane plus bending, primary membrane, and primary shear, respectively.

The criteria are conservatively based on an externally applied load rather than capacity to absorb energy. The critical loadings of the

TABLE 2-1
CONTAINMENT BOUNDARY ALLOWABLE STRESSES FOR ELASTIC-PLASTIC ANALYSIS

Stress Category	Accident Conditions
Primary membrane stress intensity	$S_y + 1/3 (S_{uT} - S_y)$
Primary membrane + bending stress intensity	Greater of $0.7 S_{uT}$ $S_y + 1/3 (S_{uT} - S_y)$
Bearing stress	S_y for seal surfaces S_{uT} elsewhere
Pure primary shear stress	$0.31 S_{uT}$
Bolt - membrane stress	Lesser of S_y and $0.7 S_u$
Bolt - membrane + bending stress	S_y

S_y = Yield stress.

S_{uT} = Ultimate true stress.

cask occur during the free drops, and therefore the impact limiters and the cask body are designed to absorb impact energy through plastic strain. Consequently, the true measure of the conservatism of the criteria is not the peak stress that occurs but rather the amount of energy that the cask can absorb through plastic strain. Figure 2-1 presents the stress-strain curve for the cask body material based on test data, the stress-strain curve used in the analysis, the maximum stress permitted by the criteria, the maximum allowable strain energy, and the maximum available strain energy. As shown in the figure, the energy that the cask absorbs is limited by the criteria to less than 5% of the capacity of the material. The stress criteria are also conservative in that they do not treat compressive stresses differently than tensile stresses. The energy required to rupture the cask by compressive forces is much greater than the energy required to rupture the cask by tensile forces.

2.1.2. Comparison of GA and ASME Code Inelastic Allowables

The analytical computer codes used for the inelastic analysis generate true stresses and strains. Therefore, in order to compare these stresses with allowables, we converted the engineering allowables to true stress allowables using the following equations:

$$\sigma_t = \sigma(1 + \epsilon) \quad ,$$

$$\epsilon_t = \ln(1 + \epsilon) \quad ,$$

$$\sigma = \sigma_t / (1 + \epsilon) \quad ,$$

$$\epsilon = e^{\epsilon_t} - 1 \quad .$$

As mentioned in Section 2.1.1, we reduced the stress allowables to prevent the possibility of two-dimensional plastic instability. Following is a comparison of the GA and ASME Code Appendix F allowables.

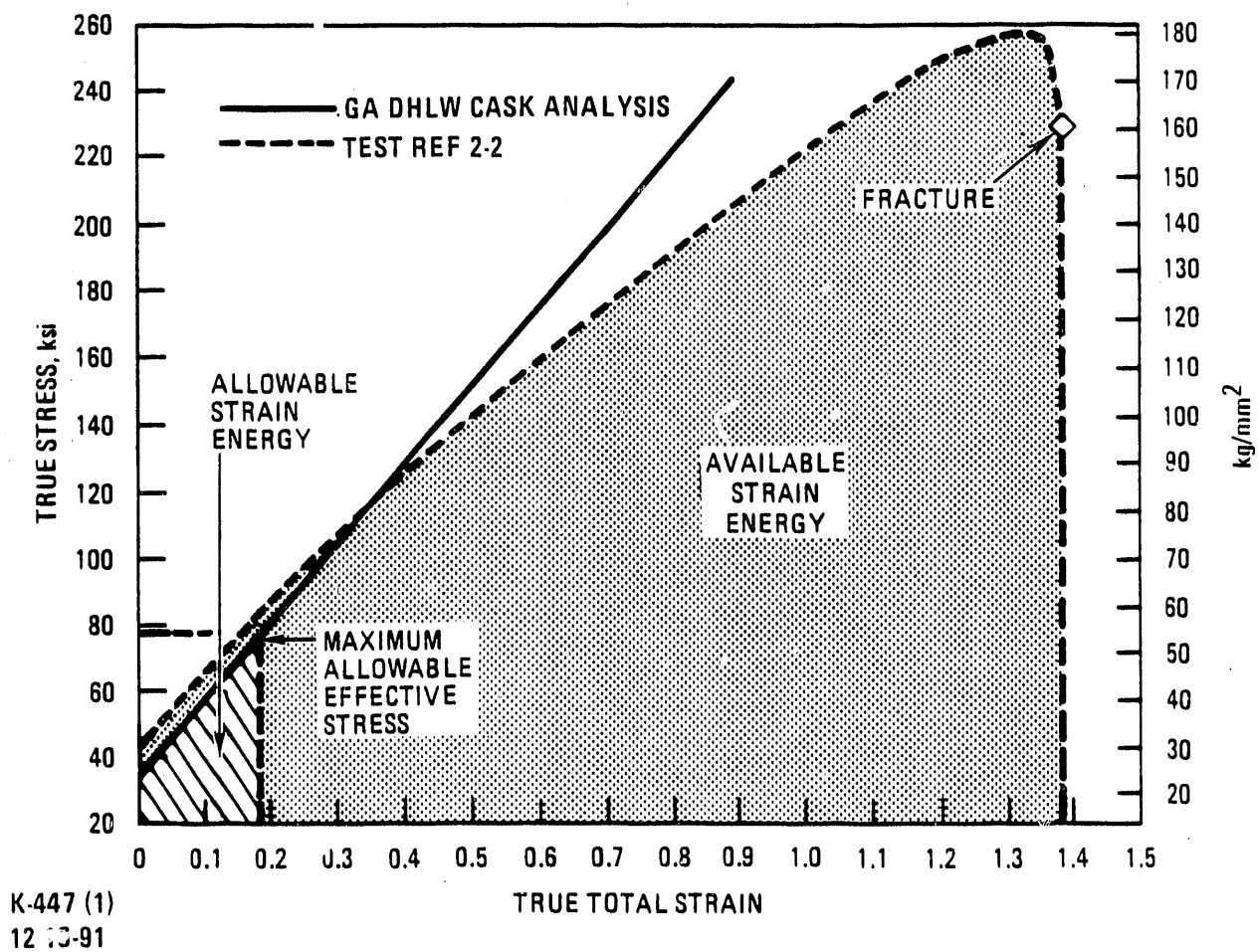


Fig. 2-1. Allowable strain energy

Primary Membrane Plus Bending:

ASME. Allowable = $0.9 S_u = 65.34$ ksi (engineering) ,

where at 160°F $S_u = \sigma_u = 72.6$ ksi.

GA. Allowable = $0.7 S_{ut} = 71.12$ ksi (true) = $\sigma_t / (1 + \epsilon)$
= $71.12 / (1 + 0.20867) = 58.84$ ksi (engineering) ,

where at 160°F $S_{ut} = \sigma_{ut} = 101.6$ ksi,

$$S_y = \sigma_y = \sigma_{yt} = 27,000 \text{ psi},$$

$$E = 27.7 \times 10^6 \text{ psi},$$

$$E_t = 234,000 \text{ psi},$$

$$\epsilon_t = \sigma_y / E + (\sigma_t - \sigma_y) / E_t,$$

$$= (27,000) / (27.7 \times 10^6) + (71,120 - 27,000) / (234,000),$$

$$= 0.00097 + 0.18855 = 0.18952 \text{ in./in.},$$

$$\epsilon = e^{\epsilon_t} - 1 = 0.20867 \text{ in./in.}$$

Therefore, the GA allowable is 9.9% lower than the equivalent ASME code primary membrane plus bending allowable.

Primary Membrane:

ASME. Allowable = $0.7 S_u = 50.82$ ksi (engineering) .

GA. Allowable = $S_y + (S_{ut} - S_y) / 3 = 51.87$ ksi (true) = $\sigma_t / (1 + \epsilon)$
= $51.87 / (1 + 0.11321) = 46.59$ ksi (engineering) ,

where $\epsilon_t = \sigma_y / E + (\sigma_t - \sigma_y) / E_t,$

$$= (27,000) / (27.7 \times 10^6) + (51,870 - 27,000) / (234,000),$$

$$= 0.00097 + 0.10628 = 0.10725 \text{ in./in.},$$

$$\epsilon = e^{\epsilon_t} - 1 = 0.11321 \text{ in./in.}$$

Therefore, the GA allowable is 8.3% lower than the equivalent ASME code primary membrane allowable.

Primary Shear:

ASME. Allowable = $0.42 S_u = 30.49$ ksi (engineering) .

GA. Allowable = $0.31 S_{ut} = 30.50$ ksi (true) = $\sigma_t / (1 + \epsilon)$
= $30.50 / (1 + 0.01606) = 30.02$ ksi (engineering) ,

$$\begin{aligned} \text{where } \epsilon_t &= \sigma_y / E + (\sigma_t - \sigma_y) / E_t, \\ &= (27,000) / (27.7 \times 10^6) + (30,500 - 27,000) / (234,000), \\ &= 0.00097 + 0.01496 = 0.015932 \text{ in./in.}, \\ \epsilon &= e^{\epsilon_t} - 1 = 0.01606 \text{ in./in.} \end{aligned}$$

Therefore, the GA allowable is 1.5% lower than the equivalent ASME code primary shear allowable. These comparisons show that the allowables used in these analyses are conservative compared to the ASME code approach for pressure vessels.

2.2. ANALYTICAL METHODS

2.2.1. General Methodology

Nonlinear finite element computer programs were used to perform inelastic analyses of the DHLW cask for the 10CFR71 hypothetical accident condition 30-ft free drop. They use true stress and large displacement analysis that accounts for the increased cross section of the crushed area due to large deformation of the notched and lower end impact limiters. The DU was modeled as an elastic mass which does not contribute significantly to the structural strength of the cask. The programs used to analyze the cask are HONDOII (Ref. 2-3) and DYNA3D (Ref. 2-4). These codes are in the public domain and are widely used and accepted. HONDOII was used to analyze the closure end and bottom

end 9-m (30-ft) drops. DYNA3D was used to analyze the center of gravity (CG) over bottom and closure corner drops.

2.2.2. Two-Dimensional HONDOII Finite Element Analysis

The HONDOII code is an explicit finite element code used for analyzing the large deformation dynamic response of axisymmetric solids. The equations of motion are integrated by the central difference method. The code continuously monitors time step size and adjusts it to keep the calculation stable.

Four node isoparametric quadrilateral elements were used in the analyses. Each element has four integration points. The code contains five material models. The analyses used the finite strain elastic-plastic material model with strain hardening and the crushable foam model. The pertinent structural features of the cask were built into a two-dimensional finite element model. HONDOII uses slidelines which represent boundaries between components that can allow intercomponent gaps to close and/or components to slide past each other but prevent submeshes from penetrating each other. To define these interfaces for HONDOII input, numbers of the nodes along the interface from each of the sliding meshes must be listed along with the backup node number of the node directly behind (orthogonal to) the node along the slideline. HONDOII uses the penalty function slideline definition. The slidelines work by applying a restoring force to those nodes along a sliding interface which have penetrated the slideline. The force is calculated using the restoring force moduli assigned to each side of the slideline.

The validity of the HONDOII results were checked in several ways. The following characteristics of the cask were studied:

- Kinetic energy.
- Deformation.
- Component periods.
- Stress check.

Kinetic energies of the cask were checked to make sure that mass and velocity input were correct. A check of HONDOII deformation plots showed reasonable deformations and showed only slight penetration of submeshes at boundaries where the restoring force moduli of the two sides were of different magnitudes. This indicates that the sliding interface data is correct. Time history plots of effective, axial, radial, hoop, and shear stresses showed no unusual or discontinuous results.

Another check on HONDOII operation involved finding the decelerations and natural periods of selected nodes for various components in the cask system. Predicted natural frequencies of thick circular plates were compared to actual frequencies (obtained from graphical results) in the closure and bottom plate. The theoretical and predicted values were close in magnitude and confirm the proper operation of the HONDOII code.

In addition, the stresses were checked using simplified strength of material calculations. This method confirms that the general behavior of the cask is correct.

Data Reduction. To find the primary membrane stress intensity (P_m) for a critical section, the radial, axial, hoop, and shear stress components were averaged individually for all elements along the critical section. Then, the effective stress (Von Mises) of the averaged components was calculated as a function of time. The effective stress is defined by Eqs. 2-1 or 2-2. This primary membrane stress intensity definition follows the guidelines of the ASME Code, NB-3221.1, NB-3221.2, and Appendix F-1322.3(d) (Ref. 2-5). The maximum primary membrane stresses were compared to allowables to determine the acceptability of the design.

$$\sigma_{\text{eff}} = \frac{1}{\sqrt{2}} [(\sigma_1 - \sigma_2)^2 + (\sigma_2 - \sigma_3)^2 + (\sigma_3 - \sigma_1)^2]^{1/2}, \quad (2-1)$$

which becomes

$$\sigma_{\text{eff}} = \frac{1}{\sqrt{2}} [(\sigma_r - \sigma_z)^2 + (\sigma_z - \sigma_\theta)^2 + (\sigma_\theta - \sigma_r)^2 + 6 \tau_{rz}^2]^{1/2}, \quad (2-2)$$

in an axisymmetric state of stress,

where σ_1 , σ_2 , and σ_3 = principal stresses,

σ_r , σ_z , and σ_θ = radial, axial, and hoop stress component,

τ_{rz} = shear stress component.

Individual element time history plots of effective stresses and stress components were obtained. These plots were used to study areas of high stress in detail. The individual element effective stresses (Von Mises) were used as the primary local membrane plus bending stress intensities (P_{1+b}). This definition is more stringent than the ASME Code NB-3221.3, because it includes secondary and peak stresses developed at corners or discontinuities in the model. Equations 2-1 or 2-2 were used to calculate the stress intensities. The maximum stress in any element in the section was compared to allowables.

2.2.3. Three-Dimensional DYNA3D Finite Element Analysis

DYNA3D is an explicit, three-dimensional finite element code for analyzing the large deformation response of inelastic solids. The code employs constant stress eight node solid elements, and uses a central difference time integrator.

The DYNA3D analyses used the elastic-plastic strain hardening material model and the soil and crushable foam material model. DYNA3D uses slidelines to realistically model the drop event.

Color or contour stress plots were used to display three-dimensional stress or strain data from the DYNA3D analyses. These plots were used to locate the areas of high stress and to choose the critical sections that were studied in detail.

To find the primary membrane stress intensity (P_m) for a critical section each of the stress components from all the elements in the section were averaged individually. Then, the effective stress (Von Mises) of these averaged stress components was calculated.

The Von Mises effective stress in three-dimensions is defined by Eq. 2-1. This equation becomes

$$\sigma_{\text{eff}} = \frac{1}{\sqrt{2}} [(\sigma_x - \sigma_z)^2 + (\sigma_x - \sigma_y)^2 + (\sigma_y - \sigma_z)^2 + 6 \tau_{xy}^2 + 6 \tau_{xz}^2 + 6 \tau_{yz}^2]^{1/2}, \quad (2-3)$$

where σ_x , σ_y , and σ_z = stress components in x, y, and z direction, respectively,

τ_{xy} , τ_{xz} , and τ_{yz} = shear stress components.

The maximum primary membrane stresses were compared to allowables.

To find the primary local membrane plus bending stress intensity (P_{1+b}), the effective stress (Von Mises) of the elements in each critical section was computed as a function of time. Equation 2-3 was used to compute the effective stresses. The maximum stress in any element in the section was compared with the allowable stresses.

2.3. CASK 9-m (30-ft) DROP ANALYSES

2.3.1. Nine-Meter (30-ft) Bottom End Flat Drop Analysis

2.3.1.1. Introduction. A two-dimensional finite element analysis of the cask was performed using HONDOII to determine if the containment

boundary of the cask can conservatively meet the structural criteria described in Section 2.1.1 during a 9-m (30-ft) bottom end flat drop. During this event, the lower impact limiter absorbs energy by deforming plastically. Since the cylindrical lower impact limiter has a solid 5-cm (2-in.) thick wall, the cask experiences high decelerations, causing high stresses locally at the interface between the ring impact limiter and the cask body. This is also a severe test for the buckling strength of the cask body.

The procedures used for this analysis are presented in Section 2.2.2.

The results of the analysis show that the containment boundary meets all of the design criteria and will not fail due to a 9-m (30-ft) bottom end drop.

2.3.1.2. Model Description.

Mesh

A two-dimensional finite element model was used for this analysis since the cask and the loading are axisymmetric. The mesh has 1504 nodes and 1070 four-node quadrilateral elements. Small elements (0.5-in. sides) are concentrated in the lower third of the cask body, shield liner, support cylinder, and closure. The model is shown in Figs. 2-2 and 2-3. Elements in noncritical locations were reasonably small with aspect ratios close to unity to ensure steady shock wave propagation through the elements.

The following simplifying assumptions were made when developing the model:

1. Components, such as the trunnions, shear ring, circumferential impact limiters, and the thermal barrier, were omitted because

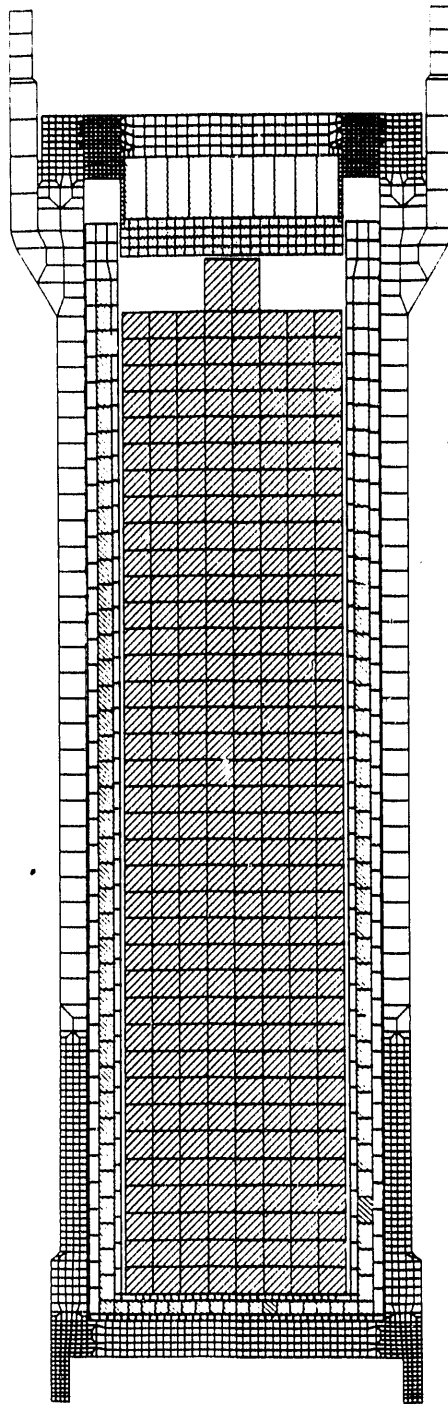


Fig. 2-2. DHLW two-dimensional bottom end drop, finite element model

they do not affect the dynamic response or loads delivered to containment boundary components.

2. The shield plate and support cylinder were modeled as one unit. This simplified the model so that correct loads are delivered to the containment boundary.
3. The waste contents were assumed to behave perfectly elastically in order to not absorb energy. This is the most conservative case. The density was modeled so that the weight of the contents is 2495 kg (5500 lb) to envelop potential increase in glass density.
4. The reduced weight of the cask model due to omission of components mentioned in 1 above is compensated by increasing the density of stainless steel by 4%, bringing the total weight of the model to about 22,045 kg (48,600 lb) which is within 1% of the cask theoretical weight. This adjustment changes the stress wave propagation velocity in the model less than 2%.
5. The closure bolts and the internal impact limiter support cylinder bolts are modeled as rings with equivalent axial stiffness. The bolt element overlays elements of both the closure and flange of the cask body and shares some of the nodes on these elements. During this drop orientation the highest stresses in the bolts occur during the rebound of the cask from the unyielding target. However, the closure bolts are loaded more severely during the closure end and CG over closure corner drop orientations than during the bottom end drop. This assumption was confirmed during the half-scale model tests.
6. The DU was modeled as an elastic material with no yield point so that it would not absorb a significant amount of energy,

but would provide a realistic load to the containment boundary. Since the DU bottom plate is only 4.5% as stiff in bending as the cask bottom plate, it essentially acts as a non-structural mass in loading the cask bottom plate.

Boundary Conditions

The cask is dropped onto an essentially unyielding surface. Since some amount of surface stiffness is required for proper mathematical functioning of the HONDOII code, a stiff spring constant is defined to describe the contact boundary. This rigid wall definition functions so that all of the energy transmitted to the wall is returned to the body. Each of the nodes along the surface of impact is theoretically attached to a spring of a given constant as shown below:

$$K_b = (0.9) M_n / \Delta t^2 \quad , \quad (2-4)$$

$$K_b = 3.45 \times 10^8 \text{ lbf/in.} \quad ,$$

where K_b = spring constant of the boundary,

M_n = smallest mass associated with any boundary node,

= $8.956 \times 10^{-4} \text{ lbf-s}^2/\text{in.}$,

Δt = HONDOII time step = $1.53 \times 10^{-6} \text{ s.}$

The other boundary condition is that there is no radial displacement for the nodes along the cask centerline, since the model is axisymmetric.

Loads on Model

The only loads on the cask result from the 9-m (30-ft) free drop. The initial velocity at the time of impact is described by the following equation:

$$v = (2 g h)^{1/2} \quad , \quad (2-5)$$

where $v = 527 \text{ in./s}$,
 $g = 386 \text{ in./s}^2$,
 $h = 30 \text{ ft}$.

No internal pressure or thermal stresses were included.

2.3.1.3. Results. The results of the HONDOII analysis were studied to determine the response of the cask under this accident condition. A deformation plot is shown in Fig. 2-4. The HONDOII analysis was run until minimum kinetic energy was reached at 5 ms. Figure 2-5 shows the plots of global cask kinetic energy versus time.

2.3.1.4. Containment Boundary - Ductile Rupture and Tearing. To determine whether any part of the cask exceeds the criteria described in Section 2.1.1, several critical sections were chosen, based on color graphics contour plots. The location of the critical sections is shown in Fig. 2-6.

Table 2-2 summarizes the primary membrane and local membrane plus bending stresses for the critical sections in the containment boundary. All design criteria are met. The highest stresses that occur in the containment boundary are membrane stresses at the edge of the cask body bottom plate under the shielding sleeve. Figure 2-7 shows the membrane stress time history plot for the most critical section. The maximum membrane stress of 33 ksi has a design margin of +0.57. The highest local membrane plus bending stress (effective stresses) occurs at the inside corner between the cask body bottom plate and the cask body side wall. Figure 2-8 shows the effective stress time history plot for this element; the maximum effective stress of 61 ksi has a design margin of +0.17. It is conservative to compare this stress to the primary local membrane plus bending stress because it includes a stress concentration factor due to the corner effect.

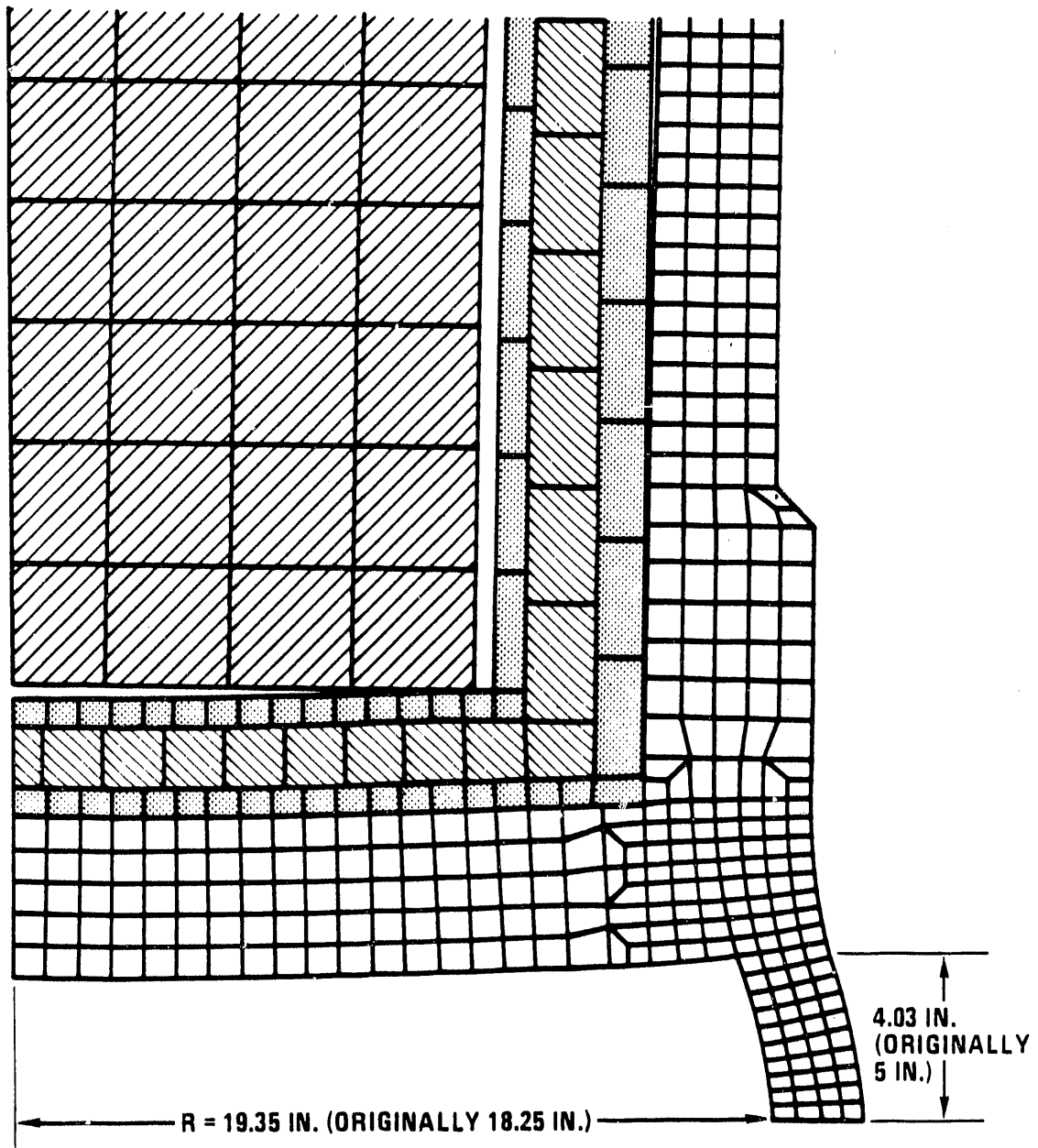


Fig. 2-4. Deformation of bottom end of cask during a 9-m (30-ft) bottom end drop 4.8 ms after initial impact

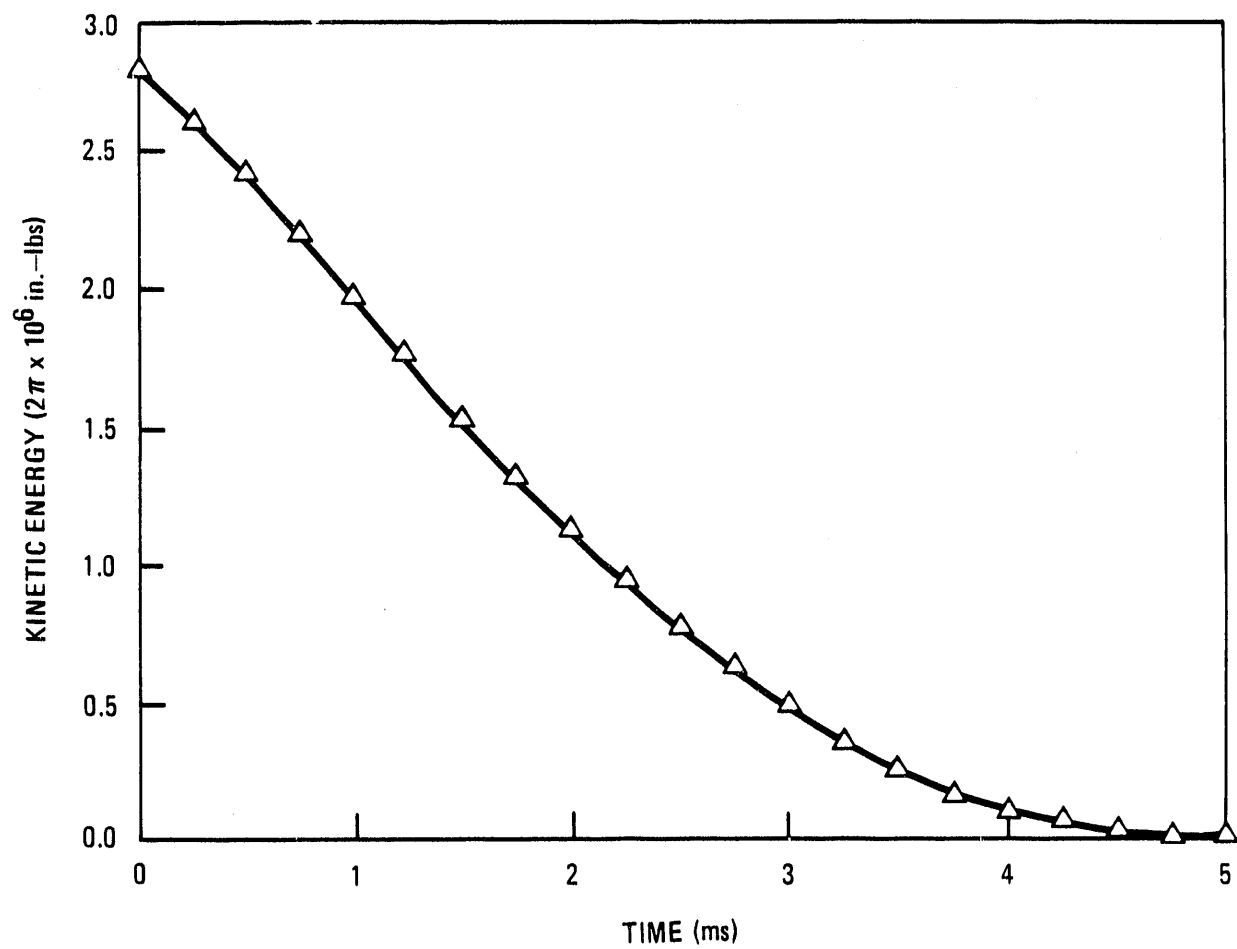


Fig. 2-5. Nine-meter (30-ft) bottom end drop analysis, kinetic energy versus time

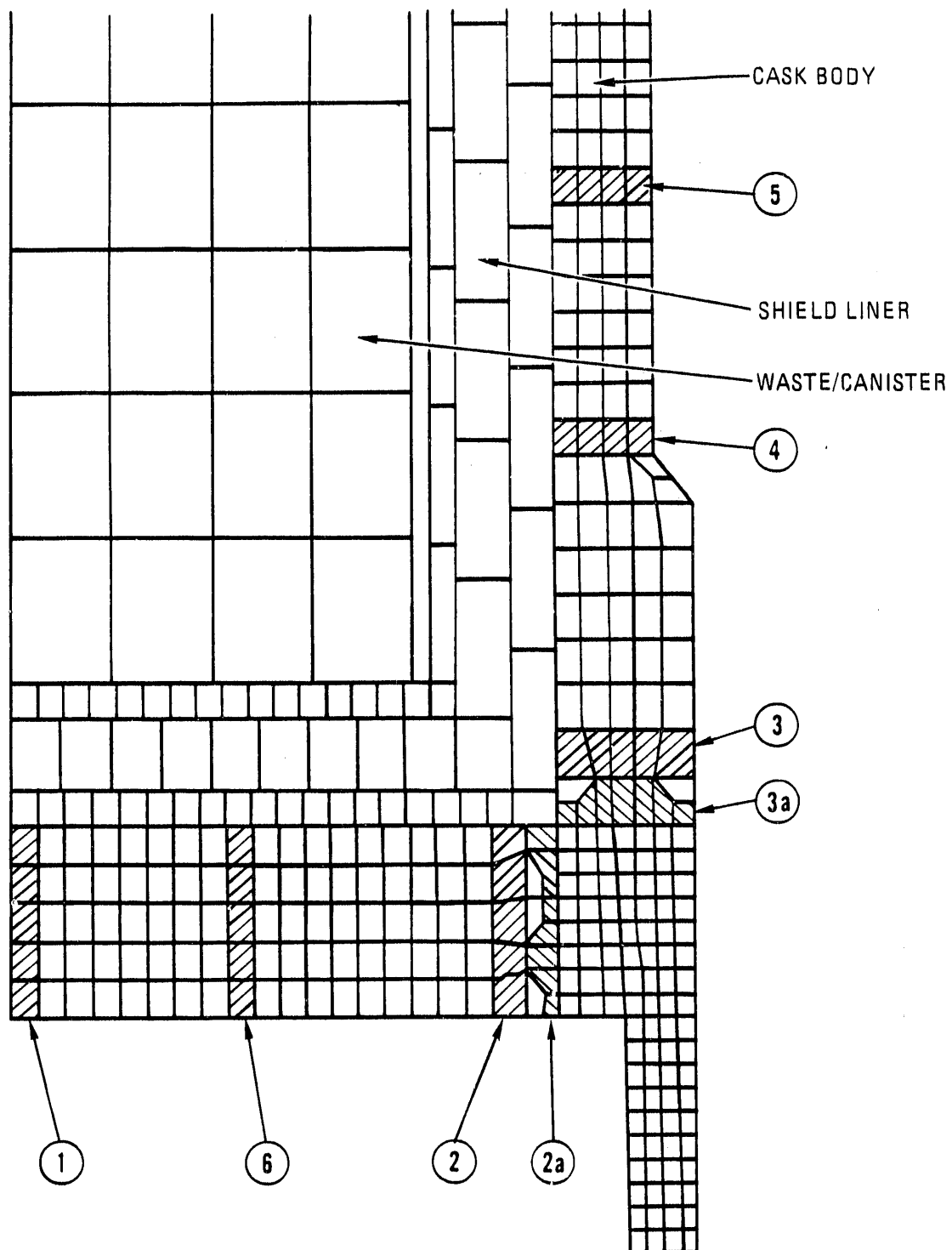


Fig. 2-6. Critical sections for DHLW bottom end 9-m (30-ft) drop

TABLE 2-2
SUMMARY OF MAXIMUM STRESSES AT CRITICAL SECTIONS IN CONTAINMENT BOUNDARY
DUE TO BOTTOM END 9-m (30-ft) DROP

No. (a)	Critical Section		Stress Type	Location of Critical Stress in Section	Allowables (ksi)	Maximum Stress		Design Margin
	Location					Intensity (ksi)		
1	Center of cask bottom plate	P_m P_{l+b}	--	Outside of plate	51.9 71.1	25 41		1.1 0.7
2	Edge of cask bottom plate	P_m P_{l+b}	--	Outside of plate	51.9 71.1	33 40		0.57 0.78
2A	Edge of cask bottom	T_{rz} (Mean shear)	--	--	30.5	17		8.0
3	Bottom of cask side wall	P_m P_{l+b}	--	Outside of wall	51.9 71.1	26 41		1.0 0.73
3A	Bottom of cask side wall	P_m P_{l+b}	--	Inside of wall	51.9 71.1	26 61(b)		1.0 0.17(b)
4	Bottom of cask side wall above shoulder	P_m P_{l+b}	--	Outside of wall	51.9 71.1	26 34		1.0 1.1
5	Cask side wall 24 in. from bottom	P_m P_{l+b}	--	Inside of wall	51.9 71.1	30 34		0.7 1.1
6	Cask bottom plate between center and edge	P_m P_{l+b}	--	Outside of plate	51.9 71.1	21 40		1.5 0.78

(a) See Fig. 2-6.

(b) This stress occurs at the inside corner between the cask body side wall and the bottom plate. It includes stress concentration factors and is therefore conservative to compare it to the membrane plus bending allowables.

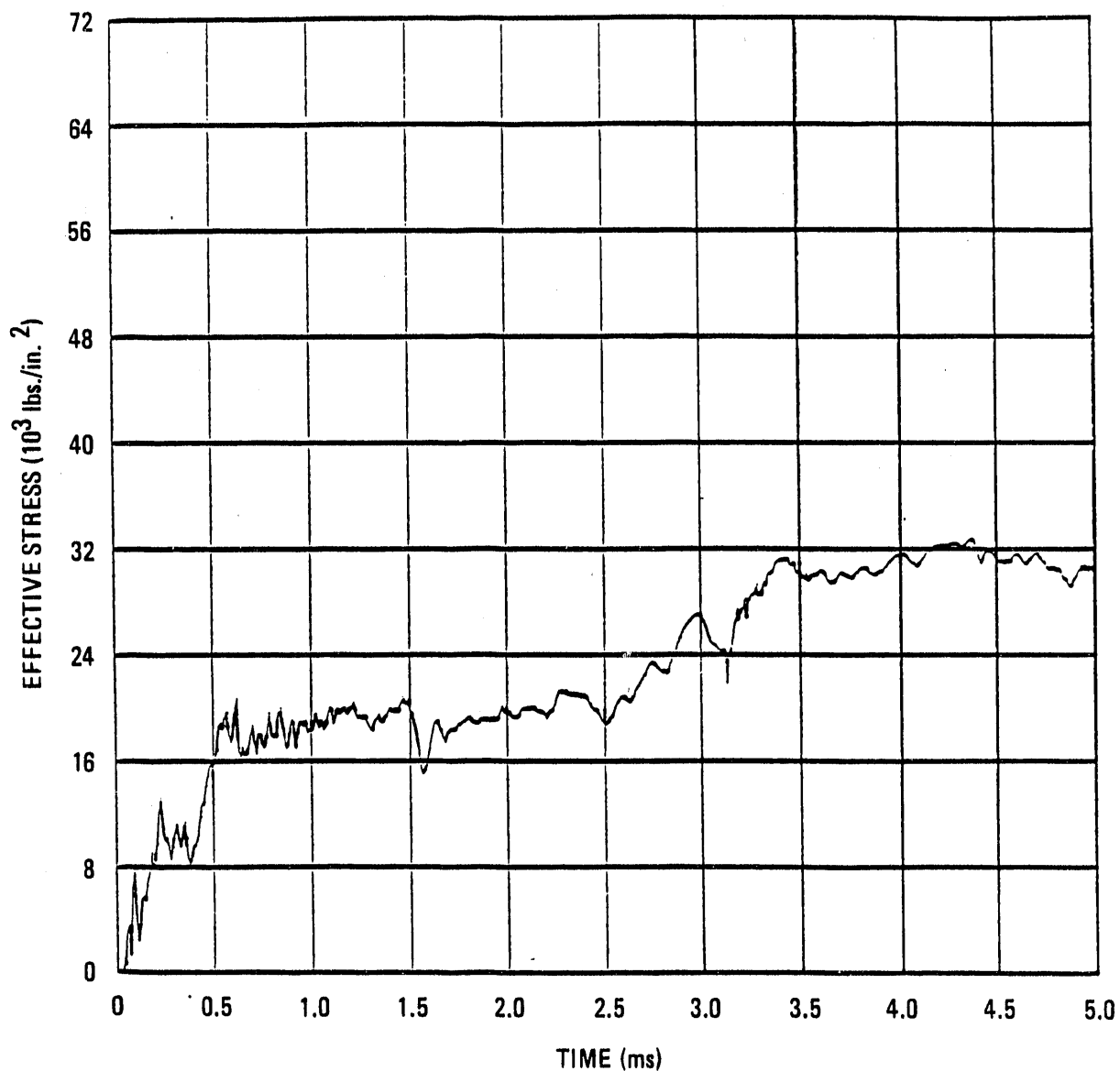


Fig. 2-7. DHLW 9-m (30-ft) bottom end drop primary membrane stress time history at the cask body bottom plate edge under the shield liner.

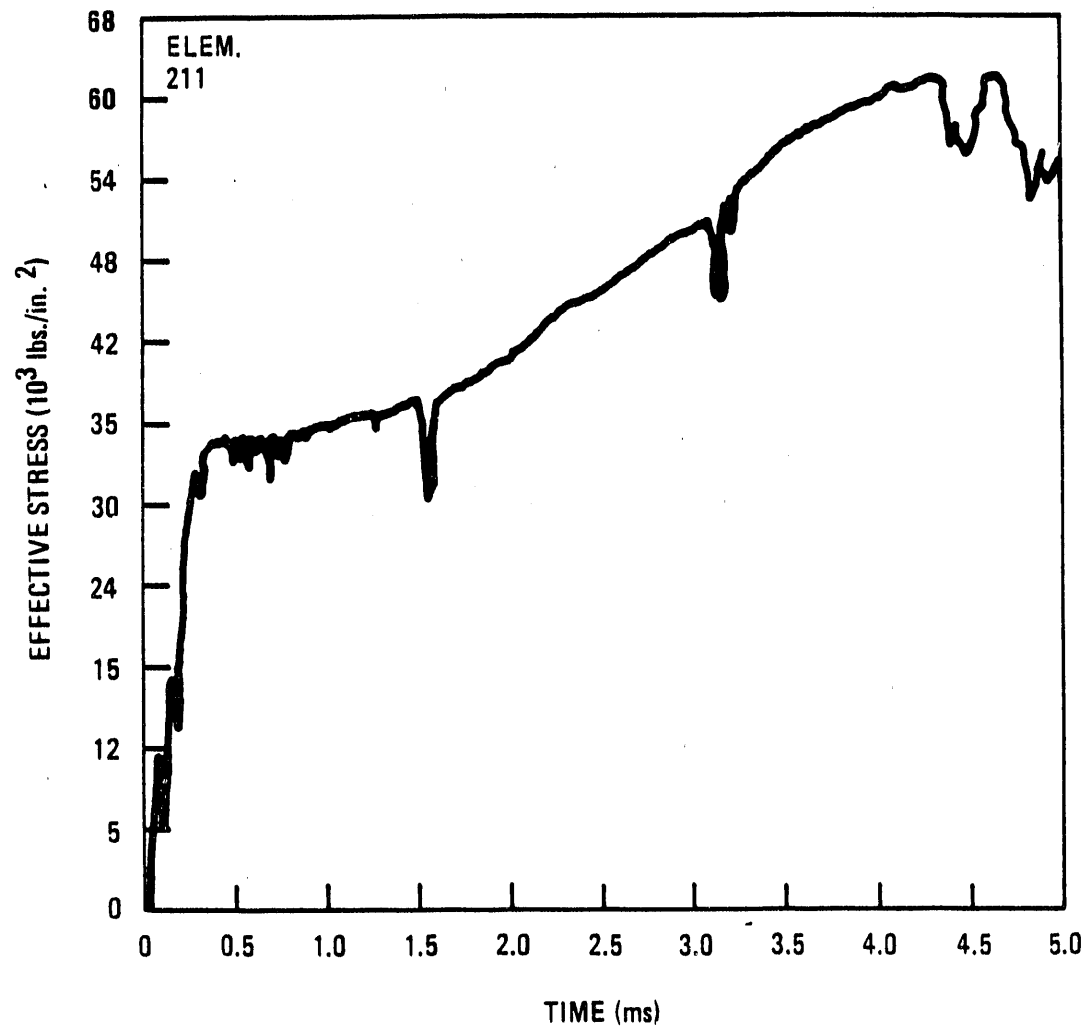


Fig. 2-8. DHLW 9-m (30-ft) bottom end drop time history of stresses in element with highest local membrane plus bending stresses (corner element between cask body bottom plate and cask body side, inside critical Section 3A)

Conclusions

The DHLW cask was analyzed and was found to meet the structural design criteria for the hypothetical 9-m (30-ft) bottom end drop accident condition for all containment boundary components. The results of the HONDOII inelastic analysis at the critical section in the containment boundary showed maximum membrane plus bending stresses with a minimum design margin of 0.17. This stress is the effective stress at the inside corner between the cask body bottom plate and the cask side wall. It includes the stress concentration of the corner and is therefore conservative. The maximum membrane stress occurs in the bottom plate with a minimum design margin of +0.57. The cask yields only near the bottom impact limiter. The remainder of the cask remains elastic.

2.3.2. Nine-Meter (30-ft) Closure End Drop Analysis

2.3.2.1. Introduction. The hypothetical accident event of a 9-m (30-ft) free drop of the DHLW shipping cask onto the closure end produces critical loads for the shear ring, closure plate, closure bolts, and closure seal. The cask impacts on the notched impact limiter which decelerates the cask in a controlled manner. The DWPF canister impacts the internal honeycomb impact limiter, which in turn loads the closure plate and closure bolts and tends to open the closure seal. At the same time, the downward motion of the shield liner is restrained by the shear ring.

The HONDOII computer code was used to determine the stresses and deformations of the cask due to the 9-m (30-ft) closure end drop. The methodology is discussed in Section 2.2.2.

The results of the analysis demonstrate that the cask and cask components meet all of the design criteria and will not fail due to a 9-m (30-ft) closure end drop.

2.3.2.2. Model Description.

Mesh

The analysis was performed using the axisymmetric model shown in Figs. 2-9 and 2-10. The mesh is made up of 11 submeshes, 1505 nodes, and 1079 four-node quadrilateral elements. Small elements are concentrated in the lower quarter of the model where the stresses are the highest. The minimum size of an element was chosen such that no element had a side which was less than 1.27 cm (0.5 in.). The integration time step was automatically calculated by HONDOII. Elements in noncritical locations were reasonably small and aspect ratios were close to unity to ensure steady shock wave propagation through the elements.

The following simplifications were made when developing the model:

1. Components, such as the trunnions and the thermal barrier, which do not have a structural role were omitted from the model. However, their masses were included in the model to ensure proper impact loads.
2. The waste content of the canister was modeled as a perfectly elastic mass. This is conservative since it minimizes the energy absorbed by the waste contents and maximizes the loading on the internal impact limiter and closure. The neck of the waste canister is actually not rigid and deforms which reduces the loading on the internal impact limiter. The weight of the waste was modeled at 2495 kg (5500 lb) to envelope any potential increase in glass density.
3. The 24 closure bolts (1.5-6 UNC), which hold the closure to the cask body, were modeled as a solid ring with the equivalent axial stiffness of the bolts and a reduced hoop stiffness.

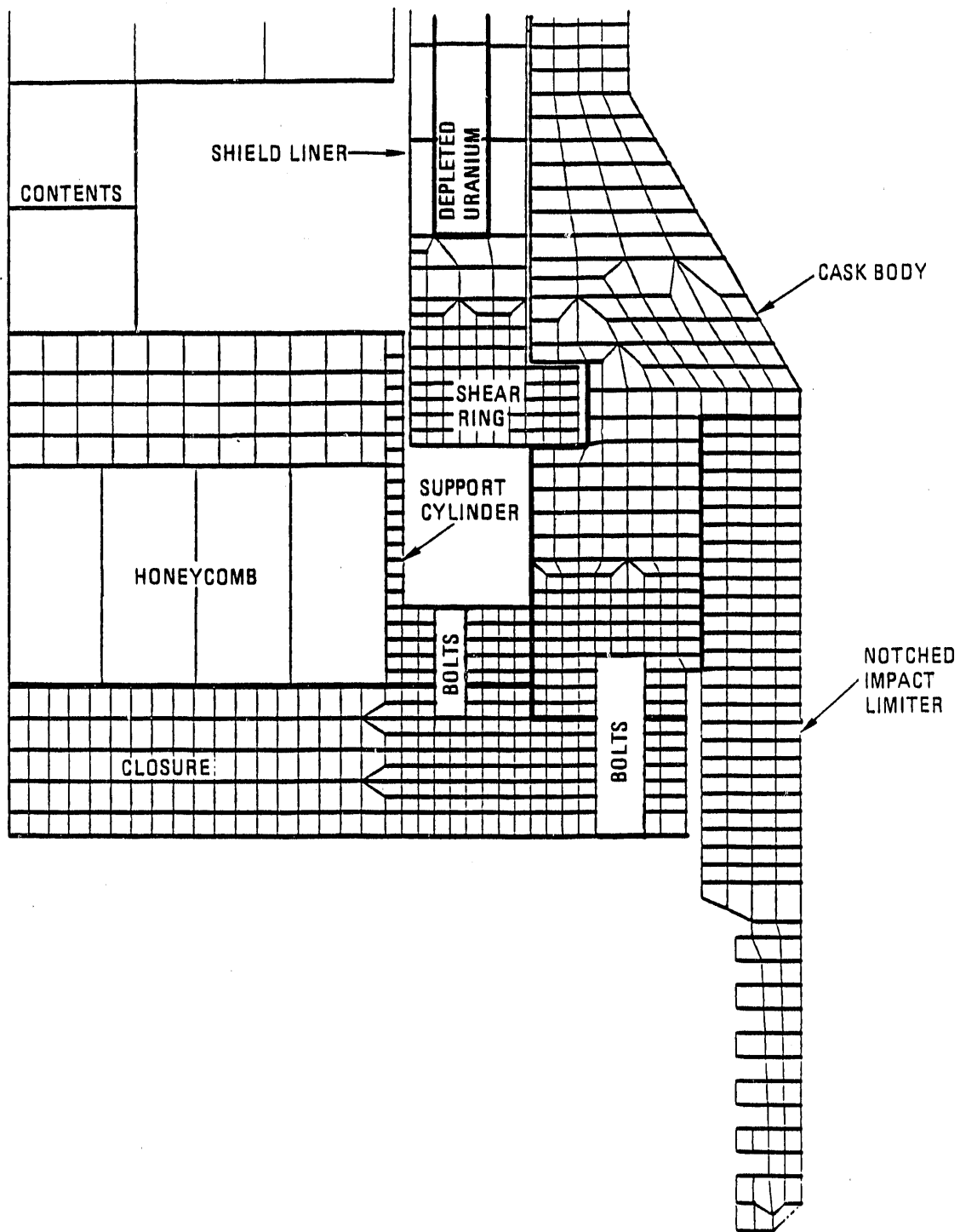


Fig. 2-9. Components of DHLW shipping cask 9-m (30-ft) closure end analytical model

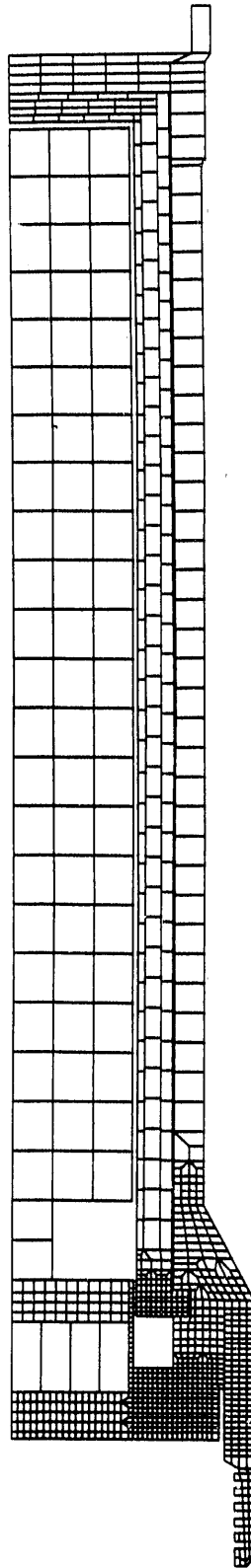


Fig. 2-10. Complete model used in 9-m (30-ft) closure end drop analysis

4. Shear Ring Modeling. The actual shear ring is sectioned to facilitate installation and, consequently, has no hoop stiffness. In the finite element model, the shear ring is modeled as a continuous ring. To eliminate coupling between axial and hoop stresses, Poisson's ratio for the shear ring was set to 0.0.

5. The lifting attachment was not modeled for this analysis. The omission of this feature does not significantly change the behavior of the cask during this event; the volume involved is small compared to the total notched impact limiter volume. The changes occur at the lower end of the notched part of the impact limiter and into the "thick" part of the limiter.

As shown by the analyses and test results, the drop energy is absorbed by plastic bending and closing of the first few notches in the impact limiter. In the test, as discussed in Section 4.3, only the first three notches closed up, while in this analysis four notches closed up. Adding the lifting attachment to the prototype adds material to the limiter; thus, the affected areas are not weakened and the behavior of the limiter is not affected significantly.

6. The circumferential impact limiters were not modeled. This omission does not change the analysis results because these limiters are not active during the closure end drop. The upper circumferential impact limiter is located well into the "thick" part of the notched impact limiter where minimal deformations occur during the closure end drop. The total weight of the model was 21,910 kg (48,300 lb) which is within 1% of the cask theoretical weight.

7. The internal honeycomb impact limiter is modeled as a crushable foam or soil type element with a low shear modulus so

that all crush loads are normal to the closure to simulate honeycomb behavior. The model assumes an elastic, perfectly plastic load deflection curve with a maximum crush strength of 1060 psi.

Boundary Conditions

The essentially unyielding surface was modeled by a spring which is sufficiently stiff to represent the unyielding surface, but not so stiff that it causes numerical integration problems. The following equation yields a spring constant that meets these criteria. It was derived such that the spring constant produced causes the highest natural frequency associated with a boundary element to have a period that is at least six times longer than the integration time step that is automatically computed by HONDOII. This rigid wall definition functions so that all of the energy transmitted to the wall is returned to the body. The spring constant is shown below:

$$K_b = (0.9) M_n / \Delta t^2 \quad , \quad (2-6)$$

$$K_b = 3.45 \times 10^8 \text{ lb/in.} \quad ,$$

where K_b = spring constant of the boundary,

M_n = smallest mass associated with any boundary node,

$$= 8.956 \times 10^{-4} \text{ lbf-s}^2/\text{in.},$$

$$\Delta t = \text{HONDOII time step} = 1.53 \times 10^{-6} \text{ s.}$$

The other boundary condition is that there is no radial displacement for the nodes along the cask centerline, since the model is axisymmetric.

Loads on Model

The only loads on the cask result from the 9-m (30-ft) free drop. The initial velocity at the time of impact is described by the following equation:

$$v = \sqrt{2 g h} \quad , \quad (2-7)$$

where $v = 527 \text{ in./s}$,
 $g = 386 \text{ in./s}^2$,
 $h = 30 \text{ ft}$.

No internal pressure or thermal stresses were included.

2.3.2.3. Results. The results of the HONDOII analysis were studied to determine the response of the cask under this accident condition. The HONDOII analysis was run until 91% of the kinetic energy had been absorbed at 10 ms. As shown by the time history plot of the critical stress in Fig. 2-11, at this time the maximum stress values had already been attained.

Impact Limiter

The results of the HONDOII analysis show that the notched impact limiter performed as expected. Figures 2-12 through 2-15 show the deformation of the limiter as it decelerates the cask.

Containment Boundary

Ductile Rupture and Tearing. To determine whether any part of the cask exceeds the structural criteria described in Section 2.7.1, several critical sections were chosen, based on color graphic contour plots, as explained in Section 2.2.1. The locations of the critical sections are shown in Figs. 2-16 and 2-17.

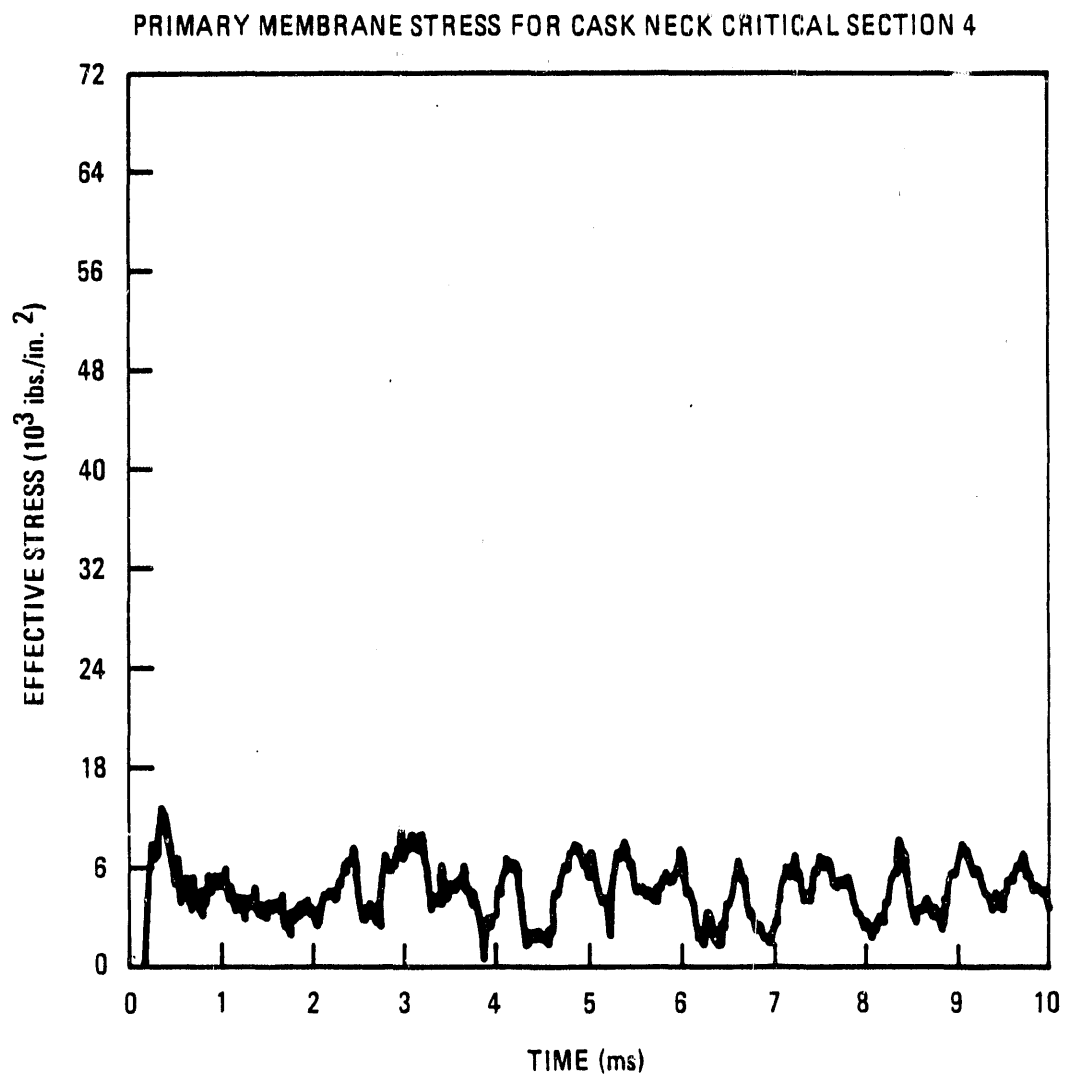


Fig. 2-11. Primary membrane stress for cask neck critical Section 4.
(This section has the highest primary membrane stress in
the cask.)

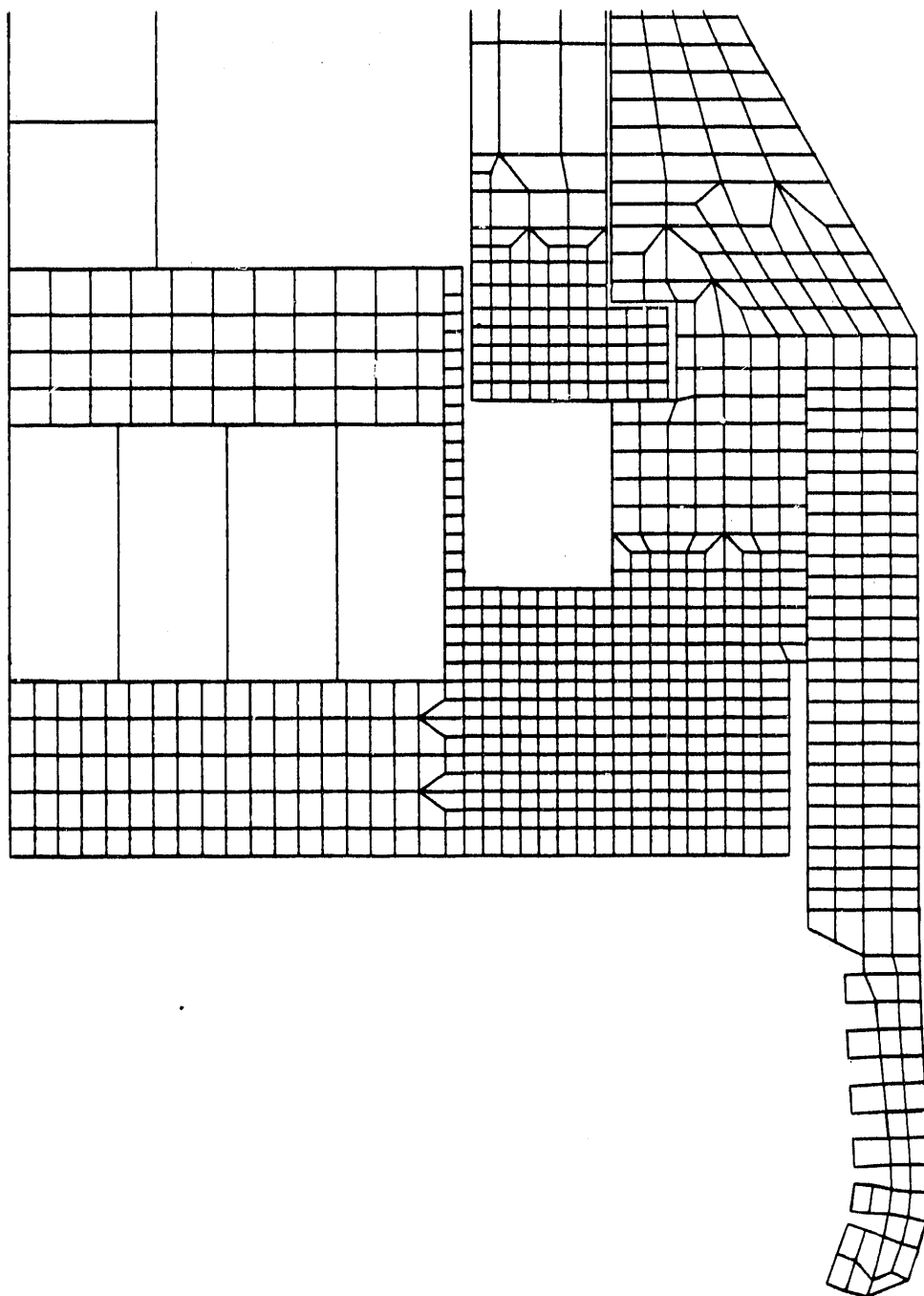


Fig. 2-12. DHLW two-dimensional closure end drop model at 1 ms

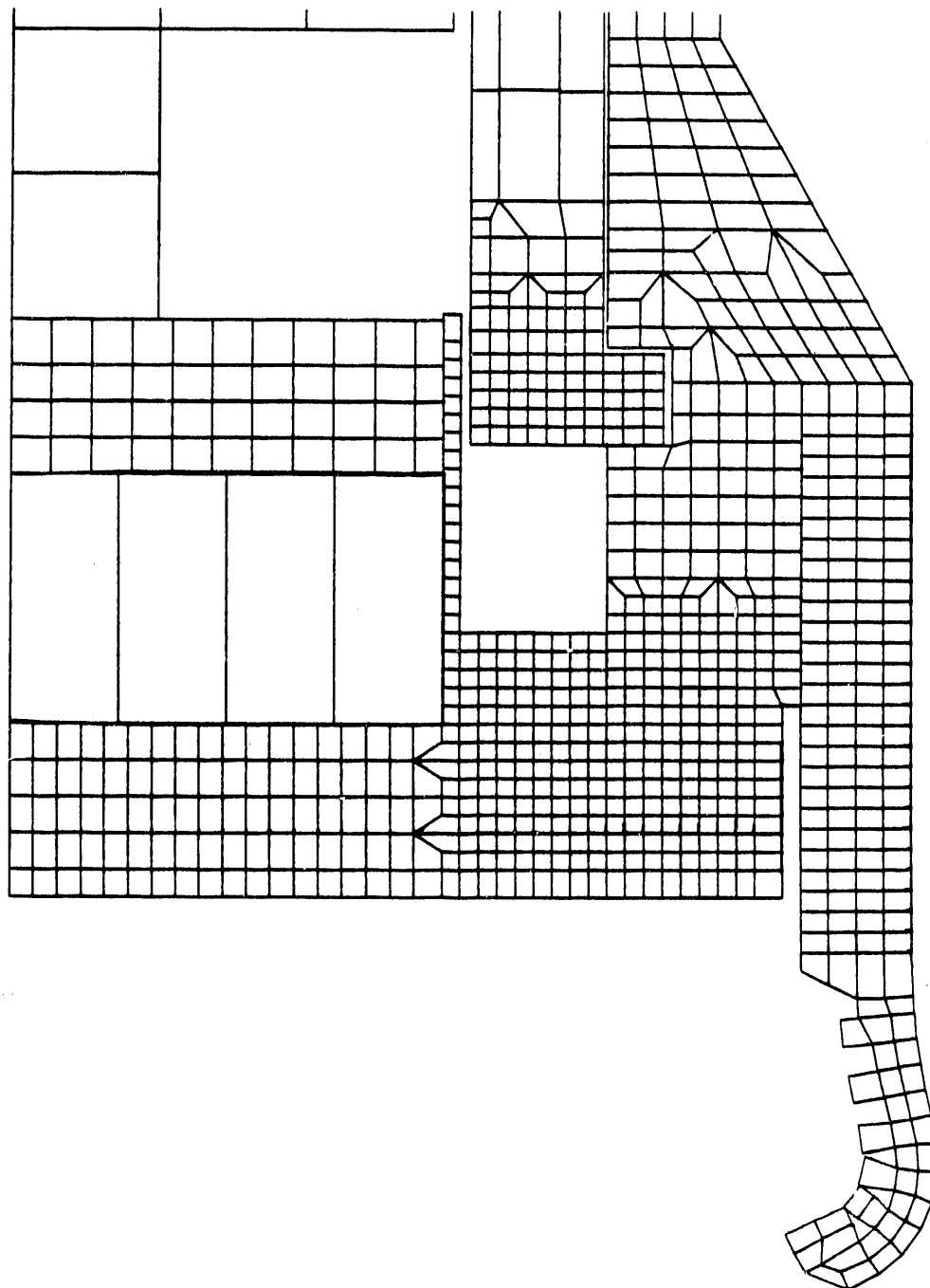


Fig. 2-13. DHLW two-dimensional closure end drop model at 4 ms

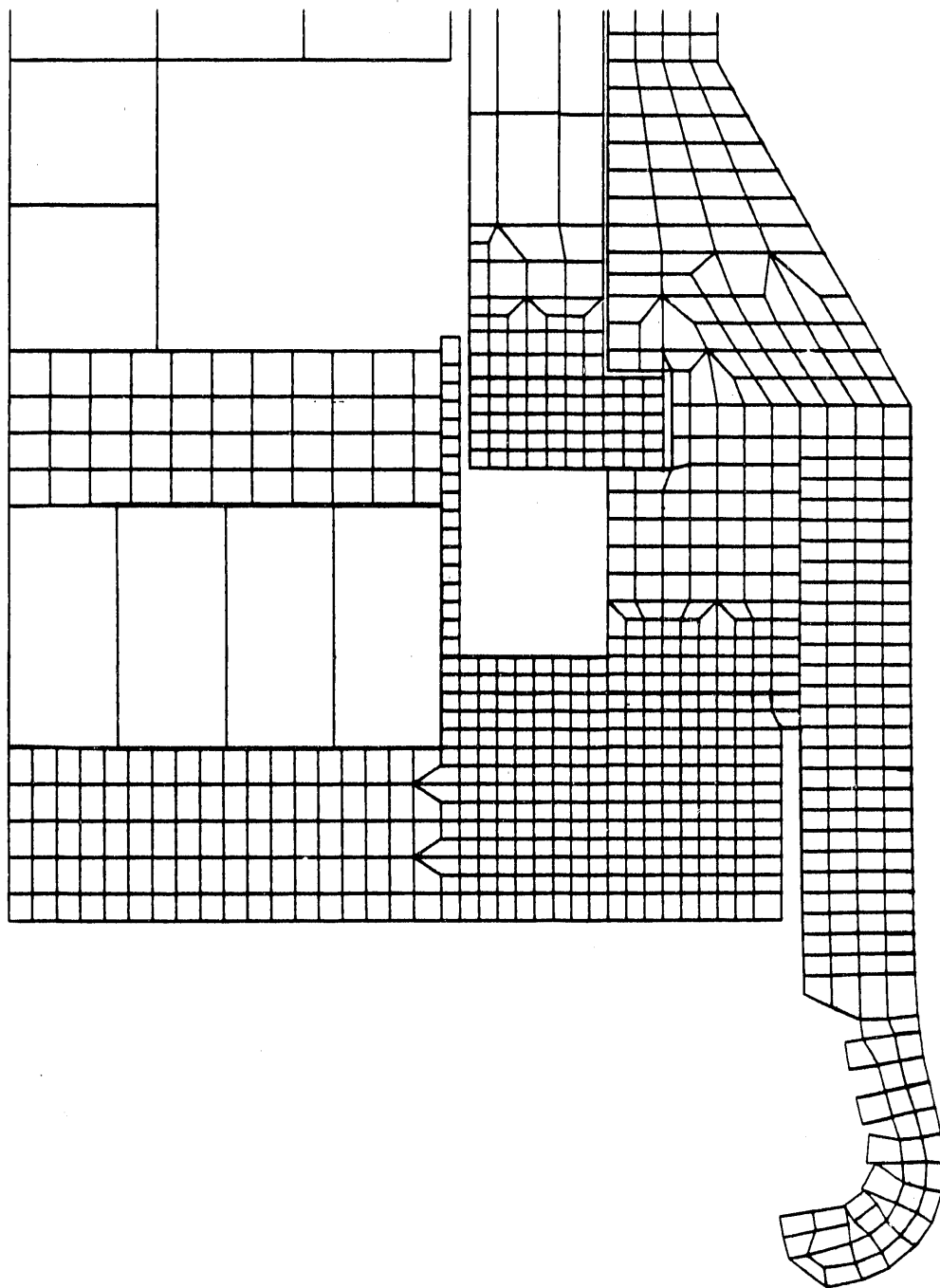


Fig. 2-14. DHLW two-dimensional closure end drop model at 6 ms

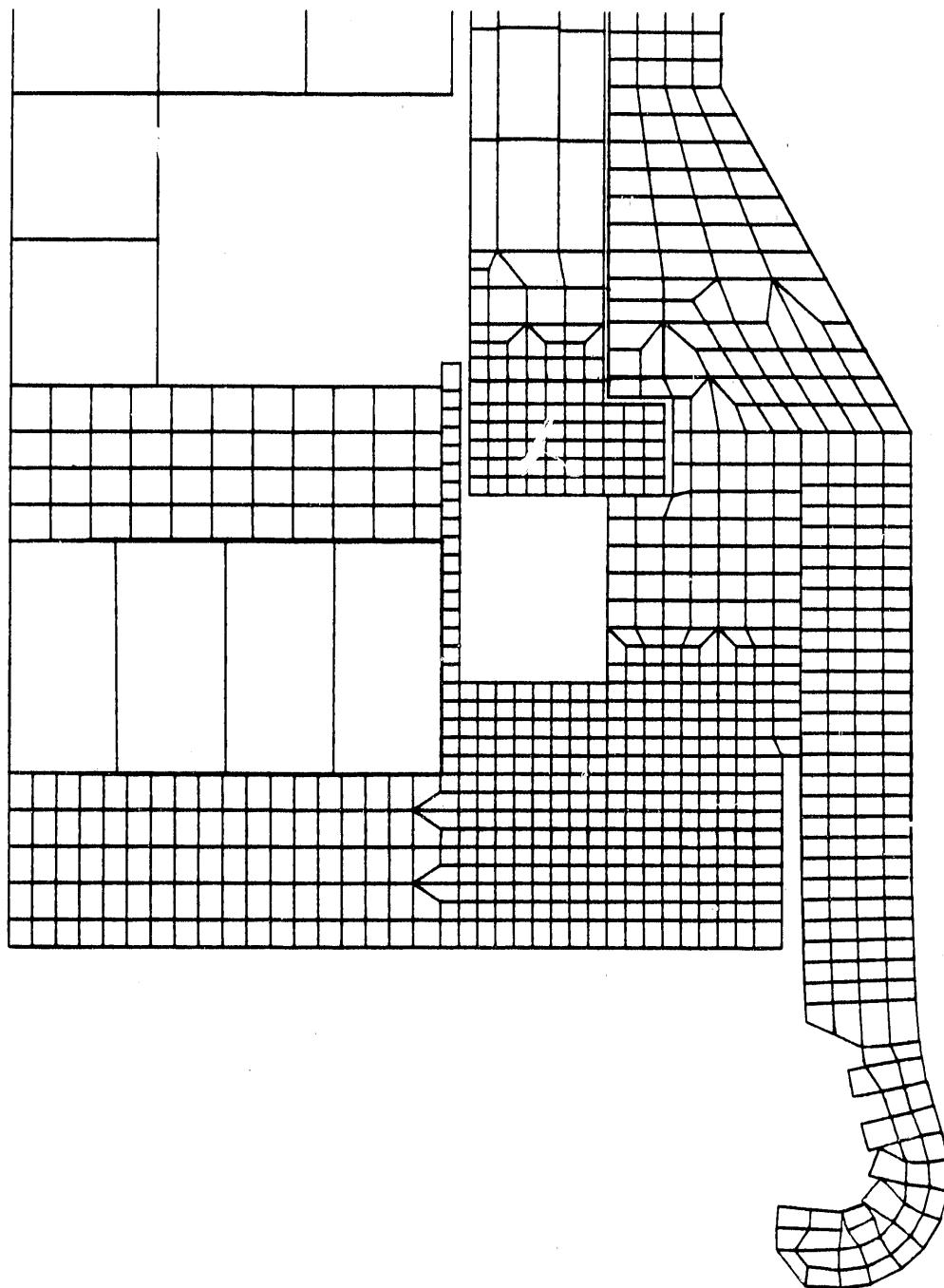


Fig. 2-15. DHLW two-dimensional closure end drop model at 9 ms

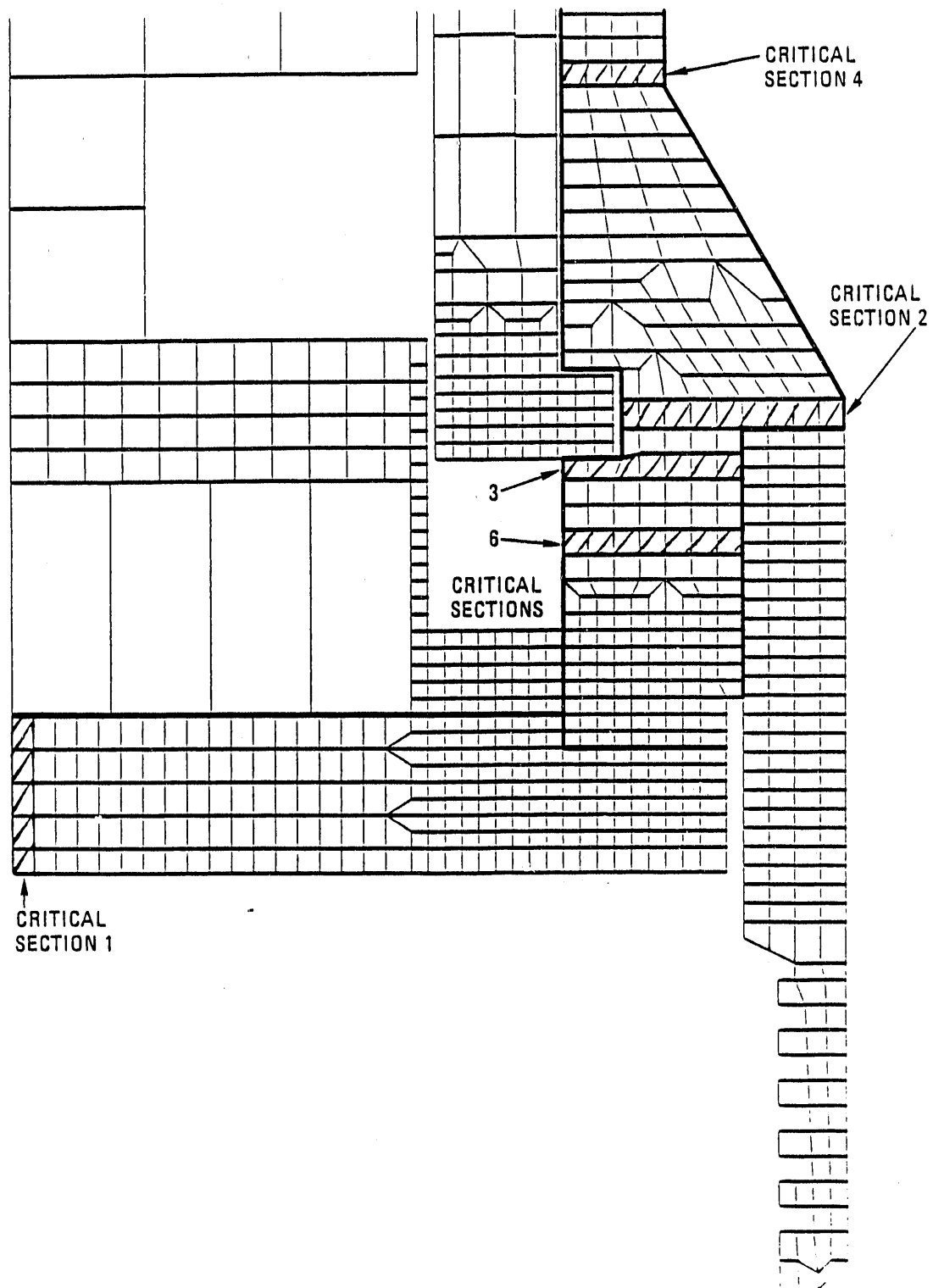


Fig. 2-16. Blow up of closure end showing critical sections chosen during 9-m (30-ft) closure end drop analysis

CRITICAL SECTION

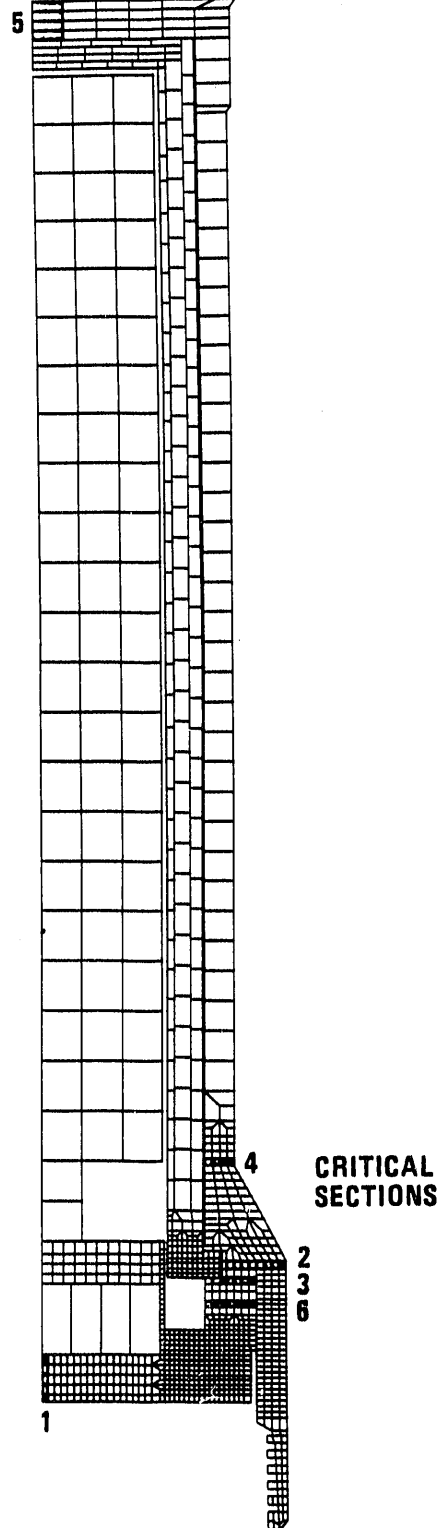


Fig. 2-17. Closure end 9-m (30-ft) drop analysis finite element model showing locations of critical sections

Table 2-3 summarizes the primary membrane stresses and local membrane-plus-bending stresses for these critical sections and compares them to the allowable stresses. All design criteria are met. The highest primary membrane stress (13 ksi) occurs at the cask neck junction and is 26% of the allowable stress (51.9 ksi); see Fig. 2-11. The highest local membrane-plus-bending stress (35 ksi) occurs at the closure surface nearest the contents and centerline of the closure and is 49% of the allowable stress (71.1 ksi). These maximum values were obtained from computer-generated time history plots.

2.3.2.4. Conclusions. The DHLW truck cask was analyzed for the hypothetical accident condition of a 9-m (30-ft) closure end drop. The results show that the cask and components meet all of the structural design criteria. The results of the inelastic analysis at the critical sections in the containment boundary showed stresses with a minimum design margin of +1.0. The components of the DHLW truck cask function as designed and deformations are of reasonable magnitudes. Closure seal integrity is maintained and closure bolt stresses have a design margin of +0.60.

2.3.3. Nine-Meter (30-ft) Center of Gravity Over Closure Corner Drop Analysis

2.3.3.1. Introduction. The hypothetical accident event of a 9-m (30-ft) free drop with the center of gravity (CG) over the closure corner produces highly localized stresses and displacements at the impact point. Ovalization of the notched impact limiter results from this event. The center of gravity of the cask and the impacting corner of the notched impact limiter form a line normal to the unyielding target surface. Large deformations occur in the notched impact limiter which decelerates the cask in a controlled manner. The stresses and deformations were computed using the three-dimensional computer code DYNA3D. The methodology is discussed in Section 2.2.3.

TABLE 2-3
SUMMARY OF MAXIMUM STRESSES AT CRITICAL SECTIONS IN CONTAINMENT BOUNDARY
DUE TO CLOSURE END 9-m (30-ft) DROP

No. (a)	Critical Section		Stress Type	Location of Critical Stress in Section	Allowables (ksi)	Maximum Stress Intensity (ksi)		Design Margin
	Location							
1	Closure centerline		P _m P _{l+b}	-- Same stress at the inside and outside of closure	51.9 71.1	8 35		5.5 1.0
2	Cask body side wall above impact limiter		P _m P _{l+b}	-- Inside of wall	49.6 66.4	10 18		4.0 2.7
3	Cask body flange under shear key		P _m P _{l+b}	-- Inside of flange	49.6 66.4	11 34		3.5 1.0
4	Cask body neck junction		P _m P _{l+b}	-- Outside of wall	49.6 66.4	13 23		2.8 1.9
5	Cask bottom plate center-line		P _m P _{l+b}	-- Outside of plate	51.9 71.1	13 31		3.0 1.3
6	Cask body flange lower section		P _m P _{l+b}	-- Inside of flange	49.6 66.4	9 22		4.5 2.0

(a) See Figs. 2-16 and 2-17.

The results of the analysis demonstrate that the cask containment boundary meets all of the design criteria and will not fail due to a 9-m (30-ft) CG over closure corner drop.

2.3.3.2. Model Description.

Mesh

Since the mesh and the loading are symmetrical, only half of the cask was modeled. A cut of the mesh through the $y = 0$ plane is shown in Fig. 2-18. The mesh contains 16,976 nodal points and 11,077, 8-node solid elements. There is a higher concentration of elements in the upper third of the cask model where most of the deformation is expected. The finite element mesh is a collection of submeshes which include the cask body, the closure, the shield liner, the contents, the internal impact limiter, the shielding plate and the support cylinder. These submeshes are separated by slidelines which allow contact and release between submeshes but prevent penetration. Additionally, a specialized slideline algorithm called tied slidelines is used, which facilitates mesh building by eliminating the need for transition regions in the mesh.

The following simplifications were made when creating the model:

1. Nonstructural Components. Components, such as the trunnions, the lower end impact limiter, the circumferential impact limiters, and the thermal barrier, which do not have a structural role in the primary impact of this event, were omitted from the model. The density of the containment boundary stainless steel was adjusted so the total weight of the model was about 21,863 kg (48,200 lb).
2. Waste Content of the Canister. The waste content of the canister was modeled as a perfectly elastic mass. This is conservative since it minimizes the energy absorbed by the waste.

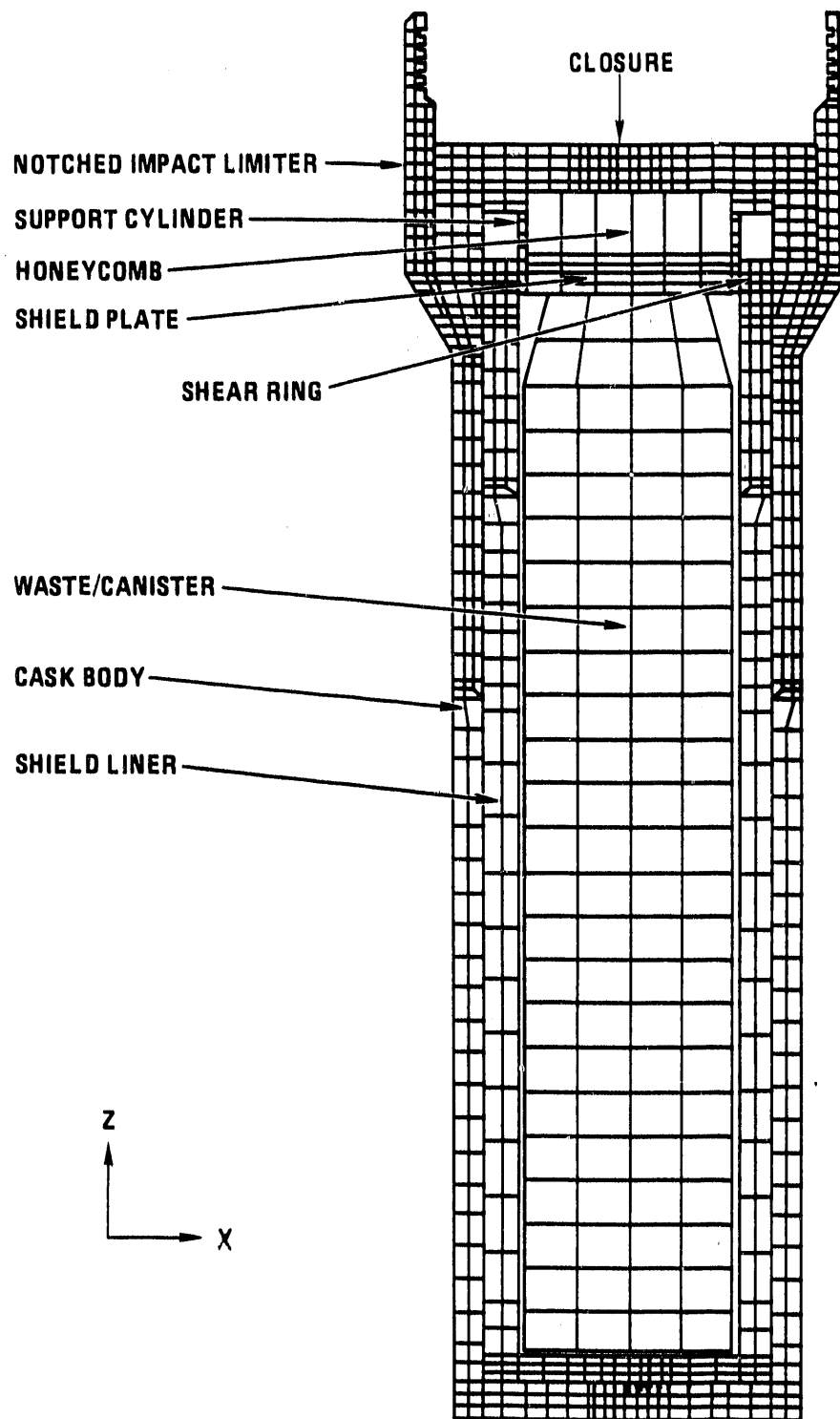


Fig. 2-18. Finite element model (cut by x-y plane at $y = 0$) for CG over closure corner 9-m (30-ft) drop analysis

The weight of the waste was modeled at 2494 kg (5500 lb) to cover any potential increase in glass density.

3. Bolt Model. The bolts connecting the closure to the cask body were modeled as 11 elements instead of 12 bolts because of the number of sectors in the model. The total bolt stiffness in the axial direction was maintained. The bolt elements shared nodes with elements in the closure and cask body.
4. Shield Liner Modeling. The shield liner was modeled as a solid piece. There were no slidelines between the steel and DU layers. Most of the shield liner wall was modeled with only two elements through the thickness. Therefore "smear" properties were used in this area instead of modeling the steel and the DU cylinders. The bottom of the shield liner was modeled with three equal sized elements through the thickness. The properties of each section were calculated to maintain equivalent membrane stiffness between the model and the cask design in order to provide the proper load into the containment boundary.
5. Closure Relief Cut. The actual cask has a 0.15 cm (0.06 in.) relief on the underside of the closure flange which extends from the outside diameter of the bolt holes to the outside diameter of the closure plate. The purpose of this relief cut is to avoid prying on the bolts due to bending of the closure. This analysis did not model this relief cut, allowing prying between the closure and the cask body, about the outside perimeter of the closure. This approach is conservative since it increases both the tensile loads on the bolts and the opening of the closure/flange/seal interface.
6. The lifting attachment was not modeled during this analysis. The closure end drop analysis reported earlier, and the CG

over closure corner drop analysis without the lifting attachment, envelop the extreme loadings for the containment boundary. In the analysis for the CG over closure corner drop, the impact pattern, "footprint," is the smallest. As discussed in Section 2.3.2.2, the lifting attachment adds material to the limiter, thus the affected areas are not weakened.

7. The upper circumferential impact limiter was not modeled in the analysis. This does not change the analysis results because the limiter is located adjacent to the "thick" part of the impact limiter where minimal deformations occur. Its presence could only serve to stiffen the notched impact limiter upper base, forcing the energy to be absorbed in the notched area as described above.

Boundary Conditions

The only boundary conditions required by DYNA3D are no hoop displacements at the plane of symmetry and a rigid wall definition. The rigid wall is defined by a plane perpendicular to the vector connecting the impact point and CG for the cask. The rigid wall plane is 16.62 deg from the X-Y plane of the model. The X-Y plane runs along the top of the undeformed notched impact limiter.

Loads on Model

The only loads on the cask result from the 9-m (30-ft) free fall. The initial velocity given to each node in the model is equal to the velocity of the cask at the time of impact. This velocity is found by equating the potential energy of a 9-m (30-ft) drop to the kinetic

energy and then solving for the velocity. Since the impact occurs at an angle, the following directional velocities were assigned to each node:

$$V_x = 150.86 \text{ in./s} \quad ,$$

$$V_z = 505.42 \text{ in./s} \quad .$$

No internal pressure or thermal stresses were included.

Material Properties

The closure bolt elements' material properties are presented in Table 2-4. The material properties were set up so the axial stiffness of the bolt elements was the same as the axial stiffness of the actual bolts.

2.3.3.3. Results. The results of this analysis were studied to determine the response of the actual cask containment boundary under this accident condition. The DYNA3D analysis was run for more than 22 ms after impact. The kinetic energy at this time was close to minimum. As shown in Fig. 2-19, only 10% of the initial energy is left after 22 ms. Color stress plots for the cask were generated at several times. These plots were used to select the critical sections for detailed study. These plots also show the deformed shape of the cask. Figure 2-20, shows specifically the deformed shape of the top of the model. Large plastic deformation occurred locally, near the point of impact in the notched impact limiter. The remainder of the cask did not deform significantly.

Containment Boundary

Ductile Rupture and Tearing. To show that all parts of the cask meet the structural criteria presented in Section 2.1.1, several critical sections were chosen. The locations of the critical sections are shown in Fig. 2-21. Time history plots were made of stresses at these

TABLE 2-4
CLOSURE BOLTS MATERIAL PROPERTIES USED ON CG OVER
CLOSURE CORNER 9-m (30-ft) DROP ANALYSIS

Material Property	Cask	Model
Number of bolts/elements (for half of the cask)	12.0	11.0
Area per bolt/element (in. ²)	1.405	4.75
Young's modulus (psi x 10 ⁶)	28.3	8.8
Density (lbm/in. ³ x 10 ⁻⁴)	7.5	3.39

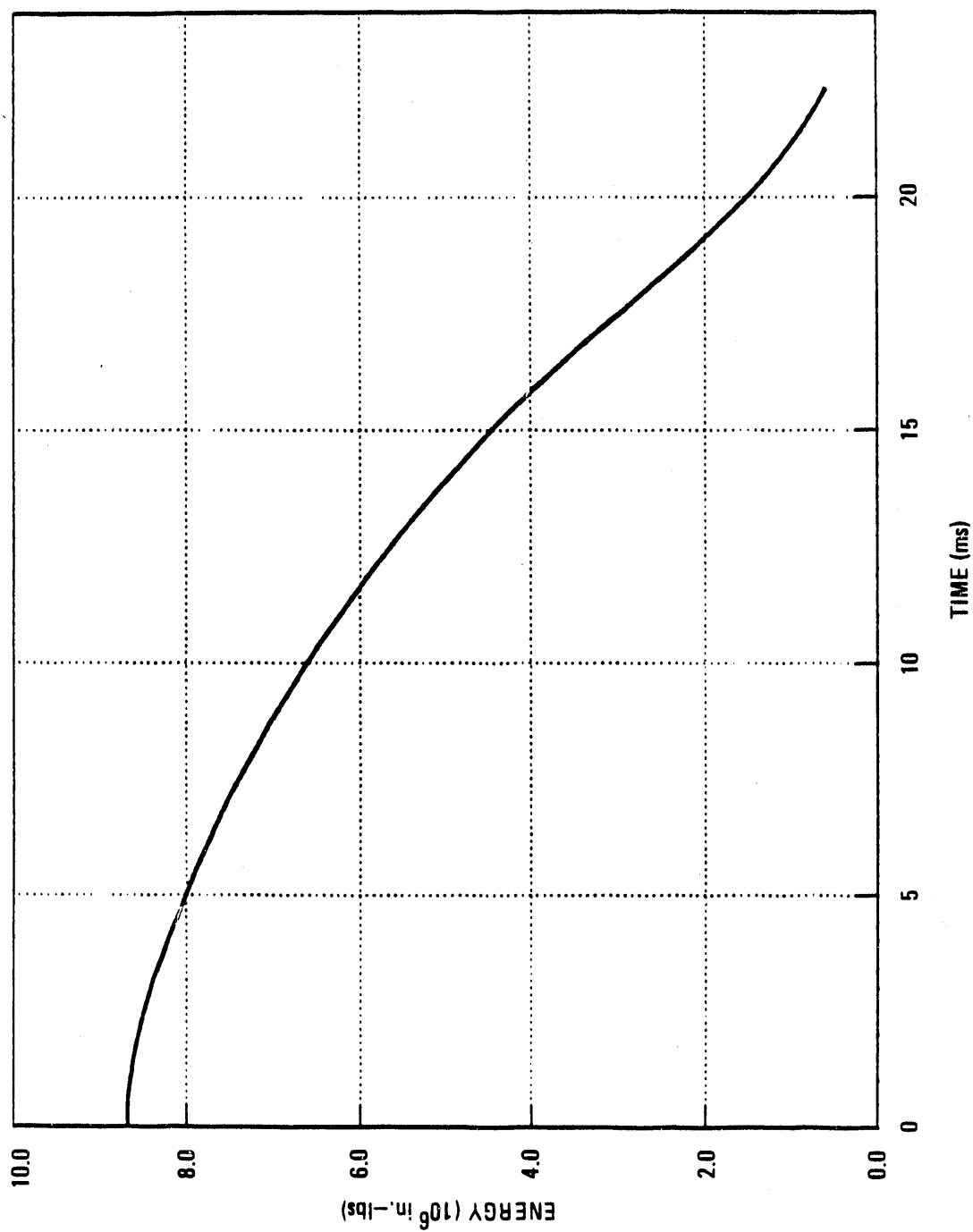


Fig. 2-19. CG over closure corner 9-m (30-ft) drop analysis, total kinetic energy for half the cask versus time

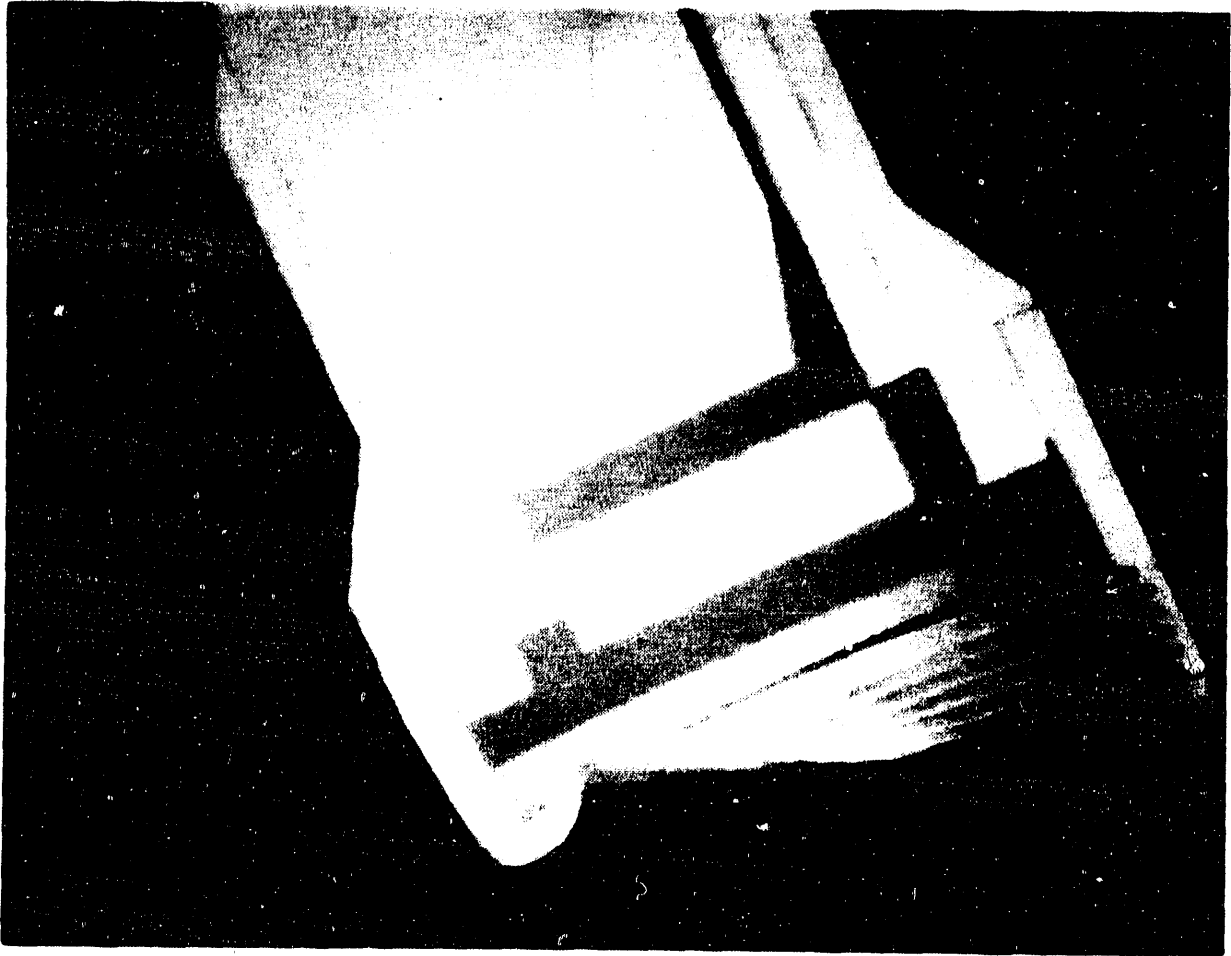


Fig. 2-20. Deformed shape plot of the top of the model during a CG over closure corner 9-m (30-ft) drop

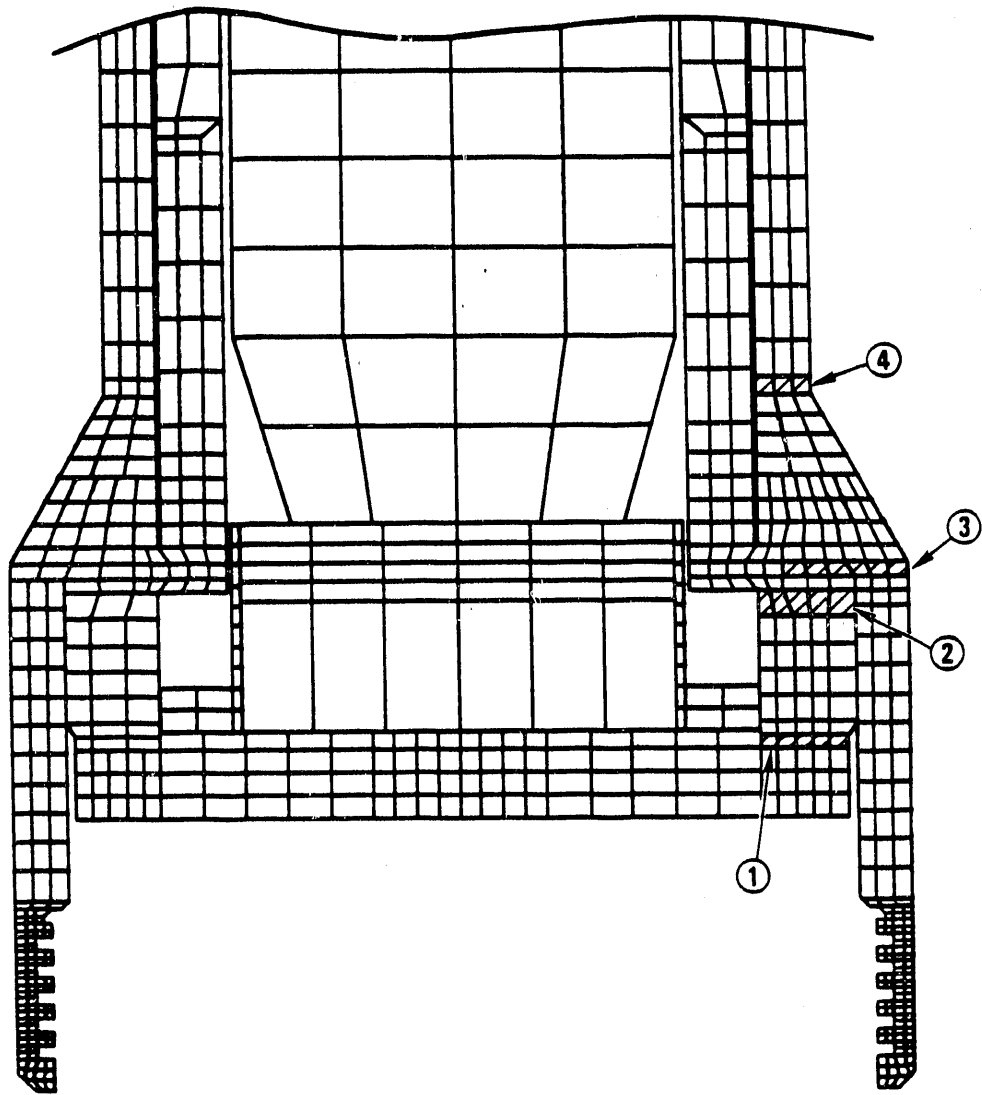


Fig. 2-21. Critical sections for CG over closure corner drop analysis

sections at several angles. Table 2-5 summarizes the highest primary membrane stresses and local membrane plus bending stresses found in the model, and it compares them to the allowable stresses. The table also indicates the circumferential location (angle from the impact point) at which these stresses occurred. The results show that all structural design criteria are met. The highest primary membrane stress occurs at the top of the cask flange and is 22 ksi, or 44% of the allowable. The highest local membrane plus bending stress occurs at the inside corner of the cask body flange, at the interface with the closure and under the notched impact limiter. It is 36 ksi or 54% of the allowable. These maximum values were obtained from computer-generated time history plots. Figures 2-22 and 2-23 show the time history plots for the maximum primary membrane stress and the maximum local membrane-plus-bending stress. The stresses in the closure and cask bottom plate are below yield.

The other ductile rupture criteria concerns shear stress intensities. In the cask body shoulder area, typical shear stresses reach 2.7 ksi. This is an order of magnitude less than the 42.7 ksi allowable. The cask easily meets the shear criteria.

2.3.3.4. Conclusions. The cask was analyzed for the hypothetical accident condition of a 9-m (30-ft) free drop of the cask with CG over the closure corner. The results show that the cask containment boundary and the critical components meet all of the structural design criteria. The results of the inelastic analysis at the critical sections in the containment boundary showed stresses with a minimum design margin of +0.84. The components of the cask function as designed, and deformations are of reasonable magnitudes. Closure seal integrity is maintained, and closure bolt stresses have a design margin of +1.2.

2.3.4. Nine-Meter (30-ft) Center of Gravity Over Bottom Corner Drop Analysis

2.3.4.1. Introduction. A three-dimensional finite element analysis of the cask was performed using DYNA3D to determine if the cask containment

TABLE 2-5
SUMMARY OF MAXIMUM STRESSES AT CRITICAL SECTIONS IN CONTAINMENT BOUNDARY DUE TO
CG OVER CLOSURE CORNER 9-m (30-ft) DROP

No. (a)	Critical Section Description	Maximum Primary Membrane			Maximum Local Membrane Plus Bending		
		Stress (ksi)	Design Margin(b)	Angle from Impact Point (Degrees)	Stress (ksi)	Design Margin(c)	Angle from Impact Point (Degrees)
1	Cask body flange	22	1.3	7.5	36.0	0.84	0
2	Cask body under shear ring	15	2.3	7.5	35.0	0.90	0
3	Cask body above notched impact limiter	16	2.1	52.5	36.0	0.84	56.25
4	Cask neck	14	2.5	82.5	35.0	0.9	78.75

(a) See Fig. 2-21.

(b) Primary membrane allowable = 49.6 ksi for upper part of cask body forging.

(c) Local membrane plus bending allowable = 66.4 ksi for upper part of cask body forging.

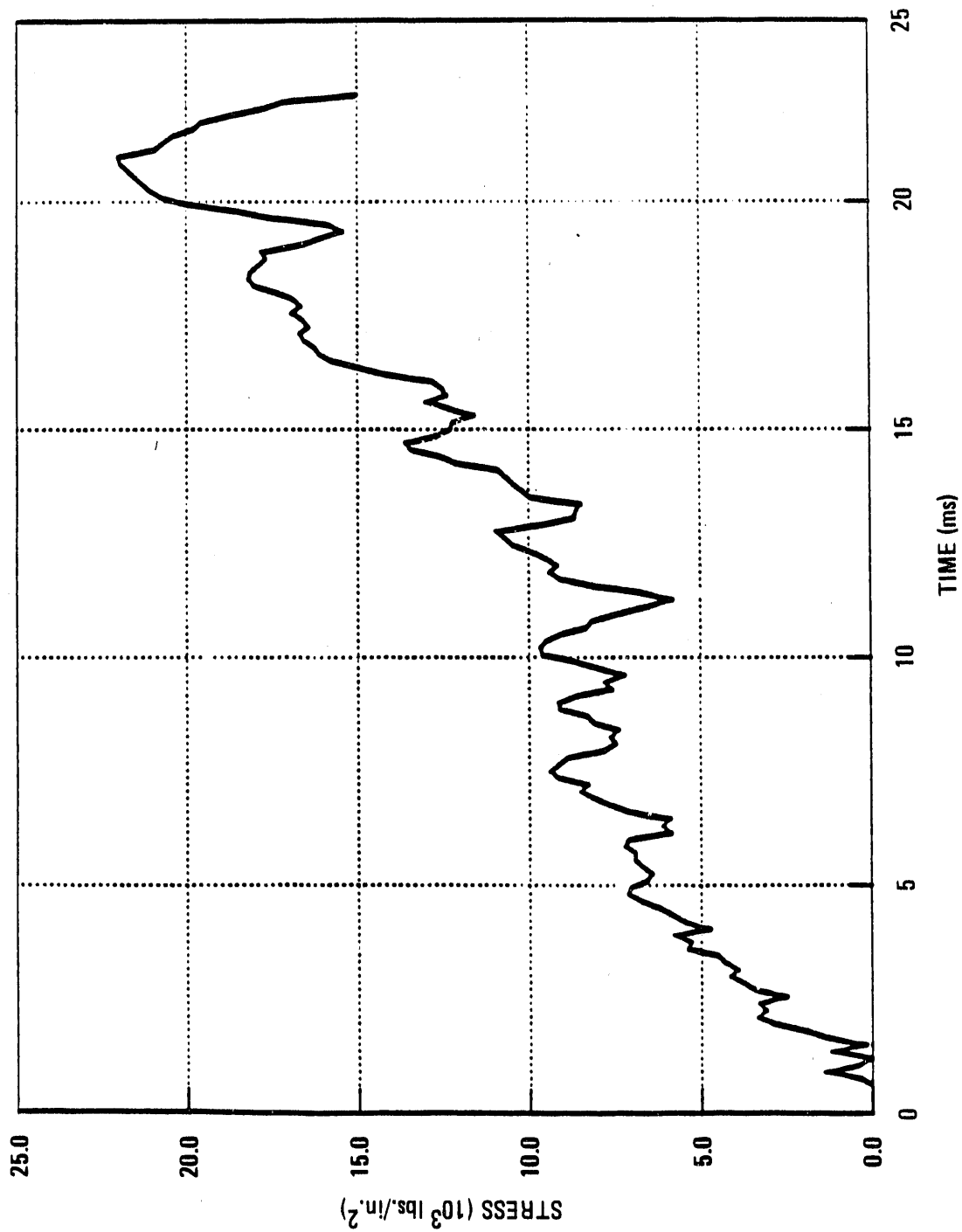


Fig. 2-22. Closure corner 9-m (30-ft) drop analysis. Primary membrane stress for most critical section in cask flange

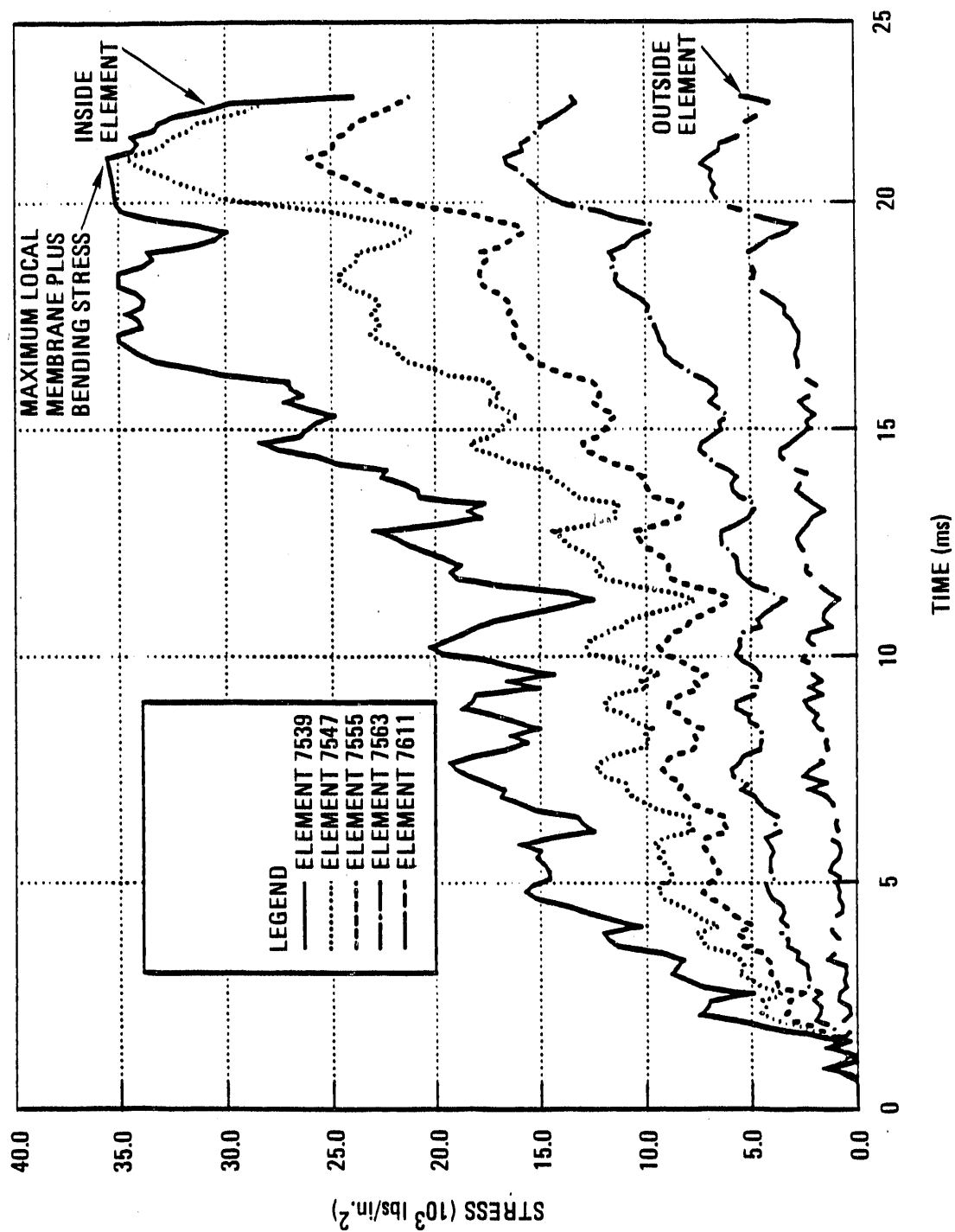


Fig. 2-23. Closure corner 9-m (30-ft) drop analysis. Individual effective stresses for critical Section 1 located in top of cask flange at point of impact

boundary can meet the structural criteria described in Section 2.1.1 during the primary impact of a 9-m (30-ft) center of gravity over bottom corner drop. In this drop orientation, the loading applied to the cask body is highly localized. Only part of the lower impact limiter plastically deforms during this event. The methodology is discussed in Section 2.2.3.

2.3.4.2. Model Description.

Mesh

Since the cask and the loading are symmetric, only half of the cask was modeled, as shown in Fig. 2-24. The mesh has 8,992 elements and 13,921 nodes. The 180 deg model is divided into 24 equally sized sectors. The bottom of the model and a 60 deg sector of the cask body, starting from the impacting corner of the model, were constructed with small elements because the maximum stresses and deformations are expected in this area (cask body part 1 in Fig. 2-25). The element aspect ratios in this area are 3:1 and the minimum element dimension, which controls the time step and cost, is 0.02 m (0.67 in.). The model shown in Figs. 2-24 and 2-25 is a collection of five submeshes; cask body including impact limiter, shield liner, support cylinder, closure, and contents. These submeshes are separated by slidelines. These allow contact and release between submeshes but prevent penetration. Additionally, a specialized slideline algorithm called a tied slideline facilitates mesh building by eliminating the need for transition regions in the mesh.

The cask was simplified before being divided into pieces for the finite element mesh. The following modifications were made to keep the model a reasonable size and still capture the essential cask behavior.

1. No thermal barrier or shear ring was modeled. The density of the materials was adjusted so the total weight of the model is

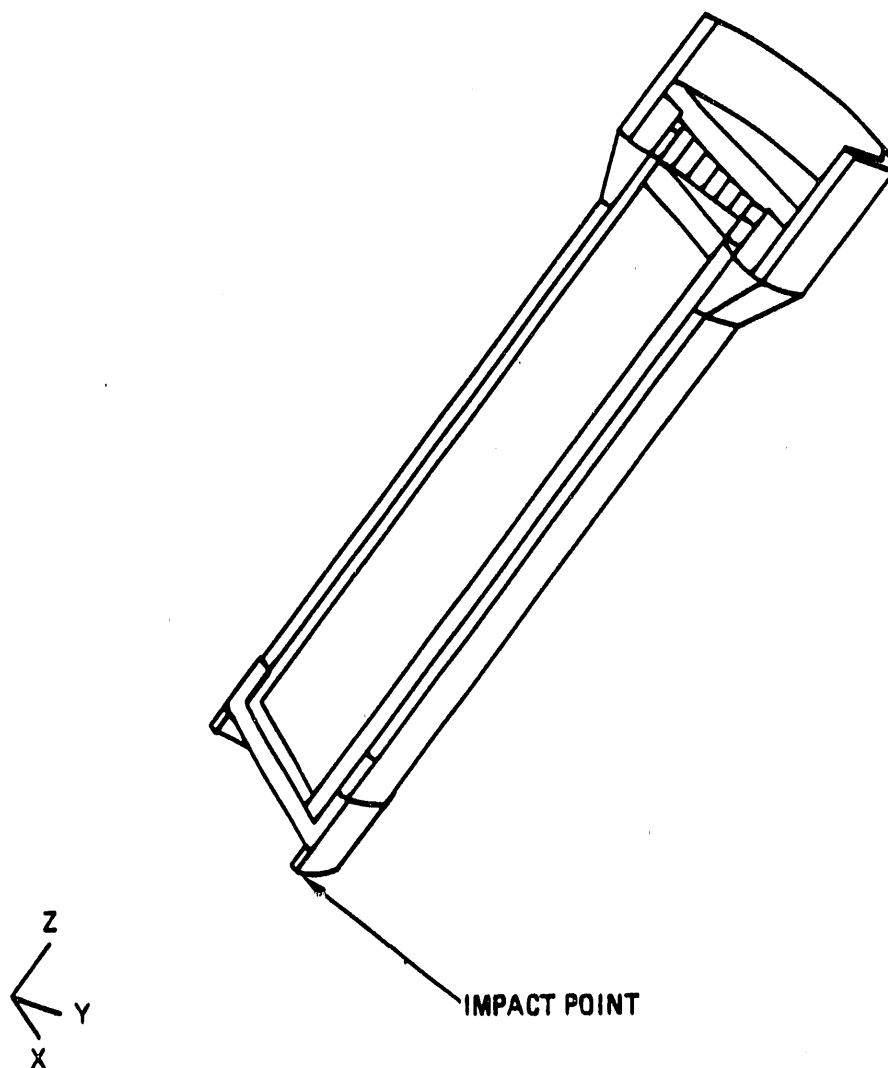


Fig. 2-24. Undeformed finite element model used in three-dimensional analysis of cask during a CG over bottom corner 9-m (30-ft) drop

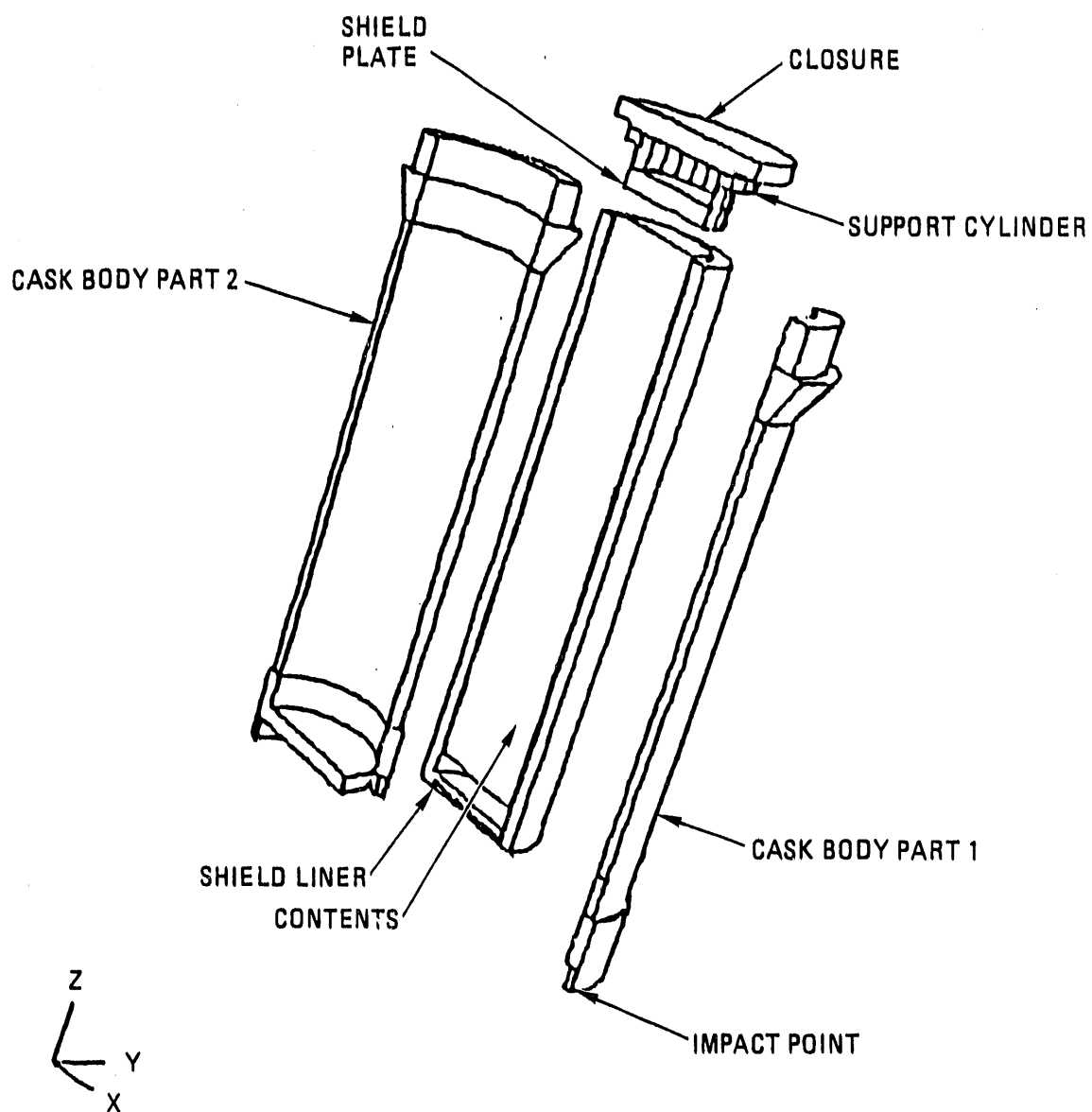


Fig. 2-25. Exploded view of cask model used in CG over bottom corner 9-m (30-ft) drop analysis

about 21,864 kg (48,100 lb). Note that the shear ring is not loaded in this orientation.

2. No closure or support cylinder bolts are modeled. The tied slideline option of DYNA3D was used to hold the parts together during the drop. This drop orientation does not present the most severe test for these parts of the containment boundary since they are only loaded during the rebound phase of the event. This assumption was verified by the half-scale test results presented in Section 4.
3. No internal honeycomb impact limiter is included in the model. The honeycomb will not be loaded during this drop except during the rebound phase of the event.
4. No trunnions were modeled. Their weight was included in the cask body.
5. The notched impact limiter was not modeled in detail and the circumferential impact limiters were omitted because they are not structurally active during the primary impact in this event.
6. The shield liner is modeled as a solid piece without the shear ring since this is not active during this event. There are no slidelines between the steel and depleted uranium layers. This increases the bending stiffness of the liner and delivers a more conservative load to the cask boundary.

Boundary Conditions

The only boundary conditions required by DYNA3D are no hoop displacement at the plane of symmetry, and a rigid wall definition. The

rigid wall is defined by a plane perpendicular to the vector connecting the impact point and center-of-gravity for the cask.

Loads on Model

The only loads on the cask result from the 9-m (30-ft) free drop. The initial velocity given to each node in the model is found by equating the potential energy of a 9-m (30-ft) drop to the kinetic energy and solving for the velocity. The following directional velocities were assigned to each node:

$$V_x = 129.9 \text{ in./s} \quad ,$$

$$V_z = -511.2 \text{ in./s} \quad .$$

2.3.4.3. Results. The results of this analysis were studied to determine the response of the actual cask containment boundary under this accident condition. The DYNA3D analysis was run for 15 ms until minimum kinetic energy was reached and the cask rebounded from the unyielding target. Figure 2-26 shows a time history plot of the total kinetic energy of the cask. It shows that the cask rebounds between 11 and 12 ms after initial impact. Contour stress plots for the cask were generated at several times and used to select the areas of highest stress (critical sections). Figures 2-27 through 2-29 show some of the contour plots used to select the critical sections. These contour plots also show the deformed shape of the cask. As shown, large plastic deformation occurred locally in the impact limiter near the point of impact in an area less than 120 deg around the impact point. These figures also show that the remainder of the cask did not deform significantly.

Ductile Rupture and Ductile Tearing

The locations of the most critical sections studied are shown in Figs. 2-30 and 2-31. All of the sections chosen were in the impact area

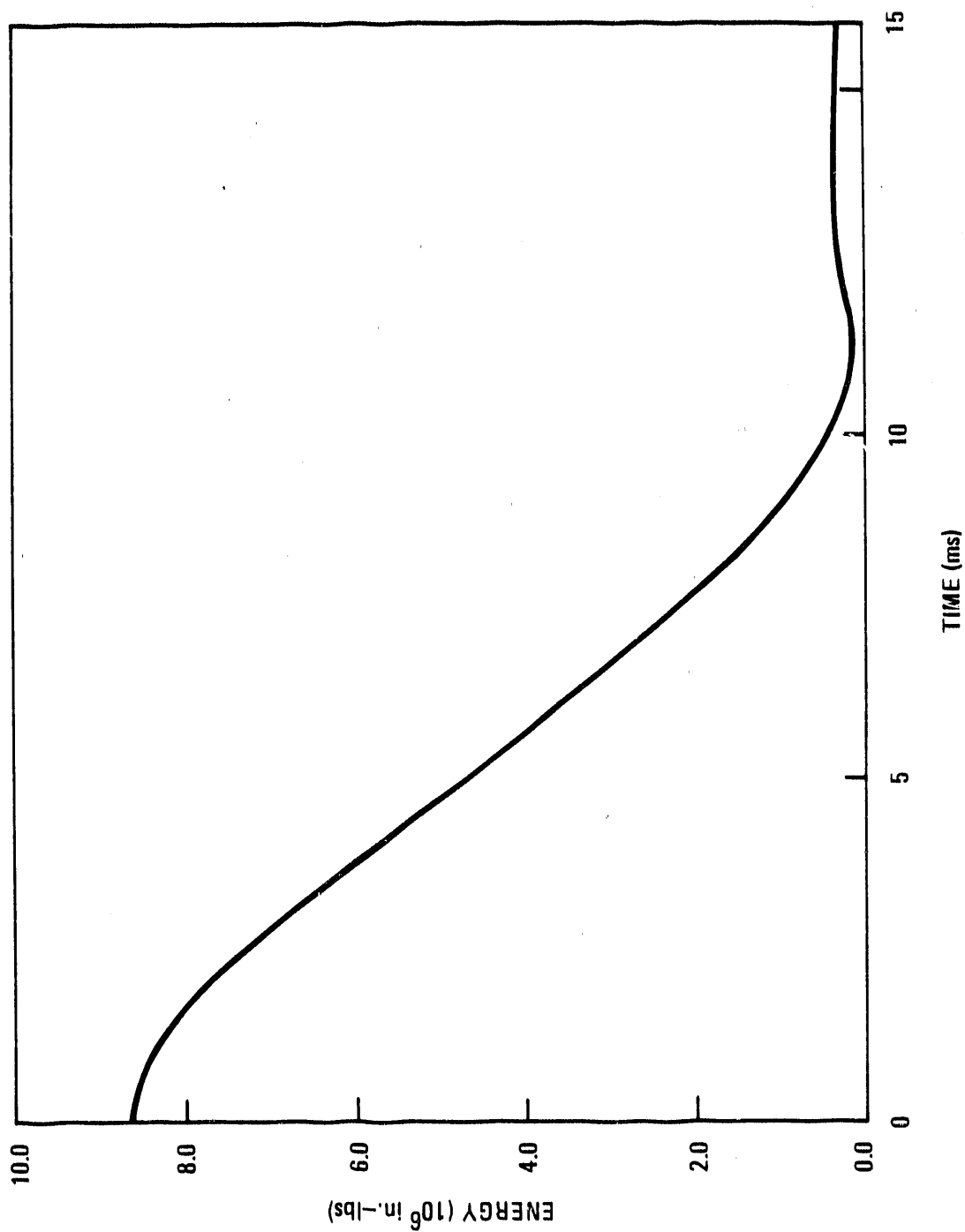


Fig. 2-26. Bottom corner 9-m (30-ft) drop analysis total kinetic energy for half the cask versus time

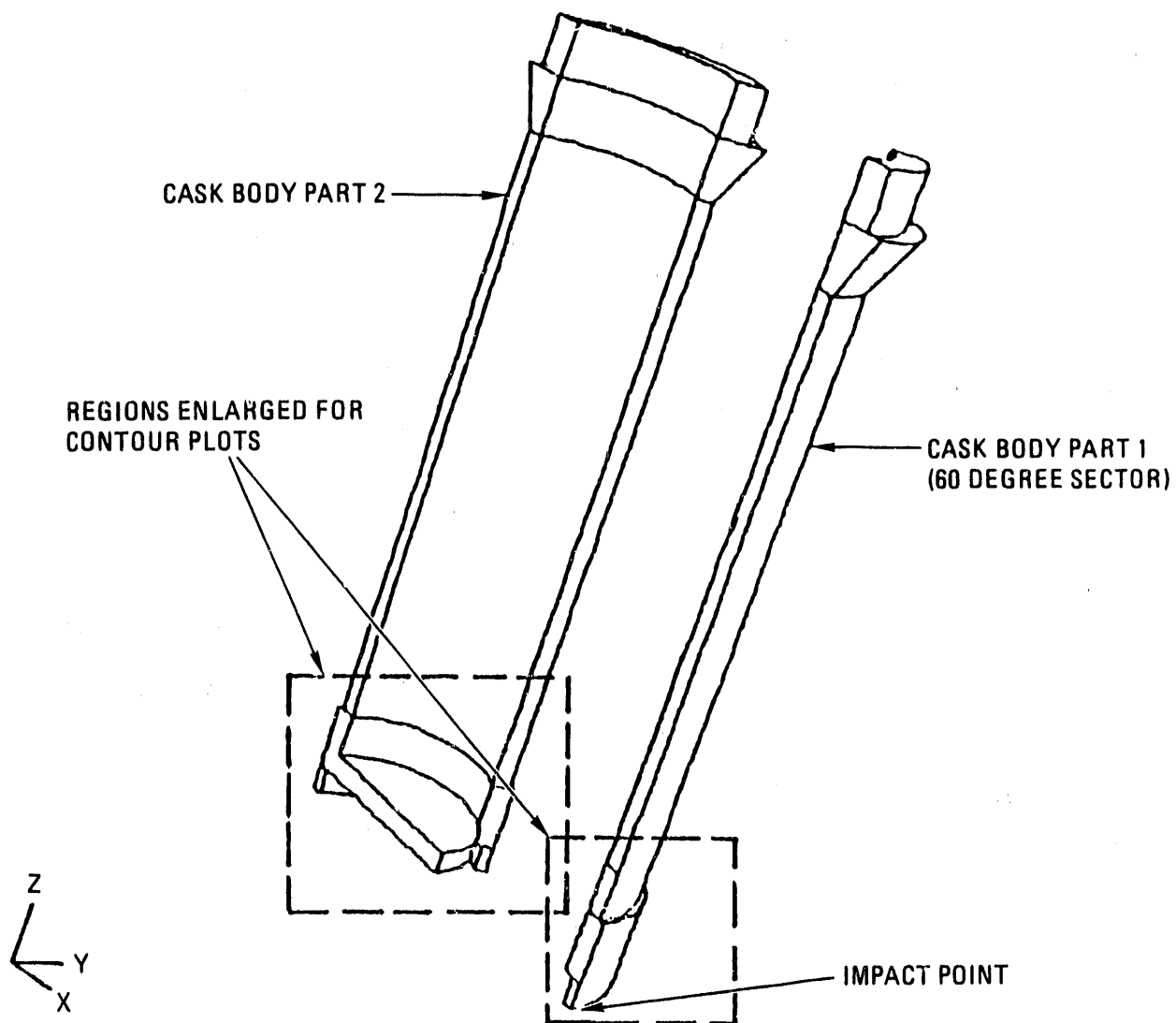
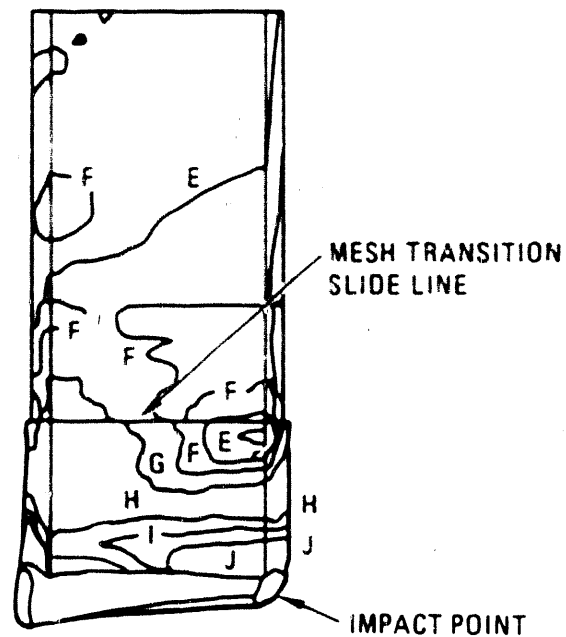
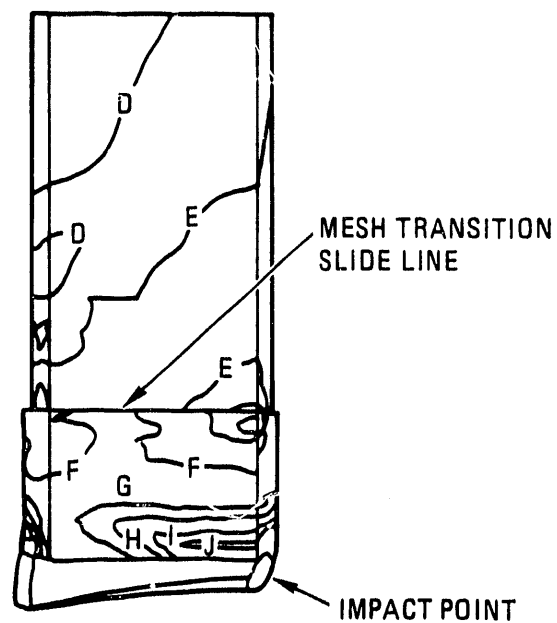
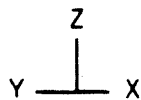


Fig. 2-27. Exploded view of cask body showing area enlarged for contour plots

(A = 0) PSI
 (B = 5778)
 (C = 11556)
 (D = 17333)
 (E = 23111)
 (F = 28889)
 (G = 34667)
 (H = 40444)
 (I = 46222)
 (J = 52000) PSI



10 MSEC AFTER IMPACT



12 MSEC AFTER IMPACT

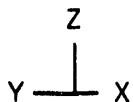
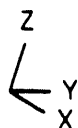
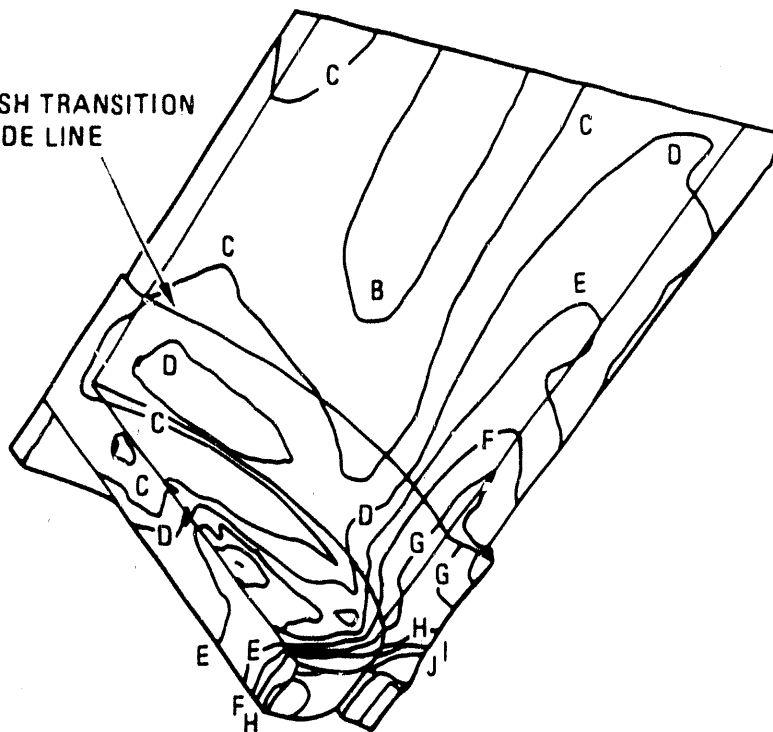


Fig. 2-28. Effective stress contour plots of the inside of the cask body (part 1)

(A = 0) PSI
 (B = 5778)
 (C = 11556)
 (D = 17333)
 (E = 23111)
 (F = 28889)
 (G = 34667)
 (H = 40444)
 (I = 46222)
 (J = 52000) PSI

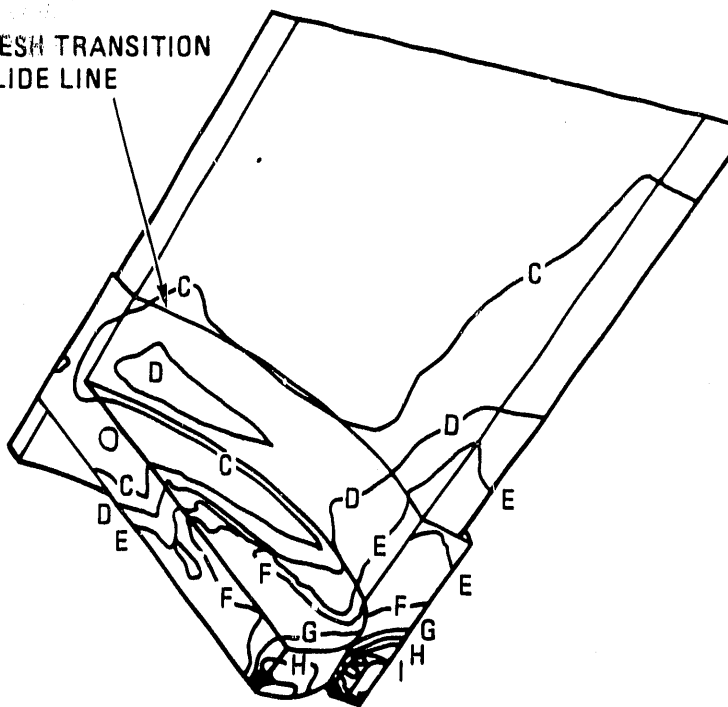


MESH TRANSITION
SLIDE LINE



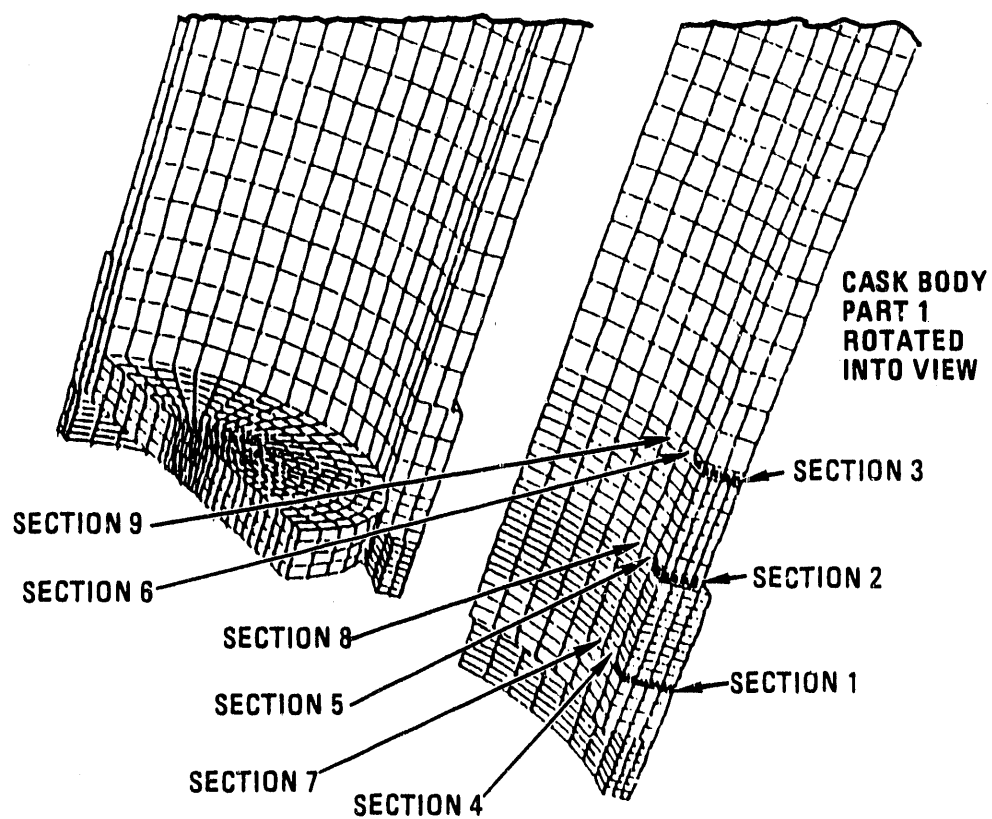
10 MSEC AFTER IMPACT

MESH TRANSITION
SLIDE LINE



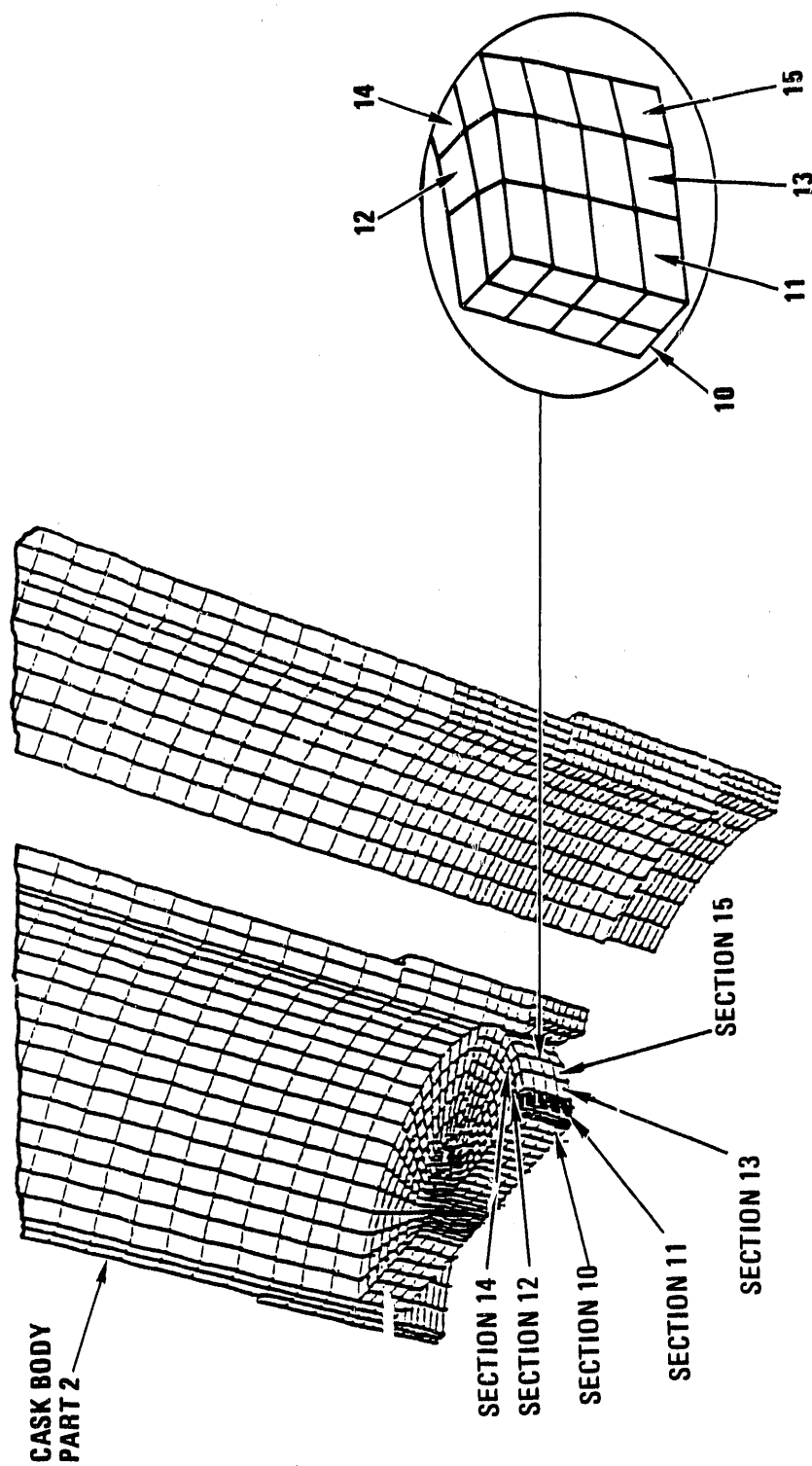
12 MSEC AFTER IMPACT

Fig. 2-29. Effective stress contour plots of the inside of the cask body (part 2)



CRITICAL RADIAL SECTION, CASK BODY PART 1

Fig. 2-30. Location of critical sections in cask body part 1 in CG over bottom corner 9-m (30-ft) analysis



CRITICAL AXIAL SECTION, CASK BODY PART 2

Fig. 2-31. Location of critical sections in cask body part 2 in CG over bottom corner 9-m (30-ft) drop analysis

of the cask body. The time history effective stresses of the chosen elements and sections were plotted as detailed in Section 2.2.3. The peak primary membrane and local membrane plus bending stresses were chosen from the time history plots and are summarized in Table 2-6. The maximum value for both types of stress occurs in the bottom plate at the junction with the cask sidewall. The primary membrane stress has a design margin of +0.11 and the local membrane plus bending stress has a design margin of +0.27. These stresses occur in the bottom plate, critical section 11, at the junction with the cask sidewall. This section is in line with the initial impact. As shown by the sections around it, critical Sections 10 and 12 through 15, these stresses are highly localized. The time histories for the highest primary membrane stress and local membrane plus bending stress are shown in Figs. 2-32 and 2-33.

No sections of this model are subject to pure shear so this design criterion does not apply.

2.3.4.4. Conclusions. The finite element analysis of the cask during a center of gravity over bottom corner 9-m (30-ft) drop showed that large plastic deformations occur on the lower impact limiter in an area about 120 deg around the impact point. The results on the containment boundary were compared to the requirements of Section 2.1 for ductile rupture, tearing, and shearing. It meets the criteria for all these failure modes. The half-scale model test program verifies that the cask does not buckle.

TABLE 2-6
SUMMARY OF MAXIMUM STRESSES AT CRITICAL SECTIONS IN CONTAINMENT
BOUNDARY DUE TO CG OVER BOTTOM CORNER 9-m (30-ft) DROP

Critical Section No.	Location	Maximum Primary Membrane		Maximum Local Membrane + Bending	
		Stress (ksi)	Design Margin(a)	Stress (ksi)	Design Margin(b)
<u>Cask Body Sidewall Above Bottom Plate</u>					
1	0 to 7.5 deg above Z = 9.0 in.	35.8	+0.45	43.2	+0.65
2	0 to 7.5 deg above Z = 16.75 in.	22.6	+1.3	35.4	+1.01
3	0 to 7.5 deg above Z = 25.75 in.	34.6	+0.50	35.0	+1.03
4	7.5 to 15 deg above Z = 9.0 deg	35.8	+0.45	43.2	+0.65
5	7.5 to 15 deg above Z = 16.75 in.	28.8	+0.80	35.4	+1.01
6	7.5 to 15 deg above Z = 25.75 in.	34.4	+0.51	35.0	+1.03
7	15 to 22.5 deg above Z = 9.0 in.	35.0	+0.48	44.3	+1.60
8	15 to 22.5 deg above Z = 16.75 in.	31.8	+0.63	35.6	+1.00
9	15 to 22.5 deg above Z = 25.75 in.	33.7	+0.54	35.0	+1.03
<u>Bottom Plate at Junction with Cask Sidewall</u>					
10	0 to 7.5 deg R = 15.14 in.	22.6	+1.3	41.6	+0.71
11	0 to 7.5 deg R = 16.25 in.	46.8	+0.11	55.9	+0.27
12	7.5 to 15 deg R = 15.14 in.	23.2	+1.24	40.0	+0.78
13	7.5 to 15 deg R = 16.25 in.	44.2	+0.17	52.5	+0.35
14	15 to 22.5 deg R = 15.14 in.	26.6	+0.95	37.9	+0.88
15	15 to 22.5 deg R = 16.25 in.	37.9	+0.37	47.4	+0.50

(a) Primary membrane allowable is 51.9 ksi.

(b) Local membrane plus bending allowable is 71.1 ksi.

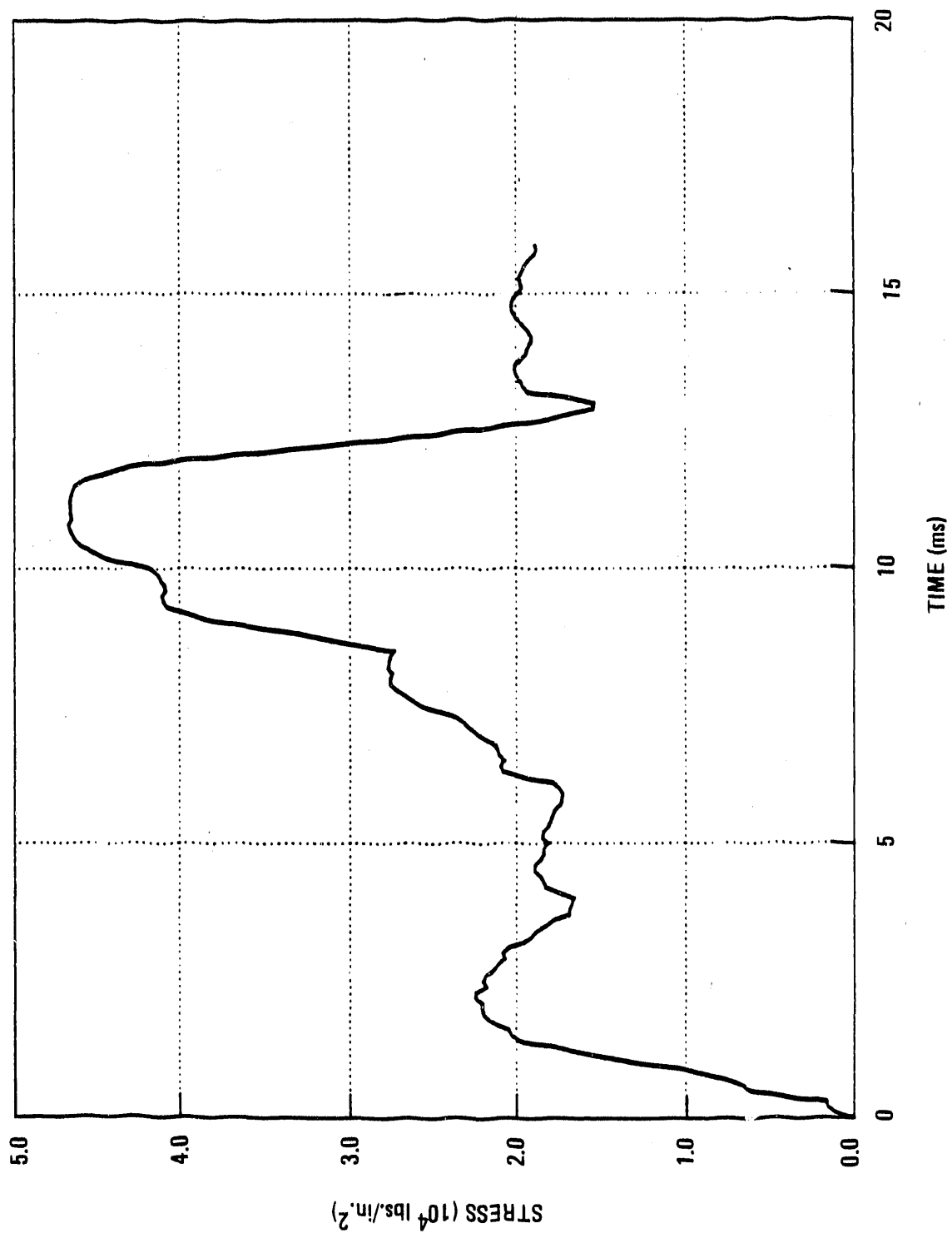


Fig. 2-32. Bottom corner 9-m (30-ft) drop analysis primary membrane stress of critical Section II

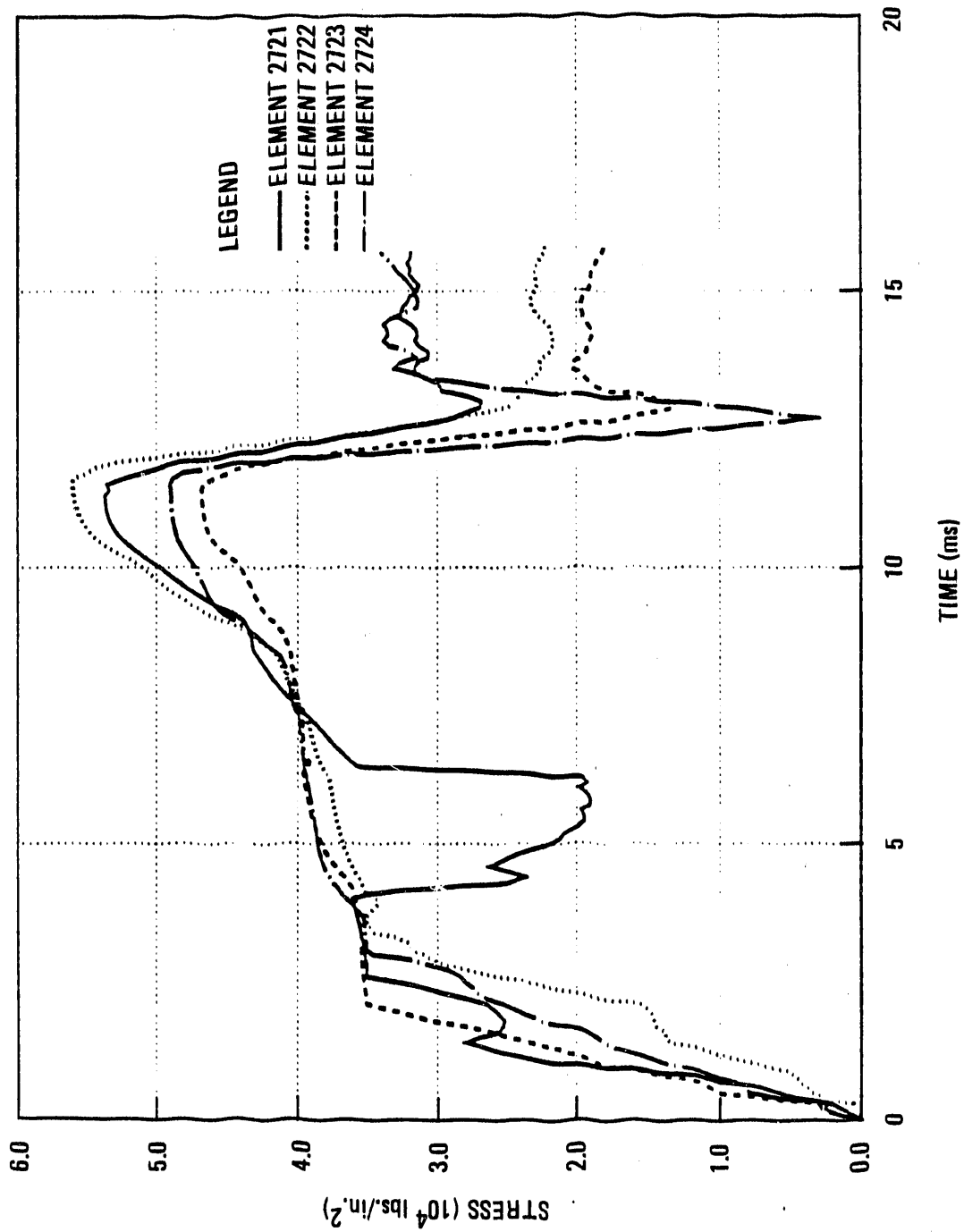


Fig. 2-33. Bottom corner 9-m (30-ft) drop analysis for individual effective stresses for critical Section II (local membrane plus bending stresses)

3. ELASTIC ANALYSIS

3.1. STRUCTURAL CRITERIA

The elastic analysis criteria presented in Regulatory Guide 7.6 were used for all analyses except for localized areas of the cask far removed from the closure seals for the bottom-end and CG over bottom corner free drops. These elastic allowables are summarized in Tables 3-1 and 3-2. The material property data used in the analysis correspond to the design stress values, S_m ; yield strengths, S_y ; and ultimate strengths, S_u ; given in Appendix I of the ASME Code.

3.2. ANALYTICAL METHODS

The GACAP code (Ref. 1-2) developed at GA was used to analyze the kinematic response of casks during a free drop event. The GACAP code uses an elastic beam treatment of the cask. It has a single axis with multiple beams and is limited to small deformations in the beam elements while going through large rigid body motions of the whole cask. There is no damping allowed in the beam elements. All inelastic absorption of drop energy takes place in the impact absorbers. The calculation is an explicit step-by-step time integration. Figure 3-1 shows a typical cask model.

GACAP prints cask node motions and beam and impact limiter loads at user-specified time intervals. A summary of maximum values is provided at the end of the run. Also, the code outputs the full energy state information. The energy state informs the user of the makeup of the remaining kinetic potential and elastic energy.

TABLE 3-1
CONTAINMENT BOUNDARY ALLOWABLE STRESSES FOR ELASTIC ANALYSIS

Stress Category	Normal Conditions	Accident Conditions
Primary membrane stress intensity ^(a)	S_m	Lesser of $2.4 S_m$ and $0.7 S_u$
Primary membrane + bending stress intensity ^(b)	$1.5 S_m$	Lesser of $3.6 S_m$ and S_u
Range of primary + secondary stress intensity ^(c)	$3.0 S_m$	Not applicable
Bearing stress	$S_y^{(d)}$	S_y for seal surfaces S_u elsewhere
Pure primary shear stress	$0.6 S_m^{(e)}$	$0.42 S_u$

(a) Definition per NRC Regulatory Guide 7.6, Paragraph B.4, and ASME Code NB-3221.1. Example: average stress across cask body at middle of cask wall and at the rounded corners.

(b) Definition per NRC Regulatory Guide 7.6, Paragraph B.3, B.4, ASME Code NB-3221.3. Example: membrane component of primary stress for the cask under an applied moment across the cask cross section shall be the stress proportional to the distance from the cask centroid.

(c) Definition per NRC Regulatory Guide 7.6, Paragraph C.4.

(d) From ASME NB-3227.1

(e) From ASME NB-3227.2

TABLE 3-2
CONTAINMENT BOUNDARY BOLT STRESS ALLOWABLES

Stress Category	Normal Conditions	Accident Conditions
Membrane stress due to internal pressure(a)	S_m	Not applicable
Membrane stress(a)	$2.0 S_m$	Lesser of S_y and $0.7 S_u$
Membrane + bending stress(a)	$3.0 S_m$	S_y
Pure shear	$0.6 (2.0 S_m) = 1.2 S_m$	Lesser of $0.6 S_y$ and $0.42 S_u$

(a) Not considering stress concentrations.

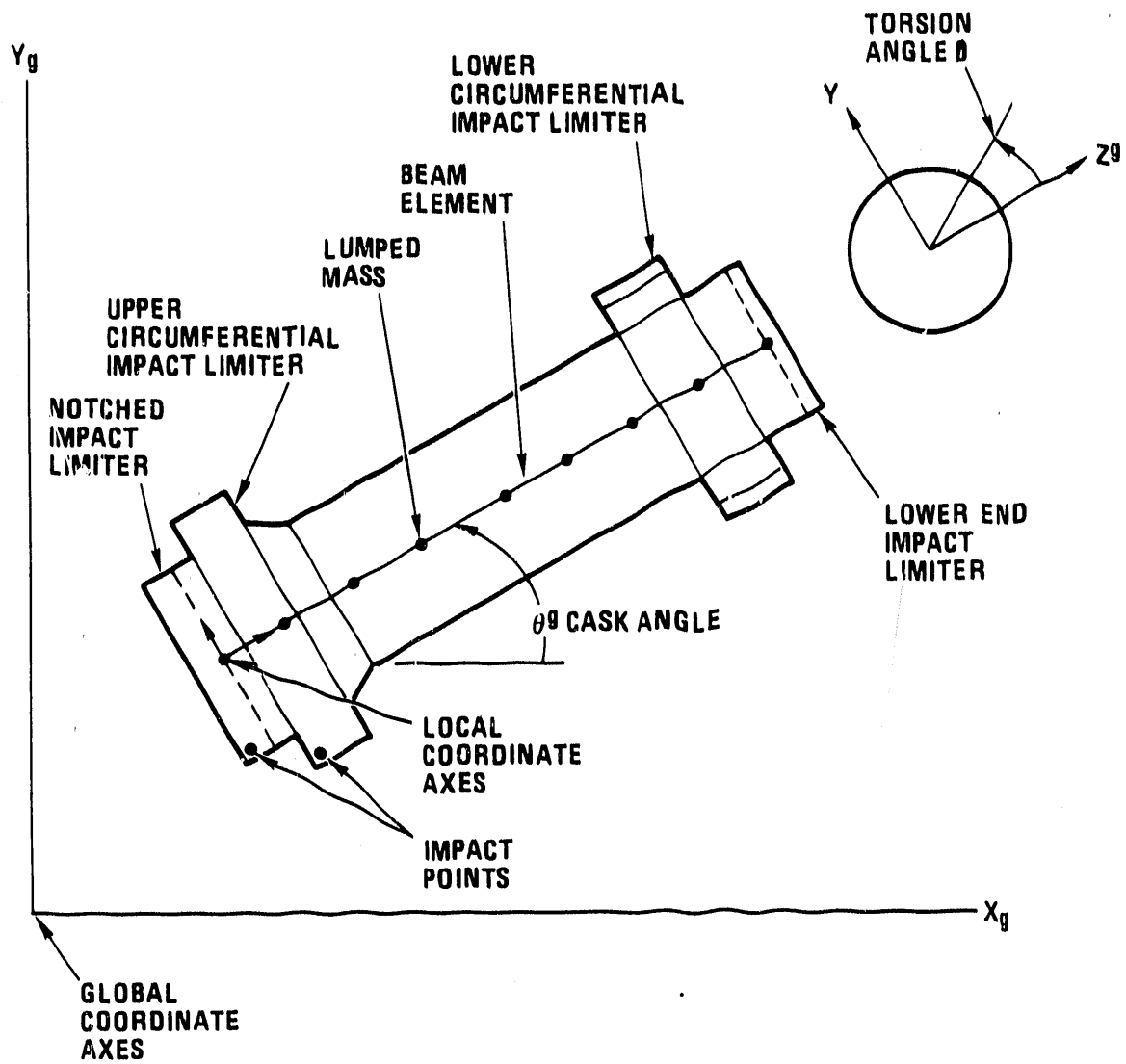


Fig. 3-1. Model of DHLW cask using lumped mass and beam representation

GACAP provides the user with the resultant beam loads from the rigid-body and flexible-body modes. The output also includes stress values at midlength in the midwall. The code and theory are well documented in Ref. 1-2. It is verified by comparisons with the DYNA3D (Ref. 2-4) and SCANS (Ref. 3-1) codes. As discussed in Section 3.3.2.3, test results showed that the flexible-body results are very conservative and the rigid-body results are more representative of the actual response of the cask. Therefore, the rigid-body results are used to represent the cask stress state during the impact events.

3.2.1. Theory of Modeling

Formulation

The code models the cask with a series of aligned beam elements and mass nodes numbered from left to right. The basic mass and stiffness formulations of the code are standard. Equation 3-1 characterizes the equations of motion in the local coordinate system for a straight line of massless beam elements and n lumped node masses (each with three degrees of freedom, X, Y, θ).

$$[M] \{\ddot{X}\} = \{F_{lim}\} + \{F_g\} + [K] \{X\} \quad , \quad (3-1)$$

where $[M]$ = the mass/mass moment of inertia matrix, which is diagonalized,

$\{\ddot{X}\}$ = the displacement and rotation acceleration vector,

$\{X\}$ = the displacement and rotation vector,

$\{F_{lim}\}$ = the force vector imposed by the limiters,

$\{F_g\}$ = the body force vector from the acceleration of gravity,

$[K]$ = the stiffness matrix of the beam structure.

This equation is in the local beam coordinate system which has the coordinates and directions of node 1 of the cask model. There are

3*n degrees of freedom in Eq. 3-1. The sequence is $X_1, Y_1, \theta_1, X_2, Y_2, \theta_2 \dots X_n, Y_n, \theta_n$.

The stiffness matrix [K] is assembled from the 6 x 6 stiffness matrices of the individual beam elements. This 6 x 6 symmetric element matrix can be characterized for element i as

$$\begin{bmatrix} A_i & B_i \\ B_i^T & C_i \end{bmatrix} \quad \text{where } A, B, \text{ and } C \text{ are } 3 \times 3 \text{ submatrices and } B^T, \quad ,$$

is the transpose of B .

The element stiffness matrices are assembled into the total stiffness matrix [K]

$$\{F\} = [K] \{X\} \quad . \quad (3-2)$$

Here {X} vector X_1, Y_1, θ_1 are the coordinates of the nodes in the local coordinate system and the {F} vector $F_{X_1}, F_{Y_1}, M_{\theta_1}$ are the resultant loads on the nodal points also in the local system.

Equation 3-1 is used to compute the accelerations in the directions of the local coordinate system. The local accelerations are then rotated into the directions of the global coordinate system and integrated to obtain incremental displacements:

$$\{\ddot{X}_j^g\} = [R_{j1}] \{\ddot{X}_1\} \quad , \quad (3-3)$$

$$\{3*n,1\} \quad [3*n,3*n] \quad \{3*n,1\}$$

where n = the number of nodes,

$\{\ddot{X}_j^g\}$ = the acceleration vector in the global coordinate system,

$\{\ddot{X}_1\}$ = the acceleration vector in the local coordinate system,

$[R_{j1}]$ = the rotation matrix.

GACAP solves these equations explicitly using central difference integration of the accelerations. The local deformed state of the beam is updated using the resulting deflection and rotation.

The local displacement/rotation vector $\{X\}$ is then multiplied by the stiffness matrix $[K]$ to produce the forces and moments imposed by the beams on the nodes.

Impact Limiter Forces

GACAP provides the user with flexibility in the treatment of the impact limiters. The impact limiter is "slaved" to a node by a rigid connection between the impact limiter contact point and the node. The impact limiters are massless in the model. Their masses are lumped into the respective nodal mass. The limiters impose forces and moments on specified model nodes. The model for the impact limiter can be seen in Fig. 3-1. The left side limiter is shown connected to node 1; however, limiters can be connected to any node in the model. The model can also include several impact limiters connected to different nodes, each with their own load-deflection tables. The code positions the cask vertically so that the initial impacting limiter just contacts the impact plane at the start of the run.

The impact limiter normal force will always act at the contact point, producing moments on the connected cask node. The code calculates the impact limiter force by interpolating from the user-defined force-deflection tables, using the vertical displacement overlap of the contact point with the impact plane.

The code accommodates impact limiter designs in which the behavior can change depending on the direction (torsion angle, see Fig. 3-1) relative to the cross section of the cask. Each force deflection table for an impact limiter is associated with a cask angle θ and a torsion angle ϕ (see Fig. 3-1). Since the model is two-dimensional, the initial

torsion angle is used throughout the calculation. A linear interpolation of the tables is made for both the cask angle and the torsion angle. For the DHLW cask, the torsion angle is set equal to zero since the cask cross section is circular. Cask angle specification for the tables must be between 0 and 90 deg. Different load-deflection curves were input for cask angles θ at every 15 deg.

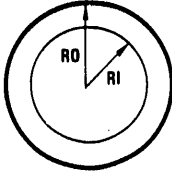
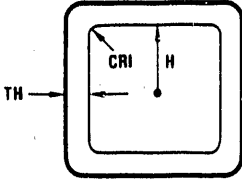

The user may include a horizontal friction force in the GACAP analysis. This force is dependent on the magnitude of the impact limiter normal force. The code treats the friction force at each limiter as a viscous damper which opposes the horizontal velocity of the limiter on the impact plane. No friction was used since the NRC requires that the kinetic energy be absorbed only by crushing of the impact limiters and not by other means.

Section Properties

GACAP provides the user with flexibility to input the desired section properties. The program computes stiffness properties for the circular cylinder and the rounded-corner square box. For the DHLW cask, only the cylinder cross section input is required. The user may also input the properties of the beam sections as shown in Fig. 3-2.

The code allows for mixed multiple beam input, between adjacent nodes. The parallel beam stiffnesses are simply added together to create the model. The user may define the properties of the beams between adjacent nodes independently. Therefore, the code can be used to analyze cask designs with variable cross sections. For the DHLW analyses it was chosen to let the code calculate the cylinder properties.

The code allows the user to either input directly the mass moment of inertia of each node or to have the code calculate this parameter. The latter option was used during the DHLW analyses. The user may also

CROSS SECTION	TYPE OF INPUT	
CYLINDERS		E, G
ROUNDED-CORNER BOX SECTIONS		E, G
ARBITRARY SHAPE		$EA/L, EI/L^3$ $EI/L^2, EI/L, f$
		E, G, A, I, f

WHERE

E = ELASTIC MODULUS

A = AREA OF THE CROSS SECTION

I = MOMENT OF INERTIA

L = LENGTH OF BEAM

G = SHEAR MODULUS

f = SHEAR FORM FACTOR

J-025(16)
4-6-89

Fig. 3-2. Different types of section properties input accepted by GACAP

provide a value for the shear form factor in order to calculate the appropriate shear deformation of the beam. A value of one was used in the analyses. This includes the full value of the shear form factor calculated by the code in the stiffness matrix formulation.

Flexible- and Rigid-Body Beam Loads

Along with the beam loads from the flexible-element analysis, the code also provides beam loads based on the cask acting as a single rigid body with three degrees of freedom, see Fig. 3-3. In the flexible-element model, each node has its own accelerations computed from the 3*n degree of freedom model. A comparison of the rigid-body results with the flexible-element results provides information on the dynamic amplification factor (DAF).

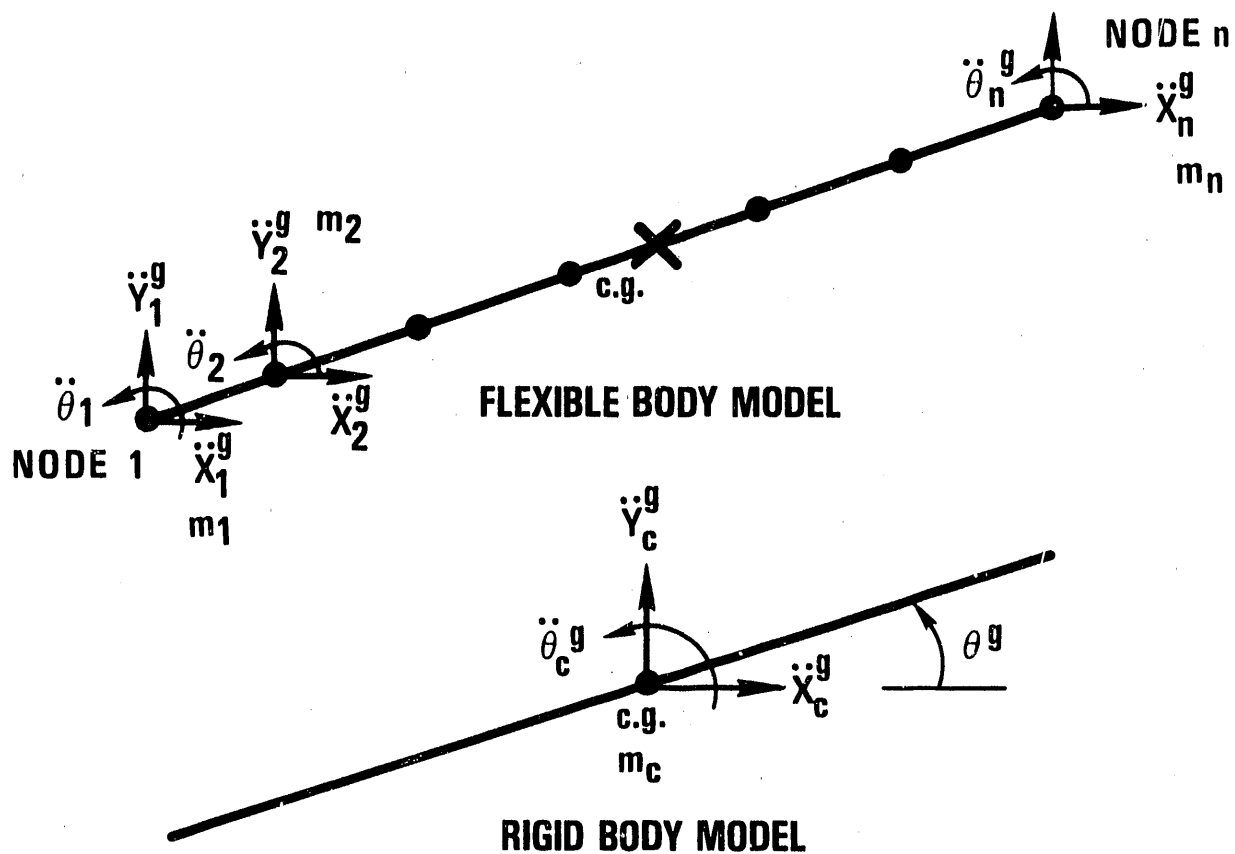
To calculate the beam loads from the rigid-body accelerations, each node is given accelerations in the local coordinate system dependent on its position from the CG. The rigid-body nodal accelerations are used to compute body forces and moments on the nodes. The body forces along with the forces imposed by the impact limiters and gravity comprise the rigid body force-moment loading on the cask.

The printout for the rigid-body beam loads conforms with that of the dynamic beam loads.

3.2.2. Verification

Verification with DYNA3D

GACAP was initially verified using DYNA3D. DYNA3D can perform some of the same cask calculations as GACAP. However, since DYNA3D is a general code developed for very complex geometries and varied problems, it is more difficult to use than GACAP and has significant limitations for cask analysis.



BOTH SOLUTIONS ARE DYNAMIC

J-025(4)
4-3-89

Fig. 3-3. GACAP provides both the flexible body and rigid body dynamic solutions

Figure 3-4 shows the model run in both GACAP and the DYNA3D code. The cask impacts from a 30-ft height at 30 deg from the horizontal plane. There are two impact limiters. GACAP and DYNA3D use identical geometry, initial conditions, nodal masses, and mass moments of inertia. The DYNA3D model simulates the impact limiters using the discrete spring input.

Figure 3-5 shows the motion of the end nodes 1 and 7 for the GACAP and DYNA3D models. The movement is essentially identical. This plot confirms that the impact limiters are performing correctly. The more meaningful correlation is the comparison of the time variation of beam loads where the high frequency beam structure modes appear. These have been plotted for beam element 6 in Fig. 3-5. The correlation is excellent and verifies the GACAP code. The verification is explained in detail in Ref. 1-2.

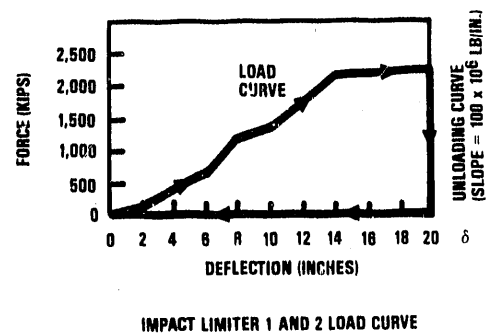
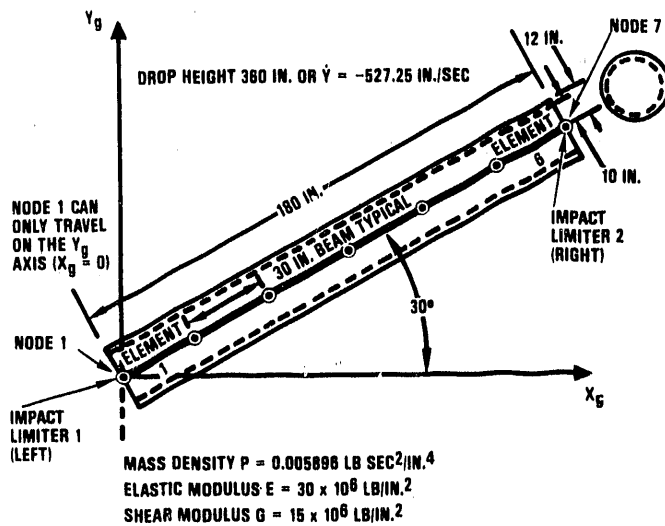
Comparison with the SCANS Code

GACAP has also been compared with the Lawrence Livermore Laboratory SCANS code (Ref. 3-1). SCANS is based on the same formulation as GACAP. Table 3-3 shows that both codes give equivalent results during primary impact or when the cask does not rebound.

The primary difference between GACAP and SCANS results arises from the fact that SCANS eliminates the beam dynamics during the free flight of the cask (rebound). If this assumption is simulated in GACAP, the secondary impacts also compare identically.

3.3. CASK ANALYSIS

The DHLW cask was evaluated for the 30-ft free drop for various drop orientations. GACAP (Section 3.2) was used to perform this elastic analysis. The analysis was performed for drop orientations ranging from 0 to 90 deg at 15 deg intervals, including CG over corner drops and at



J-083(3)
5-10-89

Fig. 3-4. GACAP model used for verification with DYNA3D

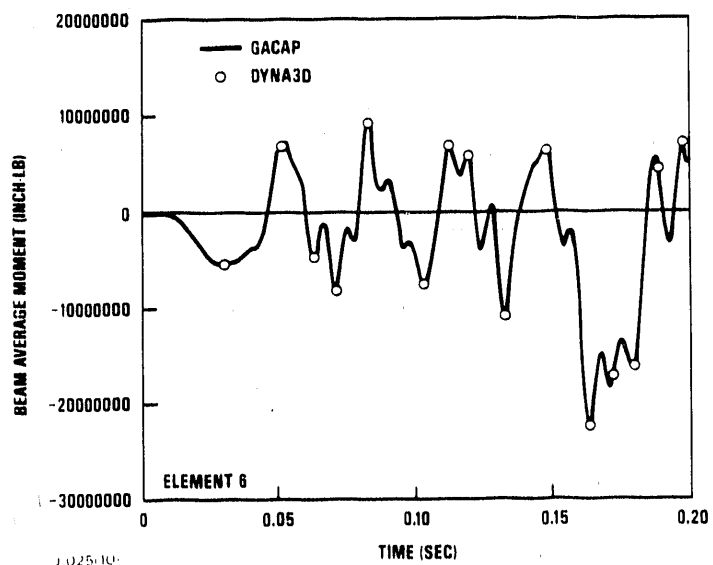
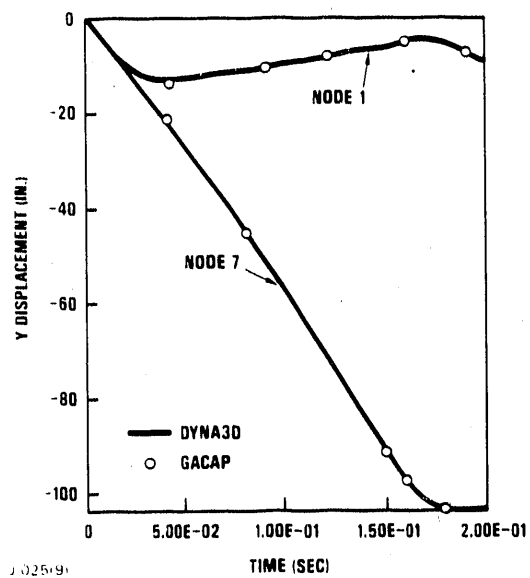


Fig. 3-5. Comparison of GACAP and DYNA3D nodal displacement and beam moment results

TABLE 3-3
COMPARISON OF RESULTS BETWEEN SCANS AND GACAP

Identical 30 ft Side Drop Analyses	SCANS	GACAP
Crush (in.)		
Top	10.5	10.5
Bottom	10.5	10.5
Maximum dynamic moment (in.-kip) (center node)	71868	71930
Maximum dynamic shear (kip)	1134	1132

10 deg to simulate the slapdown tested with the half-scale model. The first step in the analysis was to generate load-deflection curves for all impact limiters for all drop orientations which were then used in the GACAP analysis.

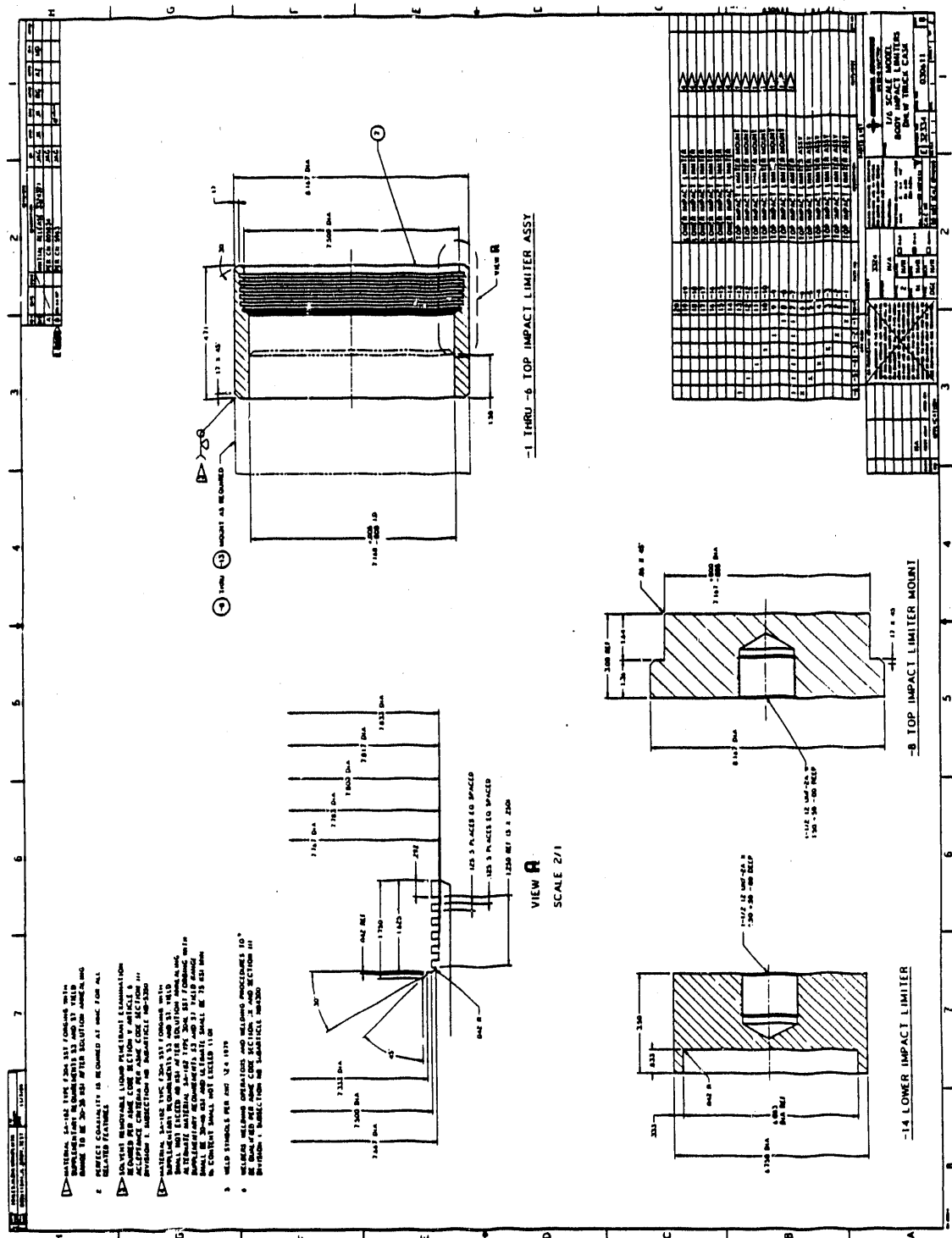
3.3.1. Impact Limiter Load-Deflection Curve Tests

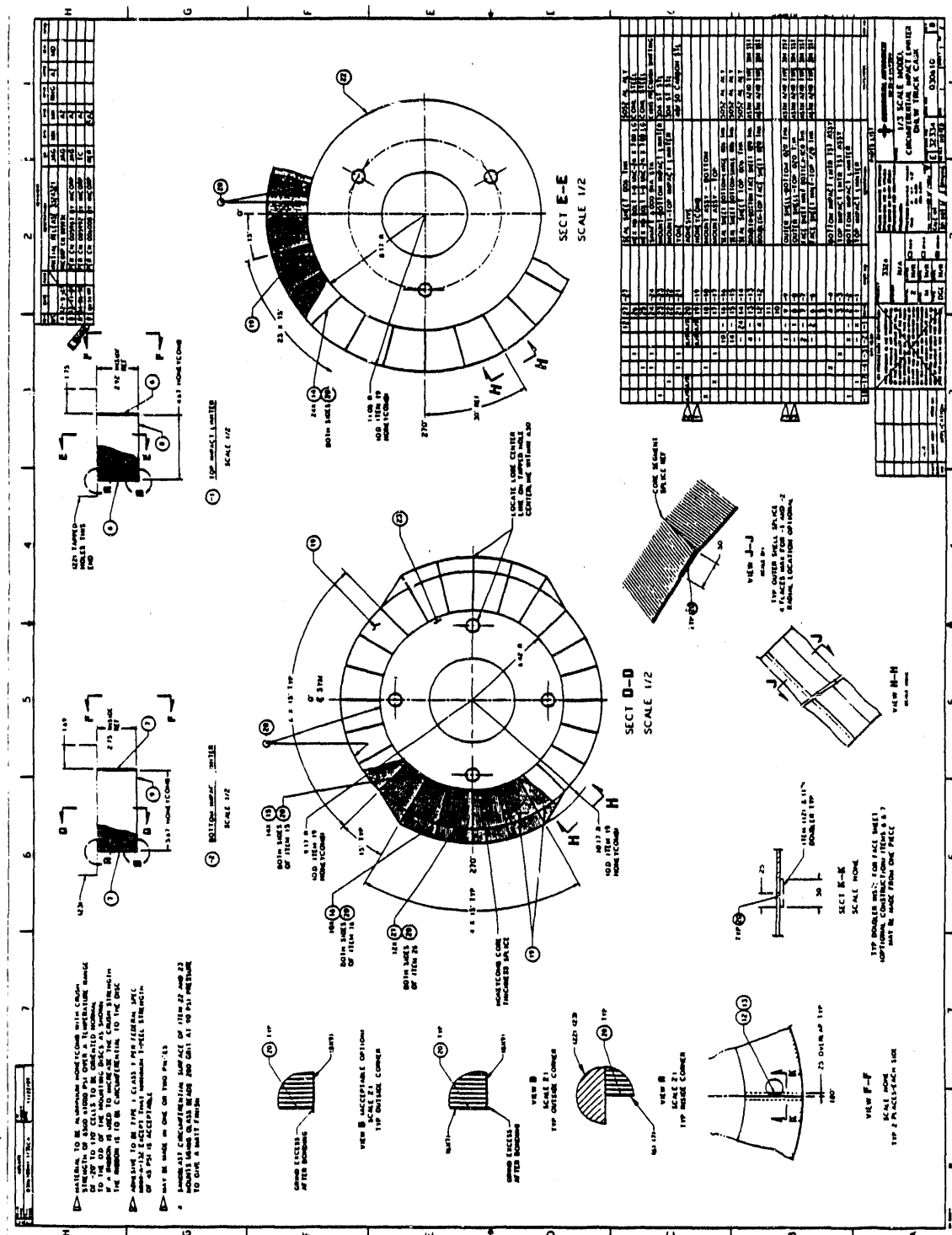
3.3.1.1. Introduction. The DHLW shipping cask has four external impact limiters that are designed to protect the cask during the normal condition 0.3-m (1-ft) free drops and during the hypothetical accident condition 9-m (30-ft) free drops. These impact limiters include (1) the lower end impact limiter, (2) the notched impact limiter, (3) the lower circumferential impact limiter, and (4) the upper circumferential impact limiter. The GACAP analysis for the free drops requires the force-deflection characteristics of the impact limiters.

3.3.1.2. Test Description. SNL performed the tests in their laboratories according to written test procedures provided by GA. SNL measured the load-deflection curves for the impact limiters by gradually applying a compressive load to scale models of the impact limiters, and recording the applied load versus deflection of the test articles. The GACAP analysis required that the tests be performed for a range of angles that represent all possible impact angles of the cask as listed below (90 deg represents an end drop orientation):

1. Lower end impact limiter - 15, 30, 45, 60, 75.7, and 90 deg.
2. Notched impact limiter - 15, 30, 45, 60, 73.4, and 90 deg.
3. Upper circumferential impact limiter - 0, 12, and 24 deg.

3.3.1.3. Test Articles and Fixtures. The test articles were 1/6-scale for the notched and lower end impact limiters and 1/3-scale for the circumferential impact limiter. GA designed and procured the test articles and shipped them to SNL for testing. SNL generated test procedures based on a GA test specification. Figures 3-6 through 3-9 show the test





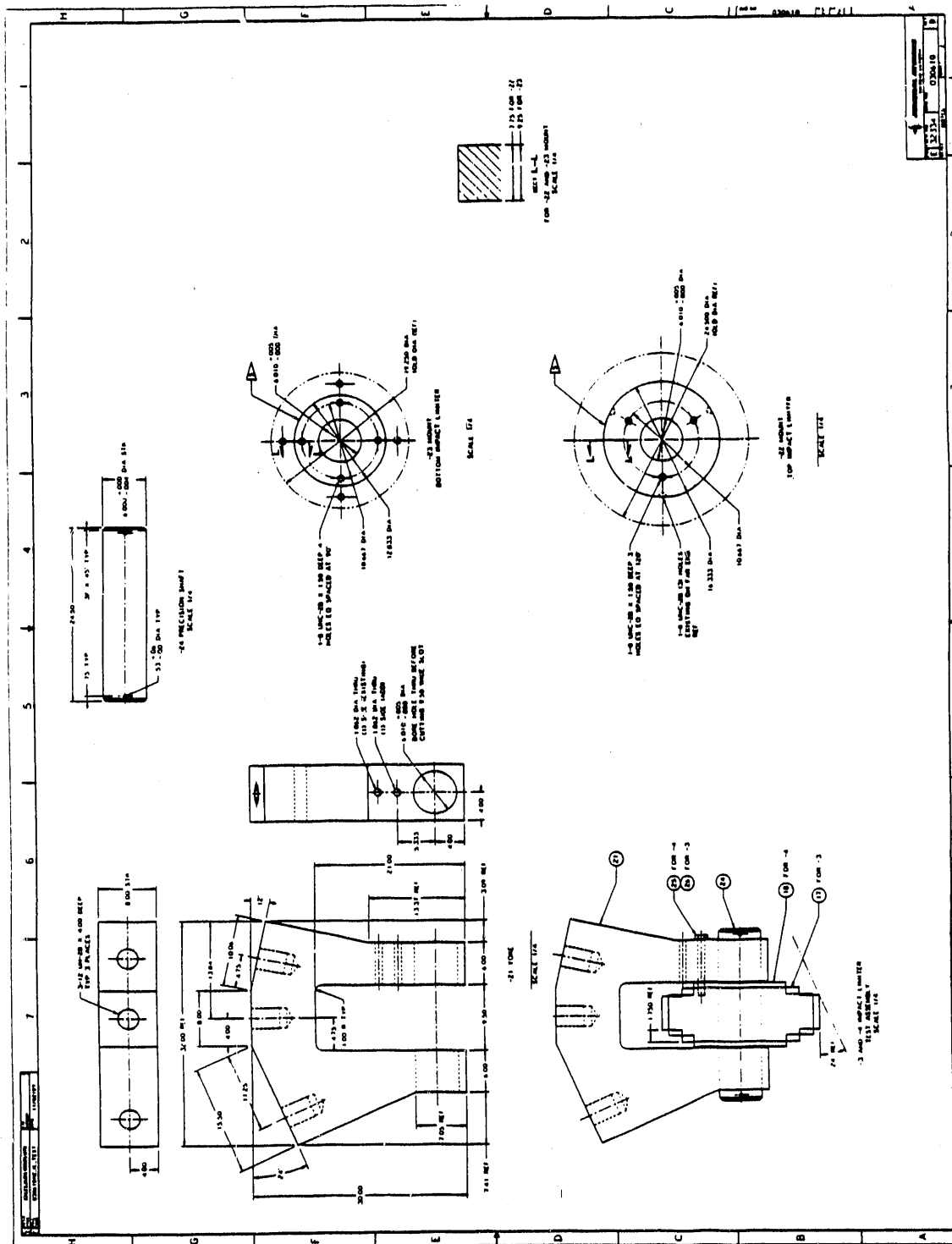


Fig. 3-9. 1/3-scale circumferential honeycomb test article and fixture

article and fixture drawings. The lower end impact limiter test articles include the ring impact limiter which is integral with a thick rigid plate that is beveled at the six test angles. The notched impact limiter is an exact 1/6-scale model which is welded to a thick rigid plate which is beveled at the six test angles. The upper circumferential honeycomb impact limiter test article includes a 1/3-scale honeycomb impact limiter bonded to a rigid stainless steel plate which represents the cask. A mandrel is used to load the impact limiter at the three test angles through a shaft through the center of the stainless steel plate as shown on Fig. 3-9.

3.3.1.4. Instrumentation. SNL used two deflection transducers to measure deflection. To measure load, the testing machine hydraulic pressure is converted to a force. SNL calibrated the testing machine (Tinius/Olsen with 600,000 lb capacity) just before they performed the tests. During the test, a strip chart recorder plotted the load versus deflection using one of the two deflection transducers. Figures 3-10 and 3-11 show the notched impact limiter 45 deg test and the lower end impact limiter 15 deg test with the wires connected to the two deflection transducers, one on the left of the test article and one on the right.

3.3.1.5. Test Results and Evaluation. Figures 3-12 and 3-13 show the notched and lower end impact limiters after the tests. Figure 3-14 shows the circumferential impact limiter after the tests. Figures 3-15 through 3-26 show plots of the raw data for the notched and lower end impact limiter test articles. Figures 3-27 through 3-29 show plots of the raw data for the circumferential impact limiter. Note that there are two curves, one for each deflection transducer.

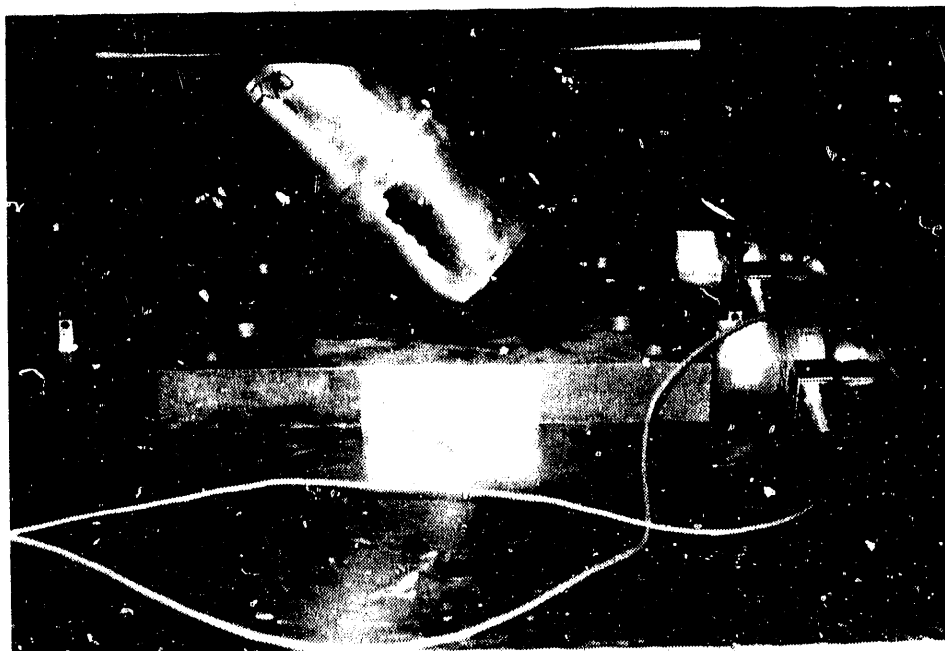


Fig. 3-10. Notched impact limiter, 45 deg test



Fig. 3-11. Lower end impact limiter, 15 deg test

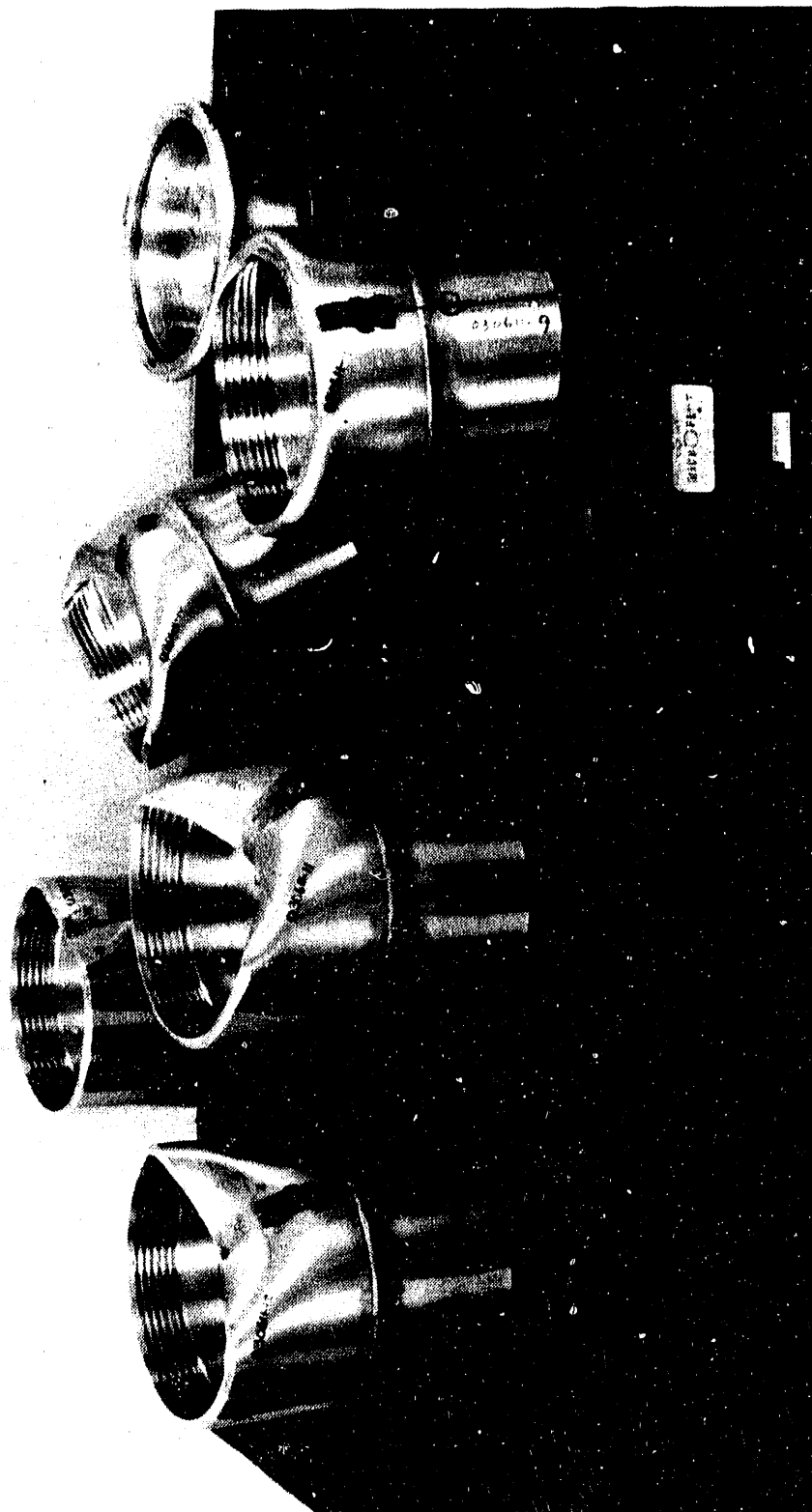


Fig. 3-12. Notched impact limiter test articles after tests

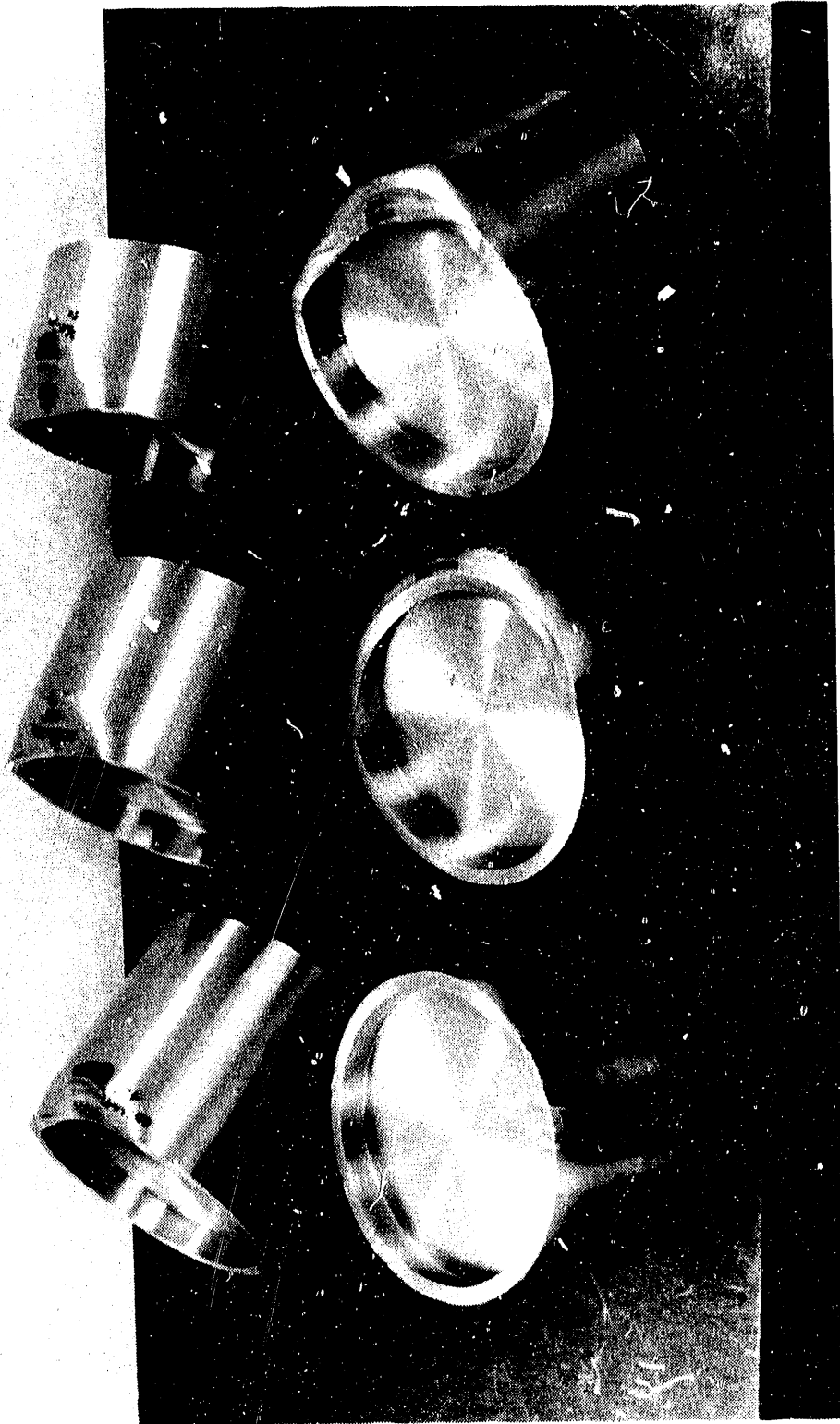


Fig. 3-13. Lower end impact limiter test articles after tests

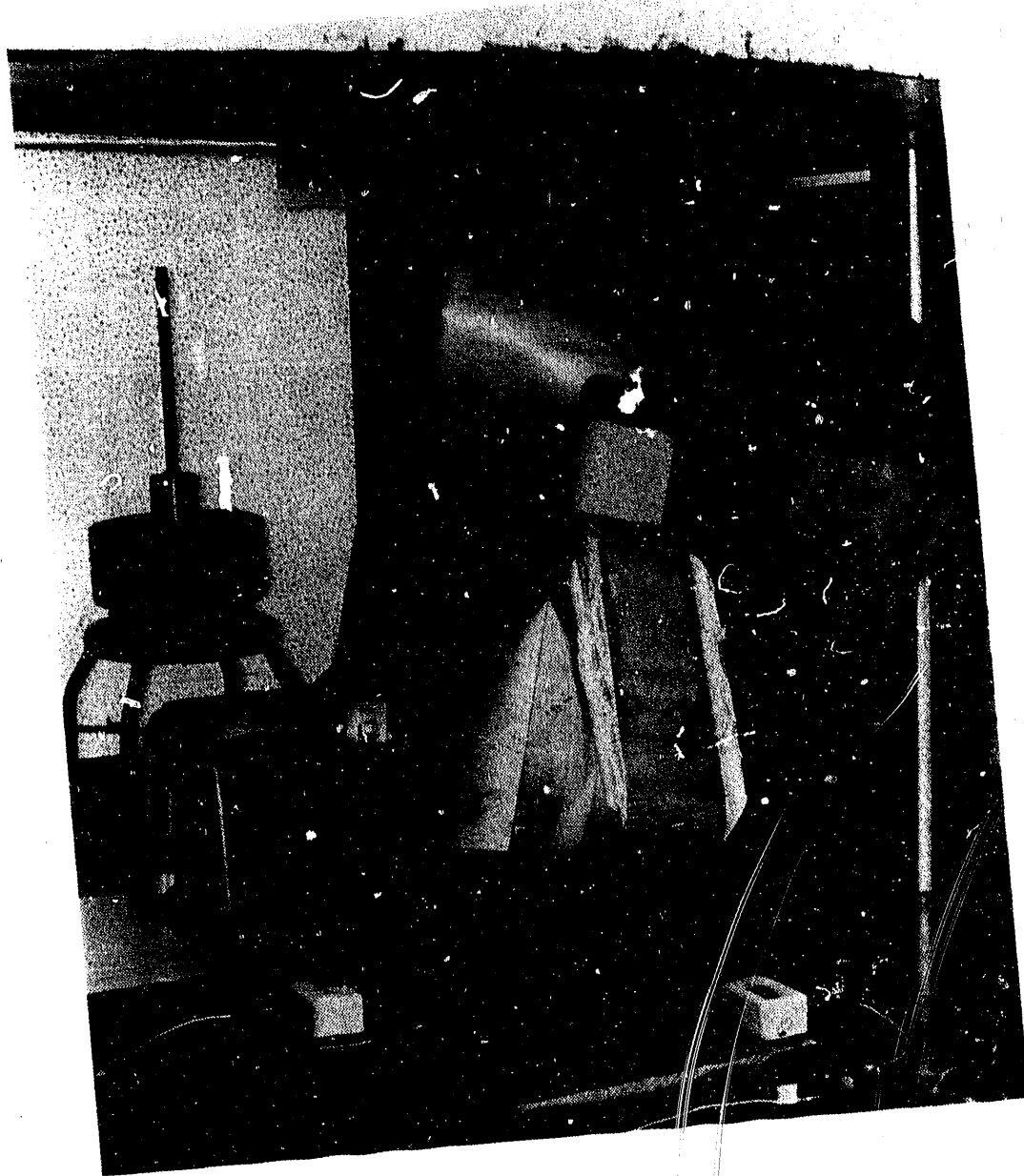


Fig. 3-14. Circumferential impact limiter showing 0 deg crush footprint and ready for 12 deg test

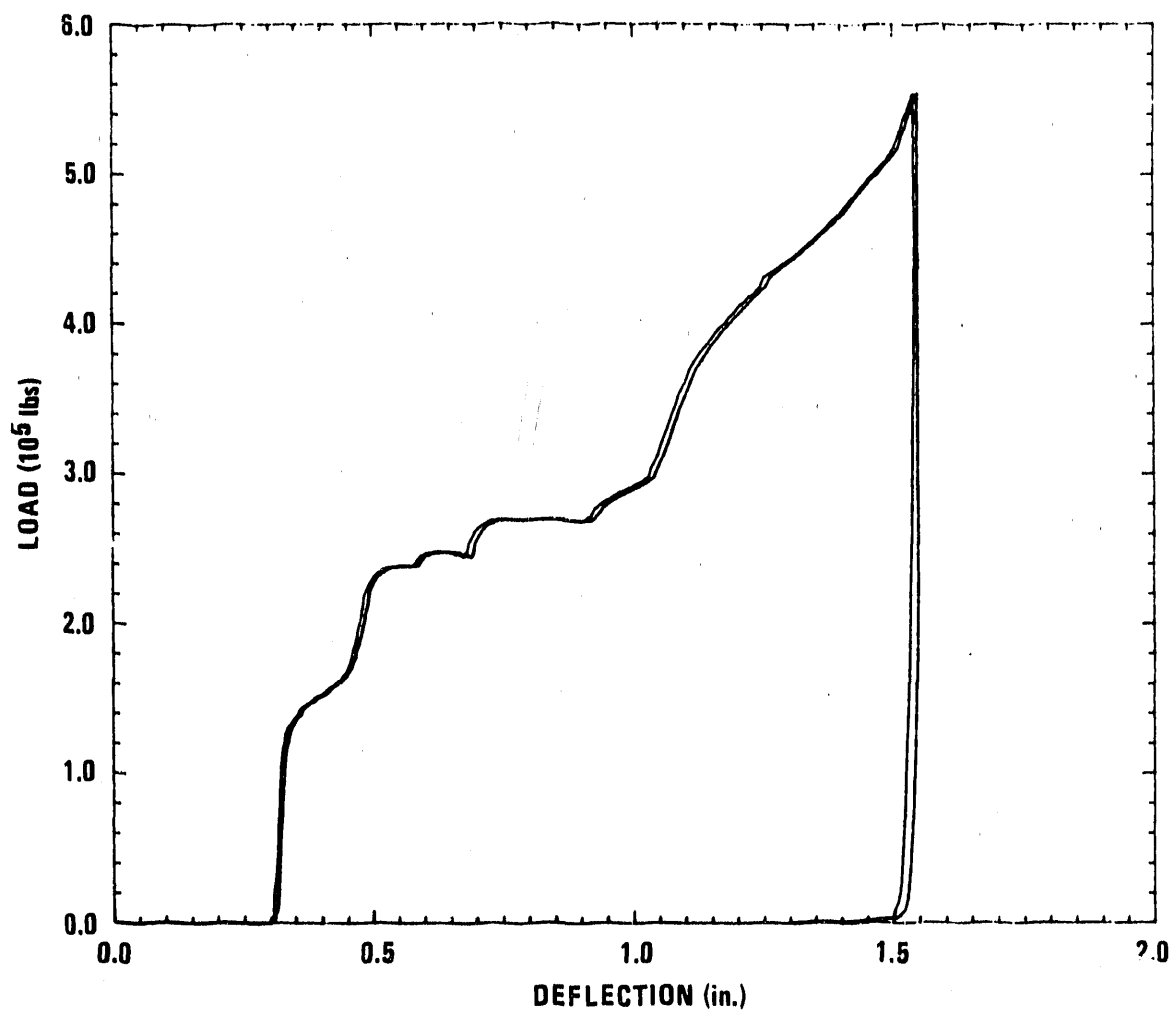


Fig. 3-15. 1/6-scale notched impact limiter, load-deflection curve at 0 deg (flat end orientation).

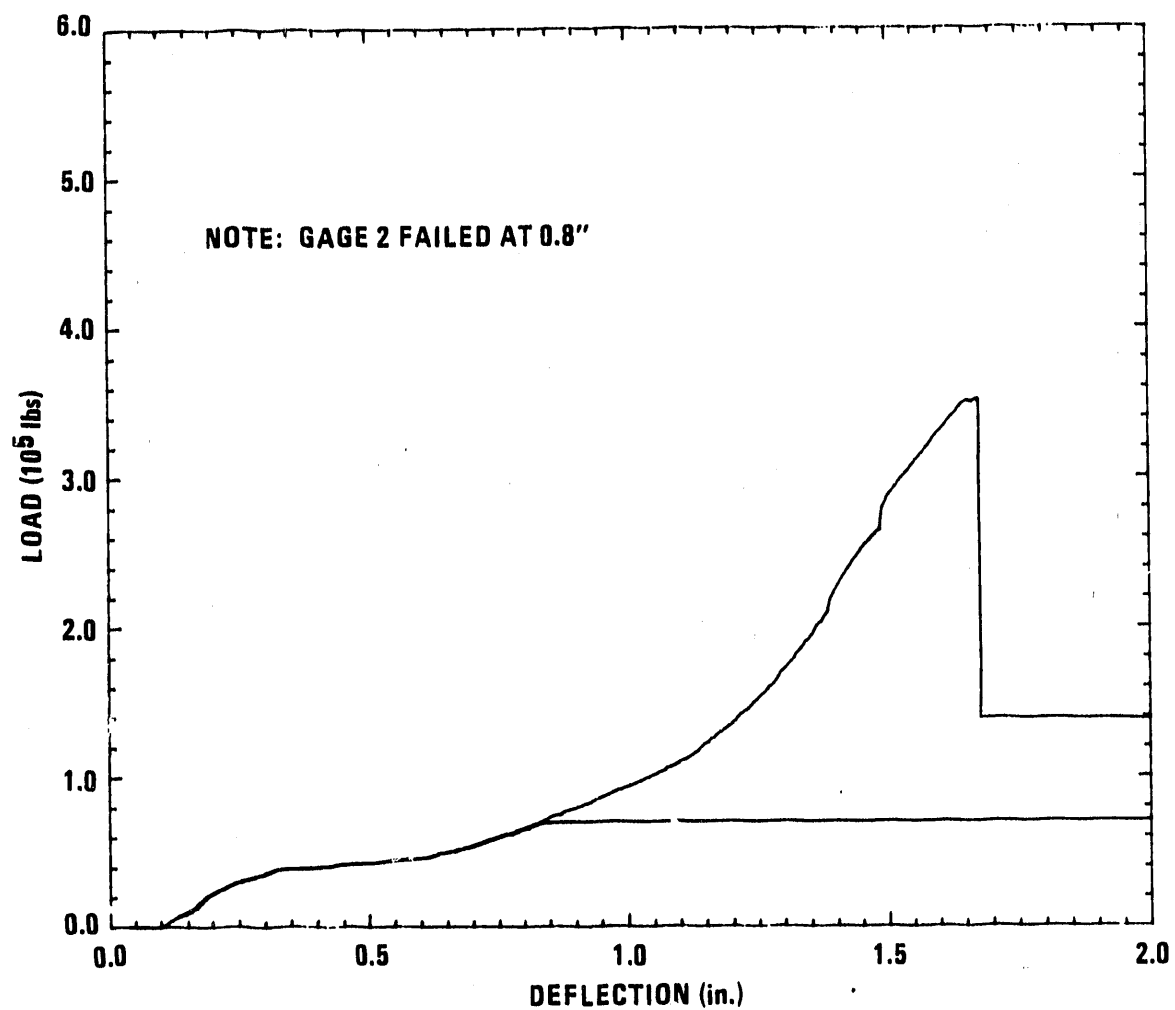


Fig. 3-16. 1/6-scale notched impact limiter, load-deflection curve at 73.4 deg (CG over corner orientation)

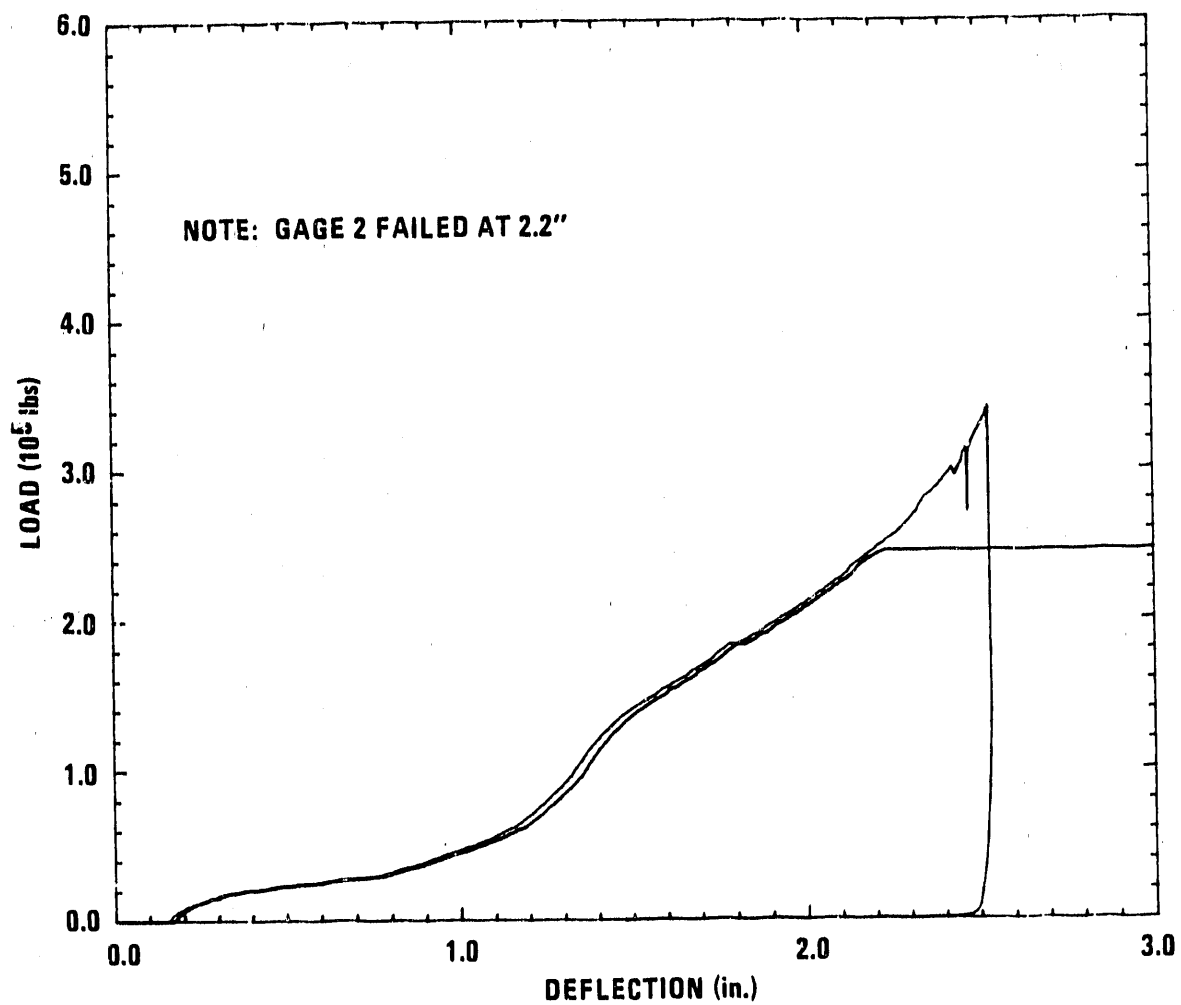


Fig. 3-17. 1/6-scale notched impact limiter, load-deflection curve at 60 deg

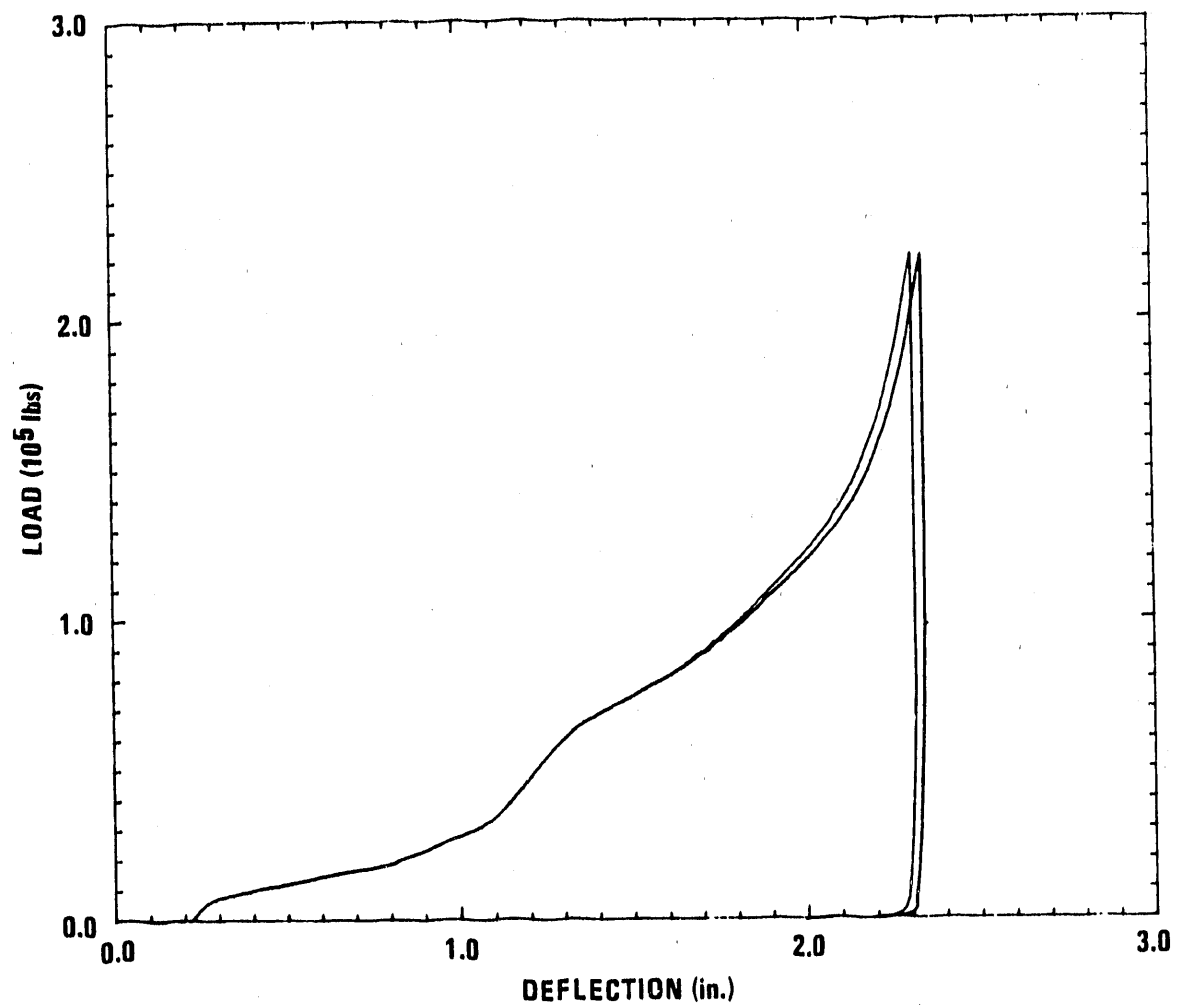


Fig. 3-18. 1/6-scale notched impact limiter, load-deflection curve at 45 deg

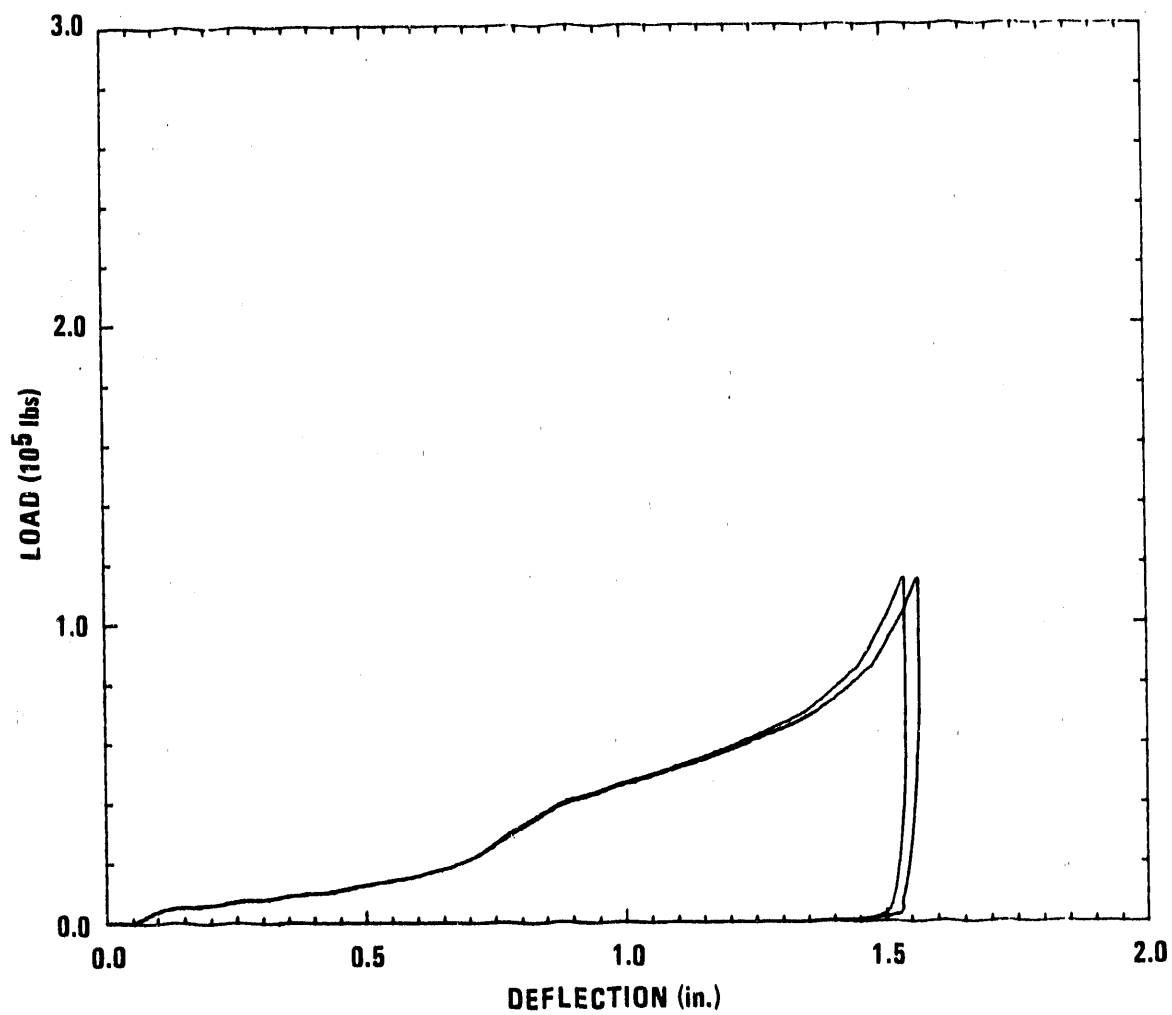


Fig. 3-19. 1/6-scale notched impact limiter, load-deflection curve at 30 deg

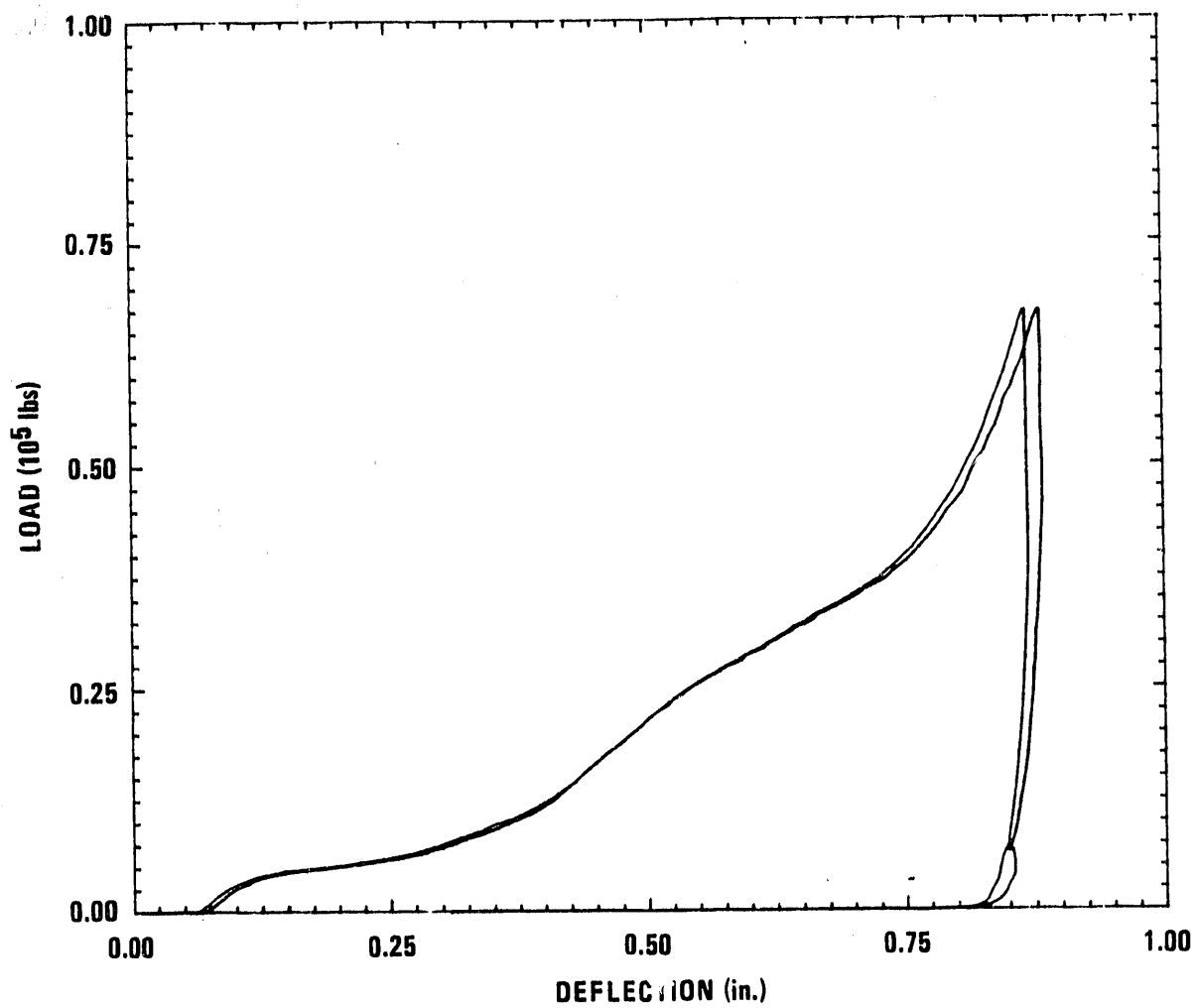


Fig. 3-20. 1/6-scale notched impact limiter, load-deflection curve at 15 deg

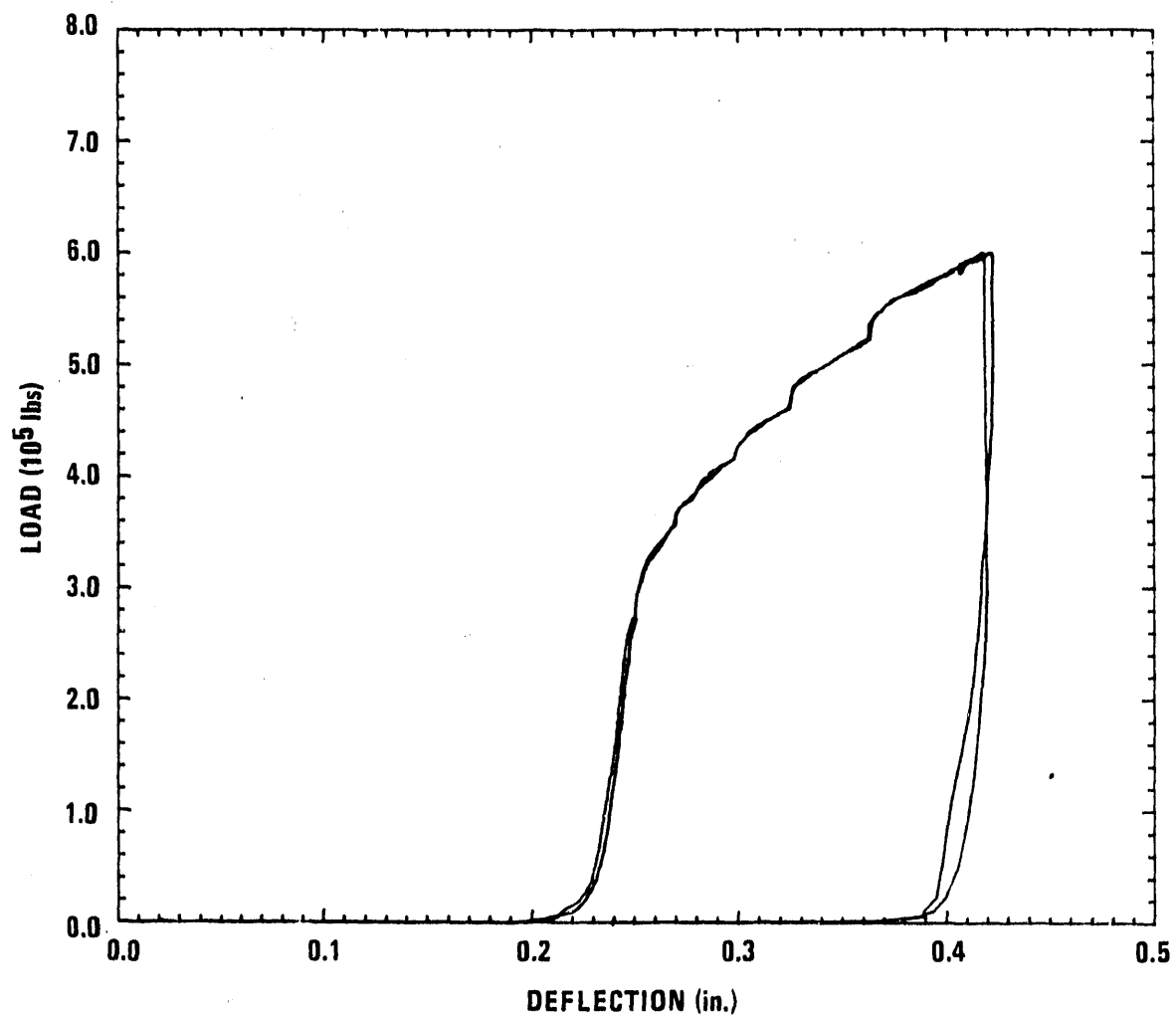


Fig. 3-21. 1/6-scale lower end impact limiter, load-deflection curve at 90 deg (flat end orientation)

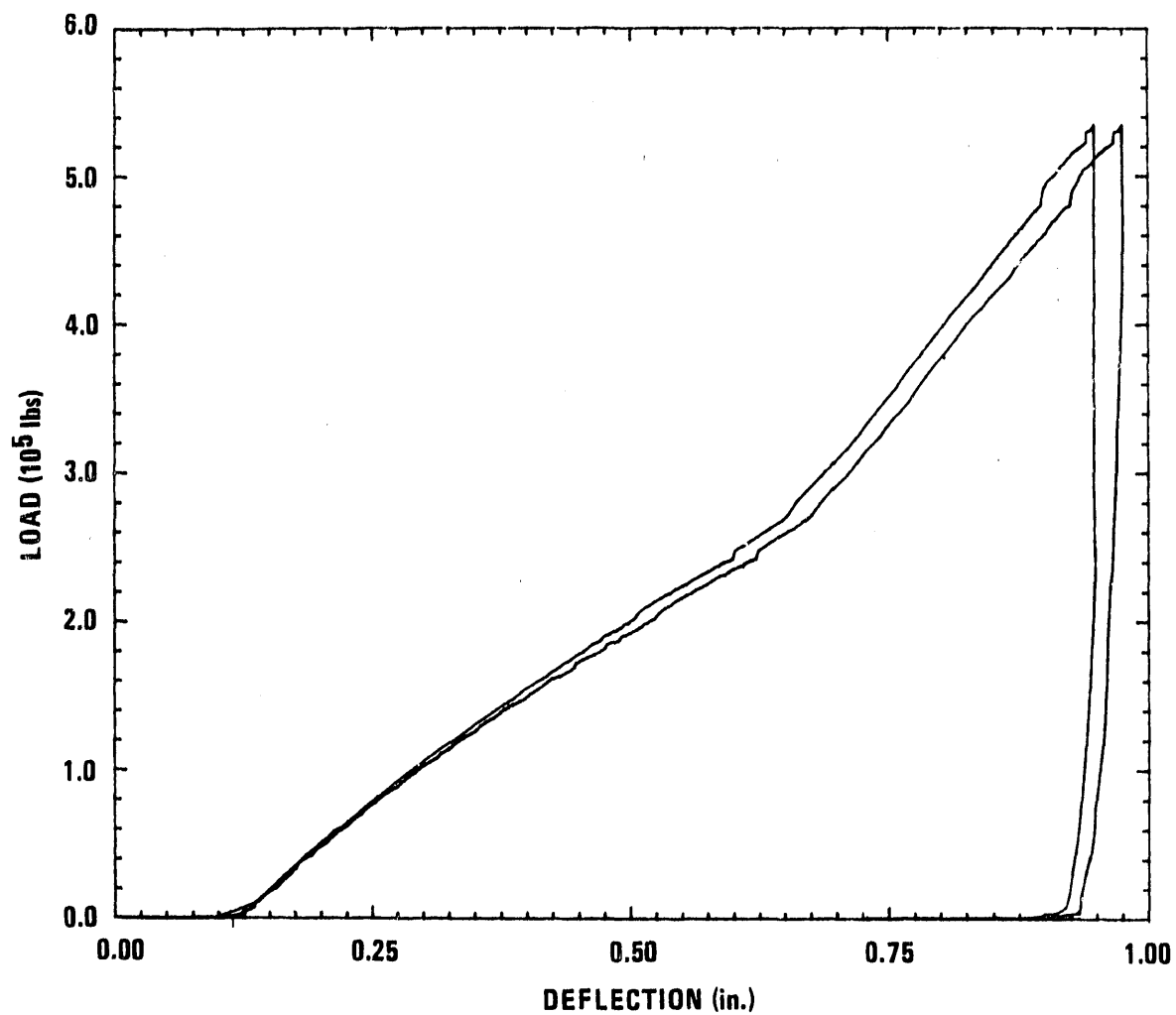


Fig. 3-22. 1/6-scale lower end impact limiter, load-deflection curve at 75.7 deg (CG over corner orientation)

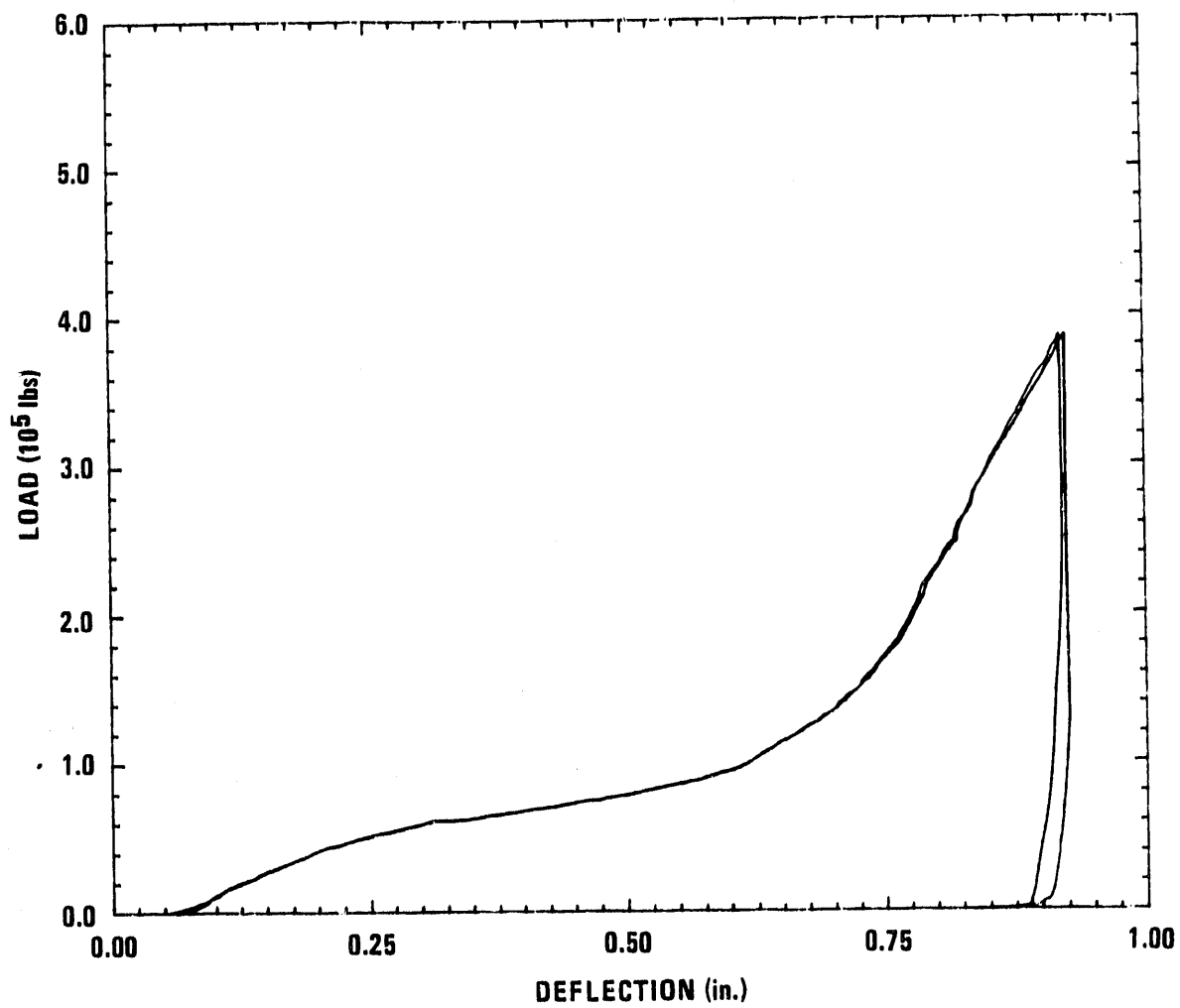


Fig. 3-23. 1/6-scale lower end impact limiter, load-deflection curve at 60 deg

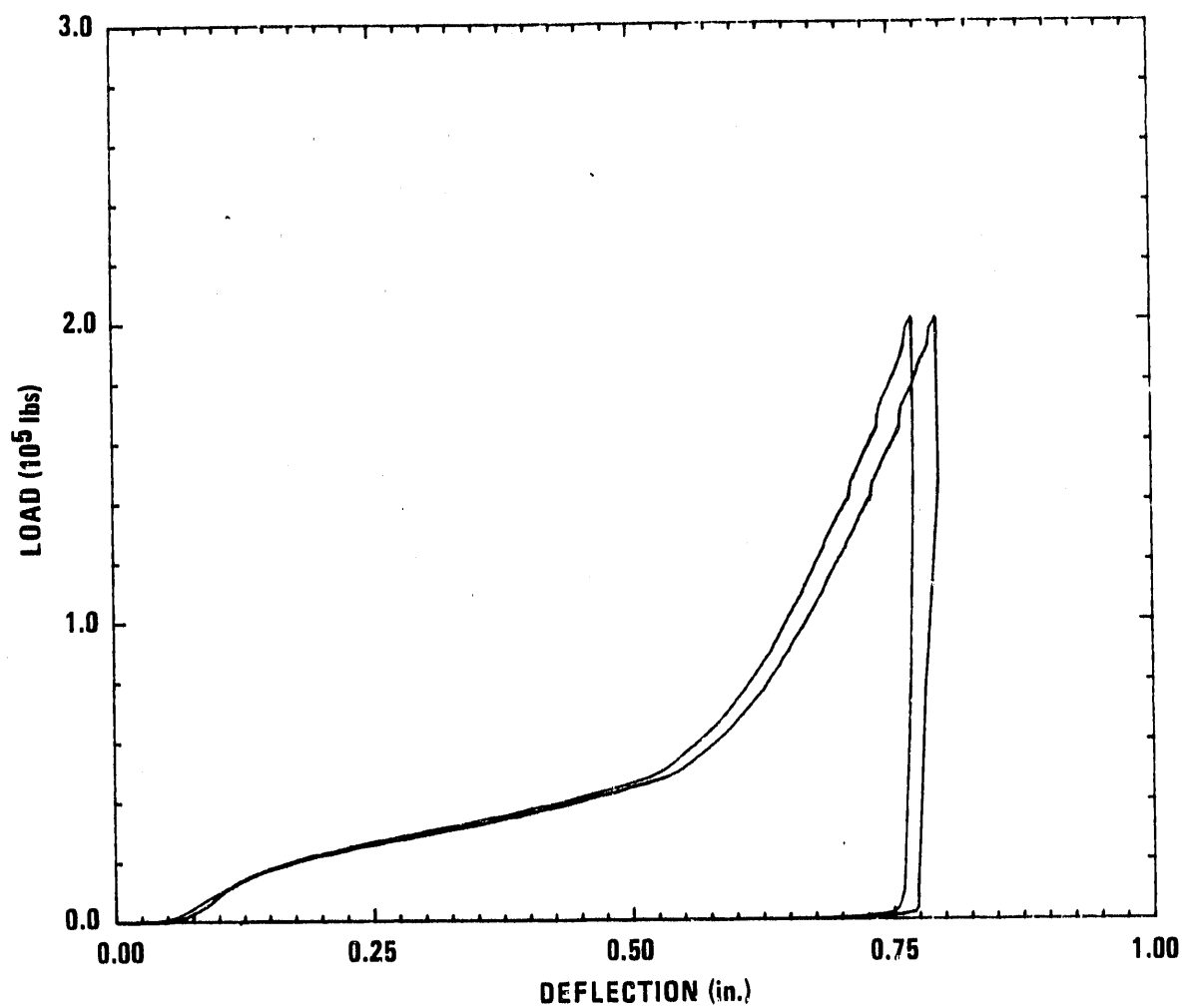


Fig. 3-24. 1/6-scale lower end impact limiter, load-deflection curve at 45 deg

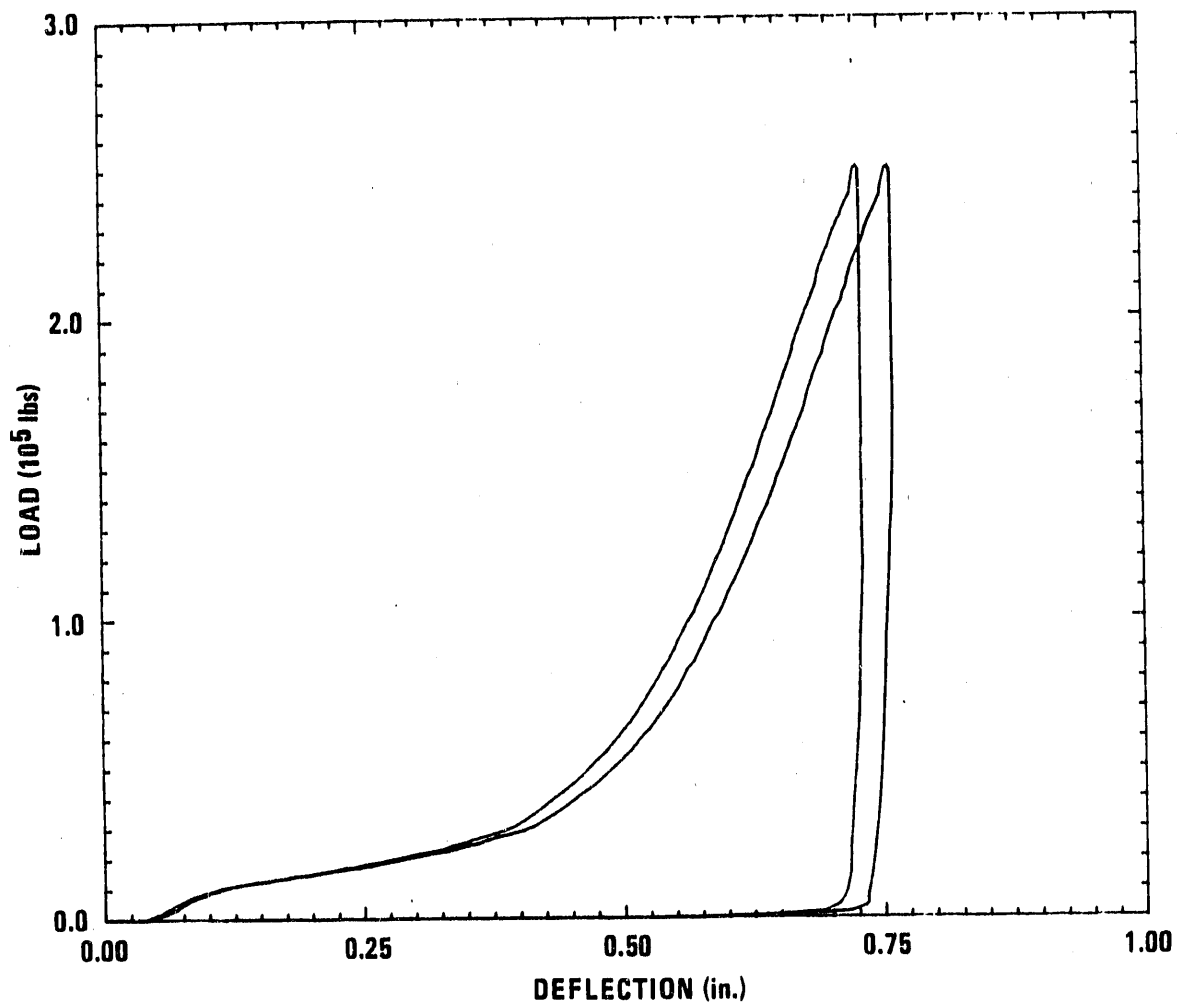


Fig. 3-25. 1/6-scale lower end impact limiter, load-deflection curve at 30 deg

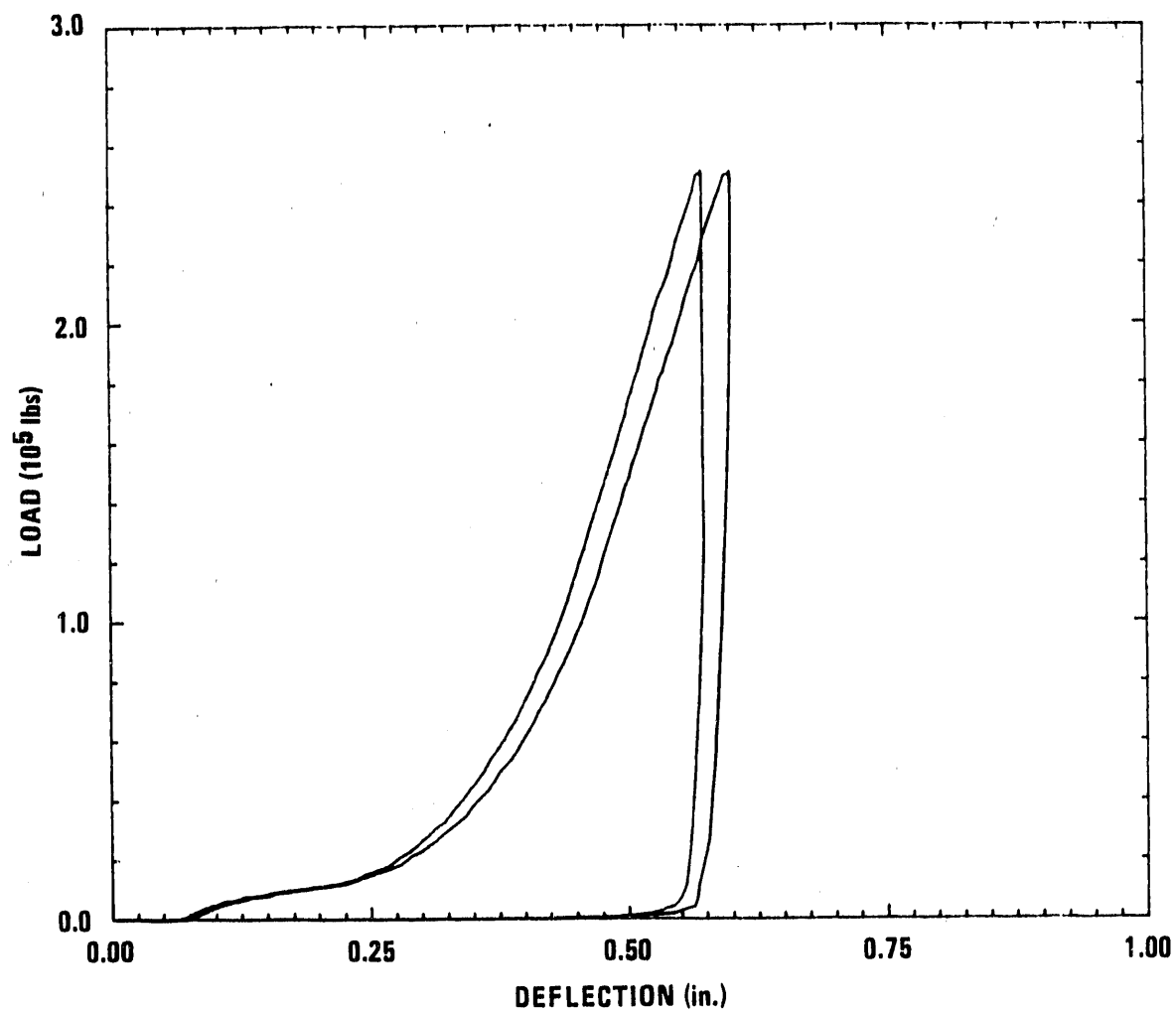


Fig. 3-26. 1/6-scale lower end impact limiter, load-deflection curve at 15 deg

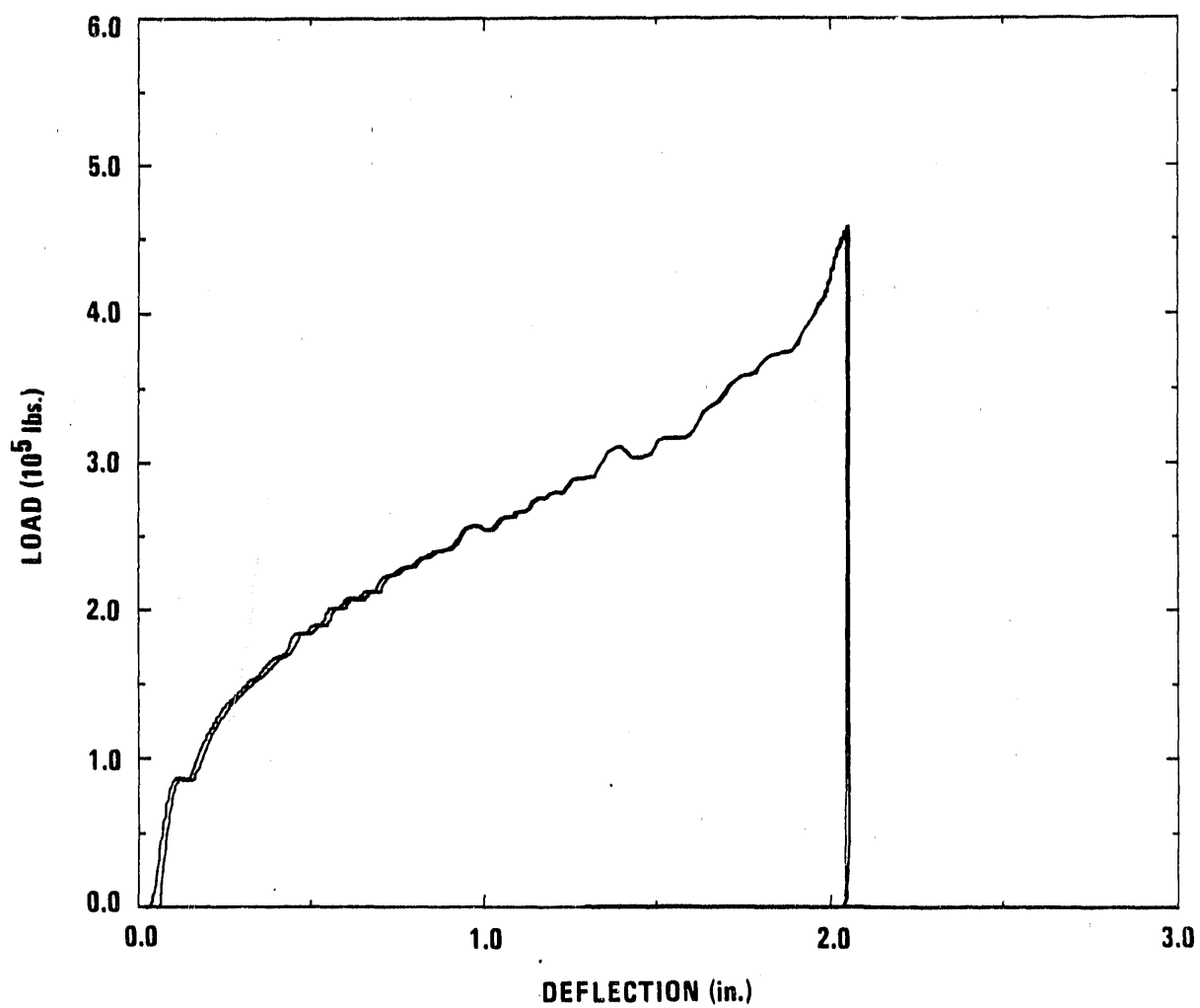


Fig. 3-27. 1/3-scale circumferential impact limiter, load-deflection curve at 0 deg (side drop orientation)

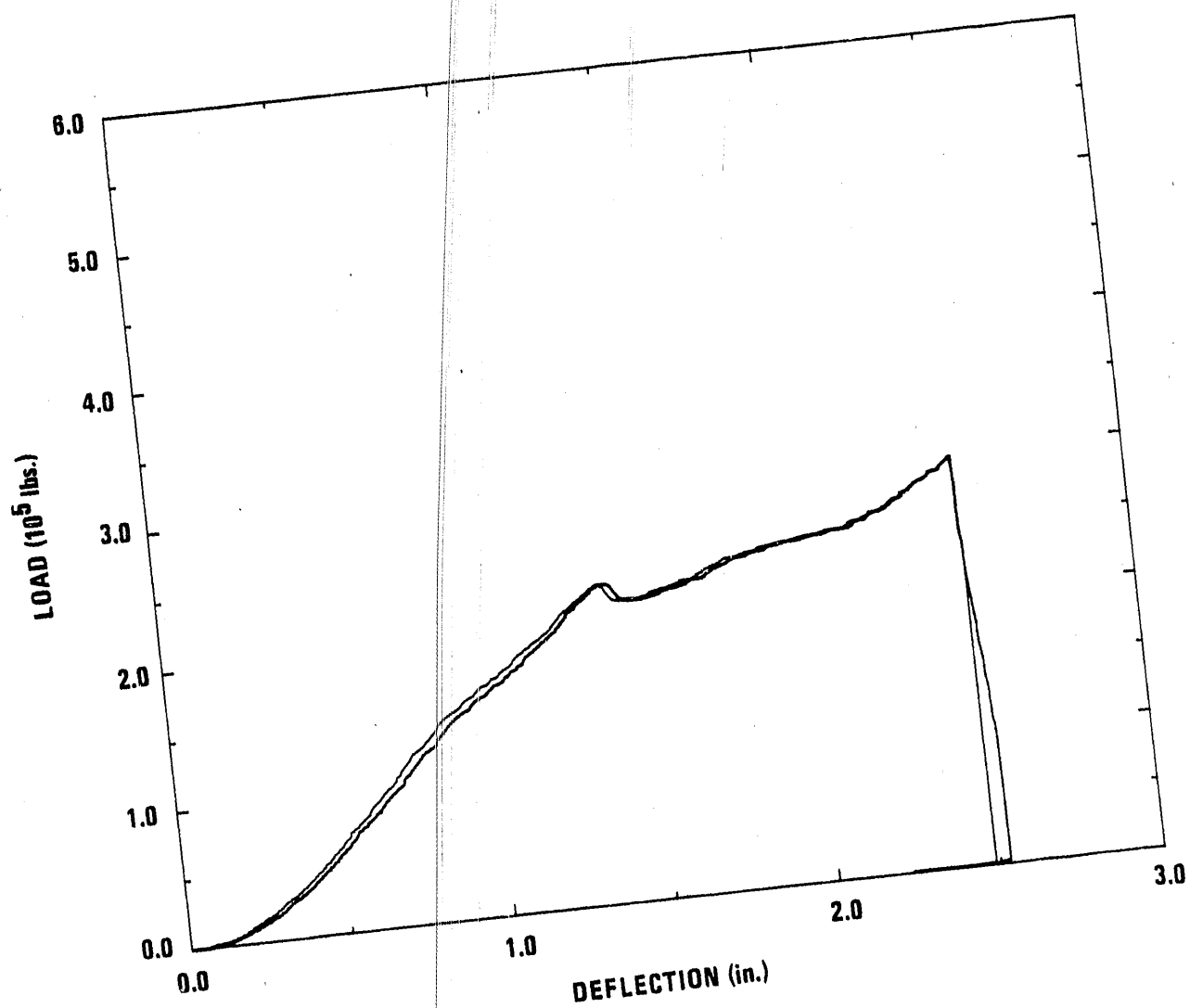


Fig. 3-28. 1/3-scale circumferential impact limiter, load-deflection curve at 12 deg

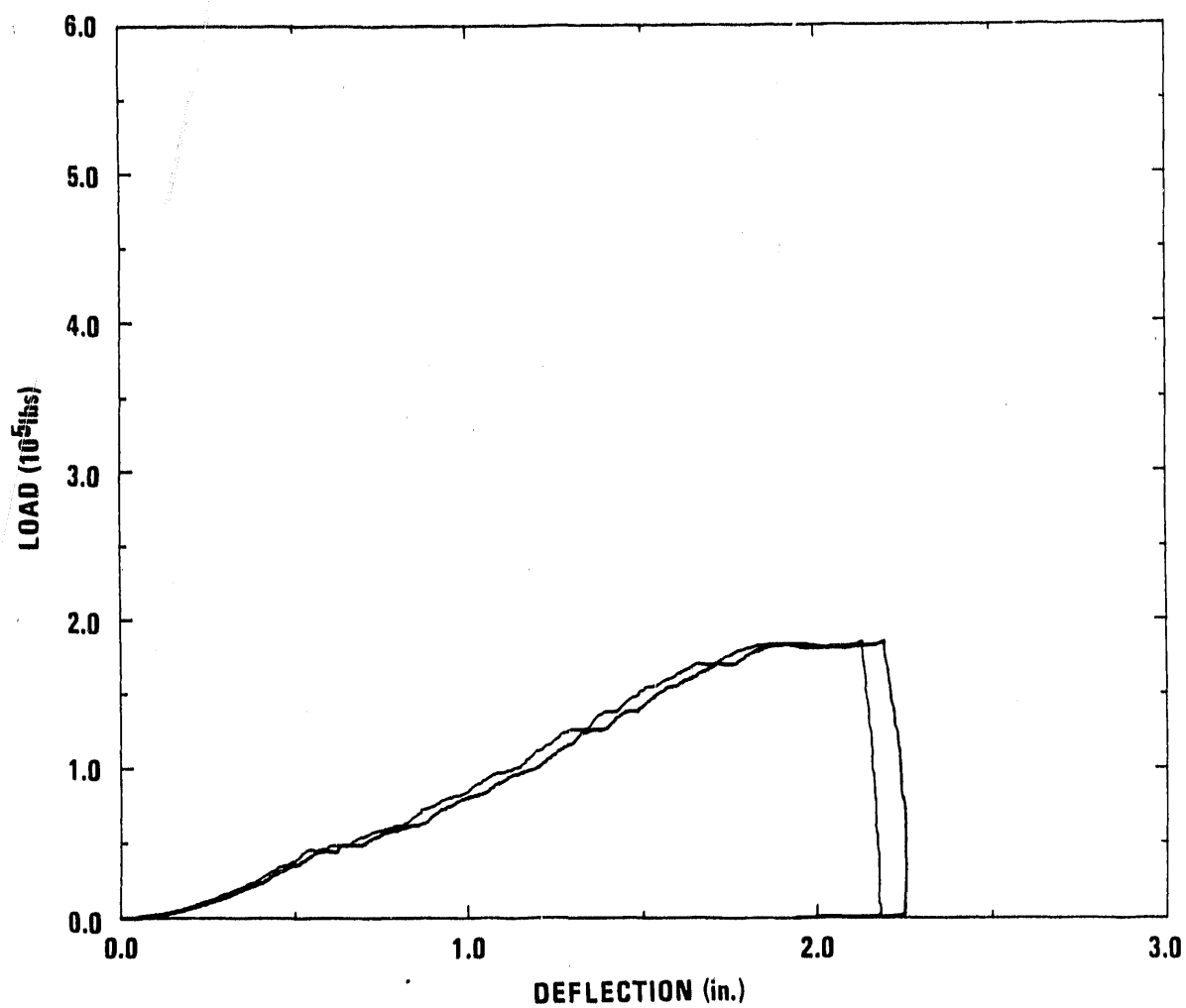


Fig. 3-29. 1/3-scale circumferential impact limiter, load-deflection curve at 24 deg

To convert the data into a form useful for GACAP analysis, we performed the following steps:

1. Shift the vertical axis so that zero deflection coincides with zero load.
2. Since the test article was approximately midway between the two deflection transducers, the two deflections are averaged to obtain the correct load-deflection curve.
3. Multiply the load by 36 (nine for the circumferential impact limiter) and the deflection by six (three for the circumferential impact limiter) to obtain full-scale data.
4. Multiply the load by 1.2 to account for strain-rate effects for notched and lower end impact limiters. Multiply the upper bound load for the circumferential impact limiter by 1.2 to account for dynamic loading effects. The lower bound load is not multiplied by the 1.2 dynamic load factor to be conservative.
5. Convert the test data to the upper and lower bound material yield range to envelop the variation in the notched and lower end impact limiter properties.

Notched impact limiter, upper and lower bound factors:

- Actual yield: 37.4 ksi (test article)
- Maximum yield: 35.0 ksi
- Minimum yield: 30.0 ksi

- To obtain upper bound load-deflection curve, multiply loads by 35.0/37.4.

- To obtain lower bound load-deflection curve, multiply loads by 30.0/37.4.

Lower end impact limiter:

- Actual yield: 41.8 ksi (test article)
- Maximum yield: 40.0 ksi
- Minimum yield: 30.0 ksi
- To obtain upper bound load-deflection curve, multiply loads by 40.0/41.8.
- To obtain lower bound load-deflection curve, multiply loads by 30.0/41.8.

Figures 3-30 through 3-41 show the upper and lower bound load-deflection curves for the GACAP analyses (in Section 3.3.2) for the notched and lower end impact limiters.

6. Convert the test data to the upper and lower bound material yield range to envelop the variation in the circumferential impact limiter properties.

Circumferential impact limiter upper and lower bound factors:

- Actual crush strength: 6170 psi (test article at 70°F)
- Maximum crush strength: 7500 psi
- Minimum crush strength: 5500 psi
- To obtain upper bound load-deflection curve, multiply loads by 7500/6170.
- To obtain lower bound load-deflection curve, multiply loads by 5500/6170.

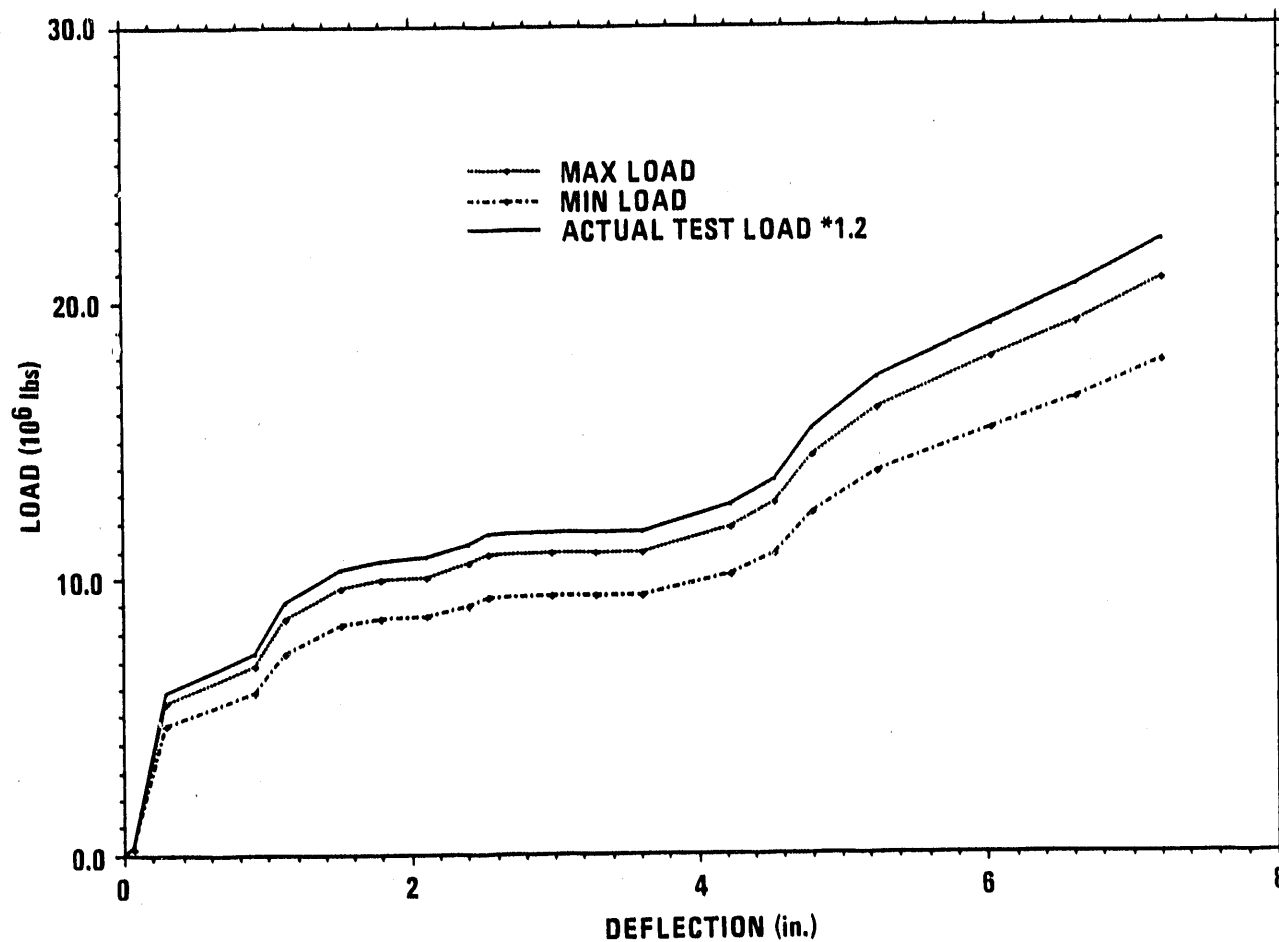


Fig. 3-30. Notched impact limiter load-deflection curve (full-scale), 90 deg orientation

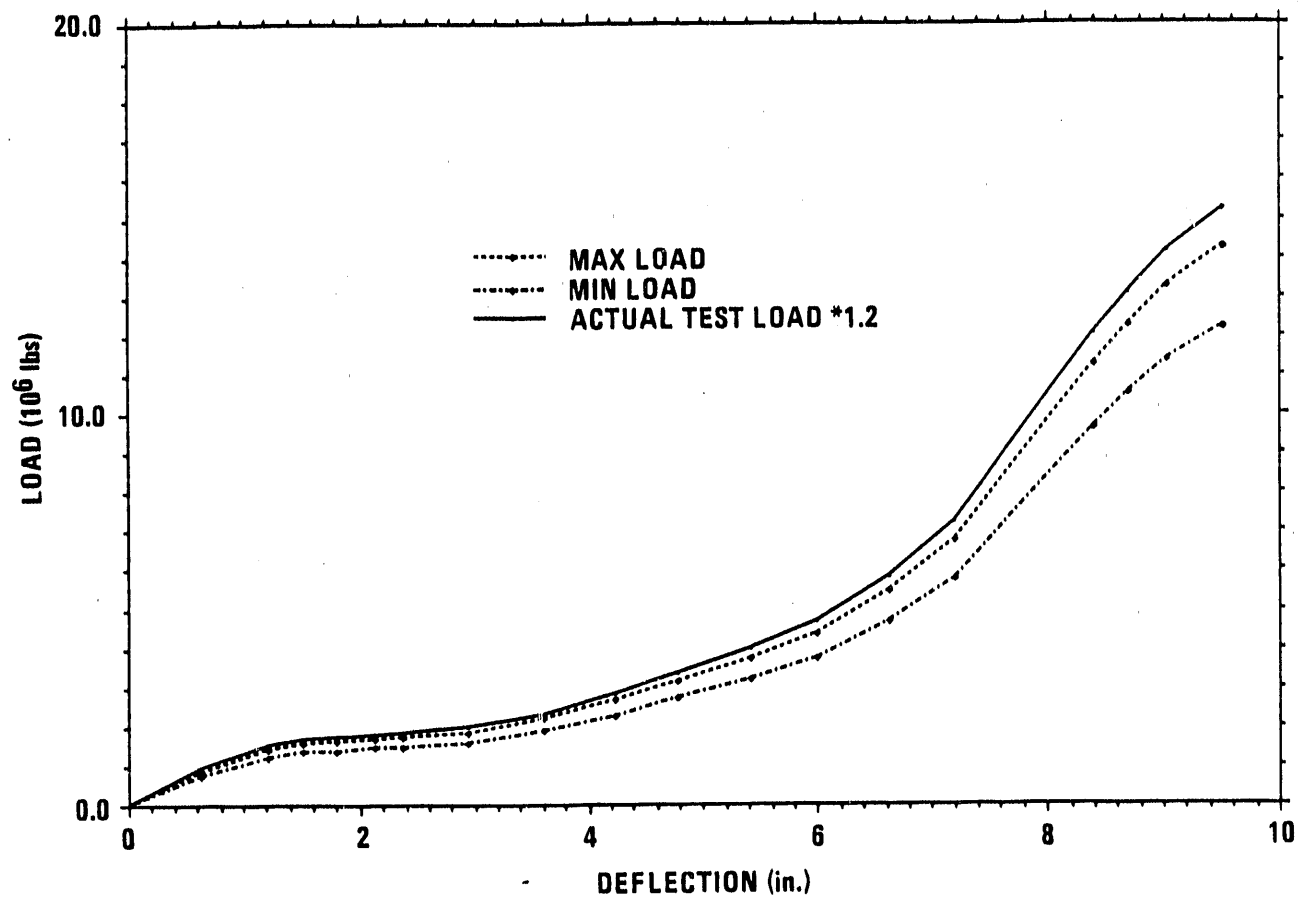


Fig. 3-31. Notched impact limiter load-deflection curve (full-scale), 73.4 deg orientation

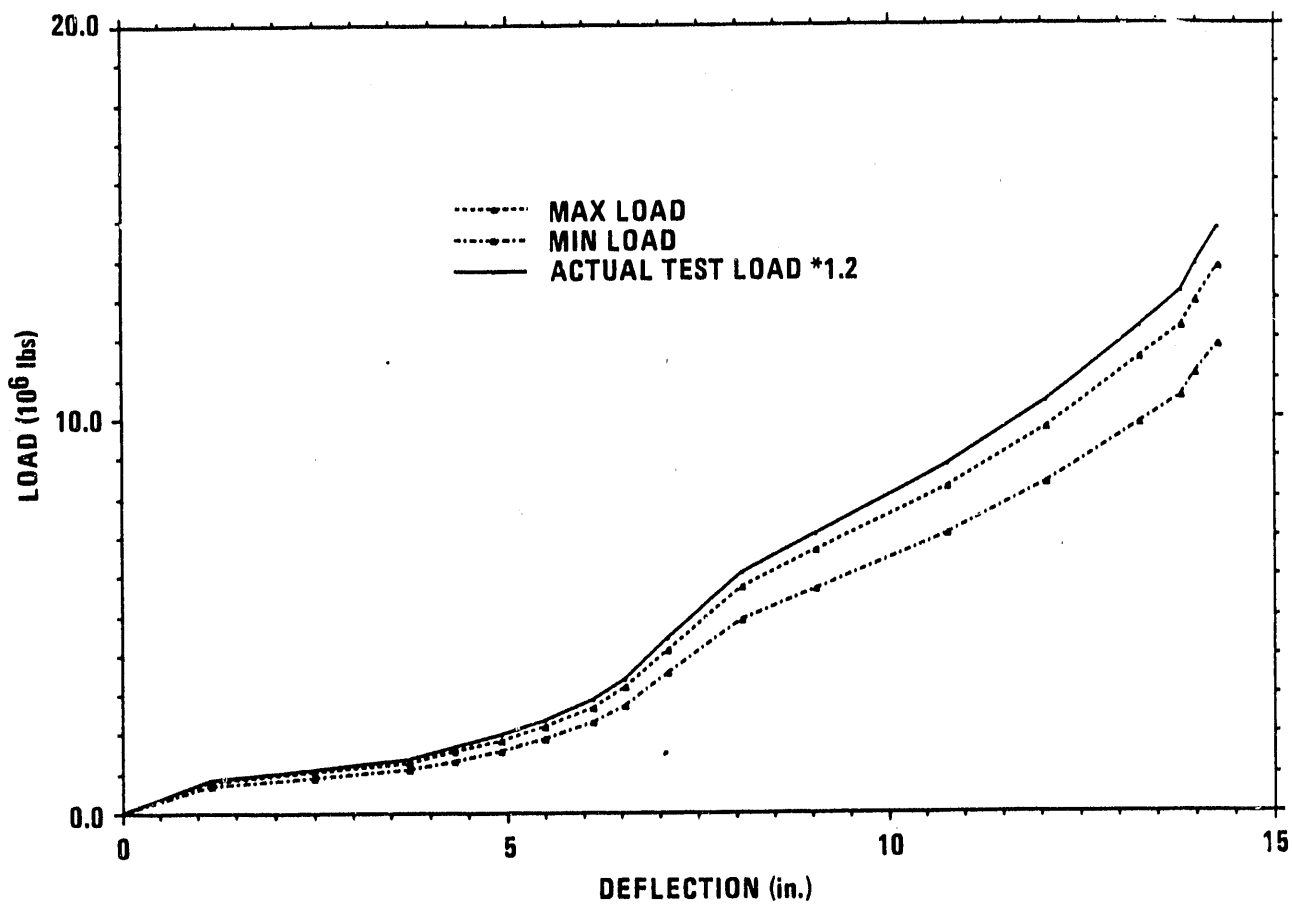


Fig. 3-32. Notched impact limiter load-deflection curve (full-scale), 60 deg orientation

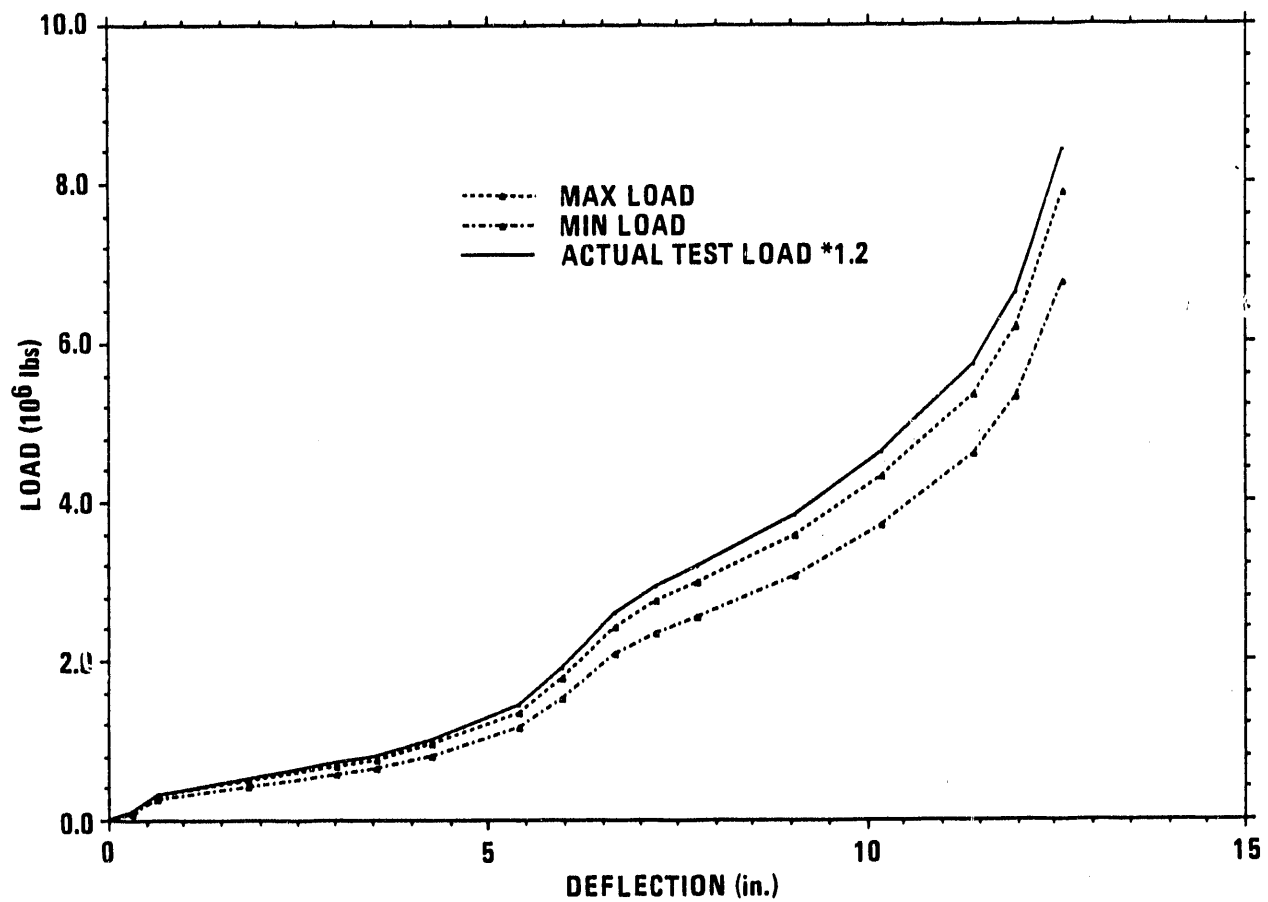


Fig. 3-33. Notched impact limiter load-deflection curve (full-scale), 45 deg orientation

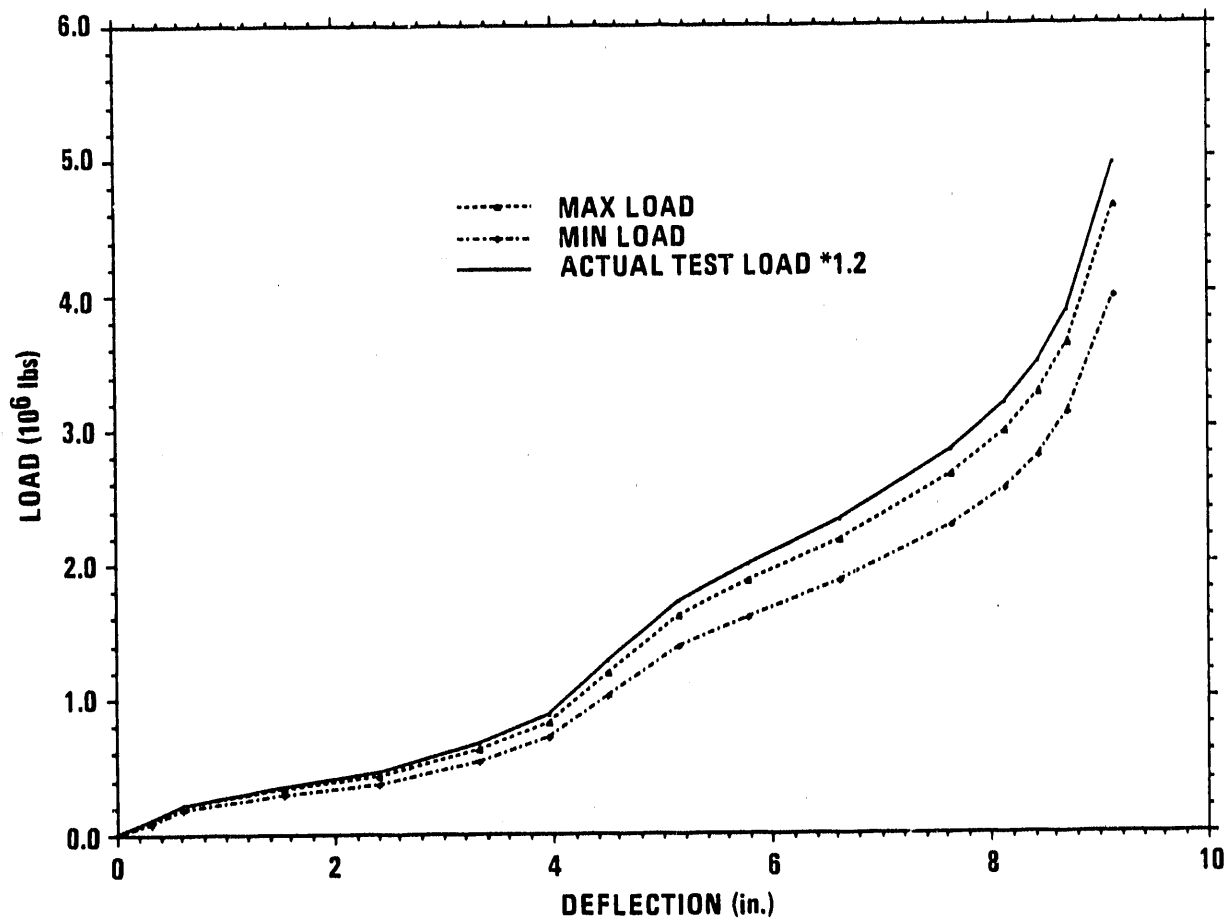


Fig. 3-34. Notched impact limiter load-deflection curve (full-scale), 30 deg orientation

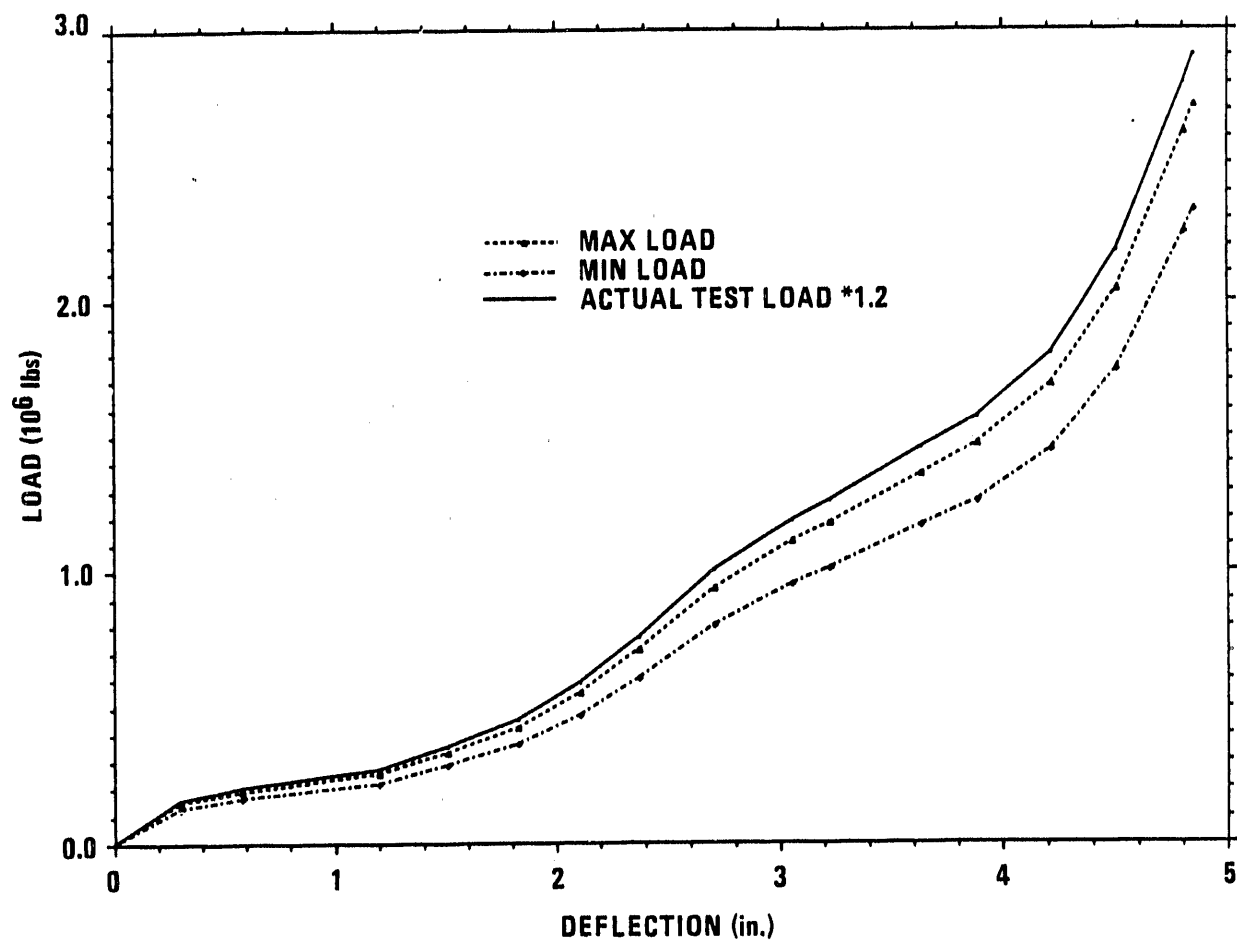


Fig. 3-35. Notched impact limiter load-deflection curve (full-scale), 15 deg orientation

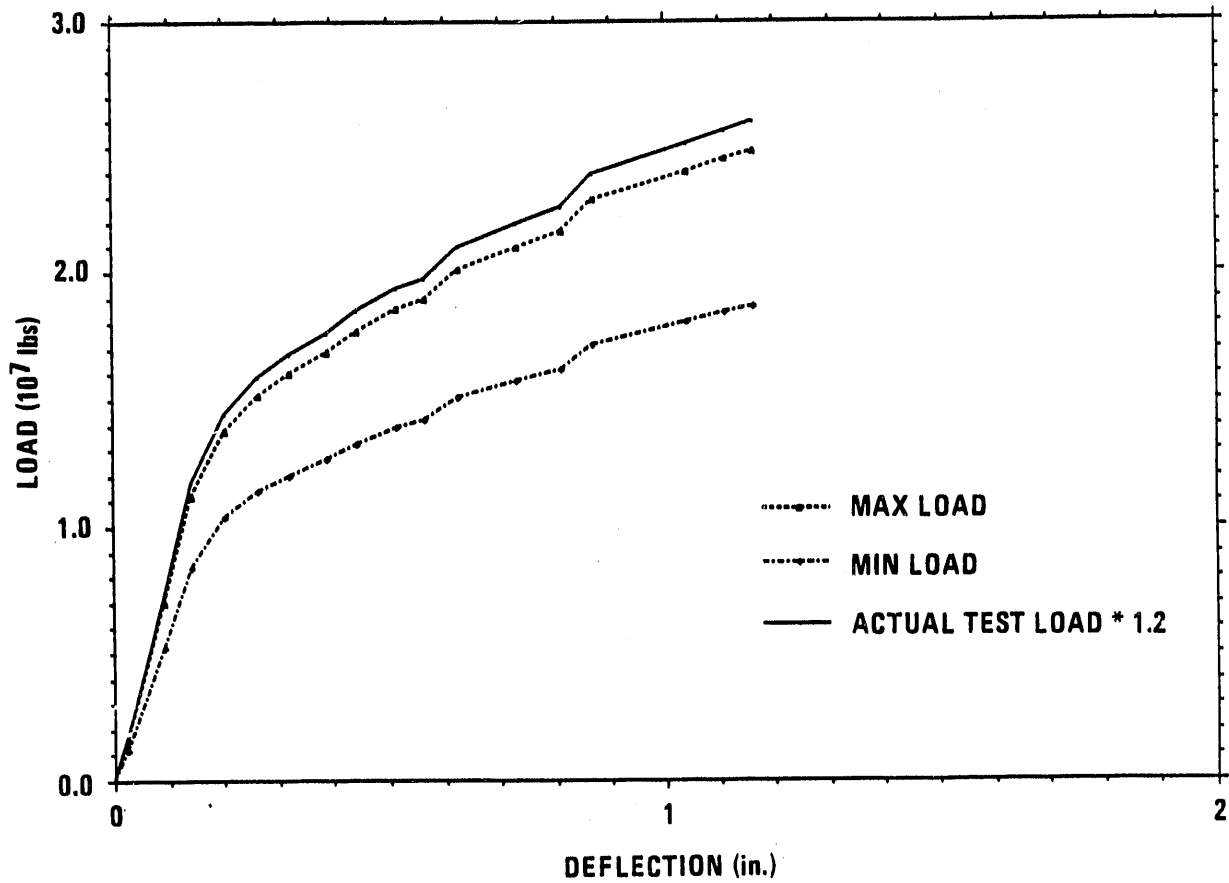


Fig. 3-36. Lower end impact limiter load-deflection curve (full-scale), 90 deg orientation

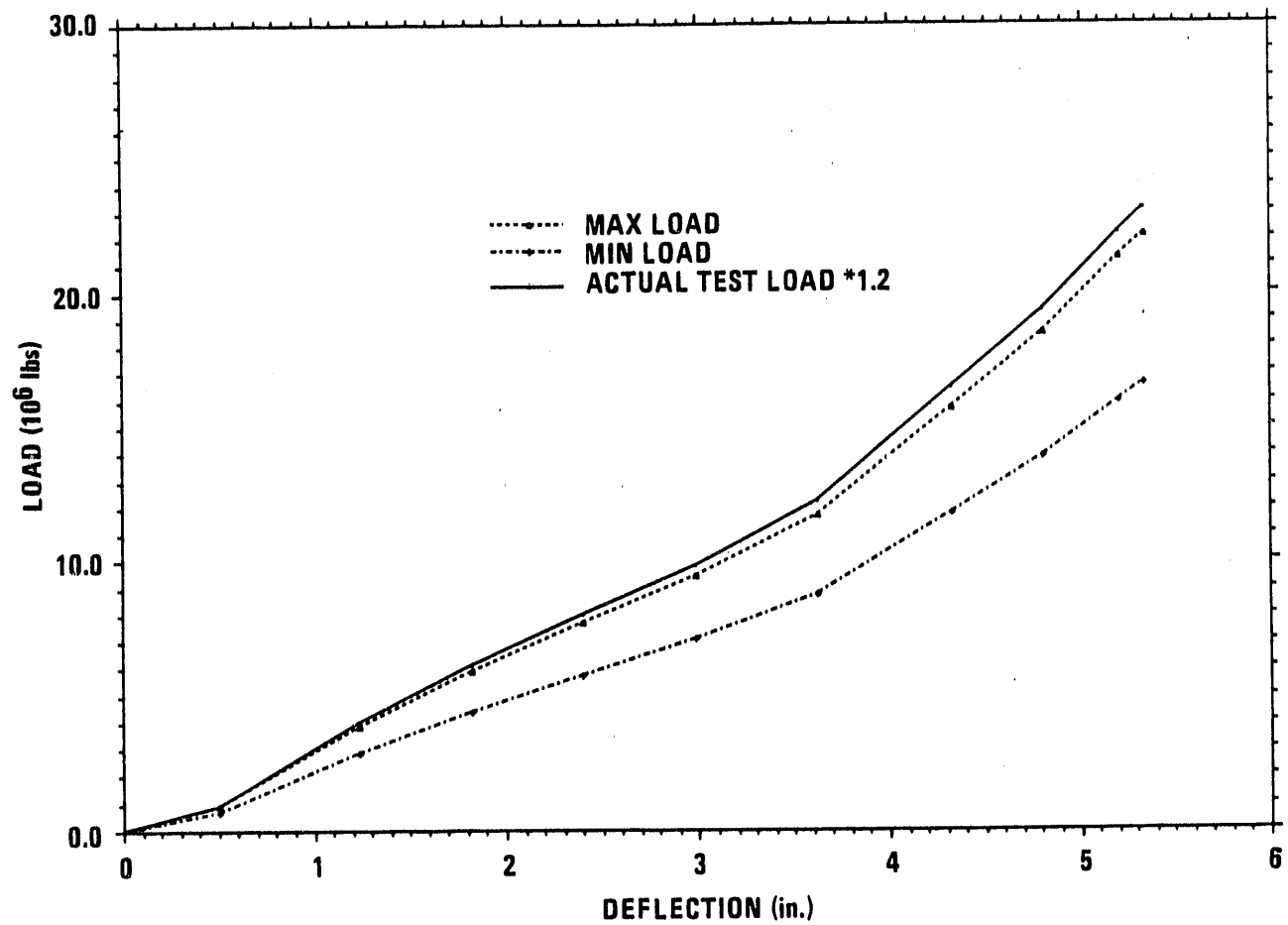


Fig. 3-37. Lower end impact limiter load-deflection curve (full-scale), 75.7 deg orientation

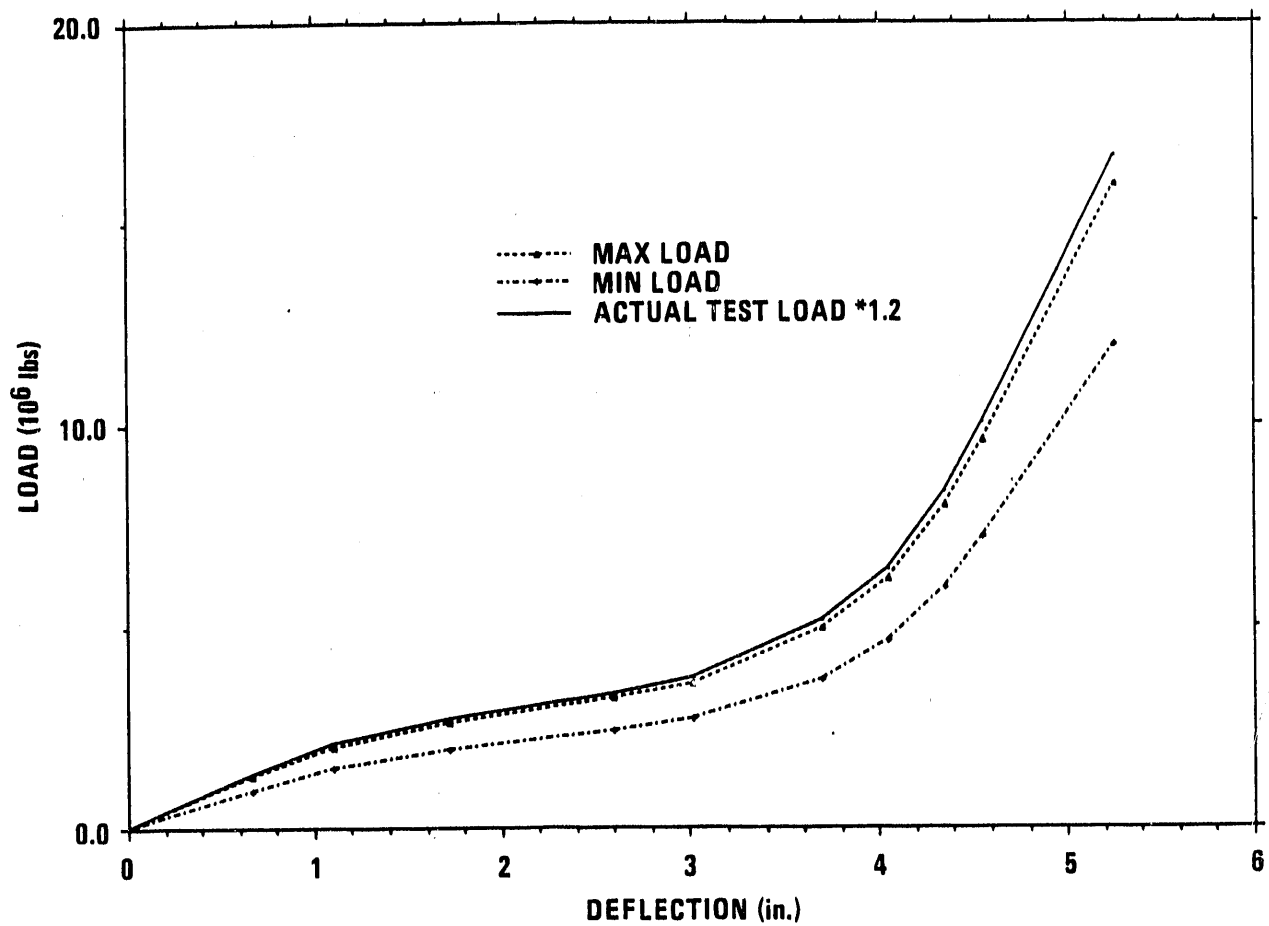


Fig. 3-38. Lower end impact limiter load-deflection curve (full-scale), 60 deg orientation

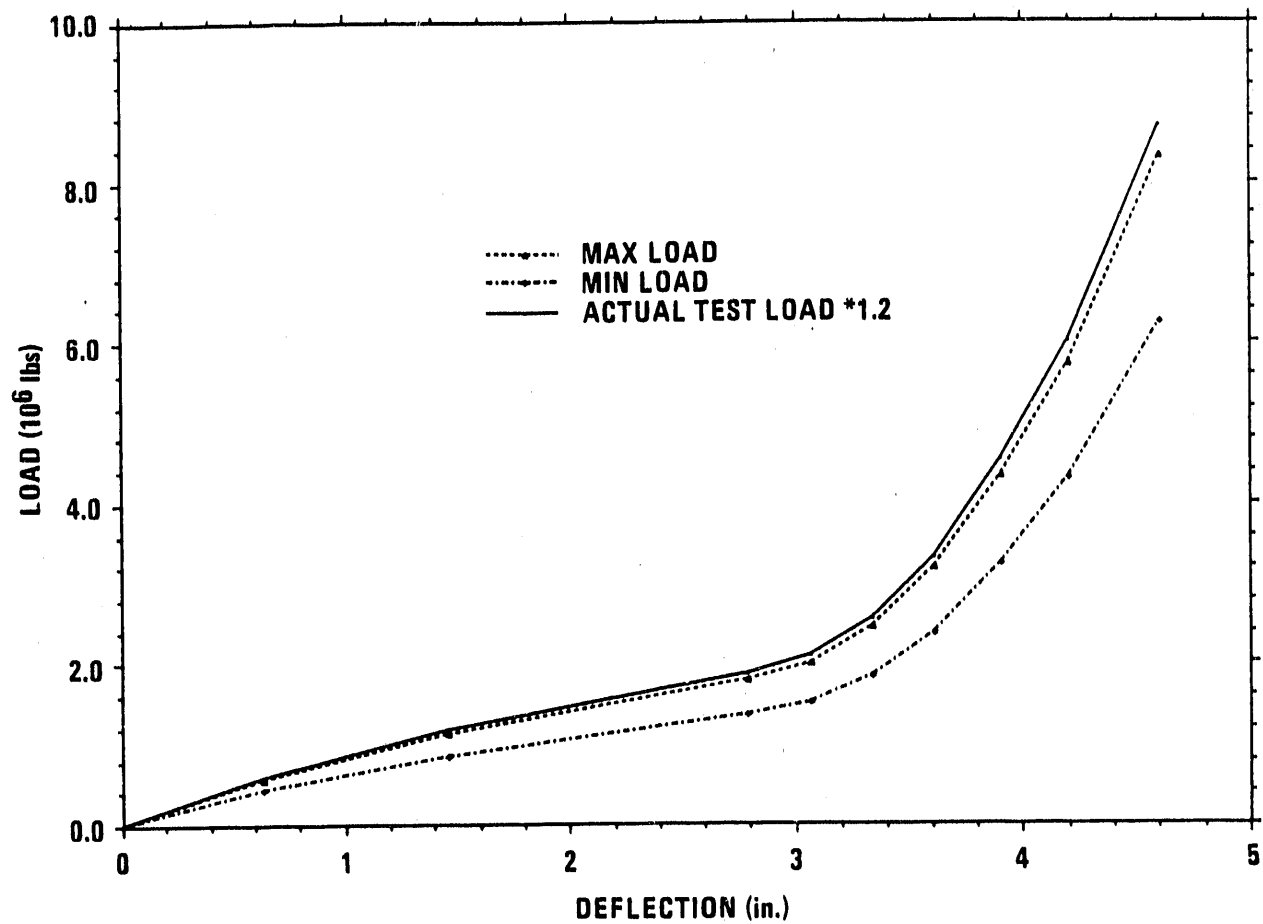


Fig. 3-39. Lower end impact limiter load-deflection curve (full-scale), 45 deg orientation

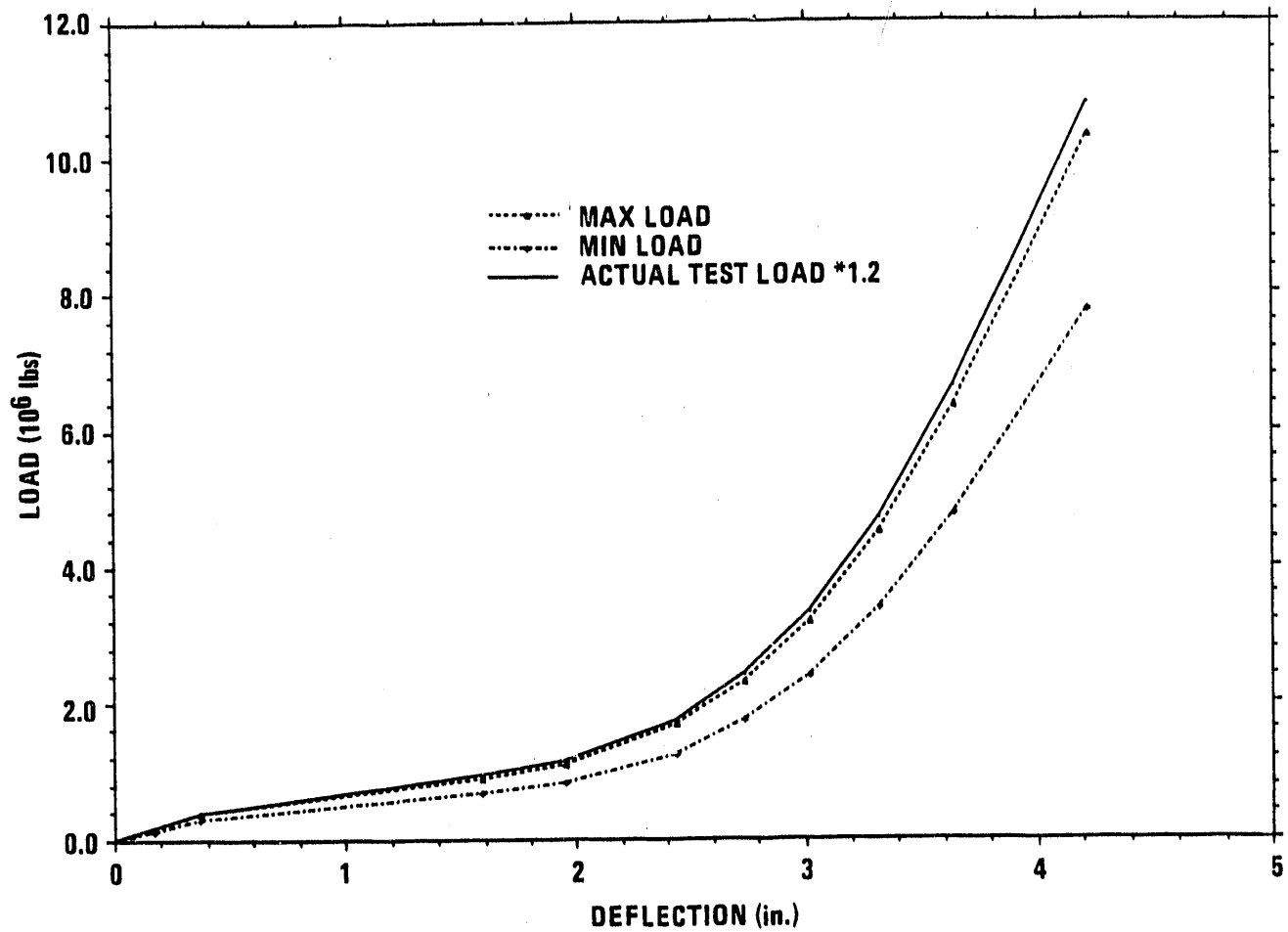


Fig. 3-40. Lower end impact limiter load-deflection curve (full-scale), 30 deg orientation

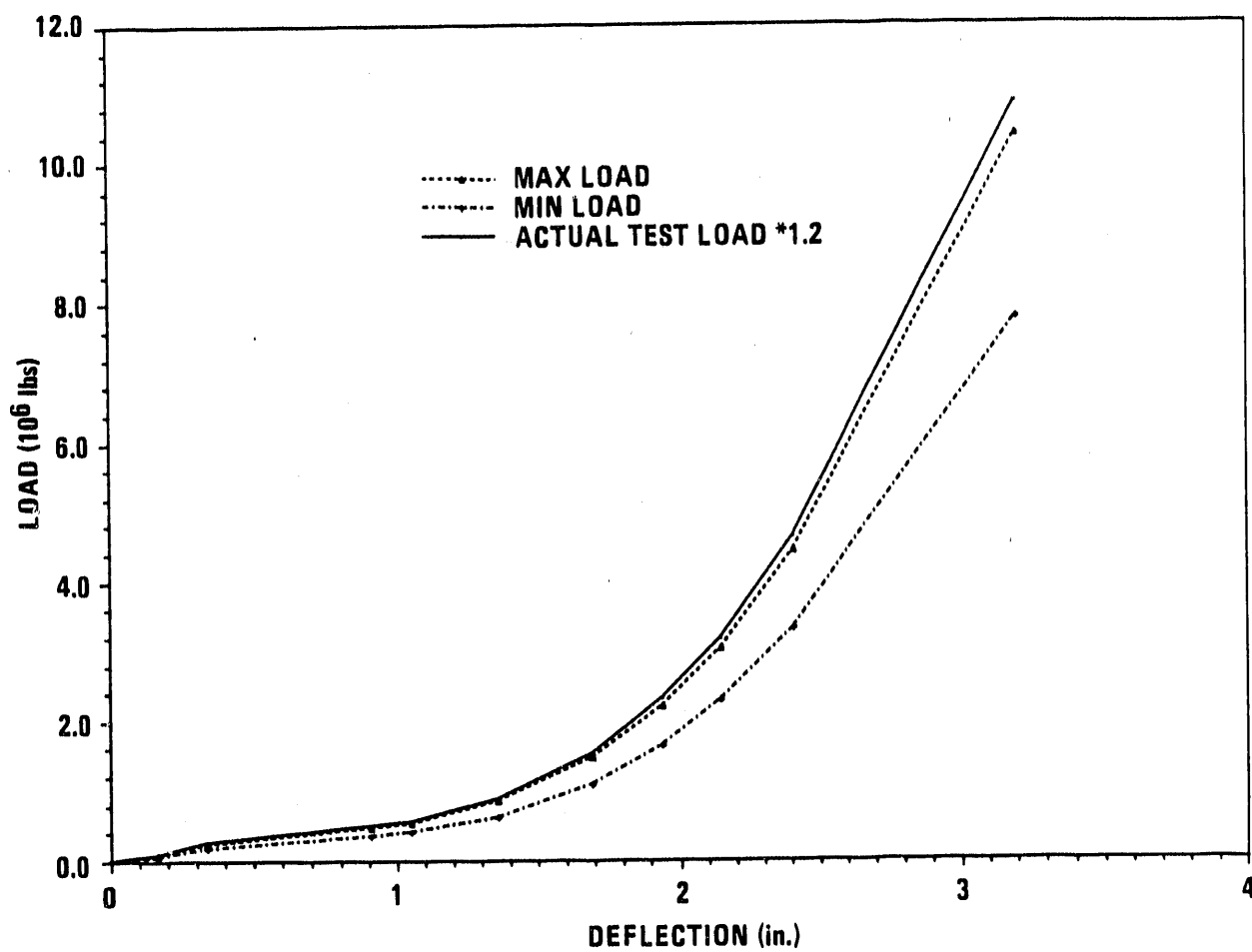


Fig. 3-41. Lower end impact limiter load-deflection curve (full-scale), 15 deg orientation

Figures 3-42 through 3-44 show the maximum and minimum bound load-deflection curves for the upper circumferential impact limiter developed using the test results and the given factors. We also developed the load-deflection values for the upper circumferential impact limiter with the GA code ILMOD (Ref. 3-2). The ILMOD code uses as input the actual geometry of the impact limiter and the strength characteristics of the honeycomb with a dynamic load factor of 1.2 applied to the upper bound honeycomb crush strength. The results of the ILMOD code were slightly conservative to the test results and, therefore, used in the GACAP analysis. The lower circumferential impact limiter load-deflection values were also developed using the ILMOD computer code. The lower circumferential impact limiter geometry along with the strength characteristics used for the upper circumferential impact limiter were input to the ILMOD code.

Figures 3-45 through 3-53 show the maximum and minimum bound load-deflection curves for the upper and lower circumferential impact limiters developed by ILMOD and used as input to GACAP.

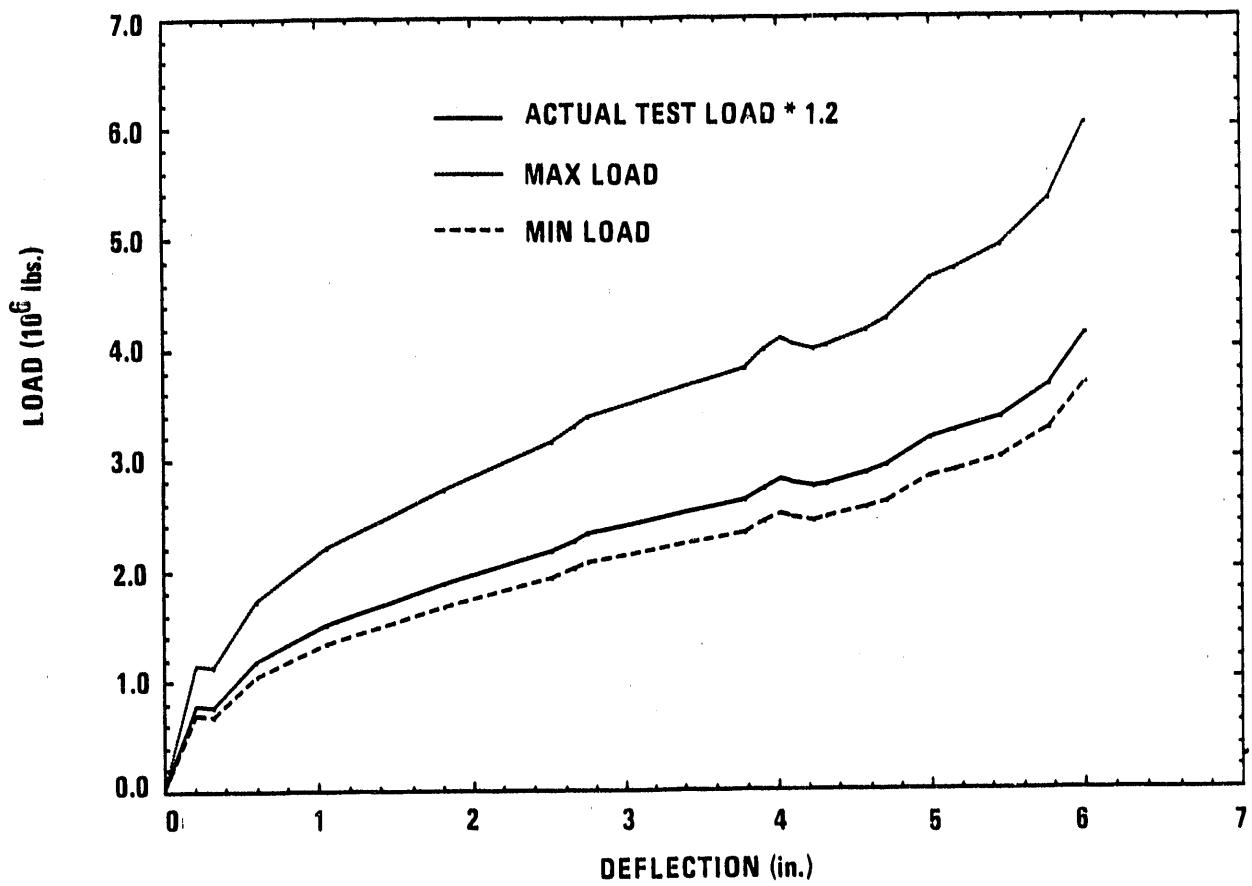


Fig. 3-42. Upper circumferential impact limiter, load-deflection curve (full-scale), 0 deg side drop orientation

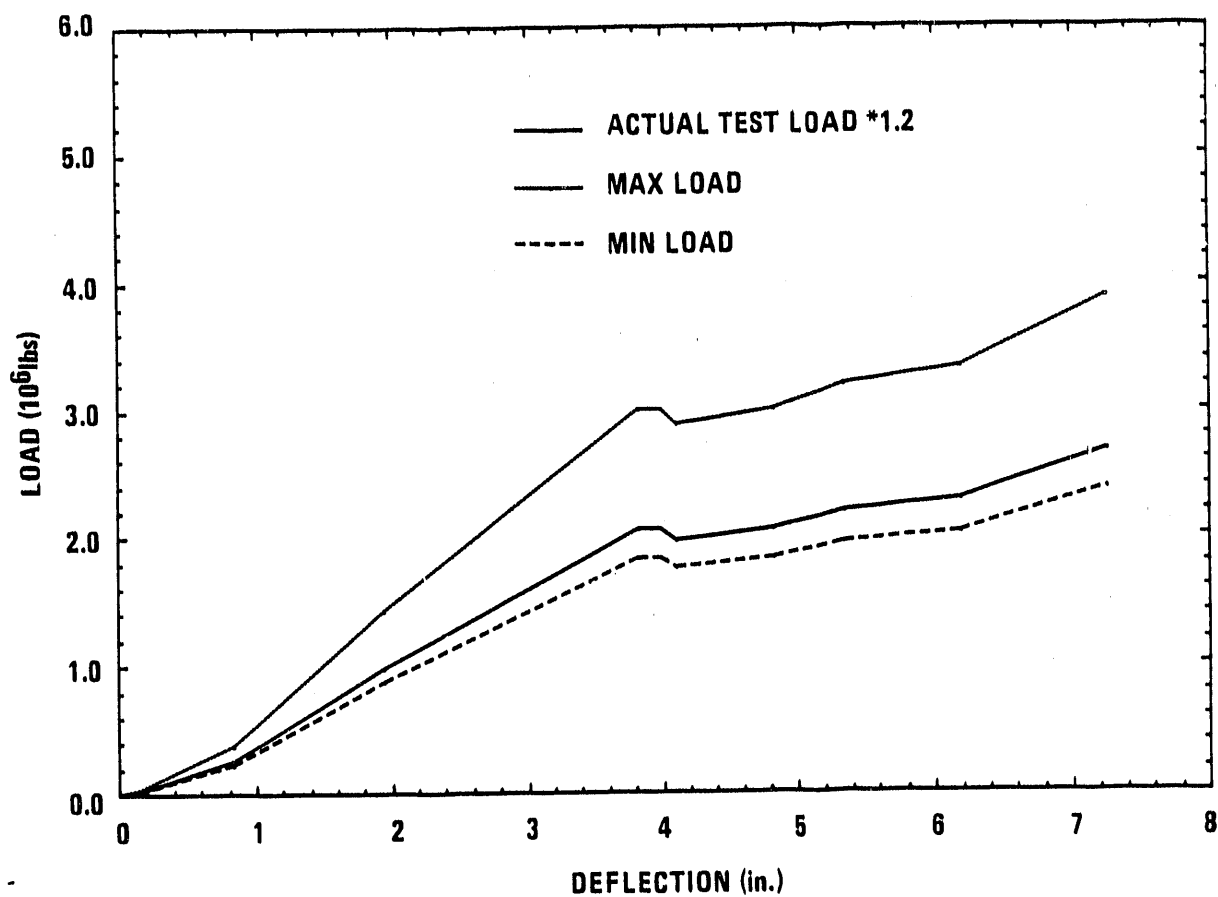


Fig. 3-43. Upper circumferential impact limiter, load-deflection curve (full-scale), 12 deg side drop orientation

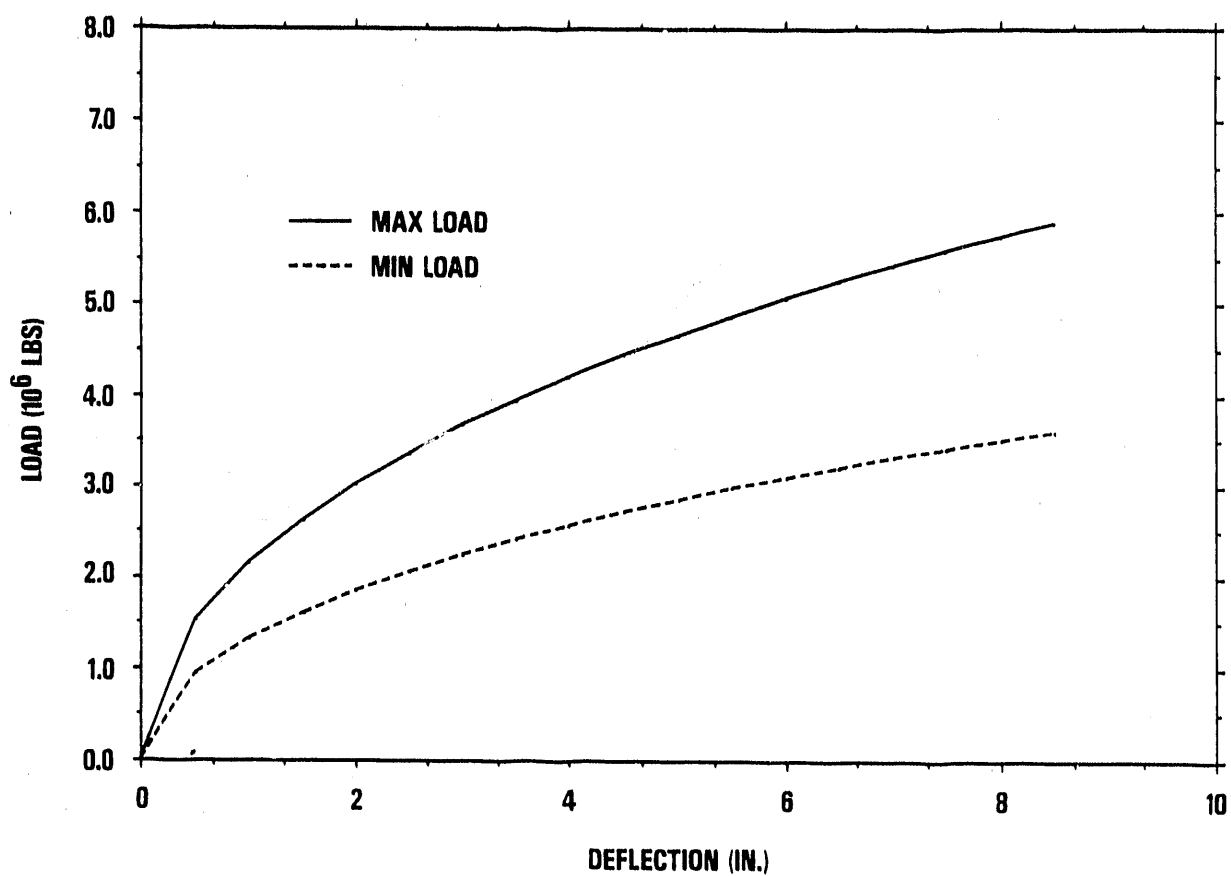


Fig. 3-45. Upper circumferential impact limiter, load-deflection curve (full-scale) from ILMOD, 0 deg side drop orientation

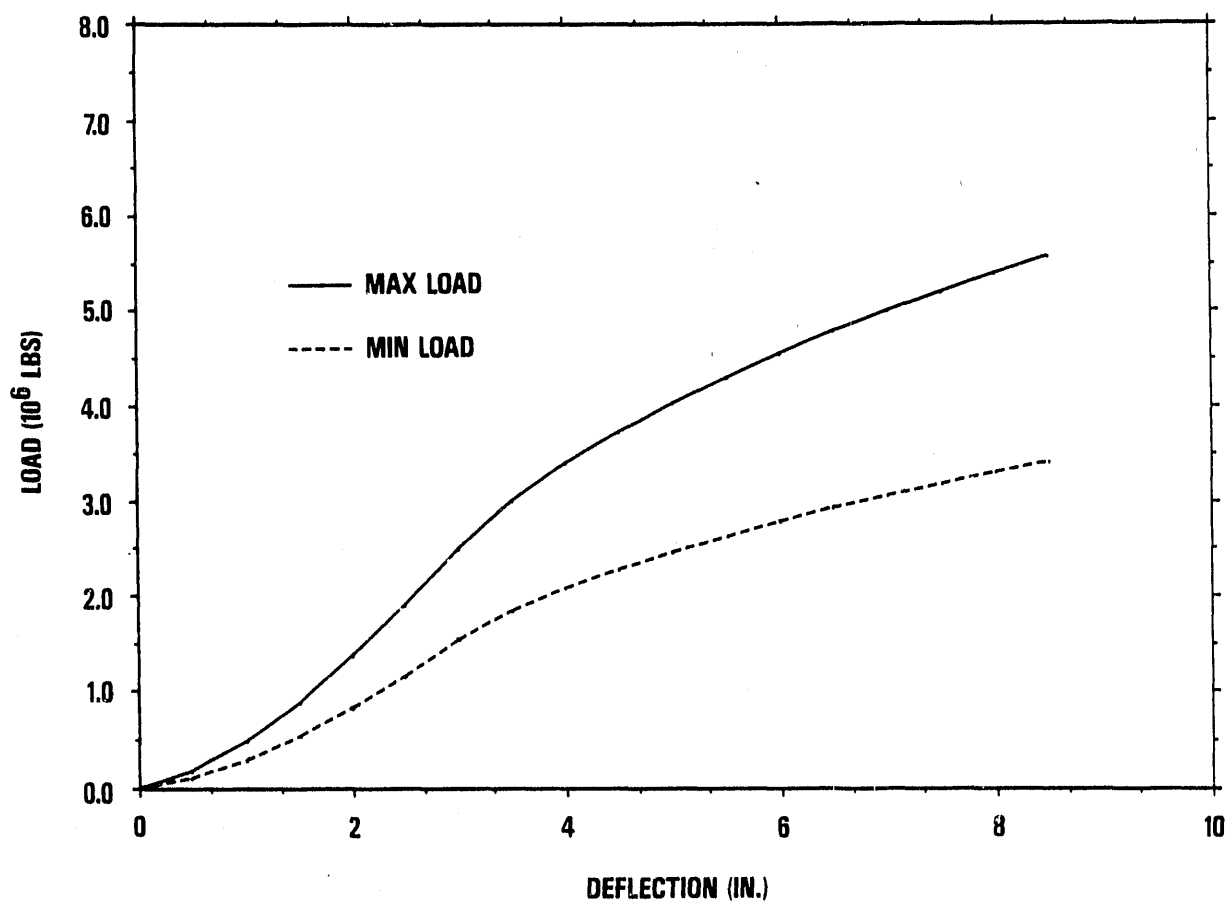


Fig. 3-46. Upper circumferential impact limiter, load-deflection curve (full-scale) from ILMOD, 12 deg side drop orientation

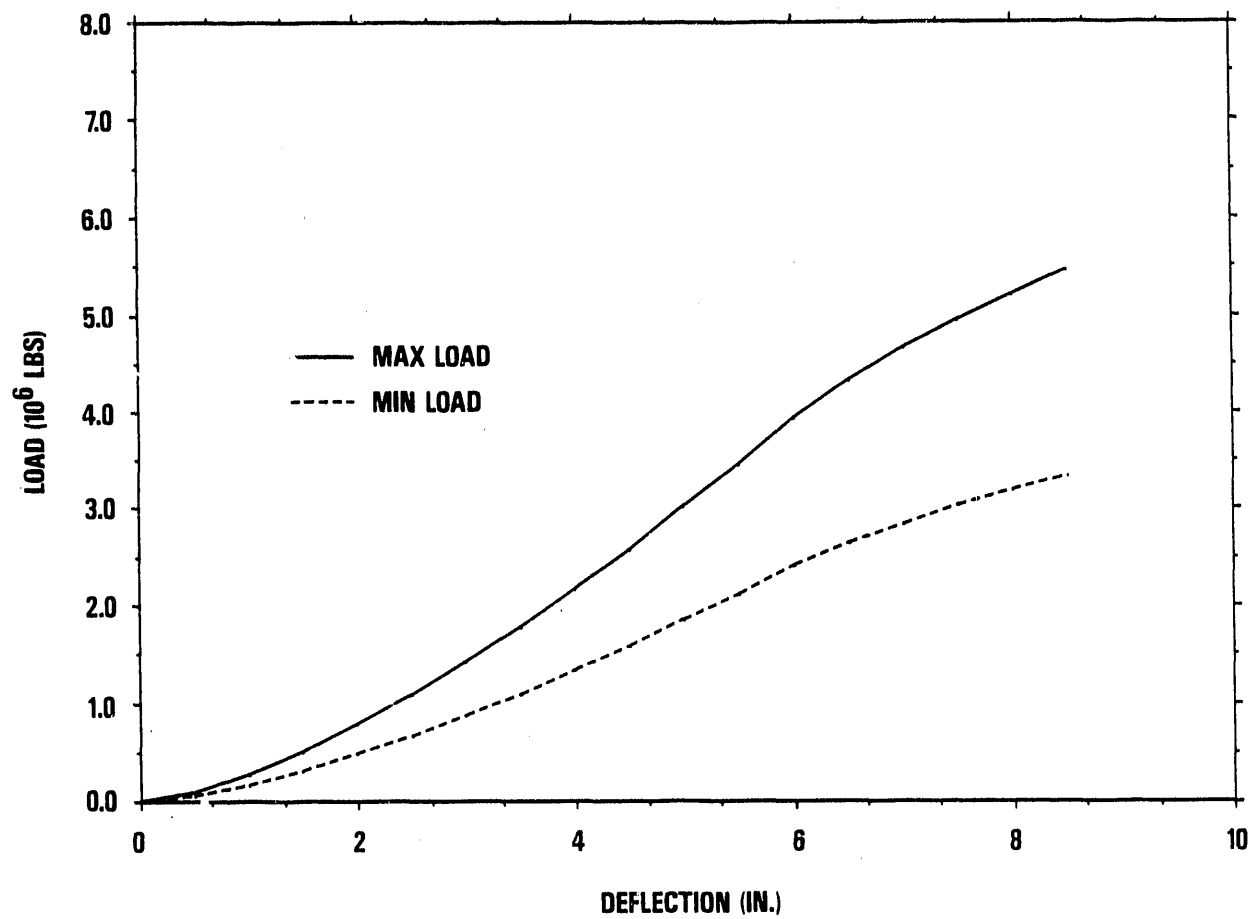


Fig. 3-47. Upper circumferential impact limiter, load-deflection curve (full-scale) from ILMOD, 24 deg side drop orientation

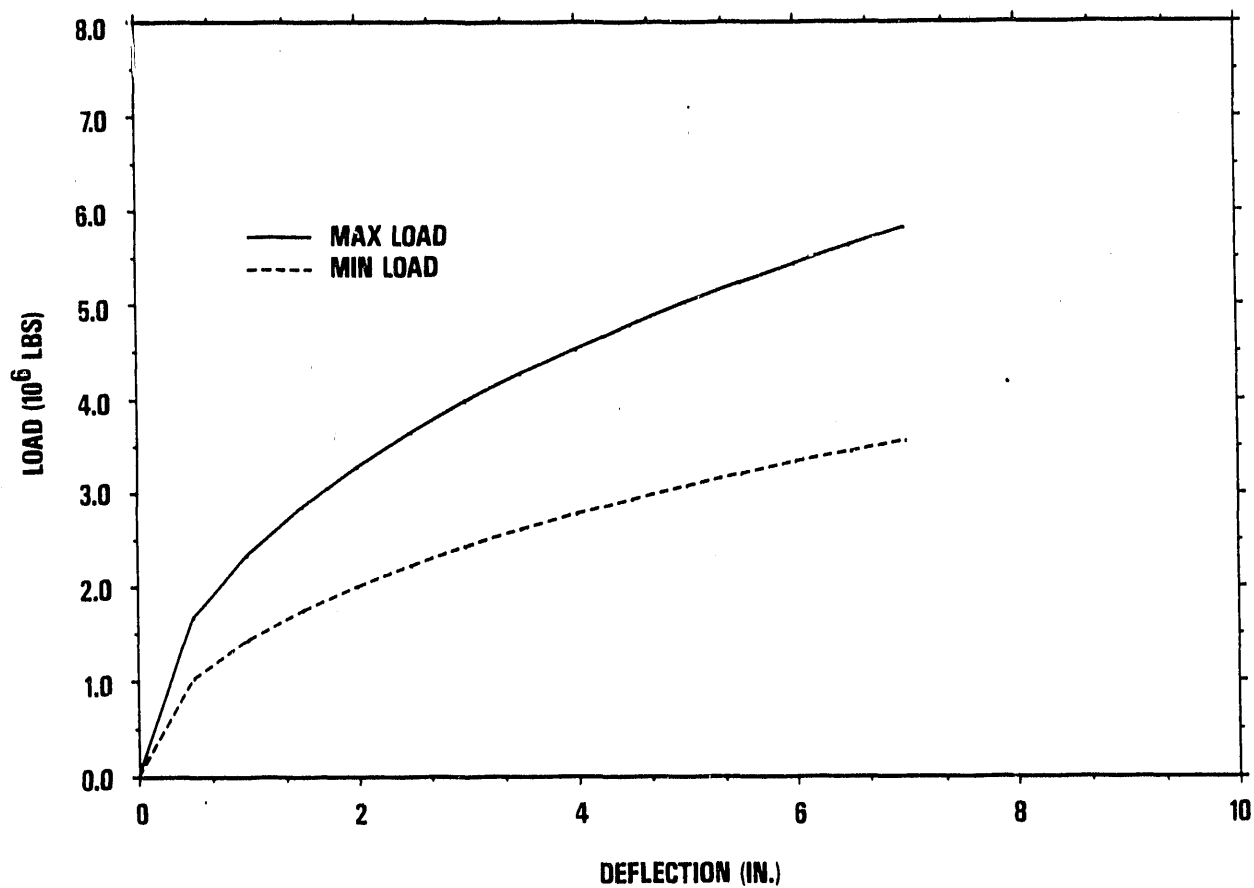


Fig. 3-48. Lower circumferential impact limiter (small diameter), load-deflection curve (full-scale) from ILMOD, 0 deg side drop orientation

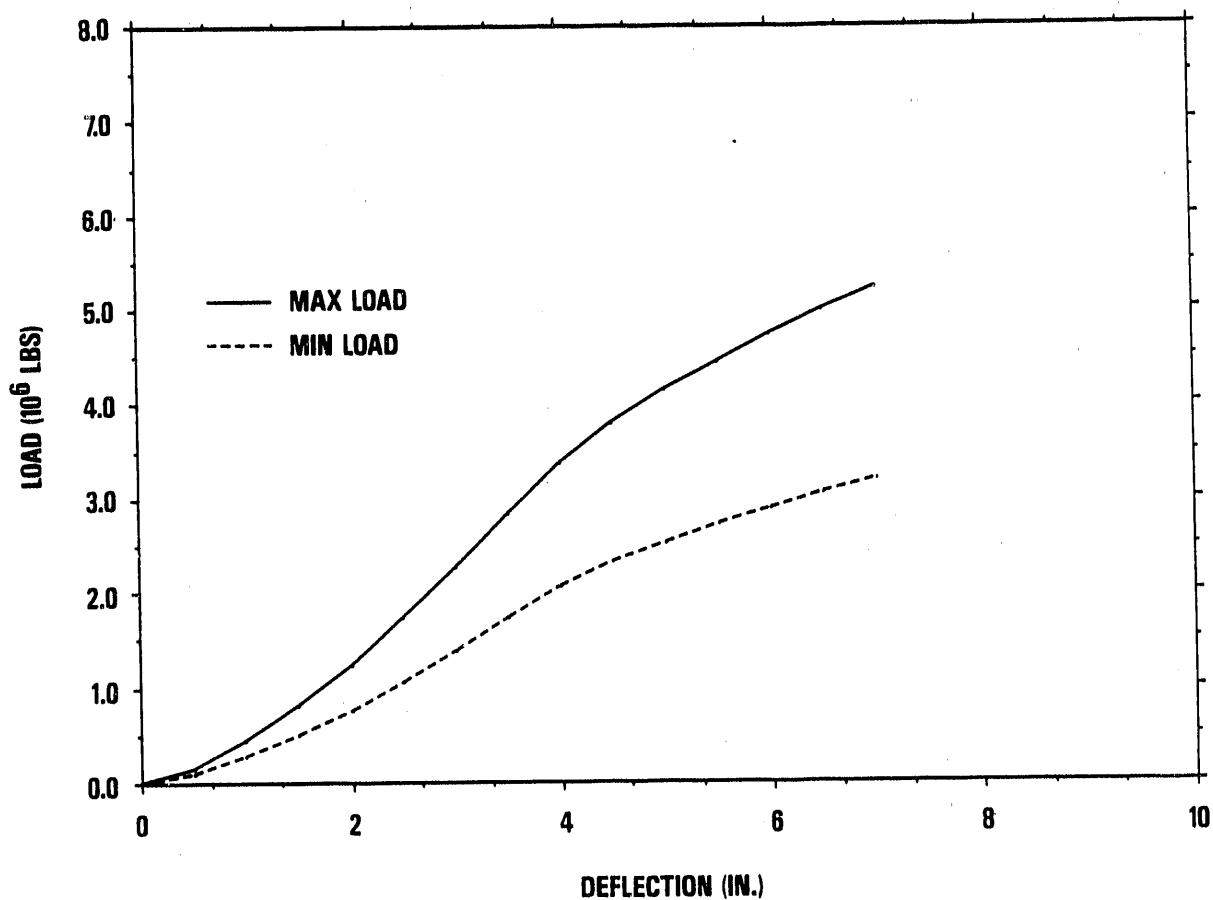


Fig. 3-49. Lower circumferential impact limiter (small diameter), load-deflection curve (full-scale) from ILMOD, 12 deg side drop orientation

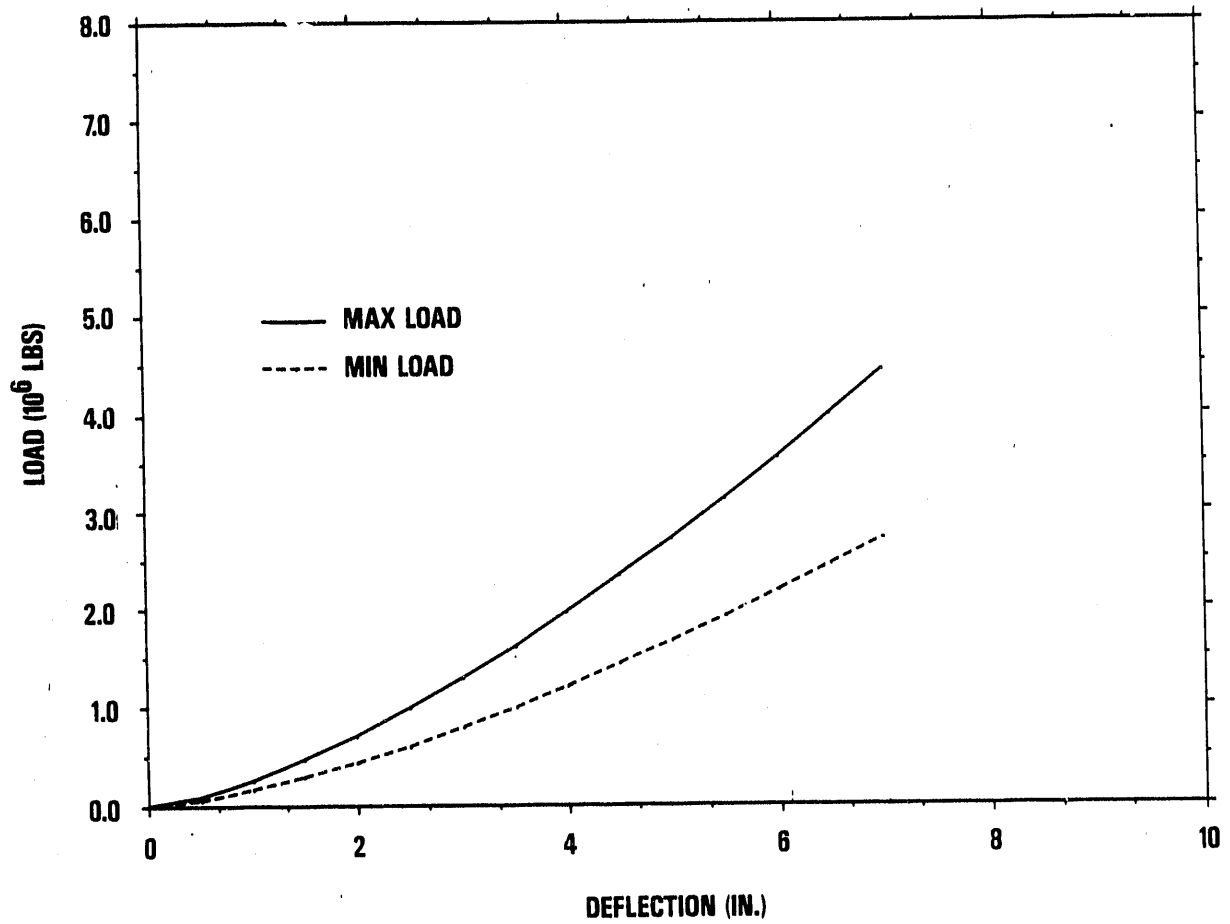


Fig. 3-50. Lower circumferential impact limiter (small diameter), load-deflection curve (full-scale) from ILMOD, 24 deg side drop orientation

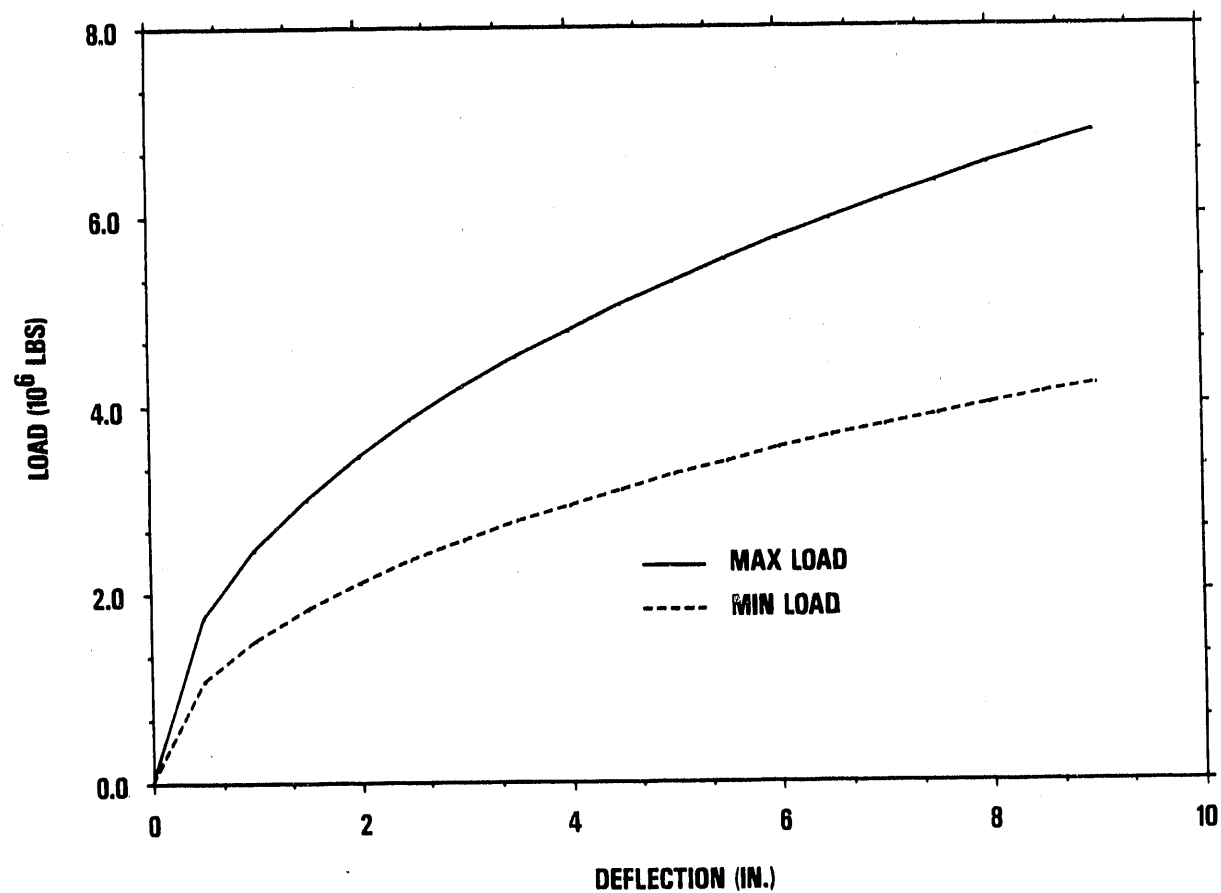


Fig. 3-51. Lower circumferential impact limiter (large diameter), load-deflection curve (full-scale) from ILMOD, 0 deg side drop orientation

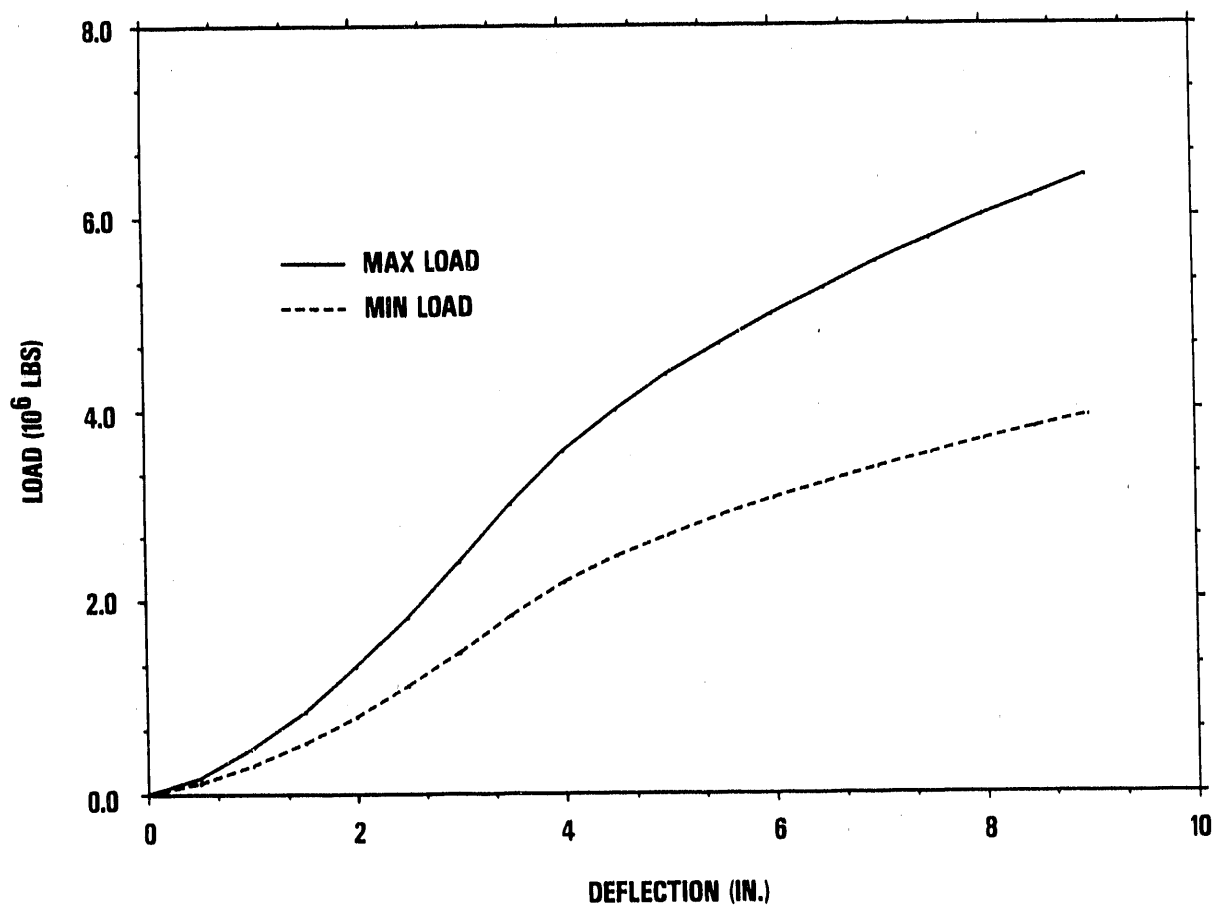


Fig. 3-52. Lower circumferential impact limiter (large diameter), load-deflection curve (full-scale) from ILMOD, 12 deg side drop orientation

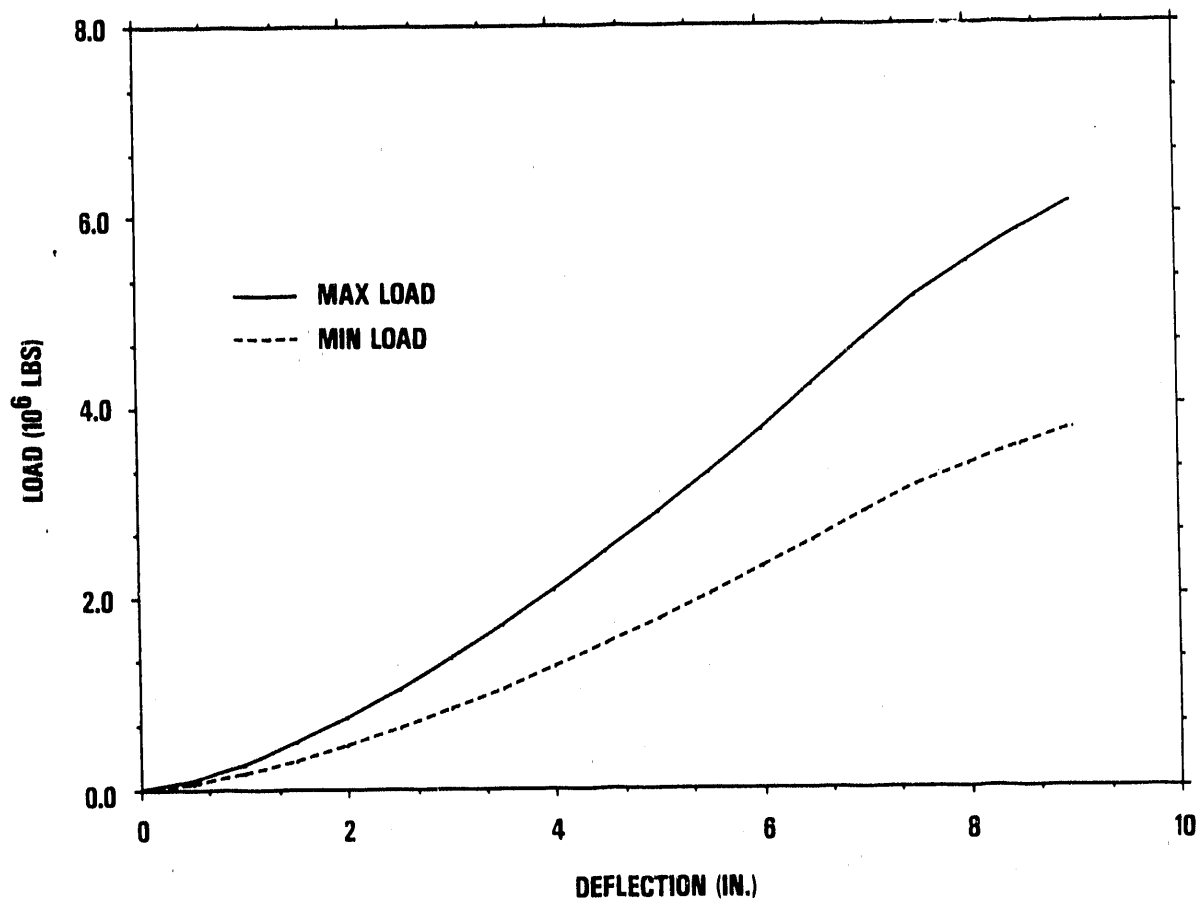


Fig. 3-53. Lower circumferential impact limiter (large diameter), load-deflection curve (full-scale) from ILMOD, 24 deg side drop orientation

3.3.2. Thirty-Foot Free Drop Elastic Analysis

The DHLW cask elastic analysis used the GACAP computer code described in Section 3.2 for the 9-m (30-ft) hypothetical accident condition free drops. GACAP output provides cask body wall stresses directly. Stresses in the closure, closure bolts, bottom plate and internals are obtained using the GACAP accelerations to calculate dynamic loading on those components. Following is a description of the model and results of the analyses.

3.3.2.1. GACAP Model. The GACAP model represents the DHLW cask with 12 beams positioned within the cavity of the cask as shown in Fig. 3-54. The section properties of the cask body beam elements are calculated by GACAP using the actual cask dimensions.

The cask mass is distributed to each GACAP model node according to the location of the cask component relative to the node as shown in Fig. 3-54. The DU shield liner is modeled as a nonstructural mass applied at each node, providing loading to the cask containment boundary. Since the contents and shield liner are not tied to the containment boundary, they bear directly on the bottom plate during the bottom end drops. Therefore, the cask body wall axial stresses are reduced to reflect the actual load path of the contents and shield liner.

The GACAP model includes two impact limiters in the bottom end region, (1) the lower end ring, and (2) the lower circumferential honeycomb impact limiter.

In most cases, the reaction point of the impact limiters is located at the point of initial contact. Figure 3-54 summarizes the location of the impact limiter reaction points relative to the node to which they are attached. The reaction point on the circumferential impact limiters is either at the center or the lower edge of the outside surface since crushing will always occur at a low angle (less than 20 deg). The reaction point on the lower end and notched impact limiters is at the point

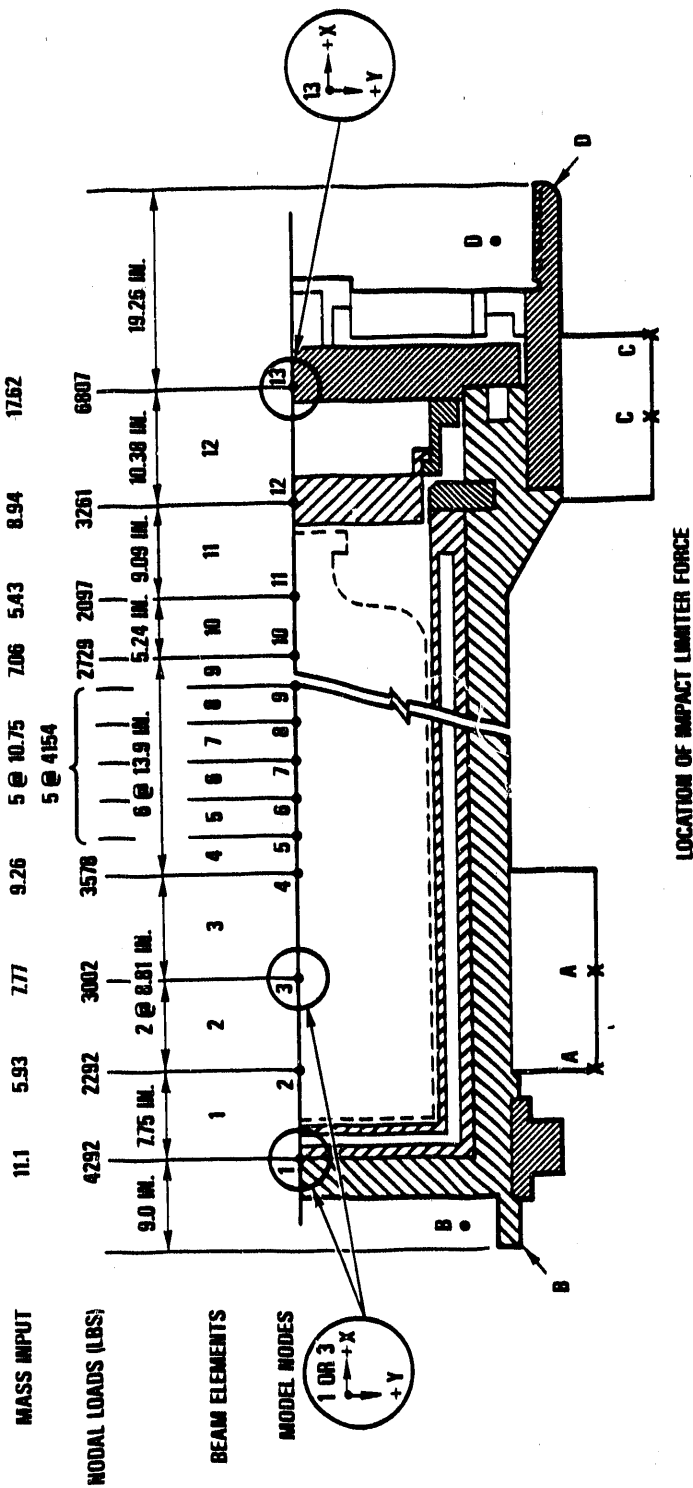


Fig. 3-54. DHLW cask GACAP model with mass nodal point loading

of initial contact except for the CG over corner drops. For these drops, locating the reaction point at the point of initial contact is too conservative since the centroid of the deformed impact limiter moves toward the centerline of the cask. Therefore, we located the reaction point at the centroid of the footprint of the deformed impact limiter at the point of maximum deformation.

3.3.2.2. Impact Limiter Load Deflection Data. Section 3.3.1 explains in detail the development of the load deflection curves for the impact limiters. Figures 3-30 through 3-41 show the load deflection curves for the maximum and minimum yield of the lower end and notched impact limiters. Figures 3-45 through 3-53 show the load deflection curves for the upper and lower bound honeycomb crush strengths for the circumferential impact limiters. All the curves are input to GACAP.

3.3.2.3. GACAP Results. The GACAP analysis provides both rigid body and flexible body solutions. During the rigid body analysis, the cask is modeled as a single rigid beam element with three degrees of freedom at the CG, as shown in Fig. 3-3. In the flexible-body analysis, each node has its own acceleration computed from the $3 \times n$ degree of freedom model (as shown in Fig. 3-3). A comparison of the rigid-body results with the flexible-body results provides information on the dynamic amplification factor (DAF).

The DAFs provided by the GACAP analysis are considered to be unrealistically conservative for the following reasons:

1. The dynamic behavior is obtained elastically and it is well documented in the literature, Ref. 3-3, Sections 2.7 and 2.8 that after the onset of yielding, the DAFs decrease rapidly.
2. The damping inherent in the materials is ignored. In reality, there will be structural and frictional damping in the cask which will reduce the calculated DAF.

3. The DU and liner structure is treated very conservatively in the analysis by ignoring its stiffness. In reality, the DU is very strong and will provide stiffness to the cask. Even if it were to crack, which is unlikely based on test results, the cracking of the DU will provide damping which will reduce the DAFs and absorb energy which will reduce the total loads.

Because the DAFs obtained from GACAP are so conservative, the rigid-body stresses are used to represent the cask stress state during the impact events. The maximum stresses produced are presented in Tables 3-4 through 3-7 for the closure end impacts and Tables 3-8 through 3-11 for the bottom end impacts. These tables also include the stress intensities when the stresses due to the design pressure of 50 psig are combined.

In Table 3-9, the 10 1/2 deg drop angle case duplicates the angle used in the 1/2-scale model test program (see Section 4). The model configuration used in that test did not have the lower circumferential impact limiter. This test was performed to give conservative loading on the closure end during secondary impact. This GACAP model produced the highest flexible-body stress intensities. The DAF was 1.54 producing a stress intensity of 71.3 ksi versus the rigid body results of 46.2 ksi. The test results of the 30-ft drop of the DHLW model reported no local deformation of the cask containment wall indicating no permanent set for the region. Therefore, based on the test results the flexible-body results are very conservative, as mentioned, and the rigid-body results are more representative of the actual response of the cask.

The 10 deg drop angle analysis was also performed to duplicate the angle used in the 10 deg drop angle test. For this test, the lower circumferential impact limiter was on the model. Because the circumferential impact limiter has a "softer" load-deflection curve, the resulting stresses are lower.

TABLE 3-4
MOMENT AND STRESS COMPONENTS FOR MAXIMUM PRIMARY STRESS INTENSITY
1-ft CLOSURE END ORIENTATION DROP - DHLW CASK - MAXIMUM CRUSH STRENGTH

Drop Angle	Moment (in-lb)10 ⁶	σ Ksi Moment	σ Ksi Axial	τ Ksi Shear	Ksi S.I. No Pressure	Ksi(a) S.I. W/Pressure
-2.89	21.95	7.34	0.03	0.44	7.42	7.62
10	5.54	1.85	0.08	0.3	2.02	2.25
15	5.83	1.95	0.12	0.15	2.09	2.29
30	5.0	1.67	0.22	0.2	1.93	2.13
45	2.89	0.96	0.23	0.22	1.27	1.46
60	4.59	1.53	0.57	0.58	2.40	2.58
73.4	8.79	2.94	0.94	0.60	4.06	4.25
90	0	0	4.12	0	4.12	4.37

(a) Stresses due to 50 psig cavity pressure:
Hoop stress = +0.3 ksi
Axial stress = +0.1 ksi

TABLE 3-5
MOMENT AND STRESS COMPONENTS FOR MAXIMUM PRIMARY STRESS INTENSITY
30-ft CLOSURE END ORIENTATION DROP - DHLW CASK - MAXIMUM CRUSH STRENGTH

Drop Angle	Moment, (in-lb)10 ⁶	σ Ksi Moment	σ Ksi Axial	τ Ksi Shear	Ksi S.I. No Pressure	Ksi(a) S.I. W/Pressure
-2.89	70.51	23.6	0.1	1.38	23.8	24.06
10	31.45	10.5	0.36	6.49	16.92	17.05
15	39.68	13.3	0.59	1.0	14.03	14.23
30	36.48	11.6	0.76	6.50	17.94	18.08
45	38.76	13.0	0.61	2.08	14.23	14.42
60	57.85	19.3	1.33	1.61	20.88	21.08
73.4	43.31	14.5	4.74	3.05	20.18	20.37
90	0	0	8.62	0	8.62	8.8

(a) Stresses due to 50 psig cavity pressure:

Hoop stress = +0.3 ksi

Axial stress = +0.1 ksi

TABLE 3-6
MOMENT AND STRESS COMPONENTS FOR MAXIMUM PRIMARY STRESS INTENSITY
30-ft CLOSURE END ORIENTATION DROP - DHLW CASK - MINIMUM CRUSH STRENGTH

Drop Angle	Moment (in-lb)10 ⁶	σ Ksi Moment	σ Ksi Axial	τ Ksi Shear	Ksi S.I. No Pressure	Ksi(a) S.I. W/Pressure
-2.89	51.22	17.1	0	1	17.3	17.42
10	24.01	8.03	0.27	1.01	8.54	8.74
15	23.25	7.78	0.27	4.79	12.51	12.64
30	40.08	13.4	0.47	2.15	14.52	14.71
45	44.63	14.9	0.58	1.58	15.80	16.0
60	62.43	20.9	1.25	1.37	22.32	22.52
73.4	44.58	14.9	4.89	3.14	20.76	7.94
90	0	0	7.74	0	7.74	7.94

(a) Stresses due to 50 psig cavity pressure:

Hoop stress = +0.3 ksi

Axial stress = +0.1 ksi

TABLE 3-7
MOMENT AND STRESS COMPONENTS FOR MAXIMUM PRIMARY STRESS INTENSITY
30-ft CLOSURE END ORIENTATION DROP - DHLW CASK - MAXIMUM CRUSH STRENGTH
LOWER CIRCUMFERENTIAL IMPACT LIMITER WITH LARGE DIAMETER

Drop Angle	Moment (in-lb)10 ⁶	σ	σ	τ	Ksi	Ksi(a)
		Ksi Moment	Ksi Axial	Ksi Shear	S.I. No Pressure	S.I. W/Pressure
-2.89	74.0	24.7	0.02	1.58	25.0	25.12
10	43.08	14.4	0.02	0.89	14.53	14.73
15	31.09	10.4	0.25	6.87	17.38	17.51
30	36.92	12.3	0.58	6.59	18.43	18.57
45	36.11	12.1	0.47	2.06	13.23	13.42
60	27.01	8.99	3.73	3.83	14.85	15.02

(a)Stresses due to 50 psig cavity pressure:
Hoop stress = +0.3 ksi
Axial stress = +0.1 ksi

TABLE 3-8
MOMENT AND STRESS COMPONENTS FOR MAXIMUM PRIMARY STRESS INTENSITY
1-ft BOTTOM END ORIENTATION DROP - DHLW CASK - MAXIMUM CRUSH STRENGTH

Drop Angle	Moment (in-lb)10 ⁶	σ Ksi Moment	σ Ksi Axial	τ Ksi Shear	Ksi S.I. No Pressure	Ksi ^(a) S.I. W/Pressure
10	7.12	2.38	0.15	1.13	3.39	3.54
15	2.28	0.76	0.17	0.81	1.87	1.98
30	7.08	2.37	0.29	0.13	2.72	2.87
45	3.06	1.02	0.67	0.98	2.16	2.72
60	13.72	4.59	1.51	1.40	6.71	6.89
75.7(b)	17.54	5.86	2.28	1.0	8.38	8.58
90	0	0	10.43	0	10.43	10.63

(a) Stresses due to 50 psig cavity pressure:

Hoop stress = +0.3 ksi

Axial stress = +0.1 ksi

(b) GACAP calculates bending stresses near the end of the cask for steep angles in a conservative manner; therefore, the stresses shown are at a distance equal to approximately 1/2 * cask diameter from the bottom of the cask.

TABLE 3-9
MOMENT AND STRESS COMPONENTS FOR MAXIMUM PRIMARY STRESS INTENSITY
30-ft BOTTOM END ORIENTATION DROP - DHLW CASK - MAXIMUM CRUSH STRENGTH

Drop Angle	Moment (in-lb)10 ⁶	σ	σ	τ	Ksi	Ksi(a)
		Ksi Moment	Ksi Axial	Ksi Shear	S.I. No Pressure	S.I. W/Pressure
10.5	129.7	43.4	2.22	3.7	46.2	46.41
10	37.44	12.5	0.717	6.18	18.10	18.24
15	45.07	15.1	1.43	2.08	17.05	17.24
30	88.82	29.7	3.83	1.98	33.76	33.96
45	57.42	19.2	6.08	2.73	25.86	26.06
60	85.65	28.6	9.63	9.13	42.37	42.55
75.7(b)	95.08	31.8	12.4	5.44	45.5	45.7
90	0	0	30.5	0	30.5	30.7

(a)Stresses due to 50 psig cavity pressure:

Hoop stress = +0.3 ksi

Axial stress = +0.1 ksi

(b)GACAP calculates bending stresses near the end of the cask for steep angles in a conservative manner; therefore, the stresses shown are at a distance equal to approximately 1/2 * cask diameter from the bottom of the cask.

TABLE 3-10
MOMENT AND STRESS COMPONENTS FOR MAXIMUM PRIMARY STRESS INTENSITY
30-FT BOTTOM END ORIENTATION DROP - DHLW CASK - MAXIMUM CRUSH STRENGTH
LOWER CIRCUMFERENTIAL IMPACT LIMITER WITH LARGE DIAMETER

Drop Angle	Moment (in-lb)10 ⁶	σ	σ	τ	Ksi	Ksi(a)
		Ksi Moment	Ksi Axial	Ksi Shear	S.I. No Pressure	S.I. W/Pressure
10	41.91	14.0	0.77	6.66	19.89	20.04
15	49.18	16.4	0.04	1.08	16.58	16.78
30	63.41	21.2	3.62	2.64	25.38	25.57
45	30.66	10.2	7.19	10.7	27.57	27.7
60	85.65	28.6	9.63	9.13	42.37	42.55

(a) Stresses due to 50 psig cavity pressure:

Hoop stress = +0.3 ksi

Axial stress = +0.1 ksi

TABLE 3-11
MOMENT AND STRESS COMPONENTS FOR MAXIMUM PRIMARY STRESS INTENSITY
30-ft BOTTOM END ORIENTATION DROP - DHLW CASK - MINIMUM CRUSH STRENGTH

Drop Angle	Moment (in-lb)10 ⁶	σ Ksi Moment	σ Ksi Axial	τ Ksi Shear	Ksi S.I. No Pressure	Ksi(a) S.I. W/Pressure
10	37.90	12.7	0.71	2.03	14.01	14.2
15	57.89	19.4	1.22	1.59	20.86	21.06
30	85.39	28.6	3.62	1.82	32.42	32.62
45	45.74	15.3	4.82	2.16	20.58	20.77
60	92.09	30.8	10.33	9.77	45.54	45.72
75.7(b)	86.05	28.8	11.36	4.94	41.36	41.55
90	0	0	24.1	0	24.124.3	

(a)Stresses due to 50 psig cavity pressure:

Hoop stress = +0.3 ksi

Axial stress = +0.1 ksi

(b)GACAP calculates bending stresses near the end of the cask for steep angles in a conservative manner; therefore, the stresses shown are at a distance equal to approximately 1/2 * cask diameter from the bottom of the cask.

The -2.89 deg drop angle for the impacts on the closure end represents the side drop orientation. The angle is not 0° because of the difference in the lower and upper circumferential impact limiter diameters. The CG over corner for the closure end and the bottom end are 73.4 and 75.7 deg, respectively. The tables present the results for the maximum and minimum crush strengths and the larger radius on the lower circumferential impact limiter (LCIL) with and without pressure. GACAP conservatively applies the impact moment at the end of the cask. In reality, this moment develops over some distance from the point of impact. Therefore, we have quoted the stresses at a point equal to half the cask diameter from the end of the cask for the CG over bottom corner drop angle. To be conservative, we have presented the bending stresses for all other drop angles, near the end of the cask.

The stress calculated from the moment presented in Tables 3-4 through 3-11 represents the stress at the midwall of the cask or the primary membrane stress (P_m). The resulting stress intensities for the 1- and 30-ft drops are well below the primary membrane allowables. The highest stress resulting from bending occurred in the 60 deg angled slapdown drop (Table 3-9). This orientation was bounded by the 10.5 deg slapdown half-scale model drop test with the lower circumferential impact limiter removed. The test results indicated no permanent set in the wall region and no breach of the containment wall.

The bottom end impacts produced the highest axial stress in the cask body wall because of the stiffer lower end impact limiter (Tables 3-8 through 3-11). The 1-ft drop stress levels were all under the normal condition allowables. The highest axial stress of 30.7 ksi occurred during the 30-ft drop (using the maximum crush strength impact limiters, Table 3-9).

4. HALF-SCALE MODEL TEST DATA

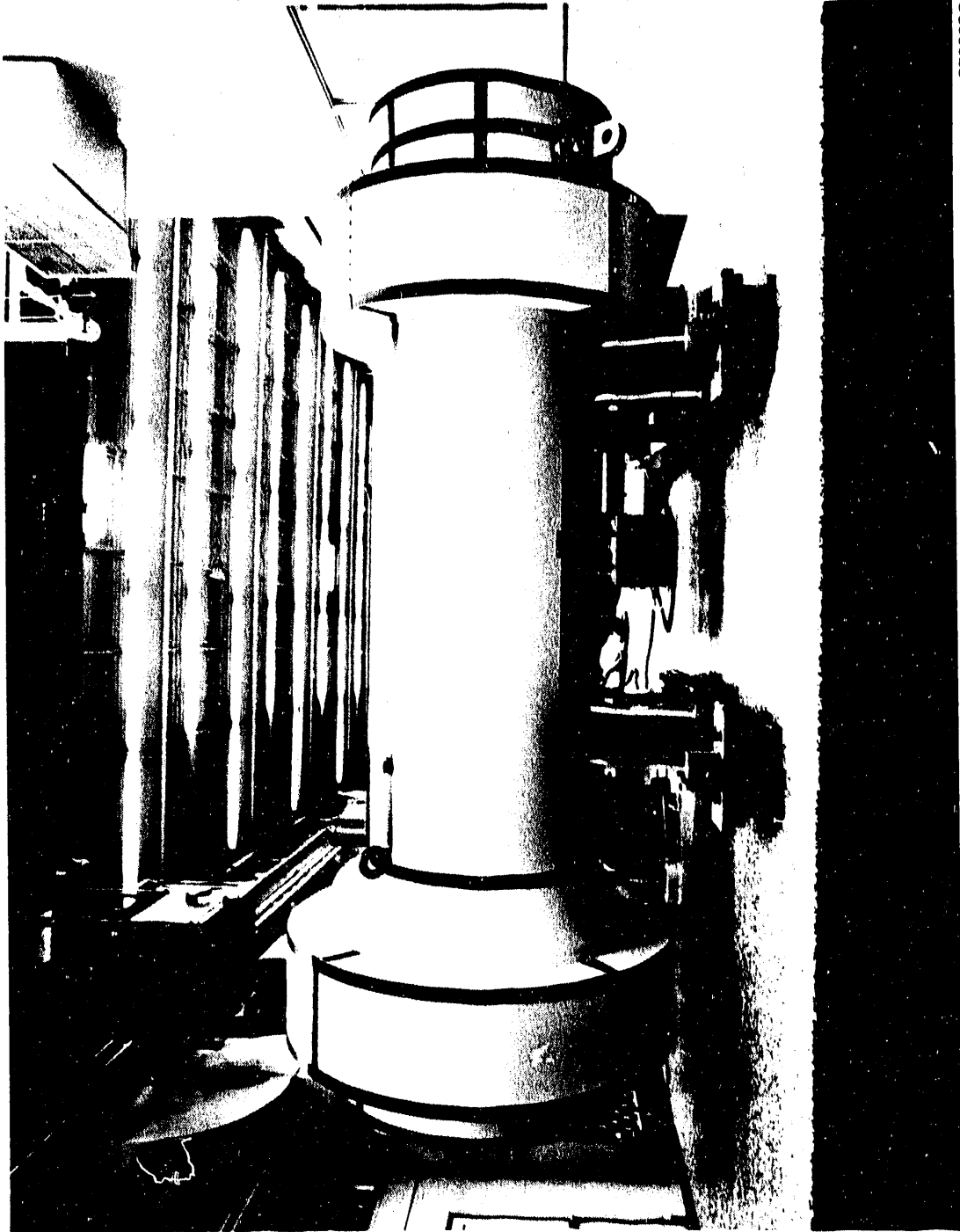
The primary objective of the half-scale model test program for the DHLW shipping cask was to verify the safety of the design following the hypothetical 9-m (30-ft) drop and puncture accidents specified in 10CFR71.73. GA designed the half-scale model and developed the test plan while SNL procured the model and performed all the tests. Reference 4-1 describes the tests and test results. Only the 9-m (30-ft) drop test data is presented in this report for comparison with the inelastic and elastic analyses.

4.1. MODEL DESCRIPTION

The selected scale for the model of the DHLW truck shipping cask was one-half. This scale factor was chosen because it allowed direct scaling of all critical cask components and allowed enough space for the instrumentation to be placed in the model. Figure 4-1 shows the test model used. Figure 4-2 shows different model components. The cask body in this picture lacks the circumferential honeycomb impact limiters.

In general, the half-scale model was achieved by reducing the full-scale design dimensions by one-half. With some exceptions, the half-scale model reflects all significant structural characteristics of the final design. The following items were omitted from the model: tiedown trunnions, lifting attachments, and tamper-indicating device. These items do not affect the final outcome of the testing.

The circumferential impact limiters were not included in some of the tests because they were not active during the event or the test conditions were more severe for the critical cask components. For example, during the bottom end, closure end, and puncture drop events, most of



870028C

Fig. 4-1. Half-scale test model



Fig. 4-2. DHLW cask half-scale model components used during testing

the drop energy is absorbed during main impact. Damage to the circumferential impact limiters by the secondary impacts is negligible compared to the damage caused during slapdown tests.

During the CG over bottom corner and the second slapdown drop test, the cask was more severely tested by removing the lower circumferential impact limiter. The lower circumferential impact limiter was tested during the first slapdown drop test. See the description of tests in Section 4.2.

Some additional differences include the following:

1. The test model included some holes, keys, and grooves in the cask and other components, which were used as access for the instrumentation wires, and/or to prevent damage to the instrumentation. These features produce only local stress concentrations and do not affect the final test results, since they are located away from the critical stress areas.
2. The final design of the thermal barrier attached to the outside of the closure was changed to provide additional thermal protection for the closure bolts and seals and is structurally stronger than the design tested.
3. The full-scale closure bolt design includes a spring that holds the bolt in a raised position that allows easier use during remote handling operations. This spring was not included in the model since it has no structural function. The tested bolts have 5% smaller tensile area than the full-scale which is conservative.
4. The simulated waste canisters used in the tests were scale models of the DWPF waste canisters but had some layers of lead

in the simulated waste glass to increase the weight above the maximum design weight.

5. There were other minor differences that had no impact on the structural response of the cask to the 9-m (30-ft) drop test.

4.2. TEST SEQUENCE AND PROCEDURE

Table 4-1 and the list below summarize the tests that were conducted for the half-scale model. They enveloped the worst case 9-m (30-ft) drop and puncture orientations:

1. Bottom end 9-m (30-ft) drop.
2. Closure end 9-m (30-ft) drop at -29°C (-20°F).
3. Oblique puncture onto gas sample port 102-cm (40-in.).
4. First side 9-m (30-ft) drop with slapdown.
5. Puncture on center of closure 102-cm (40-in.).
6. Center of gravity over bottom corner 9-m (30-ft) drop.
7. Second side 9-m (30-ft) drop with slapdown.

The following pairs of tests were performed as a sequence: tests 2 and 3, 4 and 5, and 6 and 7. This means that the cask was not dismantled between each pair of tests; the only part of the cask that was removed was the thermal barrier in order to leak test the closure O-ring seals and perform closure to seal surface and closure to notched impact limiter measurements. The same thermal barrier and thermal barrier bolts were put back in place for the second test in the sequence. All the tests except test No. 2 were conducted at ambient temperature. The first two pairs of tests (tests 1 and 3, and tests 4 and 5) were regulatory sequences of a 9-m (30-ft) free drop followed by a 102-cm (40-in.) puncture drop.

The test sequence is summarized pictorially in Figs. 4-3 through 4-20. Reference 4-1 contains a complete description of the tests and the test procedures.

TABLE 4-1
TEST SEQUENCE

Test No.	Regulatory Sequence	Description	Most Severe Orientation
1	No	9-m (30-ft) bottom end drop.	Produces highest loadings on the cask body.
2	Yes	9-m (30-ft) closure end drop performed at -29°C (-20°F).	Produces highest loading on the closure and closure bolts.
3	Yes	40-in. gas sample/leak test port puncture drop. The cask was positioned such that a line through the CG of the cask, the gas sample port, and the centerline of the 3-in. diameter (half-scale) puncture pin was parallel to the direction of gravity.	Produces most severe puncture loading on closure seals and gas sample port.

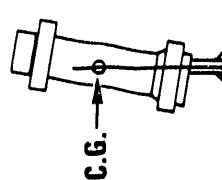
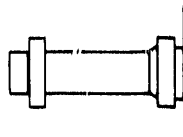
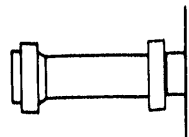


TABLE 4-1 (Continued)

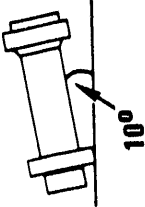
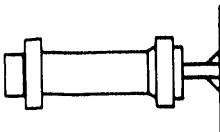
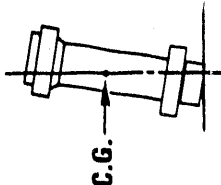
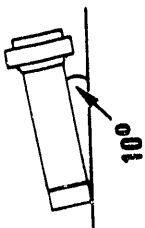
Test No.	Regulatory Sequence	Description	Most Severe Orientation
4		<p>Yes</p> <p>First 9-m (30-ft) side drop. The cask was positioned with its longitudinal axis at 10 deg to the target so that the 180 deg side (see Fig. 4-21) of the lower circumferential impact limiter hits the target first. The cask then slapped down on to the upper circumferential impact limiter.</p>	<p>Produces highest loading on closure end of cask when both circumferential impact limiters hit the target.</p>
5		<p>Yes</p> <p>40-in. puncture on center of closure. The cask was positioned such that the 3-in. diameter (half-scale) puncture pin and cask longitudinal axis were parallel to gravity.</p>	<p>Produces highest puncture loading on cask closure. Puncture loading on other parts of the containment boundary are analyzed in Section 2.8.</p>
6		<p>No</p> <p>9-m (30-ft) CG over bottom corner. The cask was positioned such that a line through the CG and the bottom corner of the cask was parallel to the direction of gravity.</p>	<p>Produces highly localized loads at the bottom corner of the cask. Less energy is absorbed in the bottom end at lower angles.</p>

TABLE 4-1 (Continued)

Test No.	Regulatory Sequence	Description	Most Severe Orientation
7	 No	<p>Second 9-m (30-ft) side drop. The cask was positioned such that its longitudinal axis was 10 deg from the target and the bottom end of the cask hit the target first. The cask hit the target at the 0 deg side (see Fig. 4-21). The cask then slapped down onto the upper circumferential impact limiter. The lower circumferential impact limiter was removed in order to simulate the condition where the cask lower end impact limiter hits the target first. This would occur only when the cask longitudinal axis is 21 deg to the target. A 10-deg angle was chosen to produce a conservative (higher) loading on the cask closure end after slapdown occurs.</p>	Conservatively tests the highest loading on the closure end of the cask.

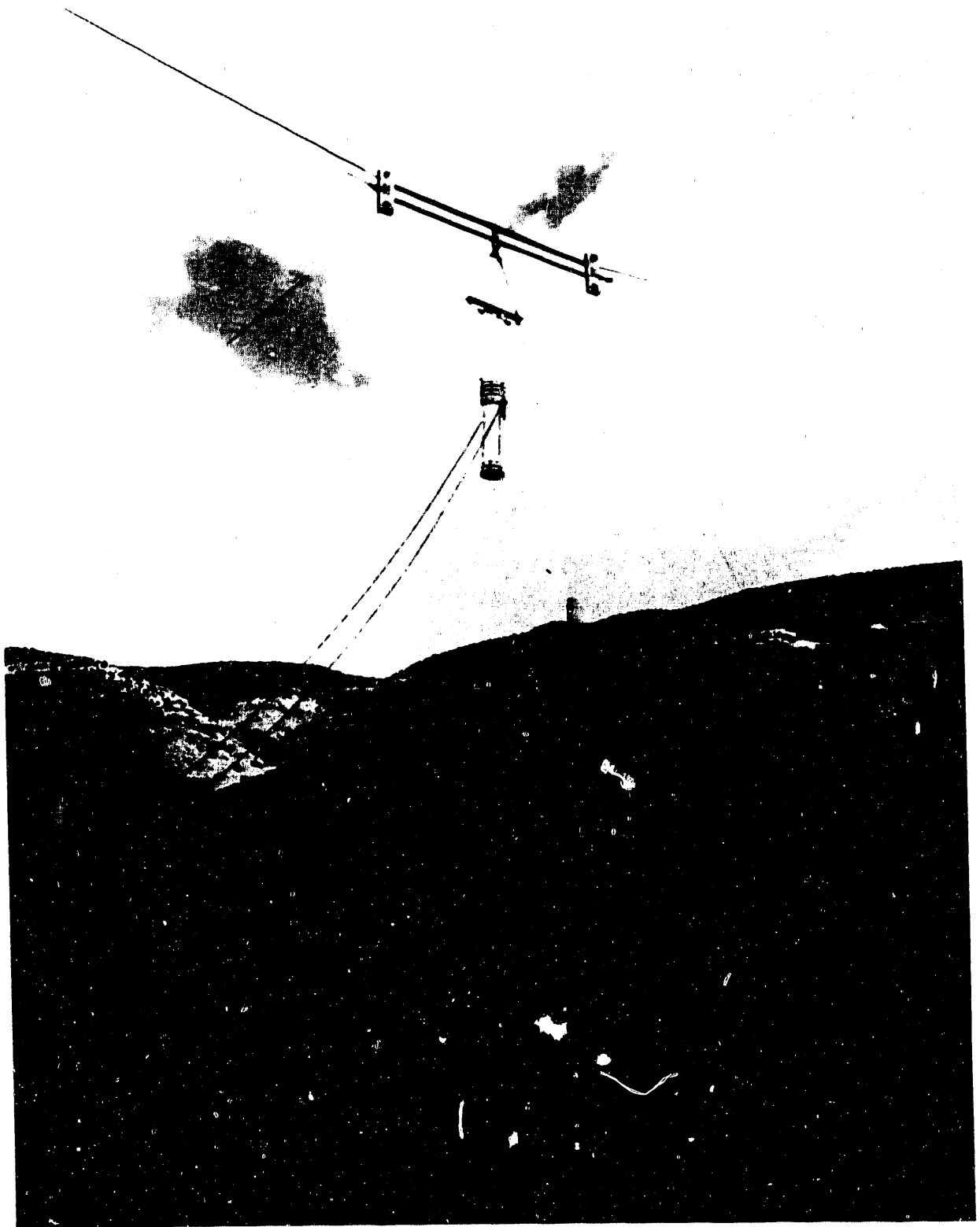


Fig. 4-3. Bottom end drop test setup



Fig. 4-4. Damage to lower end impact limiter

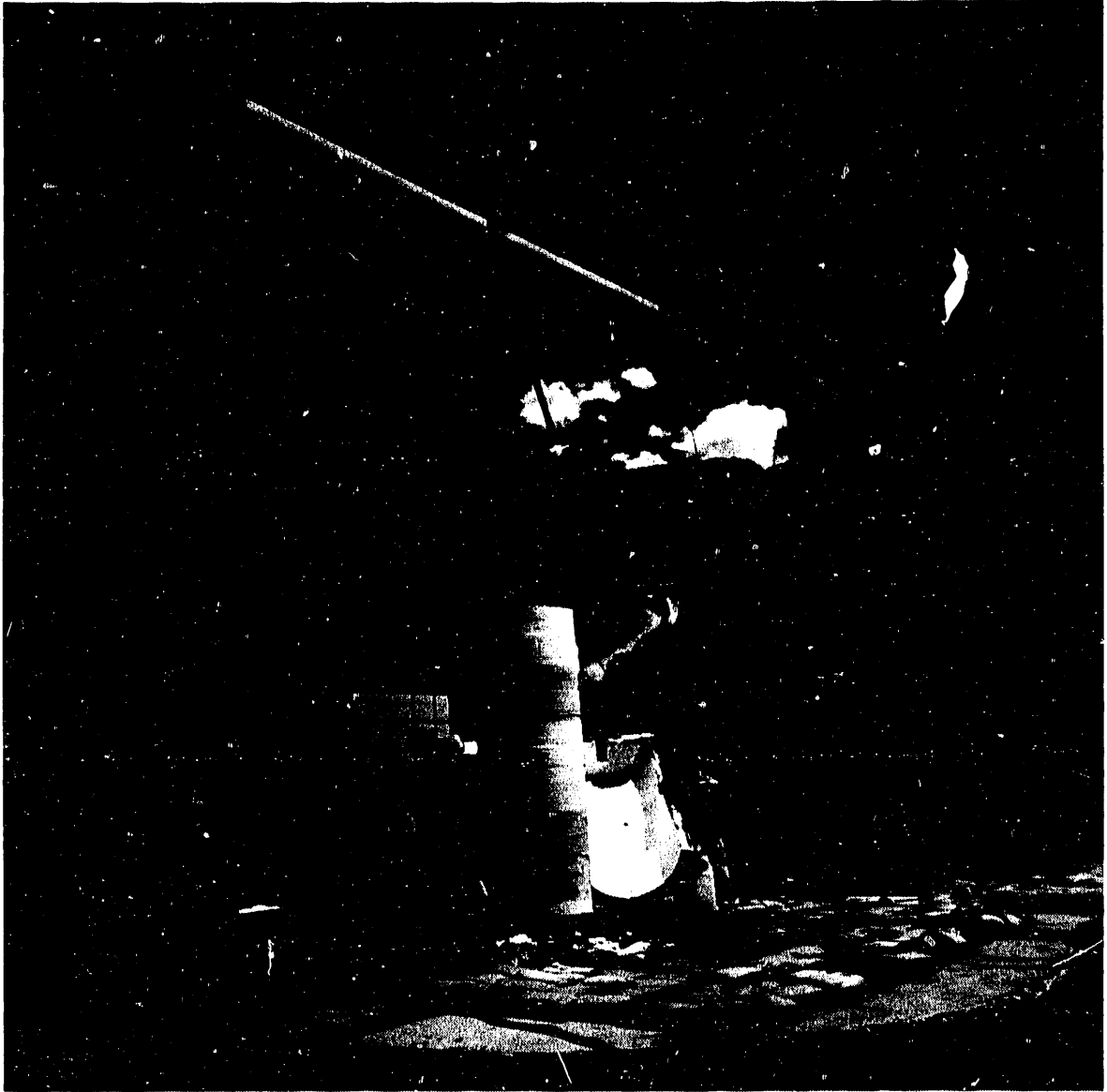


Fig. 4-5. Preliminary rigging in preparation for closure-end drop test. Cask in refrigeration package to reduce temperature to -29°C (-20°F).

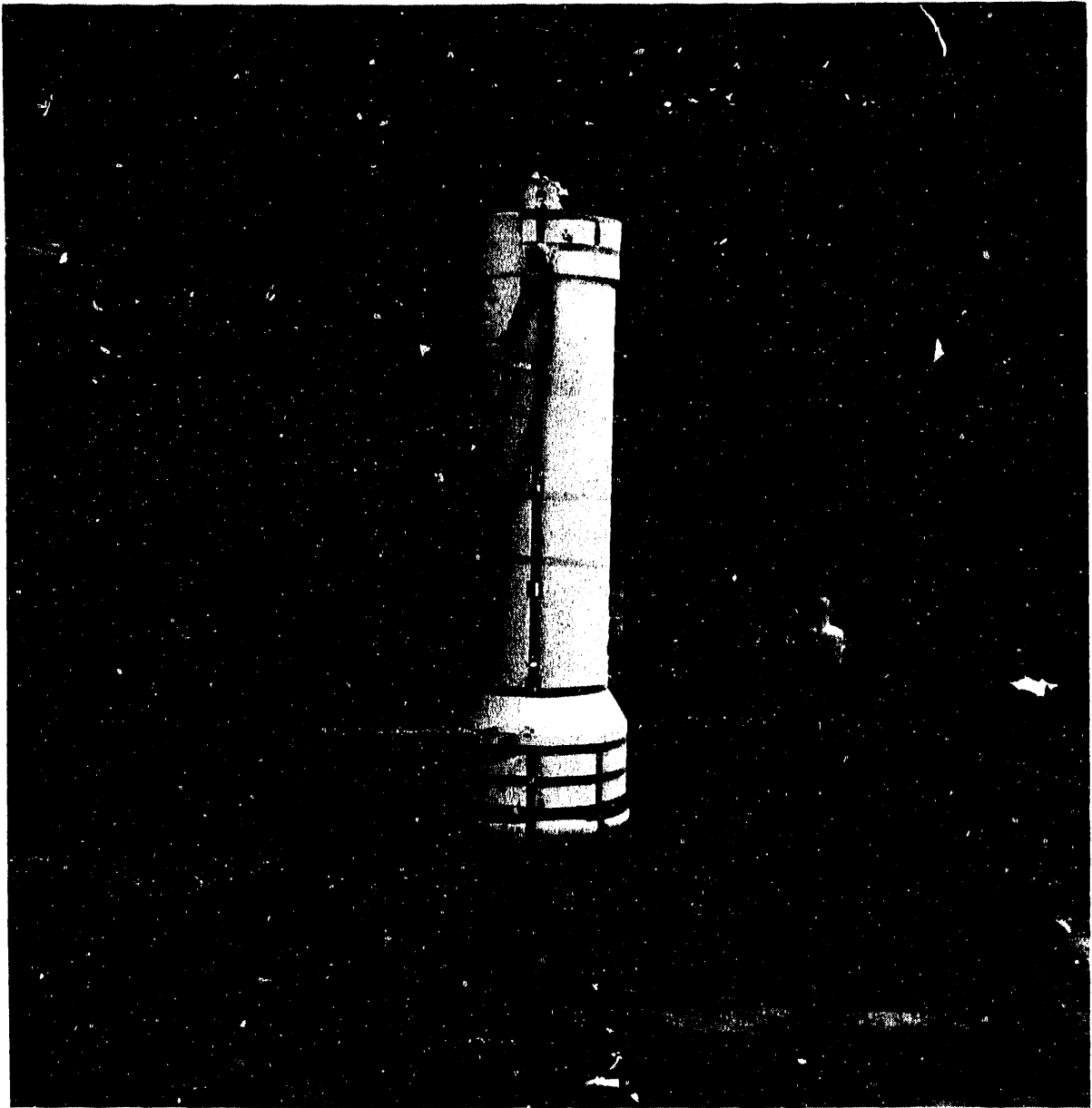


Fig. 4-6. Cask on top of target after 9-m (30-ft) closure-end drop test

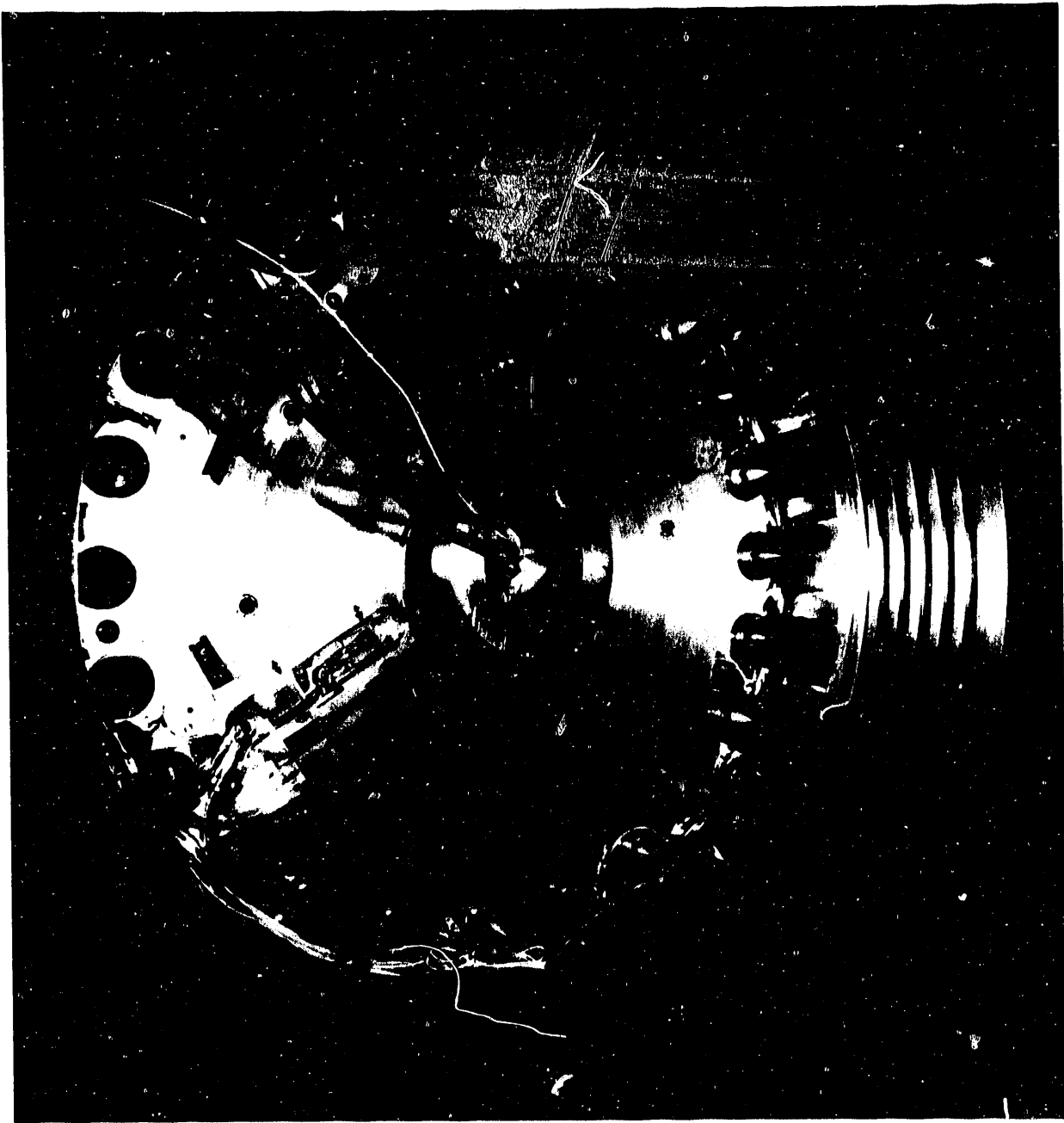


Fig. 4-7a. Notched impact limiter and closure before closure end 9-m
(30-ft) drop test

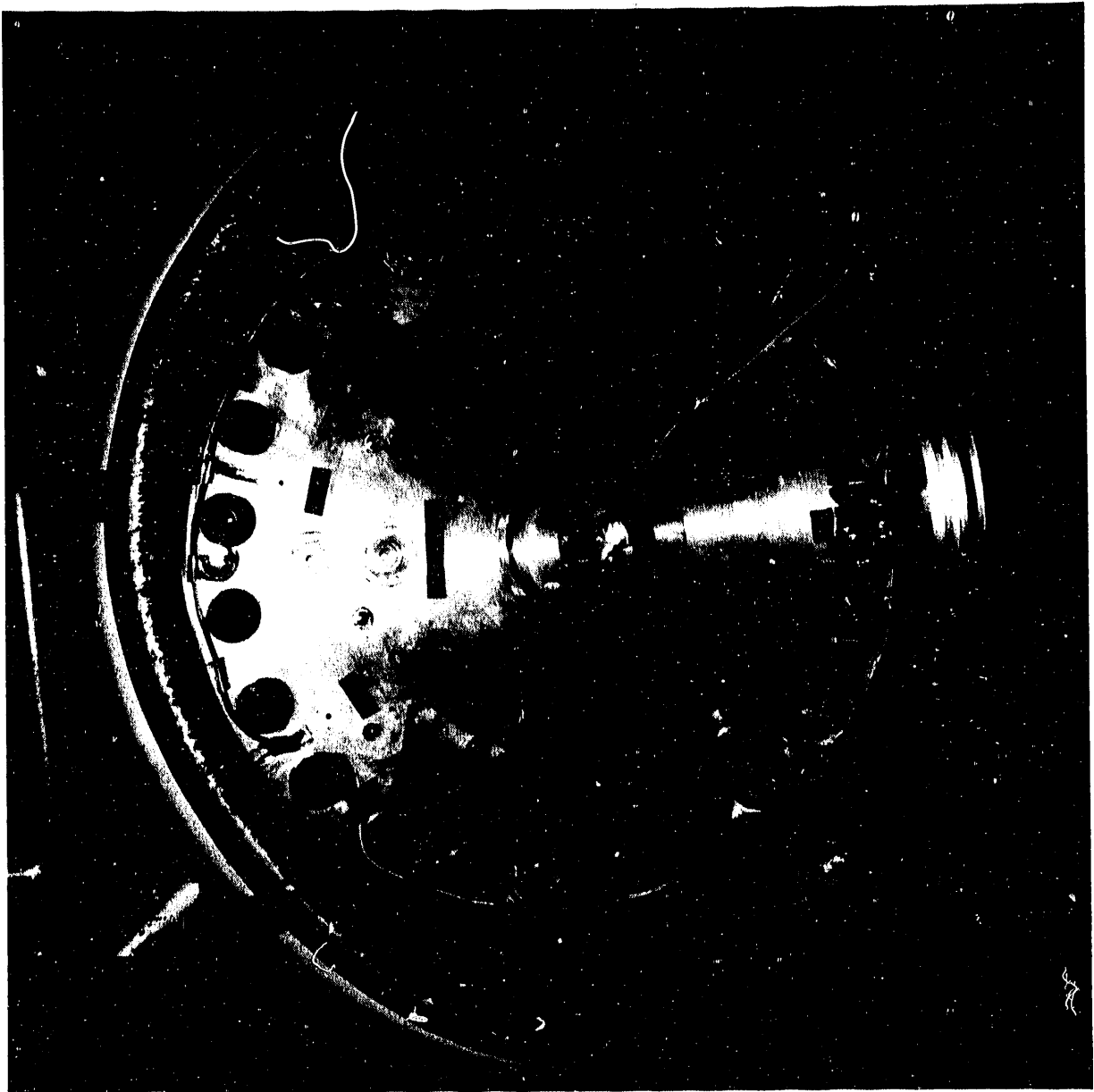


Fig. 4-7b. Notched impact limiter and closure after closure end 9-m (30-ft) drop test

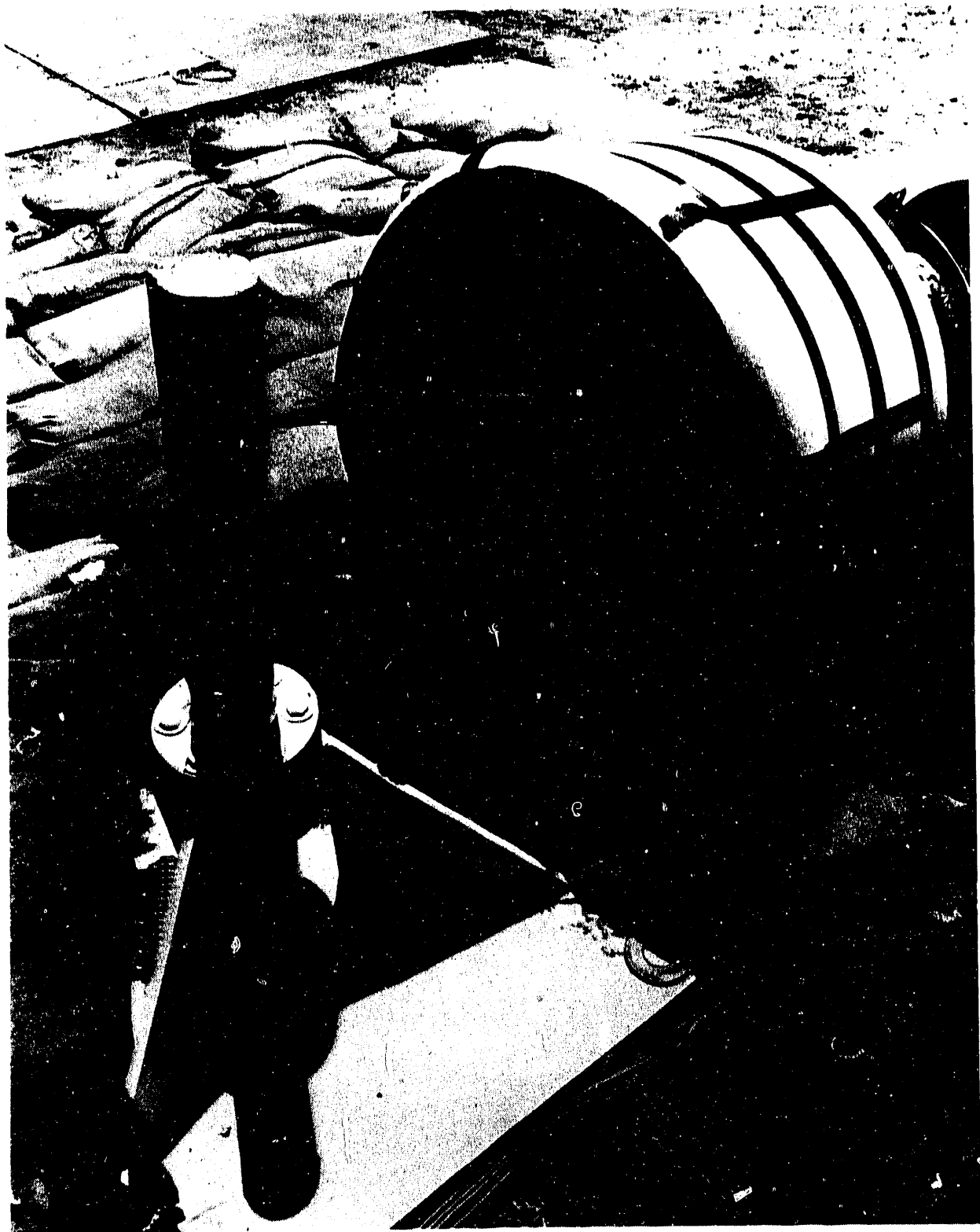


Fig. 4-8. Cask and puncture pin after gas sample/leakage test port puncture drop

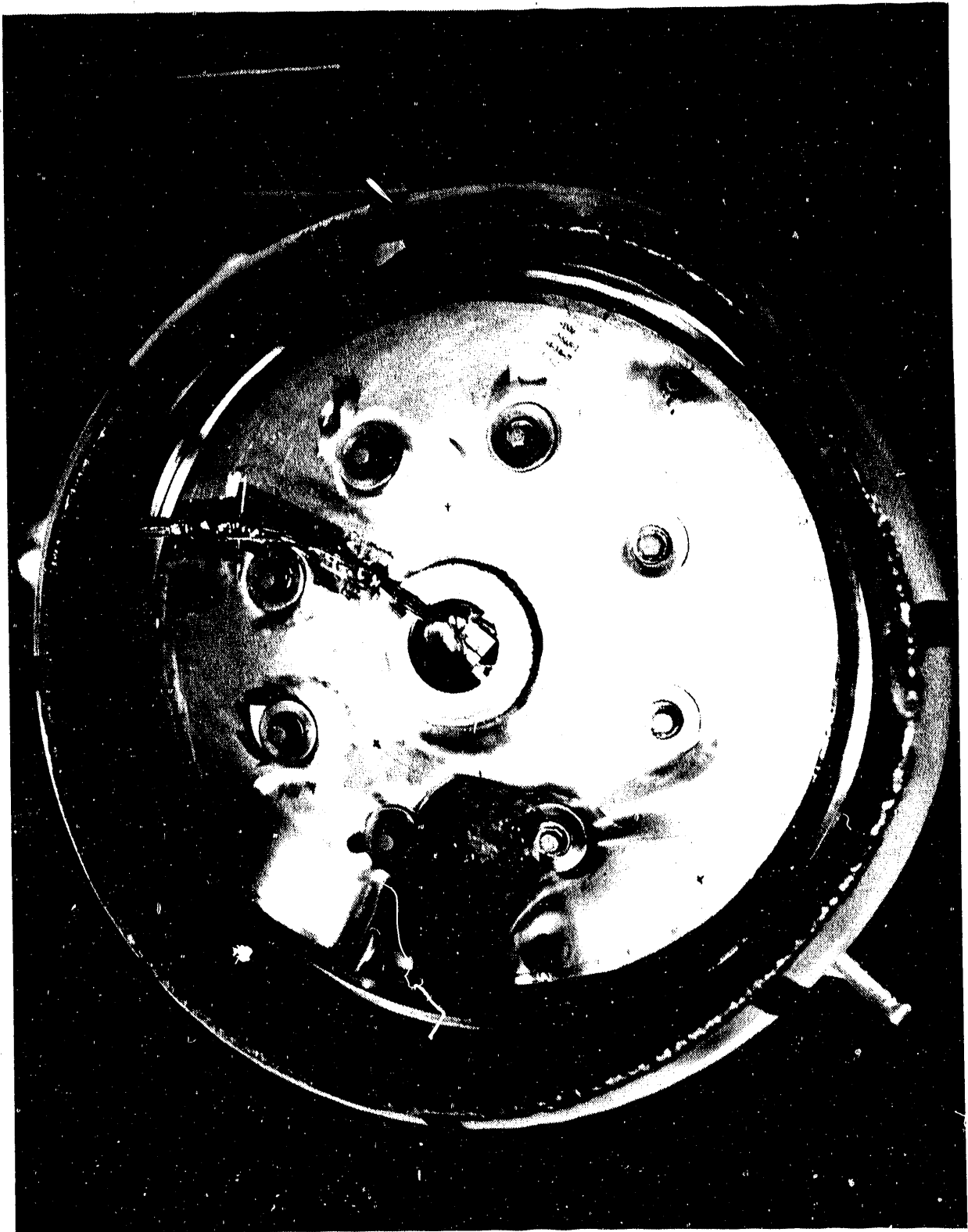


Fig. 4-9. Damage to thermal barrier after gas sample/leakage test port puncture drop

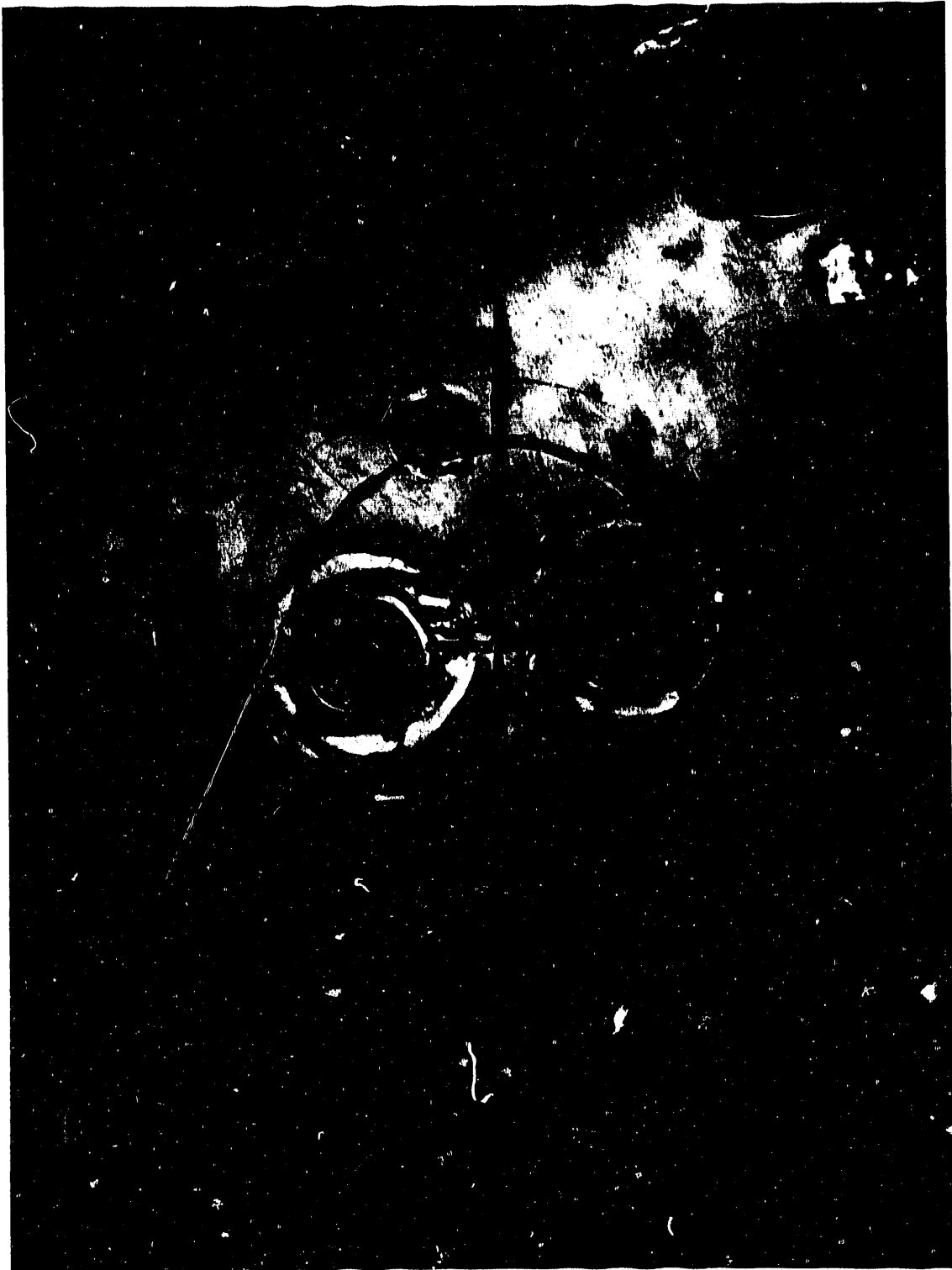


Fig. 4-10. Condition of closure (port area) after gas sample/leakage test port puncture drop

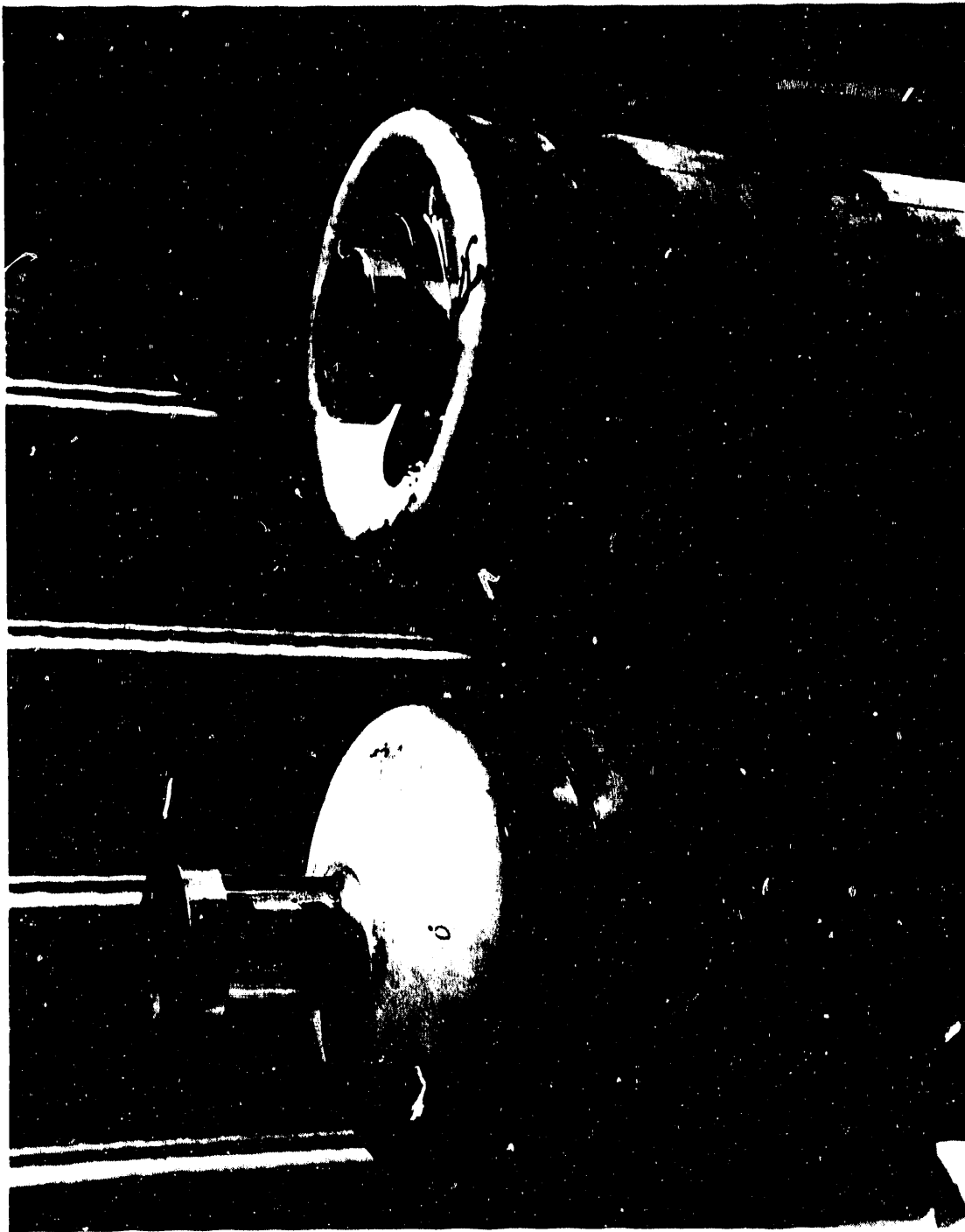


Fig. 4-11. Test canisters. First canister is undamaged. Second canister is shown after closure-end gas sample/leakage test port puncture drop tests.

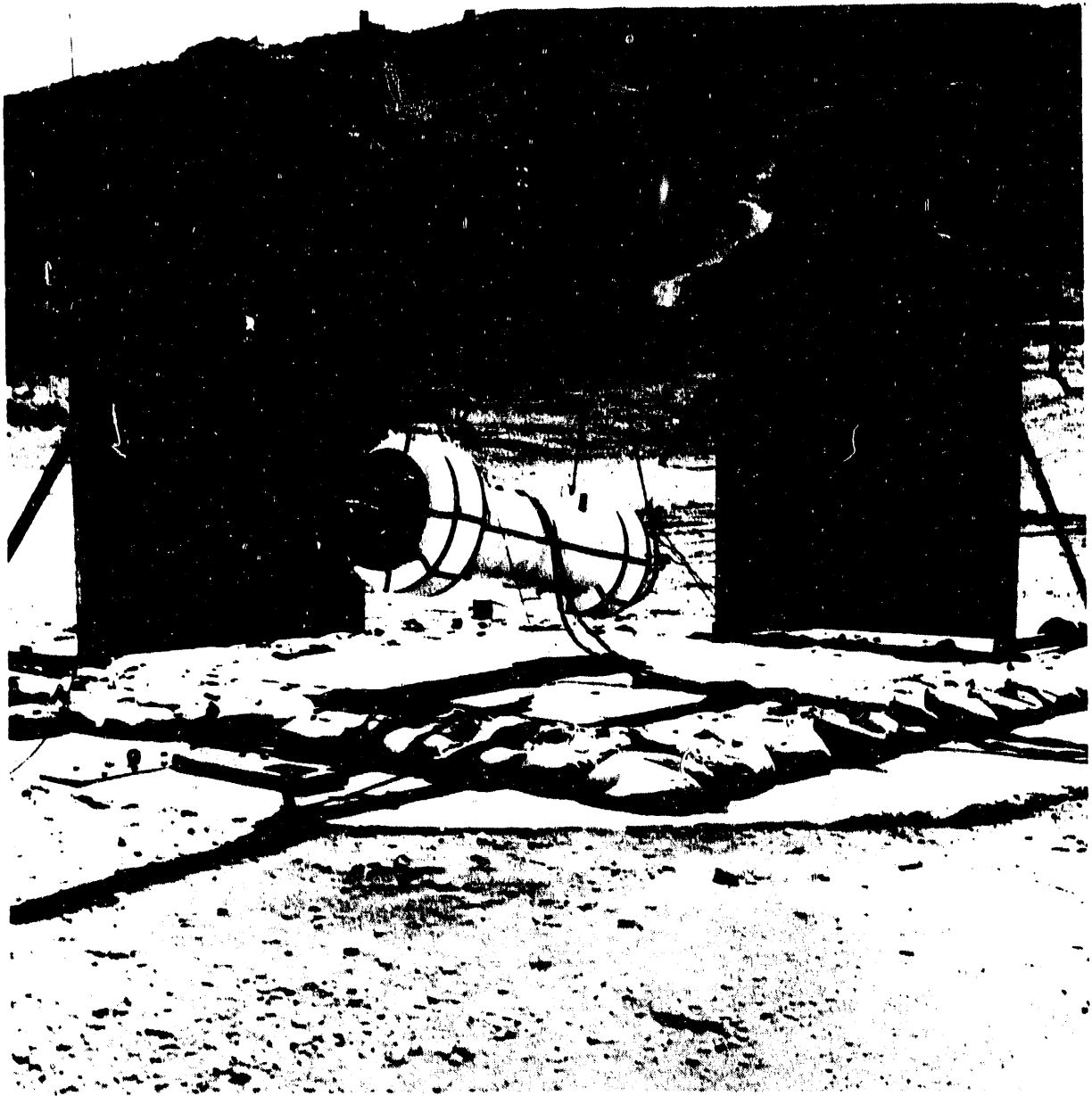


Fig. 4-12. Cask being raised into position before first side drop test

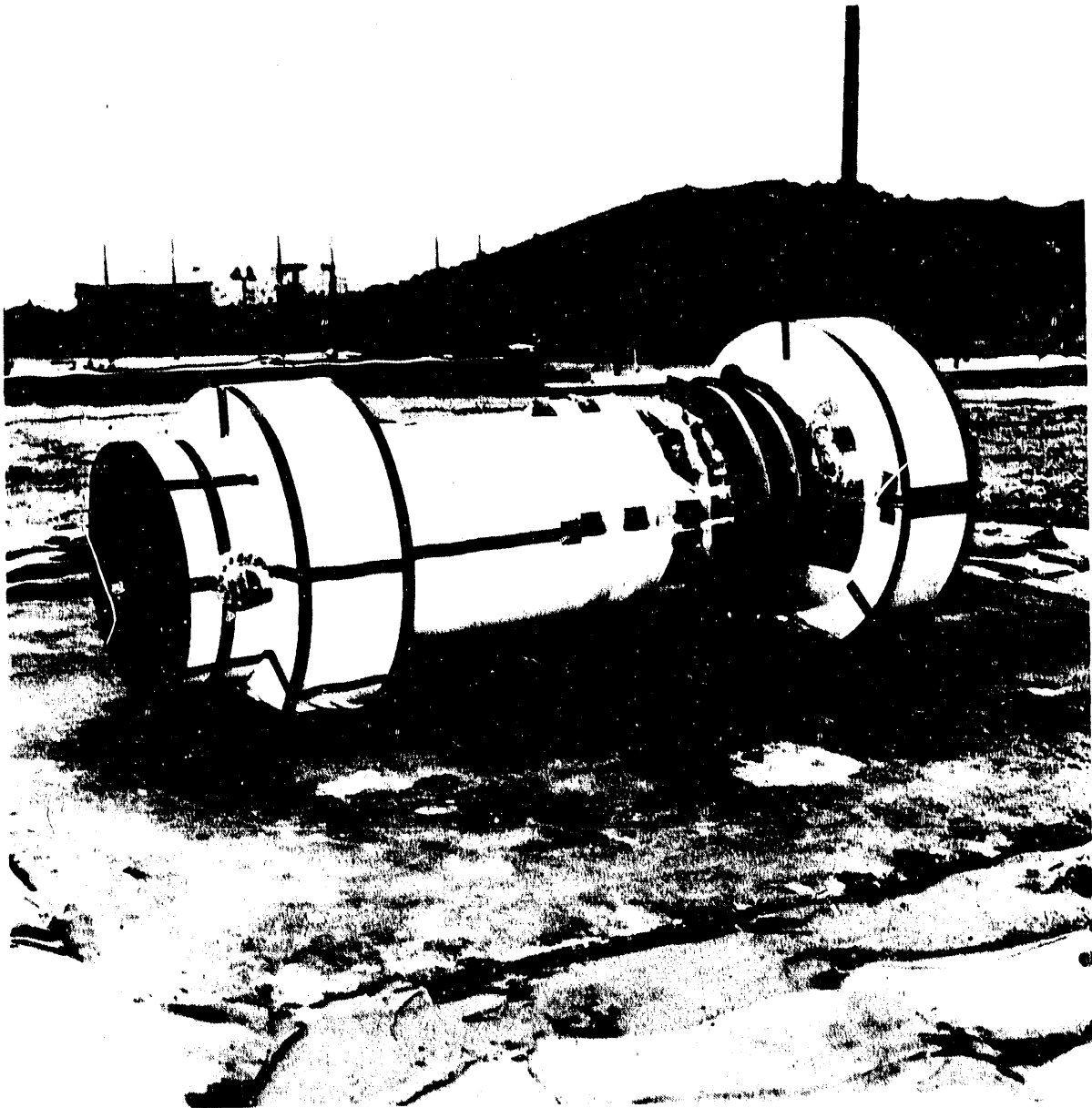


Fig. 4-13. Cask after first side drop test



Fig. 4-14. Honeycomb from lower circumferential impact limiter after first side drop test



Fig. 4-15. Test setup before center puncture drop



Fig. 4-16. Bottom end of cask after complete test sequence

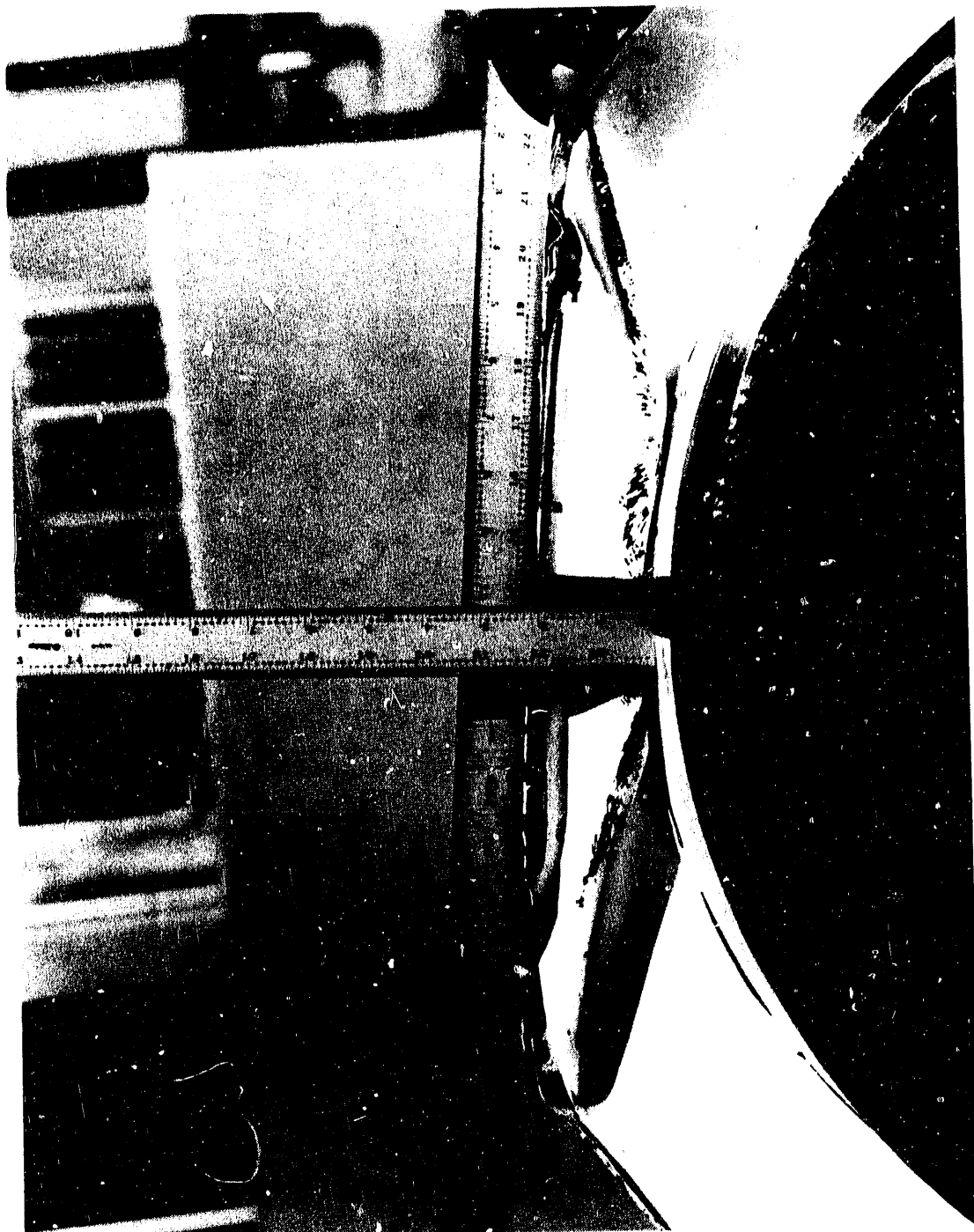


Fig. 4-17. Damage to upper circumferential impact limiter after second side drop test

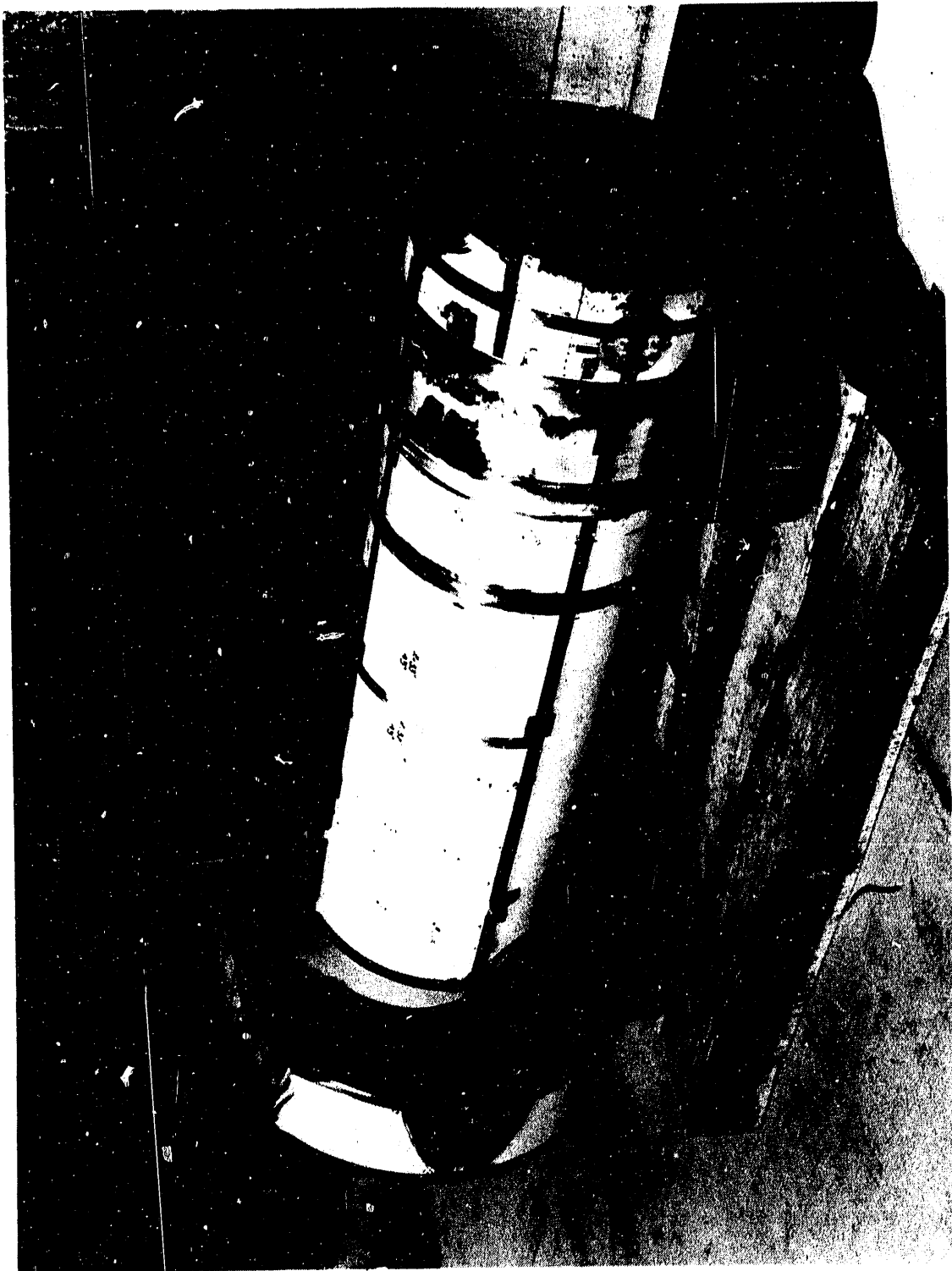


Fig. 4-18. Cask after complete test sequence



Fig. 4-19. Shield sleeve after complete test sequence

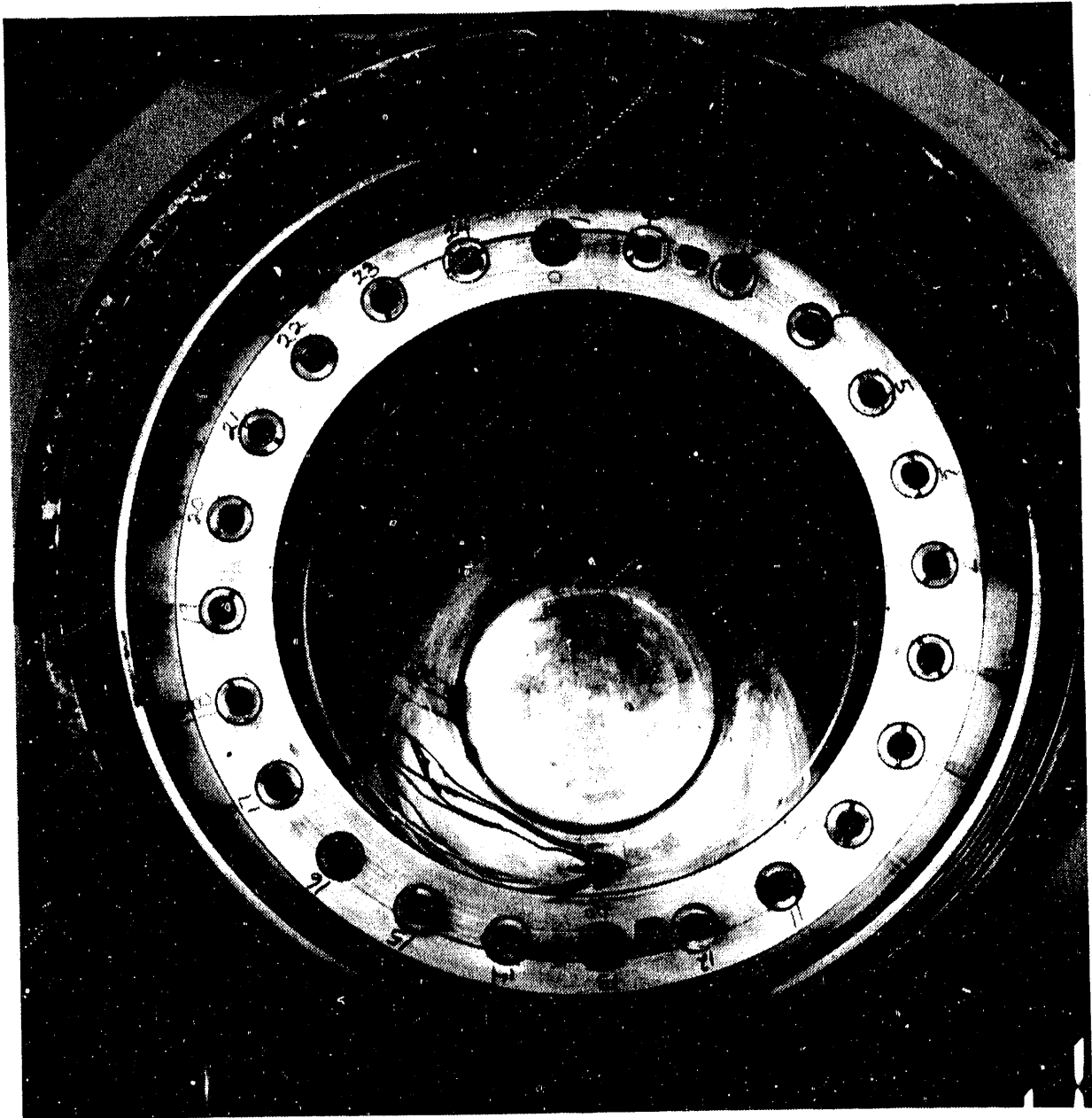


Fig. 4-20. Interior of cask body after complete test sequence

4.3. TESTING AND RESULTS

4.3.1. Scaling Laws

The results from the half-scale model tests can be related to the full-scale prototype using a specific set of scaling laws. These laws are derived from the Buckingham π theorem (Ref. 4-2), and they incorporate both material and geometric scaling. Since the model is constructed from materials that are either identical to the prototype materials, or have the same mechanical properties, and since the geometric scale is one-half of the prototype, the following relationships between model and prototype exist:

1. Model impact time is one-half prototype impact time.
2. Model angular displacements are the same as prototype angular displacements.
3. Model linear displacements are one-half prototype linear displacements at scaled times and homologous locations.
4. Model velocities are the same as prototype velocities at scaled times.
5. Model accelerations are twice the prototype accelerations at scaled times.
6. Stresses and strains at homologous locations are the same for model and prototype at scaled times.
7. Model natural frequencies are twice that of the prototype.

4.3.2. Information Gathered from Tests

The half-scale model was instrumented during the test to record its structural response. Photographs and dimensional measurements provided additional data.

The data gathered during the tests included information from the following sources:

1. Uniaxial piezoresistive accelerometers used to record the deceleration of at least four cask components: cask body, closure, shield liner, and contents. For their locations, refer to Table 4-2.
2. Strain gauges mounted on the cask body, located in high stress areas, used to obtain local strain measurements. The number and location varied with each test (see Table 4-2).
3. Strain gauged bolts which monitored axial closure bolt loads during some of the tests.
4. AC-AC linear variable differential transformers (LVDTs) mounted in the closure, which monitored the opening of the interfaces around the closure O-ring seals.
5. Radiographs of the depleted uranium (DU) performed before the test sequence and after tests 1, 3, 5, and 7 to determine the DU postaccident condition.
6. Radiographs of the cask body forging welds performed before and after the complete test sequence to determine the condition of the welds after the tests.

THIS PAGE LEFT INTENTIONALLY BLANK

TABLE 4-2
INSTRUMENTATION FOR 30-FT DROP AND PUNCTURE TESTS OF THE HALF-SCALE DHLW CASK

Instrument	Type/Catalog Number	Location (a)	Component	Direction	30 ft Drop Tests				Puncture Tests	
					Closure End	Bottom End	CG Bottom	Side 1	Side 2	Leak Port Center
SG 12	Uniaxial CEA-09-1250W-350	0°	OCB	Axial		X				
SG 12	All strain gauges are	Z = 6.75 in.				X				
SG 32	Micromerement gauges	Z = 9.5 in.				X				
SG 42		0°				X				
SG 52		75°				X				
SG 62		90°				X				
SG 72		90°				X				
SG 82		180°				X				
SG 92		180°				X				
SG 102		180°				X				
SG 112		255°				X				
SG 122		270°				X				
SG 132		270°				X				
SG 142 (b)		0°	ICB	(b)		X				
SG 152		0°				X				
SG 162		90°				X				
SG 172		90°				X				
SG 182		90°				X				
SG 192		180°				X				
SG 202 (b)		180°				X				
SG 212		180°				X				
SG 222		270°				X				
SG 232		270°				X				
SG 242		270°				X				
SG 252		75°				X				
SG 262	Biaxial CEA-09-1250T-350	90°	OCB	Axial-Hoop		X				
SG 272		90°		Hoop		X				
SG 28	Uniaxial CEA-09-1250W-350	30°				X				
SG 29		60°				X				
SG 30		120°				X				
SG 31		150°				X				
SG 322		90°	ICB	Axial-Hoop		X				
SG 332		90°				X				
SG 342		90°				X				
SG 352		90°				X				
SG 362		90°				X				
SG 372		90°				X				
SG 382		90°				X				
SG 412 (c)		270°	ICL	Radial-Hoop		X				
SG 422		270°	ICL			X				
SG 432		180°	OCB	Axial-Hoop (c)		X				
SG 442 (b)		180°	OCB	Hoop		X				
SG 452		90°	OCB	Hoop (b)		X				
SG 462		90°	OCB	Hoop		X				
SG 472		90°	OCB	Hoop		X				
SG 482 (b)		0°	OCB	Hoop (b)		X				
SG 492		180°	OCB	Axial		X				
SG 502		0°	OCB	Axial		X				
SG 512		0°	ICL	Radial-Hoop		X				
SG 522		90°	ICL	Radial-Hoop		X				
SG 532		270°	OCB	Axial		X				
SG 542		270°	OCB	Axial		X				

THIS PAGE
LEFT BLANK
INTENTIONAL

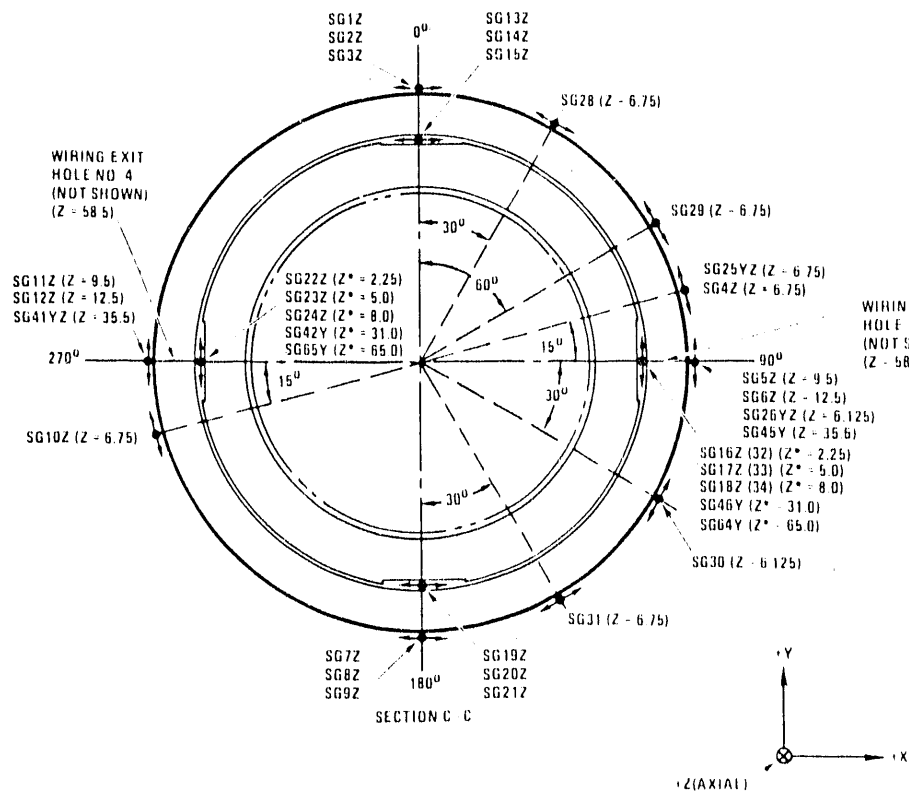
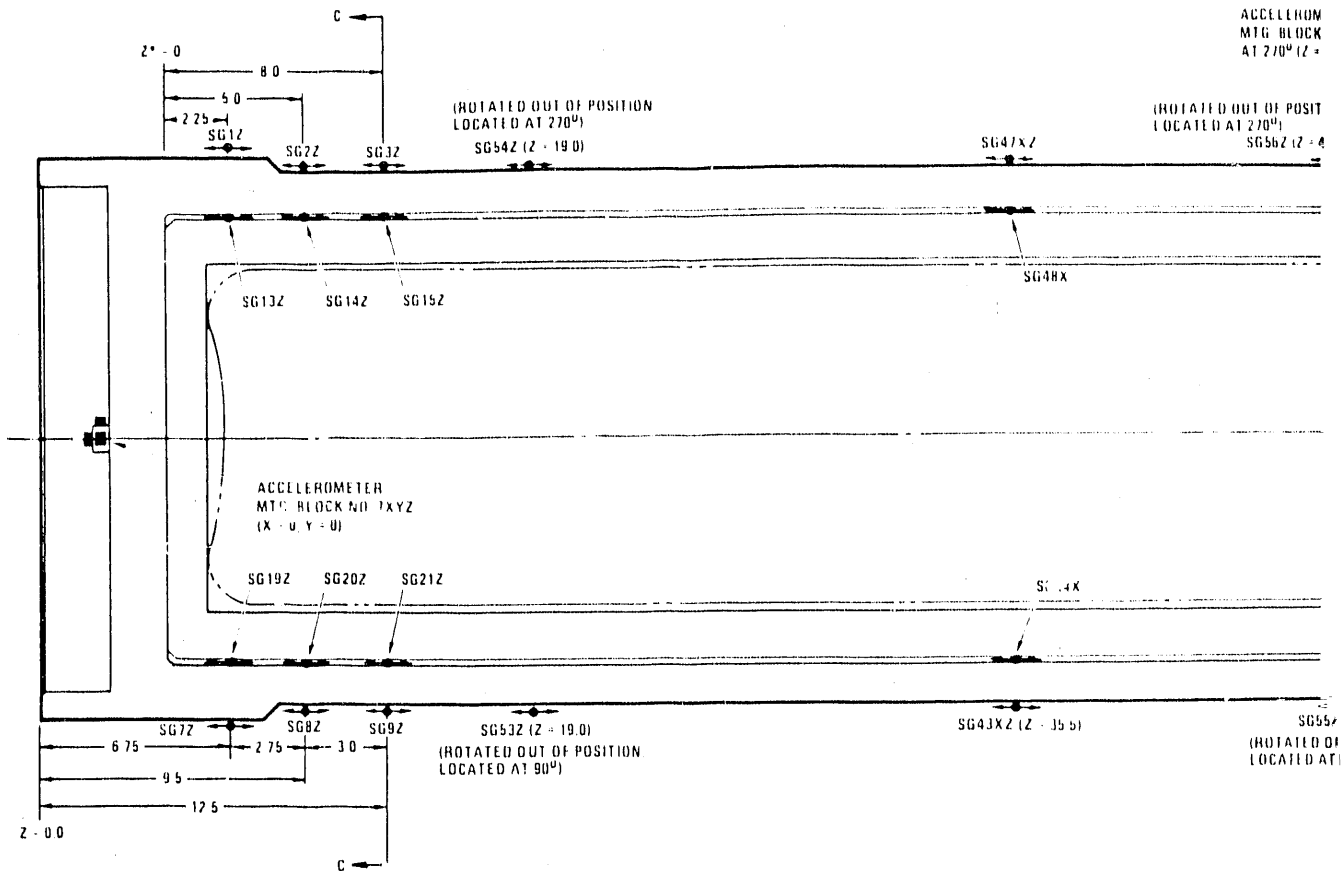
7. Seal leakage tests performed after each drop test to help evaluate the performance of the O-ring seals during and after the tests.
8. Cask body and gas sample port weldment leakage tests performed to evaluate performance of welds.
9. Dimensional measurements of the closure/cask body seal separation used to determine permanent separation of closure/cask body seal surfaces.
10. Dimensional measurements used to determine the damage and deformation that occurred during the tests.
11. Strain gauges mounted on the mild steel puncture pin used during the two puncture tests and used to determine bending and membrane strain components as the puncture pin deforms during the test.
12. Both still shots and high-speed film of the drop and the condition of the cask components.

A schematic of the instrumented cask appears in Fig. 4-21. Instrumentation locations are identified, as is the cask body coordinate system shown in the figure. Positive Body Z axis is directed along the cask axis towards the closure end of the package. Table 4-2 shows the instrumentation used in each test.

4.3.3. Test Results

The complete details of the testing and test results are reported in Ref. 4-1 a SNL report. That reference presents in detail the test procedures, detailed records of data obtained including accelerometer, LVDT, strain gauge bolts, and strain gauge response histories,

THIS PAGE LEFT INTENTIONALLY BLANK



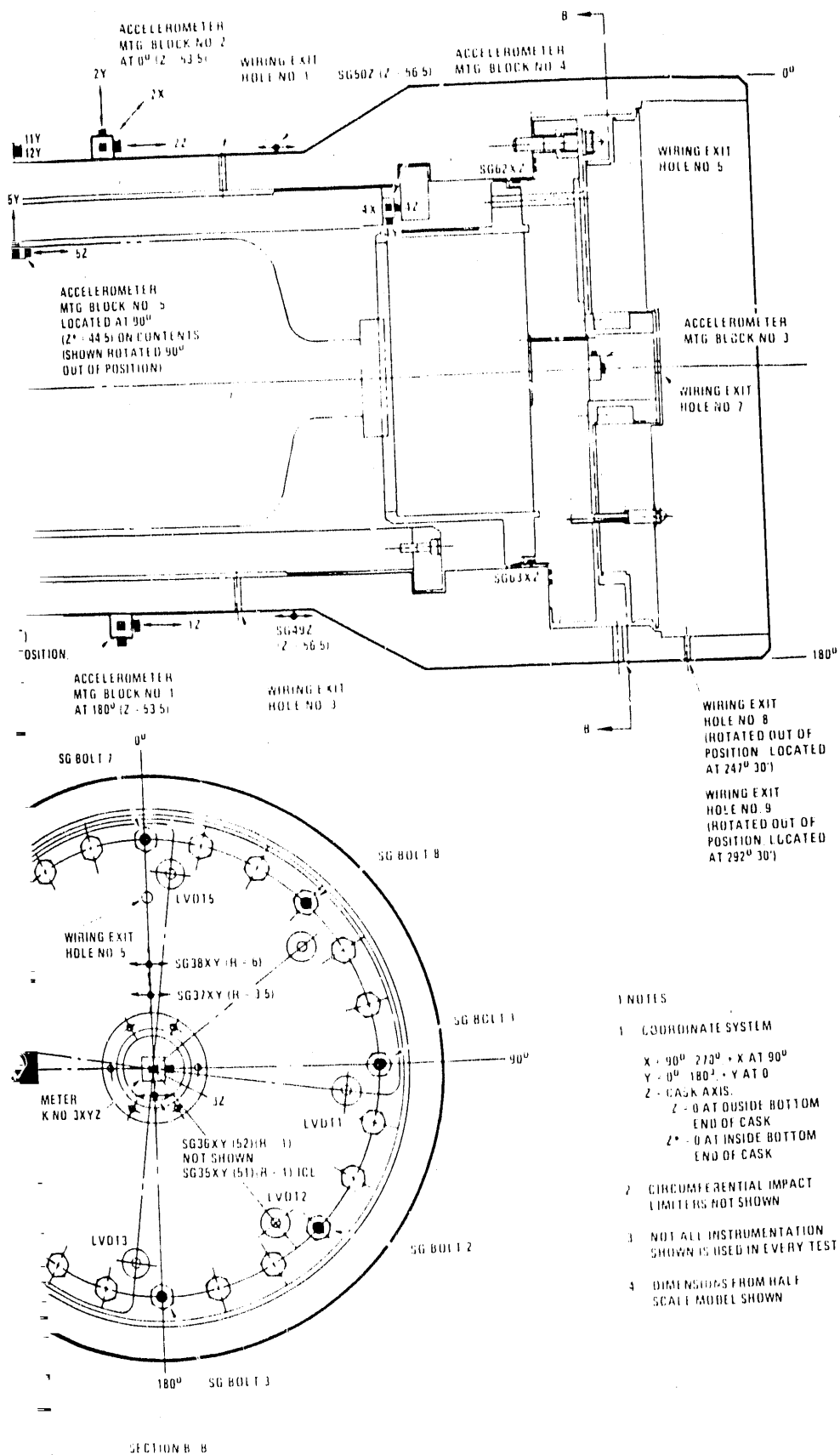


Fig. 4-21. Schematic of test instrumentation

THIS PAGE
LEFT BLANK
INTENTIONALLY

information regarding installation and calibration of the instrumentation, x-ray inspection, physical measurements, and leakage test results. This full set of data is not specifically repeated in this report. Instead, a summary of the peak and rigid body values, the trends, and description of damage is presented. Data that did not pass functional and evaluation checks are not included.

4.3.3.1. Accelerations and Impact Durations. The test results were filtered at 2000 Hz, using a low pass filter. This frequency level was chosen because it is in the linear range of measurements for the type of Entran accelerometers used. Two thousand hertz includes the first modes of response of the main components of the cask, and ensures that the fundamental behavior of the cask is included. Filtering the test data at 2000 Hz is equivalent to filtering the analytical results at 1000 Hz, since half-scale model natural frequencies are twice that of the full-scale prototype.

Table 4-3 presents the peak accelerometer test results converted to full-scale values for bottom and closure-end 9-m (30-ft) drop tests. Table 4-4 presents the results for the CG over bottom corner and the two side drop tests.

Evaluation of the accelerometer data shows that the duration of the closure end drop is 10.1 ms, for the bottom end drop is 5.0 ms, and for the CG over bottom corner drop is 11.1 ms. The primary impact and slap-down duration on the first side drop are 11.1 and 17.4 ms, respectively and 8 and 12 ms for the second side drop.

The results show that the bottom end 9-m (30-ft) drop causes the largest accelerations. This is expected since it is the shortest duration impact event and since the same amount of energy is absorbed in a shorter amount of time, the g levels are higher to reduce the velocity faster.

TABLE 4-3
TEST RESULTS - ACCELEROMETER DATA

Accelerometer No.	Accelerometer Location (Refer to Fig. 4-21)	Bottom End Drop	Closure End Drop
		Peak Acceleration (g)	Peak Acceleration (g)
A1z	Cask body $\theta = 180$ deg, Z = 53.5 in.	530	275
A2z	Cask body $\theta = 0$ deg, Z = 53.5 in.	525	245
A3z	Closure center top	--	475
A4z	Shield sleeve	940	288
A5z	Contents	890	93

TABLE 4-4
TEST RESULTS - ACCELEROMETER AND IMPACT DURATION DATA

Accelerometer No.	Accelerometer Location (Refer to Fig. 4-21)	First Side Drop		Second Side Drop		CG Over Bottom Corner Drop (a)	
		Peak Acceleration (g)	Peak Acceleration (g)	Peak Acceleration (g)	Peak Acceleration (g)	Peak Acceleration (g)	Peak Acceleration (g)
A1z A1y A1x	Cask body $\theta = 180$ deg, $Z = 53.5$ in. ($\theta = 90$ deg for second side drop)	63 175		85 193		235 108	
A2z A2y A2x	Cask body $\theta = 0$ deg, $Z = 53.5$ in. ($\theta = 270$ deg for second side drop)	123 --		115 193		268 103	
A11y A12y	Cask body $\theta = 270$ deg, $Z = 48.75$ in.			210 233			
A3z A3y A3x	Closure center top	80 315		100 300		475 88	
A4z A4y A4x	Shield sleeve	88 430		180 --		490 95 235	

TABLE 4-4 (Continued)

Accelerometer No.	Accelerometer Location (Refer to Fig. 4-21)	First Side Drop		Second Side Drop		CG Over Bottom Corner Drop(a)	
		Peak Acceleration (g)	Acceleration (g)	Peak Acceleration (g)	Acceleration (g)	Peak Acceleration (g)	Acceleration (g)
A5z	Contents	879		90		440	
A5y		650		460		35	
A5x						218	
A7z	Center of bottom plate (cask body)	58		128		813	
A7y		285		440			
A7x						50	

(a) G levels shown are for primary impact, secondary impact g levels are much lower.

4.3.3.2. Strain Gauges. Strain gauges were used during the tests to measure strains in critical areas. The results were filtered at 10,000 Hz and are summarized below and show that only minor damage occurs in the cask during any of the drop events:

1. Bottom end drop test. During this test, axial strain gauges were located on the inside and outside of the cask body near the bottom end at the levels shown in Fig. 4-22. There were four strain gauges at each of the designated locations, at 0, 90, 180, and 270 deg. The test was not perfectly axisymmetrical; the cask hit first near the 270 deg side. To demonstrate the axisymmetrical behavior of the cask, the peak strain recorded by the four strain gauges at each level were averaged and shown in Fig. 4-22. Even though the peak strains do not occur at the same time in all of the gauges, this average strain conservatively reflects the behavior of the bottom of the cask. For example, an important feature of the behavior is that at the inside corner of the cask, the strains are positive (tension) and at the outside of the cask, the strains are negative. This shows that there is a bending moment at the junction of the sidewall and bottom plate.

The strain offset recorded by most of the gauges is within the 200 $\mu\epsilon$ uncertainty band level, except for the gauges inside the cask body at $Z = 12.5$ in. The average of these gauges measures a permanent strain offset of 456 $\mu\epsilon$. The largest axial peak strain measured during the event was 2450 $\mu\epsilon$ or 0.245% strain, which is near yield as defined by the 0.2% strain offset method.

2. Closure end drop test. During this test, strain gauges were located on the closure. The gauges were mounted on the exterior of the closure on a line at 1, 3.5, and 6 in. from the center of the closure, and also on the inside at 1 in. from

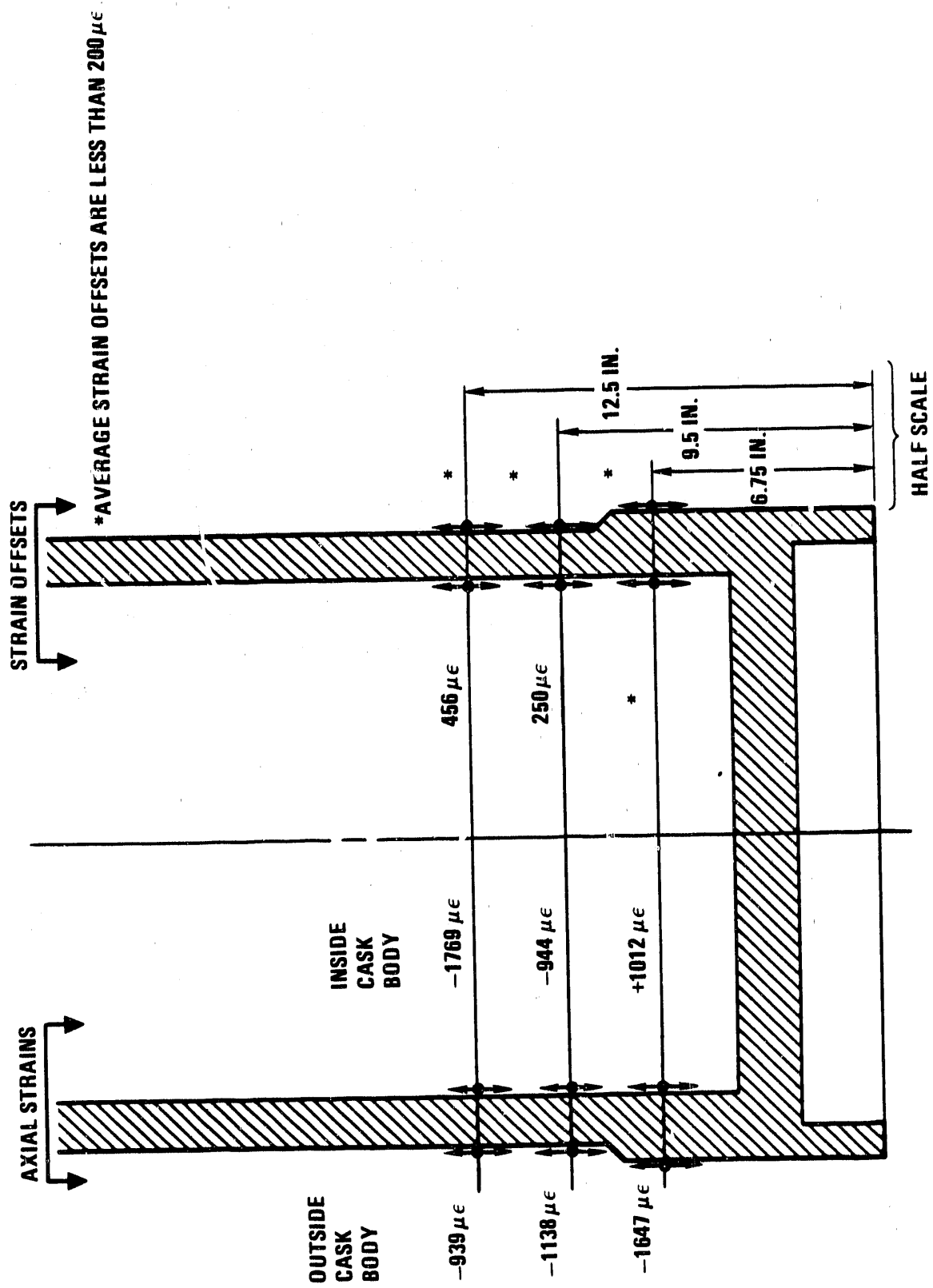


Fig. 4-22. Average of peak strains from strain gauges at each level recorded during bottom end drop test

the center of the closure. The gauges at each location measured strains in both radial and hoop directions. All the gauges registered peak strains below yield and had no permanent strain offset at the end of the event. The highest measured strain on the exterior of the closure was less than 500 $\mu\epsilon$.

3. First side drop. During this test, strain gauges were located on the interior and exterior of the cask body as shown in Table 4-2. Axial and hoop strains were measured. All of these strain gauges recorded peak strains below yield and no permanent strain offset. The highest strain recorded was 1075 $\mu\epsilon$ which is below yield, and had a permanent strain offset of 100 $\mu\epsilon$. This value is within the uncertainty band level for the strain gauges.
4. CG over bottom corner drop. During this test, plastic strains were measured locally near the bottom end around the point of impact (90 deg). Figures 4-23 and 44-24 show the results of the measurements. The highest strain measured on the cask body was 3300 $\mu\epsilon$ in the axial direction. This amount of strain is minimal for a ductile material such as Type 304 stainless steel.
5. Second side drop. During this test, strain gauges were located on the interior and exterior of the cask at the locations described in Table 4-5 to record hoop and axial strains. The highest strain measured near the seal surfaces was 1725 $\mu\epsilon$ in the hoop direction which is below the yield point as defined by the 0.2% offset method. There was a permanent strain offset on the same gauge of 350 $\mu\epsilon$. This offset is not unusual in material like stainless steel in which the stress-strain curve is not linear near the defined yield point, as defined by the 0.2% offset method. When the

STRAIN GAUGE CIRCUMFERENTIAL LOCATION IS THE SAME AS THE IMPACT POINT (90° SIDE)

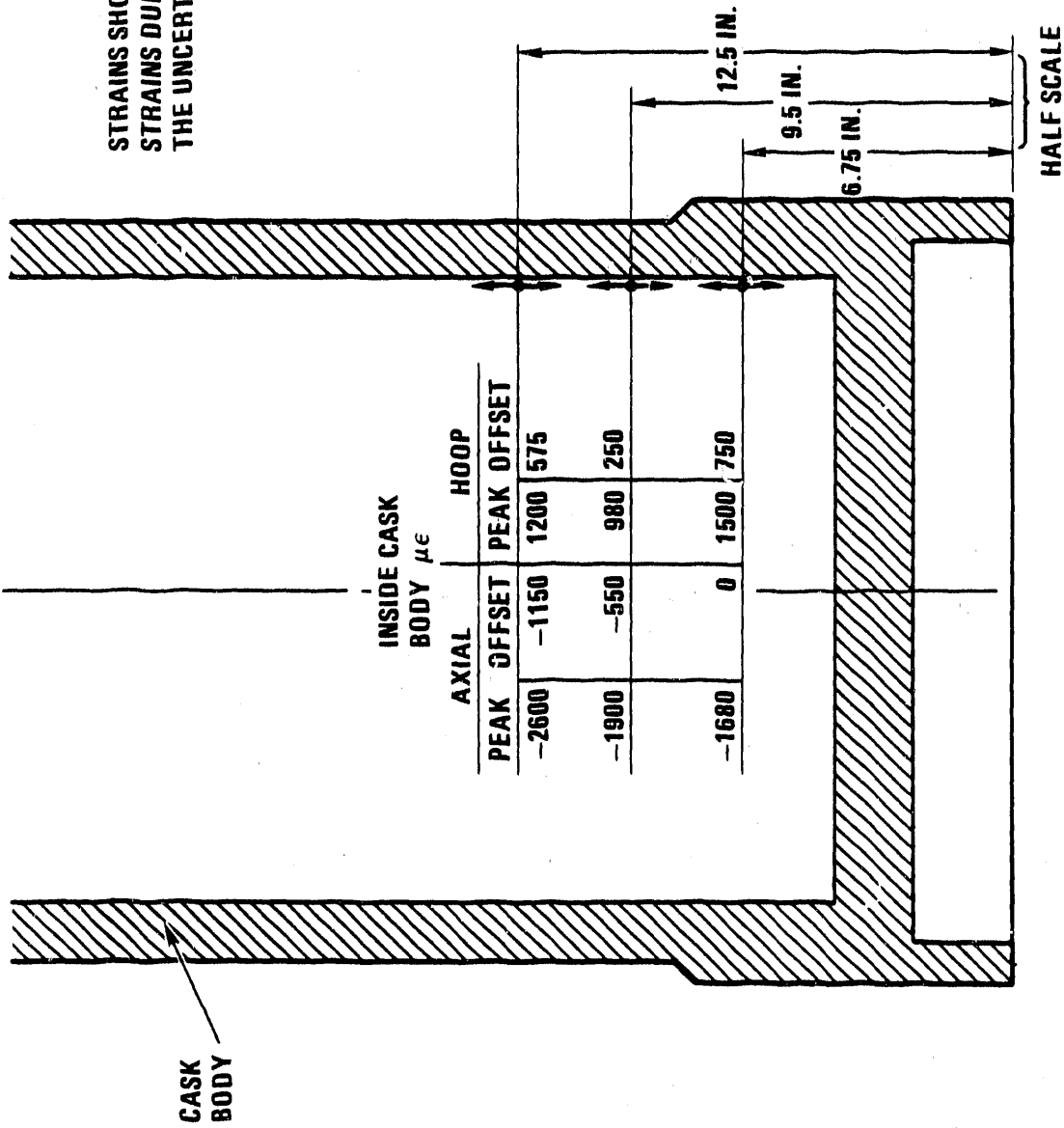
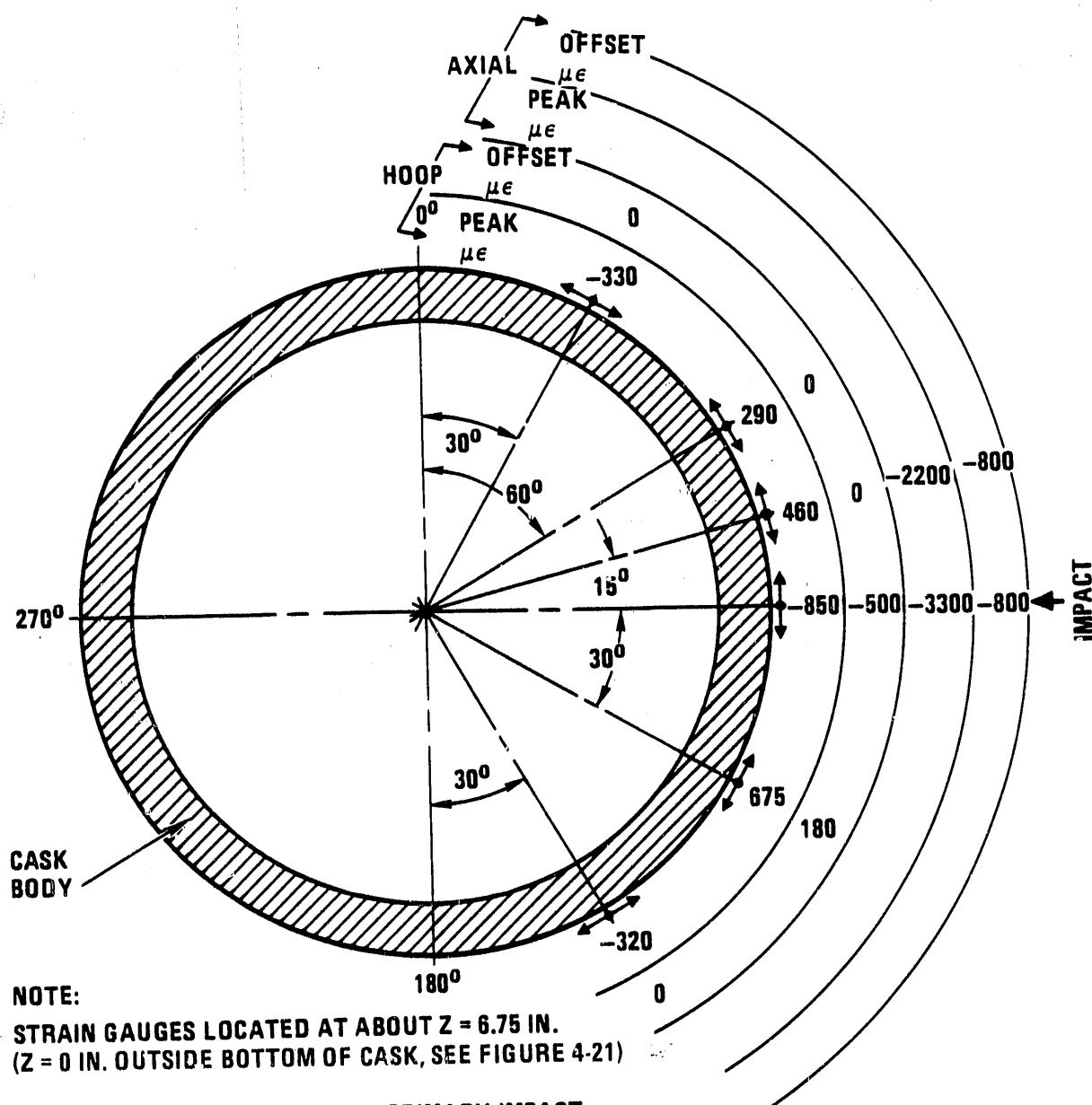


Fig. 4-23. Inner surface cask body strains during CG over bottom corner 9-m (30-ft) drop



NOTE:
 STRAIN GAUGES LOCATED AT ABOUT $Z = 6.75$ IN.
 ($Z = 0$ IN. OUTSIDE BOTTOM OF CASK, SEE FIGURE 4-21)

STRAINS SHOWN OCCUR DURING PRIMARY IMPACT.
 STRAINS DURING SECONDARY IMPACT ARE WITHIN
 THE UNCERTAINTY LEVEL OF $200 \mu\epsilon$.

Fig. 4-24. Outer surface cask body strains during CG over bottom corner
 9-m (30-ft) drop

TABLE 4-5
STRAINS AND STRAIN-OFFSETS MEASURED DURING SECOND SIDE DROP TEST

Location (a)		Hoop (d)				Axial (d)			
Height (b) (in.)	Angle	Strain/Strain-Offset ($\mu\epsilon$)				Strain/Strain-Offset ($\mu\epsilon$)			
		0 deg (c)	90 deg	180 deg	270 deg	0 deg (c)	90 deg	180 deg	270 deg
6.75 OCB		-740/0	--	--	--	2000/700	--	--	--
9.5 ICB		--	--	--	--	-950/-575	--	<150/0	--
19.0 OCB		--	--	--	--	--	--	--	-930/0
35.5 OCB		650/0	--	830/0	-550/0	1200/100	--	--	-280/0
ICB		880/0	--	-775/-75	960/50	--	--	--	--
48.0 OCB		--	--	--	--	--	990/75	--	-1000/0
56.5 OCB		--	--	--	--	720/0	--	-750/50	--
69.5 ICB		1725/350	-1475/-150	1375/150	-1300/-75	-540/-125	--	230/-40	--

(a) Location key:

OCB = outside cask body

ICB = inside cask body

(b) Height dimensions shown are half-scale. Z = 0 is at the outside bottom end of the cask body.

(c) Impact occurred at 0 deg.

(d) The strain gauge uncertainty band is 200 $\mu\epsilon$.

material is loaded in the elastic regime and then unloaded, the return slope is not equal to the original loading slope, causing a small strain offset. The measurements of the cask dimensions discussed in Section 4.3.3.4 show that the deformations near the seals are small and therefore consistent with these strain data.

4.3.3.3. Strain Gauged Closure Bolts. Strain gauged closure bolts were used for all tests except the bottom end drop to determine the axial load on the closure bolts during accident events. The results show that the axial loads on the closure bolts remain well below allowables. In addition, the bolt length and straightness were measured after all test sequences and showed that the closure bolts did not yield in tension or bending.

The highest bolt loads measured occurred during the 9-m (30-ft) closure-end drop test. The highest peak load recorded was 3280 lb. This load represents an additional load above the initial preload on the bolts. The nominal stress on the bolts due to the initial 1000 ft-lb full-scale pretorque is 28,500 psi. The increase on the bolt stress due to the peak load can be calculated as follows:

$$\Delta\sigma_{\text{bolt}} = \Delta P/A \quad ,$$

where ΔP = highest peak load recorded = 3280 lb,

A = minimum tensile area of the half-scale bolt (0.75 -10 UNC) = 0.334 in.². (Conservative because area of full-scale bolt is proportionally larger.)

Substituting

$$\Delta\sigma_{\text{bolt}} = 3280/0.334 = 9820 \text{ psi} \quad .$$

Therefore, the maximum total stress on the closure bolt due to any accident drop event is 28,500 + 9820, or 38,220 psi. This stress

level is well below the yield stress of the bolt material at 160°F, which is 146.4 ksi per Ref. 2-5. Allowable membrane stress on the bolts is 124.6 ksi during accident conditions.

Also, the torque on the bolts was measured before and after the tests. The measurements show that all the bolts remained preloaded after the tests. The lowest posttest torque measured on any one bolt was 60 ft-lb or half of the original assembly torque. Therefore, the tests proved that the closure bolts will retain preload after the hypothetical accident drop events.

4.3.3.4. Dimensional Measurements. Extensive dimensional measurements were performed on the cask model throughout the test sequence. Complete sets of measurements were taken after test Nos. 1, 3, 5, and 7. Following is a summary of the damage measured on each of the cask components after the tests:

1. All dimensions quoted in this section have been converted to full-scale by multiplying the model dimensions by two. Rotational measurements remain the same.
2. Due to the extensive handling and repeated assembly and disassembly of the cask during the test sequence, minor indentations and deflections are expected in the cask, causing small changes in the measurements. Even though these changes are small, the measurements in the critical areas are reported for completeness:
 - a. Closure plate. There was no deformation of the closure plate after any or all of the tests, except for local indentations during the puncture drop tests. During the gas sample/leakage test port puncture drop test, there was a maximum indentation of 0.022 in. under the punch and a maximum indentation of 0.008 in. under the pintle

after the center puncture event. This kind of damage is superficial. There was no damage to the port plug, and its safety function was not impaired. Figure 4-10 shows the condition of the port area after the port puncture drop test.

There was no flatness or dimensional change in the sealing surface during any of the tests; all measurements were within 0.008 in.

The tests showed that the closure plate can withstand 9-m (30-ft) drop and puncture hypothetical accident events without damage.

- b. Cask body. During all the tests there was no damage to the sealing surface. All diameter changes within the first 12 in. of the cask were less than 0.020 in. After the complete test sequence, the dimensions on the upper 10 in. of the model, including the seal surfaces, were still within manufacturing tolerances. There was also small deformation through the length of the cask body (not including the integral impact limiters); for details see discussion of individual tests below. After all tests, the measurements from the seal surface to the shear ring slot remained unchanged within 0.006 in.

The tests show that the cask body will withstand the 9-m (30-ft) drop and puncture hypothetical accident events without significant damage or change in internal volume.

Following is a description of the measurements after each test sequence:

- (1) After test No. 1 - bottom end drop. The cask body decreased in length an average of 0.034 in. The length was obtained by measuring from the top of the cask body to the bottom of the bottom plate at the outside of the cask side wall. The measurements made from the top of the cask body (seal surface) to the top of the bottom plate at the inside of the cask side wall increased 0.092 in. This increase in length is consistent with the positive strains measured and predicted at the inside bottom corner of the cask. There was no change in length on the upper part of the cask, as demonstrated by the shear ring slot measurements. Most deformations occurred near the lower impact limiter.

The center of the bottom plate bowed 0.34 in. and at a radius of 9 in. the bottom plate bowed an average of 0.24 in. There was an average decrease in the length of the bottom impact limiter of 0.53 in.

- (2) After tests No. 2 and 3 - closure end drop and puncture over gas sample port drop. All diameter changes in the cask body were within 0.020 in. The overall average change in length of the cask body was 0.0015 in. There was no bowing of the bottom plate.
- (3) After tests No. 4 and 5 - first side drop and center puncture drop. The maximum ovalization measured on the top of the cask body was -0.018 in. in the 0- to 180-deg diameter; i.e., in line with the first side

drop test impact at the 180 deg side; +0.008 in. were measured in the 90- to 270-deg diameter, perpendicular to the impact. After test No. 4, first side drop, measurements show a maximum possible movement of the closure relative to the cask body of 0.034 in. including the ovalization reported above. This movement will not result in a change in the containment capabilities of the cask since it involves only about 14% of the surface contact width of the O-ring seals. The half-scale cask model remained leaktight.

There was also a small deformation of the cask body bottom plate; the change in length from the seal surface to the center of the bottom plate measured 0.014 in. There was a local change in length in the bottom impact limiter near the side of impact during the side drop of 0.16 in.

- (4) After tests No. 6 and 7 - CG over bottom corner drop and second side drop. The measurements in the cask body showed that there was negligible deformation of the cask body side wall diameter as measured by maximum ovalization of 0.010 in the diameter at the top of the cask body near the seal area. All other changes in the cask body measurements were within 0.026 in. on the diameter. There was negligible bowing of the cask body bottom plate since measurements showed that the distance from the top of the cask body to the center of the bottom plate changed 0.004 in. The largest change in length of the cask body wall occurred on the same side as the side drop impact (0 deg); it measured 0.348 in. The lower impact limiter deformed 2.6 in. at the location of

the CG over bottom corner drop impact in the direction of the impact. During the side drop it deformed 2.3 in.

- c. Shield sleeve assembly. Only minor measurement changes were recorded on the shield sleeve assembly after any of the tests. The maximum change in diameter was 0.076 in. There was a maximum measured bowing of the bottom plate of 0.202 in. during the bottom end drop. All welds and joints were intact. Figure 4-19 shows the condition of the shield sleeve after the complete test sequence.
- d. Shear ring. The damage on the shear ring was minor since after all the tests, the flatness of any shear ring unit was still within 0.044 in. Throughout any tests, the bottom surface remained parallel to the top surface within 0.044 in.
- e. Internal honeycomb impact limiter assembly. The same honeycomb impact limiter, shield plate and support cylinder were used for all the tests. The measured changes in the shield plate were within 0.006 in. on all the tests; the maximum change in length recorded on the internal honeycomb impact limiter was 0.022 in. which is a negligible amount for the honeycomb material since it can crush close to 5 in. before bottoming out.
- f. Closure/cask body seal separation. Measurements from the top of the closure to the top of the cask body using Linear Variable Differential Transformers (LVDTs) were taken to determine if there was a permanent separation or indentation between the cask body and the closure surfaces. The measurements were taken after every test. All measurements showed that the two surfaces did not

separate or indent after all tests, as measured to an accuracy of 0.002 in. There was a local change measured after the port/puncture test, but this change was due to the indentation on the closure outer surface caused by the punch and not due to deformation of the seal surfaces. This measurement is consistent with the indentation measured on the closure outer surface after the port/puncture test.

- g. Notched impact limiter. The notched impact limiter performed as expected during the test sequence. There was negligible damage to it during all the tests, except the closure end drop.

During the closure end drop, the first two notches closed up around the complete circumference. The third notch closed up in some areas and not in others. The notched impact limiter decreased in length an average of 1.81 in. The maximum radial increase measured was 1.248 in.

- h. Circumferential impact limiters. Figures 4-25 and 4-26 show the damage to the circumferential impact limiters during tests 4 and 7. The maximum crush of the lower circumferential impact limiter measured 4.56 in. (full-scale) out of the initial 8.26 in. (full-scale) thickness of the honeycomb. The average crush in the lower circumferential impact limiter was 3.32 in. (full-scale). The maximum crush in the upper circumferential impact limiter resulted during the second side drop, when the cask was tested without the lower circumferential impact limiter. The maximum crush obtained was 4.86 in. (full-scale), and the average crush was 4.48 in. (full-scale). The original honeycomb thickness in the impact limiter was 7.75 in.

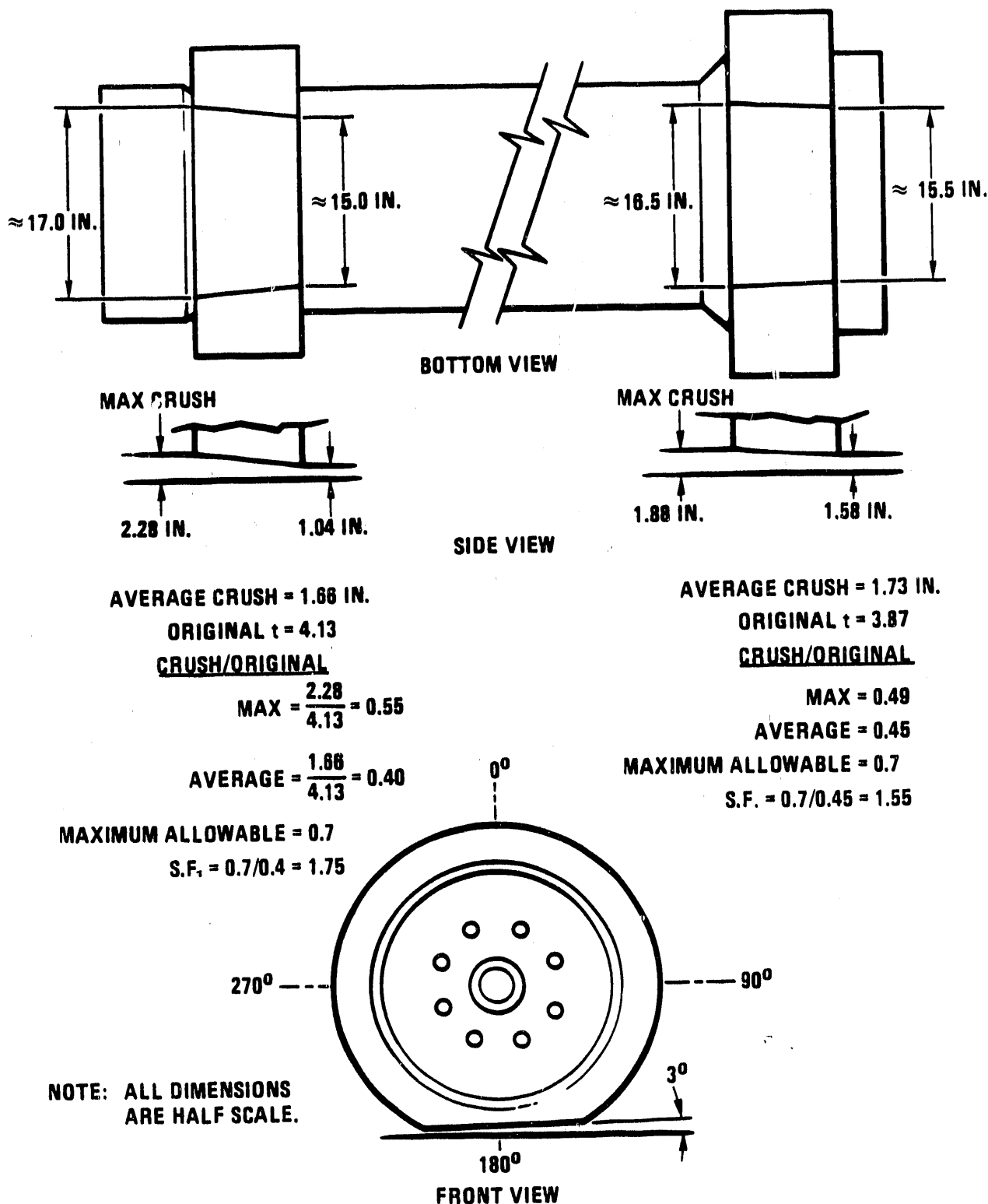


Fig. 4-25. First side drop test circumferential impact limiter deformation

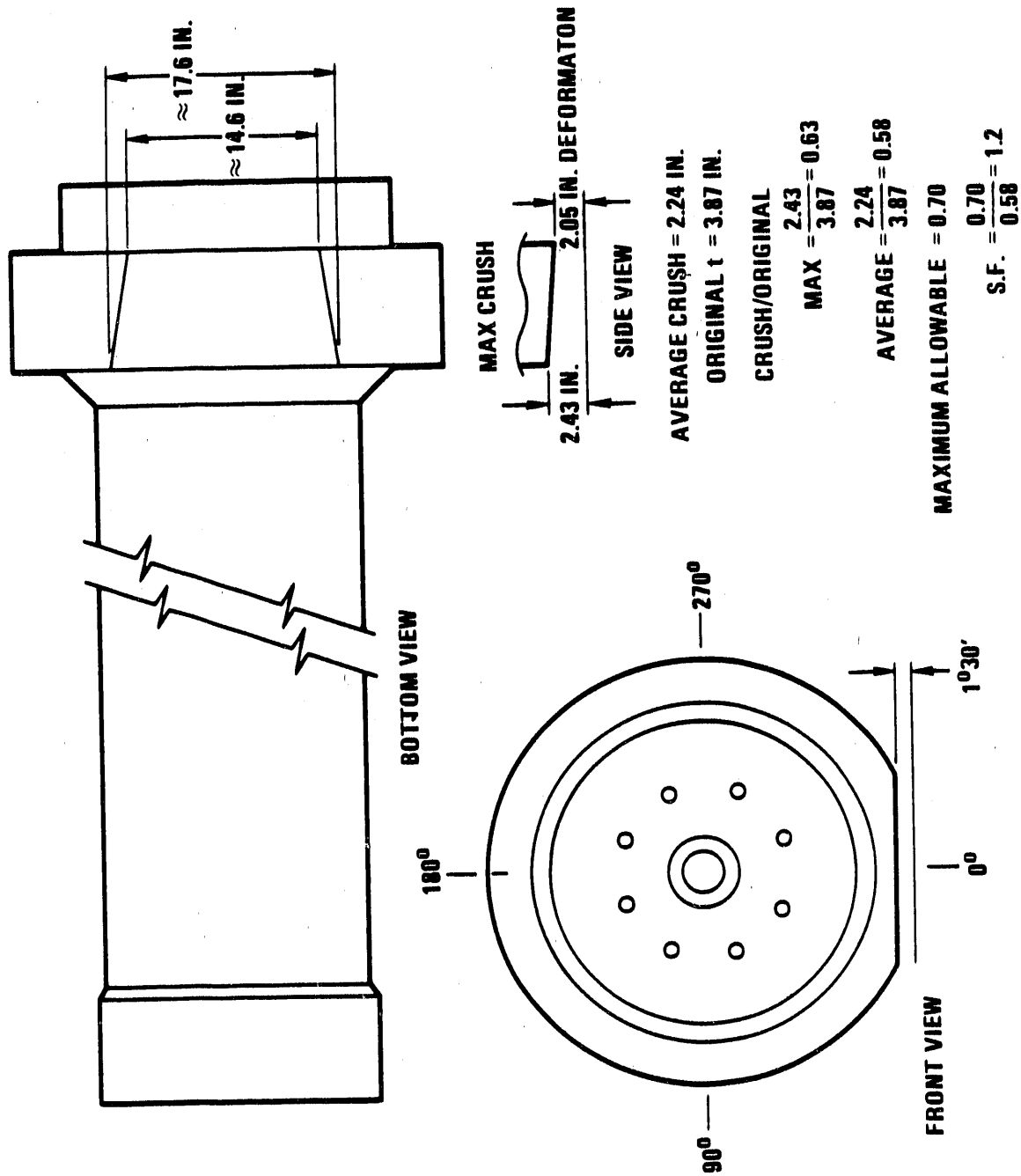


Fig. 4-26. Circumferential impact limiter deformation after second side drop test

It is desirable to keep the maximum crush below 70% of the initial honeycomb thickness. After this amount of crush, the honeycomb "bottoms out;" i.e., the strength of the honeycomb rapidly increases from its crush strength to the strength of aluminum in compression. The lower circumferential impact limiter has an average design margin of 1.75 against reaching this maximum crush. The upper circumferential impact limiter shows an average design margin of 1.2 against reaching the 70% maximum crush allowed. This is very conservative, especially in light of the fact that the test was more severe than expected since the lower circumferential impact limiter had been removed.

The test confirms that impacting the hard lower end impact limiter produces higher loads on the upper end than impacting the lower circumferential impact limiter first.

5. COMPARISON OF TEST RESULTS WITH ANALYTICAL RESULTS

This section compares the elastic GACAP analysis and the inelastic analysis results with half-scale model test results. Not all drop orientations were tested or analyzed (using inelastic methods) but all drop orientations were analyzed using GACAP. This comparison shows that there is good agreement on the alternate verification methods. The results show that the analytical approaches are conservative and that both inelastic and elastic analyses can be used to analyze a cask during the 9-m (30-ft) drop hypothetical accident events. Results also show that the inelastic structural criteria based on the ASME Code can be used for the containment boundary away from the sealing surfaces and results in a safe cask.

The exhaustive analyses and tests performed on the DHLW cask show that casks with compact integral impact limiters are not only easier to handle and operate but also result in rugged, safe casks in which the increased thickness of the containment boundary wall offers added safety, since the austenitic stainless steel can absorb large amounts of energy when subjected to extra-regulatory events.

5.1. ACCELERATIONS AND DURATION

The results show good comparison between the accelerations obtained by test and elastic and inelastic analyses. The cask body accelerations are summarized in Table 5-1. The differences were expected and explained as follows: the inelastic analysis and test acceleration information were filtered at the same equivalent frequency (1000 Hz, full-scale). Filtering of the inelastic analysis accelerations was performed using the Cooley-Tukey Fourier transform program developed by Brenner and presented in Ref. 5-1. The filtered data contain higher

TABLE 5-1
COMPARISON OF INELASTIC AND ELASTIC ANALYSES AND HALF-SCALE TEST RESULTS

	10 Deg Slapdown Drop						
		CG Bottom Corner Drop	With LCIL(a)		No LCIL(b)		
			LCIL(c)	UCIL(d)	LEIL(e)	UCIL(d)	
<u>Inelastic Analysis</u>							
g's	334 peak 220 rigid	773 peak 530 rigid	(f)	283 peak 215 rigid	NA	NA	NA
Impact limiter crush (in.)	2.6	1.0	8.7	3.7	NA	NA	NA
Impact duration (ms)	10	4.8	22.3	11.0	NA	NA	NA
<u>Elastic Analysis</u>							
g's	Maximum I.L.(g)	210	484	116	208	236	231
	strength						
	Minimum I.L.(h)	189	381	118	189	235	168
	strength						
Impact limiter crush (in.)	Maximum I.L.(g)	2.2	1.0	6.9	3.0	4.3	4.0
	strength						
	Minimum I.L.(h)	2.5	1.2	7.4	3.5	5.2	5.6
	strength						
Impact duration (ms)	Maximum I.L.(g)	9.3	5.7	22.2	12.2	13.9	11.4
	strength						
	Minimum I.L.(h)	10.2	6.1	23.5	13.6	16.0	15.0
	strength						
<u>Half-Scale Tests(i)</u>							
g's	275 peak 195 rigid	530 peak 440 rigid	(j)	251 peak 200 rigid	285 peak 190 rigid	315 peak 200 rigid	440 peak 400 rigid
Impact limiter crush (in.)	1.8	5.3	(j)	2.6	4.6	3.8	2.3
Impact duration (ms)	10.1	5.0	(j)	11.1	17.4	13	8

(a)Half-scale model test first side drop.

(b)Half-scale model test second side drop.

(c)LCIL (lower circumferential impact limiter).

(d)UCIL (upper circumferential impact limiter).

(e)LEIL (lower end impact limiter).

(f)Not calculated.

(g)Maximum crush strength of impact limiter material.

(h)Minimum crush strength of impact limiter material.

(i)Data scaled to full-scale.

(j)Not tested.

frequency accelerations in addition to the rigid body acceleration, which produce temporary peaks. These temporary peaks are caused by ringing, chattering and wave propagation. The elastic analysis g levels shown in Table 5-1 are rigid body accelerations and therefore should be less than the peak inelastic analysis and half-scale test g levels. In order to do a valid comparison, we determined the rigid body accelerations for the test and inelastic analyses as shown in Figs. 5-1 and 5-2, respectively. As shown in Table 5-1, the rigid body test and inelastic analysis g's compare well with the GACAP rigid body g's. Also, the inelastic analysis g levels are higher than the test g levels. This occurs because the analysis does not include internal damping and friction that occur in the test.

For the inelastic analyses, we only compared accelerations for the closure end drop, the bottom end drop, and the CG over bottom corner drop. These analyses gathered acceleration information at a rate of 100 points per ms during the event. This is the same rate at which the test data were recorded. For the CG over closure corner three-dimensional analyses, no acceleration information was gathered.

The definition of the impact duration reported in Table 5-1 is slightly different for each type of analysis and test. Test and inelastic analysis impact durations were determined by measuring the width of the acceleration pulse as shown in Figs. 5-1 and 5-2. GACAP analysis impact durations were measured at the point of zero force on the impact limiter. In spite of the differences in time definition, the times correlate closely. This indicates that both the analyses and the test model have the same dynamic behavior.

5.2. STRAINS

Only the test and inelastic analysis strains were compared since the GACAP analysis is elastic. It is difficult to compare the magnitude of the strains between test and inelastic analysis for strains below the

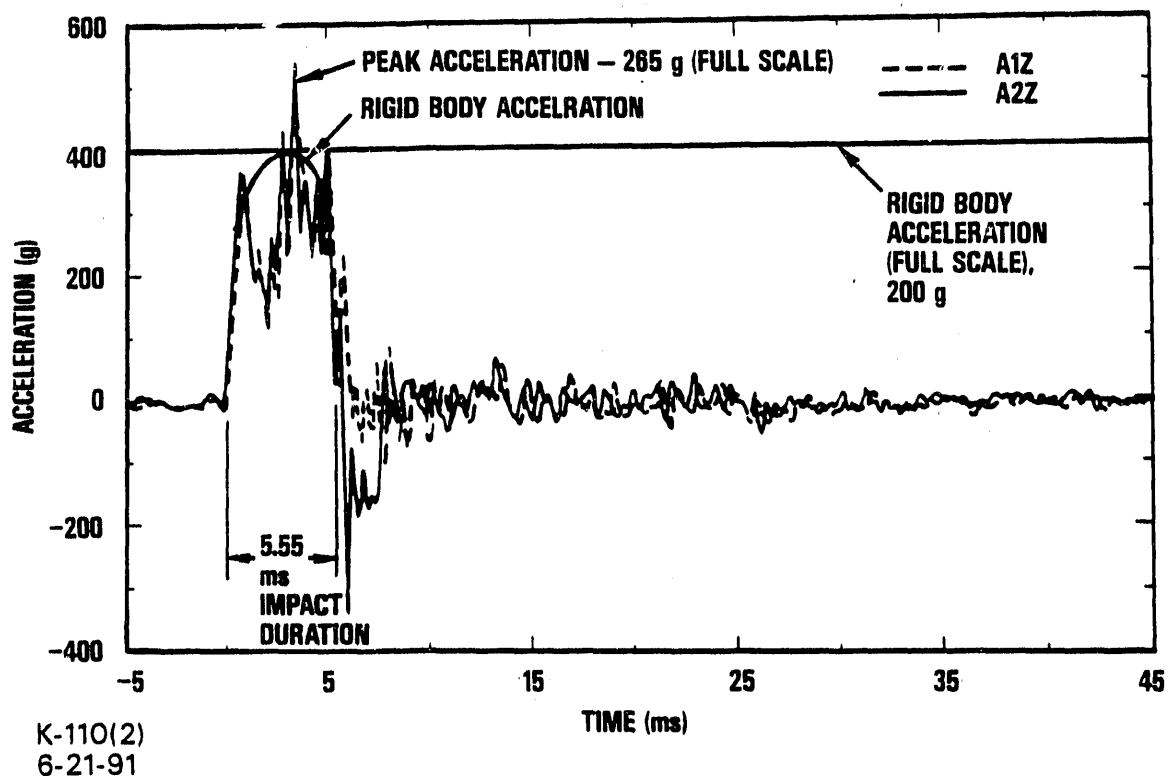


Fig. 5-1. Half-scale model CG over bottom corner 9-m (30-ft) drop acceleration

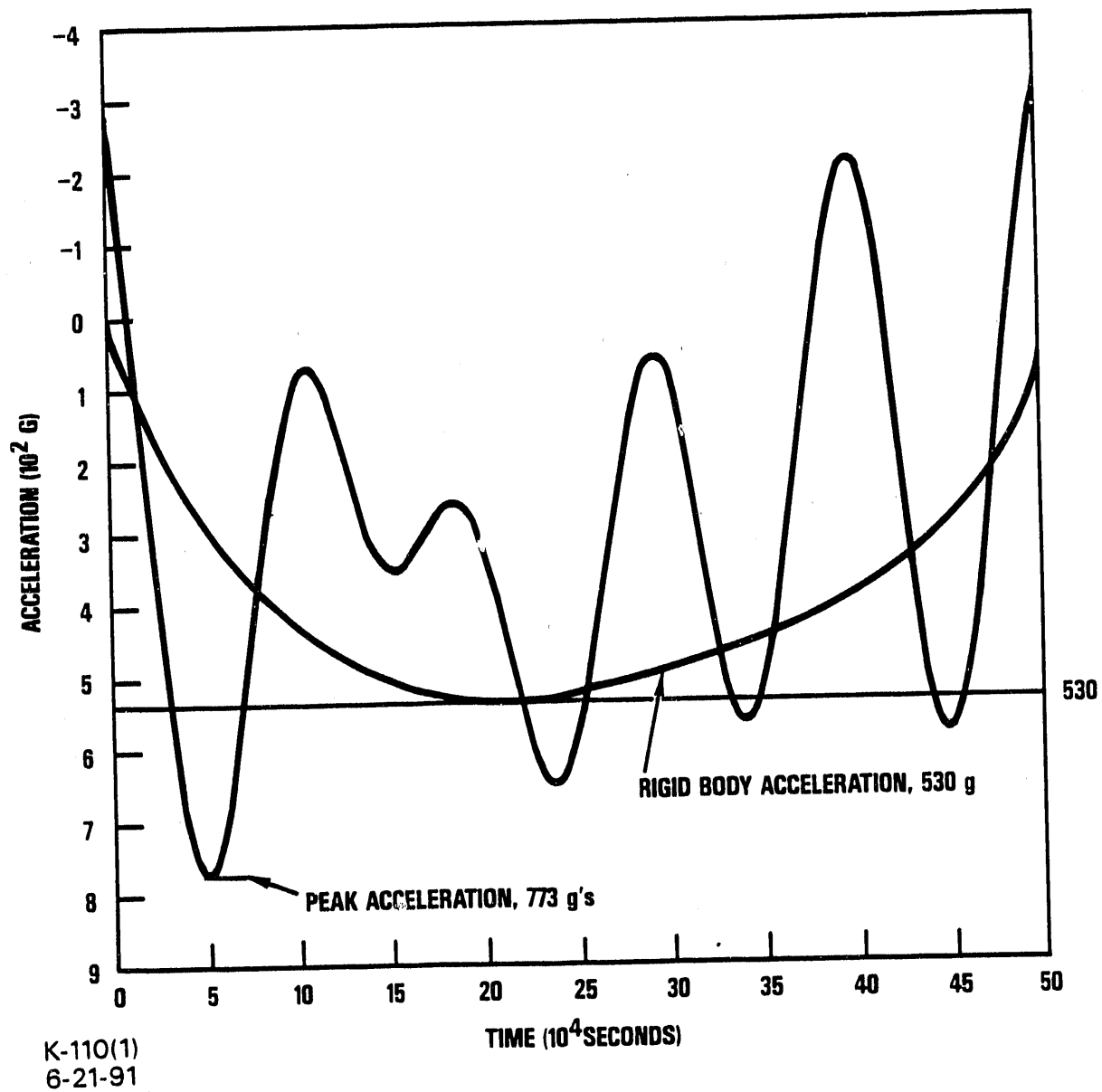


Fig. 5-2. Elastic-plastic bottom end 9-m (30-ft) drop acceleration

elastic limit. In the inelastic analysis, a yield stress of 35 ksi and an elastic modulus of 28.3×10^6 psi were used. Therefore, the material yields when a strain of 1237 $\mu\epsilon$ is reached. Yield is normally defined by the 0.2% offset method which gives a strain at yield greater than 2000 $\mu\epsilon$. In stress-strain curves obtained by testing, after an initial linear slope, the stress-strain curve starts to become nonlinear while still in the theoretical elastic range. Therefore the test will show plastic strains while still remaining below the theoretical yield. In addition to this difference between modeling and test, there are differences in the strain obtained in each. The test measures the strain at the location of the strain gauge on the surface of the cask. The axisymmetrical analyses performed with HONDOII (bottom and closure end drops) plot the strain gradient of the element. This gradient is generally smaller than the strain measured by the strain gauge, since the gauge reflects only one point in the gradient matrix. Therefore, when comparing strain below yield, differences are expected in the values obtained for the tests and analyses. These differences are not significant since the stresses are well below allowables. The distribution of strains and strain trends are important to compare, since these will show if the behavior of the cask is modeled correctly in the analysis:

1. Bottom end drop. As seen in Fig. 5-3, the axisymmetrical strain distribution in the bottom of the cask is similar for both test and analysis. The inside bottom corner of the cask shows a positive (tension) strain, while the rest of the strains are negative (compression).

All the strains remain below the defined theoretical yield.

The analytical strains shown were obtained by plotting the z-strain gradients of the elements on a section, and then extrapolating the plot to the surface. The z-strain gradients are obtained directly from the HONDOII output tapes.

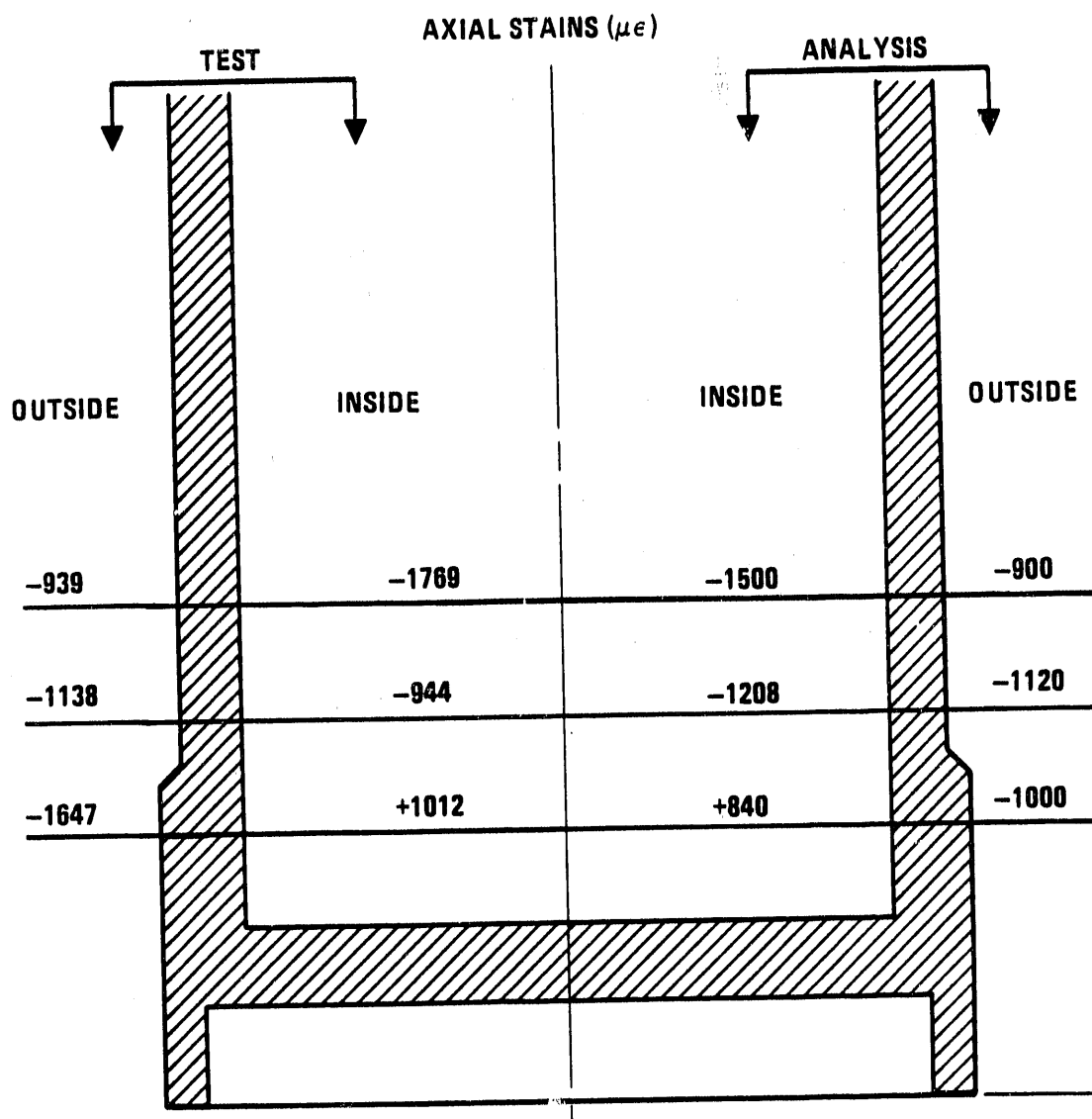


Fig. 5-3. Comparison of strain results in cask body during bottom end drop

2. Closure end drop. The strains in the closure were greater in the analysis than in the test. This is expected because in the analysis, the contents are rigid and do not absorb any of their own energy, while in the test the waste canister absorbs its own drop energy.

The maximum element strain at the outside of the closure produced by the analysis is +1450 $\mu\epsilon$ while during the test, the maximum strain measured was +500 $\mu\epsilon$ (filtered at 10,000 Hz).

3. CG over bottom corner. The highest strain measured during this test was 3300 $\mu\epsilon$ in the axial direction. This strain level represents a minimal strain for Type 304 stainless steel since the minimum ultimate strain is 400,000 $\mu\epsilon$. A permanent strain offset of 800 $\mu\epsilon$ was recorded on that gauge. It occurs at the lowest point measured (13.5 in. from the bottom of the cask, full-scale) at the location of the impact. Figure 5-4 shows the effective plastic strains obtained in the analysis, plotted at the end of the primary impact. Since these are plastic strains, they show only the magnitude of strain above yield. At 13.5 in. from the bottom of the cask, the analysis shows an effective plastic strain of less than 2222 $\mu\epsilon$. As discussed earlier in this section, in the analysis, yield occurs at a strain equal to 1237 $\mu\epsilon$ (in one dimension). A total analytical strain can then be approximated as follows:
 $2222 \mu\epsilon + 1237 \mu\epsilon = 3459 \mu\epsilon$. Therefore, both the analysis and the test produce strains similar in magnitude.

5.3. DISPLACEMENTS

Comparisons in Table 5-1 show that the elastic and inelastic analytical deformations are consistently larger than the measurements on all tests. Most of the deformation occurred in the area of the impact

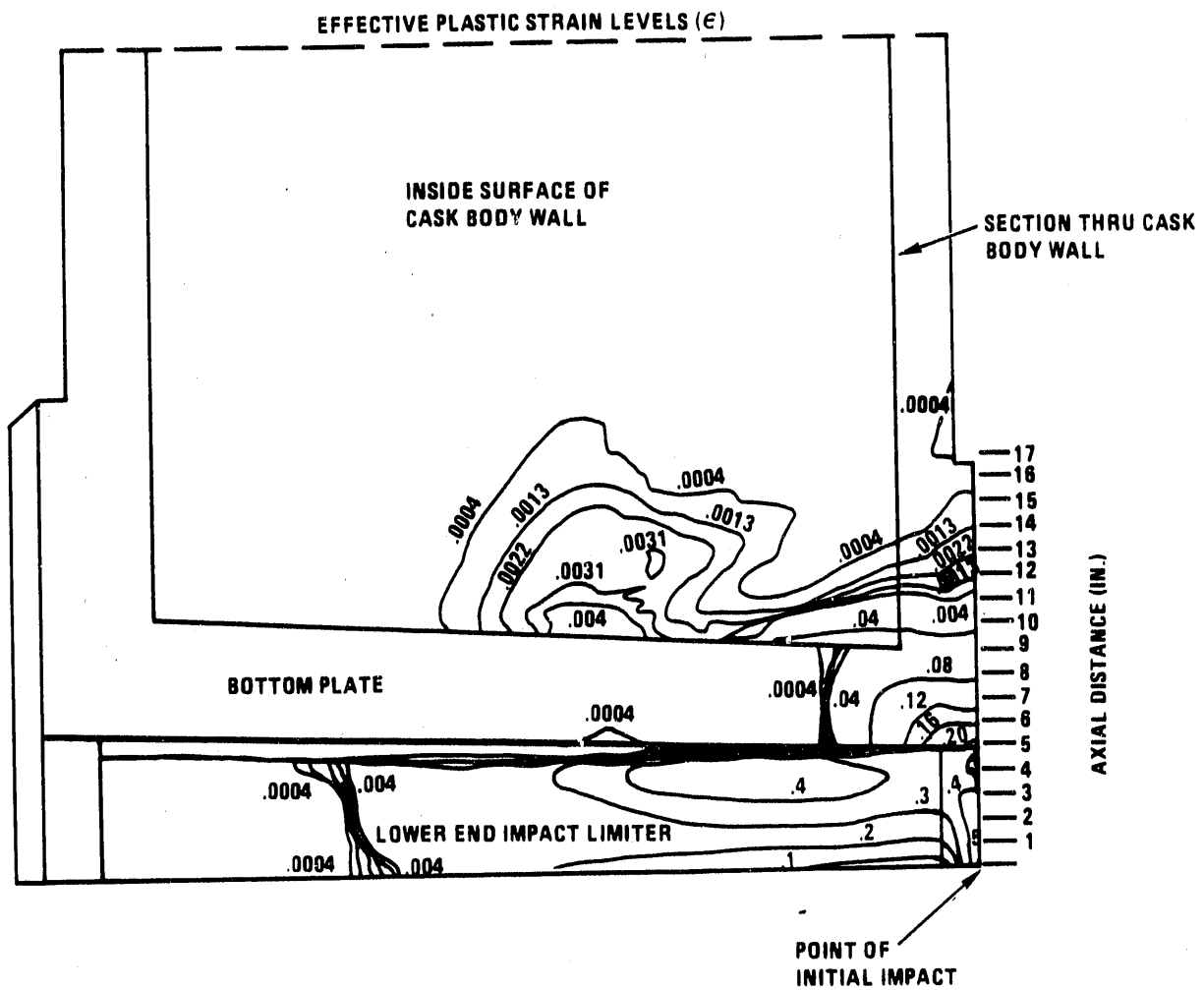


Fig. 5-4. CG over bottom corner strain analytical results

limiters. The cask body deformations were minimal, and there was negligible deformation on the closure seal area as had been predicted by analysis.

It was expected that the analysis would produce higher deformations than the test for the following reasons:

1. The modeling of the contents was very conservative.
2. There was no friction between interfaces and no material damping modeled in the analyses. These analytical assumptions are conservative because the energy of the drop cannot be absorbed by any other mechanism except displacement. Therefore, the analytical displacements are expected to be larger than the test results.

For a one-degree-of-freedom system, the equation of motion can be written as follows:

$$M \ddot{x} = F_{int} + F_{ext} + F_{fric} \quad ,$$

$$F_{int} = K x + c \dot{x} \quad ,$$

where

M = mass,

\ddot{x} = acceleration,

F_{int} , F_{ext} , F_{fric} = internal, external, and frictional force, respectively,

K = spring constant,

x = displacement,

c = damping coefficient,

\dot{x} = velocity.

The finite element codes used in the analysis do not include F_{fric} and $c\dot{x}$; therefore, the energy of the drop has to be absorbed by deformation. In cases such as the 9-m (30-ft) drop events in which the normal force is very high, friction between two steel surfaces, as exists between the target and the cask at the point of impact, can have a significant effect. Ignoring friction is conservative.

Damping becomes more important as the duration of an event increases. Therefore, it plays a bigger role on longer events such as the closure end drop and the CG over bottom corner drop. It is conservative to ignore damping.

1. Bottom end drop. Figure 5-5 shows the deformed shape of the bottom of the cask for both test and inelastic analysis. Table 5-1 shows that the lower end impact limiter deformation for both elastic and inelastic analyses is larger than for the test.
2. Closure end drop. The deformation on the notched impact limiter due to the closure end drop also shows that the elastic and inelastic analyses are conservative. During this drop, the analyses predicted more inward deformation of the notched impact limiter than occurred during the test. In the test the notched impact limiter bent, closing two notches all around the impact limiter, as well as closing up the third notch in some areas. In contrast, the inelastic analysis predicted that four notches would close completely.
3. CG over closure corner drop. This drop orientation was not tested. The deformation on the closure end impact limiter is slightly lower in the elastic analysis compared to the inelastic analysis. The reason for this difference is that the GACAP elastic analysis uses load-deflection curves to model the closure end impact limiter that are based on

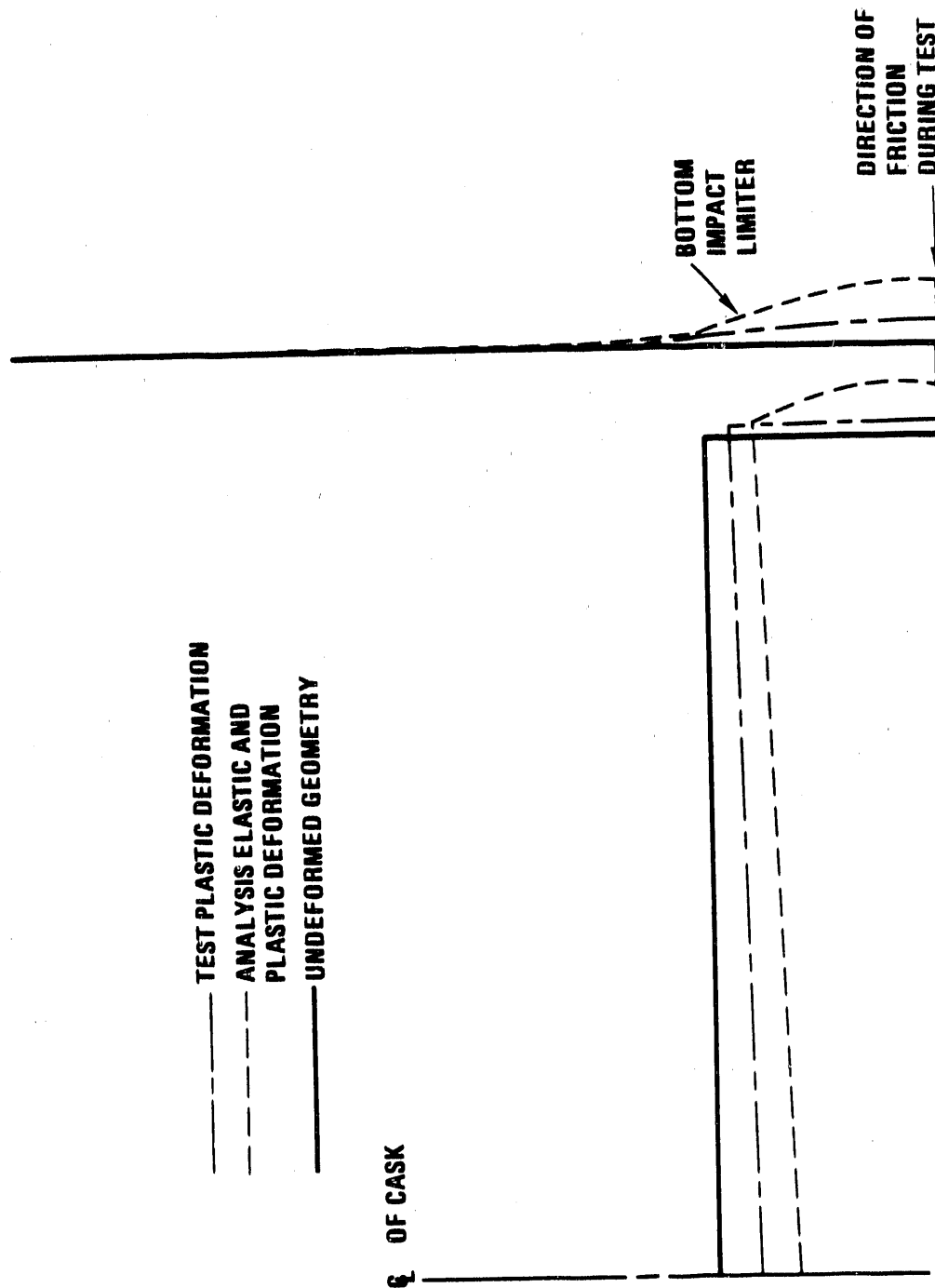


Fig. 5-5. Comparison of deformations during bottom end drop

1/6-scale model tests. The model tests include friction between the lower end impact limiter and the target and internal damping and will therefore absorb more energy with less deflection.

4. CG over bottom corner drop. The deformation of the lower end impact limiter is lower for both the GACAP elastic analysis and the test than the inelastic analysis because of the presence of friction in the test. In addition, the GACAP analysis deformation is lower than that for the inelastic analysis because of the presence of friction between the lower end impact limiter and the unyielding target.

The results confirm that the drop energy not only is absorbed by plastic deformation, but also by other mechanisms such as friction at the interface between the impact limiter and the target, damping, heat generation, etc., which helps to explain the reduced deflection in the test.

5.4. MATERIAL PROPERTIES

Figure 5-6 plots the results of the half-scale model Type 304 stainless steel material tests, compared to the values used in the elastic-plastic analysis. Both the cask body material and notched impact limiter material were tested in tension and compression, at strain rates of $1 \times 10^{-3} \text{ s}^{-1}$ and 5 s^{-1} . Reference 4-1 contains the complete results.

During the tests, the high strain rate increased the stainless steel yield stresses about 20% above static results. This is a typical increase in strength due to dynamic events. In the inelastic analyses, a yield strength of 35 ksi was used.

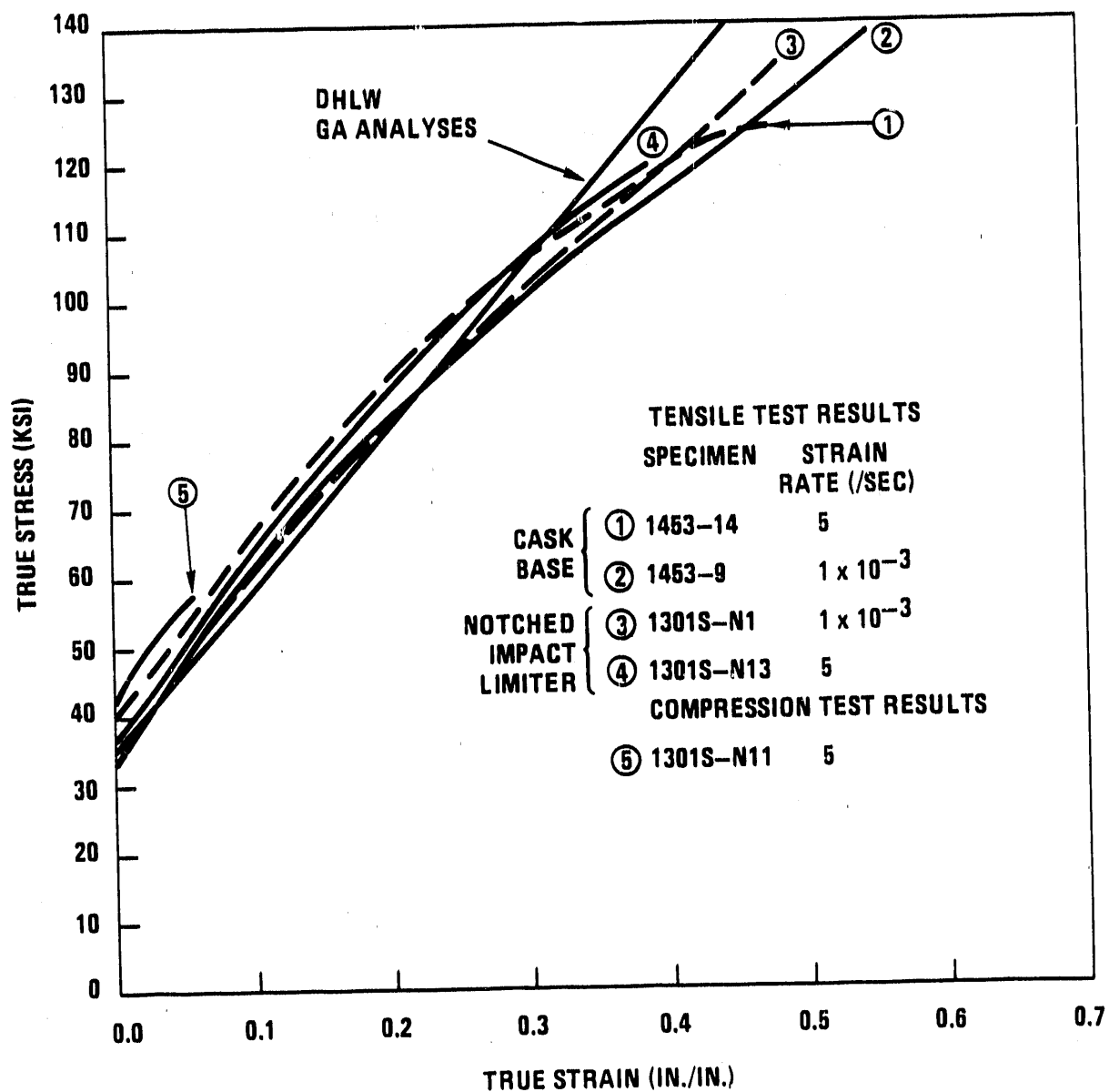


Fig. 5-6. Comparison of material test results with analytical properties used

This higher dynamic yield stress results in an increase in the energy that was absorbed during the closure and bottom end drop tests in comparison to the analyses for a given deflection. This also helps to explain the reduced deflection in the test.

6. REFERENCES

- 1-1. Koploy, M., et al., "Validation of Elastic-Plastic Computer Analyses for Use in Nuclear Waste Shipping Cask Design," Waste Management 1987, p. 433, Vol. 3.
- 1-2. Shatoff, H. D., "GACAP - A Theoretical and Users Manual," GA Document 909764, Rev. N/C, October 17, 1988.
- 2-1. Cooper, W. E., "Rationale for a Standard on the Requalification of Nuclear Class 1 Pressure Boundary Components," EPRI NP-1921.
- 2-2. Conway, T. B., et al., "Fatigue, Tensile, Relaxation Behavior of Stainless Steels," Report 110-26135, USAEC, Technical Information Center, Office of Information Services, Oak Ridge, Tennessee, 1981.
- 2-3. Key, S., Z. E. Beisinger, and R. D. Kreig, "HONDOII - A Finite Element Computer Program for the Large Deformation Dynamic Response of Axisymmetric Solids," Sandia National Laboratories SAND 78-0422, October 1978.
- 2-4. Hallquist, J. O., "Preliminary User's Manuals for DYNA3D and DYNAP," Report UCID-19156; University of California, Lawrence Livermore Laboratory, July 1981.
- 2-5. American Society of Mechanical Engineers (ASME) Boiler and Pressure Vessel Code, 1983 Edition with Summer and Winter Addenda for 1983.
- 3-1. "SCANS A Microcomputer Based Analysis System for Shipping Cask Design Review," Theory Manuals, Vols. 2 and 3, January 1989.
- 3-2. Taylor, C. S., "ILMOD Manual and Validation Document," GA Document 910158, Rev. A, January 3, 1991.
- 3-3. Biggs, J. M., "Introduction to Structural Dynamics," McGraw Hill, 1964.

- 4-1. Uncapher, W. L., M. M. Madsen, and D. R. Stenberg, "Test of the Half-scale Model of the Defense High Level Waste Transportation Cask," SAND 86-1130, Sandia National Laboratories.
- 4-2. Buckingham, E., "Model Experiments and the Forms of Empirical Equations," Trans., ASME 37265 (1915).
- 5-1. Brenner, N. M., "Three Fortran Programs That Perform the Cooley-Tukey Fourier Transform," Massachusetts Institute of Technology, AD 656 019, Lexington, Massachusetts, July 1967.

END

**DATE
FILMED**

03/2/92

