

Effective bands and band-like electron transport in amorphous solids

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The localization of electrons caused by atomic disorder is a well-known phenomenon. However, under which circumstances electrons remain delocalized and retain band-like characteristics even when the crystal structure is completely absent, as found in certain amorphous solids, is less well understood. To probe this phenomenon, we developed a fully first-principles description of the electronic structure and charge transport in amorphous materials, which combines a representation of the amorphous state as a composite (ensemble) of local environments, and the state-of-the-art many-body electronic structure methods. Using amorphous In_2O_3 as an example, we demonstrate the accuracy of our approach in reproducing the band-like nature of the conduction electrons as well as their disorder-limited mobility. Our approach reveals the physical origins responsible for the electron delocalization and survival of the band dispersions despite the absence of long-range order.

In free space, eigenstates of the Schrödinger equation are free particles with well defined momentum $\hbar\mathbf{k}$ that is the constant of motion. For an electron moving in a perfectly periodic potential, scattering is perfectly coherent. \mathbf{k} remains a good quantum number and, by Bloch's theorem, electron states remain delocalized with $\hbar\mathbf{k}$ reinterpreted as the "crystal momentum." If the potential deviates slightly from periodicity, the Hamiltonian can be partitioned into a large periodic part and a weak remainder. In such a case transport can be characterized in terms of electrons propagating in states of quantum number \mathbf{k} , with scattering between them which can be treated in perturbation theory. This is the usual description of transport in crystalline systems. But if the disorder is strong the remainder is not weak and the Bloch description breaks down, as Anderson described in his seminal work [1].

There is another less well-understood possibility that electron states retain their itinerant character even for systems without any translational invariance, which this work tries to address. Amorphous systems are the archetypal example of broken symmetry and strong disorder. They have no translational symmetry and typically exhibit poor mobility. But there are exceptions: some amorphous systems have relatively high electron mobility, for example amorphous In_2O_3 [2], used as an n -type transparent conducting oxide (TCO) [3]. This system is the base material for a variety of TCOs used in a wide range of optoelectronic applications, from photovoltaics to consumer electronics (flat panel displays, channels in thin film transistor structures, etc.) [3]. Amorphous TCOs are appealing as they allow for low temperature

processing while remaining homogeneous and without grain boundaries. Surprisingly, their electronic properties are comparable to, and sometimes even better than, their (poly)crystalline counterparts. Measured electron mobilities of $\sim 10\text{-}40\text{ cm}^2/\text{Vs}$ or even higher [3–5] rival those of polycrystalline films and clearly indicate band-like electron transport in systems without any long-range order. The electron mean free paths of 2–6 nm extracted from resistivity measurements in a- In_2O_3 [2], present another clear indication of the delocalized nature of electrons in this system. Although electron delocalization and the existence of band-like features in amorphous solids and liquids have previously been discussed, all proposed explanations are largely qualitative and/or phenomenological [6–8]. The complete first-principles description allowing for evaluation of the absolute values of disorder-limited electron mobility in amorphous solids is, to the best of our knowledge, absent from literature.

In this paper we develop a quantitative, fully first-principles description of the effective band structure and the disorder-limited charge-carrier transport in amorphous solids. The approach employs the novel representation of the amorphous state as a composite of local environments [12], each representing a single microstate of the system, corresponding to the small-cell, periodic local minimum on the potential energy surface obtained through the first-principles random structure sampling [13]. As we show here, by averaging over the properties of individual local environments, the measured structural features of a- In_2O_3 are well reproduced, including the structure factor and the local coordination of atoms. More importantly, this representation enables the use of

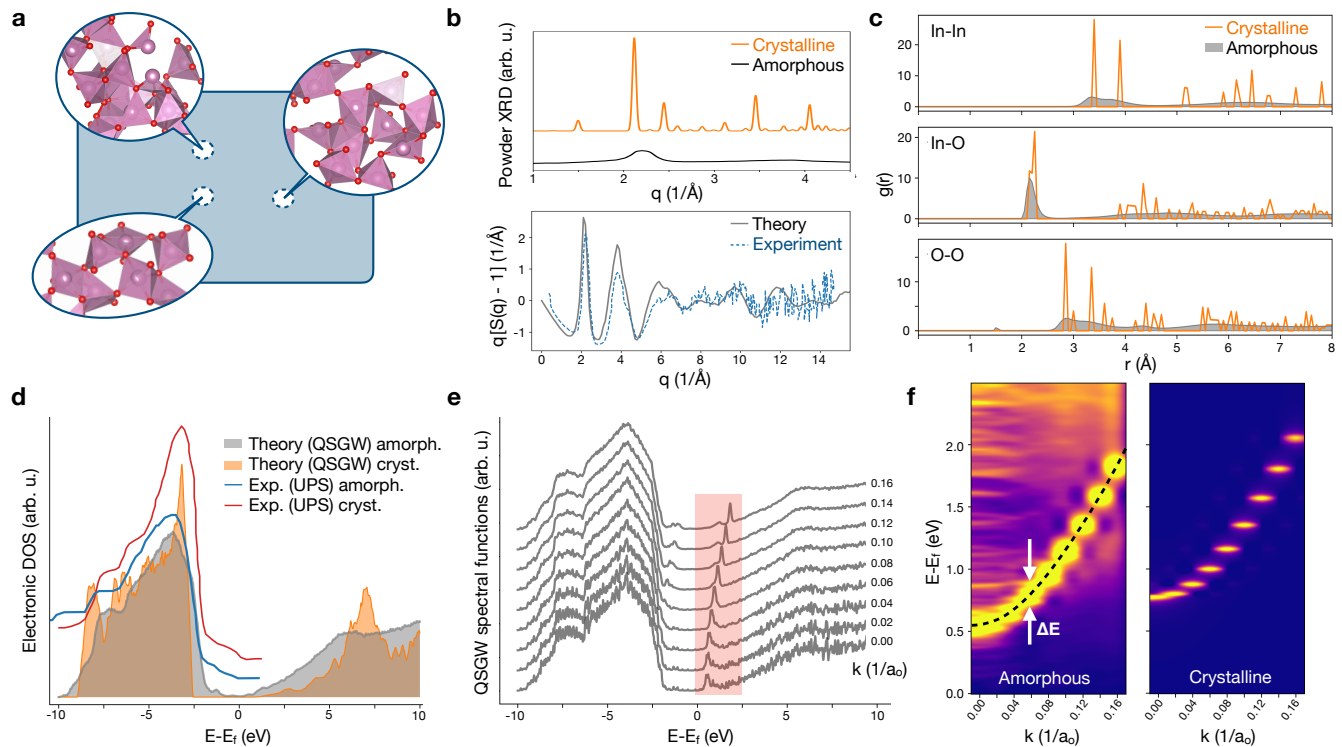


FIG. 1. (a) In our work the structure of amorphous solids is represented as a composite of local environments. (b) Calculated XRD intensity for crystalline and amorphous In_2O_3 (upper panel) and the comparison of the calculated and measured (from Ref. [9]) structure factors for a- In_2O_3 (lower panel). (c) The comparison of the calculated partial pair distribution functions $g(r)$ between crystalline and amorphous In_2O_3 . (d) Calculated (QSGW) electronic density of states is shown for both amorphous and crystalline In_2O_3 against photoemission (UPS) measurements [10, 11]. Experimental spectra are aligned with calculated DOSs on the same position of the respective main peak in the valence band, and are shifted vertically for clarity. (e) Calculated (QSGW) spectral functions as a function of electron energy at different k-points. (f) Spectral functions of the conduction band (red rectangle in (e)) shown as a heat map; the dispersion $E(k)$ is clearly visible and the energy broadening is labeled. A heat map of the spherically averaged conduction band of crystalline In_2O_3 is also shown for comparison.

state of the art many-body electronic structure methods that are free of adjustable parameters, such as the quasi-particle self-consistent GW (QSGW) [14], which, in turn, allows formulation of an accurate effective (average) band structure for amorphous systems, their electronic density of states, and the direct evaluation of disorder-limited charge carrier mobility from the broadening of the electronic band(s). Furthermore, our approach reveals that the survival of the band-like electron transport in a- In_2O_3 is due to: (i) largely retained coordination environment of atoms, (ii) their edge- and corner-sharing connectivity similar the bulk, and (iii) the extended and direction agnostic, largely In-s character of the orbitals forming the conduction band. Fig. 1 illustrates our approach and key findings.

AMORPHOUS SOLID AS A COMPOSITE OF LOCAL ENVIRONMENTS

Here, the structure of the amorphous state is modeled via the first-principles random structure sampling that includes: (i) generation of a large number of structures with a fixed number of atoms, random lattice parameters and random atom positions, and (ii) their relaxation to the closest local minimum on the potential energy surface using density-functional methods. Once relaxed, relevant properties of individual structures are evaluated and the corresponding properties of the amorphous state are obtained via ensemble averaging. For a- In_2O_3 presented here, a set of 1,500 random structures with 40 atoms are generated and relaxed using the PBE functional [15]. The number of structures and the cell size were chosen large enough so that the statistical sampling was essentially independent of the choice (see Methods). It is important to note that the vast majority of relaxed random structures do not exhibit long-range symmetry as evidenced by the space group resolved thermodynamic density of states

shown in the supplementary information (SI) Fig. S1.

Fig. 1(b) depicts structural features of a-In₂O₃, including both powder XRD intensity and the structure factor $S(q)$, modeled with our approach. The broad feature in the XRD of a-In₂O₃ located between $q = 2 - 2.5 \text{ \AA}^{-1}$ corresponds well to experimental results measured on thin films [4]. The same is true for the structure factor, as illustrated in Fig. 1(b), which matches the X-ray diffraction data from Ref. [9]. All peaks and their intensities for the range of scattering vectors q with relatively low experimental noise are reproduced with satisfactory accuracy. Finally, the local coordination of atoms calculated from the pair distribution functions (PDF) $g(r)$ shown in Fig. 1(c) agree well with those reported in the literature from both experiments [4, 9] and molecular dynamics simulations [7, 16]. The general shape of the partial PDFs follows that of the crystalline In₂O₃ (bixbyite structure) with some differences in the coordination numbers.

In each random structure, In atoms have on average between 4.4 and 5.8 O atoms in the first coordination shell, with an ensemble average of 5.3. This indicates that the majority of In atoms are either 5- or 6-fold coordinated by O. This is smaller than the crystalline bixbyite phase of In₂O₃, for which each In has 6 O nearest neighbors, and it is consistent with experiments and MD simulations [4, 7, 9, 16, 17]. The 2nd neighbor In-In shell has an average coordination number of 11.5, with the averages of each individual structure ranging between 9.1 and 12.7 — again, smaller than the twelvefold coordination of bixbyite. Of the 12 In-In neighbors in bixbyite 6 are separated by 3.34 Å (edge-sharing In-O polyhedra), while the other 6 are separated by 3.82 Å (corner-sharing In-O polyhedra). In the random structure there is a bimodal distribution with the two maxima near 3.4 Å and 3.7 Å respectively. In the random structures, the O atoms have predominantly three or four In nearest neighbors with an average first-shell coordination of 3.6. All this implies a strong tendency of amorphous In₂O₃ toward In-O coordination, formation of 5- and 6-fold In coordination polyhedra that are connected in the corner- and edge-sharing pattern similar to the crystalline phase. An important feature of the amorphous phase, distinct from the crystalline, is the presence of a small O-O peak at $\sim 1.5 \text{ \AA}$, indicating the presence of O-O bonds as also observed in previous studies [7, 16].

ELECTRONIC STRUCTURE AND TRANSPORT PROPERTIES IN THE AMORPHOUS PHASE

Within our approach, calculations of the electronic structure of the amorphous phase entail calculations of the electronic structure of each individual 40-atom structure and subsequent ensemble averaging, making the structural disorder quenched from the point of view of

electronic structure.[6] In this way, spatial fluctuations in the electron energies are naturally included without the need for large supercells. However, while using 40-atom structures allows for the high-accuracy, but computationally demanding QSGW calculations, doing it for the entire set of 1,500 random structures is costly. Hence, the QSGW calculations are performed on a subset of 100 structures whose average $S(q)$ and the DFT-PBE electronic spectral functions best reproduce the same quantities averaged over the entire set of 1,500 structures (see Methods for details). As shown in SI Figs. S8 and S9, the subset of 100 structures used in QSGW electronic structure calculations reproduces well the key structural characteristics of the entire set as well as the electronic density of states at the DFT level of theory. This reduction does lead to an increased level of noise present in ensemble averages, which, while noticeable, is of relatively small amplitude.

Another important aspect of our approach is the alignment of the electronic spectra of different random structures. The picture of the amorphous phase as a composite of local environments suggests electrical contact between the environments and consequently the equilibration of the Fermi energy (E_f) across the entire sample. Because of this, before ensemble averaging, all electronic structures are aligned to the same E_f value, which is obtained from the calculated electronic DOS and the charge neutrality condition at low temperature (see Methods). The validity of this approach is evident from Fig. 1(d) showing overall good correspondence of the QSGW calculated, ensemble average electronic DOS and photoemission (UPS) experiments [10]. The features of the valence band of a-In₂O₃ including the main peak and the shoulders at lower energies agree very well with measurements.

This model also lends itself to a procedure for calculating the effective electronic (band) structure of the amorphous phase. First, the spectral functions $A(k, E)$, measuring the probability of finding an electron with energy E and wave vector k , are the quantities being averaged. However, because of the random relative orientations of the local environments in the composite, $A(k, E)$ must be spherically (isotropically) averaged over all directions of \mathbf{k} before ensemble averaging.

Our results are presented in Fig. 1(e), where isotropically and ensemble averaged $A(k, E)$ from QSGW are shown as a function of energy for 9 different k -values, from $k = 0$ to $k = 0.16$ inverse Bohr radii (a_0^{-1}). The dispersion in the conduction band (red-highlighted region) is clearly visible. A more detailed view is provided in the heat maps in Fig. 1(f) with the curvature of the conduction band and the energy broadening indicated. These results allow quantification of the dispersion $E(k)$ and the broadening ΔE . From there, the electron effective mass m^* , relaxation time τ , and the disorder-limited

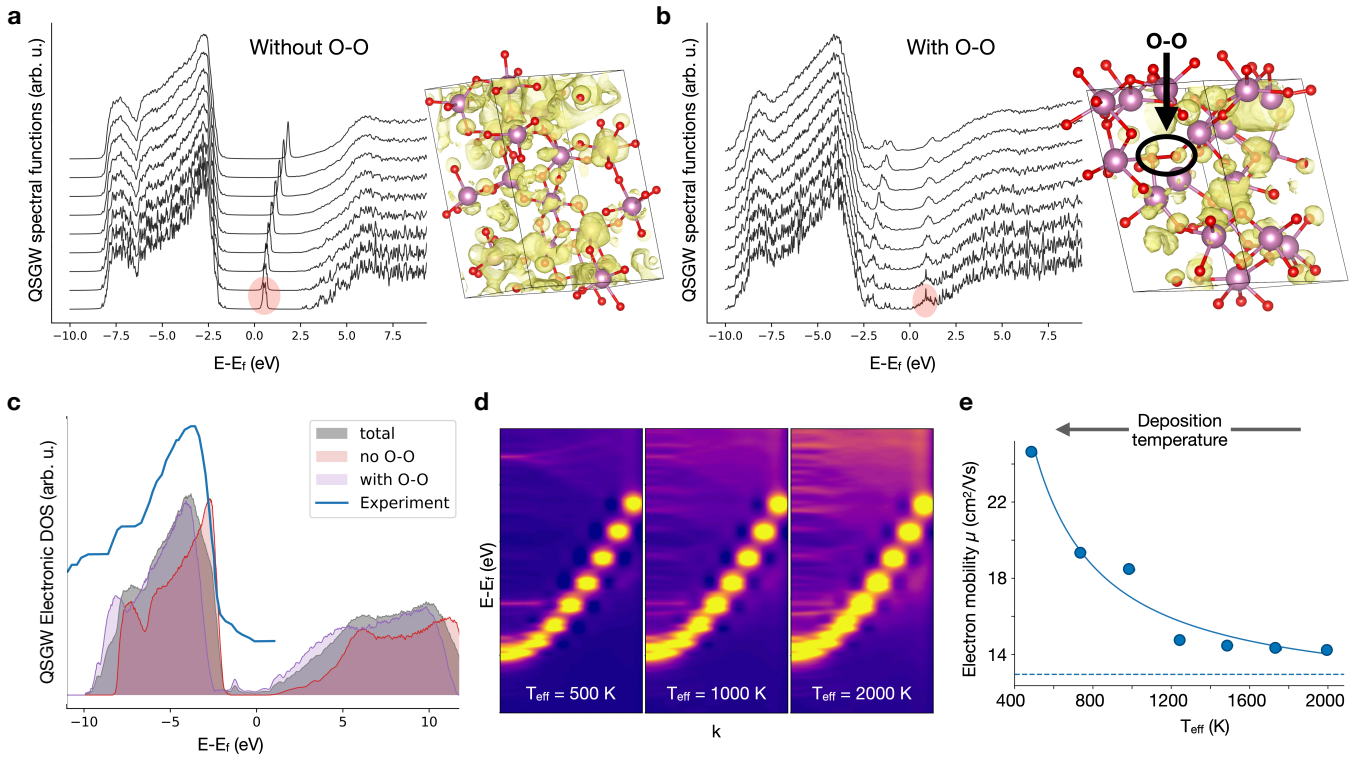


FIG. 2. (a) The spectral functions averaged over structures with no O-O bonds and a typical CBM charge density of one of those structures. Red circle highlights the region from which the charge density is plotted. (b) The spectral functions averaged over structures that contain O-O bonds and a typical charge density for one of those structures. (c) The calculated density of states averaged over structures with and without O-O bonds compared to the total DOS and experiment [10]. (d) Heat maps of the spectral functions for the conduction bands when the structures are ensemble averaged weighted by the Boltzmann distribution at different effective temperatures. (e) The calculated mobility of different structures as a function of the effective temperature. The correspondence with the experimental deposition temperature is indicated.

mobility μ are evaluated using the standard expressions:

$$\tau = \frac{\frac{1}{2}\hbar}{\Delta E}, \mu = \frac{|e|\tau}{m^*}. \quad (1)$$

The following numerical results are obtained. The electron effective mass computed from the effective band structure is $m^* \approx 0.2m_0$, almost identical to the value for the crystalline In_2O_3 , calculated using the same procedure as $m^* = 0.22m_0$. Reported experimental values for the samples exhibiting *n*-type (electron) conductivity, due to a combination of self- and Sn-doping [3], fall in the range $0.18 - 0.33m_0$ [18, 19]. For the *k*-points near Γ nearly uniform broadening of $\Delta E = 0.25$ eV is found. These values result in the electron mobility of $\mu \approx 13$ cm²/Vs. Finally, by taking electron concentrations in the range $10^{20} - 10^{21}$ cm⁻³, typically reported from transport measurements [3], we estimate the disorder-limited electron mean free path to be 1-3 nm, which amounts to ~ 5 -15 In-O bond lengths.

The calculated mobility corresponds very well to the experimental mobilities measured for a- In_2O_3 deposited at low temperatures [20]. Generally, measured mobilities start at ~ 10 cm²/Vs for a- In_2O_3 thin films deposited at

-100 to -50 °C, and then increase to ~ 60 cm²/Vs as the deposition temperature increases [20]. The reasons for this increase are discussed below.

Upon studying relationships between the electronic structure of individual random structures and their atomic arrangement, one finds that the most important feature influencing the electronic structure is whether the atomic arrangement contains O-O bonds. Of the full 1500 structures, 54% contain one or more O-O bonds (52% in the smaller sample used for QSGW calculations). All structures without O-O defects exhibit a highly dispersive conduction band with low broadening. If one averages the spectral functions only over those structures, no “midgap” states are observed, and the peaks of the spectral functions in the conduction band become sharper, as shown in Fig. 2a. In contrast, if only structures with O-O bonds are considered, the dispersion breaks down and the conduction band splits into two, one set of states below the Fermi energy, and the other above, as seen in Fig. 2b. This is primarily because the presence of an O-O bond changes the effective electron count in surrounding coordination polyhedra. The difference in the degree of localization of the CBM states between

structures with and without O-O bonds are clearly visible from the CBM charge densities of individual structures shown in Fig. 2(a) and (b) (right panels).

This different localization can also be seen in the calculated inverse participation ratios (IPR) for the states above and below the Fermi energy, shown in SI Figs. S12 and S13. For structures without O-O bonds, at energies in a range between ~ -2.7 and ~ -1.5 eV near the valence band edge, the states are localized. In contrast, all of the states in the conduction band near 0.5 eV, which lie within a very small tail of the density of states, are delocalized. Since it is expected that weak disorder can localize states near band edges [21–23], the observed delocalization suggests that the scattering from ideal electronic states caused by changes in coordination number without O-O bonds is small – not large enough to appreciably localize conduction band states. For structures with an O-O bond, we observe delocalized valence band states below about -2.0 eV, which become moderately localized at higher energies within the valence band, consistent with the idea that localization near band edges is preferred. In the conduction band, structures with O-O bonds show moderate localization for most states within ~ 1.5 eV of the conduction band minimum, suggesting that the electronic scattering associated with the O-O defects is sufficiently strong to localize states near the band edge.

Looking at the average densities of states in Fig 2(c), calculated by considering only the structures with O-O bonds, and separately the structures without O-O bonds provides support to the idea that the electronic properties of the system best reproduce the experimentally observed properties when treated as a composite of the properties with and without O-O bonds. The density of states averaged over all 100 structures is in agreement with the experimental UPS measurements in that states at energy lower than the maximum peak are concave down, rather than linear or concave up as seen in the average over the states with and without O-O bonds, respectively. The average including states with and without O-O bonds also exhibits two large and two small shoulders at energies lower than the maximum frequency, showing much better agreement with the measured UPS spectra than the single distinct low-energy peaks observed in the densities averaging structures with and without O-O bonds separately.

Additionally, some fluctuations in the dispersions and the CBM position relative to the common Fermi energy can be observed even in structures without the O-O bonds. They are responsible for the fine peak structure in the conduction band, visible in the Fig. 2(a) (see inside red circle) and contribute to the CBM energy broadening close to the Γ point. Our analysis indicates that they are a consequence of the fluctuations in the first-shell In-O coordination (see Fig. S14). Structures with lower fraction of 6-fold coordinated In will have somewhat higher

CBM energy relative to those with majority of In atoms 6-fold coordinated.

Finally, both the structures containing O-O defects and those with lower fraction of the 6-fold coordinated In, are higher in energy than those without O-O and with predominantly 6-fold coordinated In, as shown in Fig. S14. Because of this, one might expect that the fraction of those structures present in the actual samples would decrease as the experimental conditions get closer to thermodynamic equilibrium. In other words, averaging over all structures corresponds to the experimental situation far away from equilibrium, that is, to the thin-films grown on cold substrates. Cold substrate conditions in the thin-film growth have previously been shown to correspond to ensemble averages at high thermodynamic temperature [24]. The effects of increasing substrate temperatures can then be modeled by ensemble averaging properties across random structures using the Boltzmann distribution, assuming the energy of a particular configuration is given by the energy of the PBE functional. Lower effective temperature in this distribution, corresponding to higher substrate temperatures in thin film growth, will lead to the lower concentration of O-O defects and 4- and 5-fold coordinated In. In turn, this will lead to increased electron mobility by reducing the conduction band linewidth.

The spectral functions in Fig. 2(d) show a decrease in linewidth with decreasing effective (ensemble averaging) temperature. Consequently, mobility increases with decreasing effective temperature, as shown in Fig. 2(e), which agrees with the experimental observation that increasing deposition temperature will lead to increased mobility for In_2O_3 thin films. The O-O defects and to some extent In-O coordination lower than 6 provide major perturbations (scattering centers) to the conduction band electronic states. Their abundance and the associated localization of conduction band states directly correlates with reduced electron mobility. This is also evident from Fig. S15 showing the influence of the decreasing effective temperature on the mobility computed by taking into account structures with and without the O-O separately.

The remaining difference between our low effective temperature electron mobility of ~ 25 cm^2/Vs and the experimentally observed maximum of ~ 60 cm^2/Vs in films deposited at $\sim 0^\circ\text{C}$ is likely related to the presence of doping (electrons), which is not accounted for in our approach. Electron doping will result in having some fraction of localized states (e.g. due to O-O defects) being occupied, effectively reducing the number of scattering channels, which will lead to the increase in the electron mobility with doping concentration. Additionally, increase in doping concentration with deposition temperature will also lead to the higher Fermi velocity and the electron mean free path.

Increasing the deposition temperature to $\sim 25^\circ\text{C}$ or

more leads to the appearance of crystalline features in the X-ray diffraction, which coincides with the drop in measured electron mobility from ~ 60 back to $10 \text{ cm}^2/\text{Vs}$ [4]. Our results may offer an explanation for this drop as a consequence of the misalignment (band offset) of the CBM positions between amorphous and crystalline phases evident from Fig. 1(f). The amorphous and crystalline CBM differ by $\sim 250 \text{ meV}$, creating an effective barrier for electron transport. If we imagine having crystalline inclusions in an amorphous phase, measured to be in excess of 36 % for films deposited $\sim 25^\circ\text{C}$ or more [4], their presence will lead to the drop in mobility because of the band offset. The drop will continue until the crystalline fraction is large enough to establish a percolation, at which point the mobility will begin to increase with the deposition temperature as also observed experimentally [4].

It is important to note that most of these discussed phenomena are difficult to describe with approaches based on a single, large periodic cell representation of the structure of amorphous solids. First, the dispersive electronic bands (if existing) will be sharp and without any disorder caused broadening. Second, the periodic boundary conditions imply the existence of a Brillouin zone, which is inconsistent with the notion that waves with progressively higher k (lower wavelength) should scatter more to the point where the band dispersion is completely destroyed. This effect is well reproduced in our approach as illustrated by the DFT-PBE spectral functions from Fig. S10 calculated for larger set of k -values than in Fig. 1.

PHYSICAL ORIGINS RESPONSIBLE FOR ELECTRON DELOCALIZATION AND BAND-LIKE TRANSPORT

It is worth noting that treating amorphous phase as a collection of independent local domains and calculating an effective electronic structure as a configurational average can be justified under the following conditions. First, the size of the domains needs to be larger than the De Broglie wavelength of conduction electrons which we estimate to be $\sim 2\text{-}4 \text{ nm}$ from the single parabolic band picture and for typical experimental charge carrier (electron) concentrations of $10^{20}\text{-}10^{21} \text{ 1/cm}^3$. This translates to 2-4 unit cells of our random structures, whose linear dimensions are on average $\sim 10 \text{ \AA}$. Second, the good overall agreement with the measurements indicates that the spatial correlations as well as the electron dephasing length in $\text{a-In}_2\text{O}_3$ are both shorter than $\sim 2\text{-}4 \text{ nm}$. All this is consistent with both measured and estimated electron mean free paths of 2-6 and 1-3 nm, respectively. It is also important to note that our approach can be thought of as analogous to the coherent potential approximation [25, 26], more precisely its cluster version [27], in which a

real potential of disordered system (usually lattice disorder) is replaced by an effective, mean-field potential akin to a configurational average.

Next, the survival of the conduction band after both isotropic and ensemble averaging indicates that: (i) the highly dispersive conduction band is present in the large fraction ($\sim 50\%$) of the individual structures despite their low symmetry, (ii) the spatial anisotropy of the conduction band is low for all those structures, and (iii) the bonding environments in different structures are sufficiently similar to produce finite band gaps, similar number of states in the valence and conduction bands, low number of mid-gap defect states all leading to similar conduction band energies relative to E_f . O-O bonds are the primary defects that break these patterns in amorphous In_2O_3 .

Indeed, a dispersive conduction band of the dominantly In- $5s$ character can be found in all structures without the O-O defects. The In- s character is clearly visible from the CBM electron densities shown in Fig. 2(a) and the projected densities of states shown in Fig. S11. Also, the single degeneracy of the CBM is another clear indicator of the s -like character of the conduction band. This is true for the 100 structures calculated at the QSGW level of theory, and also in the remaining 1400 (at the DFT level). It is a consequence of the atomic coordination environments being similar among themselves and to those of bixbyite. Most of the In atoms are five- and six-fold coordinated by O, and the O atoms are three- and four-fold coordinated by In in the random structures. Moreover, the connectivity of In coordination polyhedra in each random structure also resembles bixbyite; most of them are edge- and corner-sharing. The existence of the dispersion then implies similar site energies and strong overlaps of the “molecular” orbitals (In- $5s$ -like) forming the conduction band. The similarity of the site energies is supported by the observation that the inverse participation ratio shows strong delocalization of these conduction states (see supplementary information Fig. S12).

Additionally, the extended range and direction-agnostic s -like character of the orbitals forming the conduction band means that connected In coordination polyhedra have substantial overlap, regardless of their orientation. This effect also contributes to the conduction band being largely isotropic in space for each individual structure, leading to the low broadening observed after the isotropic average. In contrast, the valence band states, composed primarily of less-extended and directional O- p orbitals are significantly more localized and do not lead to any dispersive band-like features, as evident from Fig. 1 and the inverse participation ratio analysis in Fig. S12. Lastly, similarity between building blocks across all random structures implies similarity in the electronic DOS in both valence and conduction states. The consequence of this is the similar magnitude of the dispersion, and similar positions of the conduction bands

relative to their respective E_f , all contributing to relatively low broadening of the effective conduction band.

Hence, the existence of the dispersive and relatively sharp conduction band in more than half of random structures, and by extension in our model of the amorphous phase, is due to the strong tendency of In_2O_3 to form certain types of coordination polyhedra, their connectivity, and a low number of defects (wrong coordination environments). Similar behavior can be observed in amorphous/glassy SiO_2 , with corner-sharing Si-O tetrahedra representing the sole building block with s -like orbitals forming the low-energy unoccupied states giving rise to the existence of a conduction band (see SI Fig. S16). The s -like character of the conduction band minimum in both SiO_2 and In_2O_3 is indicated by the single degeneracy of this band. The apparent lower dispersion of CB in SiO_2 is related to the near absence of edge-sharing polyhedra [12] and the shorter radius of Si- s orbitals.

In conclusion, by treating amorphous phase as a composite of local environments we can quantitatively describe at the QSGW level of theory the band like character of the lowest conduction band in a- In_2O_3 , including both the effective mass and electron mobility. The reasons for the existence of the conduction band despite the absence of long range order include: (i) the extended, direction-agnostic s -like character of the orbitals forming the band, thus averaging over many neighbors; (ii) coordination within polyhedra and their connectivity is largely preserved in the great majority of local environments, and (iii) relatively small number of detrimental O-O defects implying a strong tendency to the formation of certain types of coordination environments. Similar behavior of amorphous SiO_2 (at the DFT level of theory) shown in the supplementary information (Fig. S16) further supports this conclusion. Lastly, the fully first-principles approach presented here for describing the electronic structure of the amorphous/glassy states is general and can be applied to electronic structure properties of disordered systems more broadly.

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AUTHOR CONTRIBUTIONS STATEMENT

M. J. and V. S. conceived the ideas, coordinated this work and wrote the manuscript with input from all other authors. M. J., J. H. M. and V. S. performed calculations. All authors contributed to the development of presented ideas, discussed the results and commented on the manuscript.

COMPETING INTERESTS STATEMENT

The authors declare no competing interests.

FIGURE LEGENDS/CAPTIONS

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METHODS

First principles random structure sampling

A set of 1500 random In_2O_3 structures were generated with 40 atoms per unit cell in a manner that encourages numerical stability of relaxations and favors cation-anion coordination [13]. For each structure, random values of lattice parameters ($a, b, c, \alpha, \beta, \gamma$) are chosen using the constraints $0.8 * scale \leq a, b, c \leq 1.6 * scale$ and $60^\circ \leq \alpha, \beta, \gamma \leq 140^\circ$. Atoms are distributed within the cell in a manner favoring cation-anion coordination and leading to homogeneous initial distribution. To achieve this, a grid is generated at the minima and maxima (\mathbf{r}) of a plane wave $\cos(\mathbf{G} \cdot \mathbf{r})$, defined by reciprocal lattice vectors $\mathbf{G} = n_1 \mathbf{g}_1 + n_2 \mathbf{g}_2 + n_3 \mathbf{g}_3$. Cations are placed at the maxima of this wave, while anions are placed at the minima. A gaussian is centered on each atom, and the next atom placed is placed with higher probability on a position where the sum of these gaussians is low. The scale of the structure is changed so that the minimum distance between atoms is approximately 80% of the In-O bond length in bixbyite.

Once these structures are generated, they are relaxed using the PBE exchange-correlation functional in density functional theory calculations as implemented in VASP [28] code. Ionic relaxations are performed using the conjugate gradient algorithm so that structures relax reliably to their nearest local minimum. Pseudopotentials are constructed from the projector-augmented wave (PAW) method. The plane wave cutoff is 340 eV, and the Γ -centered k -point grid is generated automatically using 20 divisions of the grid. All degrees of freedom, volume, cell shape, and atomic positions are included during relaxations, and are restarted multiple times to resolve Pulay stress during relaxations. The cell shape and volume are considered converged when the hydrostatic pressure is less than 0.5 kilobar. Ionic relaxations are considered converged when the maximum force on any atom is less than 0.02 eV/Å.

The PBE exchange correlation functional provides an overall good representation of the structure of the crystalline (bixbyite) In_2O_3 . The calculated lattice constant $a = 10.29$ Å is by 1.7 % larger than the experimental one of $a = 10.117(1)$ Å [29], a usual result for the PBE functional, leading to the ~ 5 % overestimation of the volume and equal underestimation of the mass density (6.8 versus 7.1 g/cm³). The ensemble of random structures used in our representation of a- In_2O_3 produces an average volume of $\langle V \rangle = 73$ Å³/f.u., effective cubic lattice constant of $\langle V \rangle^{1/3} = 10.53$ Å, and mass density of a- In_2O_3 of 6.3 g/cm³. The ~ 7 % reduction in the mass density between crystalline and a- In_2O_3 is well within

the observed range of values [20]. If the averaging is done using the Boltzmann distribution, the mass density increases with decreasing temperature to the values close to the density of the cubic In_2O_3 (Fig. S7), which is in good correspondence with experiments that find the same result for the films deposited at temperatures just before the crystallinity is observed [9, 20].

Finally, the choice of the 40-atom cells was justified by the convergence of relevant structure functions, namely the structure factor $S(q)$ and the pair distribution function (PDF) $g(r)$, shown in Fig. S2 in supplementary information. In addition, the fluctuations in $S(q)$ and $g(r)$ of individual structures shown in Fig. S3 illustrate the structural diversity of the set.

Quasiparticle self-consistent GW calculations

As noted already, to reduce the computational cost the QSGW calculations are performed on a subset of 100 structures chosen to approximate the ensemble averages of both $S(q)$ and the DFT-PBE calculates electronic DOS. The selection procedure employs Pareto optimization over 100 randomly chosen sets of 100 structures illustrated in Fig. S5. The red arrow in Fig. S5(c) points to the set, which is used in subsequent calculations that offers the minimal normalized root-mean-square-error (NRMSE) in average spectral functions and is reasonably close to the lowest NRMSE in the structure factor $S(q)$.

The electronic structure of the subset of 100 of these relaxed random structures is computed using quasiparticle self-consistent GW (QSGW), as implemented in the Questaal code [14]. QSGW is necessary here because PBE significantly underestimates the band gap of In_2O_3 , so a correction to the electronic states that does not depend on the starting point, as in QSGW, will lead to an electronic structure that can be reliably interpreted. Computational cost of QSGW is higher than for example hybrid functionals, but so is the fidelity and without any adjustable parameters. Self-consistency also improves fidelity compared to the more common GW based on a one-body hamiltonian such PBE, as it is independent of the starting point and is not affected by well known shortcomings in whatever one-body hamiltonian is chosen. Here, not only are we interested in having good band gaps but also the correct position of the mid-gap defect states. Additionally, It is not clear that uniform 1/4 of Fock exchange added to DFT across all structures is appropriate, and obtaining the fraction of Fock exchange self-consistently [30] would significantly increase computational cost.

In QSGW, the self-energy is quasiparticle-ized as described in Ref. [14], that is, the self-energy is treated as a static Hermitian potential, and this is iterated to self-consistency, removing the dependence of the self energy

on the starting point used for calculating the charge density. This ensures that errors in the electronic properties are systematic and well-defined, coming from specific effects not included in the random phase approximation, such as electron-hole interactions and electron-phonon coupling [31]. The self-energy is considered converged when the root mean squared deviation between steps is less than 4×10^{-5} . Electronic states around each atom are approximated using an optimized linear muffin-tin orbital basis, and higher energy states are approximated with plane waves. The cutoff radius of these different basis functions is chosen to include approximately 50 neighbors for each atom in each structure. The k -point grid for these calculations is chosen such that the number of atoms times k -point density is between 1280 and 2560, and the distance between k -point divisions along each of the reciprocal lattice vectors is approximately even. The \mathbf{G} -vector cutoff for basis envelope functions is set to $2.9 \text{ Ry}^{1/2}$, and the regular mesh for the smoothed Hankel envelope functions is $8 \text{ Ry}^{1/2}$. High-lying elements in the self-energy matrix with energy above 2.8 Ry are approximated using plane waves. Smooth Hankel energies for the basis set on In and O atoms are optimized to minimize the total energy of crystalline In_2O_3 .

Reciprocal space and real space structure functions

The structure functions for each structure and the averages over different sets of structures were calculated as detailed in [12]. In brief, the total X-ray structure function was computed using the Faber-Ziman formalism wherein

$$S^X(\mathbf{q}) = \frac{1}{\langle f(q) \rangle^2} I_a^{\text{coh}}(\mathbf{q}) - \frac{\langle f^2(q) \rangle - \langle f(q) \rangle^2}{\langle f(q) \rangle^2}$$

Here, \mathbf{q} is the scattering vector, and q is its norm. $\langle f(q) \rangle = \sum_{\alpha} c_{\alpha} f_{\alpha}(q)$ is the average X-ray atomic form factor where c_{α} is the atomic fraction of the atoms of type α and $f_{\alpha}(q)$ is their atomic form factor.

The pair distribution functions between given atom types are computed using

$$g_{\alpha\beta}(r) = \left\langle \frac{1}{4\pi r^2 n c_{\beta}} \frac{dN_{\alpha\beta}(r)}{dr} \right\rangle_{\alpha}$$

where $dN_{\alpha\beta}(r)$ is the number of β atoms in a spherical region with thickness dr at a distance r from a given α atom, and $\langle \rangle_{\alpha}$ denotes an average over all α atoms.

Averaging of the electronic structures

To average densities of states and spectral functions over different random structures we align their electronic

spectra on the same Fermi energy. The Fermi energy for each random structure is evaluated using the calculated electronic DOS and Fermi-Dirac distribution function, as the value that produces charge neutrality between electrons and holes at low temperatures. Ideally, this should be done in the limit of $T \rightarrow 0$, but the dispersive nature of the conduction band (low DOS close to the CBM), band-gap values of several eV or larger, and the discretization of the energy axis, necessitate a finite temperature. In the work presented here we use $k_{\text{B}}T$ that is 0.1 of the band gap (number of electrons or holes $\sim e^{-5}$), as we find values of this magnitude provide numerically stable results. Using $k_{\text{B}}T/E_g$ between 0.05 and 0.1 produces essentially the same final results, but going lower starts entering numerical noise (number of electrons or holes in the e^{-50} range for $k_{\text{B}}T/E_g = 0.01$).

In order to obtain the spectral functions for each individual structure, a k -grid consisting of concentric spheres centered on the Γ point is used. These spheres are generated such that the linear density in the azimuthal and polar directions are equivalent, and the number of points on each sphere grows as k^2 so that the surface density of k -points is equivalent for each value of k . The spectrum for each k -point is represented by a function with a Lorentzian of width 40 meV at each eigenvalue. These spectra are then averaged over all k vectors in a given sphere (that share the same norm). One can then average these spectral functions over different structures aligned on their Fermi energies as discussed above. Artificial broadening from 10 meV to 80 meV in increments of 10 meV were tested. Value of 40 meV was chosen because it is the smallest broadening resulting in a unimodal distribution of eigen energies forming the (ensemble averaged) conduction band of the amorphous phase. Also, this value is by more than a factor of 6 smaller than the calculated physical broadening of the ensemble averaged conduction band (250 meV) and as such has no effect on it.

To average these structures at an effective temperature, the density of states and spectral functions can be weighted by a term $e^{-\Delta E_i/(k_{\text{B}}T_{\text{eff}})}/Z$, where T_{eff} is an effective temperature, ΔE is the energy relative to the lowest energy member of the sample, and $Z = \sum_i e^{-\Delta E_i/(k_{\text{B}}T_{\text{eff}})}$ is the partition function of the sample.

It is important to note that the procedure described here is different from the one used to calculate the effective band structure of random alloys [32] in which the wavefunctions computed on a supercell representing an alloy are projected onto the plane waves with wavenumbers from the Brillouin zone of the underlying lattice. The main difference is in the representation of the disorder as a single microstate (supercell) in the prior work on alloys and an ensemble of local microstates utilized here. In addition to these conceptual differences, the single microstate representation would still require large supercells, rendering high-accuracy QSGW calculations chal-

lenging.

Calculations of effective mass, linewidth, and mobility

Several different choices can be made to characterize peaks of the spectral functions in order to calculate the effective mass and linewidth of the conduction band minimum. The simplest choice is to integrate from the Fermi energy to energy at which the integral sums to one. The expected value of the peak can be taken as $\langle E \rangle = \int_{E_f}^a A(k, E) E dE$, and the linewidth can be taken to be the standard deviation of that distribution, $\sigma_E = \langle E \rangle^2 - \int_{E_f}^a A(k, E) E^2 dE$. These functions also display an increasing background above the Fermi energy which can be removed, and if that background is removed, the value of $\langle E \rangle$ is better aligned with the visual peak. Because of high-frequency noise, it is not always straightforward to identify the peak as a maximum point. High frequency noise can be removed by taking a Fourier transform, removing Fourier coefficients with frequencies above a given threshold, and an inverse Fourier transform. Identifying peaks by their maxima and using their full width half max as the linewidth returns values of effective mass, mobility, and linewidth that are all close to those found through integration with and without sub-

tracting the monotonic background.

DATA AVAILABILITY

Due to the size of the data set, the data that support the findings of the study are available from the corresponding author upon reasonable request.

METHODS-ONLY REFERENCES

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