

1 **Experimental and Numerical Investigation of Fracture Conductivity between**
2 **Non-smooth Rock Surfaces with and without Proppant**

3 Zihao Li¹, Ruichang Guo², Hongsheng Wang², Yuntian Teng³, Nino Ripepi⁴, Carlos A. Fernandez¹,
4 Cheng Chen^{5*}

5 ¹ Energy and Environment Directorate, Pacific Northwest National Laboratory, Richland, WA
6 99354

7 ² Bureau of Economic Geology, University of Texas at Austin, Austin, TX 78758

8 ³ Hildebrand Department of Petroleum and Geosystems Engineering, University of Texas at Austin,
9 Austin, TX 78758

10 ⁴ Department of Mining and Minerals Engineering, Virginia Tech, Blacksburg, VA 24061

11 ⁵ Department of Civil, Environmental and Ocean Engineering, Stevens Institute of Technology,
12 Hoboken, NJ 07030

13

14 **Abstract**

15 The enhancement of fracture conductivity is vital for the efficient recovery of subsurface resources,
16 such as geothermal energy and petroleum hydrocarbons. Proppants, granular materials injected
17 into hydraulic fractures to maintain their conductivity, have been studied primarily in the context
18 of smooth fractures (i.e., fractures between smooth rock surfaces). However, non-smooth fractures
19 (i.e., fractures between rough rock surfaces) are common in geoenery reservoirs and thus require
20 further investigations. In this study, we conducted laboratory measurements of fracture
21 conductivity on shale slabs with non-smooth surfaces and carried out numerical simulation using
22 the lattice Boltzmann (LB) method, which aimed to investigate the conductivity of non-smooth
23 fractures with and without proppants placement. When ceramic proppant with an areal
24 concentration of 2 lb/ft² was placed in the fracture, the conductivity was enhanced by roughly 3-8
25 times compared to fractures without proppant. In fractures with proppant, gas-measured
26 conductivity was higher than that measured with water due to proppant embedment caused by
27 water. The experiments demonstrate the advantages of using proppant in fractures, even if the
28 rock surface roughness can provide certain fracture conductivity via the self-propping mechanism.
29 For fractures without proppants, high rock surface roughness is not necessarily favorable for
30 enhancing fracture conductivity because the self-propping mechanism requires shear slip along the
31 fracture surface. If there is no shear slip, high rock surface roughness can cause a detrimental effect
32 on the fracture conductivity due to the interlocking effect. Utilizing advanced experimental
33 equipment and LB modeling, this research explores the interplays between proppant placement,
34 fracture geometry, and stress conditions to develop a comprehensive understanding of the

35 productivity in non-smooth fractures. The outcomes of this investigation indicate the importance
36 of creating fractures with surface roughness during hydraulic fracturing and will contribute to the
37 development of more efficient stimulation techniques for subsurface energy extraction.

38 **Keywords:** proppant, fracture conductivity, non-smooth surface, lattice Boltzmann, hydraulic
39 fracturing

40 **Corresponding author:** C. Chen, cchen6@stevens.edu

41

42 **1. Introduction**

43 The efficient recovery of energy resources from geothermal and oil and gas reservoirs is a
44 paramount concern for meeting the ever-growing global energy demand. The development of
45 hydraulic fracturing and horizontal drilling has made some subsurface reservoirs recovery
46 economically viable (Warpinski et al., 2009; Lee et al., 2011; Rahm, 2011). One significant
47 challenge faced by the industry is the enhancement of fluid flow in naturally fractured and
48 hydraulically stimulated reservoirs (Ghassemi, 2012; Breede et al., 2013; Zhang et al., 2019; Li et
49 al., 2020; Luo et al., 2023; Qu et al., 2023a and 2023b). The interconnectivity of fracture networks
50 and their conductivity play a crucial role in determining the effectiveness of resource extraction
51 (Ahamed et al., 2019; Nadimi et al., 2020; Phillips et al., 2020; Li et al., 2022a). Understanding
52 the characteristics of these fractures and employing techniques to optimize their conductivity are
53 essential for the development of sustainable and economically viable production strategies.

54 One such technique to enhance fracture conductivity is to use proppants, which are granular
55 materials injected into fractures to keep them open and maintain their conductivity (Cooke Jr, 1973;
56 Liang et al., 2016; Childers et al., 2017). Fracture conductivity, defined as the product of fracture
57 permeability and fracture width (Fan et al. 2019; Li et al., 2022b), represents the absolute
58 volumetric fluid flow rate contributed by a unit length of the fracture, which is directly related to
59 the productivity of the fracture. The fracture width and permeability are influenced by the quantity
60 of proppant used and the effective stress exerted on the proppant pack (Chen et al., 2015). During
61 the hydraulic fracturing process, a mixture of multiple proppant particles is injected into the
62 wellbore, with smaller proppants typically introduced first, followed by larger ones. An optimal
63 combination of small and large proppant sizes results in the highest well productivity index in
64 ultra-low permeability formations, such as shales (Belyadi et al., 2017). Proppant concentration,
65 also referred to as proppant areal concentration, measures the amount of proppant placed within a
66 fracture and is defined as the mass of proppant per unit fracture surface area, usually expressed in
67 pounds per square foot (lb/ft²) (Fan et al. 2019; Li et al., 2022b). While proppants have been
68 extensively studied in the context of smooth fractures (i.e., fractures between smooth rock
69 surfaces), limited research has been conducted on their application in non-smooth fractures (i.e.,
70 fractures between rough rock surfaces), which exhibit complex geometries and varied stress
71 distributions. Therefore, a comprehensive understanding of the effects of proppants on non-smooth

72 fractures' conductivity is essential for optimizing reservoir stimulation techniques in both
73 geothermal and oil and gas reservoirs.

74 In the study of subsurface energy systems, modeling the behavior of proppants plays a crucial
75 role in predicting and optimizing the efficiency of resource extraction (Fan et al., 2021). Advanced
76 numerical models are employed to accurately capture the dynamics of proppant transport,
77 placement, and their subsequent impacts on fracture conductivity. These models incorporate
78 various factors such as fluid flow (Han et al., 2014; Zhang et al., 2017), proppant transport (Tong
79 and Monhanty, 2016; Huang et al., 2022), proppant embedment (Li et al., 2015; Osiptsov et al.,
80 2020), fracture geometry (Warpinski et al., 2009; Gong et al., 2020), proppant distribution (Yu et
81 al., 2015; Wang and Elsworth, 2018), and stress conditions (Deng et al., 2011; Wang and Sharma,
82 2018) to simulate the interactions between proppant particles and the surrounding reservoir
83 environment. By integrating the complex interplays between these factors, these models enable
84 researchers and engineers to gain valuable insights into the effects of proppant type, size,
85 concentration, and placement strategies on fracture conductivity, ultimately leading to improved
86 reservoir stimulation techniques. Furthermore, these models also provide a platform to study the
87 long-term stability and effectiveness of proppant packs, enabling the development of more
88 sustainable and cost-effective approaches for subsurface energy extraction in both geothermal and
89 oil and gas reservoirs. Among these methods, the lattice Boltzmann (LB) method is an alternative
90 method to solving the Navier-Stokes equations at a mesoscopic scale which has advantage in
91 dealing with complex pore geometries, such as porous media and fractures (Guo et al., 2022; Ju et
92 al., 2017; Yi et al., 2019; Wang et al., 2024). Numerous studies have proven that LB direct
93 simulation is an effective way to evaluate flow fields and permeability (Sun et al., 2013; Vijaybabu
94 et al., 2019; Wang et al., 2021). In addition, surface profile scanning technologies developed in
95 recent years measure and analyze the topography of a surface, thereby providing detailed data of
96 fine-scale roughness on a rock surface (Fardin et al., 2004; Tonietto et al., 2019; Salvini et al.,
97 2020). Wang et al. (2024) also developed a numerical method for quantifying fracture roughness.
98 The combination of LB direct simulation and profilometer scans enables the accurate evaluation
99 of the hydraulic properties in a fracture.

100 This study used both experimental and simulation methods to investigate the fracture
101 conductivity between non-smooth shale slab surfaces with and without proppant applications,
102 thereby providing insights into the role of proppant in enhancing fluid flow in complex fracture
103 networks. Unlike conventional studies that focus primarily on smooth fractures, this research
104 targets non-smooth fractures, which are more commonly found in geoenery reservoirs. Both
105 liquids and gases were used in the experimental testing. These experiments provide tangible, real-
106 world data on how different variables affect the physical properties of fractures under controlled
107 conditions. In addition, the LB simulation can vary parameters systematically to predict outcomes
108 under different stress conditions and fracture geometries. Through the development of laboratory
109 experiments and implementation of advanced numerical models, this research will investigate the
110 interactions between proppant particles, fracture geometries, and stress conditions, ultimately
111 leading to better understanding of proppant behaviors and the potential to improve resource

112 recovery in geothermal and oil and gas reservoirs. The outcomes of this investigation will
 113 contribute to the development of more efficient and realistic reservoir stimulation techniques,
 114 leading to increased energy productivity, reduced environmental impacts, and lower costs for both
 115 the geothermal and oil and gas industries. The findings will also provide a foundation for future
 116 research on proppant materials, fracture network optimization, and the adaptation of the latest
 117 numerical simulation techniques to reservoir engineering applications.

118

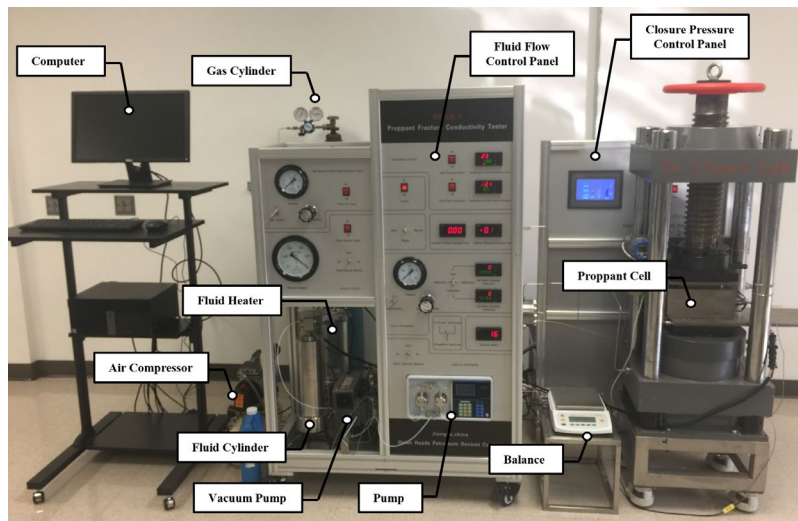
119 2. Materials and Methodology

120 2.1 Fracture conductivity measurement

121 **Figure 1** illustrates the fracture conductivity measurement cell used in the experiments. This
 122 apparatus allows for the determination of proppant fracture conductivity under a range of effective
 123 stresses and temperatures. In the fracture conductivity test, the fracture conductivity is computed
 124 as the product of the fracture's permeability with the fracture width. The fracture width is gauged
 125 by the change in the chamber's height, captured by a displacement transducer. As per the American
 126 Petroleum Institute (API) RP-19D standard (2021), the fracture conductivity, C , is defined as:

$$C = kw_f = \frac{\mu \cdot Q \cdot L \cdot w_f}{\Delta p \cdot A} = \frac{\mu \cdot Q \cdot L}{\Delta p \cdot h} \quad (1)$$

127 where C is fracture conductivity (m^3); k is fracture permeability (m^2); w_f is fracture width (m); h is
 128 the size of the longer dimension of the fracture cross section (m); A is the area of fracture cross
 129 section and equal to $w_f h$ (m^2); μ is fluid viscosity ($\text{Pa} \cdot \text{s}$); Q is volumetric flow rate (m^3/s); L is the
 130 length over which the pressure difference is measured (m); Δp is the pressure difference (Pa). Note
 131 that μ , L , and h in Equation 1 are known. The measured flow rate and pressure difference are then
 132 imported into Equation 1 to calculate the fracture conductivity.



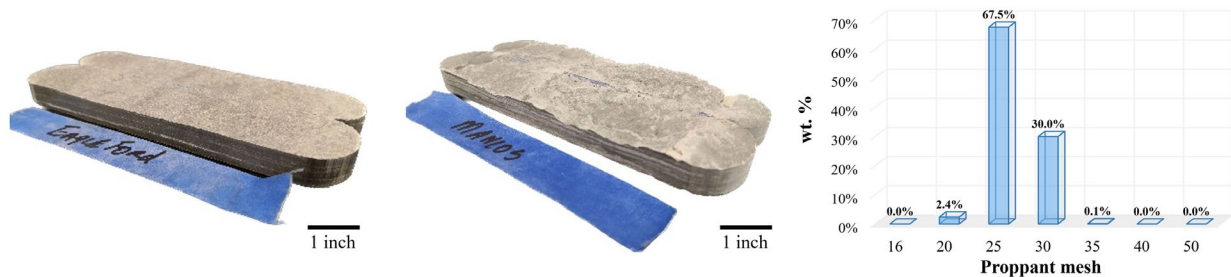
133

134 **Figure 1.** Fracture conductivity measurement cell used in the study.

135

136 **Figure 2** demonstrates the non-smooth shale slabs extracted from the Eagle Ford Shale and
 137 Mancos Shale. The mineralogy and total organic carbon (TOC) of these shale slabs are given in
 138 **Table 1**. In particular, the rock slabs were cut surrounding a natural fracture or stratum, which was
 139 subsequently utilized as the connecting surface between the two non-smooth slabs. Each shale slab
 140 had dimensions of 7" × 1.5" × 0.5" (length × width × thickness). The effective stress was defined
 141 as the difference between the closure pressure and pore pressure. The experiments applied
 142 effective stresses of 500 psi, 1,000 psi, 1,500 psi, and 2,000 psi on the shale slabs. For the
 143 comparison between liquid and gas tests, it is essential to use the same slab pair because the non-
 144 smooth surfaces on each slab pair have a unique pattern and surface roughness. Therefore, the
 145 maximum effective stress was set at 2,000 psi to prevent damage to the rock slabs caused by
 146 excessive loading.

147 The proppant used in this study is CARBOECONOPROP® Low-density ceramic proppant,
 148 which is provided by CARBO Ceramics Inc, and can withstand external stresses up to 10,000 psi.
 149 The mesh size of this proppant is 20/40 with a particle diameter distribution in the range between
 150 400 μm and 841 μm. The selection of the 20/40 proppant is based on its capacity of providing high
 151 permeability and conductivity, considerable strength and resistance to crushing, effective transport
 152 and placement in fractures, and overall cost effectiveness. These properties make the 20/40
 153 proppant a widely used product for enhancing the productivity of hydraulically fractured wells.
 154 Figure 2c illustrates the size distribution of the mesh-20/40 proppant.



155 a) Non-smooth shale slabs: Eagle Ford b) Non-smooth shale slabs: Mancos c) Mesh-20/40 proppant diameter distribution

156 **Figure 2.** Shale slabs with non-smooth surfaces extracted from a) Eagle Ford, and b) Mancos
 157 formations, and c) distribution of particle diameter for the mesh-20/40 proppant.

158

159 **Table 1.** Mineralogy and TOC of the Eagle Ford and Mancos shale slabs.

		Eagle Ford (wt%)	Mancos (wt%)
Mineralogy	Calcite	70	5.1
	Quartz	18	56.4
	Dolomite	2	10.9

Albite	/	6.4
Kaolinite	9	2.5
Microcline	/	7.9
Pyrite	1	1.1
Muscovite	/	9.7
TOC	2.8	1.37

160

161 The conductivity measurement in this paper includes measurements with proppant and without
 162 proppant placement at a temperature of 298 K using gaseous nitrogen and deionized (DI) water as
 163 the testing fluids. In those reservoirs with sensitive mineral compositions or where there is a high
 164 risk of scaling or clay swelling, DI water can avoid the introduction of additional salts and
 165 chemicals and minimize the possibility of adverse reactions. In addition, DI water can also provide
 166 a consistent and predictable base fluid for precise chemical control. As a dry gas, nitrogen can
 167 avoid clay swelling and hence prevent permeability reduction. Furthermore, nitrogen is inert and
 168 thus does not react with the formation minerals or fluids, thereby reducing the risk of formation
 169 damage from chemical reactions.

170 After completing material preparation, the procedure for experimentally measuring fracture
 171 conductivity is described as follows. First, the proppant with a concentration of 2 lb/ft² (Simo et
 172 al., 2013; Schmidt et al., 2014) is sandwiched between two shale slabs. The proppant assembly is
 173 then placed inside the cell chamber. To drain air from the proppant pack when using DI water, the
 174 pore space in the proppant pack is filled with DI water to ensure saturation, while this step can be
 175 skipped when using nitrogen. Subsequently, the flow pump is switched on to achieve the target
 176 flow rate of DI water or nitrogen in the fracture, followed by turning on the back-pressure regulator
 177 and adjusting the back pressure to the desired test conditions. After that, the hydraulic pump for
 178 the effective stress is activated, and the pre-set effective stress will be applied to the chamber.
 179 Following a short duration, during which the effective stress and the flow rate of DI water or
 180 nitrogen reach a steady state, the computer starts to record the fracture conductivity value under
 181 each effective stress. It is worth noting that the correction of the Klinkenberg effect is essential
 182 after the measurement using nitrogen, and the method for the correction will be discussed in the
 183 following section.

184 The measurement without proppant placement in the fracture space will follow similar steps.
 185 Based on previous studies (Geng et al., 2014; Bijay and Ghazanfari, 2021), the surface roughness
 186 on rock samples enabled the fracture to self-prop without proppant placement, which can achieve
 187 considerable fracture permeability. The aim of the experiments without proppant is to study the
 188 role of rock surface's roughness on the fracture conductivity through the "self-propping"
 189 mechanism under various effective stresses. Both nitrogen and DI water were used in the
 190 experiments to study the influence of the testing fluid. To avoid embedment damage to rock surface
 191 and enable the comparison between tests, nitrogen-based measurements under effective stresses of

192 500, 1,000, 1,500, and 2,000 psi were first conducted without the placement of proppant in the
 193 fracture. We then conducted the DI-water-based measurements under the same effective stresses.
 194 After the completion of the experiments without proppant, the chamber was opened and ceramic
 195 proppant particles, at a concentration of 2 lb/ft², were carefully placed into the fracture space
 196 between the two slabs. In the next step, both nitrogen-based and DI-water-based measurements
 197 were repeated following the same procedures.

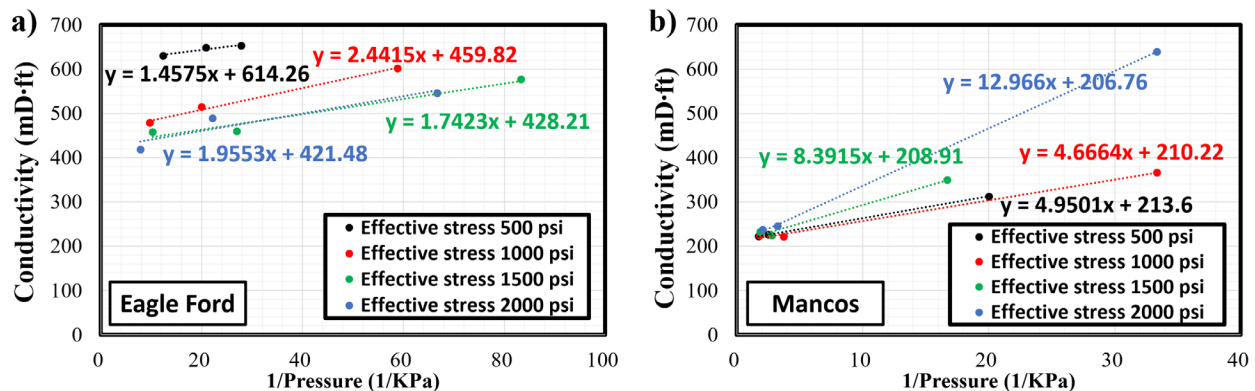
198

199 *2.2 Correction of the Klinkenberg effect for gas-measured conductivity*

200 During the nitrogen gas conductivity measurements, an increase in the apparent (i.e., measured)
 201 fracture conductivity was observed, which was attributed to the Klinkenberg effect that enhances
 202 apparent permeability by causing fluid slip at the solid surface (Klinkenberg, 1941; Tan et al.,
 203 2018; Li et al., 2022b). An approach of data correction is required to effectively eliminate the
 204 impact of the Klinkenberg effect and hence to obtain the absolute (i.e., true) permeability of the
 205 fracture. The relation between the apparent and absolute permeabilities is written as (Li et al.,
 206 2022a):

207
$$k_a = k(1 + b/p) \tag{2}$$

208 where k_a is the apparent permeability (m²), k is the absolute permeability (m²), p is the gas pressure
 209 (Pa), and b is the Klinkenberg coefficient (Pa). If we plot k_a as a function of $1/p$, a linear
 210 extrapolation of the data gives the value of k , which is the intercept on the y axis; in other words,
 211 the absolute permeability is the apparent permeability measured under an infinitely large gas
 212 pressure. **Figure 3** presents two plots as an example of the Klinkenberg effect correction, which
 213 was conducted in fractures from the Eagle Ford and Mancos formations. Under each effective
 214 stress, we conducted three gas flow experiments with different gas pressures. The extrapolation
 215 method was then used to eliminate the Klinkenberg effect to obtain the absolute conductivity under
 216 this particular effective stress. The results are illustrated in **Table 2**. We adopted this Klinkenberg
 217 correction method for all gas-measured experiments, and thus all the gas-measured conductivity
 218 values reported in this study are the absolute conductivity values.



219

220 **Figure 3.** Apparent conductivity of the fractures in rock slabs extracted from a) Eagle Ford, and
 221 b) Mancos formations under three different gas pressures. These gas measurements were
 222 conducted using nitrogen in empty fractures without proppant placement. Extrapolation based on
 223 the measurements under the three gas pressures eliminates the Klinkenberg effect and gives the
 224 absolute permeability and hence the true conductivity of the fracture.

225

226 **Table 2.** Nitrogen-measured conductivity values of the fractures in the Eagle Ford and Mancos
 227 formations under different effective stresses. The conductivity values have been corrected to
 228 eliminate the Klinkenberg effect using the extrapolation method.

Effective stress (psi)	True conductivity (mD·ft), Eagle Ford	True conductivity (mD·ft), Mancos
500	614.3	213.6
1000	459.8	210.2
1500	428.2	208.9
2000	421.5	206.8

229

230 2.3 LB simulation

231 Because of the advantage in dealing with complex pore boundaries, the LB simulation was used
 232 to investigate the conductivity of fractures. A three-dimensional, 19-velocity-vector (D3Q19)
 233 model was employed in the LB simulation. A single-relaxation-time Bhatnagar–Gross–Krook
 234 approximation was employed to solve the evolution of fluid particles. The evolution equation is
 235 written as (Chen et al., 2009):

$$f_i(\mathbf{x} + \mathbf{e}_i \delta t, t + \delta t) = f(\mathbf{x}, t) - \frac{f_i(\mathbf{x}, t) - f_i^{eq}}{\tau}, \quad (i = 0, 1, 2, \dots, 18) \quad (3)$$

236 where $f_i(\mathbf{x}, t)$ is the particle distribution function specifying the probability that fluid particles at
 237 lattice location \mathbf{x} and time t travel along the i^{th} direction, \mathbf{e}_i is the lattice velocity vector in the
 238 D3Q19 model, and τ is the dimensionless relaxation time and related to the kinematic viscosity by
 239 $\nu = (2\tau - 1)\Delta x^2/6\Delta t$, where Δx is the lattice spacing and Δt is the time step. f_i^{eq} is the
 240 equilibrium distribution function calculated as:

$$f_i^{eq} = \rho w_i \left[1 + \frac{3\mathbf{e}_i \cdot \mathbf{u}}{c^2} + \frac{9(\mathbf{e}_i \cdot \mathbf{u})^2}{2c^4} - \frac{3\mathbf{u}^2}{2c^2} \right], \quad (i = 0, 1, 2, \dots, 18) \quad (4)$$

241 where $c = \Delta x / \Delta t$, and the weight coefficient w_i is defined as:

$$w_i = \begin{cases} 1/3 & i = 0 \\ 1/18 & i = 1 \sim 6 \\ 1/36 & i = 7 \sim 18 \end{cases} \quad (5)$$

242 The macroscopic fluid density and velocity in the LB system are calculated as:

$$\rho = \sum_{i=0}^{18} f_i \quad (6)$$

$$\mathbf{u} = \sum_{i=0}^{18} f_i \mathbf{e}_i / \rho \quad (7)$$

243 The fluid pressure is calculated using $p = c_s^2 \rho$, where c_s is the speed of sound. In the D3Q19 LB
 244 model, $c_s^2 = c^2/3$. In the LB simulation, a body force was applied to drive fluid flow. The periodic
 245 boundary condition was implemented, and buffer layers were included at the two ends of the
 246 fracture to ensure smooth transition of fluid flow between the inlet and outlet of the fracture space.
 247 More details regarding the LB model can be found in our previous studies (Chen et al., 2009; 2015).

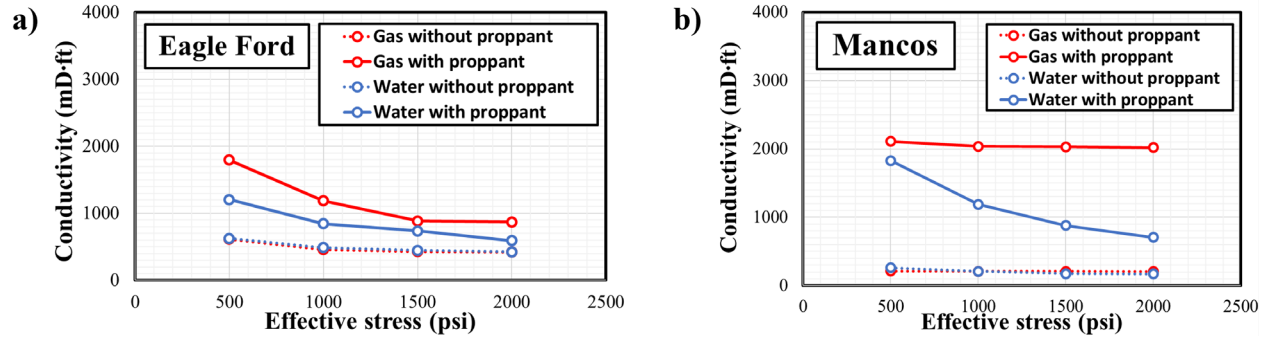
248

249 3. Results and Discussion

250 3.1 Fracture conductivity and profilometer measurements

251 **Figure 4** illustrates the fracture conductivity measured under various effective stresses. These
 252 measurements were conducted in the non-smooth fractures with and without proppant placement
 253 between the rock slabs, and both nitrogen and DI water were used as the testing fluids. Based on
 254 the measurements, when the mesh-20/40 ceramic proppant with a concentration of 2 lb/ft² was
 255 placed in the fracture space, the fracture conductivity values were about three to eight times higher
 256 than those without proppant placement. This suggests that while the rock surface's roughness does
 257 offer some fracture conductivity through the "self-propping" mechanism, it is more beneficial to
 258 have proppant placement in the fractures.

259 For fractures filled with proppant, the conductivity values measured using nitrogen were higher
 260 than those measured using DI water. This was attributed to the softening of the rock surfaces when
 261 they were exposed to DI water, which led to proppant embedment into rock surfaces and
 262 consequently decline of the fracture conductivity. Table 1 illustrates that both the Eagle Ford and
 263 Mancos cores contained clay and carbonate minerals, which could soften the rock surface when in
 264 contact with water. In addition, when no proppant was placed in the fracture space, the conductivity
 265 values measured by nitrogen and DI water were almost the same, and the decrease in fracture
 266 conductivity with increasing effective stress was not noticeable. This suggested that when a
 267 fracture is propped only by the surface roughness (i.e., self-propping), the fracture conductivity is
 268 insensitive to the testing fluid and the effective stress.

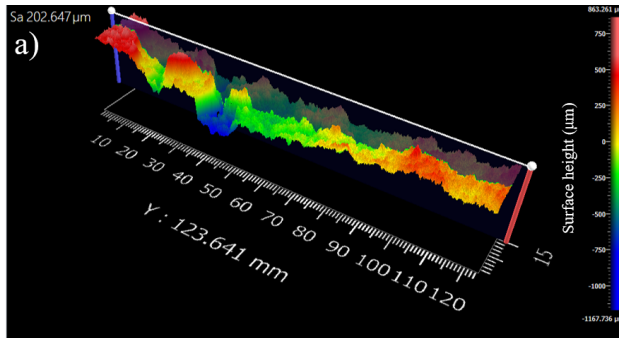


269

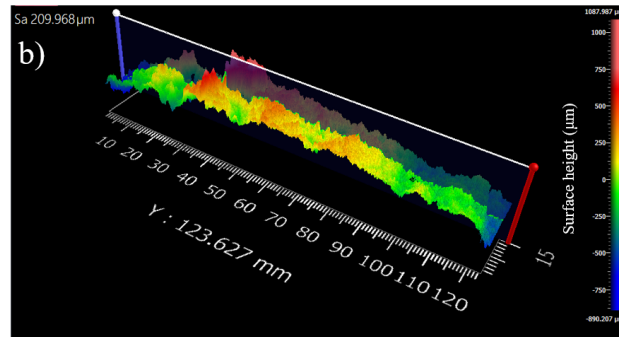
270 **Figure 4.** Measured fracture conductivity as a function of effective stress for shale slabs extracted
 271 from a) Eagle Ford, and b) Mancos formations. “Gas” and “water” indicate that the measurements
 272 were conducted with nitrogen gas and DI water as testing fluids, respectively. The conductivity
 273 values measured with nitrogen have been corrected to eliminate the Klinkenberg effect.
 274

275 **Figure 5** illustrates that the profilometer measurements of the rock surface topography for the
 276 non-smooth shale slabs, which were used in the fracture conductivity measurements shown in
 277 Figure 4. Each shale slab was scanned by the profilometer and stitched using a 264-site stitch with
 278 a 5× Super Long Working Distance (SLWD) objective. The full surface of each slab is stitched
 279 together into a single height map with over 265 million data points. The objective of these
 280 profilometer measurements is to investigate proppant embedment on the non-smooth slab surface.
 281 The high effective stress during the test led to the damage of one shale slab (Mancos slab #2),
 282 thereby disqualifying it for profilometer scans. The remaining samples (Mancos slab #1 and Eagle
 283 Ford slabs #1 and #2) were scanned with full-sample stitches.

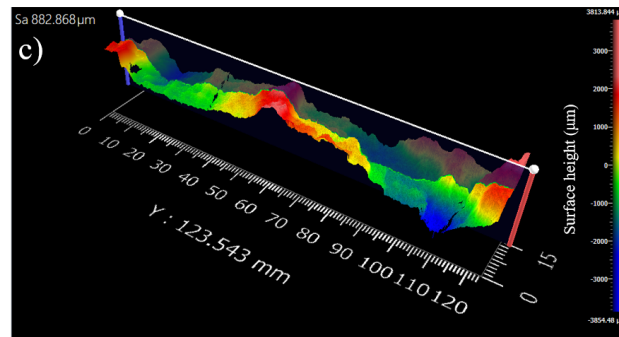
284 The arithmetical mean height, S_a , measures the height difference of each point from the
 285 average height of a surface. This value is typically used to gauge surface roughness. As illustrated
 286 in Figure 5, the S_a values of Eagle Ford slab #1, Eagle Ford slab #2, and Mancos slab #1 were
 287 202.647 μm , 209.968 μm , and 882.868 μm , respectively. These measurements quantitatively
 288 indicate that the Eagle Ford slabs were considerably smoother than the Mancos slab, which is
 289 consistent with visual observations of the laboratory pictures (Figure 2).



a) Eagle Ford shale non-smooth slab #1



b) Eagle Ford shale non-smooth slab #2



c) Mancos shale non-smooth slab #1

290

291 **Figure 5.** Profilometer measurements of the non-smooth shale slabs extracted from the Eagle Ford
 292 and Mancos formations.

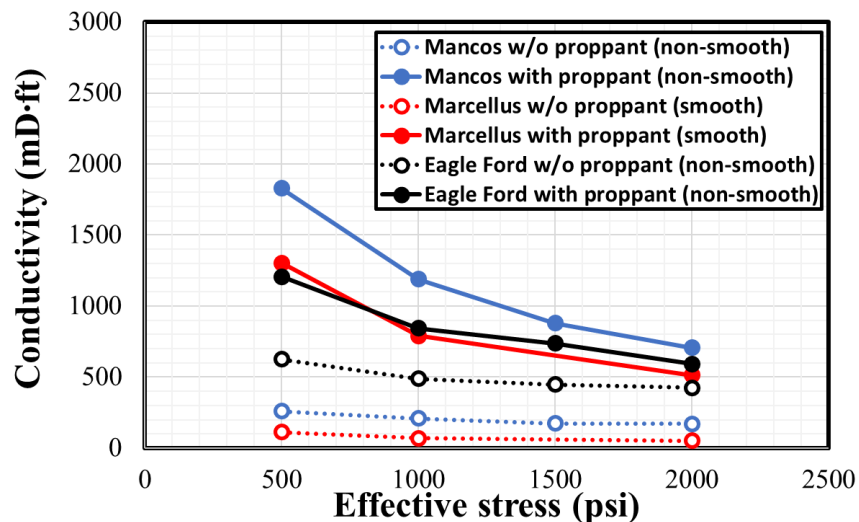
293

294 *3.2 Non-smooth fractures versus smooth fractures*

295 To compare the productivity between non-smooth fractures and smooth fractures, **Figure 6**
 296 illustrates the DI water-measured fracture conductivity values of Eagle Ford, Mancos, and
 297 Marcellus slabs. Particularly, the data for the Eagle Ford and Mancos slabs are from Figure 4 (i.e.,
 298 non-smooth fractures), whereas the data for the Marcellus slabs were measured in a smooth
 299 fracture (Li et al., 2022b). When no proppants were placed in the fracture, the Marcellus fracture
 300 (i.e., smooth fracture) had the lowest fracture conductivity, which was expected because the two
 301 smooth fracture walls were in full contact with each other under compressive stress. The Mancos

302 fracture, which had high surface roughness, demonstrated a lower fracture conductivity than the
 303 Eagle Ford fracture, which had moderate surface roughness. This was because the two rough rock
 304 surfaces were tightly aligned with each other under compressive stress without proppant placement,
 305 which is referred to as the interlocking mechanism. In this case, higher surface roughness increased
 306 the tortuosity of the flow paths within the fracture, leading to lower fracture conductivity. This
 307 suggests that high surface roughness is not necessarily favorable for enhancing fracture
 308 conductivity because the self-propping mechanism requires shear slip along the fracture surface.
 309 If there is no shear slip along the fracture surface, which is the case in the measurements without
 310 proppant placement, high surface roughness will cause a detrimental effect on the fracture
 311 conductivity due to the interlocking effect.

312 When proppant particles were placed in the fracture, the Mancos fracture, which had the
 313 highest surface roughness, showed the highest fracture conductivity. This was because proppant
 314 distribution was non-uniform on rough rock surfaces, which led to local particle packing that had
 315 high porosity and consequently high permeability. With the increase of rock surface roughness,
 316 the enhancement of local porosity can be promoted, which contributes to the enhancement of the
 317 overall fracture conductivity. In contrast, the fracture conductivity curves for the Eagle Ford slabs
 318 (moderate surface roughness) and Marcellus slabs (smooth surface) were similar. This was because
 319 the proppant distribution was relatively uniform between smooth and low-roughness rock surfaces,
 320 which prevented the formation of local particle packing that had high porosity. In addition, with
 321 uniform proppant packing at the proppant concentration of 2 lb/ft², the proppant assembly had
 322 approximately ten layers of particles, which was a tightly packed porous medium. In this case, the
 323 permeability of the proppant-filled fracture depended primarily on the permeability of the particle
 324 assembly and was insensitive to the rock surfaces (Fan et al., 2019). Therefore, the fracture
 325 conductivity was similar in the proppant-filled Eagle Ford and Marcellus fractures.



326
 327 **Figure 6.** DI water-measured fracture conductivity as a function of effective stress. The data for
 328 Marcellus shale slabs, which had smooth rock surfaces, are from Li et al., (2022b). The proppant
 329 concentration was 2 lb/ft² for the tests with proppant placement.

330

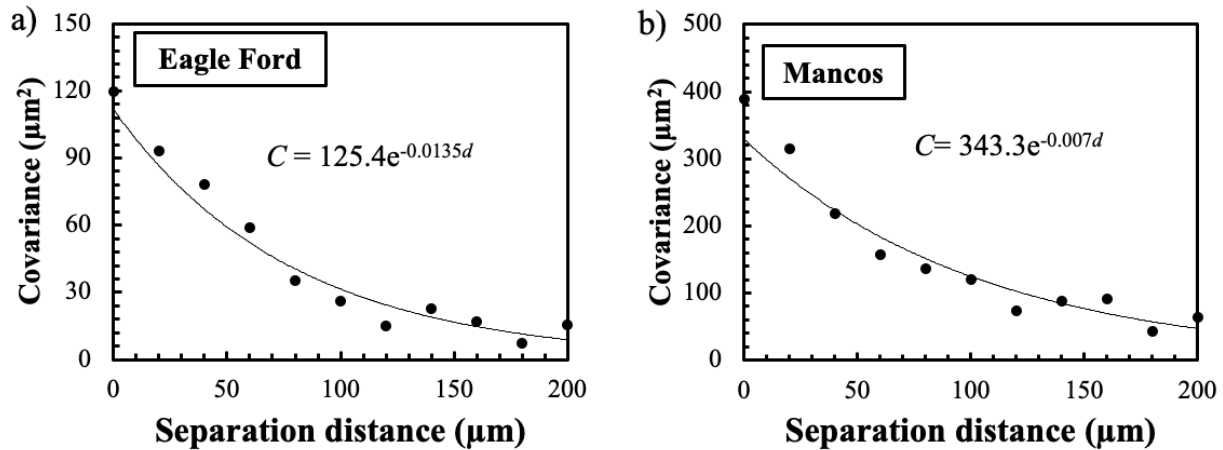
331 *3.3 Conductivity-fracture width relationship based on LB simulation*

332 The experimental findings suggested that there may be a correlation between the conductivity of
333 a proppant-free fracture and the fracture width. This correlation is significantly influenced by the
334 roughness of the rock surfaces, which in turn is determined by the properties of the rock minerals.
335 We hypothesize that fractures in identical rock types share similar surface roughness
336 characteristics. Therefore, we artificially generated rough fractures with different widths. The
337 surface roughness was generated based on the rock surface roughness characterized in the
338 profilometer measurements. The LB simulation was then conducted to determine the fracture
339 permeability and consequently the fracture conductivity in these artificially-generated non-smooth
340 fractures. Based on the profilometer measurement data, the spatial correlation of rock surface
341 roughness on the same rock type can be determined. Particularly, the covariance of rock surface
342 roughness as a function of the separation distance can be written as:

$$343 \quad Cov(L) = \frac{1}{N} \sum_{i=1}^N \sigma(x_i) \cdot \sigma(x_i + L) - \frac{1}{N} \sum_{i=1}^N \sigma(x_i) \cdot \frac{1}{N} \sum_{i=1}^N \sigma(x_i + L) \quad (8)$$

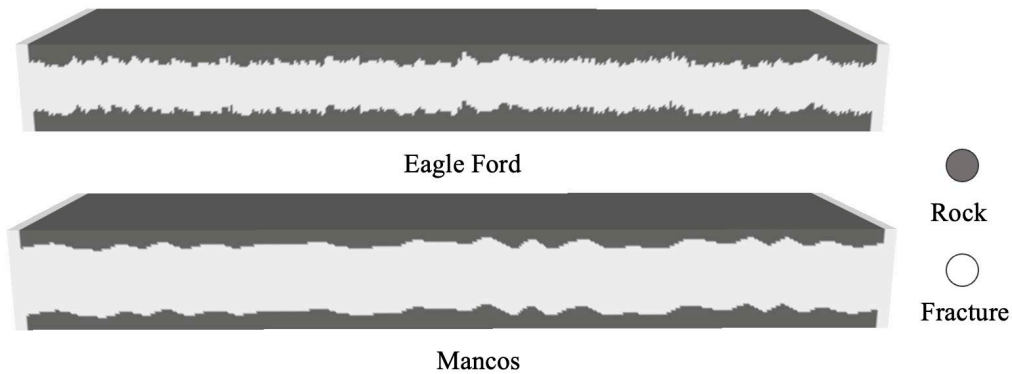
344 where σ is the local rock surface roughness, x_i is the location of sample i on the rock surface, L is
345 the separation distance, and N is the total sample number. This equation characterizes the
346 covariance (i.e., similarity) between the roughness at two locations, separated by a distance of L ,
347 on the rock surface (Guo et al., 2020). We applied this equation to the profilometer measurement
348 data and obtained the roughness covariance functions for the Eagle Ford and Mancos fracture
349 surfaces, as illustrated in **Figure 7**. The scatter data are from data analysis of the profilometer
350 measurements using Equation 8, whereas the solid curve is based on data fitting using the
351 exponential function. The spatial correlation length of a rough rock surface is defined as the
352 separation distance at which the covariance of surface roughness is reduced to e^{-1} . Based on the
353 data analysis, the surface roughness of the Eagle Ford fracture had a standard deviation of 10.7
354 μm and a spatial correlation length of 74.2 μm . The surface roughness of the Mancos fracture had
355 a standard deviation of 19.7 μm and a spatial correlation length of 142.4 μm . Using these properties
356 of the surface roughness, we reconstructed Eagle Ford and Mancos fractures with different fracture
357 widths. One set of the reconstruction samples are demonstrated in **Figure 8**.

358



359
360
361
362
363

Figure 7. Covariance of rock surface roughness as a function of separation distance in the Eagle Ford and Mancos fractures. Scatter data are from data analysis of the profilometer measurements, whereas the solid curve is data fitting using the exponential function.



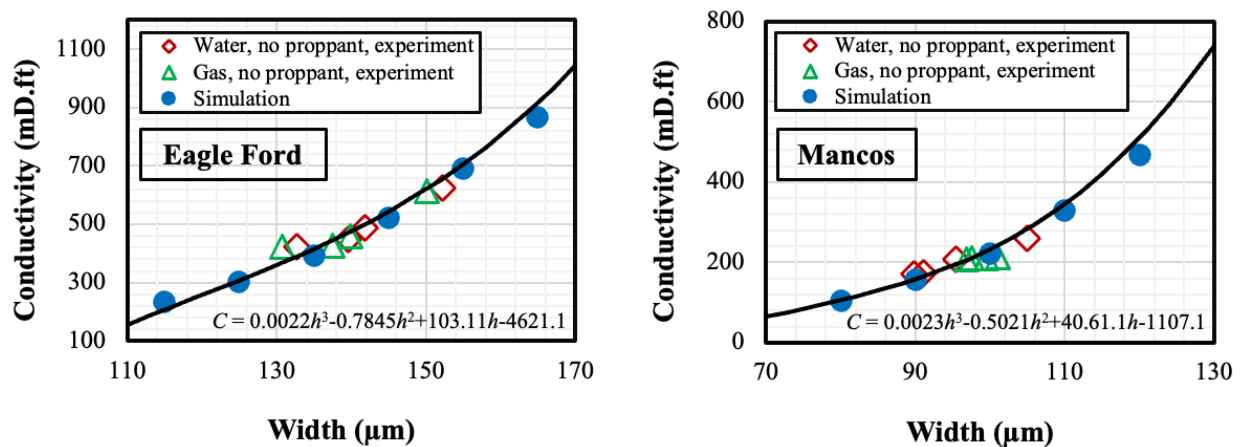
364
365
366

Figure 8. Eagle Ford and Mancos fractures reconstructed using the profilometer measurement data.

367

368 LB direct simulations were then performed on the reconstructed fractures. The fracture space
369 was resolved at a resolution of 5 μm per pixel length in the LB model. The width of the
370 reconstructed fractures was selected in the range around the width measured in the experiments.
371 In the simulation on the Eagle Ford fractures, the fracture width took values of 115 μm , 125 μm ,
372 135 μm , 145 μm , 155 μm , and 165 μm . In the simulations on the Mancos fractures, the fracture
373 width took values of 80 μm , 90 μm , 100 μm , 110 μm , and 120 μm . The fracture permeability was
374 calculated using the LB-simulated flow field in the fracture space based on Darcy's law. The
375 fracture conductivity was then calculated as the product of fracture permeability and fracture width
376 based on Equation 1. **Figure 9** illustrates experimentally-measured and LB-simulated fracture
377 conductivity against fracture width.

378 Given the high cost of fracture conductivity measurements in the laboratory, it is beneficial to
 379 identify an empirical correlation between fracture conductivity and fracture width. The dimension
 380 analysis suggests that the relation between fracture conductivity and fracture width can be written
 381 as $C \propto h^3$. Therefore, we used a third-order polynomial function to fit the experimental and
 382 simulation data, leading to $C = 0.0022h^3 - 0.7845h^2 + 103.11h - 4621.1$ and $C = 0.0023h^3 -$
 383 $0.5021h^2 + 40.611h - 1107.1$ for the Eagle Ford and Mancos fractures, respectively. Since a
 384 fracture's hydraulic conductivity depends solely on the fracture geometry, these empirical
 385 correlations provide a cost-effective means to estimate the conductivity of empty fractures (i.e., no
 386 proppant placement) in rocks with similar surface roughness. For rocks with different roughness
 387 characteristics, the same workflow can also be employed, and only several experiments are needed
 388 to validate the simulations.



389 **Figure 9.** Fracture conductivities for a) Eagle Ford, and b) Mancos fractures without proppant
 390 placement. The hollow data points are experimental measurements using DI water and nitrogen
 391 gas as the testing fluids, whereas the solid data points are from LB simulation. The solid curves
 392 are based on data fitting using the polynomial function.
 393

394

395 4. Conclusions

396 In this study, we investigated fracture conductivity between non-smooth rock surfaces with and
 397 without proppant placement. Using laboratory experiments and LB simulation, we obtained
 398 insights into the nuances of fracture behaviors when the fracture space is between non-smooth rock
 399 surfaces. The research findings underline the role that proppants play in enhancing fracture
 400 conductivity and subsurface energy recovery. The laboratory experiments showed a noticeable
 401 increase in fracture conductivity when proppants were placed, thereby confirming the importance
 402 in practical applications, even if the roughness of the rock surface can provide certain fracture
 403 conductivity by means of the self-propping effect. For fractures without proppant placement, high
 404 rock surface roughness is not necessarily favorable for enhancing fracture conductivity because
 405 the self-propping mechanism requires shear slip along the fracture surface. If there is no shear slip

406 along the fracture surface, high rock surface roughness can cause a detrimental effect on the
407 fracture conductivity due to the interlocking effect. Furthermore, the LB simulation provided
408 advanced understanding of the fracture dynamics at the microscopic scale, leading to an empirical
409 correlation between the conductivity of proppant-free fractures and the fracture width.

410 This research emphasizes the crucial role of combining laboratory experiments with numerical
411 simulation in understanding and optimizing fracture conductivity. The insights obtained from this
412 work indicate the benefit of creating non-smooth fractures during hydraulic fracturing, which
413 provides a more productive way for subsurface energy development.

414

415 **Acknowledgment**

416 The authors acknowledge the funding support from the U.S. Department of Energy through the
417 National Energy Technology Laboratory under Contract No. DE-FE0031576 and the technical
418 support from Zygo Corporation. The authors also greatly appreciate the valuable feedback
419 provided by Dr. Nicolas J. Huerta from the Pacific Northwest National Laboratory, which
420 significantly contributed to the improvement of this paper.

421

422 **Reference**

423 Ahamed, M. A. A., Perera, M. S. A., Dong-yin, L., Ranjith, P. G., & Matthai, S. K. (2019).
424 Proppant damage mechanisms in coal seam reservoirs during the hydraulic fracturing process: a
425 review. *Fuel*, 253, 615-629.

426 American Petroleum Institute, (2021). Measuring Conductivity of Proppants, 2nd Edition, API RP
427 19D.

428 Behbahani, S. S., Hajibeygi, H., Voskov, D., & Jansen, J. D. (2022). Smoothed embedded finite-
429 volume method (sEFVM) for modeling contact mechanics in deformable faulted and fractured
430 porous media. *Journal of Computational Physics*, 459, 111143.

431 Belyadi, H., Fathi, E., & Belyadi, F. (2017). Chapter Six-Proppant characteristics and application
432 design. *Hydraulic Fracturing in Unconventional Reservoirs*, Gulf Professional Publishing, Boston,
433 73-96.

434 Bijay, K. C., & Ghazanfari, E. (2021). Geothermal reservoir stimulation through hydro-shearing:
435 an experimental study under conditions close to enhanced geothermal systems. *Geothermics*, 96,
436 102200.

437 Breede, K., Dzebisashvili, K., Liu, X., & Falcone, G. (2013). A systematic review of enhanced (or
438 engineered) geothermal systems: past, present and future. *Geothermal Energy*, 1, 1-27.

439 Chen, C., Lau, B. L., Gaillard, J. F., & Packman, A. I. (2009). Temporal evolution of pore geometry,
440 fluid flow, and solute transport resulting from colloid deposition. *Water resources research*, 45(6).

441 Chen, C., Martysevich, V., O'Connell, P., Hu, D., & Matzar, L. (2015). Temporal evolution of the
442 geometrical and transport properties of a fracture/proppant system under increasing effective stress.
443 *SPE Journal*, 20(03), 527-535.

444 Childers, I. M., Endres, M., Burns, C., Garcia, B. J., Liu, J., Wietsma, T. W., ... & Fernandez, C.
445 A. (2017). Novel highly dispersible, thermally stable core/shell proppants for geothermal
446 applications. *Geothermics*, 70, 98-109.

447 Cooke Jr, C. E. (1973). Conductivity of fracture proppants in multiple layers. *Journal of Petroleum*
448 *Technology*, 25(09), 1101-1107.

449 Deng, J., Hill, A. D., & Zhu, D. (2011). A theoretical study of acid-fracture conductivity under
450 closure stress. *SPE Production & Operations*, 26(01), 9-17.

451 Fan, M., J. McClure, Y. Han, N. Ripepi, E. Westman, M. Gu, and C. Chen (2019), Using an
452 Experiment/Simulation-integrated Approach to Investigate Fracture Conductivity Evolution and
453 Non-Darcy Flow in a Proppant-Supported Hydraulic Fracture, *SPE Journal*, 24(4), 1912-1928,
454 SPE-195588-PA.

455 Fan, M., Li, Z., Han, Y., Teng, Y., & Chen, C. (2021). Experimental and Numerical Investigations
456 of the Role of Proppant Embedment on Fracture Conductivity in Narrow Fractures (Includes
457 Associated Errata). *SPE Journal*, 26(01), 324-341.

458 Fardin, N., Feng, Q., & Stephansson, O. (2004). Application of a new in situ 3D laser scanner to
459 study the scale effect on the rock joint surface roughness. *International Journal of Rock Mechanics*
460 *and Mining Sciences*, 41(2), 329-335.

461 Geng, M., Xianbo, S., Haixiao, L., Hongyu, G., Yunqi, T., & Xiao, L. (2014). Theory and
462 technique of permeability enhancement and coal mine gas extraction by fracture network
463 stimulation of surrounding beds and coal beds. *Natural Gas Industry B*, 1(2), 197-204.

464 Ghassemi, A. (2012). A review of some rock mechanics issues in geothermal reservoir
465 development. *Geotechnical and Geological Engineering*, 30, 647-664.

466 Gong, Y., Mehana, M., El-Monier, I., & Viswanathan, H. (2020). Proppant placement in complex
467 fracture geometries: A computational fluid dynamics study. *Journal of Natural Gas Science and*
468 *Engineering*, 79, 103295.

469 Guo, R., Dalton, L., Crandall, D., McClure, J., Wang, H., Li, Z., & Chen, C. (2022). Role of
470 heterogeneous surface wettability on dynamic immiscible displacement, capillary pressure, and
471 relative permeability in a CO₂-water-rock system. *Advances in Water Resources*, 165, 104226.

472 Guo, R., Dalton, L. E., Fan, M., McClure, J., Zeng, L., Crandall, D., & Chen, C. (2020). The role
473 of the spatial heterogeneity and correlation length of surface wettability on two-phase flow in a
474 CO₂-water-rock system. *Advances in Water Resources*, 146, 103763.

475 Han, J., Wang, J. Y., & Puri, V. (2014, February). A fully coupled geomechanics and fluid flow
476 model for proppant pack failure and fracture conductivity damage analysis. In SPE Hydraulic
477 Fracturing Technology Conference. OnePetro.

478 Huang, J., Hao, Y., Settghost, R. R., White, J. A., Mateen, K., & Gross, H. (2022). Validation and
479 Application of a Three-Dimensional Model for Simulating Proppant Transport and Fracture
480 Conductivity. *Rock Mechanics and Rock Engineering*, 1-23.

481 Ju, Y., Zhang, Q., Zheng, J., Chang, C., & Xie, H. (2017). Fractal model and lattice Boltzmann
482 method for characterization of non-Darcy flow in rough fractures. *Scientific reports*, 7(1), 41380.

483 Klinkenberg, L. J. 1941. The Permeability of Porous Media to Liquids and Gases. Paper presented
484 at the Drilling and Production Practice, New York, New York, USA, 1 January. API-41-200.

485 Lee, D. S., Herman, J. D., Elsworth, D., Kim, H. T., & Lee, H. S. (2011). A critical evaluation of
486 unconventional gas recovery from the marcellus shale, northeastern United States. *KSCE Journal
487 of Civil Engineering*, 15, 679-687.

488 Li, K., Gao, Y., Lyu, Y., & Wang, M. (2015). New mathematical models for calculating proppant
489 embedment and fracture conductivity. *SPE Journal*, 20(03), 496-507.

490 Li, Z., Ripepi, N., & Chen, C. (2020). Using pressure pulse decay experiments and a novel multi-
491 physics shale transport model to study the role of Klinkenberg effect and effective stress on the
492 apparent permeability of shales. *Journal of Petroleum Science and Engineering*, 189, 107010.

493 Li, Z., Teng, Y., Fan, M., Ripepi, N., & Chen, C. (2022a). A novel multiphysics multiscale
494 multiporosity shale gas transport model for geomechanics/flow coupling in steady and transient
495 states. *SPE Journal*, 27(01), 452-464.

496 Li, Z., Zhao, Q., Teng, Y., Fan, M., Ripepi, N., Yin, X., & Chen, C. (2022b). Experimental
497 investigation of non-monotonic fracture conductivity evolution in energy georeservoirs. *Journal
498 of Petroleum Science and Engineering*, 211, 110103.

499 Liang, F., Sayed, M., Al-Muntasheri, G. A., Chang, F. F., & Li, L. (2016). A comprehensive review
500 on proppant technologies. *Petroleum*, 2(1), 26-39.

501 Luo, J., Zhang, Q., Elsworth, D., & Zhao, Q. (2023). Competing Effects of Proppant and Surface
502 Roughness on the Frictional Stability of Propped Fractures. *Rock Mechanics and Rock
503 Engineering*, 56(4), 2923-2934.

504 Nadimi, S., Forbes, B., Moore, J., Podgorney, R., & McLennan, J. D. (2020). Utah FORGE:
505 Hydrogeothermal modeling of a granitic based discrete fracture network. *Geothermics*, 87, 101853.

506 Osiptsov, A. A., Garagash, I. A., Boronin, S. A., Tolmacheva, K. I., Lezhnev, K. E., & Paderin, G.
507 V. (2020). Impact of flowback dynamics on fracture conductivity. *Journal of Petroleum Science
508 and Engineering*, 188, 106822.

509 Phillips, T., Kampman, N., Bisdorn, K., Inskip, N. D. F., den Hartog, S. A., Cnudde, V., & Busch,
510 A. (2020). Controls on the intrinsic flow properties of mudrock fractures: A review of their
511 importance in subsurface storage. *Earth-Science Reviews*, 211, 103390.

512 Qu, H., Xu, Y., Liu, Y., Li, Z., Liu, X., Zeng, Z., & Guo, R. (2023a). Experimental study of fluid-
513 particle flow characteristics in a rough fracture. *Energy*, 285, 129380.

514 Qu, H., Hong, J., Liu, Y., Zeng, Z., Liu, X., Chen, X., & Guo, R. (2023b). Experiment and
515 simulation of slurry flow in irregular channels to understand proppant transport in complex
516 fractures. *Particuology*, 83, 194-211.

517 Rahm, D. (2011). Regulating hydraulic fracturing in shale gas plays: The case of Texas. *Energy*
518 *Policy*, 39(5), 2974-2981.

519 Salvini, R., Vanneschi, C., Coggan, J. S., & Mastrorocco, G. (2020). Evaluation of the use of UAV
520 photogrammetry for rock discontinuity roughness characterization. *Rock Mechanics and Rock*
521 *Engineering*, 53, 3699-3720.

522 Schmidt, D., Rankin, P. R., Williams, B., Palisch, T., & Kullman, J. (2014, February). Performance
523 of mixed proppant sizes. In *SPE Hydraulic Fracturing Technology Conference and Exhibition* (pp.
524 SPE-168629). SPE.

525 Simo, H., Pournik, M., & Sondergeld, C. H. (2013, March). Proppant crush test: A new approach.
526 In *SPE Oklahoma City Oil and Gas Symposium/Production and Operations Symposium* (pp. SPE-
527 164506). SPE.

528 Sun, J. P., & Zhao, Z. Y. (2011, September). Influences of fracture aperture and roughness on
529 hydraulic conductivity in fractured rock mass. In *AIP Conference Proceedings* (Vol. 1376, No. 1,
530 pp. 372-374). American Institute of Physics.

531 Sun, W., Kuhn, M. R., & Rudnicki, J. W. (2013). A multiscale DEM-LBM analysis on
532 permeability evolutions inside a dilatant shear band. *Acta Geotechnica*, 8, 465-480.

533 Tan, Y., Pan, Z., Liu, J., Feng, X. T., & Connell, L. D. (2018). Laboratory study of proppant on
534 shale fracture permeability and compressibility. *Fuel*, 222, 83-97.

535 Tonietto, L., Gonzaga Jr, L., Veronez, M. R., Kazmierczak, C. D. S., Arnold, D. C. M., & Costa,
536 C. A. D. (2019). New method for evaluating surface roughness parameters acquired by laser
537 scanning. *Scientific Reports*, 9(1), 15038.

538 Tong, S., & Mohanty, K. K. (2016). Proppant transport study in fractures with intersections. *Fuel*,
539 181, 463-477.

540 Vijaybabu, T. R., & Dhinakaran, S. (2019). MHD Natural convection around a permeable
541 triangular cylinder inside a square enclosure filled with Al₂O₃- H₂O nanofluid: an LBM study.
542 *International Journal of Mechanical Sciences*, 153, 500-516.

543 Wang, H., & Sharma, M. M. (2018). Estimating unpropped-fracture conductivity and fracture
544 compliance from diagnostic fracture-injection tests. *SPE Journal*, 23(05), 1648-1668.

545 Wang, J., & Elsworth, D. (2018). Role of proppant distribution on the evolution of hydraulic
546 fracture conductivity. *Journal of Petroleum Science and Engineering*, 166, 249-262.

547 Wang, T., Wang, P., Yin, Z. Y., Laouafa, F., & Hicher, P. Y. (2024). Hydro-mechanical analysis
548 of particle migration in fractures with CFD-DEM. *Engineering Geology*, 335, 107557.

549 Wang, Y. D., Chung, T., Armstrong, R. T., & Mostaghimi, P. (2021). ML-LBM: Predicting and
550 accelerating steady state flow simulation in porous media with convolutional neural networks.
551 *Transport in Porous Media*, 138(1), 49-75.

552 Wang, Z., Peng, C., Ayala, L. F., & Hosseini, S. (2024). A framework for simulating the partially
553 miscible multi-component hydrocarbon fluids in porous media via the pseudo-potential lattice
554 Boltzmann model. *Available at SSRN 4576831*.

555 Warpinski, N. R., Mayerhofer, M. J., Vincent, M. C., Cipolla, C. L., & Lolon, E. P. (2009).
556 Stimulating unconventional reservoirs: maximizing network growth while optimizing fracture
557 conductivity. *Journal of Canadian Petroleum Technology*, 48(10), 39-51.

558 Yi, J., Xing, H., Wang, J., Xia, Z., & Jing, Y. (2019). Pore-scale study of the effects of surface
559 roughness on relative permeability of rock fractures using lattice Boltzmann method. *Chemical*
560 *Engineering Science*, 209, 115178.

561 Yu, W., Zhang, T., Du, S., & Sepehrnoori, K. (2015). Numerical study of the effect of uneven
562 proppant distribution between multiple fractures on shale gas well performance. *Fuel*, 142, 189-
563 198.

564 Zhang, F., Damjanac, B., & Maxwell, S. (2019). Investigating hydraulic fracturing complexity in
565 naturally fractured rock masses using fully coupled multiscale numerical modeling. *Rock*
566 *Mechanics and Rock Engineering*, 52(12), 5137-5160.

567 Zhang, F., Zhu, H., Zhou, H., Guo, J., & Huang, B. (2017). Discrete-element-
568 method/computational-fluid-dynamics coupling simulation of proppant embedment and fracture
569 conductivity after hydraulic fracturing. *SPE Journal*, 22(02), 632-644.