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# Restoring freshwater habitat mosaic to promote phenotypic diversity and resilience of vulnerable salmon populations

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1 **Restoring freshwater habitat mosaic to promote phenotypic diversity**  
2 **and resilience of vulnerable salmon populations**

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## 37 **Abstract**

38 Phenotypic diversity drives salmon resilience in the face of increasing environmental  
39 variability. But what happens when human activities fundamentally alter the habitat complexity  
40 that drives this diversity? And how can we restore habitats to recover diverse phenotypes that  
41 can persist in a warming climate? Here we looked at the impact of a large floodplain restoration  
42 effort on the abundance and climate resilience of the three remaining core natural spring-run  
43 Chinook salmon populations in the California Central Valley (Butte, Mill and Deer Creek). Butte  
44 Creek fish, that have unrestricted floodplain access, had higher overall productivity and faster  
45 juvenile growth compared to Mill and Deer Creek spring-run populations, and the proportion of  
46 floodplain inundation was positively correlated with Butte Creek adult abundance two years  
47 later. Butte Creek also had lower within-population phenotypic diversity and the smallest  
48 increase in population stability after the floodplain restoration (lowest decrease in coefficient  
49 of variation (CV)) compared with Mill and Deer Creek populations. The late-migrating juvenile  
50 (i.e., yearling) strategy, which was disproportionately important for the Mill and Deer Creek  
51 populations during droughts, was uncommon among Butte Creek adults (averaging 60% for Mill  
52 and Deer Creek vs. 0.3% of Butte Creek returns), and high interannual escapement variability,  
53 with relatively low adult abundances following some particularly dry years (e.g., return years  
54 2010, 2017) was observed for Butte Creek, suggesting higher climate vulnerability for this  
55 population. We hypothesize that this is due to the limited availability of cold water pools in the  
56 Butte Creek watershed that are necessary to support late-migrating juveniles during the  
57 summer before they migrate to the ocean. Increased spring-run stock complex stability was  
58 found, post-floodplain restoration, when combining the three spring-run populations (i.e.,  
59 lower aggregate CV). However, increased Mill, Deer and Butte Creek population dynamics  
60 synchronization was also observed post-restoration, which might increase the stock complex  
61 vulnerability to extreme climatic events. This study underscores the importance of restoring a  
62 connected mosaic of aquatic habitats across modified landscapes, such as cold water refuge  
63 and floodplains, to preserve multiple life-history pathways important for increasing salmon  
64 stock complex stability and abundance. These landscape-scale process-based habitat  
65 restoration efforts are likely to be crucial for the successful long-term recovery of vulnerable  
66 species in a rapidly changing climate.

## 67 **Introduction**

68 Habitat loss and homogenization from human activities (e.g., dam construction,  
69 agriculture) combined with climate warming, are major drivers of species extinctions (IPCC  
70 2007; Kiehl 2011; IPBES 2019). Billions of dollars are invested annually to restore degraded or  
71 lost habitats for the recovery of vulnerable species, however, decisions often have to be made  
72 with limited data and across ecological and jurisdictional boundaries (BenDor et al. 2015;

73 Keeley et al. 2022). Recovering imperiled populations thus remains a daunting issue, and  
74 effective recovery actions and policies will be needed to avoid widespread human-induced  
75 extinctions (Bolam et al. 2023). A current limitation is that most conservation objectives focus  
76 on short-term abundance increases through localized habitat restoration efforts, but they rarely  
77 account for the interdependencies among habitats (Keeley et al. 2022), and the impact of  
78 future climate change which could lead to decreased (or increased) success in the long-term  
79 (Battin et al. 2007). With landscape simplification occurring at global scale (Foley et al. 2005)  
80 and projected temperature rises of at least 1.5°C in the next two decades (IPCC 2019), it is  
81 critical to understand how habitat restoration can enhance the resilience of vulnerable species  
82 to a warming climate.

83         Recent studies have demonstrated the importance of habitat heterogeneity and  
84 connectivity for providing long-term species stability through a changing environment, often  
85 resulting in increased population abundance (habitat mosaic concept; Stanford et al. 2005;  
86 Brennan et al. 2019). Furthermore, phenotypic diversity, which is widespread in nature, has  
87 been widely proposed as a mechanism leading to increased population and community  
88 stability, by buffering populations against environmental changes and extreme climatic events  
89 (the portfolio effect concept; (Hilborn et al. 2003; Miner et al. 2005; Ives and Carpenter 2007;  
90 Schindler et al. 2010; Cordoleani et al. 2021). However, there is limited research on the  
91 interaction between these ecological concepts - portfolio effect and habitat mosaic - and their  
92 potential application in informing restoration efforts. Specifically, little attention has been given  
93 to restoring habitat complexity at the stock complex scale to promote phenotypic diversity and  
94 enhance long-term species recovery goals.

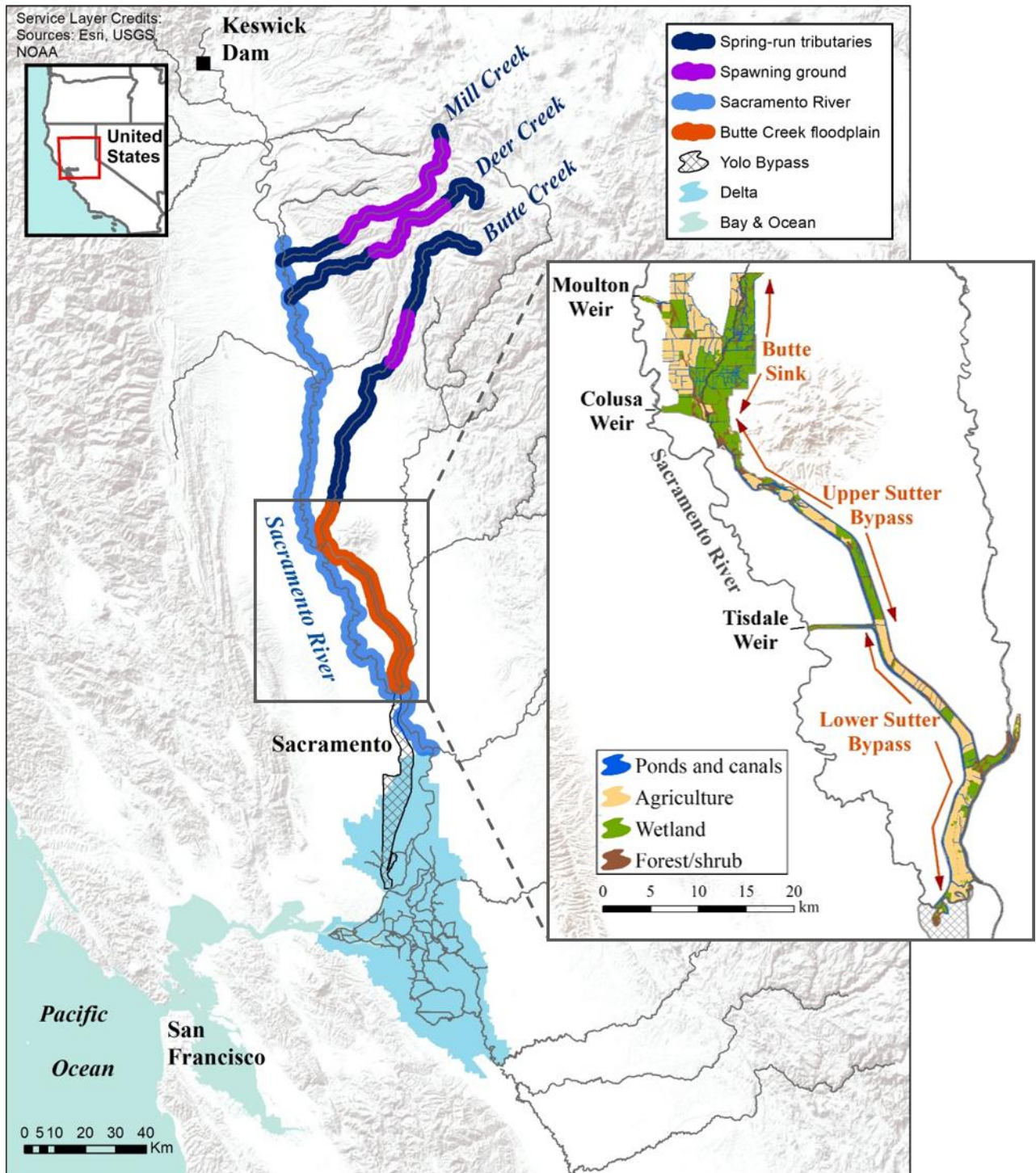
95         Habitat contraction and simplification is of particular concern for species with a limited  
96 habitat range such as species that use freshwater for at least some parts of their life cycle (e.g.,  
97 salmonids). In California's Central Valley (CCV), spring-run Chinook salmon (*Oncorhynchus*  
98 *tshawytscha*; CVSC) are at the southern edge of the native species' range, spawning and  
99 growing in a heavily modified freshwater environment. Spring-run were once the most  
100 abundant Chinook salmon run in the CCV, found in every major watershed and important  
101 contributors to the freshwater and ocean fisheries (Yoshiyama et al. 1998). Two important  
102 habitat features were critical to their success; 1. access to high elevation cold water habitats,  
103 for adult holding and spawning as well as for over summer juvenile rearing (yearling juvenile  
104 phenotype), and 2. floodplain access for winter and spring season juvenile rearing (Moyle et al.  
105 2017). However, as a result of mining activities and the construction of large dams without fish  
106 passage that have eliminated access to 80% of CVSC historical spawning habitat (Yoshiyama et  
107 al. 2001), and of various water diversion projects and habitat reclamation for farming or urban  
108 use that led to about 93% reduction of the amount of floodplain habitats in the CCV (Herbold et  
109 al. 2018), the CVSC stock complex was listed as threatened under the US Endangered Species  
110 Act in 1999 (Lindley et al. 2004). Only three tributaries of the Sacramento River (a major CCV

111 watershed) - Mill, Deer and Butte Creek - host self-sustaining core natural spring-run  
112 populations, with the rest of the stock complex including one hatchery population (Feather  
113 River Hatchery), one recovering core population (Battle Creek), and various dependent  
114 populations (e.g., Yuba River). Mill and Deer Creek watersheds, which are very close  
115 geographically (Figure 1), provide access to some of the few remnant high elevation thermal  
116 refugia left in the CCV, that have been shown to benefit juvenile salmon during extensive warm  
117 periods by supporting the expression of the now-rare yearling phenotype (Cordoleani et al.  
118 2021). On the other hand, Butte Creek watershed, which is at much lower elevation (Figure 1),  
119 provides a unique access to a recently restored ecologically functional floodplain, which has  
120 been shown to be a food-rich and high growth potential environment for juvenile salmon  
121 (Cordoleani et al. 2022).

122         The extensive habitat restoration that took place in the Butte Creek watershed during  
123 the 1990s resulted in improved access for Butte Creek juveniles to the floodplain. However, the  
124 extent to which the restoration efforts altered life history expression in the long term and the  
125 stability of the adult stock remains unknown. This large-scale restoration effort thus provides a  
126 unique opportunity to study the long-term effects of restoration on salmon population  
127 dynamics, and to evaluate the implications for the broader stock complex.

128         Here, we first investigated the impact of habitat restoration on adult abundance by  
129 comparing annual trends before vs. after restoration and by correlating adult abundance to  
130 floodplain inundation area. Second, we explored Butte Creek spring-run phenotypic diversity  
131 using otolith (earstone) strontium isotope ratios ( $^{87}\text{Sr}/^{86}\text{Sr}$ ) and daily growth increments to  
132 reconstruct juvenile emigration strategies and growth rates from 2003 to 2018. We compared  
133 trait distributions from Butte Creek with those previously reported for Mill and Deer Creek  
134 (Cordoleani et al. 2021). We then quantified the strength of the portfolio effect before vs. after  
135 restoration and with different CVSC population combinations. Finally, we discuss the results in  
136 the context of performing landscape-scale process-based restoration efforts to enhance the  
137 productivity and long-term stability of salmon stock complexes in the face of future  
138 environmental change.

139



140  
 141 Figure 1. Map of current distribution of California Central Valley self-sustaining spring-run Chinook  
 142 salmon populations (i.e., Mill, Deer and Butte Creek). Delta = Sacramento-San Joaquin River Delta, Bay =  
 143 estuary between Suisun and San Francisco Bays. Inset map shows a detailed hydromorphology of Butte  
 144 Creek floodplain (Butte Sink and Sutter Bypass) with land use coverage from the National Land Cover  
 145 Database, the USDA Cropland Data Layer, and the National Water Information System.  
 146

## 147 **Material & Methods**

### 148 **Study system**

149 This study focuses on the three self-sustaining natural populations of the CCV spring-run  
150 Chinook Salmon stock complex: Mill, Deer and Butte Creeks. Those three creeks are tributaries  
151 of the Sacramento River, which is the largest watershed of California, USA, and drains the  
152 northern half of the CCV (Buer et al. 1989). Mill and Deer Creeks originate in the Lassen  
153 National Forest and connect to the Sacramento River upstream of Butte Creek. Because of their  
154 geographical proximity and geological similarity both watersheds have a similar isotopic  
155 signature (Johnson et al. 2016), and will be combined for the otolith isotope and growth  
156 analyses described below.

157 Butte Creek originates on the western slopes of the Sierra Nevada mountains, and is at  
158 much lower elevation than Mill and Deer Creek. Butte Creek flows into the Butte Sink and  
159 Sutter Bypass (Figure 1; hereafter called the “Butte Creek floodplain”), which constitutes a flood  
160 bypass of approximately 214 km<sup>2</sup>. It is the uppermost flood bypass in the Sacramento Valley,  
161 and it is a crucial piece of the Central Valley flood management program, relieving pressure on  
162 the levees of the Sacramento and Feather Rivers ([CVFMPP] Central Valley Flood Management  
163 Planning Program 2010). Due to the heavy channelization of the major waterways, including the  
164 Sacramento River, flood bypasses have replaced a large portion of the historical floodplains in  
165 CCV, and can functionally act as natural floodplains by receiving surplus river water during high  
166 winter and spring flow events and providing a food-rich environment for juvenile salmon  
167 (Cordoleani et al. 2022). Floodwaters from the Sacramento River spills into Butte Creek  
168 floodplain through Moulton, Colusa, and Tisdale weirs (Figure 1). Ultimately, floodplain waters  
169 drain into the lower Sacramento River and, during large flooding events, into the Yolo Bypass, a  
170 similar flood bypass (Sommer et al. 2001; Figure 1).

171 An extensive habitat restoration effort program started in the lower Butte Creek  
172 watershed in the early 1990s, which included restoration of off-channel habitats, dam and weir  
173 removals and screening of water diversions (e.g., Lower Butte Creek Project; ICF Jones & Stokes  
174 2009). Therefore, Butte Creek spring-run Chinook Salmon have ready access to this seasonal  
175 floodplain each year, while Mill and Deer Creek spring-run Chinook populations can only access  
176 it when conditions are wet enough that one of the Sacramento River weirs overtops.

177

### 178 **Spring-run population productivity and floodplain access**

179 We used spring-run adult returns (i.e., escapement) as an index of population  
180 productivity. Spring-run escapement data come from California Department of Fish and  
181 Wildlife’s monitoring program (GrandTab data;  
182 <https://www.calfish.org/ProgramsData/Species/CDFWANadromousResourceAssessment.aspx>),  
183 and represent the estimated number of adult Chinook Salmon that "escaped" the ocean and

184 river fisheries and successfully migrated upstream to a natural spawning area. While  
185 escapement estimates represent the number of adult salmon available for spawning, these  
186 numbers may vary from the actual number of salmon that ultimately succeed in spawning, due  
187 to pre-spawning mortality events that particularly impact CVSC during drought conditions.

188 The adults considered in this study were assumed to come back to spawn to their natal  
189 stream at age three, which corresponds to the dominant age at spawning for CVSC populations  
190 (Fisher 1994). Based on CVSC adult spawning timing (i.e., September - October) and juvenile  
191 emigration timing (i.e., October to May), we have the following relationship: primary juvenile  
192 emigration year = adult return year - 2. In other words, adults that came back to spawn in year  
193 Y were primarily emigrating from the freshwater as juveniles during year Y - 2 (Table S1).

194 To investigate the impact of Butte Creek restoration efforts on spring-run population  
195 productivity, we analyzed the relationship between 2004 - 2020 escapement estimates and the  
196 proportion of the floodplain that was inundated two years prior (corresponding to the juvenile  
197 emigration year). First, to estimate the floodplain inundation area, we used a stage-inundation  
198 relationship. This involved determining wetted areas from Landsat NDWI calculations and  
199 matching the date of imagery with the associated mean daily stage at Meridian Pass Road  
200 (floodplain location; CDEC Station ID: BSL; Figure S1A). We then transformed absolute  
201 floodplain inundation area to proportion of inundation (i.e., proportion = 1 means that the  
202 entire floodplain is inundated). We fit a Gompertz model (Tjørve and Tjørve 2017), and  
203 estimated the parameters of the stage-proportion of inundation relationship using a nonlinear  
204 least squares model, using the *nls* function from the *stats* package in R version 4.2 (R Core Team  
205 2022) (Figure S1B). Subsequently, a linear regression was fitted between annual Butte Creek  
206 escapement estimates and the average proportion of floodplain inundated during the October-  
207 May juvenile emigration period, using the *lm* function in R. The same analysis was carried out  
208 for Mill and Deer Creek populations that only have access to the floodplain in question during  
209 weir overtopping events.

210

## 211 **Spring-run juvenile life history and growth rate reconstructions**

### 212 *Otolith sampling*

213 Adult Butte Creek spring-run otoliths were collected from carcasses retrieved during annual  
214 spawner surveys performed by CDFW (McReynolds et al. 2007), between 2003 and 2018 (Table  
215 1). Otoliths were extracted from a total of 544 Butte Creek adults and used for strontium  
216 isotope ( $^{87}\text{Sr}/^{86}\text{Sr}$ ) analysis. Combined, these data span a wide range of freshwater hydrological  
217 conditions and water year types from wet to critically dry (Table S1).

218

219

220 Table 1. Butte Creek otoliths summary. Escapement values represent the number of adult spawners  
221 estimated to have returned to Butte Creek watershed in a given year. Escapement data comes from  
222 CDFW GrandTab  
223 (<https://www.calfish.org/ProgramsData/Species/CDFWANadromousResourceAssessment.aspx>). N =  
224 number of otoliths used for Sr isotope and growth analyses. % Adult analyzed = (N/Escapement) \* 100.

Year	Escapement	N <sub>Sr</sub>	% Adult analyzed for Sr isotope	N <sub>growth</sub>	% Adult analyzed for growth
2003	17404	28	0.16%	24	0.14%
2005	17592	28	0.16%	24	0.14%
2006	6537	48	0.73%	38	0.58%
2007	6871	33	0.48%	0	0%
2008	11046	55	0.50%	0	0%
2009	2687	47	1.75%	0	0%
2010	1991	47	2.36%	28	1.41%
2011	4871	20	0.41%	18	0.37%
2012	16317	24	0.15%	20	0.12%
2014	5083	23	0.45%	20	0.39%
2015	569	46	8.08%	40	7.03%
2016	5731	47	0.82%	30	0.52%
2017	515	47	9.13%	32	6.21%
2018	2362	45	1.90%	36	1.52%

225

### 226 *Strontium isotope analysis*

227 Sagittal otoliths were prepared at UC Davis per established techniques (Johnson et al. 2016).  
228 The otoliths were ground on both sides in the sagittal plane using 600 and 1500 grit wet/dry  
229 sandpaper to expose the primordia and surrounding microstructure. The surfaces were then  
230 polished using 3 μm and 1 μm Al<sub>2</sub>O<sub>3</sub> lapping films. Finished samples were mounted to a 1 cm  
231 square glass pedestal using Gorilla Glue™. The otoliths' dorsal side was photographed in 20x

232 magnification using a Qimaging digital camera (MicroPublisher 5.0 RTV) mounted to a Olympus  
233 BX60 microscope. Following imaging otoliths were analyzed for  $^{87}\text{Sr}/^{86}\text{Sr}$  at the UC Davis  
234 Interdisciplinary Center for Inductively-Coupled Plasma Mass Spectrometry by Laser Ablation on  
235 their Multi Collector Inductively Coupled Mass Spectrometer. We used the otolith strontium  
236 isotope analytical methods described in Barnett-Johnson et al. (2008) to reconstruct juvenile  
237 freshwater habitat-use and migration histories. In brief,  $^{87}\text{Sr}/^{86}\text{Sr}$  of freshwater habitats (the  
238 “isoscape”) varies as a function of watershed lithologies and weathering patterns, and because  
239 there is negligible biological fractionation of strontium isotopes during incorporation into the  
240 otolith matrix, the otoliths directly record the signature of the surrounding water and dietary  
241 sources.  $^{87}\text{Sr}/^{86}\text{Sr}$  is a particularly powerful tool in the California Central Valley, because the  
242 spatial heterogeneity in rock types results in significant differences in isotope values among  
243 most of the salmon-bearing watersheds (Ingram and Weber 1999; Barnett-Johnson et al. 2008).  
244 Consequently, variations in  $^{87}\text{Sr}/^{86}\text{Sr}$  across Central Valley watersheds has proven useful for  
245 determining population of origin and reconstructing juvenile rearing and migration behaviors  
246 (Sturrock et al. 2015, 2020; Johnson et al. 2016; Phillis et al. 2018; Willmes et al. 2018;  
247 Cordoleani et al. 2021).

#### 248 249 *Movement reconstruction*

250 Otolith radius was used as a proxy for fish size at natal and freshwater exit. The otolith radius  
251 for each  $^{87}\text{Sr}/^{86}\text{Sr}$  measurement was estimated by measuring the distance from the otolith core  
252 to the center of each laser pit along a standardized  $90^\circ$  axis (Barnett-Johnson et al. 2007).  
253 Strontium isotope profiles representing changes in  $^{87}\text{Sr}/^{86}\text{Sr}$  values as a function of otolith  
254 distance from the core were created for each otolith. Specific location  $^{87}\text{Sr}/^{86}\text{Sr}$  threshold values  
255 were used to identify the movement of Central Valley spring-run Chinook juveniles from one  
256 rearing region to the other. These values come from a Central Valley isoscape database (Table  
257 S2; Barnett-Johnson et al. 2008; Sturrock et al. 2015; Phillis et al. 2018). Four regions were  
258 considered: Natal tributary, which encompasses the entire Butte Creek watershed, including  
259 Butte Creek floodplain (hereon “Butte Creek”), Sacramento River, Sacramento-San Joaquin  
260 Delta (hereon “Delta”), and San Francisco-San Pablo Bay & Ocean (hereon “Bay & Ocean”). We  
261 used changes in  $^{87}\text{Sr}/^{86}\text{Sr}$  and threshold exceedance values along the otolith transect to identify  
262 three key habitat shifts (1) natal tributary exit, (2) Delta entry, and (3) freshwater exit (exit  
263 location is Chipps Island, river kilometer 73). Otolith radius at natal exit was calculated by  
264 linearly interpolating between otolith distances at the  $^{87}\text{Sr}/^{86}\text{Sr}$  measurements on either side of  
265 the  $^{87}\text{Sr}/^{86}\text{Sr}$  threshold value between Sutter Bypass and the Sacramento River at Elkhorn (i.e.,  
266 point of natal tributary exit; threshold value = 0.7056; Table S2). The Sacramento River at  
267 Freeport  $^{87}\text{Sr}/^{86}\text{Sr}$  value threshold (threshold value = 0.70761; Table S2) was used to identify the  
268 migration of spring-run juveniles from the mainstem Sacramento River into the Delta. Finally,

269 otolith radius for freshwater exit was calculated by linearly interpolating between the otolith  
270 distances for  $^{87}\text{Sr}/^{86}\text{Sr}$  measurements either side of the Chipps Island threshold value of 0.7078  
271 (Table S2).

### 272 *Hierarchical clustering analysis*

273 A hierarchical cluster analysis (Legendre and Legendre 1998) based on the otolith radius at  
274 natal exit was performed for the 544 adults sampled, to identify whether several juvenile life  
275 history strategies could be observed within the spring-run Butte Creek population. The  
276 clustering was performed in R version 4.2 (R Core Team 2022) using the function *hclust* with the  
277 Ward 2 method and Euclidean distance. To estimate the optimal number of clusters across we  
278 used the *Nbclust* package (Charrad et al. 2014) which uses 30 different clustering indices.

279

### 280 *Fish size reconstruction*

281 We applied an otolith radius – fork length relationship for Central Valley fall-run Chinook  
282 salmon, developed in Sturrock et al. (2020), to reconstruct fish sizes at natal and freshwater  
283 exit. While applying individual otolith-fish size calibration curves for specific ESUs is  
284 recommended to avoid spurious size reconstructions (Zabel et al. 2010), given the protected  
285 status of CVSC, lethal sampling of juveniles to develop such curves was not possible.  
286 Additionally, the use of Central Valley fall-run as surrogates is appropriate as Central Valley fall-  
287 and spring-run Chinook salmon spawn and emigrate at similar sizes and exhibit overlapping  
288 geographic distributions. The reconstructed natal and freshwater exit sizes for Butte Creek  
289 juveniles ranged from 33 mm to 146 mm and 49 mm to 152 mm respectively (Figure S3).

### 290 *Otolith growth chronologies*

291 Otoliths increment numbers were estimated from digitized otolith images using Image Pro  
292 Premier 9.0 (Media Cybernetics), and were used as a proxy for fish age as they permit  
293 estimation of the number of days since fish emergence. Moreover, habitat-specific freshwater  
294 juvenile growth rates were reconstructed from increment widths measured in each isotopically  
295 distinct habitat region of the otolith. Each otolith reading was assigned a score of “certainty” on  
296 a scale of 1-5, 5 being the highest certainty. This index is a combination of the reader’s  
297 confidence in the accuracy of the increment placement and the quality or readability of the  
298 image (i.e., how likely it is that another reader would get the exact same increment width  
299 measurements). Otoliths with poor readability (i.e., certainty score < 3) were eliminated from  
300 the analysis. A total of 310 otoliths (from escapement years 2003, 2005-2006, 2010-2012, 2014-  
301 2018; Table 1) were used for the growth analysis.

302 We estimated the number of days spent in each key habitat (i.e., natal tributary, Sacramento  
303 River and Delta) for Butte Creek and Mill and Deer Creek populations, and compared their

304 freshwater growth rates. As mentioned earlier Mill and Deer Creek populations were combined  
305 for the growth analysis.

306

### 307 **Spring-run stock complex stability**

308 To assess the stability of each spring-run population as well as the overall stability of the spring-  
309 run stock complex through time, we calculated the coefficient of variations (CVs) in adult  
310 returns for each population independently and for grouped populations (e.g., Mill + Deer +  
311 Butte), for the pre-floodplain restoration (i.e., 1970-1994) and the post-restoration (i.e., 1995-  
312 2019) time periods, similar to the metrics calculated by Carlson and Satterthwaite (2011) for  
313 CCV fall-run Chinook salmon. Similar to Carlson and Satterthwaite (2011), we used escapement  
314 as an index of productivity, rather than a recruits-per-spawner index for instance, because we  
315 did not have reliable juvenile production estimates. Additionally, because increased  
316 synchronization between populations from the same stock complex is predicted to decrease  
317 the overall stock portfolio stability (Markowitz 1952; Moore et al. 2010), we assessed the  
318 degree of independence between spring-run population dynamics by estimating pairwise  
319 Pearson correlations between all pairs of populations.

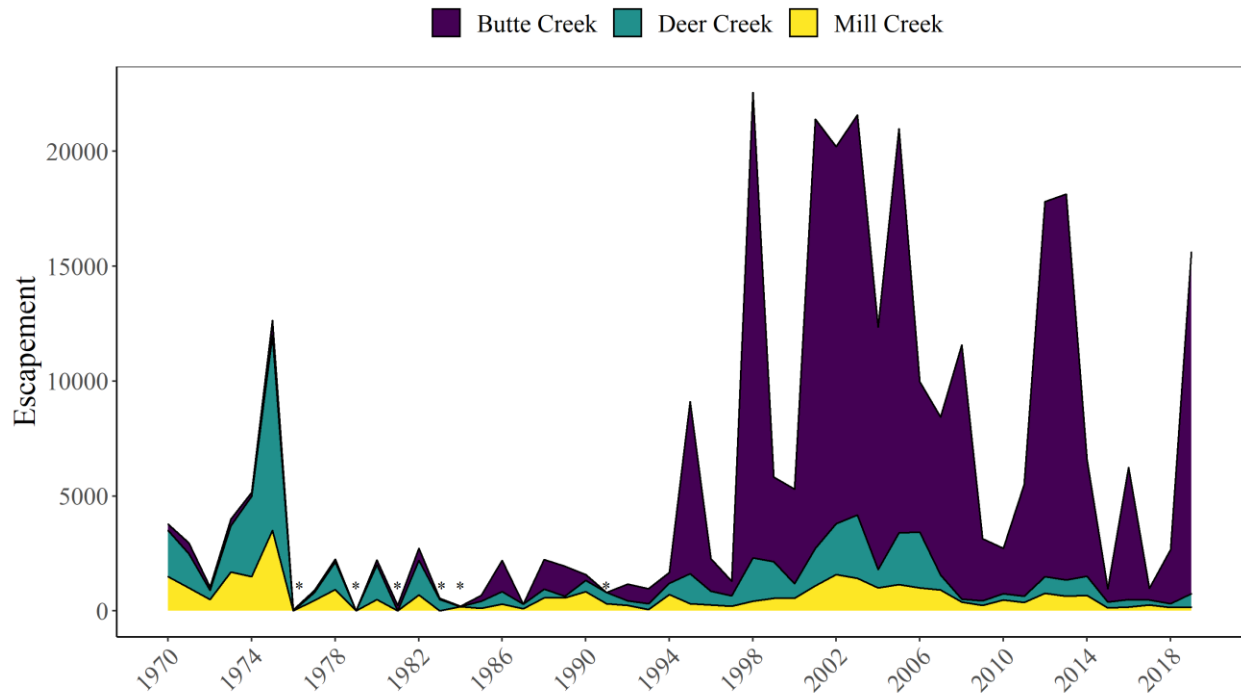
## 320 **Results**

321

### 322 **Spring-run population production and floodplain access**

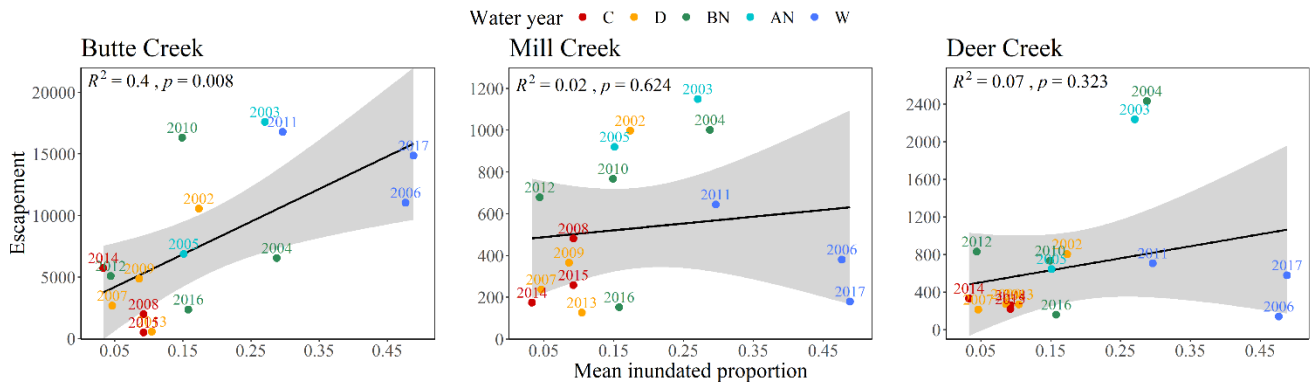
323

324 After habitat restoration efforts starting in the early 1990s, Butte Creek spring-run escapement  
325 abundance increased significantly, with about a 2000% increase in average abundance (post-  
326 restoration mean  $N_{1995-2019} = 8578.5 \pm 6714.4$  SD vs. pre-restoration mean  $N_{1970-1994} = 404.4 \pm$   
327  $412.1$  SD; Figure 2). The Butte Creek population is now the most abundant spring-run Chinook  
328 Salmon population in the stock complex. In contrast, Mill and Deer Creek populations, which  
329 used to play a more important role in the stock complex productivity, have experienced a  
330 steady decline in adult abundance and are now at relatively low escapement levels (Mill Creek  
331 post-restoration mean  $N_{1995-2019} = 597.8 \pm 419.6$  vs pre-restoration mean  $N_{1970-1994} = 776.0 \pm$   
332  $782.1$  SD, and Deer Creek post-restoration mean  $N_{1995-2019} = 955.6 \pm 803.2$  SD vs pre-restoration  
333 mean  $N_{1970-1994} = 1255.6 \pm 1864.2$  SD; Figure 2).



334  
 335 Figure 2. Annual spring-run escapements to Butte, Mill and Deer Creek from 1970 to 2019. Asterisks  
 336 show years where abundance estimates were missing for at least one population.  
 337

338 A significant positive relationship was found between the proportion of Butte Creek floodplain  
 339 inundation and Butte Creek escapement levels two years later (representing adult returns for  
 340 the typical dominant return age), over a wide range of hydrological conditions (Figure 3). No  
 341 significant correlations were found, however, between the proportion of Butte Creek floodplain  
 342 inundation and Mill and Deer Creek escapement levels two years later, which may be expected  
 343 due to limited opportunities for Mill and Deer Creek juveniles to access the floodplain (on  
 344 average 20 days per year for Colusa weir; Table S1). Weak correlations were also found  
 345 between river flows in the mainstem Sacramento River and the Delta and Mill and Deer Creek  
 346 escapement sizes (Figure S2).



348 Figure 3. Relationships between spring-run adult returns ('Escapement') to Butte, Mill and Deer Creek  
349 from 2004 to 2020 relative to the average proportion of Butte Creek floodplain inundated during  
350 October-May juvenile emigration period experienced 2 years prior. Years shown on the figures  
351 correspond to juvenile emigration years (Emigration Year = Return Year - 2). We used the California  
352 Department of Water Resource water-year (Water year) classification to describe the range of  
353 hydrological conditions during the emigration period, with C = critical, D = Dry, BN = Below Normal, AN =  
354 Above Normal, W = Wet (<https://cdec.water.ca.gov/reportapp/javareports?name=WSIHIST>).

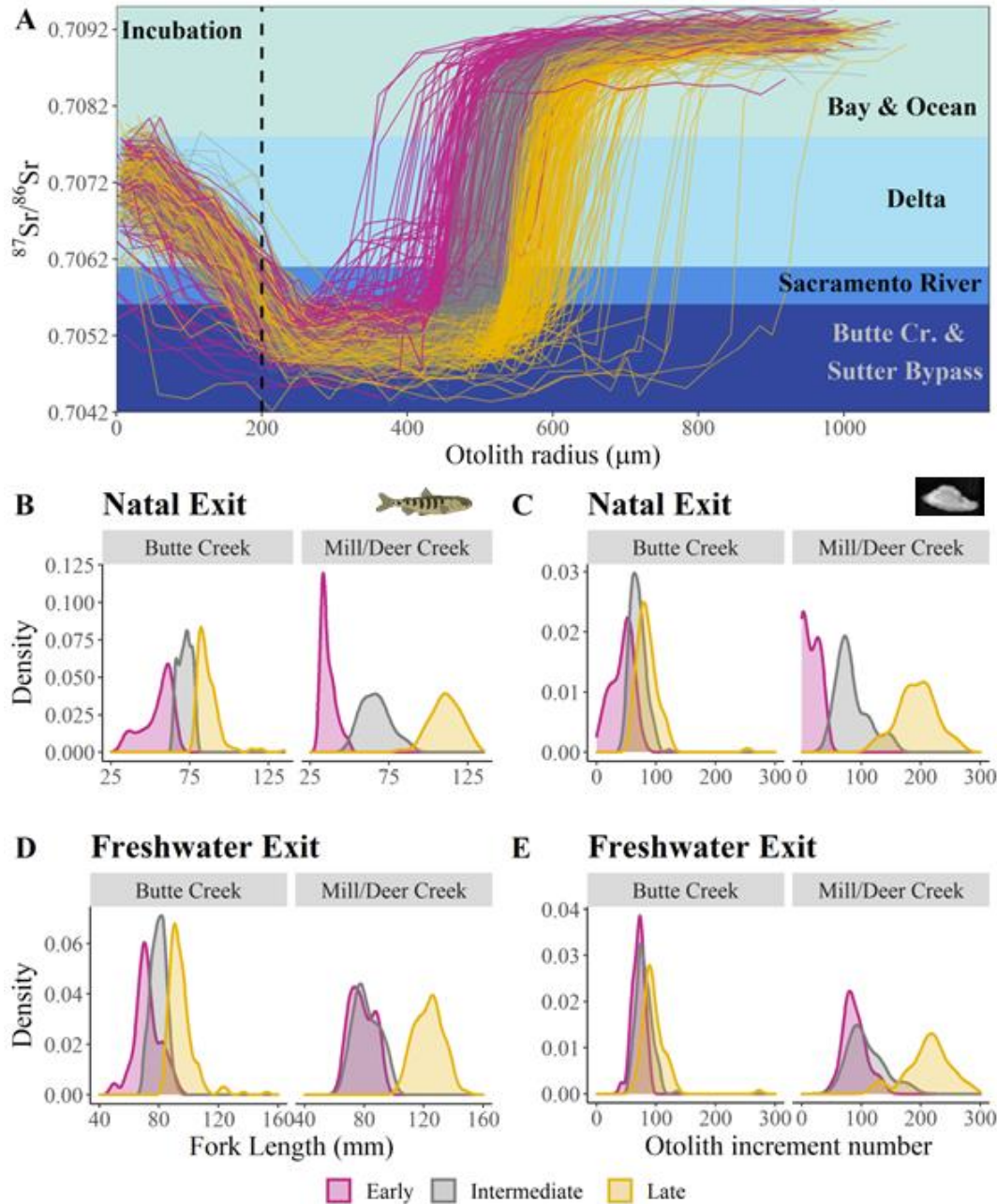
355  
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### 357 **Spring-run juvenile life history strategy diversity**

358

359 While recent Butte Creek spring-run spawner abundance is usually several magnitudes greater  
360 than the Mill and Deer Creek populations, a wider range of juvenile life history strategies was  
361 found in Mill and Deer Creek spring-run fish. Specifically, otolith isotope profiles and the  
362 hierarchical clustering analysis revealed three distinct juvenile life history types in Butte Creek  
363 population (Figure 4A, "Otoliths analysis" section in Sup. Mat.), that we referred to as "early",  
364 "intermediate" and "late" migrants, following Cordoleani et al. (2021). However, while we kept  
365 the same nomenclature, the three life history types were not entirely comparable to Mill and  
366 Deer Creek early, intermediate and late life history strategies, and were more closely aligned  
367 with the fry, parr and smolt groups observed in Sturrock et al. (2015, 2020) (i.e., fry < 55 mm,  
368 parr = 55-75 mm, smolt = 75-110 mm), with the majority of the fish belonging to the  
369 intermediate emigration type (n=270), followed by the late type (n=177), and then the early  
370 type (n=97). Furthermore, the size and age distributions of the three life history strategies at  
371 natal and freshwater exit were more uniform and constrained for Butte Creek than for Mill and  
372 Deer Creek (Figures 4B-E). In general, Butte Creek juveniles left their natal grounds smaller and  
373 earlier than their Mill and Deer Creek counterparts, and the yearling strategy was largely absent  
374 (e.g., average size and age at natal exit was 74 mm ± 13 mm vs 87 mm ± 33 mm & 71 days ± 22  
375 days vs 144 days ± 79 days respectively; Figure 4, Table S3). Additionally, Butte Creek juveniles  
376 consistently entered the bay and ocean at more similar and smaller sizes, which were earlier  
377 ages than Mill and Deer Creek fish, regardless of the migrant strategy (average size and age at  
378 freshwater exit was 83 mm ± 11 mm vs 106 mm ± 23 mm, and 83 days ± 19 days vs 176 days ±  
379 65 days respectively; Figure 4). This could in part be explained by the limited number of yearling  
380 sized fish (i.e. size > 110mm at natal exit) in the Butte Creek sample, that migrate to the ocean  
381 much larger than the other migrant types after overwintering in freshwater. This is consistent  
382 with juvenile trapping data from the natal reaches of the three tributaries, that show larger  
383 numbers of yearling fish in Mill and Deer Creek (approximately 10% vs. 1% of raw catch data,  
384 respectively; Figure S4).

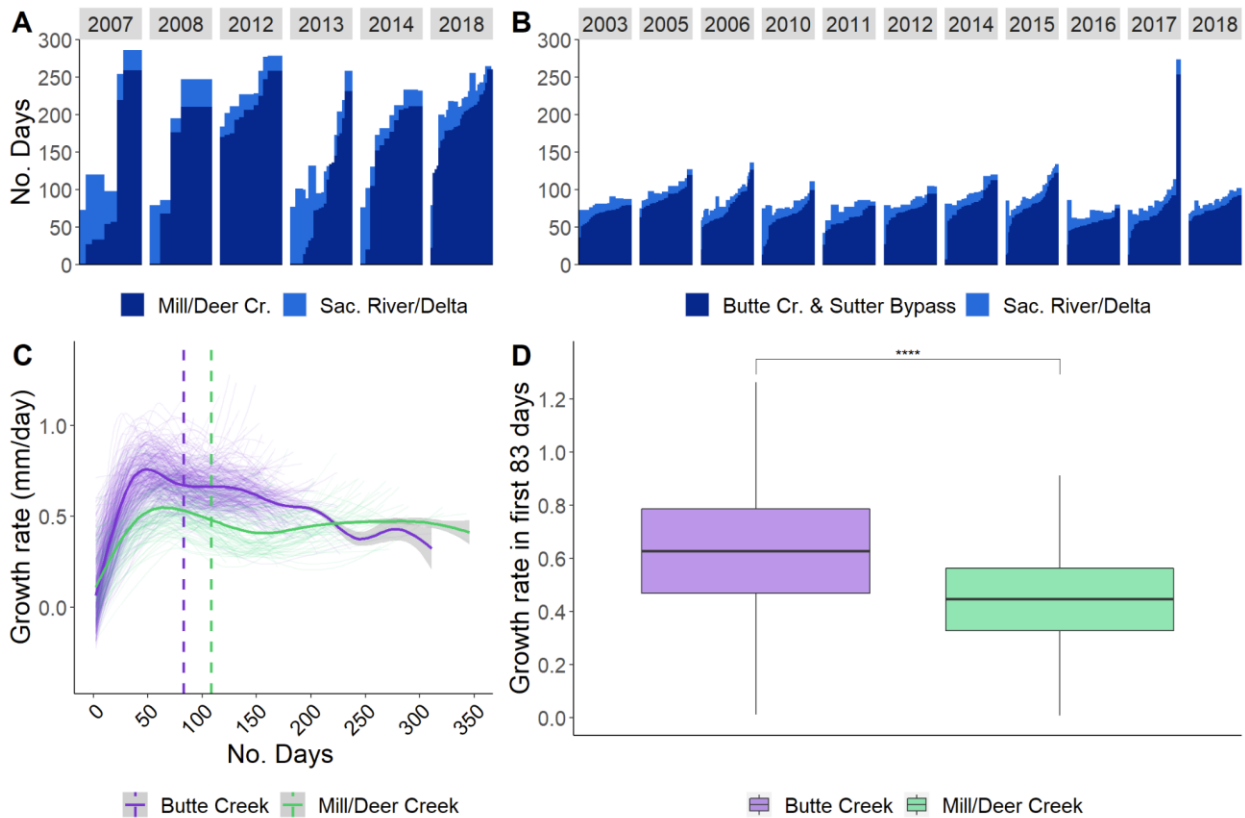
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386  
 387 Figure 4. A. Butte Creek otolith strontium isotope profiles for all years combined and colored by life  
 388 history type. Life-history types were classified using a hierarchical cluster analysis based on otolith radius  
 389 at natal exit. Fish size distributions for each population (i.e., Butte, Mill/Deer Creek) and life-history type  
 390 when they emigrated out of the natal stream (B) and out of freshwater (D). Otolith increment number (a  
 391 proxy for fish age) distributions for each population and life-history type when they emigrated out of the  
 392 natal stream (C) and out of freshwater (E). Note that due to the similarity in Mill and Deer Creek  
 393 watershed isotopic signatures, Mill and Deer Creek otoliths were combined for the strontium analysis.  
 394  
 395 This larger uniformity in Butte Creek spring-run juvenile rearing and migratory strategies also  
 396 translates into lower interannual variability in the number of days spent in each of the three

397 isotopically defined freshwater regions (i.e., Natal tributary, Sacramento River, and Delta;  
 398 Figures 5A and 5B). Each year, the majority of Butte Creek juveniles spent most of their time  
 399 rearing in the Butte Creek watershed (including both the spawning ground and Butte Creek  
 400 floodplain) and a short amount of time in the lower Sacramento River and Delta (71 days  $\pm$  22  
 401 days and 12 days  $\pm$  10 days on average), suggesting that they underwent smoltification while  
 402 rearing in the natal tributary and used downstream habitats primarily as a migratory corridor.  
 403 Contrary to the Mill and Deer Creek populations, where yearlings dominated the adult returns  
 404 in some years (Cordoleani et al. 2021), only one sampled Butte Creek fish left freshwater as a  
 405 yearling, having spent about 8 months in the Butte Creek watershed in 2017 before emigrating  
 406 at 146 mm FL.

407 Differences in juvenile growth were also observed among spring-run populations (Figure 5C).  
 408 Butte Creek juveniles exhibited faster early growth rates than their equivalents from Mill and  
 409 Deer Creek (first 83 days - the median age at freshwater exit for Butte Creek - growth of  
 410 0.63mm/day vs 0.45 mm/day respectively; Figure 5D).  
 411



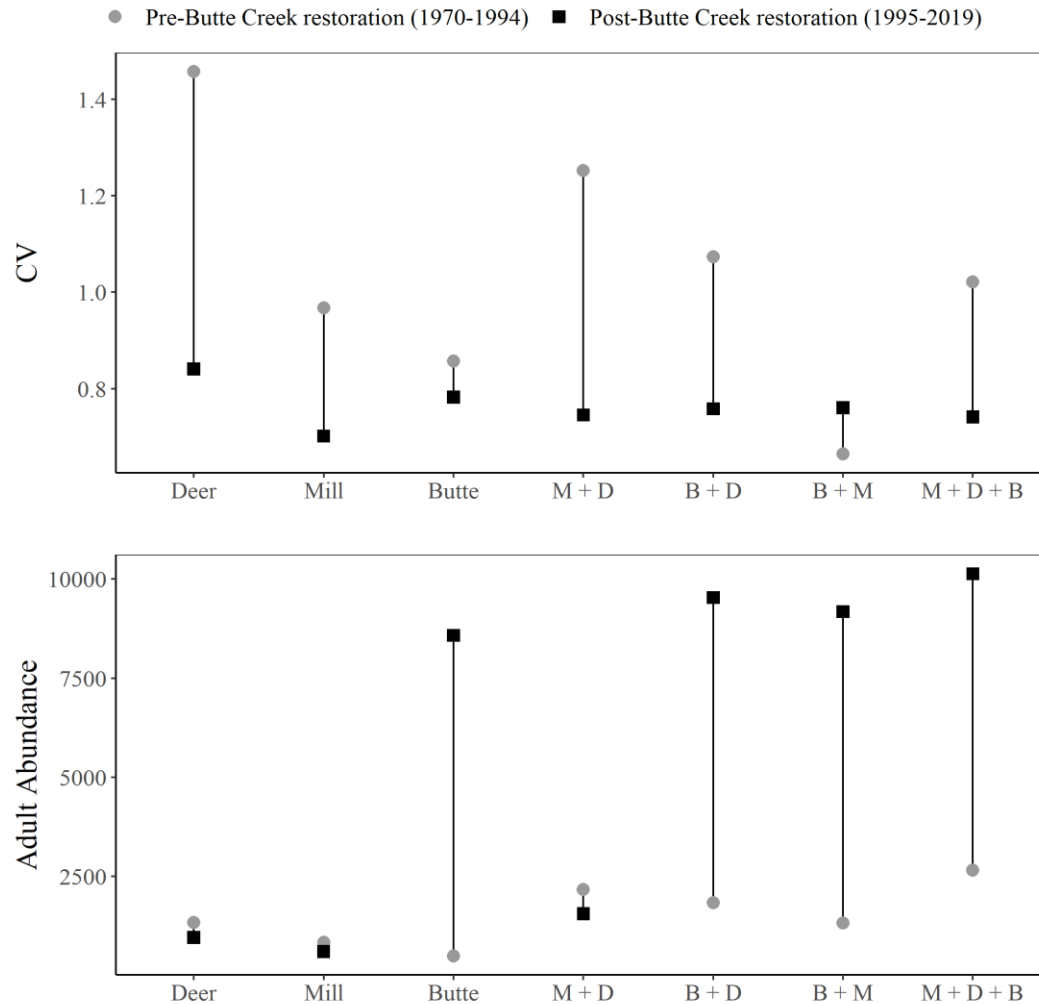
412  
 413 Figure 5. Number of days spent by each fish (individual bars) in the natal tributary (dark blue) and  
 414 Sacramento River/Delta (light blue) across escapement years for Mill and Deer Creek (A) and Butte  
 415 Creek (B). Each bar represents one adult (note varying widths), and the bar height represents their age  
 416 at freshwater exit based on Sr isotope analysis and otolith daily increments. (C) Butte (purple) and  
 417 Mill/Deer Creek (green) juvenile individual smoothed growth profiles (thin lines) and average profiles

418 (bold lines) obtained using the *gam* function in R (R Core Team 2022). The vertical dashed lines show the  
419 median number of days (i.e., age) at freshwater exit for each population (i.e., 83 days for Butte Creek  
420 and 108 days for Mill/Deer Creek). (D) Boxplot comparing Butte Creek and Mill/Deer Creek growth rates  
421 during the first 83 days after emergence (the median age at freshwater exit for Butte Creek). The  
422 horizontal line in each box represents the median value, lower and upper hinges of the boxes  
423 correspond to the 25th and 75th percentiles. The upper and lower whiskers extend from the hinge to  
424 the largest and smallest value no further than  $1.5 \times$  interquartile range (IQR) from the hinge. Wilcoxon  
425 test showing significant differences in early growth rates between populations. Note that, similar to the  
426 strontium analysis, Mill and Deer Creek populations were combined for the otolith growth analysis.

427

### 428 **Spring-run stock complex stability**

429 While Butte Creek spring run population had the lowest CV (i.e. highest population stability)  
430 during the pre-Butte Creek floodplain restoration period (i.e., 1970-1994), its CV did not  
431 decrease as much as for Mill and Deer Creek populations during the post-restoration period  
432 (i.e., 1995-2019; Figure 6A). However, the large Butte Creek population increase after 1994 also  
433 led to a significant increase in the post-restoration abundance of Butte, Mill and Deer Creek  
434 populations combined, and a 38% decrease in the combined populations' post-restoration CV  
435 (combined populations pre-restoration CV = 1.02 vs post-restoration CV = 0.74; Figure 6).  
436 Additionally, during the pre-restoration period a strong correlation was found between Mill and  
437 Deer Creek population dynamics ( $r = 0.94$ ), but very little correlation was found between Butte  
438 and Mill Creek or Butte and Deer Creek abundances ( $r = -0.07$  in both cases). However, the  
439 correlation between Butte and Mill Creek or Butte and Deer Creek abundances increased  
440 significantly during the post-restoration period ( $r = 0.61$  and  $r = 0.6$ , respectively), while the  
441 correlation between Mill and Deer Creek abundances slightly decreased ( $r = 0.77$ ), suggesting  
442 an overall increased synchronization of the stock complex dynamics.



443  
 444 Figure 6. A. Coefficient of variations (CVs) and B. Average adult abundances, for each spring-run  
 445 population separately and for aggregated populations, estimated for both pre-Butte Creek restoration  
 446 (1970-1994, gray circle) and post- Butte Creek restoration (1995-2019, black square) periods. M = Mill  
 447 Creek, D = Deer Creek, and B= Butte Creek.  
 448

## 449 Discussion

450 Spring-run Chinook salmon populations in the Central Valley (CVSC) have experienced  
 451 dramatic declines as a result of combined anthropogenic factors that dramatically reduced the  
 452 quantity and access to high quality freshwater spawning and rearing habitats (Lindley et al.  
 453 2004). The CVSC stock complex is also particularly vulnerable to a warming climate as they need  
 454 cold freshwater refugia for adults to hold in the summer until they spawn in the fall, and for  
 455 juvenile yearlings to rear over-summer before migrating to the ocean in the fall (Moyle et al.  
 456 2017). One exception is the Butte Creek spring-run Chinook population that has seen a large  
 457 increase in overall productivity after extensive Butte Creek watershed restoration efforts that  
 458 started in the early 1990s. This restoration action is considered one of the most successful

459 salmon recovery efforts in the CCV, and allowed Butte Creek Chinook to have unrestricted  
460 access to one of the last CCV ecologically functional floodplains (i.e. Butte Creek floodplain)  
461 during flooding season.

462 Here we found that Butte Creek spring-run adult abundance was positively correlated  
463 with increased floodplain inundation area two years prior, while Mill and Deer Creek  
464 abundances, which have a limited floodplain access, did not show a correlation. This  
465 demonstrates the importance of Butte Creek floodplain to recovering Butte Creek spring-run.  
466 For Mill and Deer Creek spring-run populations, other factors, such as water temperature or  
467 non-linear relationship with flow and floodplain inundation, might have a stronger influence on  
468 population size. Additionally, while CCV spring-run adults are assumed to predominantly return  
469 to spawn at age-3, some inter-annual adult age structure variability has been observed, among  
470 Feather River and Butte Creek spring-run populations particularly, with a non-negligible  
471 proportion of age-4 spawners in some years (McReynolds et al. 2007; Satterthwaite et al. 2018).  
472 Furthermore, it has been shown in other Pacific Northwest watersheds that yearlings tend to  
473 mature later than earlier migrants (Hankin and Logan 2010), raising the possibility for Mill and  
474 Deer Creek spring-run populations, which are characterized by a large proportion of yearling  
475 migrants (Cordoleani et al. 2021), to harbor a greater proportion of age-4 spawners than  
476 previously thought. Consequently, having accurate estimates of annual spawner age structure  
477 in Mill, Deer and Butte Creek spring-run adult cohorts could help refine the relationship  
478 between environmental factors, such as floodplain inundation, and population productivity.

479 Access to off-channel habitats in the lower Butte Creek watershed has also been  
480 previously related to enhanced juvenile salmon growth (Cordoleani et al. 2022), and likely  
481 explains the high growth rates that were observed among Butte Creek juveniles compared to  
482 Mill and Deer Creek fish (average fish length growth rates of 0.63 mm/day vs 0.45 mm/day  
483 respectively). In comparison, fish reared in enclosures in off-channel habitats in the Butte Creek  
484 floodplain in 2019 had an average growth rate of 0.55 mm/day (Cordoleani et al. 2022), slightly  
485 lower than what we report here. However, Butte Creek juvenile growth rates from this study  
486 were within the range of growth rates measured in free-swimming and enclosure fish reared in  
487 the Yolo Bypass (a similar floodplain downstream of Butte Creek floodplain; Figure 1), with  
488 growth rates ranging from 0.55 to 0.80 mm/day (Sommer et al. 2001; Katz et al. 2017). This fast  
489 early-life growth allowed Butte Creek juveniles to leave the natal reaches and exit the  
490 freshwater earlier than Mill and Deer Creek juveniles, potentially avoiding critically warm en-  
491 route migration conditions, especially during dry years, and leading to better growth and  
492 survival opportunities in the ocean (Woodson et al. 2013; Satterthwaite et al. 2014).

493 While this habitat restoration provides a great example of ways to provide high growth  
494 benefits and improve the overall abundance of vulnerable Chinook salmon populations, we did  
495 not find support for it promoting population phenotypic diversity and stability. These  
496 restoration efforts favored one main juvenile life-history strategy: most Butte Creek juveniles

497 reared in the Butte Creek watershed, including Butte Creek floodplain, and migrated in the  
498 spring (earlier than Mill and Deer Creek juveniles) to the ocean at parr (55-75 mm) or smolt (75-  
499 110 mm) sizes. Only a small proportion of juveniles were found to quickly leave Butte Creek and  
500 rear in downstream habitats (i.e., lower Sacramento River and Delta), and only one confirmed  
501 yearling fish reared over summer in Butte Creek and migrated to the ocean in the fall. While  
502 juveniles are sometimes observed in the upper Butte Creek watershed in the summer  
503 (California Department of Fish and Wildlife, personal communication), the rarity of the yearling  
504 phenotype in the spawner population is likely related to the elevated summer and early fall  
505 water temperatures observed in both Butte Creek spawning ground and floodplain habitat  
506 (Figure S5), making it unsustainable for juveniles to survive over summer and during their fall  
507 migration. This constrained migration pattern is consistent with juvenile trawl catch data at  
508 Chipps Island (point of freshwater exit) in the San Francisco Estuary, where genetically  
509 identified Butte Creek spring-run fish were observed passing this location over a compressed  
510 time window and at very similar sizes (Thompson and Meek 2022). In comparison, Mill and  
511 Deer Creek spring-run populations exhibited various distinct juvenile life history strategies and  
512 a higher interannual variability. Specifically, the late migrating (i.e. yearling) strategy, supported  
513 by access to cold-water refugia, was found to be key for Mill and Deer Creek spring run  
514 populations to persist through years of delayed ocean upwelling or drought conditions  
515 (Cordoleani et al. 2021). Similarly, the threatened population of fall-run Chinook salmon from  
516 the Clearwater River (Idaho), known for its cool summer temperatures, predominately  
517 exhibited this life-history strategy (Hegg et al. 2013). Furthermore, while Butte Creek  
518 abundance sharply increased post-floodplain restoration (increase > 2000%), it is also  
519 characterized by high interannual escapement variability, with relatively low adult abundances  
520 following some particularly dry years (e.g., return years 2010, 2017), which led to the lowest  
521 post-restoration decrease in the CV (indicating population stability) among the three spring-run  
522 populations. This suggests that Butte Creek fish are highly vulnerable to environmental  
523 extremes such as drought events and wildfires, potentially limiting their adaptation to a  
524 warming climate. On the other hand, Mill and Deer Creek populations' lower post-restoration  
525 CV (i.e., higher population stability) is likely not related to the floodplain restoration effort but  
526 due to their chronically low abundances, with very infrequent years of high returns.

527         These recent low adult abundances have put Mill and Deer Creek populations at high  
528 risk of extinction, while the large abundance increase in Butte Creek population observed after  
529 1994 drives the overall CVSC stock complex abundance and allows a determination of a  
530 decrease in the overall stock extinction risk (Johnson et al. 2022). When combining the three  
531 spring-run population's abundances a decrease in the overall CV was observed, post-floodplain  
532 restoration, indicating an increase in portfolio effect stability at the stock complex level.  
533 However, while the strong correlation found between Mill and Deer Creek adult abundances  
534 was expected because of the watersheds' geographical proximity, hydrological and genetic

535 similarities between these two populations (Lindley et al. 2007; Johnson and Merrick 2012), an  
536 increase in the correlations between Butte and Mill, and Butte and Deer Creek adult  
537 abundances post-restoration indicates an overall increase in the synchronization of the stock  
538 complex dynamics, which, contrary to the CV decrease, is expected to reduce the strength of  
539 the portfolio effect stability. This study shows the limitation of using the CV when assessing  
540 population stability, for populations that are close to extinction, and the importance of  
541 additionally looking at population diversity and synchrony indicators to help gaining insight into  
542 how to enhance the resilience of each populations as well as the overall stock complex to  
543 environmental perturbations. Recent increases in synchrony have also been reported among  
544 CCV fall-run Chinook salmon populations (Satterthwaite and Carlson 2015) and threatened  
545 Chinook salmon populations in the Snake River basin (Oregon, Washington, Idaho; Moore et al.  
546 2010), and were found to be coincident with increased off-site hatchery releases in the CCV,  
547 and an increase in hatchery propagation and large dam numbers in the Snake River. Although  
548 there is a spring-run hatchery in the Feather River watershed, its influence on natural Mill, Deer  
549 and Butte Creek spring-run populations is thought to be small if any. Further investigation  
550 would be needed to identify the potential impact of environmental factors in their common  
551 marine ecosystem (e.g., North Pacific Gyre Oscillation) in promoting synchrony for the CVSC  
552 stock complex.

553         This study provides a missing link between the “spatial diversity” metric used in the  
554 viability assessment of Chinook salmon stocks in the CCV - that accounts for the diversity of  
555 ecoregions represented in the stock complex but does not explicitly incorporate an habitat  
556 component (Lindley et al. 2007) - and watershed-scale restoration efforts generally  
557 implemented in the CCV to increase Chinook population abundance, by highlighting the  
558 importance of developing restoration actions specifically targeted at places on the landscape  
559 that promote habitat heterogeneity and asynchronous population dynamics to enhance the  
560 buffering of the entire stock complex to catastrophic disturbances. More globally, most  
561 conservation objectives focus on short-term biodiversity increases that would be achieved  
562 through localized habitat restoration efforts, assuming that “if you build it, they will come”  
563 (“Field of Dreams” hypothesis; Palmer et al. (1997)). However, these efforts may not fully  
564 account for landscape-scale physical and ecological processes that are crucial for maintaining  
565 healthy populations in a dynamic environment. As our climate rapidly changes, it is essential to  
566 reassess conservation approaches and prioritize building adaptive capacity in species in order to  
567 achieve long-term recovery goals (Lawler 2009; Prober et al. 2019; Rilov et al. 2020). Here we  
568 highlight the importance of landscape-scale, process-based conservation approaches that  
569 reconcile two key ecological concepts: habitat mosaic and portfolio effect. Specifically, this  
570 involves restoring habitat heterogeneity and connectivity to support a diverse mosaic of  
571 habitats that can foster the expression of multiple phenotypes and enhance resilience of  
572 vulnerable species like Chinook salmon in a warming climate (Herbold et al. 2018; Coleman et

573 al. 2022). This may require expanding the CVSC stock-complex's geographical distribution to  
574 include lost ecoregions (Lindley et al. 2007), through population re-introduction into habitat  
575 above impassable dams and natural barriers watersheds, which would provide access to cold  
576 water habitat that is predicted to persist in a warming climate (FitzGerald et al. 2021;  
577 Cordoleani et al. 2021). This would also reduce extinction risks of the core CVSC populations,  
578 that currently belong to the same ecoregion, as a result of local catastrophic climate events.  
579 Additionally, this study shows strong support for designing habitat restoration and flow  
580 management efforts that recreate ecologically functional floodplains, such as the Butte Creek  
581 floodplain and Yolo Bypass, and re-connect them to mainstem rivers to provide multi-  
582 population benefits (Yarnell et al. 2015, 2020). The landscape-scale restoration efforts  
583 proposed to improve the resilience of the CVSC stock complex could be seen as comparable to  
584 the development of marine protected areas, which promote connectivity between populations  
585 and habitats, and is recognized as a powerful conservation policy tool for the recovery of  
586 marine species worldwide (Lubchenco et al. 2003; Giakoumi et al. 2018; Devillers et al. 2019;  
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