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To be published in "ACS Catalysis"

November 2022

Chemistry Department
Brookhaven National Laboratory

U.S. Department of Energy
USDOE Office of Science (SC), Basic Energy Sciences (BES) (SC-22)

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Conversion of CO₂ to methanol and ethanol on Pt/CeO_x/TiO₂(110): Enabling role of water in C-C bond formation

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Abstract

The surface chemistry of alcohol synthesis from CO₂ hydrogenation has been investigated using kinetic testing, ambient pressure x-ray photoelectron spectroscopy (AP-XPS) and DFT calculations over a multicomponent system where Pt and ceria nanoparticles coexisted on a titania template, Pt/CeO_x/TiO₂(110). Due to its high ability to bind and activate CO₂, not seen for typical Cu-ZnO catalysts, the Pt-CeO_x-TiO₂ interface is excellent for the hydrogenation of CO₂ to methanol with some ethanol also being produced (21% selectivity). The results of AP-XPS and DFT calculations indicate that the active state involves a mixture of Ti⁴⁺/Ti³⁺, Ce³⁺ and Pt⁰/Pt⁺. A fast pathway for the formation of CH₃O species is only plausible when Ce³⁺ and Pt are present. The addition of water to the reaction feed facilitates the first hydrogenation of CO₂ and substantially enhances the surface coverage of C-containing species (CH₃O, HCOO, CO₃, CH_x), facilitating the formation of C-C bonds and the production of ethanol (38% selectivity).

Keywords: CO₂ hydrogenation; Methanol synthesis; Ethanol synthesis; Platinum; Ceria; Titania.

1. Introduction

The continuously rising levels of carbon dioxide (CO_2) in the atmosphere and oceans are a serious concern for the future of humanity on our planet.^{1,2} As a result, there are major environmental and economic incentives to transform CO_2 into high-value chemicals or fuels.^{2,3} Alcohols, such as methanol and ethanol, have proven to be promising alternative fuels due to their high energy density and compatibility with the current distribution systems. The catalyst most frequently used in the industry for methanol synthesis from $\text{CO}_2/\text{CO}/\text{H}_2$ is a $\text{Cu}/\text{ZnO}/\text{Al}_2\text{O}_3$ composite,^{4,5,6} but this system requires complex activation steps, operates at relatively high pressures, suffers from severe deactivation through particle sintering, and is pyrophoric in nature.^{7,8} Thus, a search for a more stable and active catalyst that is also capable of producing higher alcohols and oxygenates, such as ethanol, directly from CO_2/H_2 feeds is crucial.^{8,9}

The surface processes that lead to CO_2 hydrogenation to alcohols require several key steps, involving initially the adsorption of both CO_2 and H_2 , followed by the activation of each reactant.^{8,9,10} CO_2 upon complete activation forms adsorbed CO_3 , CO and O , which are then hydrogenated using atomic H coming from a $\text{H}-\text{H}$ bond scission. This step where H adatoms are incorporated into a H_xCO_y species can lead to several carbonaceous species including methoxy (CH_3O), formate (HCOO) or carboxylates (H_yCO_x).^{3,8,9} However, at this point any chance of $\text{C}-\text{C}$ bond formation (to make C_2 or C_n oxygenates) must further rely on the coupling of hydrogenated adsorbates, which is a difficult process. A promoter like Cs facilitates the coupling of HCO fragments on Cu/ZnO and the generation of ethanol.¹⁰ However, the selectivity towards ethanol formation from pure CO_2 hydrogenation is limited.¹⁰ In this work, we have found that a significant yield (10-15%) of the C_2 alcohol can be obtained when using $\text{Pt}/\text{CeO}_2/\text{TiO}_2(110)$ as a catalyst. Our Pt -

CeO_x-TiO₂ interface alone can generate ethanol from a CO₂/H₂ feed and its yield increases when water is added to the CO₂/H₂ reaction feed.

Recent studies have shown that a Pt/CeO₂/TiO₂ system has a unique ability to bind and activate the CO₂ molecule not seen in the case of Pt/TiO₂ and Pt/CeO₂ surfaces.¹¹ Ceria wires and nano structures in contact with titania display structural and electronic properties not observed for bulk ceria.^{12,13} The results of theoretical calculations based on density-functional theory (DFT) showed that a Pt/CeO_x/TiO₂(110) surface binds CO₂ much more strongly than surfaces of bulk platinum {(111), (100), (110)} or other late transition metals.¹¹ On a Pt-CeO_x-TiO₂ interface, the molecule adsorbs with a bent configuration (~ 130° O-C-O bond angle) and with a substantial elongation (~ 0.1 Å) of the C-O bonds, facilitating its transformation into high value chemicals.¹¹ In this work, we carefully probe the reaction mechanisms under CO₂/H₂ and CO₂/H₂/H₂O feeds using *in situ* characterization with X-ray photoelectron spectroscopy (XPS) and DFT calculations. Ambient-pressure (AP) XPS spectra obtained under different process conditions are employed to elucidate not only the chemical and electronic structure of the catalyst active components, but the possible reaction intermediates as well. The results of the AP-XPS measurements and the DFT calculations point to new concepts for the design of better catalysts in the conversion of CO₂ to higher alcohols.

2. Methods

2.1 Surface characterization, photoemission, and catalytic studies

The rutile TiO₂(110) surfaces utilized in this study were cleaned and bulk reduced by combining ion sputtering with Ar⁺ and subsequent annealing at 950 K until the graphitic carbon peak in the C 1s XPS region was removed and small Ti³⁺ peaks appeared in the Ti

2p XPS region as a consequence of the creation of O vacancies. CeO_x nanoparticles were dispersed on the titania by evaporating ~0.1 monolayer equivalents (MLE) of Ce onto the oxide substrate at 300 K under an O₂ pressure of 3×10^{-7} Torr followed by annealing in the same O₂ background to 800 K.^{12,13} Finally, 0.2 MLE of Pt were deposited from a high-purity platinum rod onto the CeO_x/TiO₂(110) substrate at room temperature in ultrahigh vacuum (UHV) conditions, with subsequent heating to a temperature of 700 K.^{11,12} The Ce and Pt coverages were determined using a quartz crystal microbalance.

Scanning Tunneling Microscopy (STM) imaging was carried out at 300 K on an Omicron VT-STM system under UHV conditions ($\sim 5 \times 10^{-10}$ Torr) using etched W tips.^{12,13} The ambient pressure X-ray photoelectron spectroscopy (AP-XPS) studies were performed using the AP-PES end-station of the IOS (23-ID-2) beamline within the National Synchrotron Light Source II (NSLS-II). A differentially pumped SPECS electrostatic analyzer was used in these measurements. The XPS spectra were recorded in a wide range of pressures (from UHV to < 2 Torr) employing a 300 μ m aperture cone and a differentially pumped analyzer. This set-up allowed the detection of electrons at ambient pressure while maintaining the analyzer under near UHV.¹¹ The sample electrons were excited using the following photon energies: 1200 eV (Ce 3d), 888 eV (valence band on-resonance for Ce 3d-4f), 750 eV (C1s, Ti 2p, O 1s, Pt 4f), and 460 eV (valence band on-resonance for Ti 2p-3d), with an overall energy resolution of 0.4-0.5 eV. In general, spectra were collected on the clean TiO₂ system under UHV, 100 mTorr CO₂, 900 mTorr H₂, and 100 mTorr CO₂ + 900 mTorr H₂ at 300 and 473 K. These systematic measurements were replicated after ceria deposition on the titania, and again upon addition of the platinum. For the energy

calibrations, we used alignment of the spectra to both the Fermi level and the signal of adventitious hydrocarbon species.¹¹

A set-up that combined an UHV chamber for surface characterization and a batch micro-reactor for catalytic tests was used in the experiments measuring the catalytic activity of the different examined systems.^{10,12,13,14} Instrumentation for XPS (Al/Mg K alpha), temperature programmed desorption (TDS), and ion-scattering spectroscopy (ISS) was available in the UHV chamber.^{10,12-14} Following previous works,^{3,8,9,15,16} the catalytic hydrogenation of CO₂ was examined at temperatures between 500 and 600 K. After initial characterization in the UHV chamber, the Pt/CeO₂/TiO₂(110) surfaces were transferred to the batch reactor at room temperature, the reactant gases were added {0.049 MPa (0.5 atm) of CO₂ plus 0.441 MPa (4.5 atm) of H₂}, and finally the samples were rapidly heated to the desired reaction temperature.^{10,14-16} A combination of mass spectroscopy and gas chromatography was used to follow the variations in the gas composition inside the reactor,^{15,16} with data collection at intervals of 10 to 15 minutes for up to 12 hours. The production of CO, methanol, and ethanol in the catalytic tests was normalized by the surface area exposed by each catalyst sample and the total reaction time. All the kinetic experiments with the different catalysts {Pt(111), Pt/TiO₂(110), Pt/CeO₂(111) and Pt/CeO_x/TiO₂(110)} were done in the limit of low conversion (<5%).

2.2 Density functional calculations

The DFT calculations were carried out following the plane-wave-pseudopotential approach within the projector augmented wave method (PAW)^{17,18} utilizing the GGA exchange correlation functional developed by Perdew *et al.*¹⁹ (PW91) and the algorithms of the VASP code.^{20,21} The calculations were performed with a plane-wave cutoff energy

of 400 eV, treating the Ti (3s, 3p, 3d, 4s), Ce (4f, 5s, 5p, 5d, 6s), Pt (5d, 6s) and O (2s, 2p) electrons as valence states, while the remaining electrons were kept frozen as core states. To accelerate the convergence, thermal smearing of one-electron states ($k_B T = 0.05$ eV) was allowed employing the Gaussian smearing method to define the partial occupancies. All the energies were evaluated at the gamma point.

The model used to describe the Pt/CeO_x/TiO₂(110) catalyst was previously employed to examine CO₂ chemisorption and activation on this surface.¹¹ It has ceria dimers and a Pt₁₀ particle (0.8 nm in diameter).¹¹ The model contains the basic features observed in STM images for the Pt/CeO_x/TiO₂(110) system,^{12,13} where the ceria is present as surface dimers and the Pt forms aggregates that range in size from clusters with a few atoms to particles with a size below 1 nm. A (6×2) surface model was selected with the aim of having isolated CeO_x dimers and a Pt₁₀ particle on the TiO₂ (110) substrate. The used slab had a thickness of 12 atomic layers or four TiO₂-trilayers, as it was verified that thicker supercell models gave similar results.²² In our calculations, the two lower TiO₂ trilayers remained frozen while the rest of the atoms in the slab were allowed to fully relax their atomic positions. In the supercell, a vacuum of 15 Å was used to separate the slabs, being enough to avoid slab-slab interactions. The optimized lattice parameters for bulk titania { $a = 4.1616$ Å, $c = 2.974$ Å, and $u = 0.304$ Å} were employed for building the supercell model. This type of model has been successfully used in previous works examining the chemical and catalytic properties of metal/CeO_x/TiO₂(110) systems and provides the key features of metal-ceria-titania interfaces.^{11,12,23}

A GGA+*U* formalism was used to represent adequately the electronic structure of Ce (in particular the 4*f* level of the Ce³⁺ species). The Hubbard *U* term was added to the plain

GGA functional employing the rotationally invariant approach proposed by Dudarev et al.,²⁴ where the Coulomb U and exchange J parameters are combined into a single parameter $U_{eff} = U - J$. A U_{eff} of 4.5 eV was used for Ce, a value self-consistently calculated by Fabris et al.²⁵ following the linear-response approach of Cococcioni and de Gironcoli.²⁶ This U_{eff} of 4.5 eV is in the range of values usually proposed in the literature (4.5-5.5 eV) for GGA+ U calculations.^{27,28,29,30,31,32,33,34,35} In the case of the Ti 3d states, a U_{eff} parameter of 4.5 eV was also used because it reproduces the experimental values of the gap between the Ce³⁺ 4f and Ti³⁺ 3d levels observed in valence photoemission spectra for the Ce/TiO₂(110) surface.¹³ In the literature, lower values for U_{eff} have been suggested for a balanced description of bulk CeO₂ and Ce₂O₃ oxides,^{36,37} but the set of parameters we have chosen gives a correct description of the gaps observed in the experimental photoemission spectra of our systems consisting of CeO_x clusters supported on the TiO₂(110) substrate.^{12,13} The existence of Ce³⁺ cations was shown by a characteristic 4f peak in the band gap and subsequently verified by the magnetization of the Ce atoms (> 0.9 electrons) observed in the calculations. Similarly, a clear 3d peak in the band gap indicated the presence of Ti³⁺ species and this was later confirmed by the magnetization of the Ti atoms (>0.8 electrons) seen in the calculations. These U_{eff} parameters for Ce and Ti have been used successfully in previous studies involving CeO_x/TiO₂(110) systems.^{11,12,13,23,38}

In this work, transition states for CO₂ hydrogenation were calculated utilizing the climbing image version of the nudged elastic band (NEB) algorithm,³⁹ and in all cases, after a vibrational analysis, only one imaginary frequency has been obtained for these structures.

3. Results

3.1 Characterization of the model catalyst: STM and XPS studies

The surface of the Pt/CeO_x/TiO₂(110) system was initially characterized using imaging (STM) and spectroscopy (XPS) thoroughly upon preparation prior to any experimentation with reactants.^{11,12,13} In previous works examining the behavior of metal/CeO_x/TiO₂(110) surfaces, it was found that active metal-ceria-titania interfaces were found only when co-adsorbing small amounts of the metal and ceria on titania.^{12,13,23,40} At large coverages, big particles of the metal or ceria were seen, most of the atoms were not in a metal-ceria-titania interface, and a substantial drop in catalytic activity occurred.^{12,13,23,40} Furthermore, to reduce costs, it is also desirable to reduce the amount of Pt and ceria in the catalyst. Thus, in this work, we focused on a system where 0.1 ML of ceria and 0.2 ML of Pt coexisted on TiO₂(110) template.

Figure 1 shows STM images for the CeO_x/TiO₂(110) and Pt/CeO_x/TiO₂(110) surfaces. Low coverages of ceria on TiO₂(110) have been investigated previously^{12,13} and are characterized by CeO_x dimers (green square highlight) and other small clusters located on the Ti_{5c} rows (bright lines along [001] in the STM images) as well as (2 × 1) reconstructed regions of TiO₂(110) resulting from partial reduction of the surface (grey rectangle highlight). The low density of point defects on the TiO₂(110) surface is due to the oxidation process that occurs when forming CeO_x particles. After deposition of Pt and annealing to ~600 K, bright Pt nanoparticles (blue circle highlight) are observed. They are evenly distributed across the CeO_x/TiO₂ surface and in close contact with the CeO_x dimers and the TiO₂ surface. From the line profile shown in Figure 1, Pt forms particles ~0.5 nm

tall (their width is exaggerated by tip-convolution effects), in comparison to the CeO_x dimers which are ~ 0.1 nm high above the TiO_2 .

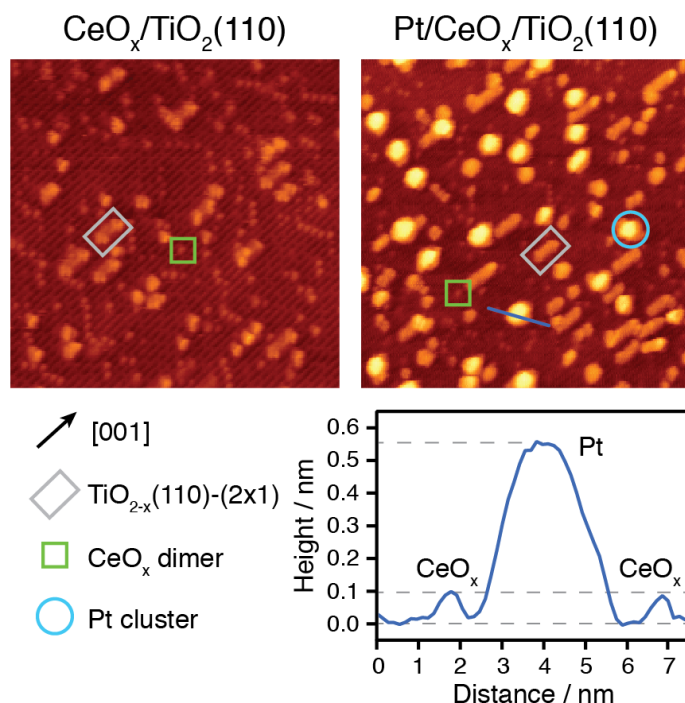


Figure 1: STM images of the low coverage $\text{Pt/CeO}_x/\text{TiO}_2(110)$ catalyst. ($35 \times 35 \text{ nm}^2$, +1.21 V, 0.05 nA).

The $\text{Pt/CeO}_x/\text{TiO}_2(110)$ catalyst was characterized by XPS in UHV (Figure S1).¹¹ Initially, before the deposition of CeO_x and Pt, the clean $\text{TiO}_2(110)$ surface was partially reduced, with $\sim 15\%$ Ti^{3+} species according to the Ti 2p spectrum. After deposition of CeO_x and annealing in O_2 , the $\text{TiO}_2(110)$ surface appeared more oxidized ($< 5\%$ Ti^{3+}) and the CeO_x remained as mainly Ce^{3+} with only a small amount of fully oxidized species ($\sim 30\%$ Ce^{4+}). After the addition of Pt to the $\text{CeO}_x/\text{TiO}_2(110)$ surface, the Ti 2p and Ce 3d regions showed little change in oxidation state (Figure S1).¹¹ In principle, the $\text{Pt/CeO}_x/\text{TiO}_2(110)$ system exposes ceria dimers that can be very useful for the activation of O-containing molecules and Pt clusters that can be active in hydrogenation processes.^{11,12}

3.2 Hydrogenation of CO₂ to alcohols on Pt/CeO_x/TiO₂(110): Effects of water

In a set of studies, we investigated the hydrogenation of CO₂ on a series of surfaces that exposed Pt sites in different configurations and supports, Figure 2. The experiments were done using CO₂/H₂ (blue bars) and CO₂/H₂/H₂O (grey bars) reaction mixtures. Under dry conditions, the main product of the hydrogenation process was CO with methanol as a secondary product. Pure Pt(111) displayed a rather low activity due to the deposition of carbon probably coming from the full decomposition of CO₂ to CO and finally C. Metal-support interactions can reduce the tendency of Pt to dissociate CO.⁴⁰ Upon the deposition of Pt on TiO₂(110) and CeO₂(111), there was a significant increase in the catalytic activity for methanol production, but by far the best catalyst was Pt/CeO_x/TiO₂(110). When using the metal loading per unit of area as reference, this system displays a catalytic activity that is larger (1.6-2.8 times) than that seen under the same conditions for Cu/ZnO catalysts.^{10,14} Furthermore, among the systems displayed in Figure 2, Pt/CeO_x/TiO₂(110) was the only catalyst that was able to produce a minor amount of ethanol (~ 21% selectivity with respect to the amount of methanol formed). This is probably a consequence of the special chemical properties of the Pt-CeO_x-TiO₂ interface.¹¹ Neither Cu/ZnO nor ZnO/Cu produced ethanol under the same CO₂ hydrogenation conditions.^{10,14}

The experiments in Figure 2 were done after coadsorbing 0.1 ML of ceria and 0.2 ML of Pt on the TiO₂(110) substrate. Experiments were also performed for 0.3 and 0.4 ML of Pt on the CeO_x/TiO₂(110) surface, showing 17% and 31% drops in the conversion of CO₂ to alcohols (respectively). This reduction in the catalytic activity is probably a consequence of an increase in the size of the Pt particles,^{12,13,40} since many of the metal

adatoms were not part of a platinum-ceria-titania interface. In this aspect, the system in Figure 2 has an optimal composition.

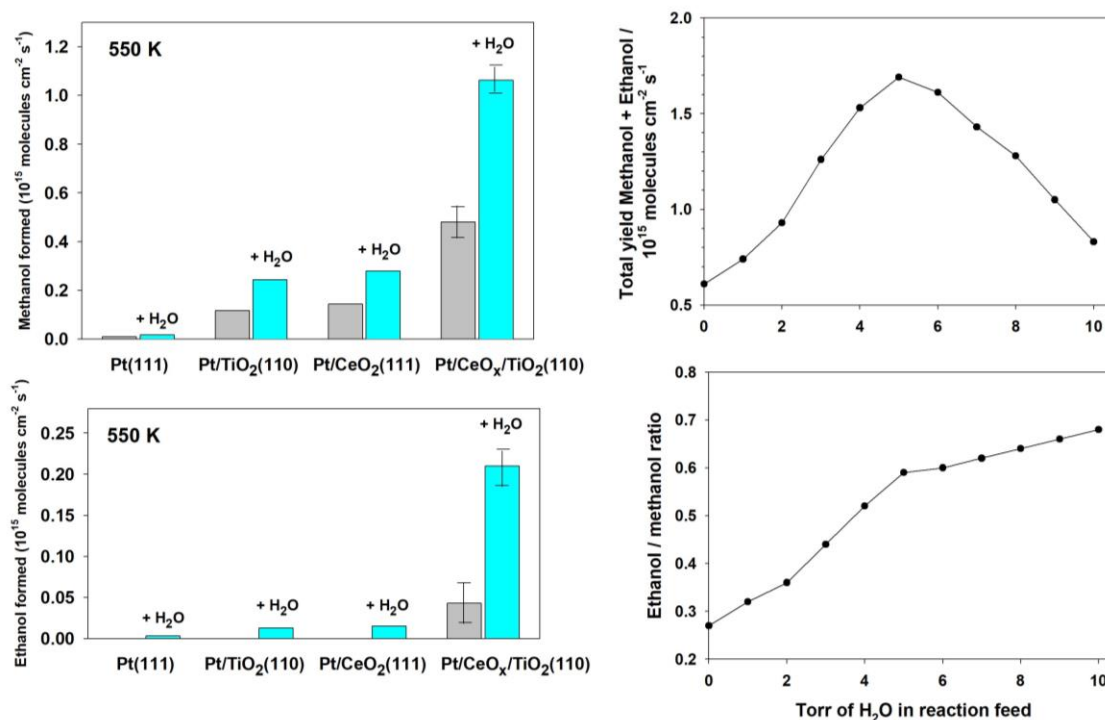


Figure 2 Rates measured for the production of methanol and ethanol on Pt(111), Pt/TiO₂(110), Pt/CeO₂(110), and Pt/CeO_x/TiO₂(110). The amount of Pt present on the oxide supports was 0.2 MLE. The Pt/CeO_x/TiO₂(110) system contained 0.1 MLE of CeO_x. Reaction conditions: T= 550 K, P_{H₂}= 4.5 atm, P_{CO₂}= 0.5 atm. The experiments were performed without (blue bars) and with water (grey bars, + 5 Torr of H₂O). The right-side of the figure shows the effect of water on the methanol + ethanol yield and on the ethanol/methanol ratio.

It is known that CeO_x/TiO₂(110) and Pt/CeO_x/TiO₂(110) are very reactive towards water.^{12,38} On CeO_x/TiO₂(110), the calculated energy barrier for the dissociation of a H-OH bond is only 0.04 eV.³⁸ We found that the addition of small amounts of water to the reaction feed induced big changes in the activity of the Pt/CeO_x/TiO₂(110) catalyst towards methanol and ethanol production. For all the catalysts investigated, the presence of water

had a positive effect enhancing the rate of methanol formation. In the case of Pt/CeO_x/TiO₂(110), there was a simultaneous increase in the formation of methanol and ethanol, but the selectivity towards the higher alcohol (~ 38%) was almost double in the presence of water.

In principle, the extra water could have modified the properties of the catalyst surface by hydroxylation^{12,38} or perhaps provided OH groups that participated directly in the generation of alcohol molecules. Both possibilities will be tested below using DFT calculations. It is important to mention that the addition of too much water did have a negative effect. In the experiments of Figure 2, when the amount of water added to the reaction feed was larger than 5 Torr, we saw an overall drop in the conversion of CO₂ and the production of methanol and ethanol. Thus, surface sites involved in the activation of CO₂ were removed by water blocking or surface morphological changes.^{41,42,43} Post-reaction characterization with XPS showed that these catalysts displayed a large signal around 531.5 eV in the O 1s region that could be attributed to adsorbed OH groups typically found after dosing water to CeO_x/TiO₂(110) and Pt/CeO_x/TiO₂(110).^{12,38}

3.3 Surface chemistry of CO₂ hydrogenation: AP-XPS studies on the role of water

In a previous study, using AP-XPS and DFT calculations, we were able to show that a Pt/CeO_x/TiO₂(110) system is very effective for the binding and activation of CO₂ (Figure S2).¹¹ This is a remarkable property of Pt/CeO_x/TiO₂(110) not seen in Cu-ZnO catalysts or for plain Pt surfaces.^{10,14,15,44} Figure 3a compares C 1s XPS spectra collected while exposing a Pt/CeO_x/TiO₂(110) surface to mixtures of CO₂/H₂ (bottom trace) and CO₂/H₂/H₂O (top trace). Dosing of CO₂ or a CO₂/H₂ mixture to TiO₂(110) and CeO_x/TiO₂(110) only produced a C 1s feature at ~ 290 eV (Figures S2 and S3) that can be

attributed to adsorbed carbonate (CO_3) or formate (HCOO) species on the basis of previous studies of XPS, NEXAFS and vibrational spectroscopy.^{45,46} In Figure 3, a rich chemistry is seen after exposing the $\text{Pt/CeO}_x/\text{TiO}_2(110)$ system to CO_2/H_2 and $\text{CO}_2/\text{H}_2/\text{H}_2\text{O}$ mixtures. The results in the figure are representative of many experiments. The major surface species are adsorbed CO_3/HCOO (290 eV),^{45,46} CH_3O (287 eV),^{47,48,49,50} and CH_x (284.5 eV)^{46,50} groups. These species already have been detected in previous studies of CO_2 hydrogenation or methanol decomposition using XPS in combination with other surface science techniques.⁴⁵⁻⁵¹ The coverages of these C-containing groups on the $\text{Pt/CeO}_x/\text{TiO}_2(110)$ catalyst were not large (< 0.35 ML), being frequently small (< 0.1 ML), see Figure 3b.

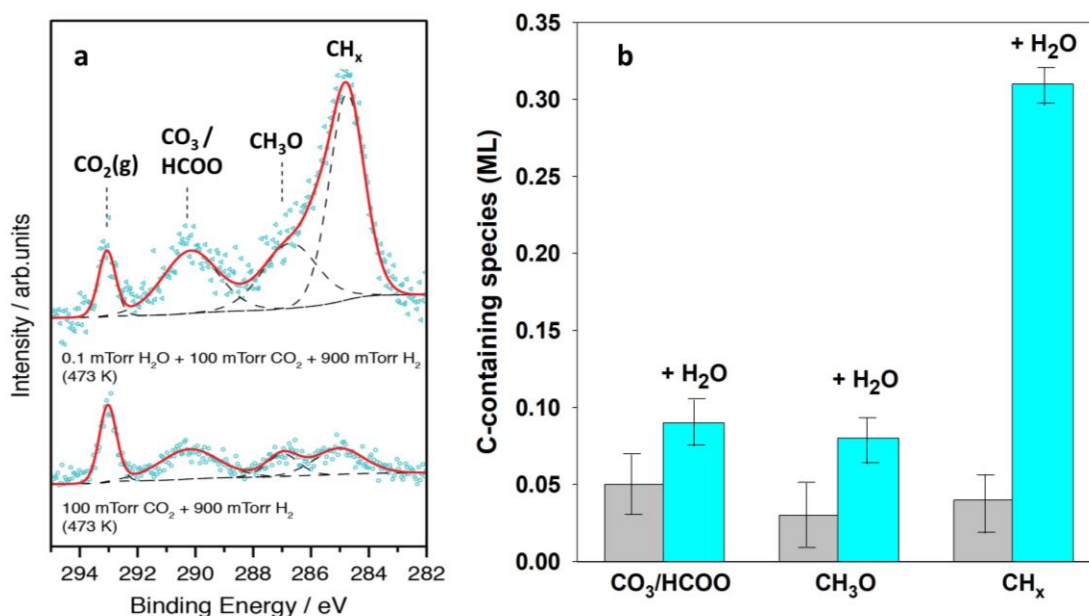


Figure 3. (a) C 1s XPS spectra of $\text{Pt/CeO}_x/\text{TiO}_2(110)$ at 473 K under 0.1 mTorr H_2O + 100 mTorr CO_2 + 900 mTorr H_2 (top) and 100 mTorr CO_2 + 900 mTorr H_2 (bottom). (b) Effect of water on the coverage of C-containing species adsorbed on the $\text{Pt/CeO}_x/\text{TiO}_2(110)$ catalyst during CO_2 hydrogenation at the conditions of part (a).

These observations suggest that the TiO_2 and CeO_x components adsorb CO_2 by forming surface carbonates (CO_3), consistent with previous studies on the individual oxides,^{45,52} while the Pt facilitates the H insertion into adsorbed CO_2 to form CH_3O , HCOO and CH_x surface species. Our DFT calculations (to be described below) confirm this interpretation. The CO_2 hydrogenation chemistry occurs through a synergy between all parts of this catalyst including both Pt and CeO_x contributing towards a multi-functional mechanism.

In Figure 3, one sees substantial differences for the adsorbed species with very intense CH_x (284.2 eV) features and some additional HCOO (290 eV) and CH_3O (286.5 eV) when comparing the spectra for dry and wet conditions. The total coverage of C-containing species (CO_3 , HCOO , CH_3O , CH_x) clearly increased under the $\text{CO}_2/\text{H}_2/\text{H}_2\text{O}$ mixture. This enhancement is quite important since HCOO and CH_3O are precursors for the production of methanol^{2,8,9,15} and the CH_x groups are necessary for C-C bond formation and the generation of ethanol.⁸

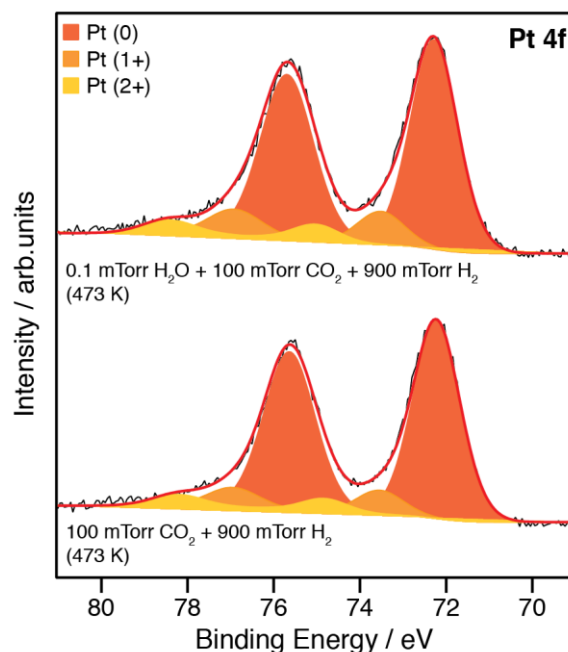


Figure 4. Pt 4f XPS spectra of Pt/CeO_x/TiO₂(110) at 473 K under 0.1 mTorr H₂O + 100 mTorr CO₂ + 900 mTorr H₂ (top) and 100 mTorr CO₂ + 900 mTorr H₂ (bottom).

Figure 4 compares the Pt 4f spectra of a Pt/CeO_x/TiO₂(110) catalyst with and without the presence of water. In both cases, curve fitting showed mainly Pt⁰ with some additional peaks that could come from Pt¹⁺ and Pt²⁺ on the surface.^{11,40} Even in the presence of pure H₂, the Pt¹⁺ and Pt²⁺ species were not fully reduced (Figure S4). This may be a consequence of strong metal-support interactions as we will see below in our DFT studies. In the experiments of Figure 4, the reducing environment associated with the presence of hydrogen prevented a major oxidation of Pt⁰ by water and there was only a small increase in the coverage of Pt¹⁺.

3.4 Active sites and reaction mechanism: DFT studies

On the basis of previous work,¹¹ to represent the Pt/CeO_x/TiO₂(110) catalyst, we used a slab where CeO_x dimers and a Pt₁₀ metal cluster (0.8 nm in diameter) coexisted on

a TiO₂ (110) substrate. The Pt₁₀ cluster is within the range of Pt species seen in the STM studies (Figure 1 and refs 12 and 13) and it allows the creation of a platinum-ceria-titania interface where the chemistry can take place.¹¹ In this respect, the inclusion of the CeO_x dimers is essential to properly describe the behaviour of the metal/CeO_x/TiO₂(110) system.^{11,12,23,38} Our DFT calculations confirm the oxidation states experimentally observed. The Ce³⁺ is due to the observed dimers stoichiometry (Ce₂O₃), while there is a charge transfer from the Pt clusters to TiO₂, generating some Pt⁺ and Ti³⁺ species (see Discussion 1 in Supporting Information).

A. Activation of CO₂ at the Pt-CeO_x interface in the Pt/CeO_x/TiO₂(110) system

The adsorption of CO₂ on TiO₂(110) has been extensively studied from both experimental and theoretical points of view. It is a weak interaction with desorption temperatures between 110 and 166 K.⁵³ However, our C 1s XPS results exposing TiO₂(110) to 100 mTorr CO₂ at 473 K (Figure S2) show the formation of CO₃-like species under those conditions. To elucidate this point, we studied the adsorption of CO₂ in its most stable site of the surface and its further transformation in CO₃ by interaction with a bridging oxygen of the surface. The calculated adsorption energy is -0.47 eV (very close to the experimental values ~0.5 eV). The formation of CO₃-like species is slightly exothermic by only -0.05 eV, with a low energy barrier of 0.25 eV (Figure 5). Therefore, under the current experimental conditions, CO₃-like species are expected on the TiO₂(110) surface after CO₂ adsorption on O sites (as seen in Figure S2).^{11,52}

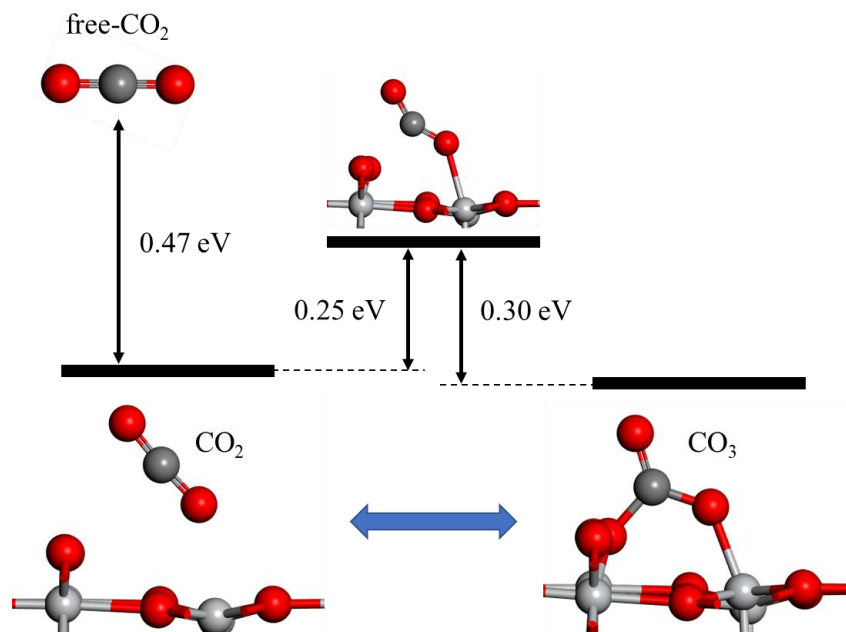


Figure 5. Optimized structures for CO₂ adsorption on TiO₂(110) and its transformation into a CO₃-like species. The involved energies and the structure of the transition state are also shown. Color code: gray (Ti), red (O), dark gray (C).

The C 1s XPS signal of these CO₃-like species (Figure S2) grows when passing from pure TiO₂(110) to CeO_x/TiO₂(110) indicating a stronger interaction. Finally, in the system Pt/CeO_x/TiO₂(110) the interaction is even stronger leading not only to the formation of CO₃-like species but also to other CH₃O and CH_y species when H₂ is present, pointing to a richer chemistry. We have performed some DFT calculations to support these observations. We start by computation of the interaction energy of CO₂ with the system defined as:

$$\Delta E_{int} = E(\text{CO}_2 - \text{System}) - E(\text{CO}_2) - E(\text{System})$$

Where System represents either TiO₂(110), CeO_x/TiO₂(110) or Pt/CeO_x/TiO₂(110); $E(\text{CO}_2 - \text{System})$ is the total energy of the System after the CO₂ adsorption; $E(\text{CO}_2)$ is

the total energy of an isolated CO₂ molecule; and $E(\text{System})$ is the total energy of each system without CO₂.

We have found that the interaction of CO₂ with the bridging oxygens of the TiO₂(110) surface may lead to the formation of a CO₃ species (Figures 5 and 6a) with a total interaction energy of -0.52 eV. A similar phenomenon is observed when CO₂ interacts with an oxygen atom of the CeO_x species of the CeO_x/TiO₂(110) system (Figure 6b) but with a much higher interaction energy (-1.17 eV). This certainly confirms the higher interaction that can be inferred from the experimental C 1s XPS results. More interestingly, when we tried to form the same CO₃-like species at the Pt/CeO_x interface (Figure 6c) the interaction was much lower (-0.08 eV). Such interface hindered the formation of these intermediates, fostering instead the adsorption of a CO₂ molecule at the interface as shown in Figure 6d. The interaction energy in this configuration is much larger: -1.48 eV. The C atom of the molecule interacts with Pt while both O atoms of the molecule interacts with two Ce atoms of the Ce₂O₃ particle. Where a Pt/CeO_x interface is formed on TiO₂(110), the CO₃-like species are shifted to a highly activated CO₂ species ready to further reaction. Obviously, not all the cerium particles CeO_x in the Pt/CeO_x/TiO₂(110) are in direct contact with Pt forming such interface. Those isolated CeO_x dimers continue interacting strongly with CO₂ to form CO₃-like species. That is why the C 1s XPS signal of CO₃-like species (Figure S2) remains in Pt/CeO_x/TiO₂(110) system and coexists with other C signals, pointing to an interesting chemistry we will see below.

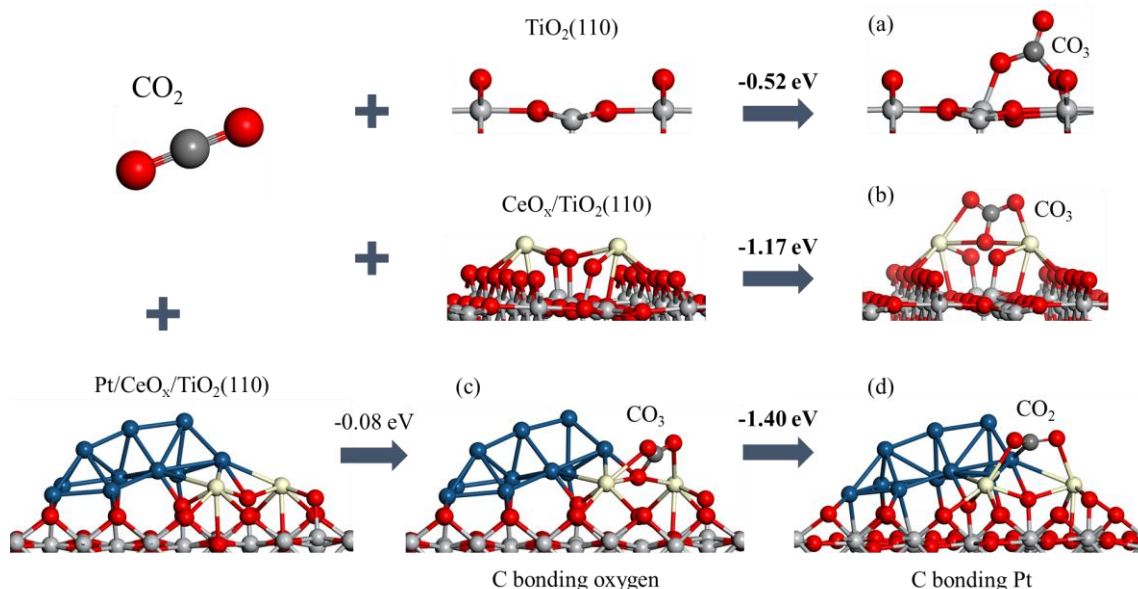
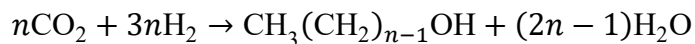


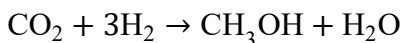
Figure 6. Optimized structures and CO₂ interaction energies to form CO₃-like species on (a) TiO₂(110), (b) CeO_x/TiO₂(110), and (c) Pt/CeO_x/TiO₂(110); and to form CO₂ adsorbed on (d) Pt/CeO_x/TiO₂(110). Color code: gray (Ti), red (O), dark gray (C), blue (Pt), crème white (Ce). Although the process depicted in (a) has been detailed in figure 5, it is shown also here for a direct comparison of the three intermediates formed by CO₂ adsorption.

B. Selecting a reaction mechanism over the Pt/CeO_x/TiO₂(110) system

We are investigating reactions to form alcohols from CO₂ and H₂, according to the general formula:

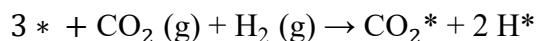


According to our experimental results, we will deal with the first two equations of the series (n=1, methanol; n=2, ethanol):



There are some reaction mechanisms proposed in the literature for *metal/oxide catalysts*.^{3,7,8} All of them involve the adsorption and activation of the CO₂ molecule usually

at the metal-oxide interface, where the C atom would be adsorbed to the metal and one O atom would be adsorbed to one cation of the oxide. On the other hand, all of them assume the adsorption-dissociation of H₂ to form hydroxyls. These steps would be represented as:



Where * stands for either a site of the surface free for adsorption or indicates that the molecule/atom is adsorbed on the surface (Figure 7a).

One of the mechanisms would go through the *formate* intermediate (O-CH-O). In this case the first step after H₂ adsorption-dissociation and CO₂ adsorption would be the hydrogenation of the C atom. In this mechanism the formate molecule is usually adsorbed to the cations of the oxide through the two oxygen atoms of the molecule (bidentate) and the C atom is not linked to either the metal or the oxide (Figure 7c). Then, a dissociation of one C-O bond would lead to the formation of *formyl* (HCO) and one O atom.

A second mechanism suggests that the reaction goes through the *formic acid* intermediate (O-CH-OH) which would come from a double hydrogenation of the CO₂ molecule: the hydrogenation of the O atom to form carboxyl (O-C-OH) and the subsequent hydrogenation of the C atom to give finally the formic acid (O-CH-OH). This intermediate is usually adsorbed to the cations of the oxide through a single oxygen atom (monodentate) or through both oxygen atoms (bidentate) and the C atom is not bound to the metal or the oxide (Figure 7d). The following step would be the dissociation of the formic acid to give *formyl* (HCO) and hydroxyl (OH).

Finally, a third mechanism points to the *carboxyl* intermediate (O-C-OH) adsorbed at the metal-oxide interface, where the C atom would be adsorbed to the metal and one oxygen atom to a cation of the oxide (Figure 7b). In this case the dissociation of the

carboxyl intermediate would lead to the formation of *carbon monoxide* (CO) adsorbed on the metal and hydroxyl (OH) adsorbed on the oxide. Therefore, this mechanism would involve the so-called *Reverse Water Gas Shift* reaction (RWGS).

In our system, we have calculated the formation energies of the three key intermediates taking as reference the energy of the system with CO₂ and 2 H atoms adsorbed forming two hydroxyl groups on the oxide:



The energies of these processes are all endothermic with values of 0.15, 0.98 and 1.25 eV for *carboxyl*, *formate* and *formic acid*, respectively (Figure 7). The carboxyl group clearly is the more likely intermediate to form on the Pt/CeO_x/TiO₂(110). The reason for this behavior is the well-known strong C-Pt bond. All the mechanisms that involve dissociation of the C-Pt (as in formate and formic acid) are energetically hindered. Therefore, we choose a methoxy and methanol/ethanol formation mechanism going through the carboxyl intermediate which keeps the C-Pt bond throughout the way.

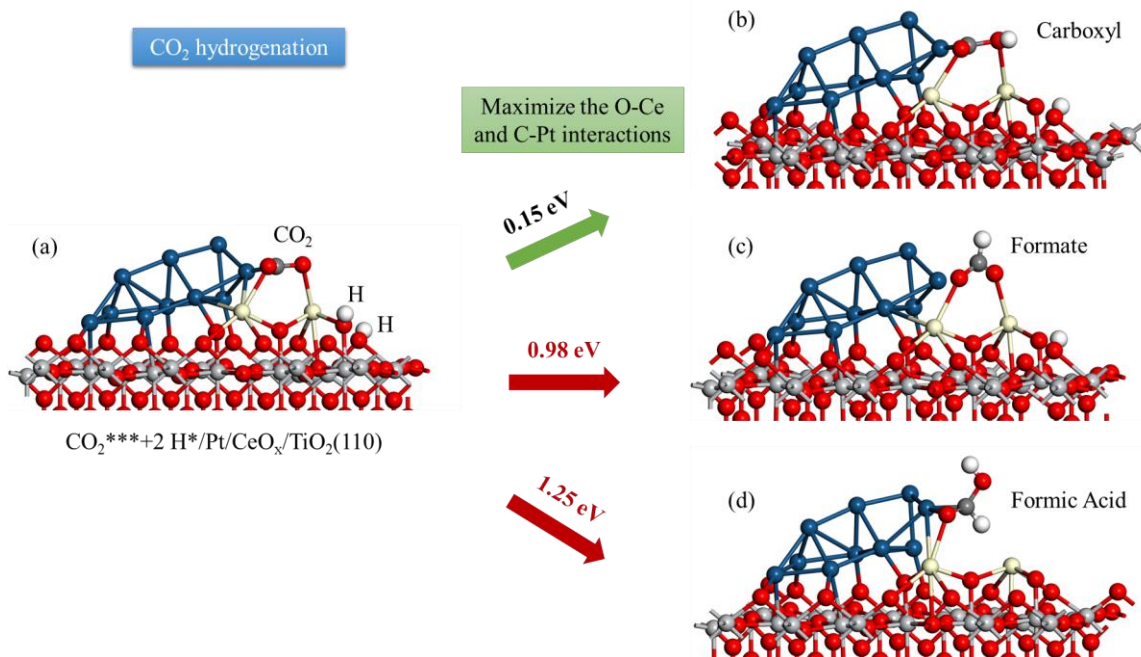


Figure 7. Optimized structures and CO₂ hydrogenation energies to form (b) carboxyl, (c) formate and (d) formic acid species on CO₂***+2H*/Pt/CeO_x/TiO₂(110). The * indicate the number of bonds to the system that the adsorbed species form. Color code: gray (Ti), red (O), dark gray (C), blue (Pt), crème white (Ce), white (H).

C. Water increases the CO₂-support interaction and strongly facilitates the first CO₂ hydrogenation

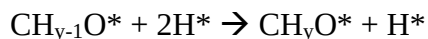
One of the most interesting results of this work is the profound effect of the water presence on the activity/selectivity of the catalyst to form methanol/ethanol. We will try to shed some light on this behavior from theoretical modeling. The first step in the alcohol synthesis from CO₂ and H₂ is critical since it involves the activation of a highly stable molecule: CO₂. Although the interaction of CO₂ with the Pt/CeO_x/TiO₂(110) system at the Pt/CeO_x interface is strong (-1.48 eV) acquiring an activated bent configuration, the presence of a water molecule dramatically increases the strength of that interaction to -2.08

eV. Such amount of energy liberated at the very beginning of the reaction boosts the evolution to products through the following steps.

A second observation helps to explain the beneficial presence of water for the activity. As indicated above, in our system, the mechanism going through carboxyl intermediate is the most likely. Once CO₂ molecule is adsorbed-activated, it must be hydrogenated to form a carboxyl (O-C-OH) group. Thus, the next key step is the adsorption-dissociation of H₂. Theoretical studies have found that the process is complicated on the oxide, with an energy barrier of around 1 eV.^{54,55} In contrast, the process on Pt is almost barrierless.^{56,57} Therefore, the best way to adsorb-dissociate H₂ in our system is on the Pt particle. The CO₂ hydrogenation with H coming from Pt has a high barrier of 0.95 eV. However, if there is a water molecule co-adsorbed, the transition state is strongly stabilized and the barrier for the CO₂ hydrogenation drops to half: 0.50 eV. The presence of water makes much easier the first hydrogenation of CO₂ to give carboxyl (O-C-OH).

D. Water fosters the *formation* of CH₃O* species at the Pt/CeO_x interface and its *deoxygenation* to form CH₃* species on Pt.

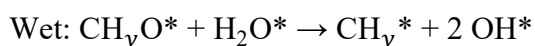
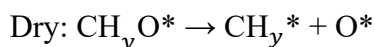
According to the carboxyl mechanism, the conversion of CO₂ to methanol involves the successive formation of the intermediates CHO (formyl), CH₂O (formaldehyde) and CH₃O (methoxy) by a sequential hydrogenation step described by:



In order to check the effect of water on this process, we have computed this reaction energy in which different CH_yO* species are formed (“formation energy”) in the

presence/absence of water that we denote as “wet” and “dry” conditions. Interestingly, we have found that there is a water-mediated stabilization of the CH_yO^* species (see Table S1). Indeed, in contrast to what is observed under a dry ambient, for wet conditions all the CH_yO^* formation energies are exothermic (see Table S1), with the formation of methoxy intermediates becoming highly favorable (-0.61 eV).

More interestingly, we found that the presence of water in the system also facilitates the subtraction of the O atom from these species giving CH_y^* intermediates. We consider the general equations in “dry” and “wet” atmosphere:



The energies associated with these deoxygenation processes (“deoxygenation energies”) at the Pt/CeO_x interface are included in Table S2 (see also Figures S5-S7 for the corresponding structures).

That water makes easier the extraction of the O atom from the CH_yO groups is due to the interaction with hydrogen atoms and further H shift that leads to the formation of two OH^* groups. At the same time, we observe that the deoxygenation is energetically favored as the number of H’s bonded to the C atom (y) increases, the transformation of methoxy in methyl (-0.58 eV) being the most favorable process. Therefore, water facilitates the formation of CH_3^* species adsorbed on the Pt particle. Likely the large CH peak observed in the C 1s AP-XPS spectra (Figure 3) corresponds to these species. These methyl groups can subsequently react with other radical species produced on the reaction to form a C-C bond and, finally, ethanol. Therefore, water facilitates the formation of CH_3^* species and, at the same time, it is making easier further evolution to a higher alcohol. This is

coherent with the fact that the ratio of ethanol respect to methanol is larger in the presence of water (see Figure 2).

E. Reaction paths in the presence of water

After describing the different pathways in which water facilitates the activity/selectivity of the Pt/CeO_x/TiO₂ system, the full path from CO₂ hydrogenation to methanol/ethanol in the presence of water is considered. The general paths are shown in Figure 8. The optimized structures for the main steps of the synthesis paths are shown in Figures 9 and 10 (only the main intermediates), and Figures S8 and S9 (all the main steps). Discussion 2 in Supporting Information shows a full description of the synthesis paths. To follow the full path step by step we recommend to reader to have at hand at the same time the figures 8, S8 and S9, and to read the Discussion 2 in the Supporting Information. As seen in Figures 9 and 10, the binding and transformation of CO₂ into methanol or ethanol is carried out by platinum and cerium atoms perturbed by their interaction with titania. The ceria in this interface has structural and electronic properties not seen for bulk ceria.^{12,13,38} Here, it is interesting to point out three general things:

- (i) While in the methanol synthesis path all the energy barriers are below 1 eV (around 0.5-0.6 eV), in the ethanol synthesis path we found some barriers above 1 eV, mainly related to the O extraction from CH₃O (methoxy) to give CH₃ surface groups and to induce C-C bond formation in the reaction of H₂CO (formaldehyde) with CH₃ to give CH₃CH₂O (ethoxy). This is consistent with the higher amount of methanol over ethanol experimentally observed (Figure 2).

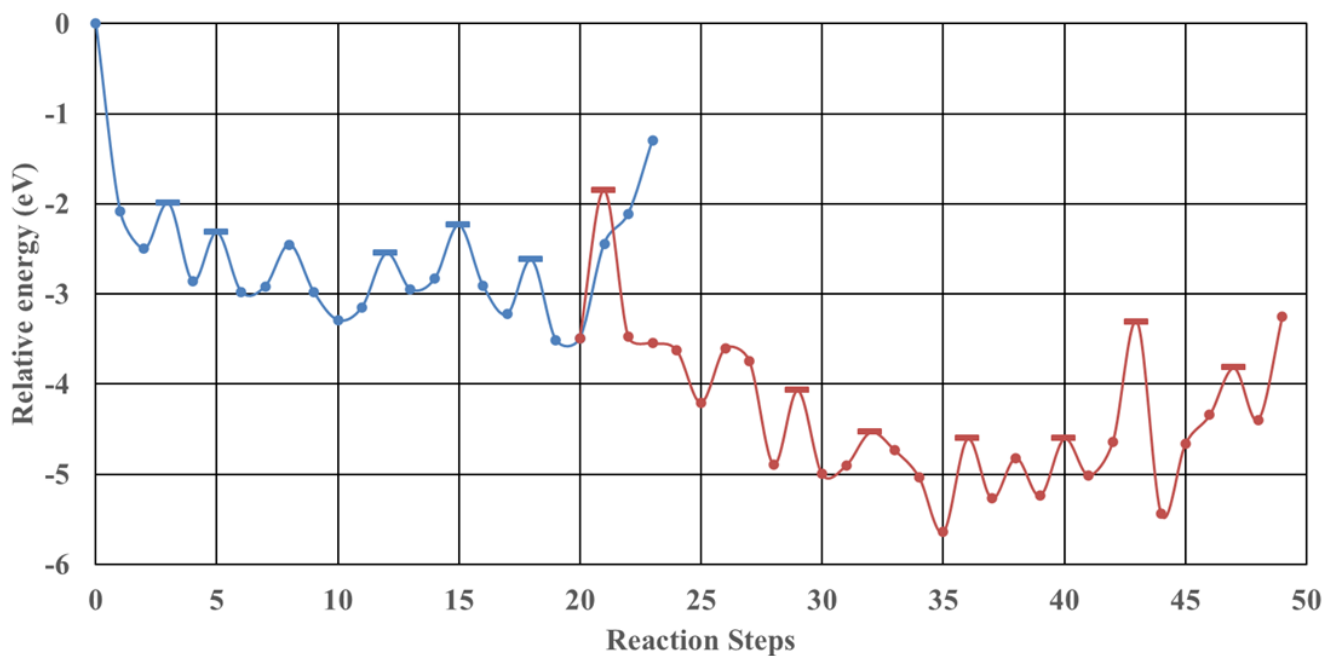
- (ii) There is a crossroad at step 20, where the methoxy intermediate is already formed. The two possibilities opened by that crossroad have both a high energy cost: (a) the complete evolution to methanol involves 2.20 eV, (b) the reaction of CH_3O^* with H_2O^* to give CH_3^* and 2 OH^* groups (opening the path to ethanol) has an energy barrier of 1.68 eV. In any case, at step 20 the system has enough energy to overcome them because a total of 3.49 eV has been liberated in the previous steps. Therefore, the reactivity of methoxy CH_3O^* is the critical step to selectivity since it is the crossroad between the methanol and ethanol synthesis paths. As mentioned previously, the presence of water significantly facilitates the O extraction from CH_3O^* , opening the evolution to ethanol.
- (iii) The steps 0-20 (CH_3O^* formation) are common for the methanol/ethanol synthesis paths.

Of course, this is a schematic representation for only one of the possible pathways that would lead to the ethanol formation, but the associated energy calculations indicate that the whole process is exothermic by -3.25 eV. Also, although some of the barriers are relatively high, the system has enough energy to overcome them. All over this description, one can see the unique properties of the Pt/CeO_x/TiO₂(110) system together with the outstanding collaboration of water in the formation of methanol and ethanol. In agreement with the experimental data in Figures 2 and 3, the theoretical calculations show that water can have a strong effect on the chemistry associated with the hydrogenation of CO₂, modifying the conversion and selectivity.

A review of the existing literature for CO₂ hydrogenation indicates that the performance of a metal/oxide catalyst can depend on the size of the metal and oxide

components (nano vs bulk) and the possible existence of strong metal-support interactions that can modify the intrinsic catalytic properties of the metal and oxide.^{3,8,9,58,59,60} In the Pt/CeO_x/TiO₂(110) system examined above, the interface combines small nanoparticles of platinum and ceria modified by interactions with titania. This is a unique system. Thus, small and large particles of Pt deposited on surfaces of pure bulk titania or pure bulk ceria do not match the production of methanol and ethanol seen on Pt/CeO_x/TiO₂ (Figure 2). In previous studies, we have found that the catalytic behavior seen for metal/CeO_x/TiO₂(110) surfaces in batch reactors can be transferred to powder systems and flow reactors if the metal-CeO_x-TiO₂ interface remains present.^{61,62} As shown in the DFT and XPS studies, each component of the interface plays a specific role in the binding and conversion of CO₂ and, thus, such motif must be present in any technical catalysts.⁶³ On powders of titania, it is possible to deposit different structures of ceria: Isolated clusters, chains, small two-dimensional sheets, and three-dimensional particles. On top of these CeO_x/TiO₂ powder systems, one can disperse small amounts of platinum to generate Pt-CeO_x-TiO₂ interfaces.^{64,65}

Methanol/Ethanol synthesis reaction paths in the presence of water



Step	State
0	$\text{H}_2\text{O}^* + 2 \text{CO}_2 (\text{g}) + 6 \text{H}_2 (\text{g})$
1	$\text{H}_2\text{O}^* + \text{CO}_2^* + \text{CO}_2 (\text{g}) + 6 \text{H}_2 (\text{g})$
2	$\text{H}_2\text{O}^* + \text{CO}_2^* + 2 \text{H}^* \text{Pt} + \text{CO}_2 (\text{g}) + 5 \text{H}_2 (\text{g})$
3	TS
4	$\text{H}_2\text{O}^* + \text{OCOH}^* + \text{H}^* \text{Pt} + \text{CO}_2 (\text{g}) + 5 \text{H}_2 (\text{g})$
5	TS
6	$\text{H}_2\text{O}^* + \text{CO}^* + \text{OH}^* + \text{H}^* \text{Pt} + \text{CO}_2 (\text{g}) + 5 \text{H}_2 (\text{g})$
7	$2 \text{H}_2\text{O}^* + \text{CO}^* + \text{CO}_2 (\text{g}) + 5 \text{H}_2 (\text{g})$
8	$\text{H}_2\text{O}^* + \text{CO}^* + \text{CO}_2 (\text{g}) + 5 \text{H}_2 (\text{g}) + \text{H}_2\text{O} (\text{g})$
9	$\text{H}_2\text{O}^* + \text{CO}^* + 2 \text{H}^* \text{Pt} + \text{CO}_2 (\text{g}) + 4 \text{H}_2 (\text{g}) + \text{H}_2\text{O} (\text{g})$
10	$\text{H}^* + \text{OH}^* + \text{CO}^* + 2 \text{H}^* \text{Pt} + \text{CO}_2 (\text{g}) + 4 \text{H}_2 (\text{g}) + \text{H}_2\text{O} (\text{g})$
11	$\text{H}^* + \text{OH}^* + \text{CO}^* + 2 \text{H}^* \text{Pt}' + \text{CO}_2 (\text{g}) + 4 \text{H}_2 (\text{g}) + \text{H}_2\text{O} (\text{g})$
12	TS
13	$\text{HCO}^* + \text{OH}^* + \text{H}^* + \text{H}^* \text{Pt} + \text{CO}_2 (\text{g}) + 4 \text{H}_2 (\text{g}) + \text{H}_2\text{O} (\text{g})$
14	$\text{HCO}^* + \text{OH}^* + \text{H}^* + \text{H}^* \text{Pt}' + \text{CO}_2 (\text{g}) + 4 \text{H}_2 (\text{g}) + \text{H}_2\text{O} (\text{g})$
15	TS
16	$\text{H}_2\text{CO}^* + \text{OH}^* + \text{H}^* + \text{CO}_2 (\text{g}) + 4 \text{H}_2 (\text{g}) + \text{H}_2\text{O} (\text{g})$
17	$\text{H}_2\text{CO}^* + \text{OH}^* + \text{H}^* + 2 \text{H}^* \text{Pt} + \text{CO}_2 (\text{g}) + 3 \text{H}_2 (\text{g}) + \text{H}_2\text{O} (\text{g})$
18	TS
19	$\text{CH}_3\text{O}^* + \text{OH}^* + \text{H}^* + \text{H}^* \text{Pt} + \text{CO}_2 (\text{g}) + 3 \text{H}_2 (\text{g}) + \text{H}_2\text{O} (\text{g})$
20	$\text{CH}_3\text{O}^* + \text{H}_2\text{O}^* + \text{H}^* \text{Pt} + \text{CO}_2 (\text{g}) + 3 \text{H}_2 (\text{g}) + \text{H}_2\text{O} (\text{g})$
21	$\text{CH}_3\text{O}^* \text{Pt} + \text{H}_2\text{O}^* + \text{H}^* \text{Pt} + \text{CO}_2 (\text{g}) + 3 \text{H}_2 (\text{g}) + \text{H}_2\text{O} (\text{g})$
22	$\text{CH}_3\text{OH}^* \text{Pt} + \text{H}_2\text{O}^* + \text{CO}_2 (\text{g}) + 3 \text{H}_2 (\text{g}) + \text{H}_2\text{O} (\text{g})$
23	$\text{H}_2\text{O}^* + \text{CO}_2 (\text{g}) + 3 \text{H}_2 (\text{g}) + \text{H}_2\text{O} (\text{g}) + \text{CH}_3\text{OH} (\text{g})$

Step	State
20	$\text{CH}_3\text{O}^* + \text{H}_2\text{O}^* + \text{H}^*\text{Pt} + \text{CO}_2(\text{g}) + 3 \text{H}_2(\text{g}) + \text{H}_2\text{O}(\text{g})$
21	TS
22	$\text{CH}_3^* + 2 \text{OH}^* + \text{H}^*\text{Pt} + \text{CO}_2(\text{g}) + 3 \text{H}_2(\text{g}) + \text{H}_2\text{O}(\text{g})$
23	$\text{CH}_3^{*'} + 2 \text{OH}^* + \text{H}^*\text{Pt} + \text{CO}_2(\text{g}) + 3 \text{H}_2(\text{g}) + \text{H}_2\text{O}(\text{g})$
24	$\text{CH}_3^{*'} + \text{OH}^* + \text{H}_2\text{O}^* + \text{CO}_2(\text{g}) + 3 \text{H}_2(\text{g}) + \text{H}_2\text{O}(\text{g})$
25	$\text{CH}_3^{*'} + \text{OH}^* + \text{H}_2\text{O}^* + 2 \text{H}^*\text{Pt} + \text{CO}_2(\text{g}) + 2 \text{H}_2(\text{g}) + \text{H}_2\text{O}(\text{g})$
26	$\text{CH}_3^{*'} + 2 \text{H}_2\text{O}^* + \text{H}^*\text{Pt} + \text{CO}_2(\text{g}) + 2 \text{H}_2(\text{g}) + \text{H}_2\text{O}(\text{g})$
27	$\text{CH}_3^{*'} + \text{H}_2\text{O}^* + \text{H}^*\text{Pt} + \text{CO}_2(\text{g}) + 2 \text{H}_2(\text{g}) + 2 \text{H}_2\text{O}(\text{g})$
28	$\text{CH}_3^{*'} + \text{H}_2\text{O}^* + \text{H}^*\text{Pt} + \text{CO}_2^{*'} + 2 \text{H}_2(\text{g}) + 2 \text{H}_2\text{O}(\text{g})$
29	TS
30	$\text{CH}_3^{*'} + \text{H}_2\text{O}^* + \text{COOH}^* + 2 \text{H}_2(\text{g}) + 2 \text{H}_2\text{O}(\text{g})$
31	$\text{CH}_3^{*'} + \text{H}_2\text{O}^* + \text{COOH}^{*'} + 2 \text{H}_2(\text{g}) + 2 \text{H}_2\text{O}(\text{g})$
32	TS
33	$\text{CH}_3^{*'} + \text{H}_2\text{O}^* + \text{CO}^* + \text{OH}^* + 2 \text{H}_2(\text{g}) + 2 \text{H}_2\text{O}(\text{g})$
34	$\text{CH}_3^{*'} + \text{H}_2\text{O}^* + 2 \text{H}^*\text{Pt} + \text{CO}^* + \text{OH}^* + \text{H}_2(\text{g}) + 2 \text{H}_2\text{O}(\text{g})$
35	$\text{CH}_3^{*'} + 2 \text{H}_2\text{O}^* + \text{H}^*\text{Pt} + \text{CO}^* + \text{H}_2(\text{g}) + 2 \text{H}_2\text{O}(\text{g})$
36	TS
37	$\text{CH}_3^{*'} + 2 \text{H}_2\text{O}^* + \text{HCO}^* + \text{H}_2(\text{g}) + 2 \text{H}_2\text{O}(\text{g})$
38	$\text{CH}_3^{*'} + \text{H}_2\text{O}^* + \text{HCO}^{*'} + \text{H}_2(\text{g}) + 3 \text{H}_2\text{O}(\text{g})$
39	$\text{CH}_3^{*'} + \text{H}_2\text{O}^* + 2 \text{H}^*\text{Pt} + \text{HCO}^{*'} + 3 \text{H}_2\text{O}(\text{g})$
40	TS
41	$\text{CH}_3^{*'} + \text{H}_2\text{O}^* + \text{H}^*\text{Pt} + \text{H}_2\text{CO}^* + 3 \text{H}_2\text{O}(\text{g})$
42	$\text{CH}_3^{*''} + \text{H}_2\text{O}^* + \text{H}^*\text{Pt} + \text{H}_2\text{CO}^* + 3 \text{H}_2\text{O}(\text{g})$
43	TS
44	$\text{CH}_3\text{CH}_2\text{O}^* + \text{H}_2\text{O}^* + \text{H}^*\text{Pt} + 3 \text{H}_2\text{O}(\text{g})$
45	$\text{CH}_3\text{CH}_2\text{O}^*\text{Pt} + \text{H}_2\text{O}^* + \text{H}^*\text{Pt} + 3 \text{H}_2\text{O}(\text{g})$
46	$\text{CH}_3\text{CH}_2\text{O}^*\text{Pt}' + \text{H}_2\text{O}^* + \text{H}^*\text{Pt}' + 3 \text{H}_2\text{O}(\text{g})$
47	TS
48	$\text{CH}_3\text{CH}_2\text{OH}^*\text{Pt} + \text{H}_2\text{O}^* + 3 \text{H}_2\text{O}(\text{g})$
49	$\text{H}_2\text{O}^* + 3 \text{H}_2\text{O}(\text{g}) + \text{CH}_3\text{CH}_2\text{OH}(\text{g})$

Figure 8. Reaction paths for methanol/ethanol synthesis. Top: Methanol (blue line) and ethanol (blue and red lines) synthesis reaction paths in the presence of water. Significant transition states are depicted as little horizontal lines. The optimized structures corresponding to the main steps are shown in Figures 9 and 10. The state corresponding to each step of the path are shown in the tables at bottom left (methanol) and bottom right (ethanol). **Bottom left:** Table containing the states of the system in each step of the methanol synthesis reaction path. Table color code: black (gaseous reagents), green (adsorbed species), red (main intermediates), blue (gaseous products). An adsorbed species is indicated by an asterisk. A prime indicates a change in the adsorption position. An asterisk followed by Pt indicates that the adsorption is on the Pt particle. “TS” stands for “transition state”. **Bottom right:** Table containing the states of the system in each step of the ethanol synthesis reaction path. Color code: same than bottom left.

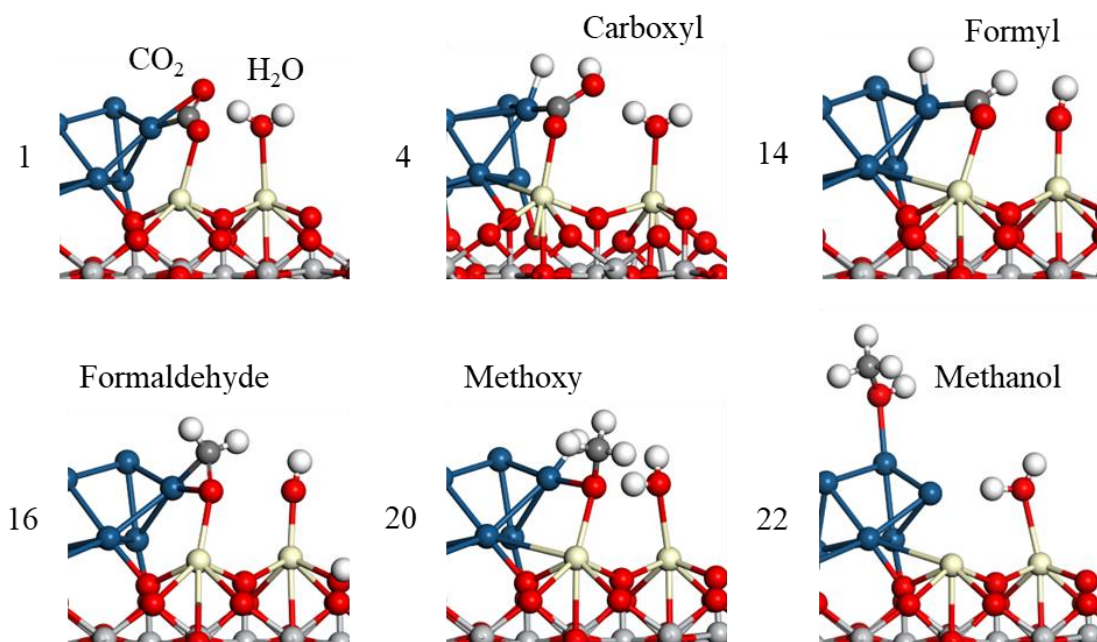


Figure 9. Several optimized structures corresponding to the main intermediates in the methanol synthesis path in the presence of water (numbers correspond to the steps in Figures 8 top and bottom left). Color code: Pt (blue), O (red), Ti (gray), Ce (cream), H (white).

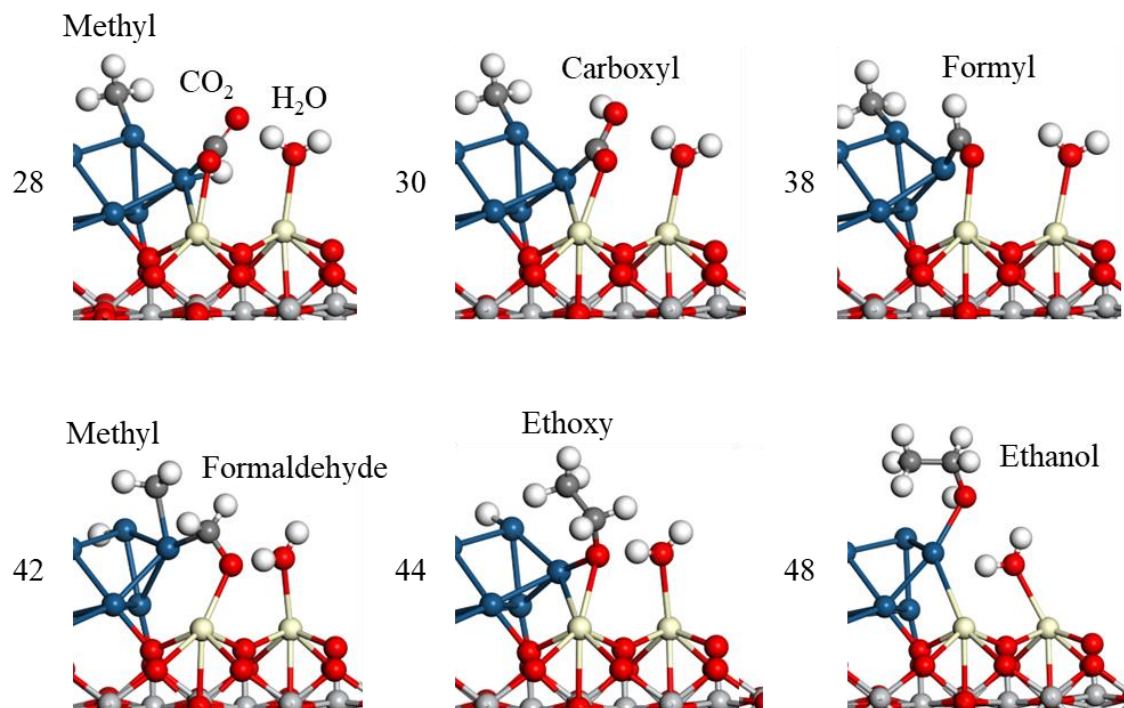


Figure 10. Some optimized structures corresponding to the main intermediates in the ethanol synthesis path in the presence of water (numbers correspond to the steps in Figure 8 top and bottom right). Color code: Pt (blue), O (red), Ti (gray), Ce (cream), H (white).

Conclusions

The results of kinetic experiments and AP-XPS data, together with DFT calculations, reveal that Pt and CeO_x nanoparticles deposited on a TiO₂(110) substrate produce a platinum-ceria-titania interface that exhibits a remarkable surface chemistry for the synthesis of alcohols through CO₂ hydrogenation. From the onset, due to its configuration and electronic properties, this interface shows an outstanding ability to activate CO₂ not seen in typical Cu-ZnO catalysts. The easiness of such activation reflects the stability of a CO₂-surface anchorage that involves formation of Pt-C and C-O-Ce bonds.

The Pt/CeO_x/TiO₂ system is an excellent catalyst for the hydrogenation of CO₂ to methanol with even a small amount of ethanol being produced. The results of AP-XPS, corroborated by DFT calculations, indicate that the active state of the catalyst under CO₂ + H₂ reaction conditions consists of a mixture of Ti⁴⁺, Ti³⁺, Ce³⁺, Pt⁰ and, Pt⁺, the later exclusively located on the layer in contact with the metal oxide. The addition of small quantities of water vapor significantly enhances the surface coverages of C-containing species (CH₃O, HCOO, CO₃, CH_x) and alcohol production. Although both methanol and ethanol production are improved, the presence of water preferentially raises the ethanol yield. The EtOH/MeOH ratio is always < 1, but it almost doubles in the presence of water.

The DFT simulations strongly suggest that the mechanism that leads to methanol formation follows a pathway through a CH₃O species, and that it is only plausible when Ce³⁺ and Pt are present. Here, the special electronic properties of ceria in contact with titania are important. The calculations also show that the presence of water increases the CO₂-support interaction and facilitates the hydrogenation of CO₂ favoring the formation of the key intermediate CH₃O at the Pt/CeO_x interface. Likewise, adsorbed water molecules help its full deoxygenation to form CH₃ species on Pt, facilitating the path to ethanol production. The theoretical modeling of the whole paths to methanol and ethanol in the presence of water indicates that the CH₃O species are precisely at the crossroad to methanol/ethanol synthesis.

Associated Content

Supporting information

Additional AP-XPS spectra for the interaction of CO₂, CO₂/H₂ and CO₂/H₂/H₂O with Pt/CeOx/TiO₂ surfaces. DFT calculated structures and energy changes for the reaction of CO₂/H₂/H₂O with Pt/CeOx/TiO₂. Detailed discussion of some key facts in the mechanisms for CO₂ activation and hydrogenation.

Acknowledgements

This work was supported by the U.S. Department of Energy, Office of Science, Office of Basic Energy Sciences, and Catalysis Science Program under contract No. DE-SC0012704. The authors are grateful to J.B. Park and J. Hrbek for their help with the STM images. S. Luo helped with preliminary studies of AP-XPS. The work done at the University of Sevilla was funded by the Spanish Ministerio de Ciencia e Innovación, grant number PID2019-106871GB-I00. This research used resources of the 23-ID-2 (IOS) beamline of the National Synchrotron Light Source II, a U.S. Department of Energy (DOE) Office of Science User Facility operated for the DOE Office of Science by Brookhaven National Laboratory under Contract No. DE-SC0012704.

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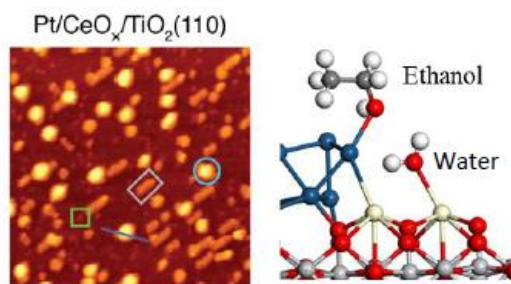
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