

1 **Microbial taxonomy run amok**

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31 **Abstract**

32 DNA sequencing has led to an explosion in discovery of microbial phylogenetic novelty, to
33 which the traditional system of prokaryotic taxonomy has not yet adapted. A lack of expansion
34 of the International Code of Nomenclature of Prokaryotes (ICNP) to effectively capture this
35 information has created a “wild west” situation where non-validated names are published or
36 appear in taxonomic databases. The rapid propagation of variant and questionable naming
37 methods has led to widespread confusion and undermines prior accomplishments. We exemplify
38 inconsistencies that have arisen from this practice that endanger the interoperability of scientific
39 findings. The immediate solution for this problem is to adopt universal best practices that are
40 accepted by expert researchers, major publishers, international microbiological societies, and the
41 ICNP.

42

43 **Main text**

44 Scientific inquiry in the microbial sciences relies frequently on interpreting an enormous amount
45 of DNA and RNA sequence information [1, 2]. One of the most significant research areas that
46 has blossomed due to next generation sequencing technologies is the study of diverse natural
47 microbial communities. The large sequence datasets can be assembled and then binned using
48 bioinformatic techniques into **metagenome-assembled genomes** (MAGs) [3], or **single cell**
49 **amplified genomes** (SAGs) generated from microbial cells physically separated from their
50 environment [4]. A meaningful analysis of sequence datasets requires determining the taxonomic
51 composition of the genomes of the sample under study. To make taxonomic assignments, the
52 analyses of these datasets either focus on a single **phylogenetic marker** (i.e., the 16S rRNA
53 gene) or a suite of universally conserved genes with taxonomic information [5]. Curated nucleic

54 acid **sequence databases** have been developed for this purpose such as the Ribosomal Database
55 Project (RDP) [6], Greengenes [7], and SILVA for 16S rRNA genes [8], and the Genome
56 Taxonomy Database (GTDB) [9] and the National Center for Biotechnology Information (NCBI)
57 taxonomy [10, 11] for sequenced genomes, MAGs and SAGs. The RDP assignments are
58 primarily based on the available taxonomy of 16S rRNA gene sequences representing cultured
59 organisms following taxonomic roadmaps outlined in Bergey's Manual of Systematics of
60 Archaea and Bacteria [12], and secondarily, a few well-studied uncultured groups. The SILVA
61 database and the GTDB include taxonomic names proposed by individual researchers for DNA
62 sequences of uncultured taxa to stay current with the literature.

63 Traditional prokaryotic **taxonomy** relies on cultivation and in-depth characterization of
64 **axenic cultures**, and the International Code of Nomenclature of Prokaryotes (ICNP) established
65 rigorous recommendations for validly publishing new or revising existing **nomenclature** [13,
66 14]. Over the past decades, this system of rules has built a robust taxonomic framework
67 facilitating research, communication, and policy around the globe. This traditional system is
68 challenged to accommodate an increasing number of sequences that lack cultured
69 representatives, and names that are coined outside the bounds of the code established by the
70 ICNP [15, 16]. Naming **uncultured (uncultivated) taxa** is justified, since the sequences belong
71 to real organisms that must be categorized and named in order to be studied efficiently.

72

73 MAGs and 16S rRNA gene amplicons representing uncultured taxa often dominate both
74 the diversity and abundance of microbial cells in environmental samples [1, 2, 17]. Furthermore,
75 a number of organisms can be grown in the laboratory but are either fastidious such as the highly
76 abundant ocean microbe SAR11 [18] or depend on intricate syntrophic interactions such as the

77 anaerobic methanotrophs ANME-1 [19]. Even though these organisms are technically cultured,
78 they do not meet the strict requirements of facile manipulation in axenic culture required for
79 official naming status by the ICNP [13]. Recently, the ICNP voted not to include genetic
80 material from uncultured or non-axenic organisms as type material, which is a prerequisite for
81 validly naming such taxa based on the well-established and rigid ICNP nomenclature rules that
82 anchor microbial taxonomy. Hence, *Candidatus* names, which are often given to such uncultured
83 or non-axenic taxa, remain provisional, are not regulated (and thus, often do not comply with
84 naming rules), and could be overwritten when somebody validly publishes a name for a
85 representative of the taxon based on an isolate [20]. This lack of inclusion could result in less
86 centralized approaches for developing a robust nomenclature for uncultured organisms.
87 Proposals for centralization have been put forth [15, 16, 21, 22], but none of them has yet been
88 adopted, leaving current research in limbo between competing nomenclatures.

89
90 The GTDB has developed a new taxonomy based on relative evolutionary distance
91 (RED) scores of high-quality genomes, with the main goal of establishing the same ranks across
92 taxa to encompass similar intra-rank evolutionary diversity [9]. The SILVA database has
93 partially incorporated the GTDB nomenclature, especially at the higher ranks (e.g., phylum and
94 class levels), and has included additional new taxon names not found in the published literature.
95 Unfortunately, these efforts to remain up to date with independently generated nomenclatures for
96 uncultured or non-axenic cultures do not follow the rigorous ICNP rules and increasingly impact
97 data analysis and data interpretation of cultured organisms, for which a nomenclature was
98 already established. Consequently, confusion among researchers at a level that will damage
99 research progress around the globe is emerging. As a representative example, GTDB proposes

100 that *Shigella flexneri* is renamed as *Escherichia flexneri* because the divergence of *S. flexneri*
101 from typical *E. coli* genomes is not high enough to justify a separate genus description. This
102 change of established nomenclature will impact clinical practice and effective communication
103 among researchers worldwide. RED scores are one aspect of taxonomic classification but should
104 not be used as the sole criterion for (re)classification. Perhaps more important, name changes
105 with far-reaching implications should only be made in consultation with experts working with
106 these organisms. We provide selected examples below, further illustrating how sequence data
107 analysis using the current database resources generates results, even for cultured organisms, that
108 are not supported by the published taxonomy or the scientific understanding of the biology of the
109 organisms under study.

110

111 For taxonomic classification of datasets that comprise sequences of uncultured, or, better,
112 **not-yet-cultured organisms**, or of organisms that can be maintained in the laboratory but no
113 isolates (i.e., axenic cultures) are available, the SILVA SSU rRNA gene database 138 (released
114 December 16, 2019) is the preferred resource for many researchers analyzing sequence data.
115 SILVA stays at the cutting edge of the (un)official nomenclature and states that it is a
116 “comprehensive on-line resource for quality checked and aligned ribosomal RNA sequence data”
117 (www.arb-silva.de). Greengenes utilizes nomenclature proposed from phylogenetic methods
118 applied prior to 2013 and the RDP has not been updated since 2016 (although a new release is
119 forthcoming). Therefore, both databases do not include more recently proposed and/or validated
120 names at the time of this writing and are therefore of limited utility for taxonomic analysis of
121 environmental samples.

122 SILVA 138 aligns with the GTDB in reporting name changes at higher taxonomic ranks,
123 including the complete reclassification of taxa previously associated with the class
124 *Deltaproteobacteria* (Table 1). This nomenclature deviates from established names, phenotypes,
125 and genotypes in the delineation of names for genera, despite being a lineage with many cultured
126 isolates with validly published names. Following the nomenclature established and approved by
127 the ICNP, the order *Desulfuromonadales* within the *Deltaproteobacteria* comprises the families
128 *Desulfuromonadaceae*, *Pelobacteraceae*, and *Geobacteraceae*, each comprising several well-
129 established genera such as *Geobacter* within the *Geobacteraceae*. Until the most recent release,
130 GTDB conserved existing nomenclature (i.e., family and genus names); however, it
131 distinguished phylogenetically distinct genera of sufficient intra-genus evolutionary diversity
132 into lettered subsets (e.g., *Geobacter-A*, *Geobacter-B*, etc.). This approach is also applied to
133 higher taxonomic ranks, and such names remained useful as they provided a means to identify
134 taxa as evolutionarily distinct even though they shared the same genus epithet. In contrast, the
135 latest releases of the SILVA 138 database and the GTDB do not follow this logic and both have
136 changed their previously established nomenclature, creating new genus names that have never
137 been published in the peer-reviewed literature. The family *Desulfuromonadaceae* now comprises
138 the genera *Desulfuromonas*, ‘*Candidatus Deferrimonas*’, and “*Trichloromonas*”
139 (“*Thrichloromonas*” in GTDB) (Table 1). There is no reference in the peer-reviewed literature to
140 the genera ‘*Candidatus Deferrimonas*’ or “*Trichloromonas*” at the time of this writing; the only
141 place where these genus names appear is in the SILVA 138 database and in the GTDB. In
142 addition, both of these “new genera” contain previously characterized bacterial isolates,
143 *Desulfuromonas soudanensis* and *Desulfuromonas michiganensis* for ‘*Candidatus Deferrimonas*’
144 and “*Trichloromonas*”, respectively. The ICNP classifies the family *Desulfuromonadaceae*

145 within the order *Desulfuromonadales*; however, this rank was eliminated in SILVA 138, for no
146 obvious reason. The GTDB maintained the order *Desulfuromonadales* but included the new
147 family “Trichloromonadaceae”, which lacks documentation in the peer-reviewed literature and
148 does not appear in SILVA 138.

149 Within the new order *Geobacterales*, SILVA and GTDB list two families: the
150 *Geobacteraceae* and the new family “Pseudopelobacteraceae” (Table 1). Associated with the
151 family “Pseudopelobacteraceae” are several validly published *Pelobacter* species, which are now
152 grouped under the new genus “Pseudopelobacter”. Another new genus is “Syntrophotalea” in the
153 family *Syntrophotaleaceae*, which also comprises published *Pelobacter* species (Figure 1).
154 Neither of these families or genera have any referenced use in the peer-reviewed literature at the
155 time of this writing. Within the SILVA 138 database, the family *Geobacteraceae* now includes
156 the genera *Geobacter*, “Citrifermentans”, “Geotalea”, and “Trichlorobacter” (Table 1, Figure 2).
157 The GTDB also adopts these genus names, but places “Trichlorobacter” in the
158 “Pseudopelobacteriaceae” family instead. “Citrifermentans” contains the well characterized
159 species *Geobacter bemidjiensis*, which recently was renamed *Geomonas bemidjiensis* [23]. Of
160 note, the only genera documented in the peer-reviewed literature are *Geomonas*, *Geobacter* and
161 “Trichlorobacter”, but the latter had been reclassified as *Geobacter* [24]. The genus designations
162 “Citrifermentans” and “Geotalea” do not appear in the peer-reviewed literature or in any
163 database other than in SILVA 138 and the latest version of the GTDB, even though two known
164 cultured representatives are assigned to these genera, *Geobacter bemidjiensis* and *Geobacter*
165 *uraniireducens*. The name “Citrifermentans” may indicate that members of this proposed genus
166 ferment citrate but this cannot be verified because no information is available in the literature, let
167 alone a validly published taxonomic description. Table 1 compares the SILVA, NCBI (also in

168 RDP), and GTDB nomenclatures of the *Geobacterales* and *Desulfuromonadales*, illustrating that
169 the SILVA 138 database and the GTDB incorporate taxa that do not follow a centralized
170 nomenclature. This issue is not limited to these orders and inconsistent naming occurs in other
171 orders and families listed in both databases.

172

173 The ICNP protects the taxonomic framework that has been painstakingly developed by
174 researchers around the globe over the past decades. Deep sequencing will continue to reveal new
175 diversity requiring a rational approach to include sequences without cultured representatives in
176 an overarching taxonomic framework. For the foreseeable future, the discovery of new
177 sequences will continue to outpace the traditional practice of **polyphasic taxonomy**, which
178 generates the problem: researchers need up-to-date databases for sequence analysis, putting
179 database curators under pressure to constantly refine and update the taxonomic framework for
180 the classification. The current ICNP rules for validly publishing names for new taxa cannot
181 accommodate this need as lengthy and labor-intensive experimental efforts are required.
182 Roadmaps have been proposed for naming uncultivated archaea and bacteria, an effort that
183 highlights the need for a sensible path forward to include not-yet-cultured organisms in a
184 taxonomic framework [15, 16, 21, 22]. Clearly, such an effort requires consensus and buy-in
185 from all stakeholders, and solo efforts cannot deliver productive solutions. Changing the
186 established taxonomic framework and nomenclature must follow scientific discourse and rules,
187 including the peer-review process. Curators of online databases are in a position of power
188 because they control a product many researchers around the world demand for data analysis. It
189 would be a disservice to the scientific community at large if the curators do not follow best
190 professional practice, or otherwise risk the constructed taxonomic framework to crumble without

191 providing the services and standardization the global scientific community is looking for. The
192 taxonomic framework of axenic cultures has been robust and provided invaluable services to the
193 international research community and policy makers, but it is apparent that the system has to
194 adjust in order to continue to fulfill its purpose and stay relevant. Opportunities exist to reconcile
195 fast-paced sequence discovery with a responsive taxonomic framework, and specific plans have
196 been proposed [16]. These efforts must adopt universal best practices that are accepted by the
197 global research community with buy-in from database curators, major publishers, professional
198 and international microbiological societies, and the ICNP.

199 Although it is generally accepted that a new taxonomic framework is needed to avoid
200 aberrations, reaching consensus among the various stakeholders has shown to be challenging
201 with slow progress. Considering the fast pace at which researchers discover new genetic
202 diversity of microorganisms, slowness is not an option if the goal is to maintain and build a
203 meaningful taxonomic framework. Even without consensus and an agreed upon framework with
204 guidelines and rules, adherence to professional best practices can bridge this current phase of
205 uncertainty and help coalesce the community. A number of points can help to ensure that
206 taxonomy stays relevant and can fulfill its purpose for the global research community,
207 practitioners and policy makers.

208

- 209 • Transparency: A rationale for each name change must be communicated in the peer-reviewed
210 literature. Name changes should have links to the previous nomenclature to allow continuity
211 of research, and ideally, take place in consultation with, or review by, the respective experts.
- 212 • The expansion of the existing classification should, to the extent possible, use identifiers
213 while maintaining the original nomenclature.

- 214 • New names without track record in the peer-reviewed should not be introduced.
- 215 • Naming based on polyphasic taxonomy versus sequence-only based description should be
216 apparent.
- 217 • A conservative approach should be applied and the existing polyphasic taxonomy upheld to
218 the extent possible.
- 219 • *Candidatus* names should be established for uncultured taxa based on the existing naming
220 guidelines and then be given equal priority to names of validly published isolates. This
221 practice will support a robust taxonomic framework during the current period, in which the
222 sequence-based discovery of microbial phylogenetic novelty outpaces cultivation and
223 polyphasic characterization. Of note, reclassification and name changes are possible should
224 subsequent polyphasic characterization and additional phylogenetic information justify
225 revisions [13].

226

227 Although incomplete, this list can guide database curators to implement a best practice approach
228 that should avoid “wild west” conditions before comprehensive reform of the existing ICNP
229 catalog of recommendations (rules) has been accomplished to accommodate the needs of modern
230 research. The expectation is that database curators engage in open dialog with all stakeholders to
231 build a best practice approach that can assist the ICNP in setting up a revised and workable
232 framework.

233

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239

240

241 **Glossary**

242

243 **Axenic culture:** A single, defined population growing in the absence of other organisms. Also
244 referred to as isolate or pure culture.

245

246 **Candidatus:** Provisional category to classify not-yet-cultured taxa of any rank.

247

248 **Classification:** The hierarchical grouping of organisms based on phylogenetic and phenotypic
249 data (i.e., the arrangement of organisms into groups [taxa] on the basis of their relationships).

250

251 **Metagenome-assembled genome (MAG):** A single-taxon genome assembly based on DNA-
252 sequence contigs binned from a metagenome.

253

254 **Nomenclature:** The application of a set of rules and conventions that govern the naming of
255 organisms. For bacteria and archaea, the International Code of Nomenclature of Prokaryotes
256 (ICNP) establishes these rules.

257

258 **Not-yet-cultured organisms:** A term recognizing that uncultured taxa (taxon, singular) can
259 potentially be cultivated with sufficient understanding of organismal biology.

260

261 **Phylogenetic marker:** A single gene (e.g., the 16S rRNA gene) or a set of conserved genes used
262 for taxonomic and phylogenetic profiling of a microbiome or an isolate.

263

264 **Polyphasic taxonomy:** A consensus approach that utilizes multiple phenotypic, morphologic
265 and genetic data in order to taxonomically describe taxa.

266

267 **Sequence databases:** Repositories that store and make available digital nucleic acid and/or
268 protein sequences. Databases differ in scope (comprehensive versus specialized), are generally
269 interlinked, and form the basis for big data biology.

270

271 **Single cell amplified genome (SAG):** Genome information generated from a single cell that has
272 been lysed and its genome amplified and sequenced.

273

274 **Taxonomy:** From Greek, taxis, arrangement, and nomia, method; taxonomy has three pillars,
275 identification, classification and nomenclature, and is the science and practice of identifying and
276 circumscribing, naming, and classifying microorganisms based on genomic and/or phenotypic
277 characteristics.

278

279 **Uncultured (uncultivated) taxa:** Real organisms existing in nature that have so far resisted
280 targeted cultivation *in situ* or in the laboratory (see not-yet-cultured organisms above). Note that
281 “unculturable taxa” is a misnomer because if the organisms exist in nature, conditions allowing
282 their growth exist and they can potentially be cultured.

Table 1. Comparison of the taxonomies of the family *Geobacteraceae* between the SILVA SSU rRNA gene 138 database, the RDP 11.5 database and the GTDB (Release 04-RS89). Yellow highlighted cells represent new higher rank names listed in the GTDB. Green highlights indicate new family and genus designations only found in the GTDB and the SILVA 138 database lacking any reference in the peer-reviewed literature. Text in red font indicates new names only found in the GTDB or names that do not match between SILVA and the GTDB. Text in blue font indicates a new published genus name *Geomonas* with no match in the GTDB or the SILVA database, and the asterisk indicates a validly published reclassification of *Geobacter bemidjensis* [23].

Reference Genome	Database	Phylum	Class	Order	Family	Genus
<i>Geobacter</i>	SILVA	Desulfobacterota	Desulfuromonadia	Geobacterales	Geobacteraceae	Citrifermentans
<i>bemedjiensis</i>	NCBI	Proteobacteria	Deltaproteobacteria	Desulfuromonadales	Geobacteraceae	Geomonas*
	GTDB	Desulfobacterota	Desulfuromonadia	Geobacterales	Geobacteraceae	Citrifermentans
<i>Geobacter uraniireducens</i>	SILVA	Desulfobacterota	Desulfuromonadia	Geobacterales	Geobacteraceae	Geotalea
	NCBI	Proteobacteria	Deltaproteobacteria	Desulfuromonadales	Geobacteraceae	Geobacter
	GTDB	Desulfobacterota	Desulfuromonadia	Geobacterales	Geobacteraceae	Geotalea
<i>Geobacter lovleyi</i>	SILVA	Desulfobacterota	Desulfuromonadia	Geobacterales	Geobacteraceae	Trichlorobacter
	NCBI	Proteobacteria	Deltaproteobacteria	Desulfuromonadales	Geobacteraceae	Geobacter
	GTDB	Desulfobacterota	Desulfuromonadia	Geobacterales	Pseudopelobacteriaceae	Trichlorobacter
<i>Pelobacter propionicus</i>	SILVA	Desulfobacterota	Desulfuromonadia	Geobacterales	Pseudopelobacteriaceae	Pseudopelobacter
	NCBI	Proteobacteria	Deltaproteobacteria	Desulfuromonadales	Desulfuromonadaceae	Pelobacter
	GTDB	Desulfobacterota	Desulfuromonadia	Geobacterales	Pseudopelobacteriaceae	Pseudopelobacter
<i>Pelobacter carbinolicus</i>	SILVA	Desulfobacterota	Desulfuromonadia		Syntrophotaleaceae	Syntrophotalea
	NCBI	Proteobacteria	Deltaproteobacteria	Desulfuromonadales	Desulfuromonadaceae	Pelobacter
	GTDB	Desulfobacterota	Desulfuromonadia	Desulfuromonadales	Syntrophotaleaceae	Syntrophotalea
<i>Desulfuromonas acetexigens</i>	SILVA	Desulfobacterota	Desulfuromonadia		Desulfuromonadaceae	Trichloromonas
	NCBI	Proteobacteria	Deltaproteobacteria	Desulfuromonadales	Desulfuromonadaceae	Desulfuromonas
	GTDB	Desulfobacterota	Desulfuromonadia	Desulfuromonadales	Trichloromonadaceae	Trichloromonas
<i>Desulfuromonas soudanensis</i>	SILVA	Desulfobacterota	Desulfuromonadia		Desulfuromonadaceae	Candidatus Deferrimonas
	NCBI	Proteobacteria	Deltaproteobacteria	Desulfuromonadales	Desulfuromonadaceae	Desulfuromonas
	GTDB	Desulfobacterota	Desulfuromonadia	Desulfuromonadales	Trichloromonadaceae	Deferrimonas

Pelobacter propionicus
Pelobacter carbinolicus

	NCBI	SILVA	GTDB
Phylum	Proteobacteria	Desulfuromonadota	Desulfuromonadota
Class	<i>Deltaproteobacteria</i>	<i>Desulfuromonadia</i>	<i>Desulfuromonadia</i>
Order	Desulfuromonadales	* <i>Desulfuromonadales</i>	<i>Geobacterales</i> <i>Desulfuromonadales</i>
Family	Desulfuromonadaceae	<i>Pseudopelobacteriaceae</i> <i>Syntrophotaleaceae</i>	<i>Pseudopelobacteriaceae</i> <i>Syntrophotaleaceae</i>
Genus	<i>Pelobacter</i>	<i>Pseudopelobacter</i> <i>Syntrophotalea</i>	<i>Pseudopelobacter</i> <i>Syntrophotalea</i>

Figure 1. Illustration of different taxonomic assignments of the two well characterized *Pelobacter* species *Pelobacter propionicus* (red font) and *Pelobacter carbinolicus* (green font) in the SILVA database and the GTDB compared to the NCBI taxonomy. The font colors track the name changes in the SILVA database and the GTDB. Highlighted in yellow are the name changes of lower taxonomic ranks (i.e., phylum, class and order) associated with the GTDB and the SILVA database. Note that SILVA has not designated an order for *Pelobacter carbinolicus* (indicated by the asterisk) but has done so for *Pelobacter propionicus*. Both SILVA and the current version of the GTDB use two unpublished family and genus names to accommodate *Pelobacter propionicus* and *Pelobacter carbinolicus*.

	NCBI	SILVA	GTDB
Phylum	Proteobacteria	Desulfuromonadota	Desulfuromonadota
Class	<i>Deltaproteobacteria</i>	<i>Desulfuromonadia</i>	<i>Desulfuromonadia</i>
Order	Desulfuromonadales	Geobacterales	Geobacterales
Family	Geobacteraceae	Geobacteraceae	Geobacteraceae <i>Pseudopelobacteriaceae</i>
Genus	<i>Geobacter</i> *	<i>Citrifermentans</i> <i>Geotalea</i> <i>Trichlorobacter</i>	<i>Citrifermentans</i> <i>Geotalea</i> <i>Trichlorobacter</i>

Figure 2. Illustration of different taxonomic assignments of the three well characterized *Geobacter* species *Geobacter bemidjiensis* (red font), *Geobacter uranireducens* (purple font), and *Geobacter lovleyi* (green font) in the SILVA database and the GTDB compared to the NCBI taxonomy. The font color tracks the name changes in the SILVA database and the GTDB. Highlighted in yellow are the name changes of lower taxonomic ranks (i.e., phylum, class and order) associated with the GTDB and the SILVA database. The GTDB places *Geobacter lovleyi* into the Pseudopelobacteriaceae, a family that has not been validly published. The asterisk indicates a validly published reclassification of *Geobacter bemidjiensis* to the new genus *Geomonas* [23]. As a result, four additional genus names are now associated with these three well known *Geobacter* species, but only one of these new genus designations has been validly published.

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Outstanding Questions Box

Is a unified taxonomic framework based on the current practice realistic considering that sequence-based discovery of new phylogenetic diversity will continue to outpace the cultivation of novel taxa?

What immediate steps can be taken to maintain the integrity of prokaryotic taxonomy?

Do opportunities exist to bring together a comprehensive group of international experts, and if not, what mechanisms exist to facilitate such meetings?

Can a committee of experts represent the interests of all stakeholders?