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2 Title: Characterization of CO₂ Flux Through Hollow-Fiber Membranes Using pH Modeling

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15 **Highlights:**

- 16 • A model was used to compute CO₂-transfer kinetics from real-time pH measurements
- 17 • CO₂-delivery kinetics for bubbleless gas-transfer membranes was assessed
- 18 • CO₂ flux increased approximately linearly with partial pressure in open-end mode
- 19 • Relationship of CO₂ flux to CO₂ partial pressure was non-linear for closed-end mode
- 20 • While K_L is constant, K_La decreased due to CO₂ depletion in closed-end mode

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38 **Abstract:**

39 CO₂ must be delivered efficiently during large-scale microalgal cultivation. Bubbleless mass-
40 transfer via diffusion through hollow-fiber membranes (HFM) can achieve much higher CO₂-
41 transfer efficiency than traditional sparging systems. This study developed and used a model to
42 compute accurate values for the CO₂ flux (J_{CO_2}), overall mass-transfer coefficient (K_L), and
43 overall volumetric mass-transfer coefficient (K_{La}) based on the rate of change of pH in batch
44 experiments. A composite HFM comprised of two macroporous polyethylene layers and a
45 nonporous polyurethane layer was tested for CO₂ transfer to a sodium carbonate solution using a
46 range of total pressures, inlet CO₂ concentrations, and open-end versus closed-end modes of
47 operation. The model accurately computed J_{CO_2} and K_{La} for pH values above 8. Key trends are
48 that (i) J_{CO_2} and K_{La} increased with increasing average inlet CO₂ partial pressure; (ii) open-end
49 HFMs performed better than closed-end HFMs when the supplied CO₂ was less than 100%; and
50 (iii) the available membrane area used for CO₂ mass-transfer decreased as the inlet CO₂ partial
51 pressure decreased due to depletion of CO₂ inside the membrane, especially for closed-end
52 HFMs, since inert gases could not be vented.

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54 **Keywords:** CO₂ delivery; Membrane carbonation; Hollow fiber membrane; Mathematical
55 modelling

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62 **Nomenclature**

63 a – Gas-liquid interfacial area per unit volume (m^{-1})

64 $[\text{Alk}]_0$ – Alkalinity at $t = 0$ (mol L^{-1})

65 ΔC – Driving force for CO_2 transfer

66 $C_{\text{CO}_2(\text{aq})}$ – CO_2 concentration in bulk liquid phase (mol L^{-1})

67 $C_{\text{CO}_2(\text{aq})}^*$ – Liquid-phase equilibrium concentration of CO_2 with gas phase (mol L^{-1})

68 C_T – Dissolved inorganic carbon concentration (mol L^{-1})

69 $C_{T,0}$ – Dissolved inorganic carbon concentration at $t = 0$ (mol L^{-1})

70 D – Membrane diameter (m)

71 H^{cp} – Henry's law constant ($\text{mol}_{\text{gas}} \text{L}_{\text{soln}}^{-1} \text{atm}^{-1}$)

72 $H^{\text{cp}*}$ - Effective Henry's law constant ($\text{mol}_{\text{gas}} \text{L}_{\text{soln}}^{-1} \text{atm}^{-1}$)

73 J_{CO_2} – CO_2 flux ($\text{g m}^{-2} \text{min}^{-1}$)

74 K_L – Overall mass-transfer coefficient (m/hr)

75 K_{La} – Overall volumetric mass-transfer coefficient (hr^{-1})

76 K – Hydration equilibrium constant

77 K_1 – First acid dissociation equilibrium constant

78 K_2 – Second acid dissociation equilibrium constant

79 K_w – Ionic product of water dissociation

80 l – Membrane length (m)

81 m_{CO_2} – Mass of CO_2 in solution (g)

82 MW_{CO_2} – Molar mass of CO_2 (g mol^{-1})

83 N_{CO_2} – CO_2 flux ($\text{mol m}^{-3} \text{min}^{-1}$)

84 P – Total gas pressure (kPa)

85 P_{CO_2} – Partial pressure of CO_2 (kPa)

86 SA – Mass-transfer surface area (m^2)

87 t – Time (min)

88 V – Reactor volume (L)

89 $[x]$ – Concentration of species x (mol L^{-1})

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91 **Greek Symbols**

92 α_0 – Ionization fraction of the equilibrium mixture, H_2CO_3^*

93 α_1 – Ionization fraction of bicarbonate, HCO_3^-

94 α_2 – Ionization fraction of carbonate, CO_3^{2-}

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108 **1. Introduction**

109 The cost of carbon dioxide (CO₂) delivery is an important factor in techno-economic
110 assessments for large-scale cultivation of microalgae. Because the cost of CO₂ can vary from
111 \$40 to \$230 per metric ton, CO₂ must be delivered efficiently [1–3]. One promising method to
112 achieve nearly 100% CO₂-transfer efficiency is using hollow-fiber gas-transfer membranes [4].
113 CO₂ diffuses through the walls of the bubbleless membranes based on a concentration gradient
114 caused by CO₂ uptake in the liquid medium. The rate of CO₂ delivery depends on the CO₂
115 concentration in the supplied gas, its concentration in the medium, and properties of the
116 membrane wall.

117 Highly permeable silicone-rubber membranes were evaluated in the past, but are
118 expensive and have thick walls that increase mass-transfer resistance [5]. Microporous
119 polypropylene (PP) also was attempted, but the gas pressure has to be maintained in a narrow
120 range to avoid bubble formation or water intrusion into the lumen [6]. The addition of a
121 nonporous layer, for example polydimethylsiloxane (PDMS) coated PP fibers, prevents bubble
122 formation allowing for high internal gas pressures [7], but PDMS-coated fibers are expensive
123 [7]. Mitsubishi-Rayon Co. (Japan) manufactures composite hollow-fiber membranes (HFM)
124 with a nonporous polyurethane core (1- μ m thick) surrounded by two macroporous polyethylene
125 layers. The dense polyurethane core allows bubbleless gas transfer over a wide range of lumen
126 pressures, which offsets any gas-transfer resistance of the nonporous layers [8,9]. Using
127 bubbleless gas-transfer of CO₂, called membrane carbonation (MC), allows precise control of the
128 CO₂-delivery rate and minimal loss of CO₂ to the atmosphere [4].

129 No matter the membrane type, an accurate and rapid method to evaluate the flux of CO₂
130 delivery from the HFM into the liquid is valuable in evaluating membranes and operating

131 strategies. One method is based on the real-time measurement of pH changes as CO₂ is
132 delivered into a carbonate solution. This method takes advantage of the fact that dissolved
133 inorganic carbon (DIC) exists as several inter-convertible chemical forms based on the pH of the
134 solution: dissolved carbon dioxide (CO_{2(aq)}), carbonic acid (H₂CO₃), bicarbonate (HCO₃⁻), and
135 carbonate (CO₃²⁻) [10,11]. CO₂ dissolves in water to form CO_{2(aq)}, which is converted to H₂CO₃.
136 The sum of H₂CO₃ and CO_{2(aq)} is termed H₂CO₃*. When the water contains base (or alkalinity),
137 H₂CO₃* dissociates to form HCO₃⁻ and then CO₃²⁻ if enough base is present.

138 The concentration of gaseous CO₂ in the membrane lumen, the concentration of dissolved
139 CO₂ in the liquid, and the hydrodynamic conditions of the culture play important roles in the
140 CO₂ transfer rate. The CO₂ concentration in the inlet gas stream can range from 0.04%
141 (atmospheric CO₂ partial pressure) to 100%. However, mass transfer is limited when
142 atmospheric air is used, since the concentration of CO₂ is very low (400 ppm) [10,12].
143 Increasing the CO₂ concentration in the gas's influent stream can increase the rate of CO₂
144 transfer to the culture medium. Thus, changing the CO₂ partial pressure inside the fiber is one of
145 the factors that changes the rate of gas-transfer, permitting accurate control over CO₂ delivery
146 capacity [4,13].

147 Typically, gas is supplied through one end of the HFM fiber. An important distinction is
148 how the other end of the fiber is managed. HFMs for microalgal cultivation can be operated as
149 open-end or closed-end. The implications of each choice are profound in terms of CO₂-transfer
150 efficiency and rate.

151 In a closed-end HFM, all the CO₂ supplied to the membrane diffuses across the
152 membrane's wall into the liquid phase. Since no CO₂ exits from the far end, closed-end
153 operation allows for 100% CO₂ transfer efficiency [14]. However, when the inlet gas is not pure

154 CO₂, the CO₂ partial pressure in the lumen decreases along the fiber length due to the selective
155 retention of inert gases (e.g., N₂ and O₂ in flue gas) [8,9,14,15]. In addition, inert gases in the
156 liquid medium can diffuse into the lumen: e.g., water vapor, N₂, and O₂. The buildup of inert
157 gases affects CO₂ transfer rates, since the distal end of the fiber lumen may become devoid of
158 CO₂, thus reducing the average CO₂ partial pressure along the fiber length [14]. Back-diffusion
159 of water vapor also poses a concern as condensation inside the lumen may occur and adversely
160 affect the performance of the fibers [8].

161 In an open-end HFM, gas continually flows through the membrane, which minimizes the
162 buildup of any inert gases by sweeping them out from the open end of the lumen. Thus, the
163 CO₂-concentration can be nearly uniform along the fiber length, which means that the average
164 CO₂ concentration does not decline due to the buildup of inert gases. The disadvantage of an
165 open-end HFM is that CO₂-transfer efficiency is sacrificed, because CO₂ is vented out the distal
166 end [9,14].

167 The first objective of this work is to develop a rapid method to quantify CO₂ transfer
168 rates and mass-transfer coefficients for HFMs. The method is based on real-time measurement
169 of pH changes as CO₂ is delivered into an abiotic carbonate solution. A mathematical model
170 relates the pH change to the concentration of CO₂ delivered to the solution. The second
171 objective is to apply the model to evaluate CO₂-delivery performance when delivering CO₂ from
172 a broad range of sources and with closed- or open-end operation. This method of determining
173 CO₂ fluxes is advantageous, because measuring pH is non-destructive, rapid, and inexpensive.
174 The model can reflect the effects of CO₂ concentration in solution, as well as in the HFM lumen.

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177 2. Materials and Methods

178 2.1. Experimental Setup

179 The experimental setup for abiotic testing is shown in Figure 1. The solution used for the
180 experiments was 5 mM sodium carbonate prepared using deionized (DI) water and sodium
181 carbonate powder (EMD, USA). The initial pH of the solution was ~10.8. The solution was
182 poured into a “500-mL” glass bottle (PYREX, Germany) that had a total capacity of 700 mL
183 when filled to the top to minimize headspace. A magnetic stir bar and stir plate (Super-Nuova,
184 Thermo Fisher Scientific, USA), set at 600 rpm, were used to ensure well-mixed conditions
185 inside the bottle. The glass bottle was sealed with a lid modified to have openings for a pH
186 probe and membrane module connections to minimize gas exchange with the surrounding air.
187 Polyurethane tubing (Surethane NSF-51, ATP, USA) was used as the connection tubing through
188 which gas was supplied.

189 Various compositions of gases were used: 100% CO₂, 90% CO₂, 50% CO₂, and 10%
190 CO₂. The balancing gas in the latter three cases was air (~79% N₂ and 21% O₂). Gas was
191 supplied from a gas cylinder (PRAXAIR, USA) with the pressure being controlled by a
192 regulator. Gas was supplied at 69 kPa-gauge for all experiments. The volumetric flow rate,
193 mass flow rate, temperature, and pressure at the inlet and outlet of the system was recorded using
194 flow meters (MC Series, ALICAT Scientific, USA). The pH of the solution was measured using
195 a glass electrode pH meter (Lab 860 pH meter, Schott Instruments, Germany).

196 A composite HFM (Model MHF 200TL, Mitsubishi-Rayon Co., Ltd., Japan) that
197 consisted of a 1 µm-thick, nonporous polyurethane inner layer sandwiched between two
198 macroporous polyethylene layers (thickness of 40 µm and pore size of 0.1 – 0.15 µm) was used.
199 The dense, nonporous polyurethane layer blocks any continuous pores, allowing for high

200 operating pressures in the lumen, but without bubble formation. The length of the composite
201 HFM varied from 13 to 39 cm, depending on the experiment.

202 For experiments conducted with modules consisting of 96 fibers and 64 fibers, a urethane
203 adhesive (3M Scotch-Weld 3532 B/A) was used to glue the ends of the fibers together in a piece
204 of polyethylene tubing. However, cutting fibers to a specific length can pinch or seal some of
205 the fibers, leading to their loss of gas-transfer utility. Thus, later experiments, conducted with 32
206 fibers, employed a potting method that used a liquid resin (Max Bond low viscosity industrial
207 strength adhesive) to encase the fibers prior to cutting, which eliminated pinching of fibers
208 [16,17]. The model for computing CO₂-transfer kinetics was equally valid for all HFMs,
209 regardless of potting method.

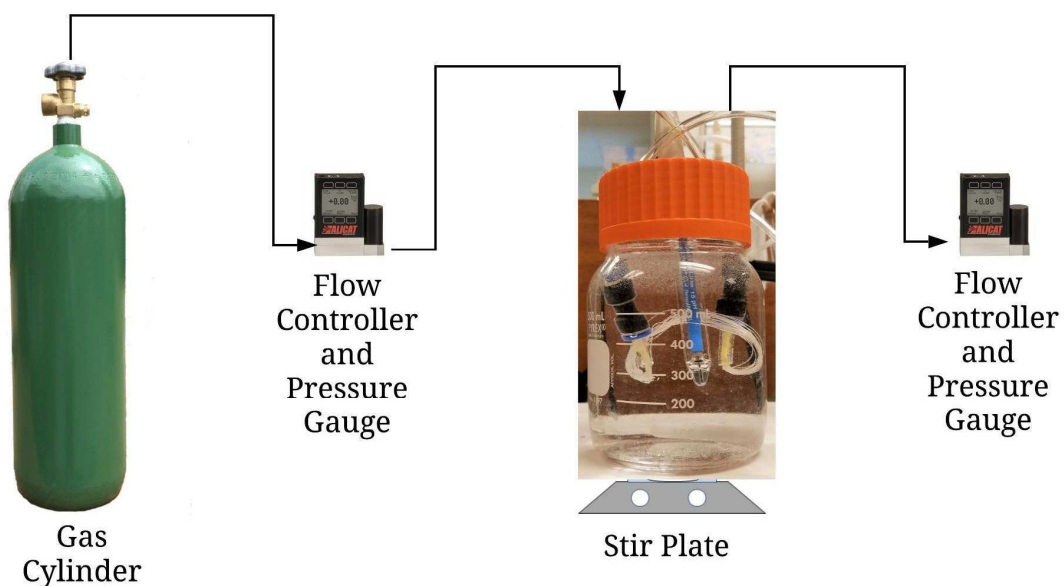
210 Experiments involved mass transfer of CO₂ to the bulk liquid. Uptake of CO₂ increased
211 the DIC and decreased the pH from the starting value of ~10.8. Experiments were conducted
212 until the pH of the solution dropped to 7. Experiments were conducted at room temperature,
213 which was $25 \pm 1^\circ\text{C}$. To remove any residual gas present in the lumen of the membranes, the
214 HFM modules were flushed for about 10 minutes and pressurized with the experiment gas prior
215 to immersion in solution.

216 In experiments to verify the accuracy of the model developed to quantify the CO₂ flux
217 through the membrane module (Section 2.4), samples were taken from the sodium carbonate
218 solution at regular pH intervals to measure DIC using a total-organic-carbon analyzer (TOC-V,
219 Shimadzu Corp., Japan). 15-mL samples were taken in glass falcon tubes at pH intervals of 0.25
220 between the pH range 8 – 10. Normally, 15 mL of acidified DI water must be used to dilute the
221 samples in order to run them through the TOC-V instrument, since DI-water dilution reduces the
222 lifetime of the catalyst used in the instrument. However, acidified DI water resulted in CO₂ off-

223 gassing from the samples before they could be injected into the TOC instrument. Thus, samples
224 were diluted with non-acidified DI water for a set of experiments to confirm the accuracy of DIC
225 computations. One advantage of using the model to convert pH to DIC is that it provides flux
226 and mass-transfer coefficient without DIC analyses. This provides accurate, real-time values for
227 DIC while avoiding harm to the TOC-V analyzer.

228 Off-gassing of dissolved CO₂ from the solution occurred simultaneously with CO₂
229 delivery when the pH of the solution dropped below equilibrium with atmospheric CO₂, at
230 approximately 8.8. The driving force for off-gassing became large enough to see its impact for
231 pH less than about 7.5. To ensure that CO₂ was lost from solution was negligible, only
232 measurements for pH ≥ 8 were utilized.

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235 **Figure 1.** Experimental setup used for abiotic testing.

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2.2. Mass-Transfer Theoretical Considerations

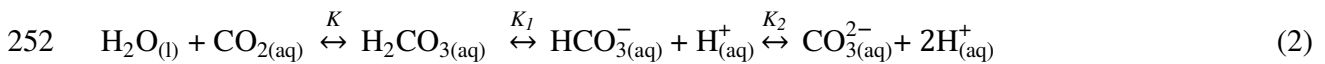
The rate at which CO₂ is transferred from the gas phase to the liquid phase (N_{CO_2} , mol m⁻³ min⁻¹) is proportional to the driving force, i.e., the concentration gradient and the mass-transfer area. This rate can be calculated by the following mass balance:

$$N_{CO_2} = \frac{dC}{dt} = K_L a (C_{CO_2(aq)}^* - C_{CO_2(aq)}) \quad (1)$$

where $K_L a$ (min⁻¹) is the overall volumetric mass-transfer coefficient for CO₂, K_L is the mass-transfer coefficient (m min⁻¹), a denotes the gas-liquid interfacial area per unit volume of liquid (m⁻¹), $C_{CO_2(aq)}$ (mol L⁻¹) is the concentration of CO₂ dissolved in the bulk liquid, and $C_{CO_2(aq)}^*$ (mol L⁻¹) is the liquid-phase equilibrium concentration of CO₂ with the gas phase. $K_L a$ lumps all resistances, including the lumen side, the membrane wall, and the water side [18].

2.3. Carbonate Equilibrium

When gaseous CO₂ dissolves in water, it undergoes three chemical reactions involving four chemical species, as shown in the equilibria in Eq. 2:



The law of mass action can be applied to any of the reactions in Eq. 2, e.g.,

$$K = \frac{[H_2CO_3]}{[CO_2]} \quad (3)$$

At 25°C, K is equal to 650 [19]. Eq. 3 can then be simplified to,

$$[CO_{2(aq)}] = 650 [H_2CO_3] \quad (4)$$

Less than 0.3% of CO₂ reacts with water to form carbonic acid; thus, the concentration of dissolved CO₂ (i.e., $[CO_{2(aq)}]$) is much greater than that of H₂CO₃, and a large fraction of un-

259 ionized carbon dioxide is present in the form of $CO_{2(aq)}$ [11,19,20]. According to convention, the
 260 equilibrium mixture, $H_2CO_3^*$, is

$$261 \quad [H_2CO_3^*] = [CO_{2(aq)}] + [H_2CO_3] \quad (5)$$

262 Due to the low concentration of H_2CO_3 compared to $CO_{2(aq)}$, substituting Eq. 5 into Eq. 4 yields

$$263 \quad (0.998) \times [H_2CO_3^*] = [CO_{2(aq)}] \quad (6)$$

264 Similar to Eq. 3, the equilibrium constants for all the reactions shown in Eq. 2 can be
 265 defined as

$$266 \quad K_1 = \frac{[H^+][HCO_3^-]}{[H_2CO_3^*]} \quad (7)$$

$$267 \quad K_2 = \frac{[H^+][CO_3^{2-}]}{[HCO_3^-]} \quad (8)$$

268 The mass balance equation to compute DIC, or the sum of all the dissolved inorganic
 269 carbon species in solution, is

$$270 \quad C_T = [H_2CO_3^*] + [HCO_3^-] + [CO_3^{2-}] \quad (9)$$

271 If no other acid or base species is present, a charge-balance or proton-condition equation is

$$272 \quad [H^+] = [OH^-] + [HCO_3^-] + 2[CO_3^{2-}] \quad (10)$$

273 The ionization fractions (α values) for $H_2CO_3^*$, HCO_3^- , and CO_3^{2-} can be calculated
 274 based on Eqs. 7 – 10,

$$275 \quad \alpha_0 = \alpha_{H_2CO_3^*} = \frac{[H^+]^2}{[H^+]^2 + [H^+]K_1 + K_1K_2} = \frac{[H_2CO_3^*]}{C_T} \quad (11)$$

$$276 \quad \alpha_1 = \alpha_{HCO_3^-} = \frac{[H^+]K_1}{[H^+]^2 + [H^+]K_1 + K_1K_2} = \frac{[HCO_3^-]}{C_T} \quad (12)$$

$$277 \quad \alpha_2 = \alpha_{CO_3^{2-}} = \frac{K_1K_2}{[H^+]^2 + [H^+]K_1 + K_1K_2} = \frac{[CO_3^{2-}]}{C_T} \quad (13)$$

278 where the subscript on α represents the number of protons lost from the most protonated species

279 [11].

280 The driving force for carbon dioxide transfer (ΔC) is based on the measured dissolved
 281 CO_2 concentration ($C_{\text{CO}_2(\text{aq})}$) and the CO_2 concentration in the liquid phase that would equilibrate
 282 the gas phase ($C_{\text{CO}_2(\text{aq})}^*$). $C_{\text{CO}_2(\text{aq})}$ was calculated using Eqs. 6 and 11. The partial pressure of
 283 carbon dioxide (P_{CO_2}) was computed using the composition of carbon dioxide used in the inlet
 284 stream and the pressure of the gas supplied. Eq. 14 shows that $C_{\text{CO}_2(\text{aq})}^*$ can be calculated using
 285 Henry's law.

$$286 \quad C_{\text{CO}_2(\text{aq})}^* = H^{cp} \times P_{\text{CO}_2} = H^{cp} \times \% \text{CO}_2 \times P \quad (14)$$

287 where H^{cp} is the Henry's law constant.

288 The analytical definition of alkalinity, $[\text{Alk}]_0$, is:

$$289 \quad [\text{Alk}]_0 = [\text{HCO}_3^-] + 2 [\text{CO}_3^{2-}] + [\text{OH}^-] - [\text{H}^+] \quad (15)$$

290 The total alkalinity was fixed at 10 mM for all experiments.

291 To relate the aqueous-phase concentrations to P_{CO_2} , Eq. 14 can be substituted into Eqs. 7
 292 and 8 to obtain:

$$293 \quad [\text{HCO}_3^-] = \frac{K_1 H^{cp} P_{\text{CO}_2}}{[\text{H}^+]} \quad (16)$$

$$294 \quad [\text{CO}_3^{2-}] = \frac{K_1 K_2 H^{cp} P_{\text{CO}_2}}{[\text{H}^+]^2} \quad (17)$$

295 Eq. 15 can then be substituted into Eq. 9 to obtain:

$$296 \quad C_T = H^{cp} P_{\text{CO}_2} \left(1 + \frac{K_1}{[\text{H}^+]} + \frac{K_1 K_2}{[\text{H}^+]^2} \right) \quad (18)$$

297 Since more inorganic carbon is dissolved than is expected from Henry's law for $\text{CO}_2(\text{aq})$
 298 alone, the effective Henry's law constant can be defined as:

$$299 \quad H^{cp*} = H^{cp} \left(1 + \frac{K_1}{[\text{H}^+]} + \frac{K_1 K_2}{[\text{H}^+]^2} \right) \quad (19)$$

300 $H^{cp*} > H^{cp}$ because the dissolved carbon dioxide can be stored in the form of bicarbonate or
 301 carbonate. If the solution consisted of only $CO_{2(aq)}$, then $H^{cp*} = H^{cp}$. Since carbon speciation in
 302 the solution depends heavily on pH, H^{cp*} at pH > 8 will be significantly higher than H^{cp} . At pH 8
 303 and a temperature of 25°C, the value of H^{cp*} is $14.8 \text{ mol m}^{-3} \text{ kPa}^{-1}$ ($1.5 \text{ mol L}^{-1} \text{ atm}^{-1}$), while
 304 the value of H^{cp} is $0.336 \text{ mol m}^{-3} \text{ kPa}^{-1}$ ($0.034 \text{ mol L}^{-1} \text{ atm}^{-1}$).

305

306 **2.4. Numerical Model for Flux and Mass-Transfer Coefficient**

307 First, the increase in the total concentration of DIC (C_T) was computed based on the total
 308 alkalinity ($[Alk]_0 = 10 \text{ mM}$), which was constant during an experiment, and the change in pH
 309 over time due to the acidification effect of CO_2 addition. The concentration of protons in the
 310 solution ($[H^+]$) was calculated based on the measured pH of the solution using Eq. 20.

$$311 [H^+] = 10^{-\text{pH}} \quad (20)$$

312 and the concentration of OH^- was computed from the mass-action equation for water
 313 dissociation, Eq. 21.

$$314 K_W = [H^+] [OH^-] \quad (21)$$

315 The ionization fractions for $H_2CO_3^*$, HCO_3^- , and CO_3^{2-} ($\alpha_{H_2CO_3^*}$, $\alpha_{HCO_3^-}$, and $\alpha_{CO_3^{2-}}$) were
 316 computed from Eqs. 11 – 13. Then, C_T was then calculated using Eq. 22, which was derived by
 317 rearranging the analytical definition of alkalinity and substituting Eqs. 11, 12, 13, and 21.

$$318 C_T = \frac{[Alk]_0 - \frac{K_W}{[H^+]} + [H^+]}{\alpha_1 + 2\alpha_2} \quad (22)$$

319 The mass of DIC in the media expressed in grams of carbon dioxide (m_{CO_2}) present in the
 320 solution was then calculated based on C_T and the reactor volume (V), as shown in Eq. 23.

$$321 m_{CO_2} = MW_{CO_2} \times C_T \times V \quad (23)$$

322 Having m_{CO_2} and time (t), the transfer rate of CO_2 (J_{CO_2}) into the solution was then
 323 computed in units of g- CO_2 m⁻² of fibers per unit time using Eq. 24. The surface area (SA) of the
 324 membrane module was computed using Eq. 25, which is based on the diameter of the HFM (D ,
 325 m), the length of one fiber (l , m), and the number of fibers used (n). The change in concentration
 326 of DIC over time could be calculated using Eq. 26. The concentration of DIC present in the
 327 solution at $t = 0$ ($C_{T,0}$) was 0.005 M.

$$328 \quad J_{CO_2} = \frac{(m_{CO_2})_{i+\Delta t} - (m_{CO_2})_i}{SA \times \Delta t} \quad (24)$$

$$329 \quad SA = \pi n l D \quad (25)$$

$$330 \quad N_{CO_2} = \frac{(m_{CO_2})_{i+\Delta t} - (m_{CO_2})_i}{V \times \Delta t} \quad (26)$$

331 Table 1 states the values for the constant parameters used in the model.

332

333 **Table 1.** Values of the constants in the model

Parameter	Symbol	Value	Units	Reference
Ionic product of water	K_w	10^{-14}	mol ² dm ⁻⁶	[19]
Hydration equilibrium	K	0.0016	–	[19]
First dissociation constant	K_1	6.3	–	[11]
Second dissociation constant	K_2	10.3	–	[11]
Henry's law constant	H^{cp}	0.336	mol m ⁻³ kPa ⁻¹	[21]
Reactor volume	V	0.7	L	Experimental
Carbon dioxide molecular weight	MW_{CO_2}	44	g mol ⁻¹	–

334

335 Having C_T and N_{CO_2} , K_La was computed using the mass balance in Eqs. 1 and 26.

$$336 \quad K_La = \frac{N_{CO_2}}{C_{CO_2(aq)}^* - C_{CO_2(aq)}} \quad (27)$$

337 K_L was then calculated from:

$$338 \quad K_L = \frac{J_{CO_2}}{\Delta C} \quad (28)$$

339 The effective gas-liquid interfacial area per unit volume (a) was then computed from Eq. 29.

$$340 \quad a = \frac{K_La}{K_L} \quad (29)$$

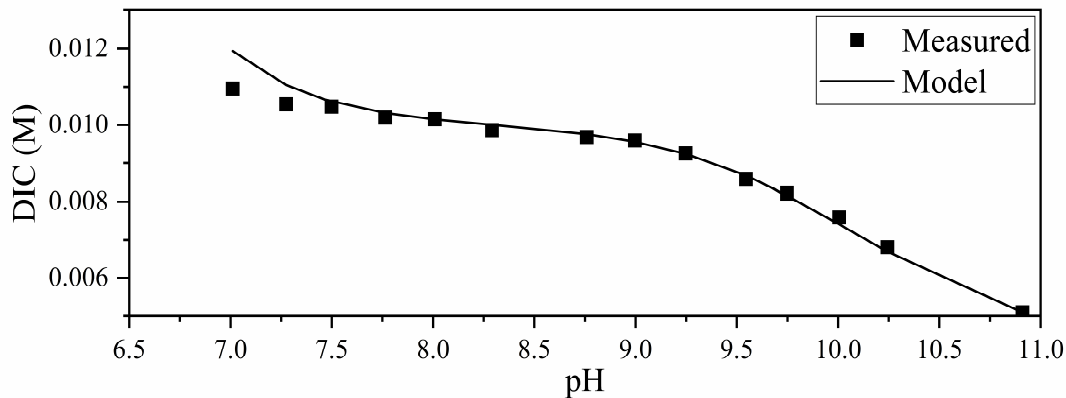
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342 **3. Results and Discussion**

343 **3.1. Model Accuracy**

344 Figure 2 presents the results for the experiment in which the DIC concentration was
345 measured in parallel to the pH measurements to evaluate the accuracy of the model. The model-
346 predicted DIC concentrations matched the measured DIC values for pH values down to
347 approximately 7.5, when the experimental data deviated from the predicted DIC concentrations.
348 At low pH, the solution was super-saturated with CO_2 compared to its concentration in
349 equilibrium with atmospheric carbon dioxide (~400 ppm), and some CO_2 off-gassed before the
350 sample could be analyzed. The solution could absorb CO_2 from the atmosphere at very high pH
351 to approach equilibrium with atmospheric CO_2 , but the result in Figure 2 does not indicate
352 significant absorption. To ensure that CO_2 off-gassing or absorption were not affecting the
353 analyses, the pH range for computing C_T and K_La was restricted to 10 to 8, where model-
354 predicted DIC values definitely were accurate.

355



356

357 **Figure 2.** Comparison of DIC concentrations computed by the model versus measured values
 358 using open-end HFM modules of 32 fibers of 0.18 m in length, supplied with 90%
 359 CO₂, and diluting samples with non-acidified DI water.

360

361 **3.2. Open- vs Closed-End Fibers**

362 Table 2 compares the model-computed and directly measured CO₂ fluxes for open versus
 363 closed HFMs supplied with 90% CO₂. The membrane-module configuration using 32 fibers,
 364 0.18 m in length, was used to produce the results shown in Figure 2 and Table 2. Again,
 365 measured and computed fluxes were nearly the same. The most important trend is that the CO₂
 366 flux was about 3-fold greater for the open-end fibers. This difference was caused by the buildup
 367 of inert gases (N₂, O₂, and H₂O vapor) with closed-end operation, which caused the CO₂
 368 concentration to decrease along the fiber lumen away from the source of gas supply. As a result,
 369 the distal end of the membrane lumen lost the capacity to transfer CO₂ to the liquid. In contrast,
 370 an open-end fiber did not have a buildup of inert gases, since the inert gases were vented out.
 371 The disadvantage of an open-end module is that high CO₂-transfer efficiency can be low because
 372 a large amount of CO₂ is vented.

373

374 **Table 2.** Comparison of model-computed and directly measured DIC-based carbon dioxide
375 fluxes between pH 10 – 8 for a module consisting of 32 fibers, 0.18 m in length
376 operated in open-end mode and closed-end mode, supplied with 90% CO₂ at 69 kPa-
377 gauge.

Operating Condition	pH 10 – 8 Flux (g m ⁻² day ⁻¹)	
	Measured	Predicted
Open-end	2200	2000
Closed-end	590	640

378

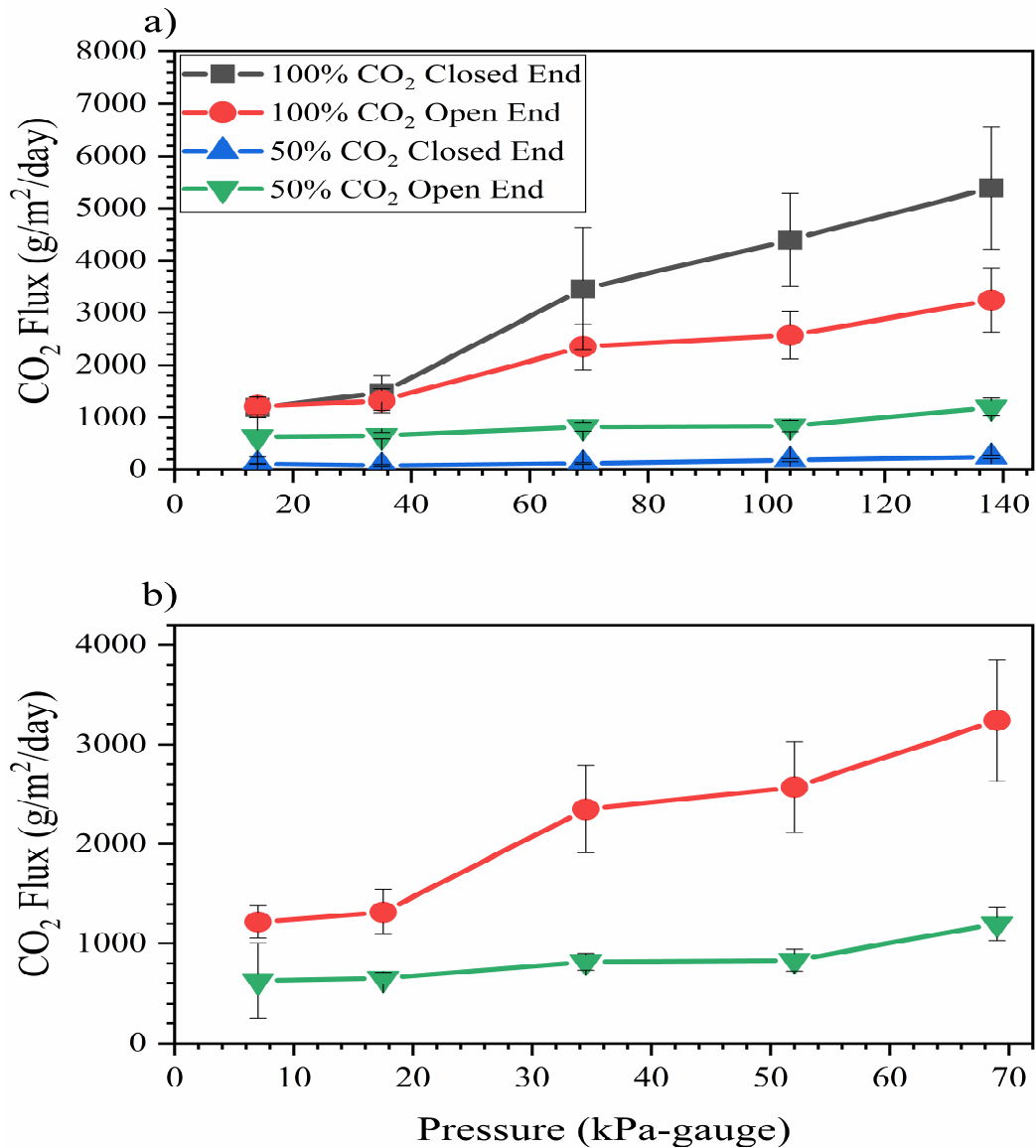
379 The pressure dependence of CO₂ flux is shown in Figure 3a for open-end and closed-end
380 fibers supplied with either 100% or 50% CO₂. For 100% CO₂, higher CO₂ partial pressure
381 clearly increased the driving force for mass transfer in a roughly linear manner, and the open-end
382 fibers had lower CO₂ fluxes for all but the lowest pressure. The open-end HFM had lower CO₂
383 flux for 100% CO₂ because it experienced a large pressure drop across the membrane's length:
384 the pressure of the effluent gas was close to atmospheric pressure, or 0 kPa-gauge, which means
385 that the average gas pressure inside the lumen was approximately 34.5 kPa-gauge. Figure 3b
386 plots the fluxes versus the average total gas pressure. For 100% CO₂, the linear proportionalities
387 between CO₂ flux and CO₂ pressure were nearly identical (~ 33 g m⁻² day⁻¹ kPa⁻¹) when the
388 average pressure was taken into account.

389 The fluxes with 50% CO₂ were substantially lower than for 100% CO₂ and did not
390 increase significantly with gas pressure for closed-end and open-end HFMs. The decrease in
391 flux, compared to 100% CO₂, was much larger for closed-end operation, because the buildup of
392 inert gases became the dominant effect, leading to lower flux across the membrane wall. In fact,

393 the effect of inert-gas accumulation in the closed-end mode affected flux to such a large degree
394 that the closed-end flux was much smaller even though the lumen in the open-end mode
395 experienced a large pressure drop, which is accounted for in Figure 3b. The lack of sensitivity to
396 inlet pressure probably was due to added mass-transfer resistance caused by the buildup of inert
397 gases in the fiber's lumen; the inert gases created a diffusion layer for CO₂ within the lumen.

398

399



400

401 **Figure 3.** Pressure dependence of CO₂ flux for open-end or closed-end membrane modules

402 consisting of 96 fibers, 0.21 m in length based on a) total gas inlet pressure for

403 closed-end and open-end operation, and b) average total gas pressure across the

404 lumen for open-end operation. Error bars represent the standard deviation of the CO₂

405 fluxes computed by the model from the data along the time course of each

406 experiment.

407

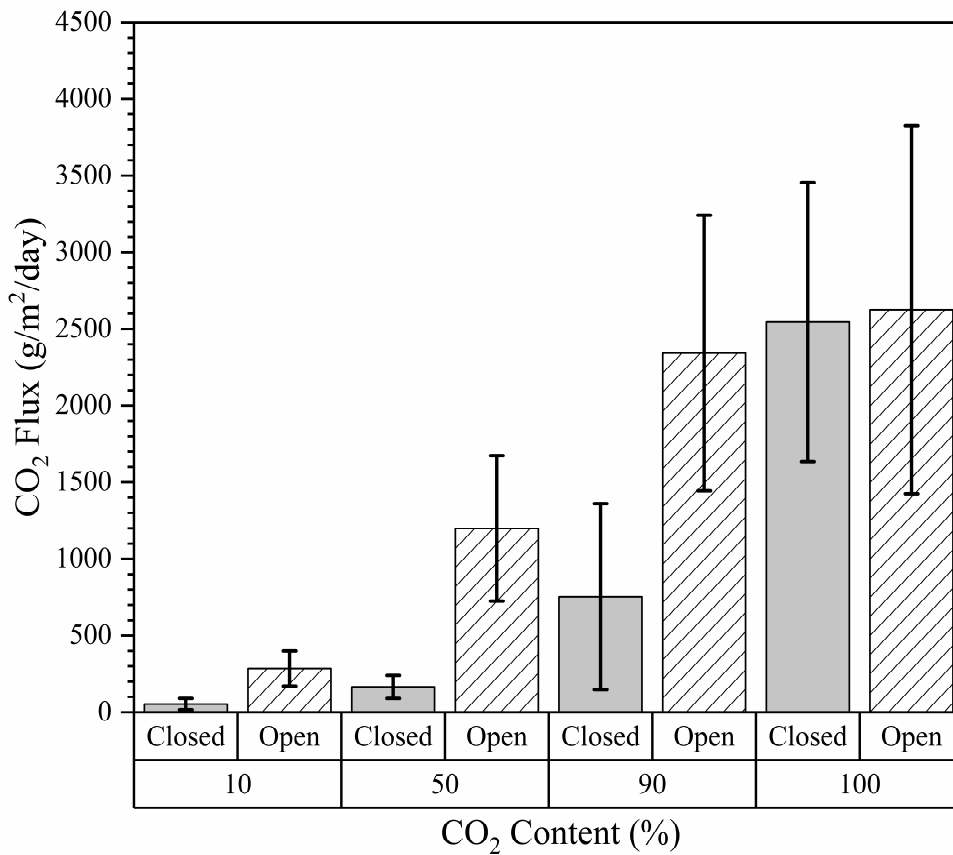
408 **3.3. CO₂ Partial Pressure**

409 The effect of the CO₂ concentration in the inlet gas on HFM transfer rates is shown in
410 Figure 4. Consistent with Figure 2 and Table 2, the membrane module used here consists of 32
411 fibers, 0.18 m in length. As expected, the CO₂ flux decreased as the concentration of CO₂ in the
412 inlet stream decreased. For CO₂-enriched air (i.e., $\leq 90\%$ CO₂), an open-end HFM performed
413 better than a closed-end HFM, due to the accumulation of inert gases in the fiber lumen in the
414 closed-end mode; inert gases were vented out the distal end of the fiber in the open-end mode.
415 For pure CO₂, the performance of the closed-end module was greatly improved and similar to
416 that of open-end mode, suggesting that the accumulation of inert gases was not significant with
417 100% CO₂.

418

419

420



421

422 **Figure 4.** Effect of inlet CO₂ composition on flux evaluated for an inlet gas pressure of 69 kPa-
 423 gauge; however, the average gas pressure at the outlet of the lumen was 69 kPa and
 424 34.5 kPa for closed-end and open-end mode, respectively. Data presented are the
 425 average of three HFM modules consisting of 32 fibers, varying from 13 cm to 18 cm
 426 in length. Error bars represent the standard deviation of data.

427

428 In Figure 4, the open-end and closed-end fluxes are almost equal for pure CO₂, which
 429 differs from the trends shown in Figure 3. The difference occurs because Figure 4 shows data
 430 only for 32-fiber membrane modules, while Figure 3 shows data only for a 96-fiber membrane
 431 module. The potting method used to assemble the membrane modules affected CO₂ flux, as

432 shown in Table 3. Modules consisting of 96 fibers and 64 fibers were potted using urethane
 433 adhesive, which required cutting uncoated fibers and led to pinching of some fibers, resulting in
 434 lower fluxes. An improvement was made for modules containing 32 fibers, which were potted
 435 using liquid resin to encase fibers prior to cutting and did not experience pinching.

436

437 **Table 3.** Comparison of fluxes for different potting methods and fiber lengths. Membrane
 438 modules consisting of 96 fibers, 21 cm in length, and 64 fibers, 39 cm in length, both
 439 potted using urethane adhesive. Membrane modules consisting of 32 fibers, 18 cm in
 440 length potted using liquid resin.

Operating Condition	CO ₂ Content (%)	Flux (g m ⁻² day ⁻¹)		
		96 fibers, 0.21 m	64 fibers, 0.39 m	32 fibers, 0.18 m
Open-end	100	3460	–	2550
Closed-end	100	2350	–	2630
Open-end	90	1060	590	2150
Closed-end	50	48	–	165
Open-end	50	445	360	1200

441

442

443 3.4. Mass-Transfer Coefficients and Effective Surface Areas

444 The values of overall volumetric mass-transfer coefficient (K_La), overall mass-transfer
 445 coefficient (K_L), and the interfacial area per unit reactor volume (a) for the composite HFM are
 446 shown in Table 4 for 100% CO₂. K_La and K_L were measured directly from the mass-transfer
 447 experiments using Eqs. 27 and 28, and then a was calculated using Eq. 29. The average K_L for
 448 the composite HFM, based on all values in Table 4, was $(5.37 \pm 0.01) \times 10^{-2}$ m hr⁻¹, and it was
 449 $(6.23 \pm 0.01) \times 10^{-2}$ m hr⁻¹ for the open-end experiments. This difference supports that the

450 buildup of inert gases in closed-end operation lowered the effective membrane area below the
 451 maximum membrane area available for mass transfer. Thus, the loss of CO₂-transfer rate can be
 452 represented by a decrease in the effective surface area active in CO₂ delivery. Taking the ratio of
 453 K_{LA} values, the effective a for closed-end operation was about 86% of the total for these
 454 experiments.

455 As shown in Table 4, K_{LA} increased with fiber length, because a larger membrane surface
 456 area was available for mass transfer, as evidenced by increasing a values.

457

458 **Table 4.** Mass transfer coefficient and interfacial area values for 32-fiber HFM modules supplied
 459 with pure CO₂ at 69 kPa-gauge.

Operating Condition	No. of Fibers	Fiber Length (m)	K_{LA} (hr ⁻¹)	$K_L \times 10^2$ (m hr ⁻¹)	a (m ⁻¹)
Open-end	32	0.13	0.330	6.30	5.23
Open-end	32	0.17	0.471	6.88	6.84
Open-end	32	0.18	0.410	5.65	7.24
Closed-end	32	0.13	0.239	4.58	5.23
Closed-end	32	0.17	0.306	4.47	6.84
Closed-end	32	0.18	0.314	4.34	7.24

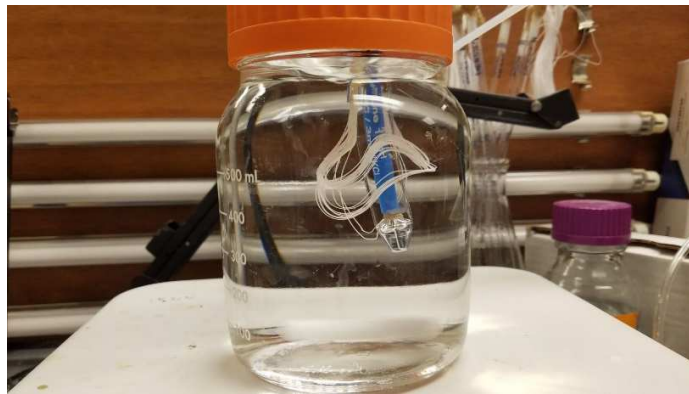
460 Note: K_{LA} and K_L were measured experimentally, and a is the geometric surface area
 461 computed as $(K_{LA})/K_L$.
 462

463 Table 5 summarizes the experimental K_{LA} values and effective a values using $K_L = 6.23 \times$
 464 10^{-2} m hr⁻¹, from Table 4. The maximum utilizable a for the membranes in these experiments
 465 was 7.24 m⁻¹. The effective fiber length used was calculated from Eq. 25 based on the ratio of
 466 the effective a to the maximum a . The effective a and usable fiber lengths were substantially
 467 lower for closed-end mode than open-end mode, due to the buildup of inert gases, which
 468 decreased the surface area able to transfer CO₂ to the liquid. Decreasing the CO₂ concentration

469 in the supply gas amplified the impact of inert gases on the values for effective a and fiber
470 length.

471 It is possible that packing density could have affected the K_L and K_{La} values by affecting
472 the flow distribution through and around the fibers. However, Figure 5 illustrates that the fibers
473 were loosely packed in the reactor, and mixing from the stirrer was strong. Thus, the impact of
474 membrane packing density on CO_2 flux probably was low. Most importantly, any impact of
475 packing density would have had no effect on the measurement of pH and computation of the CO_2
476 flux.

477



478

479 **Figure 5.** CO_2 delivery with HFM membrane.

480

481

482 **Table 5.** CO₂-transfer and HFM characteristics were affected by CO₂ inlet concentration and
 483 open-end versus closed-end operation. The modules consisted of 32 fibers, 0.18 m in
 484 length, and supplied with gas at 69 kPa-gauge.

CO ₂ Content (%)	Operating Condition	Flux (g m ⁻² day ⁻¹)	K _L a (hr ⁻¹)	Effective a (m ⁻¹)	Usable Fiber Length (m)	(%)
90	Open	2150	0.372	5.97	0.15	83
50	Open	1200	0.374	6.00	0.15	83
10	Open	200	0.294	4.72	0.12	65
90	Closed	750	0.103	1.65	0.04	23
50	Closed	165	0.041	0.66	0.02	10
10	Closed	53	0.041	0.66	0.02	10

485

486 **4. Conclusion**

487 A model was developed to estimate mass-transfer coefficients and CO₂-transfer rates for
 488 a composite HFM based on the measured rate of change of pH. The model accurately calculated
 489 transfer rates between a pH range of 10 to 8. CO₂-transfer rates and *K_La* values increased with
 490 increasing inlet gas pressure and increasing CO₂ concentrations in the inlet gas stream. Open-
 491 ended modules tested with pure CO₂ exhibited the highest flux and *K_L* values. Closed-ended
 492 modules performed nearly as well as open-ended modules when supplied with pure CO₂;
 493 however, the performance of closed-ended modules significantly dropped off as the
 494 concentration of inert gases in the supply stream was increased. A decrease in the usable
 495 membrane surface area (*a*) also followed the same trend due to the accumulation of inert gases in
 496 the membrane lumen.

497

498

499 **5. Acknowledgments**

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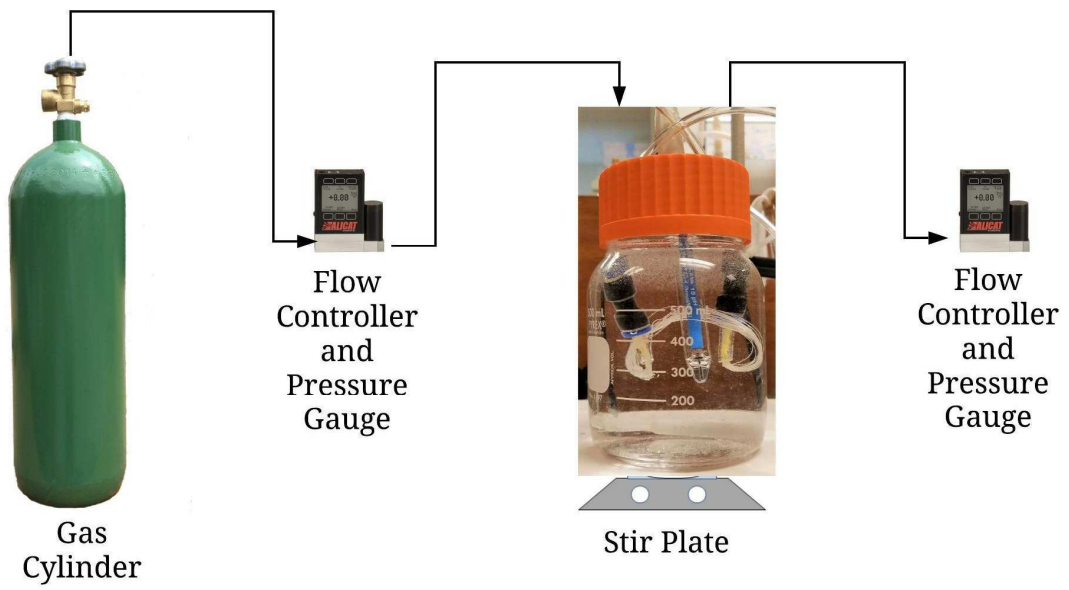


Figure 1. Experimental setup used for abiotic testing.

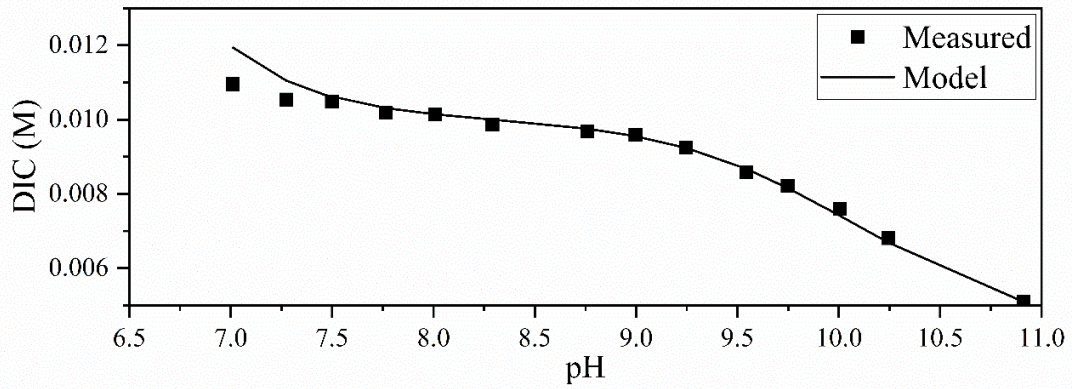


Figure 2. Comparison of DIC concentrations computed by the model versus measured values using open-end HFM modules of 32 fibers of 0.18 m in length, supplied with 90% CO₂, and diluting samples with non-acidified DI water.

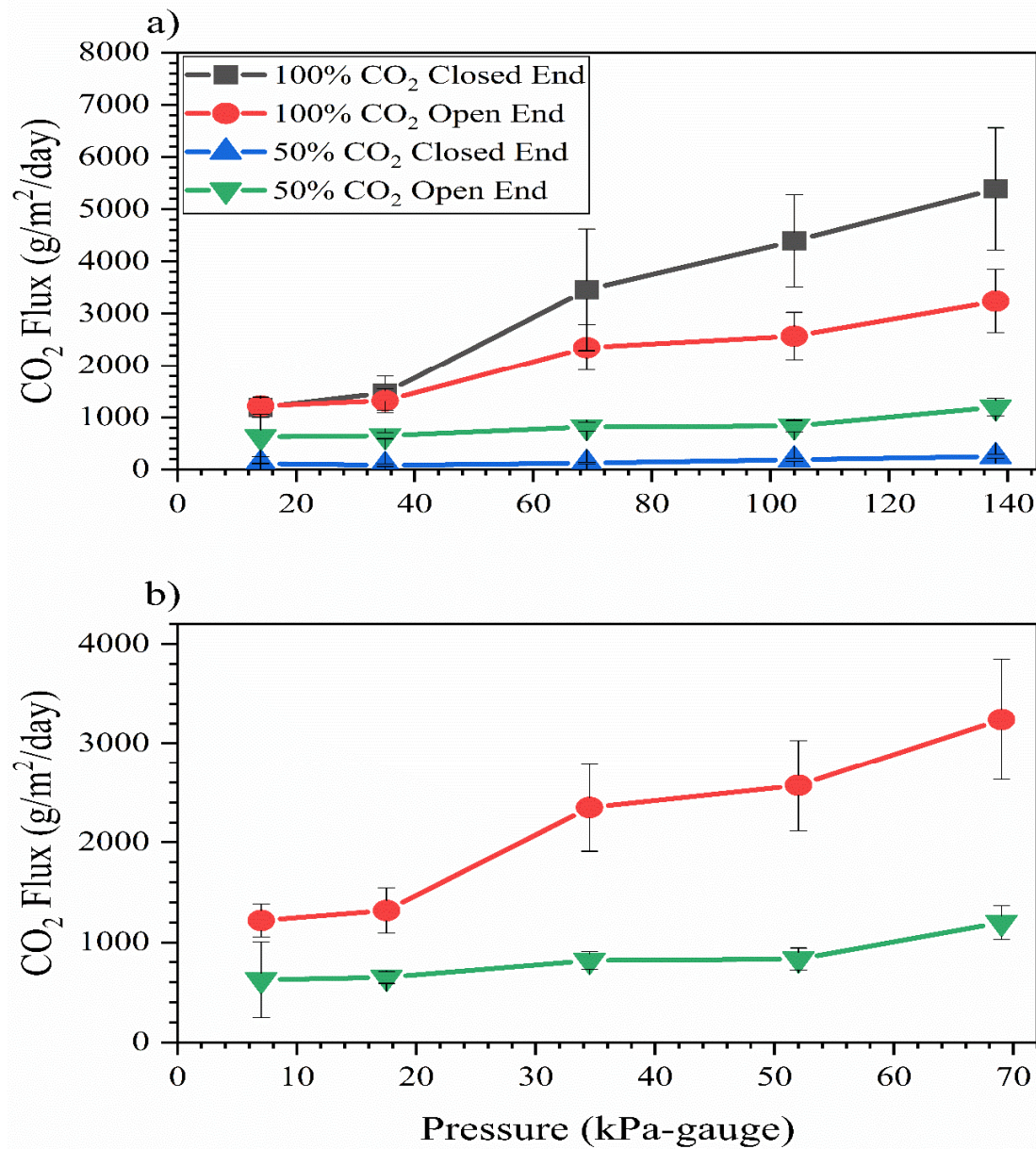


Figure 3. Pressure dependence of CO₂ flux for open-end or closed-end membrane modules consisting of 96 fibers, 0.21 m in length based on a) total gas inlet pressure for closed-end and open-end operation, and b) average total gas pressure across the lumen for open-end operation. Error bars represent the standard deviation of the CO₂ fluxes computed by the model from the data along the time course of each experiment.

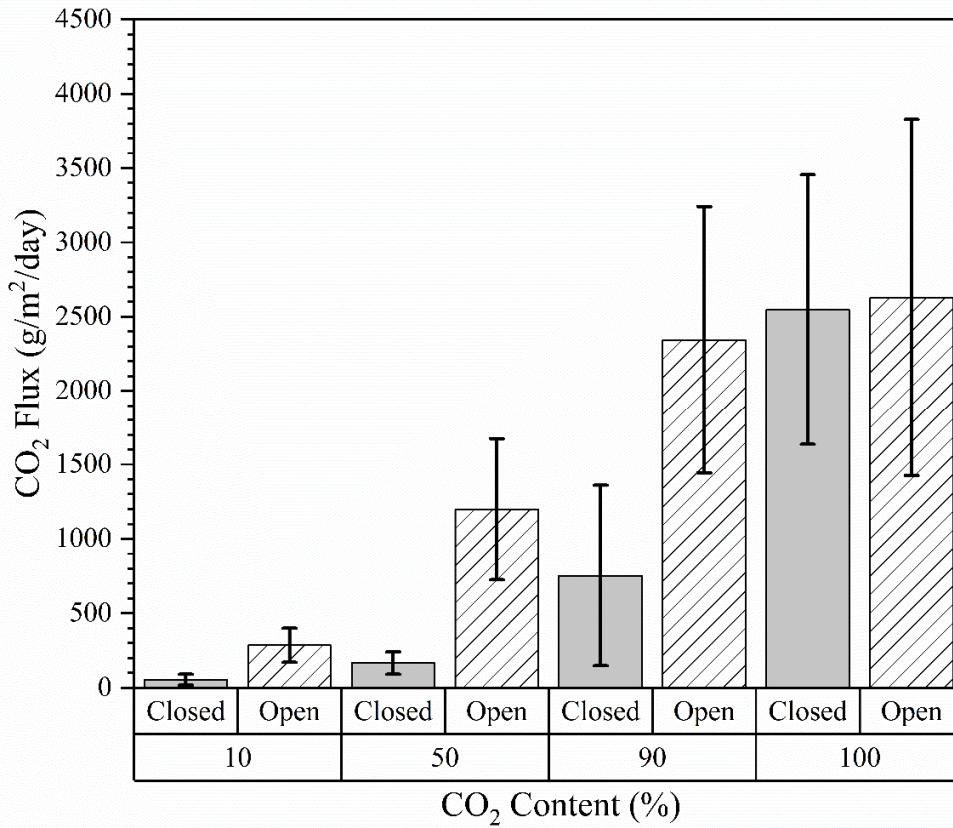


Figure 4. Effect of inlet CO₂ composition on flux evaluated for an inlet gas pressure of 69 kPa-gauge; however, the average gas pressure at the outlet of the lumen was 69 kPa and 34.5 kPa for closed-end and open-end mode, respectively. Data presented are the average of three HFM modules consisting of 32 fibers, varying from 13 cm to 18 cm in length. Error bars represent the standard deviation of data.

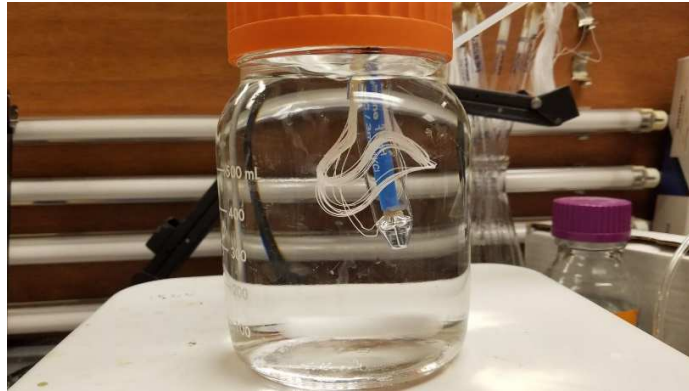


Figure 5. CO₂ delivery with HFM membrane.