

**Project Title: Low-Temperature, Anode-Supported High Power Density Solid Oxide Fuel Cells with Nanostructured Electrodes**

**Quarterly Technical Report**

*October 1, 1999 – March 31, 2000*

Contract Number: DE-AC26-99FT40713

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Submitted: April 7, 2000

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This report contains the following three parts.

- 1) An investigation into the use of direct liquid hydrocarbon fuels in single cell tests.
- 2) An analysis of residual stresses in anode-supported solid oxide fuel cells.
- 3) A manuscript on the synthesis of nanosize ceramic (electrode and electrolyte) powders.

A Single Cell Test on Ethanol + Water as a Fuel: One of the potential benefits of anode-supported solid oxide fuel cells (SOFC) is the possibility of using hydrocarbon fuels directly without the necessity of external reforming. High performance fuel cells with a low overall area specific resistance (ASR), achieved through appropriate electrode microstructural design, should facilitate this at relatively lower temperatures. Anode-supported single cells comprising a porous Ni + YSZ (yttria-stabilized zirconia) as the anode, a thin dense film of YSZ as the electrolyte, and a porous Sr-doped LaMnO<sub>3</sub> (LSM) + YSZ as the cathode were fabricated by tape-casting of NiO + YSZ anode precursor, spray-coating YSZ electrolyte, sintering, and applying the cathode. A solution of ethanol (C<sub>2</sub>H<sub>5</sub>OH) in water in 1:2 proportion was made. This liquid was vaporized and introduced into the anode chamber. The cell was operated at 800°C. Figure #1 shows the performance characteristics of the cell. As can be seen, power densities as high as 0.8 W/cm<sup>2</sup> were observed wherein the fuel was internally reformed. The anode of the cell has not been yet designed for use with hydrocarbon fuels. It is anticipated that once this is achieved, even higher power densities should be possible. No carbon deposition was observed.

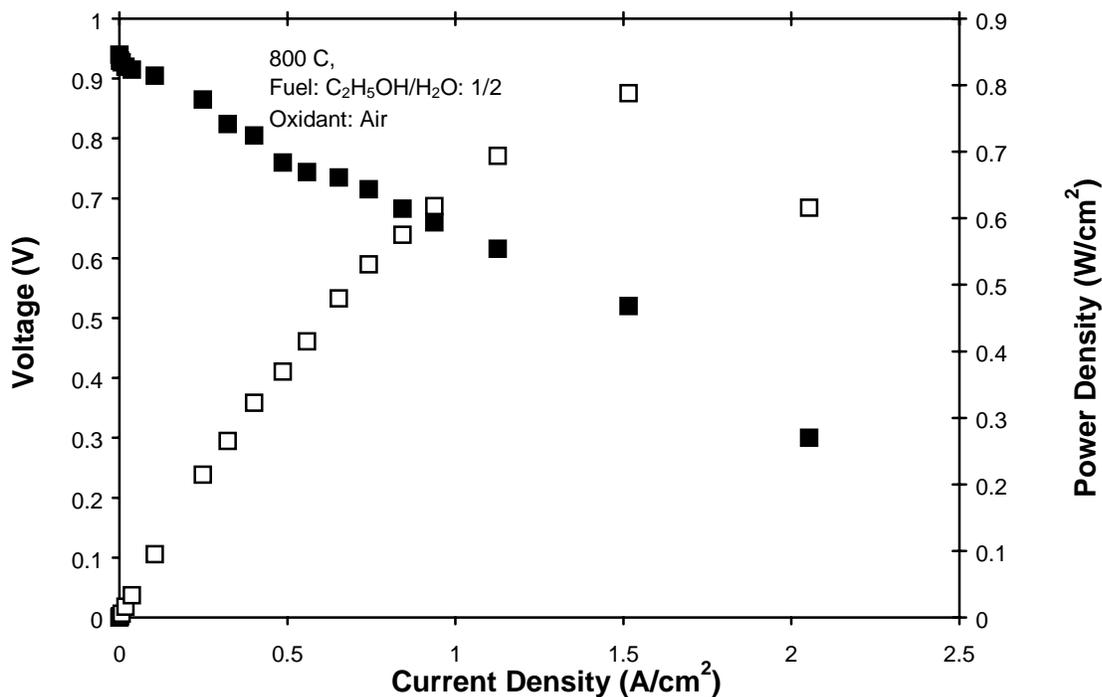


Figure #1: Voltage and power density vs. current density of a single cell tested with ethanol + water as the fuel and air as the oxidant, at 800°C.

Residual Stresses in Anode-Supported Cells: In anode-supported cells with Ni + YSZ as the anode and YSZ as the electrolyte, at low temperature, the YSZ thin film is in a state of biaxial compression. This is because the coefficient of thermal expansion of YSZ is lower than that of Ni. Since the film is expected to be in compression, it is not expected to crack. However, if the film is too thick, there is the potential that it can delaminate or spall off. The propensity for delamination is higher at low temperatures, not at the operating temperature. However, it is necessary that the film be well-adherent at room temperature since the SOFC will be typically cycled between room temperature and the operating temperature. An effort to evaluate the state of stress using finite element technique has been initiated. Preliminary results are presented in what follows.

## Finite Element Analysis of Film and Substrate

Finite element analysis of following film (YSZ electrolyte) - substrate (Ni + YSZ anode) was performed by using ANSYS 5.5 (Finite Element Analysis package). The analysis is steady state structural analysis and the element used is PLANE42.

Following parameters were used in the analysis:

$\alpha_1$  = Coefficient of thermal expansion of the film. =  $10.5 \times 10^{-6} / ^\circ\text{C}$

$\alpha_2$  = Coefficient of thermal expansion for substrate. =  $13 \times 10^{-6} / ^\circ\text{C}$

$E_1$  = Young's modulus of elasticity for film = 210 GPa

$E_2$  = Modulus of Elasticity for substrate. = 170 GPa

$\Delta\varepsilon = \Delta\alpha\Delta T = 3.25 \times 10^{-3}$

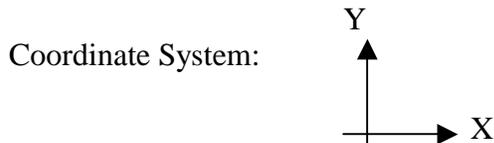
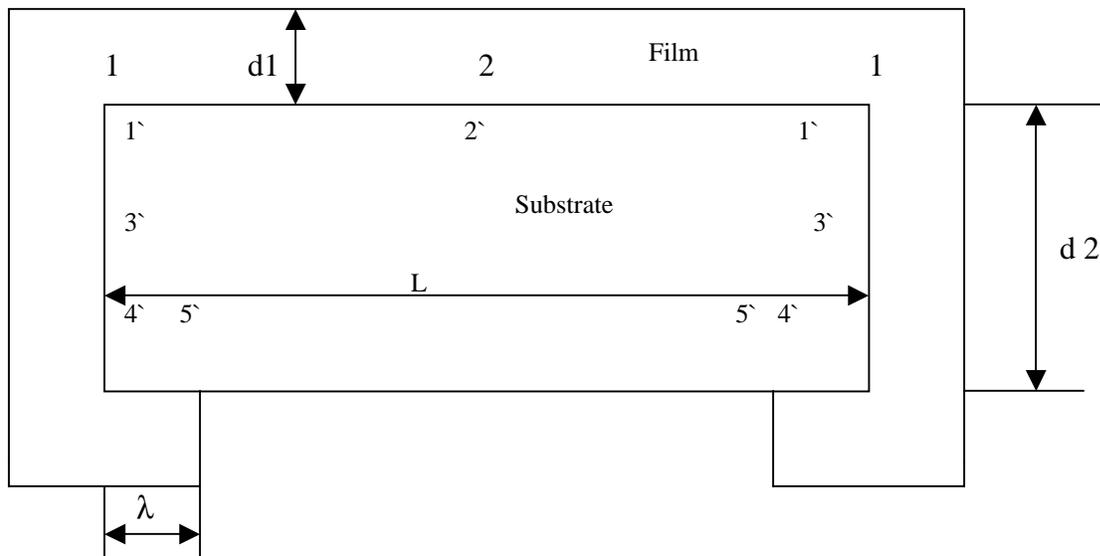


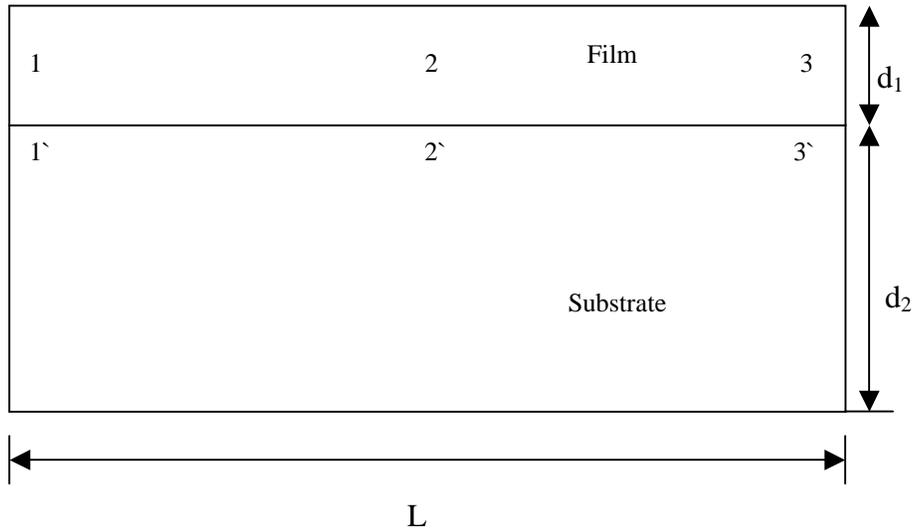
Figure 1:



$\lambda = 5 \text{ mm}$ ,  $d_1 = 0.025 \text{ mm}$ ,  $d_2 = 1.5 \text{ mm}$ ,  $L = 50 \text{ mm}$ .

Location	Sxx(MPa)	Syy(MPa)	Location	Sxx(MPa)	Syy(MPa)
1	-207.98	-409.00	1`	59.085	56.458
2	-606.37	-0.43E-03	2`	61.627	-0.88E-03
3	-0.788	-656.58	3`	-1.026	61.383
4	-431.04	-172.26	4`	60.205	65.515
5	-620.73	8.781	5`	-0.57E-01	2.73

Figure 2:



For  $d_1 = 0.025$  mm &  $d_2 = 1.5$  mm,  $L = 50$  mm

Location	Sxx(MPa)	Syy(MPa)	Location	Sxx(MPa)	Syy(MPa)
1	-588.45	-13.058	1'	73.401	-21.01
2	-630.02	0	2'	42.482	0
3	-588.45	-13.058	3'	73.401	-21.01

For  $d_1 = 0.010$  mm &  $d_2 = 1.5$  mm,  $L = 50$  mm

Location	Sxx(MPa)	Syy(MPa)	Location	Sxx(MPa)	Syy(MPa)
1	-660.63	0	1'	17.7	0
2	-660.63	0	2'	17.702	0
3	-660.63	0	3'	17.7	0

The calculations show that the film is biaxial compression. Thus, it is not expected to crack. However, there is potential for delamination, and it should increase with increasing film thickness. This aspect will be discussed in later reports.

Synthesis of Nanosize YSZ Powders: The electrocatalytic activity of composite electrodes critically depends on the electrode microstructure; in particular the size of the electrolyte component of the electrode. Since YSZ is the constituent in both electrodes, it is imperative that it should be as fine as possible. An effort was thus initiated to develop a process for the synthesis of nanosize powder. A manuscript based on this work is ready for submission to a refereed journal for publication. A copy of this manuscript is attached as part of this report.

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Finite element analysis of following film (YSZ electrolyte) - substrate (Ni + YSZ anode) was performed by using ANSYS 5.5 (Finite Element Analysis package). The analysis is steady state structural analysis and the element used is PLANE42.

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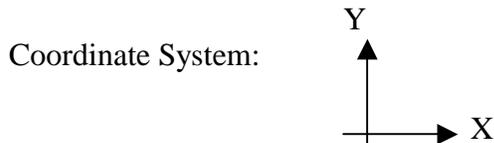
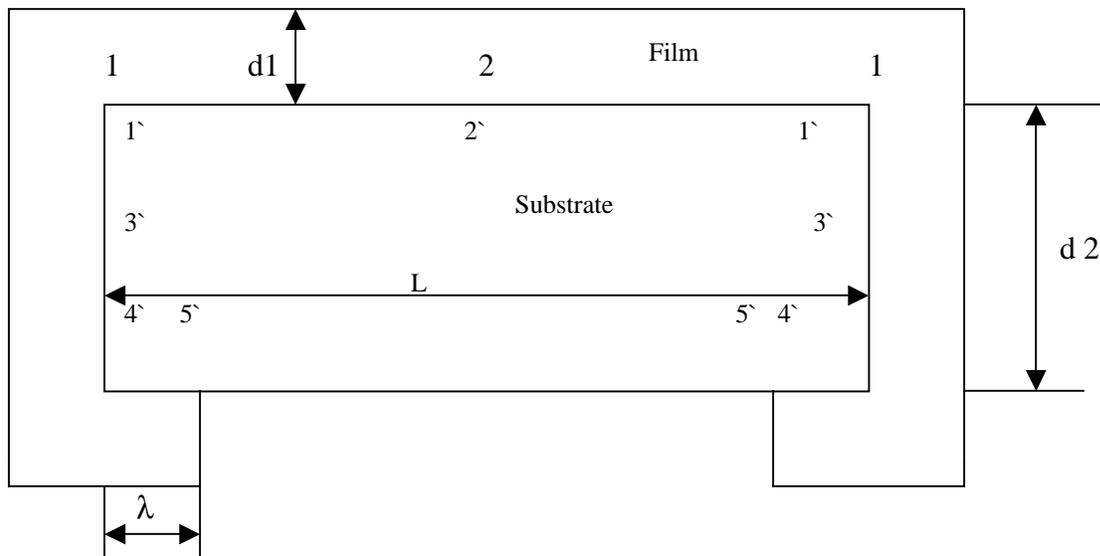


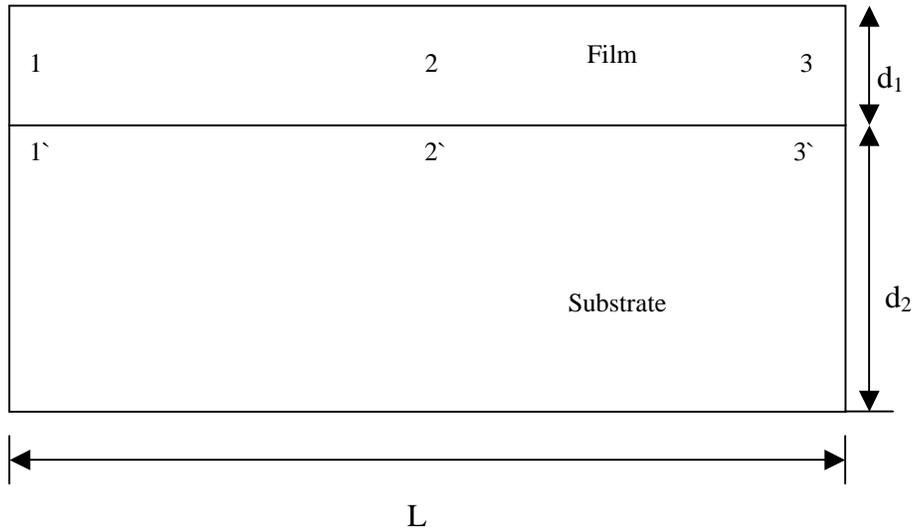
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Figure 2:



For  $d_1 = 0.025$  mm &  $d_2 = 1.5$  mm,  $L = 50$  mm

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The calculations show that the film is biaxial compression. Thus, it is not expected to crack. However, there is potential for delamination, and it should increase with increasing film thickness. This aspect will be discussed in later reports.

# SYNTHESIS OF NANOSIZE YTTRIA-STABILIZED ZIRCONIA BY A MOLECULAR DECOMPOSITION PROCESS

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## ABSTRACT

Nanosize yttria-stabilized zirconia (YSZ) was synthesized by a unique approach based on molecular decomposition. In this approach, yttria-doped BaZrO<sub>3</sub> (Y-BaZrO<sub>3</sub>) or yttria-doped Na<sub>2</sub>ZrO<sub>3</sub> (Y-Na<sub>2</sub>ZrO<sub>3</sub>) precursors were first synthesized from BaCO<sub>3</sub>, ZrO<sub>2</sub>, Y<sub>2</sub>O<sub>3</sub> or BaCO<sub>3</sub> and commercial YSZ for Y-BaZrO<sub>3</sub>, and from Na<sub>2</sub>CO<sub>3</sub> and YSZ for Y-Na<sub>2</sub>ZrO<sub>3</sub>, by a conventional solid state reaction method. Then, the precursors were boiled to leach away the unwanted species, BaO or Na<sub>2</sub>O, either in a dilute HNO<sub>3</sub> solution in water in the case of Y-BaZrO<sub>3</sub>, or in de-ionized water in the case of Y-Na<sub>2</sub>ZrO<sub>3</sub>. During boiling in HNO<sub>3</sub> or water, the insoluble residue of Zr-Y-O composition formed fine, nanosize YSZ particles. X-ray diffraction (XRD) and specific surface area measurements on the as-synthesized powders confirmed the formation of nanosize YSZ. A subsequent heating in air led to particle growth. However, for a treatment at a temperature as high as 1000°C, the particle size was well in the nanosize range. XRD showed that the as-synthesized YSZ powders, as well as those heated up to 1000°C, the maximum temperature the powders were heated to after leaching, are of cubic structure.

## I. INTRODUCTION

Nanosize powders have numerous applications such as catalysts, photo-catalysts, electro-catalysts, catalyst supports [1], electrodes, active powders for the fabrication of dense bodies [2], semiconductors for energy storage, photovoltaics [3], ultrafine magnetic materials for information storage [4], environmental clean-up as destructive adsorbents [5], water purification [6], and optical computers [7], to name a few. The synthesis of nanosize materials has received considerable attention over the past decade or so. Several processes have been developed and are currently being used for the synthesis of nanosize powders. These processes include (1) Gas-phase condensation, (2) Mechanical milling [8], (3) Thermal

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crystallization, (4) Chemical precipitation [9], (5) Sol-gel process, (6) Aerosol spray pyrolysis [10], etc.

In gas-phase condensation, evaporation of precursors and their interaction with an inert gas leads to loss of kinetic energy, and homogeneous nucleation of nanosize powders occurs in a supersaturated vapor [11]. Nano-crystalline powders of  $\text{TiO}_2$  [12],  $\text{Li}_2\text{O}$ -doped  $\text{MgO}$  [13],  $\text{CeO}_2$  [14],  $\text{Y}$ -doped  $\text{ZrO}_2$  [15], etc. have been produced by gas-phase condensation. Aerosol spray pyrolysis has been used to synthesize  $\text{BaFe}_{12}\text{O}_{19}$  [16],  $\text{Fe}_2\text{O}_3$  [17] among some other materials. High energy mechanical milling is used extensively to produce nanomaterials, especially when large quantities of materials are required. Very fine particles of nickel-aluminum alloys [18], Fe-Co-Ni-Si alloys [19], Ni-Mo alloys [20], for example, have been produced by mechanical milling. Contamination by the milling process, however, is a shortcoming of this process. Also, although very fine particles can be made, agglomeration is a problem leading to cluster sizes in the micron range.

Chemical co-precipitation has been studied extensively for the synthesis of nanosize powders [9]. Metallic as well as ceramic powders can be made by a careful control of chemistry. Alkali metal borohydride,  $\text{MBH}_4$  where  $\text{M}$  is an alkali metal, for example, has been used as a reducing agent in aqueous media for the synthesis of metallic powders. It is important to control the pH and the ionic strength in aqueous media to prevent Ostwald ripening [9]. In the synthesis of nanosize iron oxide, for example, it has been shown that the higher the pH and the higher the ionic strength, the smaller is the size of nanosize particles.

In this communication, we report a unique approach developed to synthesize nanosize yttria-stabilized zirconia (YSZ) powder. There are many reports in the literature on the synthesis of nanosize YSZ powders by processes such as sol-gel process [21], inert gas condensation [22], plasma technique [23], chemical co-precipitation [24] and aerosol combustion [25], etc. All of these methods are based on molecular synthesis of nanoparticles wherein the particles are built-up by an atom by atom or by a molecule by molecule addition. Thus, the growth of particles inevitably occurs during the process itself. In order to ensure the formation of nanosize powders, in such processes a careful control over nucleation and growth is required.

The approach we report in this paper is based on molecular decomposition, rather than molecular synthesis, in which, a precursor powder (either of a micro or macro size, containing the desired elements) is first synthesized by a conventional method, such as a high temperature solid state reaction. Then, the unwanted species are chemically leached away in a suitable liquid; which may be just water, or a dilute acid solution [26]. During the leaching process, the concomitant change in volume and crystal evolution leads to the formation of very fine (molecular level) fragments, thus leading to the formation of nanosize powders. Since neither the precursor nor the product is soluble in the selected liquid, growth of particles can not occur, since the latter necessarily requires the occurrence of dissolution-reprecipitation. Therefore, particle coarsening can be successfully prevented. This approach is also ideally suited for the commercial production of nanosize powders since the process does neither require exotic equipment nor exotic processes. In the present communication, 8 mol.% yttria-stabilized zirconia (YSZ) nanosize powder was successfully prepared by this approach using two different precursors, 8 mol.% yttria-doped  $\text{Na}_2\text{ZrO}_3$  (Y- $\text{Na}_2\text{ZrO}_3$ ) and 8 mol.% yttria-doped  $\text{BaZrO}_3$  (Y- $\text{BaZrO}_3$ ). In the case of Y- $\text{Na}_2\text{ZrO}_3$ , the liquid used was water. In the case of Y- $\text{BaZrO}_3$ , the liquid used was dilute nitric acid. The synthesized powders using either precursor were nanosize, as-determined by XRD and specific surface area measurement. Also, it was determined by XRD that the as-synthesized powders are of cubic structure, as expected for 8 mol.% YSZ. The powders retained the cubic structure to  $1000^\circ\text{C}$ , the maximum temperature to which the powders were heated after synthesis.

## ***II. EXPERIMENTAL PROCEDURE***

For the synthesis of nanosize yttria-stabilized zirconia, the precursor can be a suitable alkali or alkaline earth zirconate. Two types of precursors, i.e. yttria-doped barium zirconate, (Y- $\text{BaZrO}_3$ ), and yttria-doped sodium zirconate, (Y- $\text{Na}_2\text{ZrO}_3$ ), were synthesized by a conventional solid state reaction method. For preparing Y- $\text{BaZrO}_3$ , the starting materials, namely,  $\text{BaCO}_3$ ,  $\text{ZrO}_2$  and  $\text{Y}_2\text{O}_3$ , or  $\text{BaCO}_3$  and a commercial yttria-stabilized zirconia (YSZ) powder, were mixed in requisite proportions, wet ball-milled in alcohol for 24 h and then dried. For the synthesis of Y- $\text{Na}_2\text{ZrO}_3$ ,  $\text{Na}_2\text{CO}_3$  and commercial YSZ powder were mixed in a requisite proportion, wet ball-milled and then dried. The ball-milled and dried powder mixtures were calcined at various temperatures and the resulting powders were examined by x-ray diffraction (XRD) with  $\text{CuK}\alpha$

radiation to identify calcination conditions which ensure the formation of completely converted, single phase, precursor powders; i.e., Y-BaZrO<sub>3</sub> or Y-Na<sub>2</sub>ZrO<sub>3</sub>.

Doped sodium zirconate was then boiled and washed in de-ionized water since the reaction between Na<sub>2</sub>ZrO<sub>3</sub> and water is thermodynamically favored. In this way, Na<sub>2</sub>O can be easily leached out. To ensure the complete removal of Na<sub>2</sub>O, the powder was continuously washed until the pH of the solution was near neutral (~7). Then, the resulting powder was dried and later characterized. The Gibbs free energy change for the reaction of barium zirconate with water at or near room temperature is close to zero [27] indicating that BaZrO<sub>3</sub> is thermodynamically stable in the presence of water. Thus, water is not a suitable leaching agent for BaZrO<sub>3</sub>. A dilute acid solution is necessary to facilitate the removal of BaO from barium zirconate. Y-doped barium zirconate was boiled in dilute HNO<sub>3</sub> acid (0.07M) repeatedly until no further change in the pH of the solution occurred. Then, the powder was thoroughly washed with de-ionized water and dried.

Differential Thermal Analysis (DTA) was conducted to examine the as-synthesized powders. In the DTA experiments, the samples were purged with purified air and heated up to 1200°C at a heating rate of 10°C/min. Based on the DTA information, several temperatures were identified for the calcination of the powders after the leaching treatments. The calcined powders were then examined by XRD.

### ***III. RESULTS AND DISCUSSION***

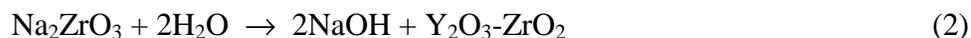
Figure 1 shows the XRD traces of Y-BaZrO<sub>3</sub> powders made from the calcination of BaCO<sub>3</sub>, ZrO<sub>2</sub> and Y<sub>2</sub>O<sub>3</sub>, and from BaCO<sub>3</sub> with YSZ, at 1250°C for 2h. The traces are almost identical and match the standard trace for the cubic structure of perovskite, BaZrO<sub>3</sub>. There are no other additional peaks present, indicating that the solid state reaction was complete resulting in the formation of a single phase, Y<sub>2</sub>O<sub>3</sub>-doped BaZrO<sub>3</sub>. The results also show that yttria-doped BaZrO<sub>3</sub> can be synthesized with either of the starting materials at a temperature of 1250°C or above. Figure 2 is an XRD trace of the Na<sub>2</sub>CO<sub>3</sub> and YSZ mixture after calcining at 1250 °C for 2h, which corresponds to monoclinic sodium zirconate, Na<sub>2</sub>ZrO<sub>3</sub>. Since YSZ was used instead of ZrO<sub>2</sub>, it is expected that Na<sub>2</sub>ZrO<sub>3</sub> formed should be yttria-doped, i.e., Y-Na<sub>2</sub>ZrO<sub>3</sub>. Again, XRD

revealed that the reaction was complete with only one phase formed. A calcination temperature lower than 1050°C was not high enough to ensure the completion of the reaction, as evidenced by the lack of formation of a single phase material.

Chemical leaching of BaO from yttria-doped BaZrO<sub>3</sub> (Y-BaZrO<sub>3</sub>) precursor was achieved using a dilute HNO<sub>3</sub> solution in water. The precursor was boiled in a dilute HNO<sub>3</sub> acid solution, filtered and washed repeatedly until the solution pH was unchanged. Then, it was thoroughly washed using de-ionized water to completely remove Ba as Ba(NO<sub>3</sub>)<sub>2</sub>. The solution containing the leachable ions was chemically analyzed to determine the concentrations of Ba, Y, and Zr. The results showed (Table 2) a significant concentration of Ba<sup>2+</sup> but only trace concentrations of Zr<sup>4+</sup> and Y<sup>3+</sup>, confirming that Ba<sup>2+</sup> was selectively dissolved and led to the formation Y<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub>, which is insoluble in the dilute HNO<sub>3</sub> solution, by a reaction such as



For the yttria-doped Na<sub>2</sub>ZrO<sub>3</sub> (Y-Na<sub>2</sub>ZrO<sub>3</sub>) precursor, since Na<sub>2</sub>ZrO<sub>3</sub> readily reacts with water by a reaction such as



repeated boiling in de-ionized water, filtering and washing was adequate to completely remove Na<sub>2</sub>O as NaOH. In this case also, the chemical analysis showed that the solution contained predominantly Na, but only trace amounts of Y and Zr (Table 3). XRD traces of leached and washed powders synthesized from the two precursors are given in Figure 3(a). In both cases, XRD traces of leached and washed powders were quite different from those of the corresponding precursors (Y-Na<sub>2</sub>ZrO<sub>3</sub> and Y-BaZrO<sub>3</sub>). At the same time, the XRD traces of the leached and washed powders were identical, regardless of the precursor, Y-Na<sub>2</sub>ZrO<sub>3</sub> or Y-BaZrO<sub>3</sub>. Figure 3(b) shows an XRD trace of commercial YSZ. It is readily seen that the XRD traces of the leached and washed powders are similar to the commercial YSZ, insofar as peak positions are concerned. This shows that during leaching of the respective precursors, only BaO or Na<sub>2</sub>O is leached away resulting in a residue containing Y, Zr, and O such that the Zr-Y-O skeleton has a cubic symmetry. The XRD peaks in the traces of leached powders, however, are very broad indicative of a very fine particle size. This shows that very fine (nanosize) particles of YSZ can be formed starting with precursors (Y-BaZrO<sub>3</sub> or Y-Na<sub>2</sub>ZrO<sub>3</sub>) whose particle size is several microns.

The formation of nanosize powders, starting with coarse precursor powders, can be illustrated via the following example. Let us consider the reaction of Y-BaZrO<sub>3</sub> with dilute HNO<sub>3</sub>. The precursor, Y-BaZrO<sub>3</sub> is insoluble in dilute HNO<sub>3</sub>. However, it can react with HNO<sub>3</sub>, as given by equation (1). The volume of a Y-BaZrO<sub>3</sub> unit cell is about 73.72 Å<sup>3</sup>. One unit cell of cubic zirconia contains four formula units, i.e. 4 ‘ZrO<sub>2</sub>’ or 4 ‘Y-ZrO<sub>2</sub>’, with a volume of 135.72 Å<sup>3</sup>. Thus, per one formula unit, such as is created per one Y-BaZrO<sub>3</sub> unit cell, the volume is 135.72/4 or 33.93 Å<sup>3</sup>. Thus, as Y-BaZrO<sub>3</sub> reacts with HNO<sub>3</sub> to form Y-ZrO<sub>2</sub>, there is a net change in volume from 73.72 Å<sup>3</sup> to 33.93 Å<sup>3</sup>. This is equivalent to  $\frac{(73.72 - 33.93)}{73.72} \times 100 \approx 54\%$  decrease in volume. In terms of a linear change, this corresponds to  $\frac{\sqrt[3]{73.72} - \sqrt[3]{33.93}}{\sqrt[3]{73.72}} \times 100 \approx 25\%$  decrease in a linear dimension. As the surface of the precursor particle reacts with HNO<sub>3</sub> to form soluble Ba(NO<sub>3</sub>)<sub>2</sub>, there is a substantial volume change and a linear change (a decrease), which should lead to cracks and fissures at the unit cell (A) level. This should thus lead to the formation of tiny fragments at the angstrom or nanosize level. As the product, Y-ZrO<sub>2</sub> is insoluble (or has a very low solubility) in the liquid used, Ostwald ripening, which requires dissolution, transport and reprecipitation, should not occur. Figure 4 shows a schematic of the leaching process of Y-BaZrO<sub>3</sub> in dilute HNO<sub>3</sub>. The outer layer of the particle is porous since BaO (as Ba(NO<sub>3</sub>)<sub>2</sub>) is leached out of Y-BaZrO<sub>3</sub>. Further reaction occurs by the transport of HNO<sub>3</sub> to the porous YSZ/dense Y-BaZrO<sub>3</sub> interface, reaction to form Ba(NO<sub>3</sub>)<sub>2</sub> and YSZ, and the transport of dissolved Ba(NO<sub>3</sub>)<sub>2</sub> away from the interface, through the porous YSZ, into the acid bath. The porous YSZ is easily fragmented into very fine powder. Thus, even though the original Y-BaZrO<sub>3</sub> powder is coarse, the resulting YSZ powder should be nanosize. This is the origin of this process for the synthesis of nanosize powders. The preceding also suggests that the initial precursor particle size should have no bearing on the final size of nanoparticles. Indeed, nanosize powder can be synthesized using precursors that are considerably coarse; for example in the micron size range.

The Scherrer formula relates the average particle size,  $d$ , to X-ray peak broadening,  $B$ , by

$$d = \frac{0.9\lambda}{B \cos \theta} \quad (3)$$

In order to determine the peak broadening attributable only to the particle size effect, a commercial YSZ powder was sintered at 1500°C for a few hours to obtain a strain-free, coarse-grained (grain size on the order of a few microns) sample. An XRD trace of the sample was obtained. Peak width (at half the peak height) from this sample for a given (*hkl*), in the present case (111), was subtracted from the corresponding peak width of the leached and washed powders. In this manner, line broadening attributable to the instrument as well as the presence of both  $K\alpha_1$  and  $K\alpha_2$ , could be corrected for. The corrected peak width was measured to be approximately 4° (~0.07 radians) for the case of washed powders, which is equivalent to an average particle size of about a few nanometers for both washed powders (Table 1).

As an independent confirmation of the nanosize of the powders formed, specific surface area measurements were conducted using the BET adsorption isotherm method. The surface area measurements were made on both the precursors (Y-Na<sub>2</sub>ZrO<sub>3</sub> and Y-BaZrO<sub>3</sub>) and the synthesized powders. The specific surface area of the precursors was typically only ~3 m<sup>2</sup>/g (Table 4), consistent with the large particle size of the precursors. The specific surface area of the nanosize powder made from the Na<sub>2</sub>ZrO<sub>3</sub> precursor, however, was measured to be around 67 m<sup>2</sup>/g. Assuming particles to be spherical in shape for simplicity, the diameter of the particle can be estimated from the relation

$$d = \frac{6}{S\rho} \quad (4)$$

where  $\rho$  is the density of YSZ and  $S$  is the specific surface area. Assuming  $\rho = 5.9 \text{ g/cm}^3$  for YSZ and 70 m<sup>2</sup>/g for  $S$ , the diameter is estimated around 15 nm, which is in reasonably good agreement with the value estimated from XRD peak broadening measurements.

The above results conclusively demonstrate that nanosize YSZ powder can be effectively prepared by this molecular decomposition approach using alkali or alkaline earth metal oxide precursors. Although the preparation was carried out at low temperature (near room temperature), cubic crystal structure YSZ was formed.

In order to examine the possible changes in the crystal structure of the synthesized nanosize YSZ powders, Differential Thermal Analysis (DTA) on the as-washed powders was conducted. In

addition, XRD traces were obtained on powders after heat treating the as-washed powders at various temperatures. Figure 5 shows the DTA curves of YSZ nanopowders synthesized from both precursors. The peaks centered around 235°C in both traces are attributed to the endothermic desorption of adsorbed water. The traces also show broad exothermic peaks in the temperature range of 300–600°C. An exothermic peak is usually attributed to the crystallization process, provided as-formed powders are amorphous. In the present case, the as-synthesized powder is crystalline – not amorphous, unlike some DTA data from YSZ precursors prepared by sol-gel or chemical co-precipitation. In such cases, the exothermic peak, i.e. the crystallization peak, is generally well-defined and sharp [21]. In the present work, by contrast, the exothermic peak is very broad for powders made using either of the precursors, but more so in the case of nanosize YSZ powder made from Y-BaZrO<sub>3</sub> precursor, indicating that there is neither the occurrence of a distinct crystallization, nor the occurrence of a phase transition over the temperature range investigated. The broad exothermic peak may be attributed to the possible removal of point defects, and/or the occurrence of particle growth during the thermal treatment. The occurrence of particle growth during thermal treatment can readily be verified by XRD examination of nano YSZ powders pretreated at various temperatures.

Figure 6 shows the XRD spectra of nano YSZ powders thermally treated at various temperatures for 1.5 h. It can be seen that the crystal structure of the YSZ powder is unaffected by the thermal treatment, all the way up to 1000°C, the maximum temperature to which the synthesized powders were heated. This, of course, is not surprising as the stable phase of 8 mol.% Y<sub>2</sub>O<sub>3</sub>-stabilized zirconia is known to be cubic over the entire temperature range of the existence of the solid phase. As the temperature of heat treatment is increased, the peak height increases at the expense of peak width, consistent with the occurrence of particle growth. The estimated particle size of the powders after heat treatment at 1000°C is about 30 nm, showing that the nanosize particles are relatively stable against a significant growth at temperatures as high as 1000°C. Heat treatment of nanosize YSZ obtained from Y-Na<sub>2</sub>ZrO<sub>3</sub> precursor (Figure 7) leads to similar results.

Table 1 gives the average particle size of YSZ powders, treated at various temperatures after synthesis, calculated from the Scherrer formula using the half peak width from the XRD traces in

Figures 6 and 7. For the nanosize YSZ powder synthesized from Y-Na<sub>2</sub>ZrO<sub>3</sub> precursor, the particle size is only a few nanometers until the heat treatment temperature is raised to 350°C. A substantial particle growth occurred, however, at higher temperatures. The remarkable increase in particle size above 350°C coincides with the peak in DTA centered around 350°C. This shows that the broad exotherm observed in the DTA peaks may be associated with the growth of particles rather than crystallization. After a thermal treatment at 1000°C for 5 h, the surface particle size as measured by XRD is still small, around 30 nm. The corresponding specific surface area measured was ~13.6 m<sup>2</sup>/g. YSZ powder prepared from Y-BaZrO<sub>3</sub> also exhibits similar behavior. In the case of powder made with Y-BaZrO<sub>3</sub>, the particle size exhibited substantial growth (to ~23 nm) after treatment at 650°C. This observation is consistent with the occurrence of a broad exotherm in the corresponding DTA trace. The particle sizes of YSZ from both precursors are similar, which are in the range from a few nanometers to tens of nanometers after thermal treatment at a temperature as high as 1000°C. An investigation of the sinterability of YSZ powders made by the present process, and the measurement of ionic conductivity of sintered samples is underway. The results of these studies will be reported in a separate communication.

#### IV. CONCLUSIONS

Nanosize YSZ powder was prepared by a unique approach, in which yttria-doped BaZrO<sub>3</sub> or yttria-doped Na<sub>2</sub>ZrO<sub>3</sub> precursors were first synthesized by a solid state reaction. Then, then the unwanted species, BaO or Na<sub>2</sub>O, was leached away by washing the precursors in a dilute HNO<sub>3</sub> solution or in water. This led to the formation of very fine, nanosize yttria-stabilized zirconia (YSZ). The particle size of the as-synthesized YSZ powders was a few nanometers and increased to tens of nanometers after thermal treatment at a temperature as high as 1000°C. The as-synthesized as well as heat-treated YSZ powder was of cubic crystal structure.

Acknowledgments: This work was supported by the U. S. Department of Energy, NETL, Contract No. DE-AC26-99FT40713.

**Table 1:** Particle size (nm) of as-synthesized nanosize YSZ powder and after heating in air at various temperatures for 1.5 h.

Temperature (°C)	YSZ from Y-BaZrO <sub>3</sub> <i>d</i> in nm	Temperature (°C)	YSZ from Y-Na <sub>2</sub> ZrO <sub>3</sub> <i>d</i> in nm
as washed	2.4	As-washed	2.4
350	8.0	300	4.8
450	10	350	17
650	23	650	18
1000*	37	1000*	31

\*for 5 hours

**Table 2:** Chemical analysis of the leaching solution (dilute HNO<sub>3</sub>) after boiling Y-BaZrO<sub>3</sub> in it.

Powder	Solution	Barium (mol/l)	Yttrium (mol/l)	Zirconium (mol/l)
Y:BaZrO <sub>3</sub>	0.3 M HNO <sub>3</sub>	0.104	$7.11 \times 10^{-4}$	$< 2.0 \times 10^{-5}$
Y:BaZrO <sub>3</sub>	0.07 M HNO <sub>3</sub>	0.062	$3.0 \times 10^{-5}$	$< 2.0 \times 10^{-5}$

**Table 3:** Chemical analysis of the leaching solution (water) after boiling Y-Na<sub>2</sub>ZrO<sub>3</sub> in it.

Powder	<i>Sodium</i> (mol/l)	<i>Yttrium</i> (mol/l)	<i>Zirconium</i> (mol/l)
<i>Y:Na<sub>2</sub>ZrO<sub>3</sub></i>	<i>0.713</i>	<i><math>&lt; 5 \times 10^{-5}</math></i>	<i><math>&lt; 5 \times 10^{-5}</math></i>

**Table 4:** Surface areas of as-calcined precursors, as-synthesized nanosize YSZ, and after heating the nanosize YSZ to 1000°C for 5 hrs.

Sample	Y-BaZrO <sub>3</sub>	Y-Na <sub>2</sub> ZrO <sub>3</sub>	As-synthesized Nanosize YSZ	Nanosize YSZ after 5 hrs. at 1000°C
Surface Area (m <sup>2</sup> /g)	3.5	2.7	66.6	13.6

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## FIGURE CAPTIONS

Figure 1: (a) An XRD trace of yttria-doped BaZrO<sub>3</sub> powder synthesized by calcining a mixture of BaCO<sub>3</sub>, ZrO<sub>2</sub> and Y<sub>2</sub>O<sub>3</sub> at 1250°C for 2h. (b) An XRD trace of yttria-doped BaZrO<sub>3</sub> powder synthesized by calcining a mixture of BaCO<sub>3</sub> and YSZ at 1250 °C for 2 h.

Figure 2: An XRD trace of yttria-doped Na<sub>2</sub>ZrO<sub>3</sub> powder synthesized by calcining a mixture of Na<sub>2</sub>CO<sub>3</sub> and YSZ at 1250°C for 2h.

Figure 3: (a) XRD traces of the residue after boiling Y-BaZrO<sub>3</sub> in dilute HNO<sub>3</sub> (Ba completely leached), and washing in water, and of the residue after boiling Y-Na<sub>2</sub>ZrO<sub>3</sub> in de-ionized water (Na completely leached), and washing in water. (b) An XRD trace of a commercial YSZ powder.

Figure 4: A schematic showing a particle of Y-BaZrO<sub>3</sub> reacting with HNO<sub>3</sub>. The porous outer layer is of YSZ. The dense inner region is of the original Y-BaZrO<sub>3</sub>. The reaction involves the following steps. (i) Transport of HNO<sub>3</sub> through the porous YSZ layer to the porous YSZ/dense Y-BaZrO<sub>3</sub> interface. (ii) Reaction between Y-BaZrO<sub>3</sub> and HNO<sub>3</sub> to form soluble Ba(NO<sub>3</sub>)<sub>2</sub> and insoluble, porous YSZ. (iii) Transport of Ba(NO<sub>3</sub>)<sub>2</sub> through the porous YSZ layer into the acid bath.

Figure 5: DTA traces obtained at 10°C/min. in air, of nanosize YSZ powders synthesized from (a) Y-BaZrO<sub>3</sub> and (b) Y-Na<sub>2</sub>ZrO<sub>3</sub> powders.

Figure 6: XRD traces of nanosize YSZ powders obtained from Y-BaZrO<sub>3</sub> subjected to subsequent thermal treatments at various temperatures.

Figure 7: XRD spectra of nanosize YSZ powders obtained from Y-Na<sub>2</sub>ZrO<sub>3</sub> subjected to subsequent thermal treatments at various temperatures.

Figure 1

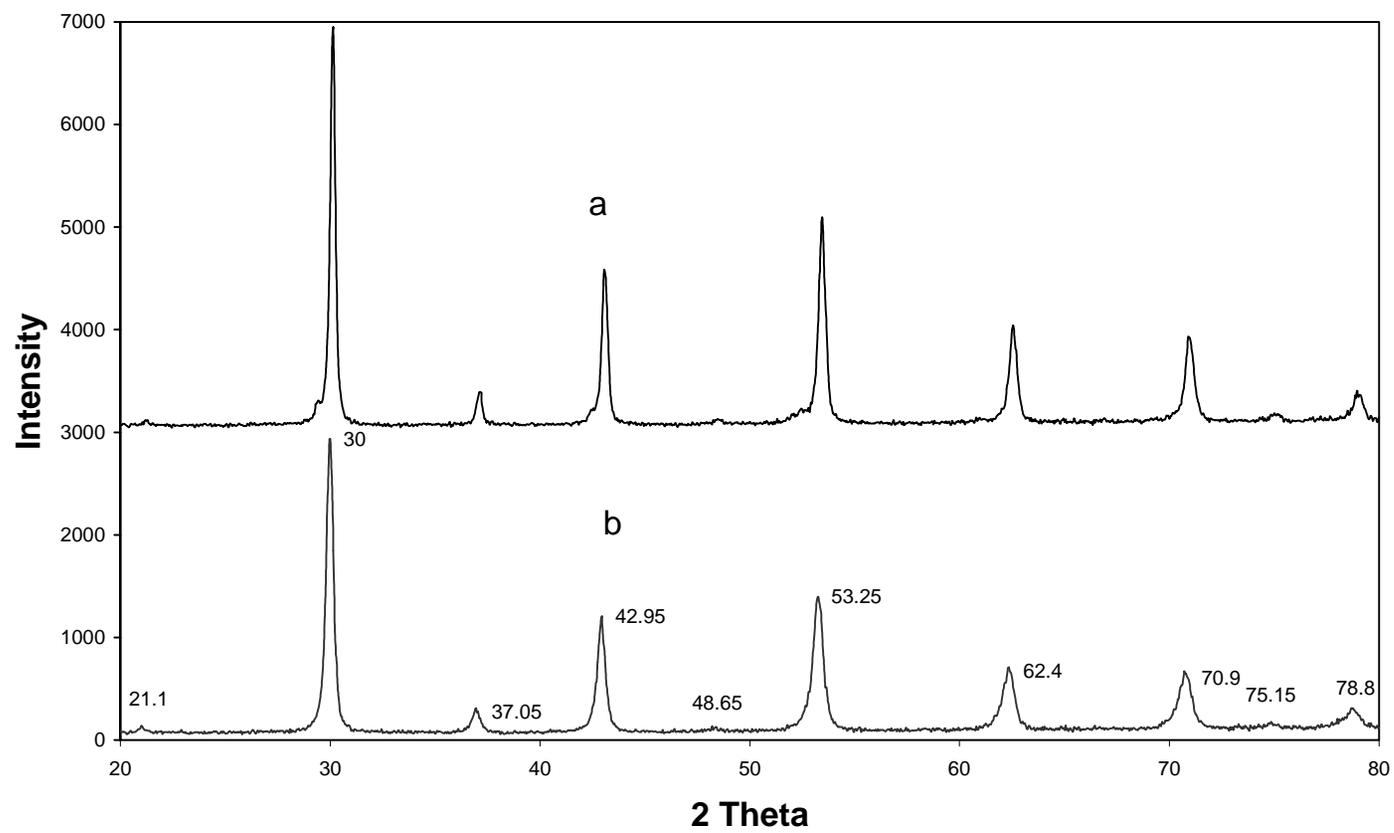


Figure 2

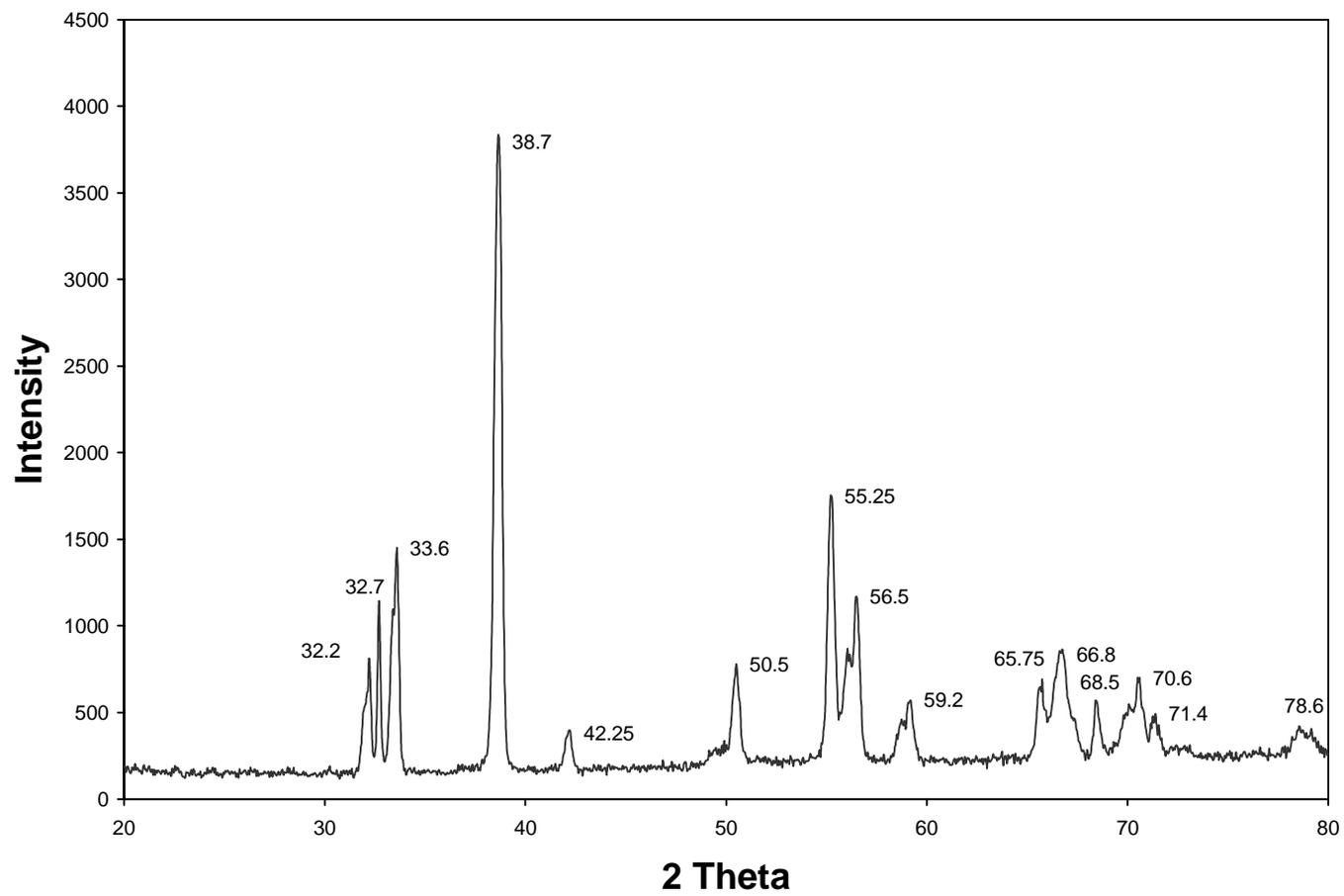


Figure 3 (a)

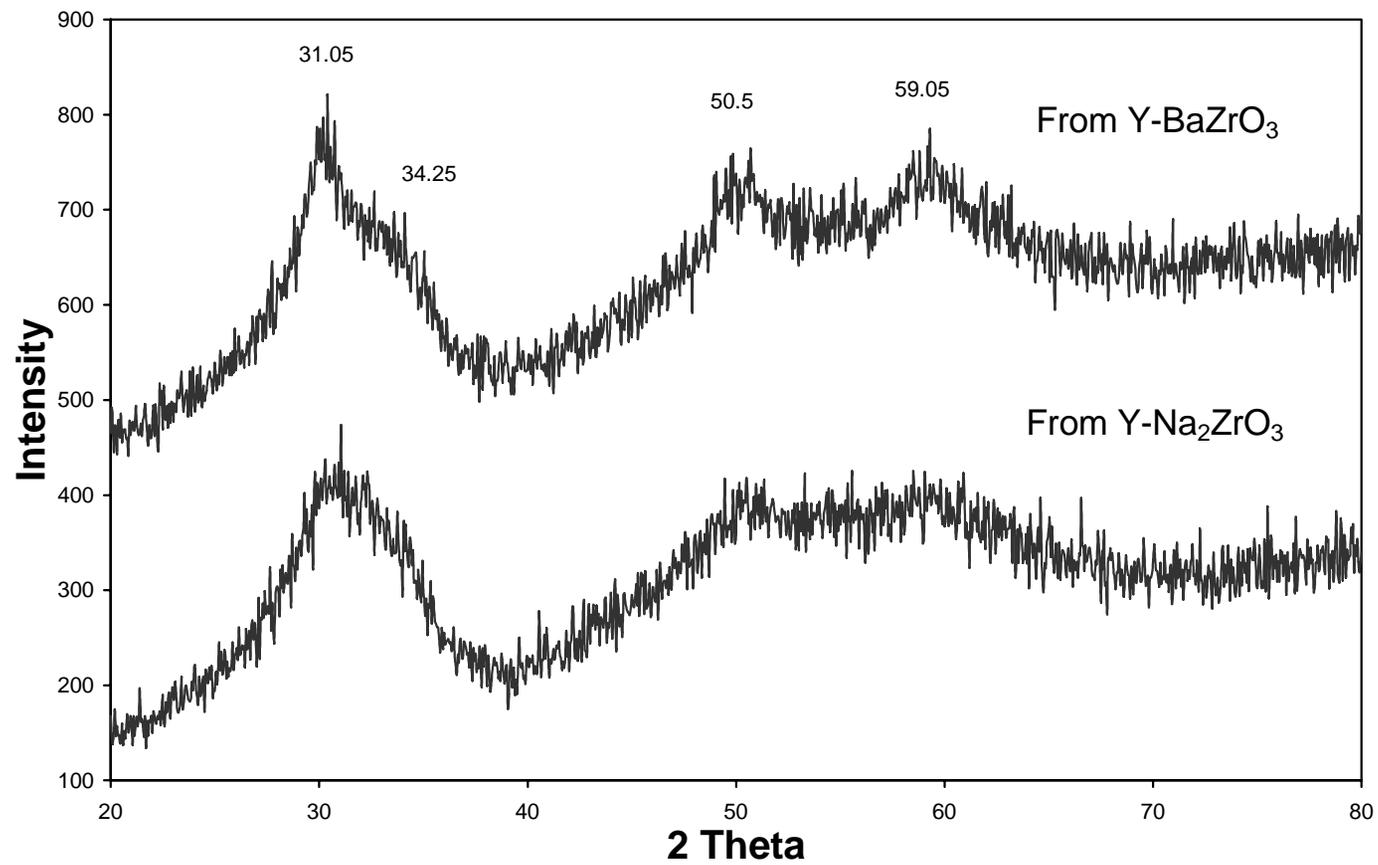


Figure 3(b)

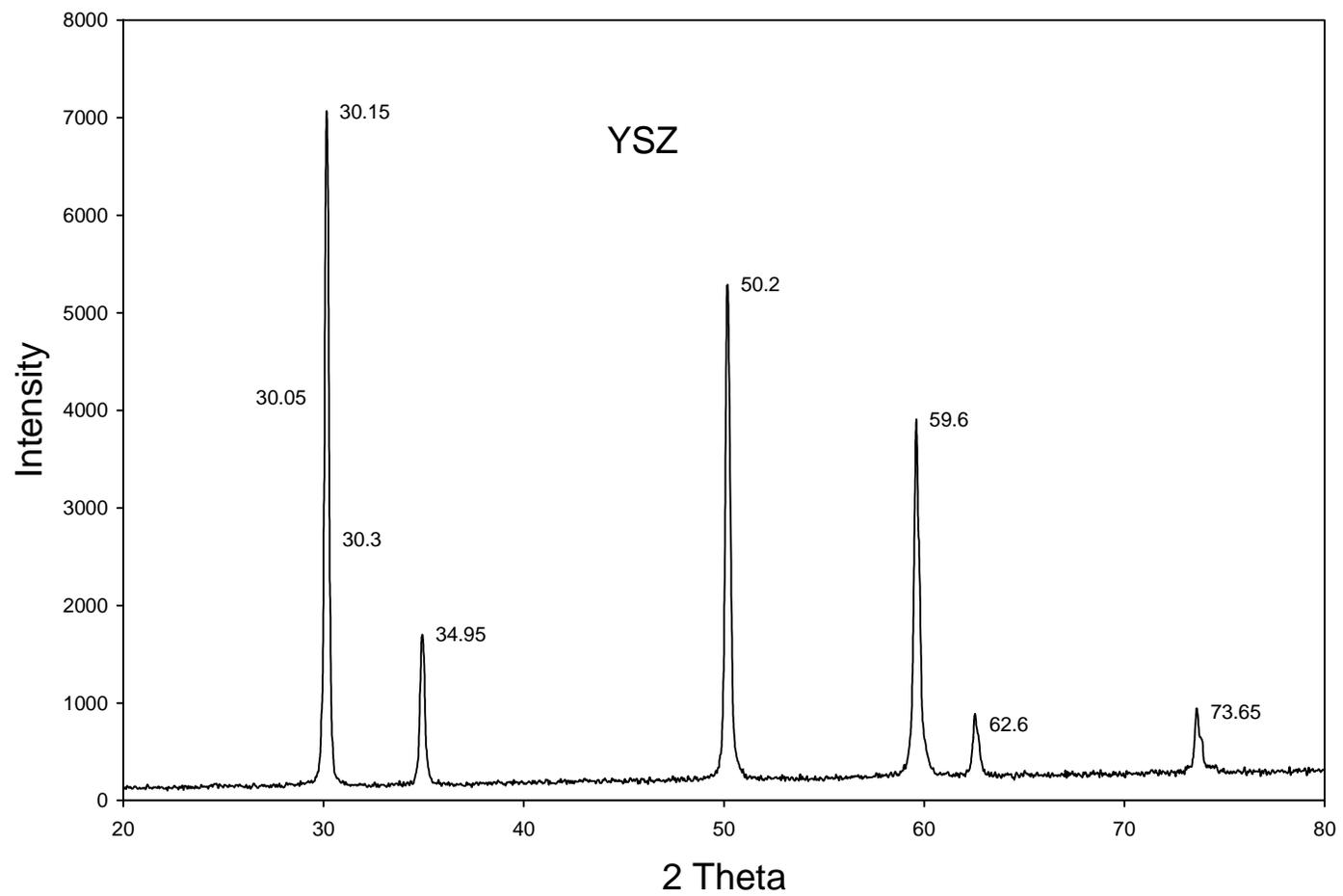
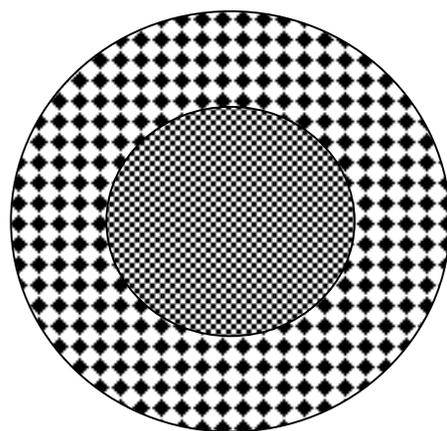


Figure 4



Original, Dense Y-  
BaZrO<sub>3</sub> Particle

Porous, Y-ZrO<sub>2</sub> (YSZ) after  
reaction with HNO<sub>3</sub>.  
Ba(NO<sub>3</sub>)<sub>2</sub> is leached out.

Figure 5

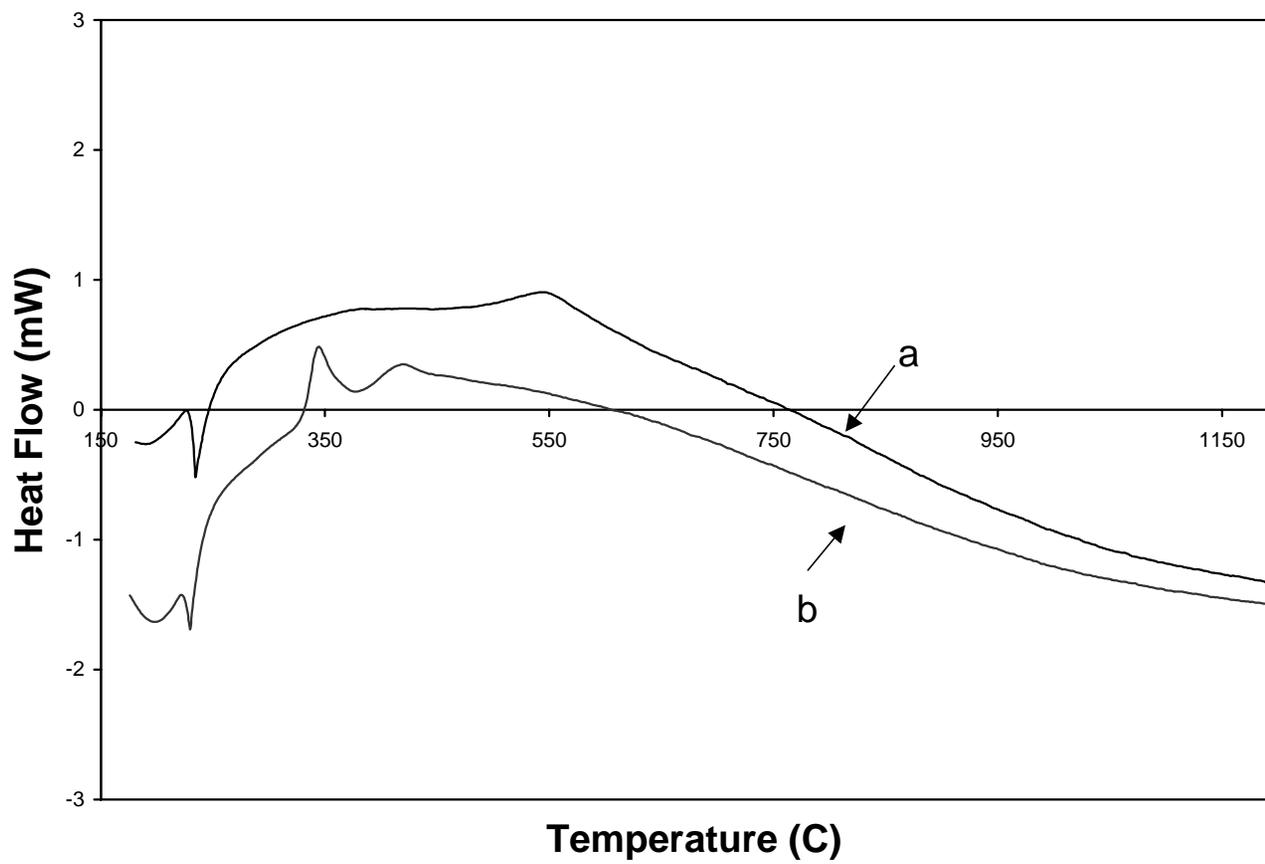


Figure 6

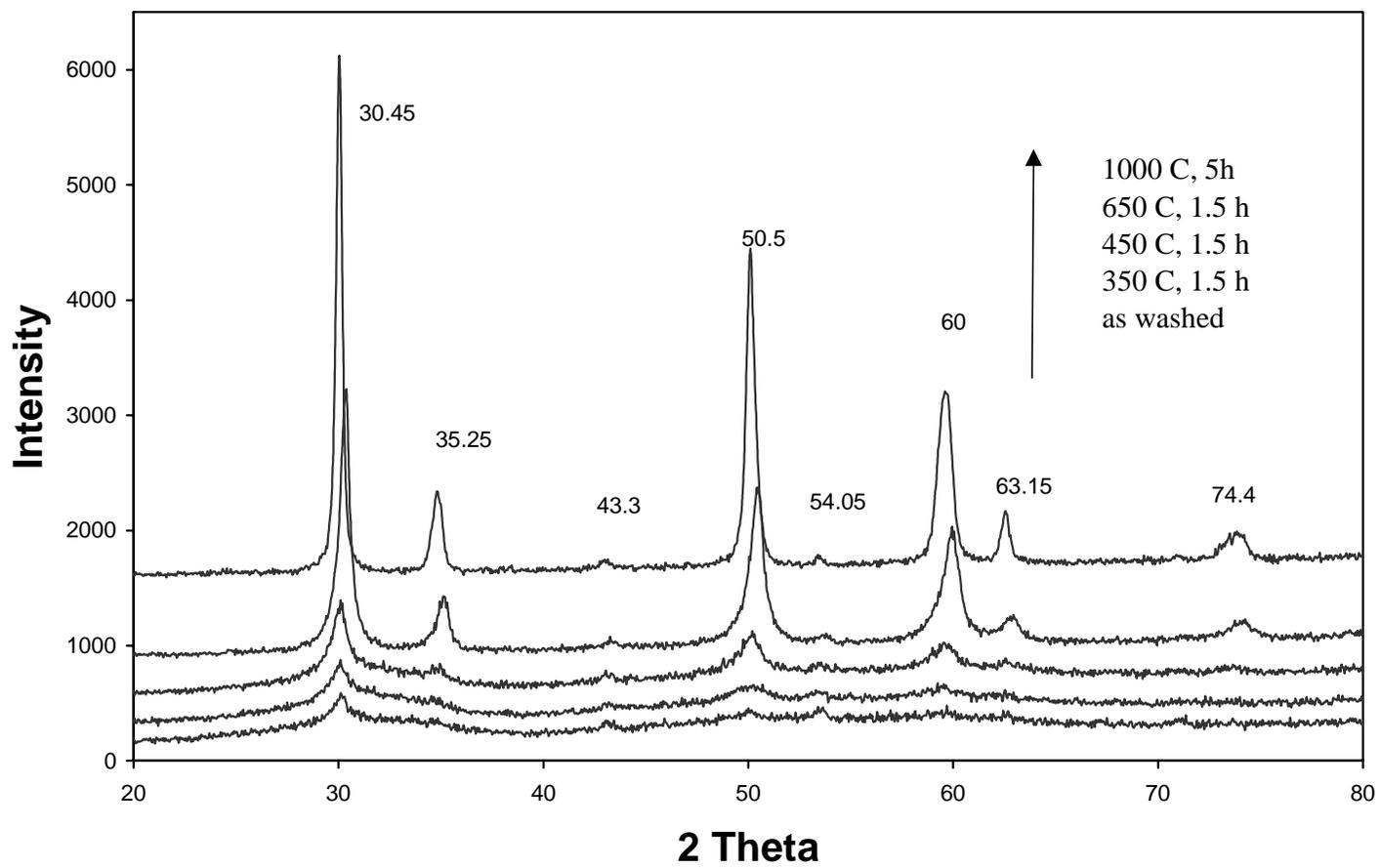


Figure 7

