

**THEORETICAL HIGH ENERGY PHYSICS RESEARCH AT
THE UNIVERSITY OF CHICAGO**

**Progress Report
for period October 1, 1991 - April 30, 1992**

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TECHNICAL PROGRESS REPORT

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TECHNICAL PROGRESS REPORT
Theoretical High Energy Physics Research
at the University of Chicago

I. INTRODUCTION

The present contract supported work by **Jonathan L. Rosner** (principal investigator), **Ernil J. Martinec** (co-investigator) **Robert G. Sachs** (co-investigator), Research Associates **Peter Bowcock** and **Glenn Boyd**, and graduate students in elementary particle physics at the University of Chicago.

The present report deals with work performed during the last three months of Calendar Year 1991 and the first four months of Calendar Year 1992. For earlier work, see previous Technical Progress Reports.

Dr. **Chris Hill** of Fermilab is teaching a course on electroweak physics at the University of Chicago during three months in the spring of 1992. He is interacting fruitfully with members of the theoretical and experimental high energy physics group.

II. RESEARCH ACTIVITIES

A. J. ROSNER, COLLABORATORS, AND STUDENTS

J. Rosner participated in a workshop on b physics at the University of Edinburgh in December, 1991. He delivered an overview of electroweak physics at a HEPAP subpanel review of Fermilab in February, 1992.

1. *CP violation and Cabibbo-Kobayashi-Maskawa matrix.*

An update of a joint work with **C. S. Kim** and **C.-P. Yuan**¹ was performed in collaboration with **Geoffrey Harris**, now at Syracuse University. Recent lattice gauge theory calculations of the B meson decay constant f_B indicate a larger value ($f_B \simeq 1.7f_\pi$) than potential model calculations ($f_B \simeq f_\pi$). The data on CP violation in the kaon system, charmless b decays, and $B - \bar{B}$ mixing permit either value at present. Experiments which would shed further light on the value of f_B and on CKM matrix elements were studied. This work has now been published.²

A review of the CKM matrix was completed and is to be published in a book on B decays.³

Further investigations regarding possible quark mass matrices leading to the observed form of the CKM matrix were performed in collaboration with **Mihir Worah**, a graduate student at Chicago. Hamiltonians leading to a hierarchical structure of the quark mass matrices were constructed. These Hamiltonians are based on models of quarks as composites of subunits which interact via spin-spin forces. The work has been submitted for publication.⁴

The review of Ref. 3 was condensed, adapted for the special case of b physics, and presented at a workshop in Edinburgh in December, 1991.⁵ At that workshop, in collaboration with **Paul Harrison**, it was found that *ratios* of observables for B decays carried useful information about the structure of

the CKM matrix. This work has been submitted for publication.⁶

2. *Radiative corrections and electroweak observables*

A general description of the impact of electroweak measurements makes use of variables S and T (defined in Ref. 7) which parametrize "oblique" radiative corrections. In this context it was pointed out⁸ that atomic parity violation experiments provide useful information on S . Within the context of the standard electroweak theory with a single Higgs doublet, however, a more physical set of variables consists of the masses of the top quark (m_t) and W boson (M_W). A brief review of the impact of various measurements for specification of regions in the (m_t, M_W) plane was prepared for the new section ("Colloquia") of Reviews of Modern Physics.⁹ This review, incorporating later LEP data, represents an updating of a previous one.¹⁰

3. *Heavy quark symmetry*

J. Amundson, a graduate student at Chicago, is currently studying patterns of deviations from heavy quark symmetry¹¹ in charmed particle decays. These deviations, expected to be appreciable, can provide warnings of deviations in b decays, thereby helping to specify experimental errors in the determination of the CKM matrix elements $|V_{cb}|$ and $|V_{ub}|$. At the moment, attention is focused on a particular set of $1/m$ corrections which arise from spin-spin interactions in the final states of such processes as $D \rightarrow Kl\nu$ and $D \rightarrow K^*l\nu$. This work is continuing.

4. *Heavy meson spectroscopy*

In collaboration with Eric Rynes, as a follow-up on his Senior Thesis¹² (for which J. Rosner was the advisor), a study is being performed of the optimum power-law potential $V = \lambda r^\alpha$ which can describe both $c\bar{c}$ and $b\bar{b}$ systems. This work represents an updating of earlier investigations^{13,14} in

the light of improved information on P -wave $b\bar{b}$ levels.

Work by **Aaron Grant**, a new graduate student, is being supervised. Mr. Grant has studied the relative strengths of electric dipole transitions with $\Delta L = 1$ between states with various numbers of nodes n_r in the radial wave function. He finds for power-law potentials $V = \lambda r^\alpha / \alpha$ that the ratio $\langle l, n_r = 0 | r | l - 1, n_r = 2 \rangle / \langle l, n_r = 0 | r | l + 1, n_r = 0 \rangle$ behaves as $1/l$ for large l . The coefficient of $1/l$ depends on α and reduces to 1 for the Coulomb case $\alpha = -1$. This work is continuing.

5. *Hadronic string theory*

The work by **P. Freund** and **J. Rosner** mentioned in the previous Technical Progress Report has been published.¹⁵

6. *Composite models of quarks and leptons*

Work with **Davison Soper**¹⁶ and with **Gerard Jungman**,¹⁷ mentioned in the previous Technical Progress Report, has been published. The study of a composite model of quarks and its implications for the CKM matrix were mentioned above.⁴

7. *Pedagogical efforts*

In a graduate course taught last year on Electrodynamics, students were encouraged to do experiments for their final projects. A number of good papers were submitted,¹⁸ including the study of electromagnetic resonances in a coffee can.

In following up topics associated with the above course (Physics 324), it was noted that the Smith Chart, a graph used for antenna impedance matching, represents a simple conformal transformation of complex impedance, normalized by that of the transmission line. It was realized that such a transformation has its analogy in quantum mechanics and may be used to

motivate phase factors in the WKB approximation. An article to this effect was submitted to *The American Journal of Physics*.¹⁹

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2. Geoffrey R. Harris and Jonathan L. Rosner, *Phys. Rev. D* **45**, 946 (1992).
3. Jonathan L. Rosner, Enrico Fermi Institute report EFI 91-49-Rev., September, 1991, to appear as a chapter of the book *B Decays*, edited by Sheldon L. Stone (World Scientific, Singapore, 1992).
4. Jonathan L. Rosner and Mihir Worah, Enrico Fermi Institute report EFI 92-12, March, 1992, submitted to *Phys. Rev. D*.
5. Jonathan L. Rosner, Enrico Fermi Institute report EFI 92-02, January, 1992, to be published in *J. Phys. G*.
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12. Eric Rynes, Senior Honors Thesis, University of Chicago, 1991 (unpublished).
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15. Peter G. O. Freund and Jonathan L. Rosner, *Phys. Rev. Lett.* **68**, 768 (1992).
16. Davison E. Soper and Jonathan L. Rosner, *Phys. Rev. D* **45**, May 1, 1992.
17. Gerard Jungman and Jonathan L. Rosner, *Phys. Lett. B* **277**, 177 (1992).
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19. Jonathan L. Rosner, Enrico Fermi Institute report EFI 92-07, January, 1992, submitted to *Am. J. Phys.*

JIM AMUNDSON

We have been studying the consequences of treating the strange quark as a heavy quark in the Isgur-Wise heavy quark formalism. We can test the leading order predictions for D meson semileptonic decays using measurements of the Isgur-Wise function from B meson semileptonic decays. The predictions are only roughly in agreement with the data; however, this is what we would expect since we are neglecting terms of order $\bar{\Lambda}/m_s \sim 30\%$. We are now using the D decays to investigate the size of subleading operators in the theory.

GLENN BOYD

The realization that the Standard Model violates baryon number at high temperature has driven renewed interest in the dynamics of the electroweak phase transition during the early universe. My collaborators and I have calculated the electroweak effective potential to fourth order in the $SU(2)$ coupling g . We find significant corrections to lower order calculations when the Higgs mass is over 100 GeV. We have also looked at derivative corrections to the effective action.

GERARD JUNGMAN

The major component of my past year's work has been concerned with radiative corrections to fermion masses in $SO(10)$ grand unified theories. This is envisaged as part of a general program to study extensions of the standard model which allow massive neutrinos and to understand the constraints placed on such models by the measured fermion masses together with the latest precision data for the Weinberg angle and the QCD coupling. The first part of this program was an analysis of a possible weak-scale violation of a

global lepton number symmetry, together with **M. Luty**. $SO(10)$ is a characteristic minimal model in which lepton number becomes part of a gauge symmetry. The results of the $SO(10)$ analysis essentially rule out those models which lead to one low energy Higgs doublet, and the two doublet model is constrained significantly.

Together with **J. Rosner**, I have also studied certain consequences of technicolor-like models which contain a vector resonance analogous to the ρ of QCD. The resulting vector-like four fermion operators can be constrained by their effect in neutral kaon mixing. Also, if one entertains the natural assumption of an introduction of right-handed neutrinos, together with a quark-like ansatz for the lepton mixing, the new vector-like coupling can lead to measurable consequences for lepton number violating processes such as $\mu \rightarrow e\gamma$.

MIHIR WORAH

M. Worah worked on a model of the quark mixing matrix based on the possibility that quarks are composite objects (have sub-structure). He is currently investigating the possibility that CP violation is communicated from leptons to quarks via higher-dimension operators.

B. E. MARTINEC, COLLABORATORS, AND STUDENTS

Two-dimensional solutions to string theory provide a useful laboratory for testing our ideas about strings. Indeed, one might hope that such 2d models are as useful as their particle field theoretic counterparts have been. One of the key features of solvable string models is the possibility of expressing the theory in terms of free variables, typically the eigenvalue/coordinate of a large- N matrix dynamics. **E. Witten** has proposed a zero ghost-number sector

of the Liouville BRST cohomology to be the signature in the world-sheet conformal field theory of the eigenvalue variable. This result was a substantial advance in elucidating the relation between the exact matrix dynamics and the more conventional world-sheet conformal field theory approach. The basic idea is that the zero-ghost sector of physical states forms a ring under operator products which parallels the ring of polynomials in the eigenvalue coordinate. **D. Kutasov, N. Seiberg** and I [1] extended this observation in two directions: first, to the minimal conformal theories coupled to gravity, providing the beginnings of a Liouville-theoretic explanation of the KdV symmetries found in these theories; and second, we showed how the rest of the physical states could be grouped into modules under the action of the ground ring algebra. In particular the ‘tachyon’ field S-matrix of the 2d string is powerfully constrained by demanding the ground ring relations be satisfied by vertex operator correlation functions in the Liouville theory.

One of the key issues in string theory is whether it can ameliorate the singularities of general relativity. Indeed, it had better do so; any physical theory whose solutions develop singularities is incomplete since one does not know how to evolve the equations beyond the point where the singularity forms. String theory claims to be a complete theory, and thus had better not admit singular solutions. However, **E. Witten** recently proposed a solution to the classical string equations that is a two-dimensional analogue of the Schwarzschild geometry. The $SO(2,1)$ Wess-Zumino-Witten model (the theory of 2d current algebra) yields, when the $SO(2)$ subgroup generated by J_3 is gauged, a 2d nonlinear sigma model on a 2d target space with the light-cone structure of the Schwarzschild solution in its maximally extended Kruskal coordinatization. **Samson Shatashvili** and I have succeeded [2] in reducing the problem of quantization of this theory by reducing it to the

problem of 2d gravity coupled to a free scalar field, versions of which have already been solved by matrix techniques. The Liouville-like theory we find has indefinite sign of the cosmological constant. The cosmological constant $|\mu|$ of Liouville theory is related to the mass of the black hole. The connection to Liouville theory could provide the exact solution for correlation functions in the Euclidean black hole geometry using matrix models and Liouville theory; for the Lorentz signature Schwarzschild geometry it gives us a tool to investigate the properties of singularities in string theory. It is our hope that we can use this exact solution to discover the fate of black hole singularities in string theory. An obstacle to this program is that the Liouville potential we found is in a strongly-coupled regime of the Liouville field theory, which cannot be treated using current techniques.

One problem of this simple model (and indeed a generic feature of all recently investigated 'singular' solutions of string theory) is that the string coupling constant diverges at the core of the singular object. Hence classical physics breaks down and one needs a full quantum field theoretic treatment of the dynamics. One may hope that the collective field theory formulations of two-dimensional string theory might enable us to make progress. No collective field theory has as yet arisen for the black hole solution; however, one may try to produce a candidate based on the information gathered from conformal field theory calculations. This is a rather formidable task for the black hole background, as very little is known to date about the noncompact gauged Wess-Zumino-Witten model. Instead, I set the somewhat simpler task of finding a collective field theory for the 2d fermionic string, about which a great deal is known. One possible byproduct of this study might be a collective field theory for 2d superstrings. Working in collaboration with M. Douglas, D. Kutasov, and N. Seiberg, a study was undertaken [3]

of the properties of the supersymmetric Liouville theory. We succeed to understand much of the string tree-level and one-loop structure of the theory, resulting in a collective field theory ansatz remarkably similar to the bosonic 2d string collective field theory. This similarity can largely be traced to the identical ground ring structure of the two theories (see above). However, the ansatz has yet to explain the appearance of several different modular invariants for the fermionic string (depending on how the world-sheet spin structures are correlated with the sums over the string zero modes), so the work is as yet incomplete.

JADWIGA BIENKOWSKA

During the last academic year I have worked on several problems concerning $N=2$ SUSY string models. The larger symmetry group makes $N=2$ SUSY theories simpler to work with than less symmetrical ones, and one expects that $N=2$ SUSY string model will be completely solvable and will lead to a better understanding of string theories. A relationship between the world sheet and space-time symmetries seems to be revealed more directly in $N=2$ SUSY string theory than in less symmetric models. Also $N=2$ SUSY critical strings in $(2,2)$ dimensions are known to provide a consistent quantum theory of self-dual gravity in four dimensions.

One characteristic feature of $N=2$ SUSY critical string theory is the finite number of physical states. The problem can be formulated as a no-ghost theorem for the $N=2$ SUSY critical strings. The proof for flat Minkowski space is a generalization of the proofs for $N = 0, 1$ cases, and confirms the belief that the only physical state with non zero momentum is the Kähler potential deformation. I have proved a generalization of the no-ghost theorem for an arbitrary target space geometry using the larger $N=4$ superconformal

algebra present in this model.

PETER BOWCOCK

The problem of modelling tunneling phenomena in more than one dimension was examined in collaboration with **Ruth Gregory**, a Fermi/McCormick Fellow in the Relativity Group at the University of Chicago. These methods were generalized to allow for complex momenta, and possible applications were discussed.

The classification of quantum W -algebras was investigated in collaboration with **G. M. T. Watts**, at the University of Durham.

Reductive W -algebras generated by bosonic fields of spin 1, a single spin-2 field, and fermionic fields of spin $3/2$ were classified. Three new cases were found: a "symplectic" family of superconformal algebras, an $N = 7$ and an $N = 8$ superconformal algebra. The exceptional cases can be viewed as arising from a Drinfeld-Sokolov type reduction of the exceptional Lie superalgebras $F(4)$ and $G(3)$, and have an octonionic description. The quantum versions of the superconformal algebras were constructed explicitly in all three cases.

C. R. SACHS, COLLABORATORS, AND STUDENTS

1. *Origin of the strong CP problem (R.G.S.)*

As reported earlier it has been shown that the P , T , and C properties of the θ vacuum are such that P cannot be a physical operator of conventional QCD if $\theta \neq 0$ since it does not satisfy the superselection rule $\Delta\theta = 0$. Thus parity is not an observable of conventional QCD.

PRL referees have continued to raise technical issues concerning my attempt (reported in my previous progress report as reference [1], Enrico Fermi

Institute report EFI 92-42) to restore the meaning of parity for arbitrary θ . To overcome this difficulty, the paper has been rewritten for PRD giving much more detail and shifting the emphasis, although the physical consequences are the same as reported earlier [1]. In particular, I emphasize a previously unrecognized $U(2)$ symmetry of the Hilbert space produced by the dynamical solutions of QCD and associated with the topology of the gauge fields. The conventional boundary condition (which assumes that the sign of θ is fixed) breaks this symmetry and thereby causes the P and T violation. If a boundary condition preserving the topological symmetry is assumed then the P and T violation is found to be due to a chiral rotation χ of the mass term in the Hamiltonian which is independent of θ . The separation of these two parameters greatly enriches the theory and offers an opportunity to measure each of them. Therefore whether the conventional or the symmetry-preserving boundary condition is the correct one can be decided by experiments.

2. *Alternate phenomenological theory of CP violation (R.G.S.)*

A "natural" phenomenological theory involving no complex coupling constants (they are all real numbers) has been constructed by making use of the chiral rotation of the quarks that is "freed" by the $U(2)$ symmetry-preserving boundary condition on QCD [1]. The theory fits into the structure of the Standard Model but requires two independent Higgs fields. As a consequence of the constraint imposed by the experimental upper limit on the electric dipole moment of the neutron, the results of the theory for K meson phenomena are equivalent to those of a superweak theory. The consequences of the theory for B meson phenomena are under investigation and preliminary results indicate that CP violation effects in this case may be large. A paper

reporting these results is under preparation and only awaits confirmation of these estimates [2].

3. *Renormalization of neutron edm calculations (M. Booth).*

Calculation of the magnitude of the electric dipole moment (edm) of the neutron predicted by either the KM model or the Weinberg model must take into account renormalization effects arising from Weinberg operators. The calculation of the effect of the 3 gluon operator that has been carried out for the latter model required the calculation of many diagrams and its extension to the KM model (4 gluon operators) by the same methods would require many more diagrams. Booth has shown how these calculations can be greatly simplified and has completed the calculation of the anomalous dimension for each case [3]. He is now using the results to determine the effect on calculations of the edm for the KM model. [See also the report by Mr. Booth, below.]

1. R. G. Sachs, The Origin of Strong CP Violation, Enrico Fermi Institute report EFI 92-21 (submitted to Phys. Rev. D1).
2. R. G. Sachs, The Origin of CP Violation? (in preparation).
3. M. Booth, Anomalous Dimensions of Weinberg Operators, Phys. Rev. D **45**, 2518 (1992).

MICHAEL BOOTH

Over the past year I have completed the study of Weinberg operators in the standard model. Most importantly, I computed the anomalous dimensions of all dimension-eight gluonic and mixed gluonic-photonic P and T

violating operators. In fact the result trivially extends to cover all dimension-eight purely gauge-boson vertices, for example a photon may be replaced with a Z^0 . Such operators may be called *generalized Weinberg operators*. One of the purely gluonic operators has a positive anomalous dimension, which means that it is enhanced during the evolution to lower energy scales. This is in contrast to the original dimension-six Weinberg operator, which is suppressed by the evolution. As a consequence, there are some instances where the dimension-eight operators give the dominant contribution to the neutron electric dipole moment (NEDM). This work is summarized in publications Phys. Rev. **D45**, 2518 and EFI preprint 92-13, "A note on Weinberg Operators in the Standard Model".

RECENT PUBLICATIONS

(* denotes an updated reference from last Progress Report)

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MIKE BOOTH

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PETER BOWCOCK

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GLENN BOYD

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GEOFFREY HARRIS

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GERARD JUNGMAN

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PYUNGWON KO

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ROGERIO ROSENFELD

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JONATHAN L. ROSNER

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ROBERT G. SACHS

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ANNE TAORMINA

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